

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
Bauhinia Capital Partners Limited	ATY771	Licensed Corporation	1, 6
China Global Futures Limited	AYB226	Licensed Corporation	2
China Investment Securities International Asset Management Limited	AYA628	Licensed Corporation	4, 9
China Investment Securities International Brokerage Limited	AYA587	Licensed Corporation	1, 4
HRL Morrison & Co Capital Management (Int) Limited	AYH849	Licensed Corporation	9
Industrial Securities (Hong Kong) Brokerage Limited	AYE823	Licensed Corporation	1
Mount Austin Capital Advisors Limited	AYJ956	Licensed Corporation	9
Noah Holdings (Hong Kong) Limited	AYC880	Licensed Corporation	1, 4, 9
Persistent Asset Management Limited	AYQ286	Licensed Corporation	9
SK Securities Hong Kong Limited	AYJ674	Licensed Corporation	1, 6
Stobene Partners (Hong Kong) Limited	AXX973	Licensed Corporation	1, 4
ABBASI Fareesa Habeeb	AYR569	Licensed Representative	1
AGUIRRE Ramon Jose Escalona	AYL130	Licensed Representative	4
AKERS William Cann	AYS521	Licensed Representative	1, 2
ALFONSO PEREZ Gerardo	AYQ576	Licensed Representative	1
ANG Xiao Yun	AQU426	Licensed Representative	1, 4, 6
BAI Jiajie	AYT442	Licensed Representative	1, 4, 6
BANH Jason	AYR985	Licensed Representative	1, 4, 6
BAO Weiwei	AYS974	Licensed Representative	1, 6
BROWN Kenneth Allan Lindsay	AYP346	Licensed Representative	1, 4, 6
CAI Zhiyang	AYR987	Licensed Representative	1, 4, 6
CAO Hong Wei	AHJ150	Licensed Representative	4
CARNOW Jacob Elliot	AQE405	Licensed Representative	9
CHAN Andrew	AYO363	Licensed Representative	1, 2
CHAN Chun	AYQ167	Licensed Representative	1, 2
CHAN Chun Wing	AYS019	Licensed Representative	1
CHAN Connie Siu Man	AHV475	Licensed Representative	1, 4, 6
CHAN Flora Wing Shuen	AYQ287	Licensed Representative	1
CHAN Hei Tat Jackson	AYP292	Licensed Representative	10
CHAN Hiu Ming	AYQ163	Licensed Representative	1
CHAN Ka Chun, Pen	AYR197	Licensed Representative	1, 4
CHAN Kevin Karl	AYT452	Licensed Representative	1, 4, 6
CHAN Lai Sze	AYR389	Licensed Representative	1, 9
CHAN Nga Yee, Fiona	ADZ146	Licensed Representative	1, 4
CHAN Shiu Tak, Sheldon	AYM129	Licensed Representative	4, 9
CHAN Shue Tong	AYS422	Licensed Representative	1, 4
CHAN Suet Wing	AYQ770	Licensed Representative	1
CHAN Sze Chung	AEE351	Licensed Representative	1, 4, 6
CHAN Tak Kee, Douglas	AMB242	Licensed Representative	1, 4, 6
CHAN Tam Leung	AYQ568	Licensed Representative	1
CHAN Wai Ying, Rebecca	AMF214	Licensed Representative	1, 4, 6
CHAN Wing Hong	AYT031	Licensed Representative	1, 6
CHAN Yiu Chung	AYJ921	Licensed Representative	1, 2
CHANG Chia-Shan	AOB716	Licensed Representative	1, 2, 7
CHANG Yi Ping	AYQ008	Licensed Representative	1, 4
CHAU Kong San	AYR628	Licensed Representative	1
CHAU Lap Pan	AYS552	Licensed Representative	1, 2
CHAU Tak Chun, Dickson	AMN679	Licensed Representative	1
CHEN Bin	AYS798	Licensed Representative	1, 4, 6
CHEN Hufei	AYO670	Licensed Representative	4
CHEN Jiehan	AYS572	Licensed Representative	2
CHEN Kan Yin	AYR483	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Ming Xuan	AYS807	Licensed Representative	1, 4, 6
CHEN Ruoyun	AYR983	Licensed Representative	1, 4, 6
CHEN Xiaoying	AYU716	Licensed Representative	1, 4, 6
CHEN Yang	AYS812	Licensed Representative	1, 4, 6
CHEN Ying	AYR997	Licensed Representative	1, 4, 6
CHEN Yingnan	AYT461	Licensed Representative	1, 4, 6
CHEUNG Lung Fung	AYQ281	Licensed Representative	1
CHEUNG Tak Him, Terence	AYR568	Licensed Representative	1, 4, 6
CHEUNG Wing Yee	AYR967	Licensed Representative	1, 4, 6
CHEUNG Wing Yin	AYS789	Licensed Representative	1
CHEUNG Xi	AYR965	Licensed Representative	1, 4, 6
CHEUNG Chi Long	AYS651	Licensed Representative	1, 6
CHEUNG Hei Man	AYR706	Licensed Representative	1
CHEUNG Hiu Nam	APF021	Licensed Representative	1
CHEUNG Ka Ho	AYH663	Licensed Representative	1
CHEUNG Lam Li	AYO991	Licensed Representative	1
CHEUNG Natasha	ALS530	Licensed Representative	1
CHEUNG Tsun Hin, Samson	ARN600	Licensed Representative	6, 9
CHEUNG Wai Hung	AYQ269	Licensed Representative	1
CHEUNG Yee Ching	AYP118	Licensed Representative	1, 2
CHIA Yong Yue Wilfred	AYT485	Licensed Representative	1, 4, 6
CHIM Ka Wai	AYR707	Licensed Representative	1
CHIN Michael Geng	AYR641	Licensed Representative	4
CHIU Chi Man	ABW341	Licensed Representative	1, 2
CHIU Lap Kei	AYP123	Licensed Representative	1, 2
CHOI Won Suk	AYP113	Licensed Representative	4
CHOW Ho Ting Rene	AYR200	Licensed Representative	2
CHOW Kit Man	AYP322	Licensed Representative	1
CHOW Kwong Tai	ATT745	Licensed Representative	1
CHOW Tsun Ying Jenny	AYT696	Licensed Representative	1, 4, 6
CHOY Wai Ting	ARE817	Licensed Representative	1, 4, 6
CHU Jingjing	AXS253	Licensed Representative	1
CHU Kin Fun, John	AEE801	Licensed Representative	1
CHU Soi Pio	AGL656	Licensed Representative	2, 3
CHU Sze Ka	AYR989	Licensed Representative	1
CHUN Kwai Yin	AYO394	Licensed Representative	1
CLAYTON Kerwin Patrick	AYR971	Licensed Representative	1, 4, 6
COYNE Bryan Martin	AXC892	Licensed Representative	1, 2
DAI Qiang	AVQ949	Licensed Representative	4, 6
DAI Qiu Yang	AYO831	Licensed Representative	1, 7
DE GRIVEL Olivier Jean-marie	AYJ060	Licensed Representative	1, 4, 6
DE MATTOS BERTAO Eduardo	AYA543	Licensed Representative	1, 4, 9
DE T'SERCLAES DE WOMMERSOM C. E. H	AYR976	Licensed Representative	1, 4, 6
DE WERRA Thibaud Paul Henri Louis	AYS818	Licensed Representative	1, 4, 6
DENDEV Od-erkhes	AYS821	Licensed Representative	1, 4, 6
DHARIA Akash	AYO672	Licensed Representative	1, 2
EL OMARI Maher	AGO017	Licensed Representative	1, 4, 6
FAN Chi Yuen, Charles	AYQ546	Licensed Representative	6
FENG Bo	AYT707	Licensed Representative	1, 4, 6
