

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	License Type	Types of Regulated Activity
Arian Securities Hong Kong Limited	ATW212	Licensed Corporation	1, 2
CMB International Asset Management Limited	AVA101	Licensed Corporation	4, 9
China Earthcare Capital Limited	AUE103	Licensed Corporation	1, 4
Crown One Asset Management Company Limited	AUP420	Licensed Corporation	4, 9
Davidson Kempner Asia Limited	ARQ101	Licensed Corporation	9
Essence International Securities (Hong Kong) Limited	AUW685	Licensed Corporation	1, 4
First China Asset Management Limited	AUB806	Licensed Corporation	9
First Shanghai Asset Management Limited	AHA851	Licensed Corporation	4, 9
Marigold International Securities Limited	AUQ298	Licensed Corporation	1, 2
Nine Masts Capital Limited	AUV486	Licensed Corporation	9
RC Futures Advisory Limited	AUV525	Licensed Corporation	5
RP Capital Limited	AUS318	Licensed Corporation	4, 9
Synergy Fund Management Group Limited	AUZ600	Licensed Corporation	4, 9
ADAMS JR Bradford Simonds	AVB984	Licensed Representative	1
AGARWAL Harsh Lakshman	ANL070	Licensed Representative	1
ANTICO Jason Mark	AUW704	Licensed Representative	1
ARCULLI Bonnie	AVA760	Licensed Representative	1, 4, 6
AU Kai Tat	AVB807	Licensed Representative	1, 2
AU-YEUNG Siu Yin	AVC068	Licensed Representative	1, 2
AU-YEUNG Tin Lung, Tino	AVB806	Licensed Representative	1, 2
BAEUERLE Dagmar	AVC059	Licensed Representative	1
BAIRSTOW Hayden Peter	AUZ522	Licensed Representative	4
BAJAJ Kunal Rajan	AUW986	Licensed Representative	4
BALASUBRAMANIAN Bharathan Kanthimathinathan	AUW418	Licensed Representative	1, 2
BANGA Angad	AVD356	Licensed Representative	1, 4
BARBA Romain, Pierre, Dragoslav	AVC249	Licensed Representative	1
BHARGAVA Nalin	AVA353	Licensed Representative	1
BIAN Yuan	AVE035	Licensed Representative	4
BOUALAM Meddy, Stephane	AVC119	Licensed Representative	1
BRODHEAD III Charles Dingman	AUW882	Licensed Representative	1, 2, 7
BROWNE Daniel Joseph	AVC916	Licensed Representative	1
BUCHER Michael Bernhard	AVB883	Licensed Representative	1
CAI Rena	AUZ068	Licensed Representative	9
CAI Yingwei	AUU962	Licensed Representative	1, 4, 6
CENDRON Ken Damien	AVC112	Licensed Representative	1, 2
CHAN Cheuk Kwan	AVA668	Licensed Representative	1
CHAN Chi Hang	AVA036	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	License Type	Types of Regulated Activity
CHAN Christian James	ARQ002	Licensed Representative	1
CHAN Chun Kit	AVC347	Licensed Representative	1, 3
CHAN Chun Wah	AVA037	Licensed Representative	1
CHAN Chung Sze	AOU894	Licensed Representative	1, 4
CHAN Godwin Kwok Wai	AJO244	Licensed Representative	1, 6
CHAN Ho Ming	ACL529	Licensed Representative	1, 2
CHAN Hoi Kin, Derek	AUZ456	Licensed Representative	1
CHAN Hon Choi	AUZ738	Licensed Representative	1
CHAN Kar Yee	AUZ470	Licensed Representative	1, 2
CHAN Kit	AVA363	Licensed Representative	1
CHAN Li Ying	AUD889	Licensed Representative	1, 4
CHAN Ling Yu	AUZ371	Licensed Representative	1, 2
CHAN Man Fai	AVC346	Licensed Representative	1
CHAN Pak Long	ARA345	Licensed Representative	1, 2
CHAN Shun Hou, Fiona	ATS113	Licensed Representative	1
CHAN Siu Ling, Ascar	AVC082	Licensed Representative	1
CHAN Tak Hong	AUZ339	Licensed Representative	1, 2
CHAN Tat Kei	AUZ927	Licensed Representative	1, 2, 4, 9
CHANG Hoi Yiu	AUN662	Licensed Representative	1
CHANG Yi Chiun	AUZ931	Licensed Representative	4
CHAPMAN Robert John	AVB805	Licensed Representative	1, 9
CHAU Chiu Tat	AVA661	Licensed Representative	1
CHAU Lap Shun	AUY922	Licensed Representative	1
CHAU Tsz Chung	AVC351	Licensed Representative	1, 2
CHEN Hao	AVC338	Licensed Representative	4, 9
CHEN Roger Guancheng	AVA035	Licensed Representative	1
CHEN Shin-chi	AVA040	Licensed Representative	9
CHEN Tsung-chien	AUY923	Licensed Representative	1
CHEN Xiao	AVA602	Licensed Representative	1, 4, 6
CHEN Xiaoyu	AUX564	Licensed Representative	1, 2, 7
CHEN Yin Yuan	ATS059	Licensed Representative	1, 2, 4
CHENG Chi Kin	AVA405	Licensed Representative	6
CHENG Fangyi	AVD368	Licensed Representative	1, 4, 9
CHENG Koon Yung Clifford	AVC086	Licensed Representative	1, 4, 6
CHENG Lok Hang	AUZ464	Licensed Representative	1, 9
CHENG Michael Godwin	AUX377	Licensed Representative	1, 2
CHENG Pui Lam	AVC990	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Yat Kiu	AFP519	Licensed Representative	1
CHENG Ying	AVA753	Licensed Representative	1, 6
CHEUNG Chin Wai, Kevin	AUZ298	Licensed Representative	4
CHEUNG Fai	AVB809	Licensed Representative	1, 2
CHEUNG Kam Fai, Alan	ANR569	Licensed Representative	1, 2
CHEUNG Kenneth Kai-hoi	AVA660	Licensed Representative	1
CHEUNG Man Yee	AUZ865	Licensed Representative	1
CHEUNG Ming Yeung	AVC366	Licensed Representative	1
CHEUNG On Kit, Andrew	AUZ918	Licensed Representative	1, 4, 6
CHEUNG Wing Chow	AUW530	Licensed Representative	1, 2
CHEUNG Wo Fai	AUX566	Licensed Representative	1
CHEUNG Yan Fai, Paddy	AUW251	Licensed Representative	1
CHIABOTTI Ariste Samuel	ALW844	Licensed Representative	1, 4, 9
CHO Hiu Yeung	AUX366	Licensed Representative	1
CHOI Tung Yin, Patrick	AVA754	Licensed Representative	6
CHOI Yung Yung, Joyce	AUW531	Licensed Representative	1, 2
CHONG Jack Chung-Taek	AUZ307	Licensed Representative	1
CHONG Moon Biu, William	AGA249	Licensed Representative	1, 2
CHOW Ka Yiu, Martin	AUX375	Licensed Representative	1, 2
CHOW Sau Shing	AVE768	Licensed Representative	4
CHOW Sze Kai	AUX381	Licensed Representative	1
CHU Chun Leung	AVC090	Licensed Representative	1
CHU Hoi Yee	AUW786	Licensed Representative	1, 6
CHU Kar Son	AUZ444	Licensed Representative	1
CHU Long Wai	AUW253	Licensed Representative	1, 2
CHU Pui Yi	APF686	Licensed Representative	1
CHU TSE Alina Sui Han	ARI952	Licensed Representative	4, 9
CHUANG Hao-Yuan	AVA663	Licensed Representative	1, 4, 6
CHUN Jung-Won	AUZ199	Licensed Representative	1
CHUNG Heung Ling	AUZ986	Licensed Representative	1, 4
CHUNG Howard Hau	AUZ502	Licensed Representative	1, 4, 6
CHUNG Kwai Kuen	AFE413	Licensed Representative	1, 2, 4, 5
CHUNG Tat Yin	AUT135	Licensed Representative	4, 6, 9
CLAPPIER John Frederick	AGL393	Licensed Representative	1, 2
CLARKE James Hamilton	ACF149	Licensed Representative	4
CLARKSON Brian Patrick	AUZ084	Licensed Representative	1, 2, 7
COATES David Lee	ARJ844	Licensed Representative	1, 2, 7

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	License Type	Types of Regulated Activity
CRUZ Maria Concepcion Paraiso	AVB846	Licensed Representative	1, 4
CSELLAK Linda Marie	ADG936	Licensed Representative	4, 5, 9
CUNNINGHAM Graeme Alexander	AUZ428	Licensed Representative	4
Cheung Kam Shan	APE897	Licensed Representative	1
DAVIES Anthony Miles	AAV788	Licensed Representative	1, 2
DE MAREZ OYENS Pieter David	AJM824	Licensed Representative	4, 9
DING Yuqian	AVD302	Licensed Representative	4
DIVECHA Sachin Gangaram	ARB849	Licensed Representative	9
DOUGLAS Andrew Francis Ramsay	AVC105	Licensed Representative	1, 4
DUAN Hongyu	AEW345	Licensed Representative	1, 4, 9
DUNNE Terry K	AUW515	Licensed Representative	4
EINHOLTZ Thibault, Charles, Christian	AVC095	Licensed Representative	2
ENGEL James Andrew	AUZ510	Licensed Representative	4
FLORES Nicolas	AUZ455	Licensed Representative	1, 2
FONG Chin Yi, Tansy	AUZ346	Licensed Representative	1, 2
FRANCOIS Antoine, Albert, Gunefort, Marie, Joseph	AUD883	Licensed Representative	1, 2, 7
FRIGARD Bertrand Elie Francois	ALZ603	Licensed Representative	1, 4
FU Qianqian	AOS569	Licensed Representative	1, 6
FUNG Hao Chuen, David	AEE776	Licensed Representative	1, 2
FUNG Hoi Tung	AVA500	Licensed Representative	1, 2
FUNG Ka Fai, Karfield	AEV682	Licensed Representative	1
FUNG Pak Shing	AEL558	Licensed Representative	1
FUNG Shiu Lun, Simon	AVB880	Licensed Representative	1, 2
FUNG Shu Fai	AUZ059	Licensed Representative	1, 2
GAO Guiwei	AVA043	Licensed Representative	1, 4, 6
GARG Deepak	AVD119	Licensed Representative	1, 2, 4, 5
GARIVIER Florian Pierre	AVA558	Licensed Representative	1
