

Vetting Authorization Form - Individual

I, _____ hereby authorize the Commissioner of Police/Commissioner of Customs and Excise/any criminal investigatory body or regulatory authority, or their representatives, to release full particulars of any pertinent information and materials including all criminal convictions recorded against me to the Securities and Futures Commission. My personal particulars are as follows:

Name _____

Date of birth (dd/mm/yyyy) _____

HKID number _____

Chinese Commercial Code _____ / _____ / _____

Passport number* _____

Country of issue* _____

Place of birth _____

(Signature of applicant)

Date

Witness by** _____
(Signature of witness)

Name of witness _____

Designation _____

Company name _____

Notary Public number (where applicable) _____

ID card/passport number* _____

Country of issue* _____

* Only applicable where the person does not possess a Hong Kong Identity Card.

** The witness must be one of the following persons:

- (i) a practicing solicitor, notary public or Justice of the Peace; or
- (ii) a director or responsible officer of the licensed corporation/corporation applying for a licence.