FENG Lingtian	AYQ548	Licensed Representative	4
FENG Sha	AYS823	Licensed Representative	1, 4, 6
FLETCHER Timothy John	AHD377	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
FONG Yue Yeng	AY1656	Licensed Representative	1, 4
FROMMER Maya Elizabeth	AYS877	Licensed Representative	1, 2
GANDHI Shweta	AYQ284	Licensed Representative	4
GAO Shan	AXR020	Licensed Representative	1, 2
GENG Cong	AUW030	Licensed Representative	1, 4, 6
GHITIS Yaniv	AYR952	Licensed Representative	1, 4, 6
GIANELLA Sandro	AYQ410	Licensed Representative	1, 4
GONG Fangxiong	AYT726	Licensed Representative	1, 4, 6
GONG Tiancheng	AYO608	Licensed Representative	4
GRABOWSKI Carly Lisabeth	AYT727	Licensed Representative	1, 4, 6
GU Brian Hongdi	AYS835	Licensed Representative	1, 4, 6
GUINNESS Stuart Wesley Grattan	ARG897	Licensed Representative	1, 4
GUPTA Alope	AYT729	Licensed Representative	1, 4, 6
HAMILTON Jeffrey Wayne	AYT771	Licensed Representative	1, 4, 6
HAN Jennifer Koun	AMY266	Licensed Representative	4
HAN Tahee	AYS837	Licensed Representative	1, 4, 6
HAN Xiao	AYA773	Licensed Representative	1, 2
HAN Zhiming	AYR367	Licensed Representative	1
HARADA Akiko	AOO818	Licensed Representative	1, 2
HE Yao	AYR359	Licensed Representative	1, 6
HE Yiming	AYT733	Licensed Representative	1, 4, 6
HENDERSON Alexander Bernard	AYS958	Licensed Representative	3
HO Kok Hoong	ARI521	Licensed Representative	9
HO Lai Ha	APW241	Licensed Representative	1, 4, 6
HO Siu Ting, Stenning	AYO989	Licensed Representative	4
HO Sue	AYQ556	Licensed Representative	4
HO Yau Ching, Alexander	AFN224	Licensed Representative	1, 4
HO Yuk Fai	AYR480	Licensed Representative	1, 2
HOANG Wei Jyun	AEN584	Licensed Representative	1, 2
HONG Jiabei	AYK703	Licensed Representative	4, 9
HONG Sunyoung	AYD399	Licensed Representative	4
HORIUCHI Chikako	AYQ245	Licensed Representative	10
HSIAO Tung-Jen	AYQ115	Licensed Representative	1
HSIEH Chris	AEU791	Licensed Representative	1, 4, 6
HUANG Haojie	AYQ411	Licensed Representative	1, 4, 9
HUANG Labin	AYN148	Licensed Representative	1
HUANG Yi Fei	AYS868	Licensed Representative	1, 4, 6
HUANG Yu	ANT257	Licensed Representative	1, 4, 6
HUI Tsz Lap	AYS786	Licensed Representative	1, 6
HUNG Chi Fung	AQX616	Licensed Representative	1, 4
HUNG Chi-Tang	AYQ579	Licensed Representative	1
HUSSON Nicolas Remy Philippe Marie	AYO972	Licensed Representative	6
HWANG Jae Hyuk	AYS870	Licensed Representative	1, 4, 6
ILAGAN Patricia Victoria Gatmaitan	AYM076	Licensed Representative	4
IP Ho Ming	ARZ150	Licensed Representative	1, 4, 6
IP Hon Lam, Hiram	AIB932	Licensed Representative	1, 4, 6
IP Wang Hoi	ALN577	Licensed Representative	1, 4, 6
ISON Daniel Lee	AYM118	Licensed Representative	1
ITO Ikuo	AYN529	Licensed Representative	1, 4, 6
JAYARAMAN Sreenivas	AUG804	Licensed Representative	1, 4, 6
Ji Yehong	ALU479	Licensed Representative	1, 4, 6
JIA Qin	AYT778	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Jie	AYS036	Licensed Representative	1
JUSTH Mark Brian	AXW589	Licensed Representative	1, 2, 4, 5
KAM Chor Wang	AYS173	Licensed Representative	1, 6
KAMETANI Noriko	AYQ018	Licensed Representative	1
KAO Chiao Leung, Stanley	ADD612	Licensed Representative	1
KE Xuefeng	AYR736	Licensed Representative	4
KENNEDY Roger Cameron	AYS871	Licensed Representative	1, 4, 6
KHALIL Lionel Jean	AYR069	Licensed Representative	1, 2, 7
KIM Jangseob	AYT751	Licensed Representative	1, 4, 6
KIM Jin Man	AYN584	Licensed Representative	4
KIM Joseph	AYR243	Licensed Representative	1, 4, 9
KIM Kyung Han	AQQ105	Licensed Representative	1, 4, 6
KO Fung Yi	AYS457	Licensed Representative	9
KO King Tung	AYP093	Licensed Representative	1
KOHLI Nikhil	AVI597	Licensed Representative	1, 4, 6
KONG Rong	APN528	Licensed Representative	1, 2
KOO Bon Seuk	AMY265	Licensed Representative	1, 6
KOO Yat Hong	AYM836	Licensed Representative	1
KOTECHA Anuj Ashok	AXS269	Licensed Representative	1, 7
KUIK Xiao-shi	AYO671	Licensed Representative	4
KWOK Ka Ho	AYK528	Licensed Representative	1, 2
KWOK Wa Yan, Irene	AYR945	Licensed Representative	1, 4, 6
KWON Ji Eun	AYR570	Licensed Representative	1, 4
KWONG Hiu Fung Sunny	AYQ559	Licensed Representative	1, 2
KWONG Ying Lok	AVJ109	Licensed Representative	1, 2
LAI Dandan	AYS183	Licensed Representative	1, 4, 6
LAI Rongye	AYR571	Licensed Representative	1, 2, 7
LAI Wing Suet	ATY357	Licensed Representative	1
LAM Cedric Yee Ip	ANG296	Licensed Representative	1, 6
LAM Edwin Kai Chi	AYP086	Licensed Representative	4, 9
LAM Ka Wai	AYR643	Licensed Representative	1
LAM Long Tin	AYQ835	Licensed Representative	1, 2
LAM Yin	AYO392	Licensed Representative	6
LAM Yiu Keung	AYQ260	Licensed Representative	1, 4
LAU Chi Ho	ANZ579	Licensed Representative	1, 2, 9
LAU Hang, Henry	AWJ860	Licensed Representative	1, 6
LAU Hoi Ho	AYQ279	Licensed Representative	1
LAU Kam Sen	AYT764	Licensed Representative	1, 4, 6
LAU Tse Fung	AAJ835	Licensed Representative	1
LAU Vin Tone, Victoria	AYR582	Licensed Representative	1, 4, 9
LAWSON Matthew James	AYS248	Licensed Representative	1, 4, 6
LEE Hee Jae	ADU319	Licensed Representative	1, 4, 6
LEE Ho Kwan	ALN808	Licensed Representative	1
LEE Ka Lam	AOA615	Licensed Representative	1, 4
LEE Kam Wing, Bruno	ABM471	Licensed Representative	1, 4, 9
LEE Miu Chi	AYR769	Licensed Representative	1, 4, 9
LEE Pui Yi	AXP156	Licensed Representative	1
LEE Qi Da	AYS857	Licensed Representative	9
LEE Seunghwan	AYA209	Licensed Representative	1, 4
LEE Sok Hee	AYR195	Licensed Representative	4
LEE Soryo	AYS244	Licensed Representative	1, 4, 6
LEE Sung Chi Chester	AYS343	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Sungjae	AYS186	Licensed Representative	1, 4, 6
LEE Tsz Wai	AYT809	Licensed Representative	1