GENG Cong	AUW030	Licensed Representative	1, 6
GILMOUR Digby Neil	AUZ660	Licensed Representative	4
GRAHAM James Stephen	AJD815	Licensed Representative	4, 9
GRAVES Paul William	AVA269	Licensed Representative	1, 6
GROSS Steven Edward	AVA755	Licensed Representative	1
GU Qiaoyi	AUZ363	Licensed Representative	4
GUO Feng	AVD005	Licensed Representative	4
GUO Qun	AUK450	Licensed Representative	1
HADDAR Leila	AVA401	Licensed Representative	1
HAN Min	AUW029	Licensed Representative	1, 2, 7

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CE Name	CE No.	License Type	Types of Regulated Activity
HAN Ta-kong	APS981	Licensed Representative	1, 2, 4
HAYAKAWA Hitoshi	AUW514	Licensed Representative	4
HE Jia	ATO701	Licensed Representative	9
HEUNG Chun Kit	AUY929	Licensed Representative	1
HIRST David A	AUY976	Licensed Representative	1
HO Chi Hang, Jeffrey	AUZ020	Licensed Representative	1, 2
HO Ernest	AOM535	Licensed Representative	1, 4
HO Ka Yin, Karen	AMM129	Licensed Representative	1, 2
HO Lok Man, Tiffany	AUV994	Licensed Representative	1, 2
HO May-Kin	AVC917	Licensed Representative	1, 6
HO Wai Hong	AVC922	Licensed Representative	1, 2
HO Yee Lin, Elaine	AQE980	Licensed Representative	1, 2, 4
HO Yin Lam	AUZ366	Licensed Representative	9
HOU Jing	AUW633	Licensed Representative	1, 4
HSIUNG Diane	AED403	Licensed Representative	9
HSU Sheng-hsiu	AUZ080	Licensed Representative	1
HSU Terry	ARO921	Licensed Representative	4
HU Congli	AVA047	Licensed Representative	4
HU Jialin	ARW093	Licensed Representative	9
HU Jiasheng	ATH315	Licensed Representative	1
HU Yong	AUZ497	Licensed Representative	1
HUANG Chien-Jui	AVA600	Licensed Representative	1
HUANG Haijun	AUX601	Licensed Representative	4, 9
HUANG Ling Kit	AVA555	Licensed Representative	1
HUANG Shuyi	AUX078	Licensed Representative	2
HUANG Ying	AVC344	Licensed Representative	4
HUANG Yisha	AUY926	Licensed Representative	1
HUDSON Scott Lyndon	AUZ661	Licensed Representative	4
HUI Heng Henry	AVC340	Licensed Representative	1
HUI Kar Wai	AFH637	Licensed Representative	2
HUI Wun Gi	AUC010	Licensed Representative	1
HULAC Charles Ridgeway	AGK054	Licensed Representative	1, 6
IBARA Yoshinao	AUX140	Licensed Representative	1
IKEDA Hiromasa	AGO294	Licensed Representative	4, 9
IP Andrea Victoria	AUZ168	Licensed Representative	1, 2
IP Angel Kwok Yen	AOJ327	Licensed Representative	1, 6
IP Ching Man	AVB981	Licensed Representative	1, 4

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CE Name	CE No.	License Type	Types of Regulated Activity
IP Wing Kei	AUP520	Licensed Representative	1
IP Yeng Kwen	AAZ192	Licensed Representative	1, 2
ITAYA Masashi	AUW884	Licensed Representative	4
IU Wai Hing, Pius	AVC009	Licensed Representative	1
JAIN Amit	AUZ627	Licensed Representative	1
JENG Ming-tzung	AUS471	Licensed Representative	4
JHIN Jaclyn Hee Kyung	AEG186	Licensed Representative	1, 6
JIA Zhen	ATH335	Licensed Representative	1
JIM Sy Wah	AVA058	Licensed Representative	1
JIN Zhenlan	AUZ984	Licensed Representative	1, 2, 9
JUXON David James Stephen	AVA392	Licensed Representative	1, 2, 4
KAM Joel Wing	AVA613	Licensed Representative	4
KAMOI Satoshi	AVA226	Licensed Representative	1, 4, 6
KANG Byoung-Houn	AVD184	Licensed Representative	1, 4
KANNO Kunihiko	AUW980	Licensed Representative	4
KAO Chun-Bin	ANW394	Licensed Representative	1, 4
KE Lei	AUZ498	Licensed Representative	1, 4, 5, 9
KEE Chen Hou	AUW834	Licensed Representative	1, 2, 7
KENYON Isabelle Taylor	AUZ781	Licensed Representative	1, 6
KHAN Nasser Iqbal	AVA757	Licensed Representative	1
KHOR Pei Kee, Natalie	AVB986	Licensed Representative	1
KIM Jongmin	AUZ227	Licensed Representative	1
KNIGHTLEY Sofia Nala	AUX434	Licensed Representative	1, 2
KO Cheuk Yan	AVA622	Licensed Representative	1, 2
KOH Yen Hwa, Jasmine	AFW968	Licensed Representative	1
KOK Kin Lin	AFM771	Licensed Representative	1, 4
KOLAEV Arltan	AUY939	Licensed Representative	1, 2
KONDO Ken	AUY917	Licensed Representative	1, 2
KONG Kin Hang	AUZ976	Licensed Representative	1
KONG Lingjie	AVC984	Licensed Representative	4
KONG Xuan	AUX571	Licensed Representative	1, 6
KOU (HUANG) Rakuhei (Leping)	AUZ066	Licensed Representative	4
KRIEN Alexander David	AUZ544	Licensed Representative	4
KUNG Kit Yee	AUZ023	Licensed Representative	1
KWEK Lee Lian, Sabrina	ANZ526	Licensed Representative	1, 4
KWOK Wai Keung	AVA491	Licensed Representative	1
KWOK Wai Wa	AVC343	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWONG King Lok	ATM472	Licensed Representative	1
LAI Hon Yee, Eric	AMT854	Licensed Representative	1
LAI Jennifer	AVA623	Licensed Representative	1, 2
LAI Shuk Ting	AVD128	Licensed Representative	9
LAI Siu Hang	AVA140	Licensed Representative	1
LAIJAWALLA Varun	AUZ920	Licensed Representative	1, 4
LALA Manish	AUZ529	Licensed Representative	1, 2
LAM Cheuk Fung	AUZ013	Licensed Representative	1, 2, 3
LAM Ka Kang	ASO981	Licensed Representative	1, 2, 3
LAM Long Hin, Hiram	AUX369	Licensed Representative	1, 4, 6
LAM Lorraine Sien-yue	AOY223	Licensed Representative	1
LAM Ping Sum	AUZ549	Licensed Representative	1
LAM Yu Fong	AUZ548	Licensed Representative	1
LASKY Peter Andrew	ANT960	Licensed Representative	1
LAU Chi On	AUZ017	Licensed Representative	1
LAU Cho Ming, Lawrence	AKX744	Licensed Representative	1
LAU E Lei	AVC029	Licensed Representative	1, 2
LAU Hamilton Atsumi	AUR856	Licensed Representative	1, 6
LAU Kwai Shim	AUZ618	Licensed Representative	1, 4
LAU Kwun Him	AVC248	Licensed Representative	1
LAU Sau Fung	AVA603	Licensed Representative	4
LAU Tsz Pun, Ravan	AVA615	Licensed Representative	4
LAU Wai Tak	AVC247	Licensed Representative	1
LAW Ka Man	AUX496	Licensed Representative	1
LAW Kong Ying	AVA646	Licensed Representative	1, 2
LAW Lai Lee	AUX289	Licensed Representative	4, 9
LEE Andrew Shei Young	AVD111	Licensed Representative	4, 9
LEE Frank I-J	AJL237	Licensed Representative	1, 4
LEE Hun Bok	AUZ622	Licensed Representative	4, 9
LEE Joon Ho	AUZ071	Licensed Representative	4
LEE Joseph	AQS018	Licensed Representative	1, 6
LEE Ka Man	AVA612	Licensed Representative	4
LEE Kam Chuen	AVD258	Licensed Representative	9
LEE Kihyuck	AVA556	Licensed Representative	4
LEE Kin Pui Ada	AVB978	Licensed Representative	1
LEE Man Kui	AVC263	Licensed Representative	1, 2
LEE Ngai Yee	AUZ008	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	License Type	Types of Regulated Activity
LEE Pilsang	AUZ621	Licensed Representative	4, 9
LEE Po Yu	AVC919	Licensed Representative	1
LEE Siu Kei	AUZ365	Licensed Representative	4
LEE Sui Yi	AVC007	Licensed Representative	1, 2
LEITNER Evan George Walter	AVA403	Licensed Representative	4
LEUNG Chi Wai	AFC478	Licensed Representative	1
LEUNG Hiu Yan	AUY597	Licensed Representative	9
LEUNG Hok Sum	AVC996	Licensed Representative	1, 2, 4, 5, 9
LEUNG Issac Kam Lung	AUV993	Licensed Representative	1
LEUNG Kit Ming	AUX416	Licensed Representative	1, 6
LEUNG Kit Yin	AJB230	Licensed Representative	1, 4, 9
LEUNG Man Hay, Raymond	AVD535	Licensed Representative	1
LEUNG Man Hon, Ivan	ANG301	Licensed Representative	1, 2
LEUNG Ping Nam	AML607	Licensed Representative	1
LEUNG See Ho	ADG716	Licensed Representative	1, 2, 4
LEUNG Yee Kan	AVA624	Licensed Representative	1
LI Bei	AUX239	Licensed Representative	9
LI Chiu Yee	AJY016	Licensed Representative	1, 4, 6
LI Dan	AVA404	Licensed Representative	9
LI Hon Wai Anthony	AUV625	Licensed Representative	1, 4
LI James Ziming	AOI915	Licensed Representative	1, 4, 6
LI Jing	AUY856	Licensed Representative	1, 2
LI Jingyi	AUZ372	Licensed Representative	1, 4, 6
LI Kin Keung	AHA933	Licensed Representative	1, 2, 4
LI Kwong Chee, Eric	AUR981	Licensed Representative	1
LI Pingao	AVD136	Licensed Representative	9
LI Steven Allen M H	ABD065	Licensed Representative	4
LI Wai Sum	AVA618	Licensed Representative	1, 4
LI Wenjie	AUY931	Licensed Representative	1, 4
LI Xue	AVC681	Licensed Representative	1, 2
LI Yuhang	AVC985	Licensed Representative	1, 6
