



SECURITIES AND FUTURES COMMISSION

Form 8

Annual Return – Licensed Representative

Name of representative

CE number

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Reporting period

From				to			
	<i>dd</i>	<i>mm</i>	<i>yyyy</i>		<i>dd</i>	<i>mm</i>	<i>yyyy</i>

Name of contact person regarding any queries on this form

Telephone number of the contact person

Note:

You must fill in this form accurately and truthfully, and submit it before the deadline for submission. Section 138(4) of the Securities and Futures Ordinance states that a person licensed under Section 120(1) shall submit an annual return to the Commission –

- (a) within one month after each anniversary of the date on which the person is licensed; or
- (b) by such other date as may be approved by the Commission by notice in writing.

Declaration

Note: To facilitate your lodgement of the annual return, please find attached an Information Sheet containing an extract of the information pertaining to you as previously provided to the Commission. Please review each item carefully.

I hereby declare that during the reporting period, in respect of the information pertaining to me as previously provided to the Commission (including information pertaining to disciplinary action and investigations, financial status, character and mental health):

- There is no change in the information.
- There has/have been change(s) as described below. Please describe the change and state the reason for not having notified the Commission.

(To be signed by the licensed representative)

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Signature

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Date