LEE Vanessa	AYS194	Licensed Representative	1, 4, 6
LEE Vivian Yee Man	AYC180	Licensed Representative	1
LEE Wai Kit, Patrick	AWK707	Licensed Representative	1, 4, 6
LEE Wing Him, Timothy	AYS240	Licensed Representative	1, 4, 6
LEE Wing Kee	APO133	Licensed Representative	9
LEUNG Kin Yau, Simon	AYT028	Licensed Representative	1, 6
LEUNG King Yin	AYQ560	Licensed Representative	1
LEUNG Shun Hin, Henry	AYT473	Licensed Representative	1, 4, 6
LEUNG Tze Ying Gwen	AYQ010	Licensed Representative	6
LEUNG Wing Cheung, William	ALI608	Licensed Representative	1, 4, 9
LEUNG Yuk Sim	AFH042	Licensed Representative	1, 6
LI Cheong Faat	AYO609	Licensed Representative	1, 2
LI Chi Man	AYQ259	Licensed Representative	1
LI Enlun	ARS102	Licensed Representative	1, 4, 6
LI Sin Heng Lillian	AYQ275	Licensed Representative	1
LI Wei-Ting	AYN271	Licensed Representative	1
LI Weiwei	AXY460	Licensed Representative	1, 4
LI Wen	AYU052	Licensed Representative	1, 4, 6
LI Xiang	ANP011	Licensed Representative	1, 4, 6
LI Xin Hui	AYP978	Licensed Representative	4, 6, 9
LI Yilu	ATN888	Licensed Representative	1, 4, 6
LI Yuk Wa	AYO401	Licensed Representative	1
LI Zhi	AYS021	Licensed Representative	1
LI Ziyong	AYS196	Licensed Representative	1, 4, 6
LIANG Jianhong	AYS243	Licensed Representative	1, 4, 6
LIN Chi Jen	AYO038	Licensed Representative	1
LIN Pin-chun	AYO395	Licensed Representative	1, 6
LIN Xujia	AYS531	Licensed Representative	1, 4
LIU Chang	AOJ599	Licensed Representative	1, 4, 6
LIU Dongheng	AYT455	Licensed Representative	1, 4, 6
LIU Hao	AYS245	Licensed Representative	1, 4, 6
LIU Jia	AVX016	Licensed Representative	1, 4, 6
LIU Xilin	AYV313	Licensed Representative	1, 4, 6
LO Chi Chiu, Philip	ALK260	Licensed Representative	1, 4
LO Eric Yat Chun	AYO984	Licensed Representative	1
LO Yiu Chun	AYO674	Licensed Representative	1
LOU Chao	AYR613	Licensed Representative	4, 9
LOU Jia	AYN600	Licensed Representative	4, 9
LU Xiaoying	APO977	Licensed Representative	1, 4, 6
LU Yang	AYJ411	Licensed Representative	1
LUI Chun Wai, Stefan	AYP090	Licensed Representative	1
LUK Sui Fung	AYI630	Licensed Representative	1
LUNIA Varun	AYR744	Licensed Representative	1, 2
LUO Ning Ning, Linda	AHD909	Licensed Representative	9
MA Andrea	AYQ422	Licensed Representative	1, 4
MA Wang Chiu	ACY923	Licensed Representative	1, 2
MAI Xiaohong	AYR633	Licensed Representative	6
MARDIX Elad	AYS379	Licensed Representative	1, 4, 6
MARIA Gaurav	AYU709	Licensed Representative	1, 4, 6
MARIN Todd Robert	AHN604	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
MAU Jason Ka Hang	AUK137	Licensed Representative	1
MC GRATH Danielle Marie	AYO990	Licensed Representative	1, 4, 9
MCDERMOTT Jayne Anne	AYR307	Licensed Representative	1, 4
MEHTA Harshad Satish	AYQ242	Licensed Representative	1
MEISNER David Benjamin	AYT417	Licensed Representative	1, 4, 6
MILLER Ryan James	ARF537	Licensed Representative	2
MINTZ Nathan Keith	AQT165	Licensed Representative	1, 4
MISPAGEL Patrick Cronin	AYS640	Licensed Representative	10
MONTGOMERY William Donovan	AYQ540	Licensed Representative	9
MOREIRA Nicholas Hubert	ADT374	Licensed Representative	1, 2, 4, 5
NARAYAN Anand	AYT420	Licensed Representative	1, 4, 6
NG Chor Sum Sam	AYT391	Licensed Representative	1, 4, 6
NG Ka Kin	AYI058	Licensed Representative	4, 9
NG Kin Ting	AYT344	Licensed Representative	1, 4, 6
NG Pak Yu	ARM512	Licensed Representative	1
NG Wang Chim	ASL840	Licensed Representative	1
NG Wing Lun	AYS156	Licensed Representative	1
NG Yu Kwan	AYS859	Licensed Representative	1
NIAM Damien	AYT381	Licensed Representative	1, 4, 6
NILES Jane Corinne Eleanor	AYT032	Licensed Representative	1, 6
NISSEN Edward Francis James	AYQ017	Licensed Representative	1
NO Patrick	AYT365	Licensed Representative	1, 4, 6
NU Da	AYQ244	Licensed Representative	1, 4, 6
OH Geeseok	AXZ900	Licensed Representative	1, 4, 9
OH See Won	AYQ633	Licensed Representative	10
OH Seung Min	AYR387	Licensed Representative	1, 4
OR Ying Ming	AYR222	Licensed Representative	9
PAI Szu Chia, Nelly	AGH243	Licensed Representative	1, 4, 6
PAN Danmeng	AYS401	Licensed Representative	1, 6
PANG Man Cheong	AYS458	Licensed Representative	1, 6
PARK Hee Young	AXV663	Licensed Representative	1, 4, 9
PARK Hoon Ju	AYR070	Licensed Representative	1
PARK Ji Hye	AYH848	Licensed Representative	1
PATIL Girish Shivaji	AYO673	Licensed Representative	1, 2
PATTERSON Brooke Ainsley	ANL933	Licensed Representative	1, 4, 9
PERNET Lionel	AYS378	Licensed Representative	1, 4, 6
POON Kwai Kwong	AYO538	Licensed Representative	1, 2
POON Tammy Tim Ming	AYT407	Licensed Representative	1, 4, 6
QI Chao	AYS388	Licensed Representative	1, 4, 6
RENNIE Andrew Kenneth	AYR079	Licensed Representative	1, 2, 4
RICHARDS Andrew Brynmor	AYQ561	Licensed Representative	1, 6
ROCHE Thomas Damian	AVD836	Licensed Representative	1, 2, 4, 5
ROUMIER Brune Soline Marie Lorraine	AYR169	Licensed Representative	1
RUANGTHAVEEKOON Apinya	AYD207	Licensed Representative	4, 9
RUMMUN Roshan	AMO987	Licensed Representative	9
RUSSELL Jon William	AYQ841	Licensed Representative	1, 2
SEETO Dereke Charles Wing On	AYO959	Licensed Representative	1, 2, 7
SHAH Mrunal Hareshkumar	AYQ435	Licensed Representative	1
SHANG Pei Chun	AOM976	Licensed Representative	1, 9
SHAO Shan	AYS387	Licensed Representative	1, 4, 6
SHARMA Saurabh	AYO986	Licensed Representative	1, 2
SHEN Blake C	AYQ549	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
SHEN Xiao	ANT287	Licensed Representative	1, 4, 6
SHI Liying	AMH701	Licensed Representative	1, 4, 6
SIN Cheuk Kin Jeremy	AYR213	Licensed Representative	6
SIN Ying Ying	ASO990	Licensed Representative	6
SINGHAL Archit	AYQ283	Licensed Representative	4
SIT Man Kin	AYS829	Licensed Representative	1, 4