LI Zheng	APN589	Licensed Representative	1, 4, 6
LIANG Mei-Chen	AOU073	Licensed Representative	1
LIAROKAPIS Vassiliki	AUZ230	Licensed Representative	1, 2, 7
LIM Serene	AUW836	Licensed Representative	1, 4
LIM Wen-tzen	ALN484	Licensed Representative	4, 6, 9
LIN Chia-huei	ANQ172	Licensed Representative	1, 2, 4, 5

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Haishan	AUZ541	Licensed Representative	6
LIN Tiyuan	AUZ758	Licensed Representative	1
LIU Chien-Hung	AVC345	Licensed Representative	1
LIU Danqing	AUZ101	Licensed Representative	1, 4, 9
LIU Jia	AUZ157	Licensed Representative	1, 4
LIU Woei Shin	AQP988	Licensed Representative	9
LIU Yaping	AUX497	Licensed Representative	1, 4, 6
LLOYD Jonathan Ceri	ADG111	Licensed Representative	1
LO Bo Yee	AVA659	Licensed Representative	1
LO Chun Kit	AVC989	Licensed Representative	1
LO Philip Yee Chuen	AUY865	Licensed Representative	1, 2
LO Pui Man	AJD641	Licensed Representative	1
LO Wai Man, Betty	AVC010	Licensed Representative	1
LU Zhuo	AVC087	Licensed Representative	1, 4, 6
LUI Wai Man	AVC367	Licensed Representative	4
LUK Ho On	AFZ014	Licensed Representative	4
LUK Ka Lam	AVB830	Licensed Representative	1, 2
LUK Kar Man, Carmen	AVD308	Licensed Representative	1
LUO Qian	AVA418	Licensed Representative	4
MA Lingzhen	AVA361	Licensed Representative	1, 6
MAASRY Caesar Paul	AVC030	Licensed Representative	1, 4
MAEKAWA Hideyuki	AUW526	Licensed Representative	4
MAK Cheuk Hong	AUZ340	Licensed Representative	1, 2
MAK Hoi Ning	AVA406	Licensed Representative	1
MAK Ka Yan, Jennifer	AUW603	Licensed Representative	1, 2
MAK Wai Hang, William	AUZ473	Licensed Representative	1, 2
MALIK Jasween	AUW634	Licensed Representative	4
MANS William Gerard	AVD313	Licensed Representative	4
MAO Yifeng	AMT627	Licensed Representative	9
MARKOSOV Suren Gueorgui	AMI359	Licensed Representative	4
MEJIA Francisco	AVC915	Licensed Representative	1
MELLOR Eric Peter	AVA504	Licensed Representative	1, 4, 9
MILLER Scott William	AVC099	Licensed Representative	1, 6
MINACK Gerard John	AMN708	Licensed Representative	1
MINARIK David Matthew	AUW513	Licensed Representative	1
MING Si	AUZ746	Licensed Representative	1, 6
MITCHELL Lars Stefan	AVC008	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
MITCHELL Patrick Callahan	AVA493	Licensed Representative	1
MO Kit Yi	AUZ518	Licensed Representative	1
MOCHIZUKI Masahiro	AUW524	Licensed Representative	4
MOK Ming Wai Anita	AUZ626	Licensed Representative	2
MUI Pang Kin, Patrick	ARM775	Licensed Representative	1
MUKHOPADHYAY Niladri	AVA639	Licensed Representative	1
NEMA Naoshi	ANH179	Licensed Representative	1
NEMOTO Yoko	ARJ940	Licensed Representative	1, 2
NG Cheuk Lun, Alan	ATE682	Licensed Representative	1
NG Hing Lok	AUZ364	Licensed Representative	1, 4
NG Kai Sum Houston	AUZ932	Licensed Representative	1, 4, 6
NG Kar Ying	AVC986	Licensed Representative	1
NG Khoon Haur	AVD286	Licensed Representative	1, 6
NG Tat Fai	AVC353	Licensed Representative	1
NG Wai Yin, Ray	AVA352	Licensed Representative	1, 2
NG Wing Shan	AUZ074	Licensed Representative	1
NG Yat Wing, Athena	AVC918	Licensed Representative	1, 6
NG Yuk Fai	ARO690	Licensed Representative	1, 2
NGAI Hoi Shan	AVC111	Licensed Representative	4, 9
NGAI Sing Lung	AQY372	Licensed Representative	1, 2, 3
NIE Zhuli	AVD139	Licensed Representative	1, 4, 9
ODA Tomofumi	AVD303	Licensed Representative	9
OH Wookjin	AUZ619	Licensed Representative	4, 9
ONG Choo Lian, Stephanie	AUW604	Licensed Representative	1, 7
OOMMAN Amrita Elizabeth	AVA625	Licensed Representative	1, 2
OR Chi Kwan	AVA232	Licensed Representative	1
OR Hiu Zeon	AVD912	Licensed Representative	1
PARK Andrew Jai Young	AVA057	Licensed Representative	1, 6
PARK Linda Sharon	AUZ206	Licensed Representative	1
PASTOR Celine Marie Bernabe	AVC365	Licensed Representative	1, 6
POON Ka Yee, Joanna	AGL868	Licensed Representative	1, 4, 9
POON Kwun Yu	APR192	Licensed Representative	1
POON Wai Ling, Jessica	AVD054	Licensed Representative	1, 4
PSARIS John Nicholas	ANS150	Licensed Representative	1, 2
QIU Zhihao	AVB884	Licensed Representative	1
RAMANAYAKE Maadhavi Rathnamali	AVA344	Licensed Representative	1
RAMSAY James Thomas	AVD143	Licensed Representative	4

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CE Name	CE No.	License Type	Types of Regulated Activity
RAZAFIMANANTSOA Sao, Nathan, Marie, Honore	AVA400	Licensed Representative	1, 2, 7
RENOUX Olivier, Leonce, Felix	AUZ511	Licensed Representative	1
RODRIGUES Johann	AUZ964	Licensed Representative	1, 2
RUI Yuanqing	AVA397	Licensed Representative	1
SAGAR Akanksha	AUZ507	Licensed Representative	1, 4
SEKAR Ashok Narsimhan	AVA502	Licensed Representative	1, 2
SENGUPTA Santanu	AQO300	Licensed Representative	1, 4
SHEN Zhan	AUY916	Licensed Representative	4
SHERIDAN Richard Leo	AUE091	Licensed Representative	1
SHIGEZAKI Ayako	ASZ506	Licensed Representative	1
SHIN Dong Kee	AKJ967	Licensed Representative	1, 4
SHIN Young-suk	AUW244	Licensed Representative	1
SHUM Yuk Yee	AEJ175	Licensed Representative	1, 2
SIN Kwok Wing	AUE469	Licensed Representative	1
SINGLETON Paul Hugh	AUW528	Licensed Representative	1, 2, 7
SIU Chak Foon	AUW608	Licensed Representative	1, 2
SIU Kin Man	AEE259	Licensed Representative	1
SIU Ronald	ARP041	Licensed Representative	1, 6
SMILEY William Timothy John	AVA653	Licensed Representative	1
SO Ka Kee	AVB992	Licensed Representative	4
SO Kevin Kwok Ming	ABO019	Licensed Representative	1
SO Kwan Yee	AUZ985	Licensed Representative	1, 4
SO Wai Yan	AUX585	Licensed Representative	1
SOSA Edison Antonio	AUV509	Licensed Representative	1
SPARROW Richard Aelred	AFK790	Licensed Representative	1, 2, 7
STANFORD Michael Robert	AVC115	Licensed Representative	1
STOCKDALE Hayden John	AVA627	Licensed Representative	1
STRAIN Nicholas Andrew	AFJ969	Licensed Representative	1, 2, 7
STROVER Edward Jason	AUZ302	Licensed Representative	1, 4
STUEDLEIN Maximilian E	AVA044	Licensed Representative	1
SUEN Wai Man	AUX361	Licensed Representative	1
SUN Chi	AUZ082	Licensed Representative	4
SUN Ming	AVC988	Licensed Representative	4
SUN Qijia	AVC987	Licensed Representative	4, 9
SUN Ran	AUZ782	Licensed Representative	1, 4
SUZUKI Takenori	ADD571	Licensed Representative	1
SZE Wa Fung	AVC719	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAM Kwok Ching, Tony	AUZ547	Licensed Representative	1
TAM Wing Chuen, Samuel	AVA553	Licensed Representative	1
TAN Dao Shen	AVA554	Licensed Representative	9
TAN Li Chin	AUZ620	Licensed Representative	1, 6
TANG Chi	ACJ583	Licensed Representative	3
TANG Chi Hung	AUZ930	Licensed Representative	1
TANG Hon Kit	AUW336	Licensed Representative	1
TANG Kam Ming, Calvin	ANB555	Licensed Representative	1, 2
TANG Tsz Wai	AUX032	Licensed Representative	1, 6
TANG Wai Shun, Wilson	AVC361	Licensed Representative	1
TERADA Yuma	ARJ707	Licensed Representative	9
THONG Chung Mao	AVB803	Licensed Representative	1
TIAN Jia	AVE039	Licensed Representative	6
TO Wai Luen	AFO609	Licensed Representative	1, 4
TOOHEY Daniel Paul	AUZ521	Licensed Representative	4
TRENKOW Jassen	AVD306	Licensed Representative	1, 9
TSAI Cheng Che	AQS461	Licensed Representative	1, 4
TSAI Tzu-Lin	AUZ445	Licensed Representative	1
TSANG Chun Wai	ATL969	Licensed Representative	1
TSANG Siu Yan, Patrick	AVA038	Licensed Representative	1
TSANG Ying Kit	AVA231	Licensed Representative	1
TSAO Theodore Tshua	AHJ596	Licensed Representative	1
TSAP Wai Ping	AAI545	Licensed Representative	1
TSE Hing Chun Suzi	AUY924	Licensed Representative	1
TSENG Hsu-Liang	AVA234	Licensed Representative	4
TSOI Hok Chi	AVC349	Licensed Representative	1, 2
TSUI Lai Fong	AUZ895	Licensed Representative	1
UDING Gerardus Henricus Paulus	AUZ982	Licensed Representative	1, 4, 9
UPTON James Andrew	AUW064	Licensed Representative	1
URUMA Kuninobu	AFU106	Licensed Representative	1
VAIDYA Tushar Shashikant	AUZ505	Licensed Representative	4
VAN MEERENDONK Martin John	AUX285	Licensed Representative	1, 2
