



# SECURITIES AND FUTURES COMMISSION

## Form 7

### Annual Return – Licensed Corporation

Name of corporation

CE number

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Reporting period

From:				to			
	<i>dd</i>	<i>mm</i>	<i>yyyy</i>		<i>dd</i>	<i>mm</i>	<i>yyyy</i>

Name of contact person regarding any queries on this form

Telephone number of the contact person

**Note:**

You must fill in this form accurately and truthfully, and submit it before the deadline for submission. Section 138(4) of the Securities and Futures Ordinance states that a person licensed under Section 116 shall submit an annual return to the Commission –

- (a) within one month after each anniversary of the date on which the person is licensed; or
- (b) by such other date as may be approved by the Commission by notice in writing.