SKILTON Edwin	AYM696	Licensed Representative	4, 6
SNG Ren Yi	AYT411	Licensed Representative	1, 4, 6
SO Ching Yim	AYR745	Licensed Representative	9
SO Chiu	AYO973	Licensed Representative	6
SONG Kyung A	AYS026	Licensed Representative	4
SONG Minjie	AYT409	Licensed Representative	1, 4, 6
SONG Younghun	AYS534	Licensed Representative	1, 4, 6
SU Keng-Hsien	AYN142	Licensed Representative	4, 9
SUMNERS Mary Sophia	AYQ118	Licensed Representative	1, 4
SUN Xiaoping	AXI904	Licensed Representative	1, 4, 6
SUN Yu	AWS600	Licensed Representative	6
SUN Yung-Lun	AYN343	Licensed Representative	1
SUREKA Amit	AYR258	Licensed Representative	1
SZE Hung Kit Thomas	AYQ839	Licensed Representative	1, 4
SZE Man Tai, Alan	AYR531	Licensed Representative	1, 4, 6
SZE Michael Wing Hong	AQD637	Licensed Representative	1, 4
SZE Tin Yeung, Randall	ANW189	Licensed Representative	1, 4, 6
SZE Yan	AQE201	Licensed Representative	6
TABATABAI SHARGHY Shervin	AYQ014	Licensed Representative	1, 6
TAKEDA Masakazu	AYS022	Licensed Representative	4, 9
TAM Hoi Ling, Jennifer	AOE539	Licensed Representative	1
TAM Ming Yan	APH018	Licensed Representative	1
TAM Shuk Fong	AYS032	Licensed Representative	1, 2
TAM Yat Kit	AYP278	Licensed Representative	4
TAN Chi Yu	AYQ538	Licensed Representative	1, 7
TAN Xiao	AYS035	Licensed Representative	1
TAN Yingying	AYS541	Licensed Representative	1, 4, 6
TANG King Hung	AYQ575	Licensed Representative	10
TANG Tian	AYS468	Licensed Representative	1
TANG Xiaoning	AYT140	Licensed Representative	1, 4, 6
TANG Zhan	AYS459	Licensed Representative	1
TANG Zhangliang	AYS878	Licensed Representative	1, 4, 6
TAO Sha	AYJ250	Licensed Representative	2
TEO Wee Meng, Calvin	ADY959	Licensed Representative	1, 4, 6
TING Ngai	AHV456	Licensed Representative	1, 2
TSAI Cheng-yu	AYQ016	Licensed Representative	1, 9
TSANG Kwok Leung, Duncan	AML597	Licensed Representative	1
TSANG Yin Fong Flora	AYT790	Licensed Representative	1, 4, 6
TSANG Yuk Kwong	AYJ932	Licensed Representative	1
TSE Chung Yan	AMY528	Licensed Representative	1
TSE Soo Lai	AIC206	Licensed Representative	1
TSENG Hung Yan, Bruce	APB733	Licensed Representative	9
TSOI Yiu Ting	AFR339	Licensed Representative	1, 4, 6
TSUI Kwok Hei Felix	AYQ280	Licensed Representative	1
VAN DER VEN Jeroen Willibrord Erlend	AWC090	Licensed Representative	1, 2
VANDERKOLK Mark David	AYN677	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
WAN Chalk Yin	AYS333	Licensed Representative	6
WANG He-Shiuan	AYO875	Licensed Representative	1
WANG Jiansheng	AYQ277	Licensed Representative	1
WANG Jun	AYS550	Licensed Representative	1, 4, 6
WANG Taie	AYQ701	Licensed Representative	4, 5, 9
WANG Wei	AYQ019	Licensed Representative	6
WANG Wenqi	AYT129	Licensed Representative	1, 4, 6
WANG Xiaochen	AYO381	Licensed Representative	6
WANG Xiaojun	ADN383	Licensed Representative	4, 9
WANG Xiaomeng	ALT901	Licensed Representative	1, 4, 6
WANG Xiaowen	AYS018	Licensed Representative	1
WAT Jonathan	AYQ256	Licensed Representative	1, 2
WEI Jiao	AYS879	Licensed Representative	1, 4, 6
WHITMORE SNOW Amanda Clare	AYV303	Licensed Representative	1, 4
WONG Ching Yin	AYQ251	Licensed Representative	1
WONG Chun Lang, Johann	AWJ931	Licensed Representative	1, 2
WONG Hau Lim	AYT096	Licensed Representative	1
WONG Ho Chau	AYQ444	Licensed Representative	9
WONG Ho Yan	AYQ022	Licensed Representative	1, 4
WONG Ho Yin, Dixon	AYQ430	Licensed Representative	4, 9
WONG Hoi Yan, Candy	AYQ446	Licensed Representative	1
WONG Ka Lap	ACR445	Licensed Representative	1, 2
WONG Leung Tai	AYM839	Licensed Representative	1
WONG Maan Ki	AYN074	Licensed Representative	2
WONG Pik Shan	AYQ357	Licensed Representative	1
WONG Roberta	AYS548	Licensed Representative	1, 4, 6
WONG Siu Lam Osman	AYS377	Licensed Representative	4, 9
WONG Teck Chit, Alfred	AWF438	Licensed Representative	4, 9
WONG Theodore Todd	AYQ349	Licensed Representative	1, 4
WONG Wai Tak	AFH252	Licensed Representative	1
WONG Yin Kui, Derek	AMB370	Licensed Representative	1, 4
WONG Yu Yin	AGI949	Licensed Representative	1
WU Chao	AYR481	Licensed Representative	2
WU Min	AYS392	Licensed Representative	1, 2
WU Tsz Yin	AYS530	Licensed Representative	1
WU Warren Charles	AUZ061	Licensed Representative	1, 4, 6
XIANG Yuqiu	ARF778	Licensed Representative	1, 4, 6
XIAO Yu Xiang, Andre	AYT412	Licensed Representative	1, 4, 6
XING Cheng	AYP114	Licensed Representative	4
XIONG Ting	AYS641	Licensed Representative	1, 4, 6
XU Feng	AYO610	Licensed Representative	1, 2
XU Hu	ANV103	Licensed Representative	1, 4, 6
XU Ping	ARQ788	Licensed Representative	1, 4, 6
XU Ruimin	ALZ591	Licensed Representative	1, 4, 6
XU Sijia	AYT011	Licensed Representative	1, 4, 6
XU Xin	AYQ382	Licensed Representative	1
XU Ying	ANK771	Licensed Representative	1, 4, 6
XUN Hongjun	AYQ405	Licensed Representative	1, 2
YAM Yin Chi	AYQ904	Licensed Representative	1, 4
YAMAGAMI Shinichiro	AYG572	Licensed Representative	1
YANG Yang	AYT012	Licensed Representative	1, 4, 6
YANG Yuan	AYT801	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Zhaoxuan	AYT010	Licensed Representative	1, 4, 6
YAU Chung Yan, Pamela	AYQ326	Licensed Representative	1, 2, 4
YAU Shuk Han	ACZ998	Licensed Representative	9
YAU Siu Fung	AYR255	Licensed Representative	1, 4
YEH Mei Fong	AYO966	Licensed Representative	6
YEUNG Lawrence King Wai	AYP972	Licensed Representative	1, 4
YEUNG Man Kit	AYP121	Licensed Representative	1, 2
YEUNG Niki Ning Ki	ATD246	Licensed Representative	4
YEUNG Sum Ho	AOK594	Licensed Representative	1, 4
YEUNG Wai Kin	AMF681	Licensed Representative	2
YIP Kim Fai	AYS793	Licensed Representative	1
YIP Yuk Fai	AYP099	Licensed Representative	1, 2
YIU Yik Sze Rosita	AYR982	Licensed Representative	1