VELLA Andrea	AUV624	Licensed Representative	1
VIJAYANT Aashutosh	AVA402	Licensed Representative	1, 2, 7
VISWANATH Ramesh	AUZ373	Licensed Representative	4
WALLER Martin John	AVA351	Licensed Representative	1, 2
WANG Anping	AUW252	Licensed Representative	1, 2, 7

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	License Type	Types of Regulated Activity
WANG Daina	AQU588	Licensed Representative	9
WANG Frank Wei-Kang	AFT830	Licensed Representative	1, 6
WANG Guoguang	AUZ499	Licensed Representative	1, 4, 5, 9
WANG Hua	AUX415	Licensed Representative	9
WANG Jiaying	AVA634	Licensed Representative	1, 6
WANG Kenan	AVD957	Licensed Representative	6
WANG Minzhi	AVD532	Licensed Representative	1
WANG Ning	AVA354	Licensed Representative	4
WANG Tingting	APG500	Licensed Representative	1, 6
WANG Yiding	AOD298	Licensed Representative	1
WEI Stephen J	AGW283	Licensed Representative	1, 9
WINIA Darren John	AVC348	Licensed Representative	9
WISE Adam John	AVA399	Licensed Representative	1
WONG Annie Yan Tsz	AUV531	Licensed Representative	1
WONG Chak Wing	AVA410	Licensed Representative	1, 4
WONG Che Shing, Nelson	AOH457	Licensed Representative	1
WONG Chin Hung, Cyrus	ATO773	Licensed Representative	1, 2
WONG Choi Chu	AGP092	Licensed Representative	1
WONG Chung Lai	AVD257	Licensed Representative	1
WONG Chung Sze Alice	AVD729	Licensed Representative	4
WONG Hei Man	ANU672	Licensed Representative	1, 4, 6
WONG Ho Ching	AQJ842	Licensed Representative	9
WONG Ka Yu, Matilda	AGO390	Licensed Representative	1, 6
WONG Kei Yan	AUW339	Licensed Representative	1
WONG Kim Hung	AUY918	Licensed Representative	1
WONG Kin Ho	AUZ704	Licensed Representative	1, 2
WONG King Wai	AVC352	Licensed Representative	1
WONG Kiu Yau	AFY408	Licensed Representative	1, 4
WONG Leah Kuen	AVC092	Licensed Representative	4, 9
WONG Ling Wai, Geraldine	AVC121	Licensed Representative	1
WONG Man Fai	AUX371	Licensed Representative	1
WONG Ming Lok	AVB804	Licensed Representative	1, 2
WONG Ping Chi	AVC908	Licensed Representative	1
WONG Po Chun	AUZ170	Licensed Representative	1
WONG Shung Pun, Roland	AUX368	Licensed Representative	1
WONG Tat Chun	AVA658	Licensed Representative	1, 2
WONG Tim Fung	AVD105	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	License Type	Types of Regulated Activity
WONG Tin Ho	AJI703	Licensed Representative	2
WONG Victoria Wai Ying	AUW683	Licensed Representative	1, 4
WONG Wa	AOA104	Licensed Representative	9
WONG Wing Yan, Winnie	AGP722	Licensed Representative	1, 6
WONG Yan Mei, May	AUZ637	Licensed Representative	1
WONG Yu Ching	AUZ623	Licensed Representative	1
WOO Kang Yeong	AVB962	Licensed Representative	1, 2
WU Chi Ki, Hilda	AVA638	Licensed Representative	9
WU Hiu Fung	AOV629	Licensed Representative	1, 2
WU Johnson	ACX408	Licensed Representative	1, 2
WU Lai Ping	AVC341	Licensed Representative	1
WU Pei-wei	AUN391	Licensed Representative	1, 2
WU Po Sing	ALZ574	Licensed Representative	1, 4, 6
WU Warren Charles	AUZ061	Licensed Representative	1, 4, 6
XI Xiaojie	AQM286	Licensed Representative	1, 6
XIANG Yi	AUZ451	Licensed Representative	1, 2
XIANG Zuo	AUG690	Licensed Representative	1, 6
XIAO Jing	AVD272	Licensed Representative	4
XIAO Tian	AUW610	Licensed Representative	1, 6
XIAO Yi	AUZ745	Licensed Representative	1, 6
XING Chang	AVD305	Licensed Representative	1
XIONG Hui	AQV261	Licensed Representative	1, 6
XU Dapeng	AUZ670	Licensed Representative	6
XU Hao	AVA631	Licensed Representative	1, 6
XUE Shaofei	AVA664	Licensed Representative	1, 2
YAM Wing Shan	AUZ739	Licensed Representative	1
YAMADA Shinya	AUW525	Licensed Representative	4
YAN Suping	AUR633	Licensed Representative	4
YANG Tianxiao	AVA662	Licensed Representative	1, 6
YAO Angelina See Mun	AJD521	Licensed Representative	1, 4, 9
YAO Chun Yu	AVB802	Licensed Representative	1
YEUNG Fung Yee, Flora	ACE799	Licensed Representative	1, 9
YEUNG Tsang Keung	ACA708	Licensed Representative	1
YEUNG Yan Keung	AUZ917	Licensed Representative	1
YEUNG Yun Chu	AUW601	Licensed Representative	1
YEW Derek Chi Cheung	AVA409	Licensed Representative	4
YIN Guo Hui	AUN818	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	License Type	Types of Regulated Activity
YIN Wen Xun	AUW776	Licensed Representative	1
YING Li	AUJ699	Licensed Representative	1, 6
YIP Ka Wah	AUZ919	Licensed Representative	1
YUK Pan Yiu, Jackie	AUY862	Licensed Representative	1
YUNG Man Yan	AVD055	Licensed Representative	1, 4
YUNG Yui Kong	AVA680	Licensed Representative	1
ZHANG Huali	AUX605	Licensed Representative	9
ZHANG Qi	AVA621	Licensed Representative	1, 6
ZHANG Wenwei	AUX141	Licensed Representative	4, 9
ZHANG Yan	AVA364	Licensed Representative	1
ZHANG Yang	AUS964	Licensed Representative	1, 4, 6
ZHANG Zhixiang	AVA347	Licensed Representative	4, 9
ZHAO Hanlin	ANR446	Licensed Representative	1
ZHAO Jie	AVD275	Licensed Representative	4
ZHAO Mei	AVA643	Licensed Representative	1
ZHAO Xiaosong	AUZ078	Licensed Representative	1, 4, 6
ZHENG Lucy Xiao-Wei	AUW197	Licensed Representative	1, 4
ZHOU Jie	AUZ707	Licensed Representative	1
ZHOU Qin	AUZ063	Licensed Representative	4, 9
ZHU Ge	AUZ021	Licensed Representative	2
ZHU Jiayan	AVA093	Licensed Representative	1, 2, 3
ZHU Ying	AUZ983	Licensed Representative	1, 4
ZHUANG Zhenglin	AUW236	Licensed Representative	1
ADELSON Scott Joseph	AOC901	Licensed Representative - Responsible Officer	1, 4, 6
AU Chi On, Paul	ALZ783	Licensed Representative - Responsible Officer	1, 4
CHEUNG Wing On, Rickey	AJE323	Licensed Representative - Responsible Officer	5
CLARK James Andrew	AQD643	Licensed Representative - Responsible Officer	9
COOPER Edward Lawrence Giorgio	ARQ104	Licensed Representative - Responsible Officer	9
Castrounis George Alexander	ARF619	Licensed Representative - Responsible Officer	9
FISHER Marc Michael	AUZ652	Licensed Representative - Responsible Officer	1, 9
HSU Edward Ming Yu	ALY375	Licensed Representative - Responsible Officer	1, 2
LAU Sau Han, Eliza	ACO911	Licensed Representative - Responsible Officer	4, 9
LEE Cheuk Shun	AAJ224	Licensed Representative - Responsible Officer	4, 9
LEVART Timothy Iain	ARQ103	Licensed Representative - Responsible Officer	9
LEVY Jeffrey Brussel	AUT859	Licensed Representative - Responsible Officer	9
MEADOWS John William	ATW213	Licensed Representative - Responsible Officer	1, 2
MONTRUCCHIO Riccardo Giuseppe	ATW214	Licensed Representative - Responsible Officer	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
MUSHKAT Miron	AAC486	Licensed Representative - Responsible Officer	4, 9
NAM Kie Yul	ABY792	Licensed Representative - Responsible Officer	9
NIE Jeff	AOI863	Licensed Representative - Responsible Officer	4, 9
SCHACHTER Ron Armin	AUV487	Licensed Representative - Responsible Officer	9
SEAVER Christopher Burrill	ARY267	Licensed Representative - Responsible Officer	9
SHARMA Sukant Radhakant	AFY756	Licensed Representative - Responsible Officer	9
TALLEUX Eric, Alain	ATP016	Licensed Representative - Responsible Officer	9
TENGA NTCHATCHOUA Fabrice Yvon	AIB354	Licensed Representative - Responsible Officer	9
TSAI Chen Chang	AGN063	Licensed Representative - Responsible Officer	1, 4, 6
WANG Bing	ATX770	Licensed Representative - Responsible Officer	9
WONG Ying Kwan	ACC080	Licensed Representative - Responsible Officer	1, 2, 3
WU Arthur	AAM302	Licensed Representative - Responsible Officer	1, 4
YEUNG Wai Kai, Guy	AVB800	Licensed Representative - Responsible Officer	4, 9
ADLAN Syed Ari Azhar Bin Syed Mohamed	AVE790	Licensed Representative (Provisional Licence)	1, 2
AGGARWALA Saurabh	AVD967	Licensed Representative (Provisional Licence)	1
AHN Sol	AVD296	Licensed Representative (Provisional Licence)	4, 9
ANG Jing Jia	AVE604	Licensed Representative (Provisional Licence)	1, 6
ASOME Alison Mary	AVC923	Licensed Representative (Provisional Licence)	1, 4
ATKINSON James Anthony Thomas	AVF428	Licensed Representative (Provisional Licence)	1, 2
