

## Supplement 4 — Information on Corporate Director

<b>Name of corporation</b>	(English)	
	(Chinese)	
<b>Place of incorporation</b>		
<b>Nature of business</b>		
<b>Business address</b>		
<b>Telephone &amp; facsimile numbers</b>	<i>(telephone)</i>	<i>(facsimile)</i>
<b>E-mail and website addresses</b>	<i>(e-mail)</i>	<i>(website)</i>

### Section 1: Licence record

1.1 Have you ever been licensed by or registered with the Commission and/or any regulatory body (including any stock or futures exchanges), in Hong Kong or elsewhere, to engage in any regulated or similarly regulated activity?

- Yes. Please complete *Supplement 9 – Licence Record*.
- No.

### Section 2: Directors and substantial shareholders

2.1 Please provide the following information on your directors.

Name of director	Is the person:	
	a) a licensed representative;	
	b) a licensed corporation or registered institution;	
	c) a director or an approved substantial shareholder of a licensed corporation; or	
	d) a substantial shareholder of a registered institution?	
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*

	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*

**2.2** Please provide the following information on your substantial shareholders.

<b>Name of substantial shareholder</b>	<b>Is the person:</b> <b>a) a licensed representative;</b> <b>b) a licensed corporation or registered institution;</b> <b>c) a director or an approved substantial shareholder of a licensed corporation; or</b> <b>d) a substantial shareholder of a registered institution?</b>	
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*
	<input type="checkbox"/> Yes, CE No. _____	<input type="checkbox"/> No*

\* If you tick NO in any of the above:

- Please request your **corporate director/substantial shareholder** to complete *Supplement 4 – Information on Corporate Director*.
- Please request your **individual director/substantial shareholder** to complete *Supplement 3 – Statement of Personal Information*.

\_\_\_\_\_  
*Name of director*

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Date*