YU Hui	AYS989	Licensed Representative	1, 4, 6
YU Sau Ling	AYN663	Licensed Representative	4, 9
YU Yun Kai, Peter	ALP935	Licensed Representative	1, 4, 6
YUAN Yudong	AYT340	Licensed Representative	1, 4, 6
YUEN Ho Man	AME504	Licensed Representative	1, 4, 6
YUEN Ming Lan, Maggie	AAD596	Licensed Representative	1, 2
YUN Wai Keung	APB622	Licensed Representative	1, 6
YUNG Martin Kwing Cheung	AYT811	Licensed Representative	1, 4
ZHAI Pu	ALC178	Licensed Representative	1, 4, 6
ZHANG Angelica Chengcheng	AYS998	Licensed Representative	1, 4, 6
ZHANG Dingfang	AYS118	Licensed Representative	1, 2
ZHANG Jianwen	AOR293	Licensed Representative	4, 9
ZHANG Jun	AYQ322	Licensed Representative	1, 2
ZHANG Ke	AYW737	Licensed Representative	6
ZHANG Shuqiang	AYQ145	Licensed Representative	1, 2
ZHANG Wei	AYS995	Licensed Representative	1, 4, 6
ZHANG Xiaofeng	AYT794	Licensed Representative	1, 4, 6
ZHANG Xiaoshi	AYN539	Licensed Representative	4, 5
ZHANG Zhibin	AYT695	Licensed Representative	4
ZHAO Yingzhe	AYQ976	Licensed Representative	4, 9
ZHENG Liuting	AYV680	Licensed Representative	6
ZHU Yueyue	AYS993	Licensed Representative	1, 4, 6
ZOU Xingcheng	AYT511	Licensed Representative	1, 4, 6
ZUO Honggang	AYS532	Licensed Representative	4
BALL Julian Robert Steven	AFH016	Licensed Representative - Responsible Officer	4
CHOI Jae Won	AOD815	Licensed Representative - Responsible Officer	9
CHU Kawah Edward	AIE472	Licensed Representative - Responsible Officer	1, 4
CHUANG Shang-Yan	AJD375	Licensed Representative - Responsible Officer	1, 4, 9
EVANS Gareth Handel	AMK322	Licensed Representative - Responsible Officer	1, 6
HUANG Jinguang	AYH626	Licensed Representative - Responsible Officer	1
HUANG Thomas Xi	AYQ787	Licensed Representative - Responsible Officer	9
HUANG Xin	AYQ786	Licensed Representative - Responsible Officer	9
JIA Wenzhong	AYR172	Licensed Representative - Responsible Officer	4, 9
KHAN Mohammad Asif Atahar	AYH605	Licensed Representative - Responsible Officer	4, 9
KIM Moon Soo	ADF372	Licensed Representative - Responsible Officer	6
KWAK Seyong	AYJ677	Licensed Representative - Responsible Officer	1
LAM Cho Ying, Terence Joe	ABW710	Licensed Representative - Responsible Officer	1, 4
LIM Sungil	AYJ695	Licensed Representative - Responsible Officer	4, 9
MCCOMBE Mark Seumas	AOW877	Licensed Representative - Responsible Officer	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
MUH Anthony Yi Tong	ADW527	Licensed Representative - Responsible Officer	9
PARK Dong Khan	AXR400	Licensed Representative - Responsible Officer	1
QIAN Mingwei	ANZ962	Licensed Representative - Responsible Officer	1, 2
SHEN Jun	AYP961	Licensed Representative - Responsible Officer	4, 9
SHIAU Hui-wen	ABL709	Licensed Representative - Responsible Officer	9
SHOU Qi	AYP102	Licensed Representative - Responsible Officer	9
SKERMAN Timothy James	AYH873	Licensed Representative - Responsible Officer	9
STEEL Edward Thomas	AUJ159	Licensed Representative - Responsible Officer	1, 4
TOOHEY David Patrick	AYI400	Licensed Representative - Responsible Officer	4, 9
TSE Soo Lai	AIC206	Licensed Representative - Responsible Officer	4
TSOI Kwok Keung	AAD694	Licensed Representative - Responsible Officer	1, 4, 9
WILSON Richard Charles	AYM446	Licensed Representative - Responsible Officer	1
WU Tao Thomas	AUA520	Licensed Representative - Responsible Officer	1, 4, 9
YANG Jae Hoon	AMF599	Licensed Representative - Responsible Officer	6
YIP Ka Man Carmen	ALZ802	Licensed Representative - Responsible Officer	1, 4
YU XiaoLing	ANP305	Licensed Representative - Responsible Officer	4
ZUO Yu	AYG013	Licensed Representative - Responsible Officer	1, 2
BAKER Andrew Jonathan	AYX117	Licensed Representative (Provisional Licence)	1, 7
BALASUBRAMANIAN Nivedita	AYT034	Licensed Representative (Provisional Licence)	1, 6
BALZAC Dmitry Alex	APY462	Licensed Representative (Provisional Licence)	9
BAO Rongfu	AYT567	Licensed Representative (Provisional Licence)	4
BAO Weiwei	AYS974	Licensed Representative (Provisional Licence)	1, 6
BATEMAN Joshua Dunham	AYS697	Licensed Representative (Provisional Licence)	1, 4, 9
BRAESCH Lucas Mathis Felix	AYU385	Licensed Representative (Provisional Licence)	1, 2
BROOMHEAD Robert Peter	AYT249	Licensed Representative (Provisional Licence)	9
CAO Jingmu	AYW195	Licensed Representative (Provisional Licence)	1, 4
CATENACCI Lucas Martin	AYS714	Licensed Representative (Provisional Licence)	9
CAVROIS Stephane Gerard Jacques	AYU536	Licensed Representative (Provisional Licence)	6
CHAMICH Youssef	AYV280	Licensed Representative (Provisional Licence)	1, 2, 7
CHAN Chee Kong	AJA113	Licensed Representative (Provisional Licence)	9
CHAN Edmund Wing Hong	AEX593	Licensed Representative (Provisional Licence)	1, 2
CHAN Sheung Tak, Ricky	AWG842	Licensed Representative (Provisional Licence)	6, 9
CHAN Wing Hong	AYT031	Licensed Representative (Provisional Licence)	1, 6
CHANG James Shi-shen	AYW137	Licensed Representative (Provisional Licence)	1, 9
CHEN Bo	AYU384	Licensed Representative (Provisional Licence)	4, 6
CHEN Si	AYW108	Licensed Representative (Provisional Licence)	1
CHEN Suqiao	AYW754	Licensed Representative (Provisional Licence)	4, 9
CHEN Xiaoqiu	AYW247	Licensed Representative (Provisional Licence)	9
CHENG Chi Chung	AMH705	Licensed Representative (Provisional Licence)	1
CHENG Chung Fan	AYW110	Licensed Representative (Provisional Licence)	1
CHENG Greenie	AYT956	Licensed Representative (Provisional Licence)	1
CHENG Yu Lung	AYU283	Licensed Representative (Provisional Licence)	1
CHEUNG Tiffany Debra	AYU272	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Tomo-hito	AUS139	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Yi Wah, Eva	AXD842	Licensed Representative (Provisional Licence)	1, 9