AU YEUNG Sai Man	AVD360	Licensed Representative (Provisional Licence)	1, 4
BACCHUS Angel Men Chan	ANB456	Licensed Representative (Provisional Licence)	1
BANGA Angad	AVD356	Licensed Representative (Provisional Licence)	1, 4
BANGA Guneet	AJE844	Licensed Representative (Provisional Licence)	1, 6
BARRETT James Richard	ARH869	Licensed Representative (Provisional Licence)	4
BELALIMAT Karim	AVD533	Licensed Representative (Provisional Licence)	1, 2, 7
BHATT Jay Hemant	ADR152	Licensed Representative (Provisional Licence)	1, 4, 9
BOUALAM Meddy, Stephane	AVC119	Licensed Representative (Provisional Licence)	1
BRAILEY Simon Geoffrey	AVD351	Licensed Representative (Provisional Licence)	1
BRUCK Cyprian Joannes	AVD405	Licensed Representative (Provisional Licence)	1, 2
BUCKWORTH Piers Edward Grainger	AUT718	Licensed Representative (Provisional Licence)	1, 4
BUTLER Joseph John	AVF494	Licensed Representative (Provisional Licence)	1, 2, 7
BYUN Yong Hoon, Ralph	AVE766	Licensed Representative (Provisional Licence)	1
CAO Xianghua	AVC718	Licensed Representative (Provisional Licence)	1, 4
CHADWICK Mark David	ADT508	Licensed Representative (Provisional Licence)	1, 4
CHAI Shan Shan	AVD684	Licensed Representative (Provisional Licence)	1, 6
CHAKRABORTY Sushruta	AVE041	Licensed Representative (Provisional Licence)	1, 2, 7
CHAN Cheuk Kwan	AVA668	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Chiu Yu	AQC546	Licensed Representative (Provisional Licence)	1
CHAN Chun Kit	AVC347	Licensed Representative (Provisional Licence)	1, 3
CHAN Chun Wai, Spring	AVF122	Licensed Representative (Provisional Licence)	1
CHAN Chung Sze	AOU894	Licensed Representative (Provisional Licence)	1, 4
CHAN Hoi Yan	AVD100	Licensed Representative (Provisional Licence)	1, 2
CHAN Hung Ngam, Younker	AOV343	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Iat Meng	AVD353	Licensed Representative (Provisional Licence)	1
CHAN Jacky Ka Ki	AVF622	Licensed Representative (Provisional Licence)	1, 4
CHAN Kam Pui	AVF495	Licensed Representative (Provisional Licence)	6
CHAN Kei Hong, Larry	AVE984	Licensed Representative (Provisional Licence)	1
CHAN Keng Fai	AMF902	Licensed Representative (Provisional Licence)	1
CHAN Kin Wai	AVD399	Licensed Representative (Provisional Licence)	1, 9
CHAN Kwok Wai	ARE605	Licensed Representative (Provisional Licence)	1, 2
CHAN Leung Ting	AKI937	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Li Ying	AUD889	Licensed Representative (Provisional Licence)	1, 4
CHAN Lui	AVD266	Licensed Representative (Provisional Licence)	1
CHAN Man Hung, Ivan	AVE049	Licensed Representative (Provisional Licence)	2
CHAN Ting Hing, Carol	AVE785	Licensed Representative (Provisional Licence)	4
CHAN Tsz Wo	AMH583	Licensed Representative (Provisional Licence)	3
CHAN Tze Ying, Charlotte	AVE184	Licensed Representative (Provisional Licence)	1
CHAN Wai Sze	AOB741	Licensed Representative (Provisional Licence)	1, 4
CHAN Wing Yee	AMR696	Licensed Representative (Provisional Licence)	1, 6
CHANG Chih-Hung	AVE037	Licensed Representative (Provisional Licence)	4
CHANG I-To Edward	AFZ404	Licensed Representative (Provisional Licence)	4, 9
CHEN Po-ling	AVG892	Licensed Representative (Provisional Licence)	1, 4
CHEN Wen-Chin	AVD899	Licensed Representative (Provisional Licence)	4
CHEN Yiwen	AVF113	Licensed Representative (Provisional Licence)	4
CHENG Ming Ho	AVD292	Licensed Representative (Provisional Licence)	1
CHENG Siu Yin	AVE025	Licensed Representative (Provisional Licence)	1
CHENG Tsz Hin, Anthony	AVF136	Licensed Representative (Provisional Licence)	1, 2
CHENG Wen Fei	AVG908	Licensed Representative (Provisional Licence)	1, 4
CHEONG Im	AVD307	Licensed Representative (Provisional Licence)	1
CHEUNG Chi Cheuk, Cedric	ANA761	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Chi Ying	AVD392	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Chun Ho	AVD390	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Desmond Clinton	AGN567	Licensed Representative (Provisional Licence)	4
CHEUNG Nga Chung	AVG632	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Siu Yin, Simon	AVF593	Licensed Representative (Provisional Licence)	1
CHEUNG Tomo-hito	AUS139	Licensed Representative (Provisional Licence)	1
CHIU Man Ho, Andy	AJM492	Licensed Representative (Provisional Licence)	2
CHONG Chi Yan	AVH086	Licensed Representative (Provisional Licence)	1
CHOW Ka Wa	AVD404	Licensed Representative (Provisional Licence)	1, 2
CHOW Koon Ho	AVF577	Licensed Representative (Provisional Licence)	1
CHOW Wing Kam	AVF140	Licensed Representative (Provisional Licence)	4, 9
CHU Chun Leung	AVC090	Licensed Representative (Provisional Licence)	1
CHU Kai Tung	AUS138	Licensed Representative (Provisional Licence)	1
CHUNG Chun Kit	AVC102	Licensed Representative (Provisional Licence)	1
CHUNG Ho Cheong	AVD979	Licensed Representative (Provisional Licence)	1, 2
CHUNG Yiu Yan Elaine	AVG630	Licensed Representative (Provisional Licence)	1, 2
CLARKE Andrew Kenneth	ADT180	Licensed Representative (Provisional Licence)	1
CONVERSIN Sophie Arielle Marlene	AVE057	Licensed Representative (Provisional Licence)	1
CORNAILLE Claire Oceane Margaux	AVE767	Licensed Representative (Provisional Licence)	1
CRAIG-WOOD Christopher John	AJL555	Licensed Representative (Provisional Licence)	1, 2
CROCHU Cecile Marie-Noelle	AVF427	Licensed Representative (Provisional Licence)	1, 2
DAI Chao	AVD234	Licensed Representative (Provisional Licence)	4
DAYAL Prasoon	AEH431	Licensed Representative (Provisional Licence)	1, 6
DEMEKHINA Kateryna	AVF431	Licensed Representative (Provisional Licence)	1
DING Yuqian	AVD302	Licensed Representative (Provisional Licence)	4
DOSHI Nishi Jyotindra	AON592	Licensed Representative (Provisional Licence)	4
DU Mei	AVF556	Licensed Representative (Provisional Licence)	1, 6
DUNCAN Michael Troy	AQQ429	Licensed Representative (Provisional Licence)	1
EBERT Robert James	AVF581	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
EINHOLTZ Thibault, Charles, Christian	AVC095	Licensed Representative (Provisional Licence)	2
ENNS Peter Currie	AVF616	Licensed Representative (Provisional Licence)	1, 6
F. V. RICHTHOFEN Peter P. H. O. A.	AFU034	Licensed Representative (Provisional Licence)	1
FENG Jing	APZ397	Licensed Representative (Provisional Licence)	2
FENG Xiaomai	AVF617	Licensed Representative (Provisional Licence)	4
FIELDS Daniel Mays	AVG800	Licensed Representative (Provisional Licence)	9
FOK Hon Ming	AVE578	Licensed Representative (Provisional Licence)	1
FONG Shing Fan, Stanford	AVD974	Licensed Representative (Provisional Licence)	1, 2, 3
FU Qianqian	AOS569	Licensed Representative (Provisional Licence)	1, 6
FUNG Chui Shan	AFZ170	Licensed Representative (Provisional Licence)	1, 2
FUNG Ka Lok, Adams	AHN337	Licensed Representative (Provisional Licence)	1, 4
FUNG Pak Shing	AEL558	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNG Shiu Lun, Simon	AVB880	Licensed Representative (Provisional Licence)	1, 2
FUNG Wilson Kee-hang	AGC284	Licensed Representative (Provisional Licence)	1, 7
GAO Dan	AVF215	Licensed Representative (Provisional Licence)	1, 6
GARG Deepak	AVD119	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GOSS Christopher John	AVE749	Licensed Representative (Provisional Licence)	1, 2
GRIEVE Jamie Angus	ASE065	Licensed Representative (Provisional Licence)	1, 2
GUNNERSEN Thomas Peter	AVH034	Licensed Representative (Provisional Licence)	4, 9
GUO Feng	AVD005	Licensed Representative (Provisional Licence)	4
HA Ying Kit	AVD846	Licensed Representative (Provisional Licence)	1
HAN Yong	AVD301	Licensed Representative (Provisional Licence)	1, 4
HARAM Ahmad Walid	AVE053	Licensed Representative (Provisional Licence)	1
HATHEWAY Lawrence Howell	AVE410	Licensed Representative (Provisional Licence)	4
HENDERSON Samuel Thomas	AVD913	Licensed Representative (Provisional Licence)	1, 4
HISHINUMA Ryuya	AVD897	Licensed Representative (Provisional Licence)	1, 4, 6
