

Outstanding Prosecutions Report as at 17 January 2012

Status	Date of Hearing/ Court	Offence	Defendant
1 Appeal against conviction & sentence	20/01/2012 High Court	S295(3) of SFO, S295(6) of SFO (Creating an artificial price for dealings in securities)	PAN Ming [潘明]
2 Plea	26/01/2012 Eastern	S295(1)(b) of SFO, S295(6) of SFO (False trading)	
 Plea	26/01/2012 Eastern	S295(1)(b) of SFO, S295(6) of SFO (False trading)	
3 Trial	26/01/2012 Eastern	S291(1)(a) of SFO, S291(8) of SFO (Insider dealing)	LAM Kwong Yu [林光宇]
4 For verdict	30/01/2012 Eastern	S296(1)(b) of SFO, S296(4) of SFO (Price rigging)	TSOI Bun [蔡斌]
5 Appeal against conviction	14/03/2012 Court of First Instance	S109(1)(a) of SFO (Issuing an advertisement relating to carrying on regulated activities without a licence)	CHAN Chun Wai [陳俊偉]
 Appeal against conviction	14/03/2012 Court of First Instance	S114(1)(b) of SFO, S114(8) of SFO, S390(1) of SFO (Holding out as carrying on a business in asset management without a licence)	CHAN Chun Wai [陳俊偉]
6 For verdict	15/03/2012 - 16/03/2012 District Court	S298(1) of SFO, S298(2) of SFO, S303(1)(a) of SFO (Disclosure of false or misleading information inducing transactions)	VONG Tat leong David [黃達揚]
7 Trial	19/03/2012 - 27/03/2012 Eastern	S291(1)(a) of SFO, S291(8) of SFO, S303 of SFO, S303(1) of SFO (Insider Dealing)	CHUI Wing Nin Simom [崔永年]
8 Re-fixed trial	16/04/2012 - 24/04/2012 Eastern	S310(1)(a) of SFO, S313(1)(a) of SFO, S313(1)(c) of SFO, S324 of SFO, S325(1)(a) of SFO, S328(a) of SFO (Failure to perform a duty of disclosure within the specified period)	Lucky Peace Limited
 Re-fixed trial	16/04/2012 - 24/04/2012 Eastern	S310(1)(a) of SFO, S313(1)(a) of SFO, S313(1)(c) of SFO, S316(2) of SFO, S324 of SFO, S325(1)(a) of SFO, S328(a) of SFO, S341(2)(c)(i) of SFO, S344(3) of SFO, S347 of SFO, S348(2)(a) of SFO, S351(a) of SFO (Failure to perform a duty of disclosure within the specified period)	QIU Yue [邱越]
 Re-fixed trial	16/04/2012 - 24/04/2012 Eastern	S384(1) of SFO, S384(6) of SFO, S390 of SFO (Provision of false or misleading information)	QIU Yue [邱越]
 Re-fixed trial	16/04/2012 - 24/04/2012 Eastern	S384(1) of SFO, S384(6) of SFO (Provision of false or misleading information)	Asian Capital Resources (Holdings) Limited

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Re-fixed trial	16/04/2012 - 24/04/2012 Eastern	S384(1) of SFO, S384(6) of SFO, S390 of SFO (Provision of false or misleading information)	CHANDLER Andrew James
9 Appeal against conviction & sentence	24/04/2012 Court of Appeal	S291(1)(a) of SFO, S291(1)(b) of SFO, S291(8) of SFO (9 charges of insider dealing under s.291(1)(a) and (8) of SFO and 1 charge of counselling or procuring another person to deal in the shares of a listed company namely CITIC Resources Holdings Limited under s.291(1)(b) and (8).)	DU Jun [杜軍]
10 Appeal against judgement of Court of Appeal	02/05/2012 Court of Final Appeal	S295(1)(a) of SFO, S295(6) of SFO (False trading)	LEE Shu Yuen Francis [李樹源]
Appeal against judgement of Court of Appeal	02/05/2012 Court of Final Appeal	S295(1)(a) of SFO, S295(6) of SFO (False trading)	FU Kor Kuen, Patrick [傅果權]
11 Re-fixed trial	06/08/2012 - 15/08/2012 District Court	S296(1)(a) of SFO, S296(4) of SFO (Price Rigging)	LI Jialin [李佳林]
12 Re-fixed trial	06/08/2012 - 15/08/2012 District Court	S341(1)(a) of SFO, S347 of SFO, S348(1)(a) of SFO, S351(a)(ii) of SFO (Failure to perform a duty of disclosure within the specified period)	LI Jialin [李佳林]
13 For show cause (arrest warrant)	Eastern	S291(1)(a) of SFO, S291(8) of SFO (Insider Dealing)	TANG Yan Tian Michael [唐彥田]
14 Appeal against acquittal	date to be fixed High Court	S295(1)(b) of SFO, S295(6) of SFO, S303(1)(b) of SFO (False Trading)	LIANG Jiang [梁江]
15 For show cause (arrest warrant)	Eastern	S291(5) of SFO, S291(8) of SFO (Insider Dealing)	PAN Caihong [潘彩虹]
16 For show cause (arrest warrant)	Eastern	S300(1)(b) of SFO, S300(2) of SFO (Fraud involving securities)	MEI Anthony Hsiao Tung [梅曉東]
For show cause (arrest warrant)	Eastern	S295(3) of SFO, S295(6) of SFO (Creating an artificial price for dealings in securities)	MEI Anthony Hsiao Tung [梅曉東]

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