CHEUNG Yiu Kei Philip	AFB068	Licensed Representative (Provisional Licence)	1, 2, 4
CHIA Kenneth Yun	AYW752	Licensed Representative (Provisional Licence)	2
CHIA Pock Yuen, Eddie	AYT667	Licensed Representative (Provisional Licence)	1
CHO Jihyong	AUD274	Licensed Representative (Provisional Licence)	1
CHOI Hon Keung	AYT639	Licensed Representative (Provisional Licence)	1
CHONG Siu Wing Christopher	AYU408	Licensed Representative (Provisional Licence)	1, 6
CHOU Lei	ARI194	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHUNG Eva Ting Yan	AVH199	Licensed Representative (Provisional Licence)	1, 4
CHUNG Fong Wah, Flora	AYW747	Licensed Representative (Provisional Licence)	1
CHUNG Sai Ho	AYW728	Licensed Representative (Provisional Licence)	1, 4
CHUNG Tak Chiu	AYT725	Licensed Representative (Provisional Licence)	1, 2
CHUNG Wing Ying Wylson	AYS704	Licensed Representative (Provisional Licence)	1
CLAYTON Christian William	AYW751	Licensed Representative (Provisional Licence)	1, 6
COTTERELL David John	AYW074	Licensed Representative (Provisional Licence)	4
CRANE Justin Alan	AGA304	Licensed Representative (Provisional Licence)	1, 4, 6
CUBBON Nicholas William	AYT705	Licensed Representative (Provisional Licence)	1, 2
CUENCA Diego Kalaw	AYT933	Licensed Representative (Provisional Licence)	4, 9
CUI Fanye	AYU191	Licensed Representative (Provisional Licence)	1
CUKIERMAN David Raphael	AJE476	Licensed Representative (Provisional Licence)	1, 2, 4
CURTIS Harry Croyle	AYW063	Licensed Representative (Provisional Licence)	4
DICKIE Arthur Samuel Galt	AYV993	Licensed Representative (Provisional Licence)	9
DONG Lok	AYV698	Licensed Representative (Provisional Licence)	1
DONORA David Michael	AYW722	Licensed Representative (Provisional Licence)	1
DOWNARD Andrew	AYW668	Licensed Representative (Provisional Licence)	1, 2
DU Ying	AYT348	Licensed Representative (Provisional Licence)	2
EARLY Paul David	AYW039	Licensed Representative (Provisional Licence)	1, 6
FAMILIARAN Paul Henry Cuenca	AYW783	Licensed Representative (Provisional Licence)	3
FAN Xiaochen	AYW037	Licensed Representative (Provisional Licence)	1, 6
FOK Mei Kwan Anna	AYT875	Licensed Representative (Provisional Licence)	9
FROMMER Maya Elizabeth	AYS877	Licensed Representative (Provisional Licence)	1, 2
FUNG Hau Yin	AYT739	Licensed Representative (Provisional Licence)	1, 2
GADBOIS IV Richard Arthur	AYV764	Licensed Representative (Provisional Licence)	1, 2
GANESH Ramani	AYU300	Licensed Representative (Provisional Licence)	1, 2
GERVAT Anthony Jean Lou Gaetan Joseph	AYT932	Licensed Representative (Provisional Licence)	1, 2
GOH Pek Lim Edward	AYU049	Licensed Representative (Provisional Licence)	1
GUO Qiang	AYW017	Licensed Representative (Provisional Licence)	1, 4, 6
HA Loong Loong	AYW122	Licensed Representative (Provisional Licence)	1
Hari Vishnu	AYS451	Licensed Representative (Provisional Licence)	1, 2
HAYASHI Yasuko	AXU937	Licensed Representative (Provisional Licence)	1, 7
HENDERSON Alexander Bernard	AYS958	Licensed Representative (Provisional Licence)	3
HO Hon Wah	AYN213	Licensed Representative (Provisional Licence)	4, 9
HO Ka Ho Jacknis	AYV778	Licensed Representative (Provisional Licence)	1
HO Ka Yi	AYW773	Licensed Representative (Provisional Licence)	1
HO Tzu-Chuan	AOB928	Licensed Representative (Provisional Licence)	1
HUANG Gaohui	AYS705	Licensed Representative (Provisional Licence)	4, 9
HUANG Jiawen	AYW787	Licensed Representative (Provisional Licence)	4, 9
HUI Tsz Lap	AYS786	Licensed Representative (Provisional Licence)	1, 6
HUNTER Irina	AYU513	Licensed Representative (Provisional Licence)	4, 9
ISSELE Quentin Kevin Guillaume	AQC669	Licensed Representative (Provisional Licence)	1, 2
JIANG Yuncheng	AYV445	Licensed Representative (Provisional Licence)	2
JIN Jialiang	AYU051	Licensed Representative (Provisional Licence)	2
JIN Meng	AYT896	Licensed Representative (Provisional Licence)	9
KAN Chi Kin	AYX364	Licensed Representative (Provisional Licence)	1, 4
KIM Jooyoung	AYU182	Licensed Representative (Provisional Licence)	1, 6
KOBAYASHI Chisa	AYU383	Licensed Representative (Provisional Licence)	4
KONG Kit Mui	AYW788	Licensed Representative (Provisional Licence)	1
KONG Yuk Chuen	AYW579	Licensed Representative (Provisional Licence)	6
KUMBLE Samir Prakash	AYT257	Licensed Representative (Provisional Licence)	1, 2
KWAN Ka Ho	AYW782	Licensed Representative (Provisional Licence)	3

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
KWON Ji Eun	AYR570	Licensed Representative (Provisional Licence)	1, 4
KWONG Tze Kit	AYV480	Licensed Representative (Provisional Licence)	1, 2
KWONG Wing Man	AFC448	Licensed Representative (Provisional Licence)	1, 4
LAI Rongye	AYR571	Licensed Representative (Provisional Licence)	1, 2, 7
LAI Suet Ying, Shirley	AYW094	Licensed Representative (Provisional Licence)	4, 9
LAI Yiu Cheung	AYU265	Licensed Representative (Provisional Licence)	1
LAI Yiu Man	AYV420	Licensed Representative (Provisional Licence)	1, 2
LAI Yuen Yung, Elita	AYW932	Licensed Representative (Provisional Licence)	1, 6
LAM Hoi Lung	AYW781	Licensed Representative (Provisional Licence)	3
LAMI MONTAPERTO Guido	AQX346	Licensed Representative (Provisional Licence)	1, 4
LAU Bik Yun, Vanessa	AYW242	Licensed Representative (Provisional Licence)	1, 4
LAU Dixon Chi Kong	AYV454	Licensed Representative (Provisional Licence)	4
LAU Wing Ho Vincent	AYT953	Licensed Representative (Provisional Licence)	1, 2
LAW Giggs	AGA256	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LAW Sheung Wun, Timothy	AYW772	Licensed Representative (Provisional Licence)	1