HO Kin Ching	AVG723	Licensed Representative (Provisional Licence)	1
HO May-Kin	AVC917	Licensed Representative (Provisional Licence)	1, 6
HO Wai Sze	AVD964	Licensed Representative (Provisional Licence)	6
HO Yee Lin, Elaine	AQE980	Licensed Representative (Provisional Licence)	1, 2, 4
HODGSON Holden Kirk	AOS400	Licensed Representative (Provisional Licence)	1, 2
HOU Lingzhong	AVD831	Licensed Representative (Provisional Licence)	4
HUANG Cindy	AVD113	Licensed Representative (Provisional Licence)	9
HUANG Wei	AVF558	Licensed Representative (Provisional Licence)	1, 6
HUANG Wenlong	AVE601	Licensed Representative (Provisional Licence)	1, 4
HUANG Yan	AVD315	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Ying	AVC344	Licensed Representative (Provisional Licence)	4
HUGHES Steven William	AVE208	Licensed Representative (Provisional Licence)	1, 2
HUNG Pok Man	AVF218	Licensed Representative (Provisional Licence)	1
INA Shinichi	AVD124	Licensed Representative (Provisional Licence)	4
IP Yeng Kwen	AAZ192	Licensed Representative (Provisional Licence)	1, 2
IWASAKI Daisuke	AVF357	Licensed Representative (Provisional Licence)	9
JAIN Sanjay	AUW893	Licensed Representative (Provisional Licence)	4
JASANI Nilesh Surendra Rai	AFJ460	Licensed Representative (Provisional Licence)	4
JIANG Xiaoxu	ASO484	Licensed Representative (Provisional Licence)	6
JING Yun	AOI876	Licensed Representative (Provisional Licence)	1, 6
KAM Joel Wing	AVA613	Licensed Representative (Provisional Licence)	4
KANG Byoung-Houn	AVD184	Licensed Representative (Provisional Licence)	1, 4
KAPOOR Rohit	AVF429	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
KARTHIGESU Ganeshan Ramesh	APY695	Licensed Representative (Provisional Licence)	4, 9
KHOO Pui Wun	AGO218	Licensed Representative (Provisional Licence)	1, 4
KIM Simon Dae-Oun	AUV167	Licensed Representative (Provisional Licence)	1, 2, 4
KO Aaron Tze Hin	AVB828	Licensed Representative (Provisional Licence)	1, 6
KOU Chung Yin, Mariana	AVE181	Licensed Representative (Provisional Licence)	4
KRIENGPRARTHANA Udomsith	AVD493	Licensed Representative (Provisional Licence)	9
KRISHNAN Akshay	AVE042	Licensed Representative (Provisional Licence)	9
KUO Chung-Ling	AVD531	Licensed Representative (Provisional Licence)	1
KWAN Sin Hang	AVF586	Licensed Representative (Provisional Licence)	9
KWONG Wai Yin	AKX252	Licensed Representative (Provisional Licence)	1
LAI Chun To	AVF640	Licensed Representative (Provisional Licence)	1
LAI Hin Kay, Andrew	AEQ446	Licensed Representative (Provisional Licence)	1
LAI Man	AVD562	Licensed Representative (Provisional Licence)	1
LAI Zhiyong	AVD365	Licensed Representative (Provisional Licence)	1
LAM Cho King	AVD910	Licensed Representative (Provisional Licence)	1, 2
LAM Chun Bong	AVD960	Licensed Representative (Provisional Licence)	1
LAM Kai Man, Herman	AVC363	Licensed Representative (Provisional Licence)	1, 2
LAM Mei Li, Milly	AOK821	Licensed Representative (Provisional Licence)	1, 4
LAO Ruby Margaret Tan	AVA611	Licensed Representative (Provisional Licence)	1
LARDIZABAL Juan Gabriel Pascual	AVD156	Licensed Representative (Provisional Licence)	1
LARKIN John Michael	AVC808	Licensed Representative (Provisional Licence)	1
LAU Chi Hung	AVD366	Licensed Representative (Provisional Licence)	1
LAU Hing Chung, Wilfred	AHX688	Licensed Representative (Provisional Licence)	1, 4, 5
LAU Ka Yee, Katherine	AVD966	Licensed Representative (Provisional Licence)	1
LAU Kwan Ho	ALB117	Licensed Representative (Provisional Licence)	9
LAU Kwun Him	AVC248	Licensed Representative (Provisional Licence)	1
LAU Wing Yiu, Jason	APU510	Licensed Representative (Provisional Licence)	1, 2, 7
LAW Ka Yan	AVF009	Licensed Representative (Provisional Licence)	1, 2
LAW Kai Man	AVC921	Licensed Representative (Provisional Licence)	1, 2
LAW Tin Fan Arthur	ANQ737	Licensed Representative (Provisional Licence)	1, 4
LEE Dennis Pui Yan	AOS008	Licensed Representative (Provisional Licence)	6
LEE Jaison	AVD395	Licensed Representative (Provisional Licence)	1
LEE Koon Wing Edwin	AVD467	Licensed Representative (Provisional Licence)	1, 2
LEE Ming Fai	AVD983	Licensed Representative (Provisional Licence)	1
LEE Sui Yi	AVC007	Licensed Representative (Provisional Licence)	1, 2
LEE Wai Yee, Winnie	AVD448	Licensed Representative (Provisional Licence)	1, 4
LEUNG Cheuk Yue	AVF490	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Chung Kei, Stanley	ARA402	Licensed Representative (Provisional Licence)	1
LEUNG Ho Yin	AVF604	Licensed Representative (Provisional Licence)	4
LEUNG Kam Wa, Janet	ATF009	Licensed Representative (Provisional Licence)	4
LEUNG Man Fun	AVD466	Licensed Representative (Provisional Licence)	1, 2
LEUNG Pak Hei	AVD976	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ping Nam	AML607	Licensed Representative (Provisional Licence)	1
LEUNG Wing Chi	AOB275	Licensed Representative (Provisional Licence)	1
LEUNG Yu San	AVD097	Licensed Representative (Provisional Licence)	1
LI Chi Lap	AVG423	Licensed Representative (Provisional Licence)	1
LI Jack Zheng	AQM738	Licensed Representative (Provisional Licence)	1, 2
LI Kam Ching	AFH309	Licensed Representative (Provisional Licence)	4, 9
LI Lin	AVD808	Licensed Representative (Provisional Licence)	1, 4
LI Ming Keung	AVD367	Licensed Representative (Provisional Licence)	1
LI Nan	AVE780	Licensed Representative (Provisional Licence)	4
LI Steven Allen M H	ABD065	Licensed Representative (Provisional Licence)	4
LI Wang To, Marcus	AVG376	Licensed Representative (Provisional Licence)	1, 2
LI Xue	AVC681	Licensed Representative (Provisional Licence)	1, 2
LIN Jeffrey	AVG380	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Wei-Chun	AVD281	Licensed Representative (Provisional Licence)	1, 4
LING Zhuowen	AVD911	Licensed Representative (Provisional Licence)	1, 2
LIU Chi Yan	AVF644	Licensed Representative (Provisional Licence)	1
LIU Chien-Hung	AVC345	Licensed Representative (Provisional Licence)	1
LIU Eric Cha-Hua	ARF869	Licensed Representative (Provisional Licence)	1, 2, 7
LIU Jiapeng	AVF642	Licensed Representative (Provisional Licence)	1
LIU Ka Ki	AVD297	Licensed Representative (Provisional Licence)	1
LIU Maretta Yiqi	AVD327	Licensed Representative (Provisional Licence)	1, 2, 7
LIU Wing Wai	AUR824	Licensed Representative (Provisional Licence)	1
LO Hiu Yeung	AVD958	Licensed Representative (Provisional Licence)	1
LO Ho Lung	AVE188	Licensed Representative (Provisional Licence)	1
LO Wai Hung	AVD807	Licensed Representative (Provisional Licence)	2
LUI Chung Ting	AVD372	Licensed Representative (Provisional Licence)	1
LUI Florence Hiu Yan	AVG779	Licensed Representative (Provisional Licence)	1, 6
LUI Siu Man	AVD314	Licensed Representative (Provisional Licence)	1
LUK Kar Man, Carmen	AVD308	Licensed Representative (Provisional Licence)	1
LUK King Man	AVD973	Licensed Representative (Provisional Licence)	1, 2
LUK Siu Yin	ADI465	Licensed Representative (Provisional Licence)	1, 2
LUO Rongjin	AVG613	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAC ALOON John Joseph	AVF623	Licensed Representative (Provisional Licence)	1, 2
MALL Man Mohan	AVE809	Licensed Representative (Provisional Licence)	1
MALLICK Sumana	AVF619	Licensed Representative (Provisional Licence)	1
MAN Chap Fu, Jeff	AVD847	Licensed Representative (Provisional Licence)	1, 4, 9
MANN Chi Sam	AJK798	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
MANS William Gerard	AVD313	Licensed Representative (Provisional Licence)	4
MARQUEVIELLE Olivier Hee Chul	AVE024	Licensed Representative (Provisional Licence)	1
MASON Jordan Thomas	AQU403	Licensed Representative (Provisional Licence)	1, 9
MC CARRON Ciaran Peter	AVE056	Licensed Representative (Provisional Licence)	1, 2
MCDONALD Scott Andrew	AVF618	Licensed Representative (Provisional Licence)	1, 2, 7
MEJIA Francisco	AVC915	Licensed Representative (Provisional Licence)	1
