

## Supplement 7 — Financial Resources

(Please complete this supplement with reference to the requirements of the Financial Resources Rules.)

<b>Name of corporation</b>	
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Paid up capital and required liquid capital		
Will you receive or hold client assets?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Paid up capital (in HK\$'000)		
Required liquid capital (in HK\$'000)		

Computation of liquid capital	
<b>(In HK\$'000)</b>	As at _____ / _____ / _____ <span style="display: block; text-align: center; font-size: small;">dd                      mm                      yyyy</span>
Total liquid assets	
Total ranking liabilities	
Liquid capital <i>(Total liquid assets minus total ranking liabilities)</i>	
Required liquid capital	
Excess/deficiency in liquid capital <i>(Liquid capital minus required liquid capital)</i>	

Balance sheet	
<b>(In HK\$'000)</b>	As at _____ / _____ / _____ <i>dd mm yyyy</i>
<b>Assets</b>	
Fixed assets	_____
Other assets	_____
Current assets	
Cash deposits in banks	.....
Investment in listed securities	.....
Receivables	.....
Other current assets	_____
Total assets	_____
<b>Liabilities</b>	
Current liabilities	
Bank overdraft/loans	.....
Other current liabilities	.....
Long term liabilities	_____
Total liabilities	_____
<b>Net Assets (Total assets – Total liabilities)</b>	_____
<b>Shareholders' Equity</b>	
Share capital	.....
Share premium	.....
Other reserves	.....
Retained profits/losses	_____
<b>Total Shareholders' Equity</b>	_____

.....  
Name of director/responsible officer\*

.....  
Signature

.....  
Date

\* Delete where not applicable