LAW Tsz Lun	AYV314	Licensed Representative (Provisional Licence)	1
LEE Hee Won	AYV602	Licensed Representative (Provisional Licence)	1, 2
LEE Hiu Yee, Elsie	AOY968	Licensed Representative (Provisional Licence)	1
LEE Injae	AYV422	Licensed Representative (Provisional Licence)	4
LEE Ka Yin	AAW530	Licensed Representative (Provisional Licence)	1
LEE Kam Wing, Bruno	ABM471	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Kenneth Sea Leong	AYS141	Licensed Representative (Provisional Licence)	9
LEE Liang-Teh	AEQ246	Licensed Representative (Provisional Licence)	1, 9
LEUNG Chi Chung	AJE370	Licensed Representative (Provisional Licence)	1, 3, 4
LEUNG Kin Yau, Simon	AYT028	Licensed Representative (Provisional Licence)	1, 6
LEUNG King Sing, Kingsly	AYW433	Licensed Representative (Provisional Licence)	1
LEUNG Kwan Chai	AYW933	Licensed Representative (Provisional Licence)	1, 2, 4
LEUNG Pui Fun	ANW501	Licensed Representative (Provisional Licence)	1, 4
LEUNG Shek Ho	AYW104	Licensed Representative (Provisional Licence)	1
LEUNG Wing Cheung, William	ALI608	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Wing Chi	AYW564	Licensed Representative (Provisional Licence)	1, 2
LI Chun Yu, Philip	AYW230	Licensed Representative (Provisional Licence)	1, 6
LI Hang	AYV511	Licensed Representative (Provisional Licence)	4
LI Kwai Ming, Eddie	AYV378	Licensed Representative (Provisional Licence)	4
LIAO Xinyu	AYT594	Licensed Representative (Provisional Licence)	4
LIM Eng Seng	ANW831	Licensed Representative (Provisional Licence)	1, 6
LIM Fung Teck Ivan	AYW366	Licensed Representative (Provisional Licence)	1, 6
LIU Kwan Kiu	AYX302	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Mengzhang	AYT167	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Zhen Rong, Daryl	AUV429	Licensed Representative (Provisional Licence)	4, 9
LOO Chi Shing Andrew	AUD368	Licensed Representative (Provisional Licence)	1
LU Yuxiang	AYU409	Licensed Representative (Provisional Licence)	9
LYNCH Michael Joseph	AYW249	Licensed Representative (Provisional Licence)	1
MA Siu Yi	APR876	Licensed Representative (Provisional Licence)	1
MAK Ho Yan Jonathan	AYU358	Licensed Representative (Provisional Licence)	1, 4
MANSFIELD David Thomas	ALT907	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MEI Wenjing	AYU557	Licensed Representative (Provisional Licence)	1, 4
MEMON Faisal Muhammad Husain	AYU391	Licensed Representative (Provisional Licence)	4
MOHI-UDDIN Syed Mansoor	AYU637	Licensed Representative (Provisional Licence)	4
NARASAKI Shuya	AXL833	Licensed Representative (Provisional Licence)	1, 2
NELIGAN David Alexander	AYU289	Licensed Representative (Provisional Licence)	1, 7
NG Ka Kit	AYT029	Licensed Representative (Provisional Licence)	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Kin Kwan	AYT810	Licensed Representative (Provisional Licence)	1, 6
NG Pak To, Patrick	AYV681	Licensed Representative (Provisional Licence)	6
NILES Jane Corinne Eleanor	AYT032	Licensed Representative (Provisional Licence)	1, 6
NISHIMURA Keitaro	AYT962	Licensed Representative (Provisional Licence)	1
NOONE Kevin Mccue	AYS710	Licensed Representative (Provisional Licence)	9
OH See Won	AYQ633	Licensed Representative (Provisional Licence)	10
OIWA Ken	AYW019	Licensed Representative (Provisional Licence)	4
O'NEILL Jason Christopher	AYS707	Licensed Representative (Provisional Licence)	9
PAN Danmeng	AYS401	Licensed Representative (Provisional Licence)	1, 6
PANG Man Cheong	AYS458	Licensed Representative (Provisional Licence)	1, 6
PEEL Edward James Willoughby	AYS709	Licensed Representative (Provisional Licence)	1, 4
PHANG Sui Yang	AYT871	Licensed Representative (Provisional Licence)	1, 2, 4
PO Wing Suen, Susana	AYV504	Licensed Representative (Provisional Licence)	1, 4, 9
PODDAR Nikhil	AYT911	Licensed Representative (Provisional Licence)	4
PUSTOC'H Francois-Xavier Nicholas Simon	AXA077	Licensed Representative (Provisional Licence)	1, 2
QIN Qian	ANR972	Licensed Representative (Provisional Licence)	1
RADCLYFFE David Stuart	AYW083	Licensed Representative (Provisional Licence)	4
RENNIE Andrew Kenneth	AYR079	Licensed Representative (Provisional Licence)	1, 2, 4
RUAN Weishi	AYT644	Licensed Representative (Provisional Licence)	4
SCHUMACHER Michael Paul	AYT310	Licensed Representative (Provisional Licence)	4
SHAH Chirag Chandrakant	AYT913	Licensed Representative (Provisional Licence)	4
SHAM Cheuk Wai, Roah	AYW786	Licensed Representative (Provisional Licence)	1
SHAO Cheng Ping, Derek	AYV319	Licensed Representative (Provisional Licence)	1, 4, 9
SHIH Ichi	AYT968	Licensed Representative (Provisional Licence)	1, 4, 6
SHIN Joonsoo	AYV380	Licensed Representative (Provisional Licence)	1, 4
SHONHARD Neil Clive	AYW713	Licensed Representative (Provisional Licence)	1, 2
SIMONS Benjamin	AYT718	Licensed Representative (Provisional Licence)	1, 2
SINGH Arjun	AYU160	Licensed Representative (Provisional Licence)	1, 2
SIT Man Kin	AYS829	Licensed Representative (Provisional Licence)	1, 4
SIU Chin Wei	AYT645	Licensed Representative (Provisional Licence)	1
SONG Hanqian	ATO433	Licensed Representative (Provisional Licence)	1
SONG Kyung A	AYS026	Licensed Representative (Provisional Licence)	4
SONG Qichao	AYW130	Licensed Representative (Provisional Licence)	9
STEVENS Morgan David	AYS533	Licensed Representative (Provisional Licence)	1
SUN Xiaolu	AYT327	Licensed Representative (Provisional Licence)	1
TA Lina	AYU263	Licensed Representative (Provisional Licence)	1, 2
TAI Ket Phin	AYW779	Licensed Representative (Provisional Licence)	3
TAM Him Shun Himson	AYV909	Licensed Representative (Provisional Licence)	1
TAN Donghan	AYW334	Licensed Representative (Provisional Licence)	4