MENG Fanjie	AVC118	Licensed Representative (Provisional Licence)	4
MESZAROS Andor Istvan	AQU256	Licensed Representative (Provisional Licence)	1, 6
MILLARD Michael Ashley	AVE807	Licensed Representative (Provisional Licence)	9
MISHIMA Shigeru	AVE791	Licensed Representative (Provisional Licence)	4
MIU Cheong Lam	AVD087	Licensed Representative (Provisional Licence)	1, 2
MIU Hung	ADQ868	Licensed Representative (Provisional Licence)	1
MOEL MODIANO Alberto	AVD880	Licensed Representative (Provisional Licence)	1, 4
MOHD MUKHTAR Muhammad Muzhafar Bin	AVE441	Licensed Representative (Provisional Licence)	4
MOK Ming Wai Anita	AUZ626	Licensed Representative (Provisional Licence)	2
MOK Nga Woon, Shirley	AVF163	Licensed Representative (Provisional Licence)	1
MOK Sin Yan	AVD972	Licensed Representative (Provisional Licence)	1, 2
MOK Yue Pang	APJ712	Licensed Representative (Provisional Licence)	1, 2
MONOT Cosme Hubert Roger Pierre	AVF688	Licensed Representative (Provisional Licence)	1, 7
MUTTDON Luke	AVE988	Licensed Representative (Provisional Licence)	1, 2
NG Cheuk Lun, Alan	ATE682	Licensed Representative (Provisional Licence)	1
NG Fai Yeung, Eric	AVF598	Licensed Representative (Provisional Licence)	4
NG Hung Wai, Tony	AVC722	Licensed Representative (Provisional Licence)	1
NG Khoon Haur	AVD286	Licensed Representative (Provisional Licence)	1, 6
NG Man Yee	AVD844	Licensed Representative (Provisional Licence)	1
NG Wai Yan	AVD093	Licensed Representative (Provisional Licence)	1
NG Wing Cheong	AVE043	Licensed Representative (Provisional Licence)	1
NG Yin Yee, Ivy	AVC720	Licensed Representative (Provisional Licence)	1
NGAI Chow Fung	AVD371	Licensed Representative (Provisional Licence)	1
NICOL Christopher Geoffrey	AVF592	Licensed Representative (Provisional Licence)	1
NOIRAT Benjamin	AVD838	Licensed Representative (Provisional Licence)	1, 2
ODA Tomofumi	AVD303	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ONO Azuma	AOR376	Licensed Representative (Provisional Licence)	4
OR Frank King Hon	AES401	Licensed Representative (Provisional Licence)	1
PACHCHIGAR Grishma Nitin	AVG766	Licensed Representative (Provisional Licence)	1, 2
PANG Ka Keung	AVF502	Licensed Representative (Provisional Licence)	1
PANG Ka Yin	AEF574	Licensed Representative (Provisional Licence)	1
PANG Yeung Ping	AVE982	Licensed Representative (Provisional Licence)	1, 7
PARK Ha Sung	AVD520	Licensed Representative (Provisional Licence)	1
PASTOR Celine Marie Bernabe	AVC365	Licensed Representative (Provisional Licence)	1, 6
PAULINA Elly	AVD547	Licensed Representative (Provisional Licence)	1, 4
PERRIN Francois Jean Bernard	AVD963	Licensed Representative (Provisional Licence)	4, 9
PHELPS Jacob Thomas	AVD354	Licensed Representative (Provisional Licence)	1, 2
PONG Yue Hin	AVF216	Licensed Representative (Provisional Licence)	4
POON Tsz Tung	AVD255	Licensed Representative (Provisional Licence)	1, 2
POTEL Ronnie Rayomand	ALW778	Licensed Representative (Provisional Licence)	1, 6
PUN Yiu	ASP010	Licensed Representative (Provisional Licence)	1, 6
QUAN Hong	AIE773	Licensed Representative (Provisional Licence)	1, 6
REINHARDT Oksana	AVE054	Licensed Representative (Provisional Licence)	1
ROTTER Luca	AVE217	Licensed Representative (Provisional Licence)	1, 2
RYU Tae-Ho	AVD978	Licensed Representative (Provisional Licence)	1, 9
SALNIKOVA Daria	AVE446	Licensed Representative (Provisional Licence)	1
SATO Shinji	AVD528	Licensed Representative (Provisional Licence)	1, 2
SENGUPTA Santanu	AQO300	Licensed Representative (Provisional Licence)	1, 4
SHEN Chenhua	AVE813	Licensed Representative (Provisional Licence)	1
SHI Xiaoni	AMJ724	Licensed Representative (Provisional Licence)	4, 9
SHIH Shan Shan	AVF496	Licensed Representative (Provisional Licence)	1, 2
SHIVDASANI Shaan Anil	AVE058	Licensed Representative (Provisional Licence)	1
SHU Wan Chi	AVF621	Licensed Representative (Provisional Licence)	1
SHUAI Qi	AVD106	Licensed Representative (Provisional Licence)	1, 4, 6
SHUM Lui Pang	AQH110	Licensed Representative (Provisional Licence)	1, 2
SIM Colin Ching Wern	AGN521	Licensed Representative (Provisional Licence)	1, 2
SIU Hau Yep, Ivan	ALW235	Licensed Representative (Provisional Licence)	1, 4, 6
SIU Kwan Kin	AVD536	Licensed Representative (Provisional Licence)	1, 4
SMILEY William Timothy John	AVA653	Licensed Representative (Provisional Licence)	1
SO Ho Ching, Paul	AQD146	Licensed Representative (Provisional Licence)	4, 5, 9
STEWART James Michael	AVF503	Licensed Representative (Provisional Licence)	4
SUN Ao	AVE409	Licensed Representative (Provisional Licence)	4, 9
SUN Ying	AVD529	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUN Yue	APO973	Licensed Representative (Provisional Licence)	4, 9
SUNG Tsung Ping	AVD304	Licensed Representative (Provisional Licence)	1, 2
SZE Lap Sum	AVC721	Licensed Representative (Provisional Licence)	1
TAI Chun Fat	AJX151	Licensed Representative (Provisional Licence)	1
TAI Yee Yung	AVE789	Licensed Representative (Provisional Licence)	1
TAM Sze Mei	AVF030	Licensed Representative (Provisional Licence)	1, 4
TAM Tai Wai	AVD971	Licensed Representative (Provisional Licence)	1, 2
TAN Zi Lin, Vera	ANH747	Licensed Representative (Provisional Licence)	1, 2
TANAKA Hironori	ALU048	Licensed Representative (Provisional Licence)	1
TANG Chi	ACJ583	Licensed Representative (Provisional Licence)	3
TANG Chung Bong, Rubun	AVE676	Licensed Representative (Provisional Licence)	1, 2, 7
TANG Kwok Yung	AEE613	Licensed Representative (Provisional Licence)	1, 2
TANG Pak Kee	AVD968	Licensed Representative (Provisional Licence)	1
TANG Simon Ming Yeung	AVD361	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Yu Lam	AVG579	Licensed Representative (Provisional Licence)	1
TEE Sze Chiah	AVE567	Licensed Representative (Provisional Licence)	4
TIAN Jia	AVE039	Licensed Representative (Provisional Licence)	6
TIAN Jingjing	AVE808	Licensed Representative (Provisional Licence)	1, 6
TING Chi Chung	AVD329	Licensed Representative (Provisional Licence)	1, 2
TONG Mung Yin	AVD907	Licensed Representative (Provisional Licence)	1
TOPJIAN Nicholas Zachary	AVE762	Licensed Representative (Provisional Licence)	9
TRENKOW Jassen	AVD306	Licensed Representative (Provisional Licence)	1, 9
TSAI Hin Man, Daniel	ANJ771	Licensed Representative (Provisional Licence)	1, 6
TSANG Ping Kwan	AEH879	Licensed Representative (Provisional Licence)	1, 2
TSANG Pui Man	AVE186	Licensed Representative (Provisional Licence)	1
TSAO Theodore Tsuhua	AHJ596	Licensed Representative (Provisional Licence)	1
TSE Cho Wan	AVD685	Licensed Representative (Provisional Licence)	1, 6
TSOI Chiu Kwan	AVH068	Licensed Representative (Provisional Licence)	1
TSUEI Ko-Chin	AVD909	Licensed Representative (Provisional Licence)	4
TSUI Terence	AVA788	Licensed Representative (Provisional Licence)	1
TUNG Jonathan W	AVE251	Licensed Representative (Provisional Licence)	1, 2
VAGANOV Vladislav	AVH114	Licensed Representative (Provisional Licence)	1, 2, 7
VAN DER SCHAFT Pieter	ADW623	Licensed Representative (Provisional Licence)	1, 4
VARMANI Karan	AVG633	Licensed Representative (Provisional Licence)	1, 2
VELLA Andrea	AUV624	Licensed Representative (Provisional Licence)	1
VERMA Abhishek	AVH168	Licensed Representative (Provisional Licence)	1, 7
VOGEL Daniel	AVG414	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WAN Tin Chi	AVF008	Licensed Representative (Provisional Licence)	1
WANG Chengxiang	AVD393	Licensed Representative (Provisional Licence)	4
WANG Jianbo	ARE473	Licensed Representative (Provisional Licence)	1, 2
WANG Loke Kiat	AVD977	Licensed Representative (Provisional Licence)	1, 2, 7
WANG Minzhi	AVD532	Licensed Representative (Provisional Licence)	1
WANG Ting	AOD025	Licensed Representative (Provisional Licence)	9
WANG Tingting	APG500	Licensed Representative (Provisional Licence)	1, 6
WANG Xiao	AVD829	Licensed Representative (Provisional Licence)	4, 9
