

Abbreviations

Australian Securities and Investments Commission	ASIC
Automated trading service	ATS
Chief Executive, HKSAR	CE
Chief Executive Officer, SFC	CEO
China Securities Regulatory Commission	CSRC
Collective investment schemes	CISs
Committee on Payment and Settlement Systems	CPSS
Coordination Framework for Implementation Monitoring	CFIM
Corporate social responsibility	CSR
Court of Appeal	CA
Court of First Instance	CFI
Credit rating agencies	CRAs
Dual-Tranche-Dual-Counter	DTDC
Electronic Financial Return System	e-FRR
European Securities and Markets Authority	ESMA
European Union	EU
Exchange-traded fund	ETF
Executive Committee, SFC	ExCo
Executive Directors, SFC	EDs
Financial Dispute Resolution Centre Ltd	FDRC
Financial Secretary	FS
Financial Services Authority	FSA
Financial Stability Board	FSB
Group of Twenty	G-20
Hong Kong Exchanges and Clearing Ltd	HKEx
Hong Kong Monetary Authority	HKMA
Hong Kong Society of Financial Analysts	HKSFA
Hong Kong Special Administrative Region	HKSAR
Initial public offering	IPO
Insurance Authority	IA
International Organization of Securities Commissions	IOSCO
Investor Compensation Co, Ltd	ICC
Legislative Council	LegCo
Lehman Brothers	LB
Mandatory Provident Fund Schemes Authority	MPFA
Market Misconduct Tribunal	MMT
Memoranda of understanding	MOU
Non-executive directors, SFC	NEDs
Over-the-counter	OTC
Product Key Facts Statement	KFS
Real Estate Investment Trusts	REITs
Renminbi Qualified Foreign Institutional Investor	RQFII
Securities and Exchange Commission	SEC
Securities and Futures Appeals Tribunal	SFAT
Securities and Futures Ordinance	SFO
Standing Committee on Standards Implementation	SCSI
Systematically important financial institutions	SIFIs
The Open University of Hong Kong	OUIHK
The Stock Exchange of Hong Kong Ltd	SEHK
Trade repositories	TRs