TAN Guanhua	AYS391	Licensed Representative (Provisional Licence)	1, 2
TAN Hee Yong, Jeremy	AYT765	Licensed Representative (Provisional Licence)	4
TANG Man Ki, Ricky	AYV460	Licensed Representative (Provisional Licence)	1
TANG Shengbo	AYT154	Licensed Representative (Provisional Licence)	4
TANG Wing Sze, Wincy	ANM716	Licensed Representative (Provisional Licence)	1
TANG Yuk Sang	ASO687	Licensed Representative (Provisional Licence)	1, 2
TCHAKAROV Ivan Pentchev	AYW785	Licensed Representative (Provisional Licence)	4
TO Po Yin	AYV450	Licensed Representative (Provisional Licence)	1
TSANG Chun Hei	AYV630	Licensed Representative (Provisional Licence)	1, 2, 3
TSUI Wai Chi	ASF937	Licensed Representative (Provisional Licence)	1
TSUSAKA Tetsuro	AYS393	Licensed Representative (Provisional Licence)	1
TUNG Man Juon	AYW276	Licensed Representative (Provisional Licence)	4, 9
URATANI Satoru	AYS706	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Jun	AYT580	Licensed Representative (Provisional Licence)	4
WANG Kainan	AYU652	Licensed Representative (Provisional Licence)	1
WANG Lingyi	AYV357	Licensed Representative (Provisional Licence)	4
WANG Wenwen	AYM691	Licensed Representative (Provisional Licence)	1, 2
WANG Xin	AYW561	Licensed Representative (Provisional Licence)	4
WASHIYAMA Toshihiro	AYT684	Licensed Representative (Provisional Licence)	1, 6
WATANABE Mariko	AOY781	Licensed Representative (Provisional Licence)	4
WEDUM Scott Donald	AYS712	Licensed Representative (Provisional Licence)	9
WENG Xiyun	AYS791	Licensed Representative (Provisional Licence)	4, 9
WHIPKEY Sean Patrick	AYV913	Licensed Representative (Provisional Licence)	1, 2
WONG Lam Wai	AYV440	Licensed Representative (Provisional Licence)	1
WONG Lei Ho	AYS703	Licensed Representative (Provisional Licence)	1
WONG Ming Tak	AYW724	Licensed Representative (Provisional Licence)	4
WONG Wai Hong	ANG976	Licensed Representative (Provisional Licence)	1, 4
WU Chaai Chaai	AYU588	Licensed Representative (Provisional Licence)	1, 6
WU Hon Cheung	AYV619	Licensed Representative (Provisional Licence)	1
WU Lingkun	AYU275	Licensed Representative (Provisional Licence)	6
WU Tsz Yin	AYS530	Licensed Representative (Provisional Licence)	1
XIAO Yinan	AYS881	Licensed Representative (Provisional Licence)	1, 2
XU Feifei	AYU593	Licensed Representative (Provisional Licence)	6
XU Xulin	AYW563	Licensed Representative (Provisional Licence)	4
XUE Qiang	AYV623	Licensed Representative (Provisional Licence)	1
YAO Diliu	AYW761	Licensed Representative (Provisional Licence)	1, 4
YAU Siu Fung	AYR255	Licensed Representative (Provisional Licence)	1, 4
YEUNG Chi Kit	AYV421	Licensed Representative (Provisional Licence)	1
YEUNG Wai Kin	AMF681	Licensed Representative (Provisional Licence)	2
YIP Ka Hay	ALG208	Licensed Representative (Provisional Licence)	9
YOUNG Hoi Wai, Edwin	AYT025	Licensed Representative (Provisional Licence)	1, 4
YU Tong Kan, Peter	AHT711	Licensed Representative (Provisional Licence)	1, 4, 9
YU Yawen	AYV479	Licensed Representative (Provisional Licence)	1, 2, 4
YU Yuk Bing, Sharon	AAF587	Licensed Representative (Provisional Licence)	1, 2, 3
YUEN Chester, Wai Hei	AYW548	Licensed Representative (Provisional Licence)	1, 2
YUN Wai Keung	APB622	Licensed Representative (Provisional Licence)	1, 6
ZHANG Fan	AYV670	Licensed Representative (Provisional Licence)	4
ZHANG Liang	AYT792	Licensed Representative (Provisional Licence)	1, 9
ZHANG Xingyun	AYT719	Licensed Representative (Provisional Licence)	1, 4
ZHAO Qing	AYV301	Licensed Representative (Provisional Licence)	1, 4
ZHAO Xianghuai	AYT308	Licensed Representative (Provisional Licence)	4
ZHAO Zhuang	AYU644	Licensed Representative (Provisional Licence)	6
ZHONG Mingyuan	AYW764	Licensed Representative (Provisional Licence)	4, 9
ZHONG Xiaofeng	AYV443	Licensed Representative (Provisional Licence)	1, 4, 9
ZONG Xing	AYU389	Licensed Representative (Provisional Licence)	1, 4
ZU Guopeng	AYV659	Licensed Representative (Provisional Licence)	4
ASHER Purav Anil	AXZ998	Licensed Representative (Temporary Licence)	1, 2
BAYVEL Kirk Forrest	ATH621	Licensed Representative (Temporary Licence)	1, 2
BECK John William	AUM482	Licensed Representative (Temporary Licence)	1
CATHELIN Sebastien Philippe Roger	AYS876	Licensed Representative (Temporary Licence)	1, 2
CHEN Yen-hsia	AYU284	Licensed Representative (Temporary Licence)	1
CHEN Yiping	AYT134	Licensed Representative (Temporary Licence)	4
CISZEWSKI Thomas James	AYU143	Licensed Representative (Temporary Licence)	1, 2
FRANCIS Kayoko	AON817	Licensed Representative (Temporary Licence)	1
LAVI Avraham	AQC511	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012			
CE Name	CE No.	Licence Type	Types of Regulated Activity
MARESCHAL DE CHARENTENAY Ghislain, Baptiste, Marie	AXW260	Licensed Representative (Temporary Licence)	4
MASUNO Daisaku	APD236	Licensed Representative (Temporary Licence)	4
MISH Matthew Joseph	AYT895	Licensed Representative (Temporary Licence)	4
NG Ngee Cheng	ALT810	Licensed Representative (Temporary Licence)	4
PALMER William Arnold	AYT894	Licensed Representative (Temporary Licence)	1
SAITO Katsushi	ATW220	Licensed Representative (Temporary Licence)	4
SHAO Jiamin	AVD115	Licensed Representative (Temporary Licence)	4
SHARMA Nitish	AYT905	Licensed Representative (Temporary Licence)	1, 4
SULSKI John Leonard	AYW196	Licensed Representative (Temporary Licence)	1, 4
TEY Boon How	AYI639	Licensed Representative (Temporary Licence)	1
WRIGHT Peter John	ATI423	Licensed Representative (Temporary Licence)	1
Bank Morgan Stanley AG	AJE129	Registered Institution	1, 2, 9
Natixis	AGJ912	Registered Institution	1, 2, 4, 5