WANG Yanan	AVE602	Licensed Representative (Provisional Licence)	4
WANG Yaoyu	AVF026	Licensed Representative (Provisional Licence)	1
WANG Yihui	AVE151	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yingchuan	AQE314	Licensed Representative (Provisional Licence)	1, 2
WENHAM James Alexander Scott	AVF334	Licensed Representative (Provisional Licence)	1
WHITE Adam Craig Tremain	ATF122	Licensed Representative (Provisional Licence)	1, 2
WILSON Scott Leslie	APH297	Licensed Representative (Provisional Licence)	1, 4
WINDSOR Michele Anne	AVF116	Licensed Representative (Provisional Licence)	1, 9
WONG Che Fui	AOX137	Licensed Representative (Provisional Licence)	1, 2
WONG Cheuk Hung	AFC567	Licensed Representative (Provisional Licence)	1, 2
WONG Chi Pang	AVD101	Licensed Representative (Provisional Licence)	1, 2
WONG Ching Man, Sarah	AVF111	Licensed Representative (Provisional Licence)	1
WONG Chun	AVD584	Licensed Representative (Provisional Licence)	1, 6
WONG Hoi	AVF534	Licensed Representative (Provisional Licence)	1
WONG Kim Cheung, Pius	AGE447	Licensed Representative (Provisional Licence)	1
WONG King	AVE609	Licensed Representative (Provisional Licence)	4, 9
WONG Kwok Chung	ATL699	Licensed Representative (Provisional Licence)	1
WONG Lai Che	AAD646	Licensed Representative (Provisional Licence)	1
WONG Lung Kong	AVE047	Licensed Representative (Provisional Licence)	1
WONG Ping Chi	AVC908	Licensed Representative (Provisional Licence)	1
WONG Po Yee	AOC980	Licensed Representative (Provisional Licence)	1
WONG Siang Yeung Rigan	AVE595	Licensed Representative (Provisional Licence)	4
WONG Siu Por	AVH182	Licensed Representative (Provisional Licence)	1, 2
WONG Tin Kit	AVD828	Licensed Representative (Provisional Licence)	1
WONG Wai Kong, Edman	APJ853	Licensed Representative (Provisional Licence)	1
WONG Wing Sum	AVE603	Licensed Representative (Provisional Licence)	1, 4
WONG Wing Yan	ALM985	Licensed Representative (Provisional Licence)	1
WONG Wing Yan, Winnie	AGP722	Licensed Representative (Provisional Licence)	1, 6
WONG Yu Cheong, Christopher	ALV249	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Yuk Man Erica	AVE426	Licensed Representative (Provisional Licence)	1
WOO Man Yip, Justin	AFZ875	Licensed Representative (Provisional Licence)	1, 2, 7
WU Meng Chan	AVG756	Licensed Representative (Provisional Licence)	1
WU Yahong	AVF001	Licensed Representative (Provisional Licence)	1, 4, 6
WU Yuk Hung	AVF641	Licensed Representative (Provisional Licence)	1
WU Zhijun	AVF146	Licensed Representative (Provisional Licence)	1, 6
XIANG Zuo	AUG690	Licensed Representative (Provisional Licence)	1, 6
XIAO Jing	AVD272	Licensed Representative (Provisional Licence)	4
XIE Jianying	AQB143	Licensed Representative (Provisional Licence)	4
XING Chang	AVD305	Licensed Representative (Provisional Licence)	1
XIONG Hui	AQV261	Licensed Representative (Provisional Licence)	1, 6
XU Yidi	AVD403	Licensed Representative (Provisional Licence)	1, 4, 6
XU Zhouping	AVE570	Licensed Representative (Provisional Licence)	1, 6
YANG Chung-chih	AVD352	Licensed Representative (Provisional Licence)	1, 4
YAU Man Him	AVE055	Licensed Representative (Provisional Licence)	1, 2
YAU Man Li	AVE046	Licensed Representative (Provisional Licence)	1, 4
YE Weidong	AVG895	Licensed Representative (Provisional Licence)	1
YEE Ming Wai	AVD975	Licensed Representative (Provisional Licence)	1, 2
YEN Tzu-han	ANL837	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Chun Wing	AVD563	Licensed Representative (Provisional Licence)	1, 4
YEUNG Nok Yan, Jonathan	AVD837	Licensed Representative (Provisional Licence)	4
YEUNG Pui Yan	AVE023	Licensed Representative (Provisional Licence)	1, 2
YEUNG Yat Tung	AVD364	Licensed Representative (Provisional Licence)	1, 2
YIP Ching Man Ivy	AVE594	Licensed Representative (Provisional Licence)	1, 2
YPSILANTI Alexander George	AHL627	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
YU Chiung-yin	ARQ097	Licensed Representative (Provisional Licence)	1, 4, 6
YU Ka Ho, Bernard	AQR189	Licensed Representative (Provisional Licence)	9
YU Nga Chi	AVE448	Licensed Representative (Provisional Licence)	1
YUEN Shiu Ming	AAC069	Licensed Representative (Provisional Licence)	9
YUEN Shu Chi Alvin	ATX895	Licensed Representative (Provisional Licence)	1, 2
YUNG Lik Hang	AVD830	Licensed Representative (Provisional Licence)	1, 2
YUNG Man Yan	AVD055	Licensed Representative (Provisional Licence)	1, 4
ZHANG Shunrong	AVG894	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Xinliang	AVE572	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zhifan	AVG893	Licensed Representative (Provisional Licence)	1, 6
ZHAO Jie	AVD275	Licensed Representative (Provisional Licence)	4
ZHAO Qiyi	AVF557	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHOU Yifeng	AVD686	Licensed Representative (Provisional Licence)	1, 6
APPLEGATE Jeffrey Michael	AVE623	Licensed Representative (Temporary Licence)	1
ARAKAKI Victor Crisol	AVG427	Licensed Representative (Temporary Licence)	1
BARNARD David	AVD117	Licensed Representative (Temporary Licence)	4
BORODITSKAYA Tatiana Michailovna	AVD131	Licensed Representative (Temporary Licence)	4
CHUA Su Tye	AUW974	Licensed Representative (Temporary Licence)	4
CINTAVEY Christopher Albert	ASZ371	Licensed Representative (Temporary Licence)	4
CORNELL James Campbell	ART873	Licensed Representative (Temporary Licence)	1
CRAWFORD James Duncan Laing	AVG867	Licensed Representative (Temporary Licence)	4
DEGANS Nathalie Françoise Marie	ATO883	Licensed Representative (Temporary Licence)	1
GRIFFITHS Graeme Derek Victor	AOA583	Licensed Representative (Temporary Licence)	4
JENKYN-JONES Bruce	AVE747	Licensed Representative (Temporary Licence)	4
JEON Eun Jeong	AVF426	Licensed Representative (Temporary Licence)	1
KATAYAMA Eiichi	AMO855	Licensed Representative (Temporary Licence)	4
KINMONT David Alexander Holloway	AVF091	Licensed Representative (Temporary Licence)	1
LIM Yoo Min	AQQ499	Licensed Representative (Temporary Licence)	1, 2
MA Jie	AVD108	Licensed Representative (Temporary Licence)	4
MCDUGALL Kathleen Martha	AVD132	Licensed Representative (Temporary Licence)	4
ODEN John Evans	AOU898	Licensed Representative (Temporary Licence)	4
OTA Rieko	AOX221	Licensed Representative (Temporary Licence)	1
PATTISAHUSIWA Nurdiaz Alvin	ATS149	Licensed Representative (Temporary Licence)	4
PETTITT Stephen Mark	AVD294	Licensed Representative (Temporary Licence)	2
PUROHIT Debasish Priyadarshi	ALV240	Licensed Representative (Temporary Licence)	1, 4, 6
QUEK Chin Kuan	AMG954	Licensed Representative (Temporary Licence)	4
RENONCOURT Julien	AJO301	Licensed Representative (Temporary Licence)	1
RIS Alexandre Hugo	ATO934	Licensed Representative (Temporary Licence)	4
SALAMA Daniel	ARU050	Licensed Representative (Temporary Licence)	4
SHAO Jiamin	AVD115	Licensed Representative (Temporary Licence)	4
SHIMADA Tetsuhiro	ARG825	Licensed Representative (Temporary Licence)	1
SINGH Bhuvnesh	AUX279	Licensed Representative (Temporary Licence)	4
SIVASUBRAMANIAM Sivasakthi D/O	AUW890	Licensed Representative (Temporary Licence)	4
SONG Ming Huay	AUW973	Licensed Representative (Temporary Licence)	4
STREET Lee Alexander	AUE090	Licensed Representative (Temporary Licence)	1
SUAREZ David Naguit	AVG597	Licensed Representative (Temporary Licence)	1, 2
TEEPLE Louise	AUV927	Licensed Representative (Temporary Licence)	1
TIMMERMAN Michiel Pieter	AVD468	Licensed Representative (Temporary Licence)	1
TO Christine Oi Yan	ADQ669	Licensed Representative (Temporary Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
TOTH Gina Marie	ARV876	Licensed Representative (Temporary Licence)	4
WARBURTON Max Eliot Adair	AVD908	Licensed Representative (Temporary Licence)	4
YAMASAKI Masaya	AMM286	Licensed Representative (Temporary Licence)	4
ZHANG Yun Ying	AVF024	Licensed Representative (Temporary Licence)	1