

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
Argus Capital Management Limited	AVY103	Licensed Corporation	9
BCT Financial Limited	ARW545	Licensed Corporation	1, 4
Bosera Asset Management (International) Co., Limited	AVR135	Licensed Corporation	4, 9
Centurion Investment Management (H.K.) Limited	AVM914	Licensed Corporation	9
Chinawide Securities Limited	AVF505	Licensed Corporation	1
CMB International Futures Limited	AVX028	Licensed Corporation	2
Dowin Securities Company Limited	AVO854	Licensed Corporation	1, 4
GLG Partners Hong Kong Limited	AVV378	Licensed Corporation	1, 2, 4, 9
Huatai Asset Management (Hong Kong) Company Limited	AVR438	Licensed Corporation	4, 9
KOI Investment Partners International Limited	AVV371	Licensed Corporation	9
LEGARLEON SECURITIES LIMITED	AVJ441	Licensed Corporation	1
Mizuho Capital Markets (HK) Limited	AEF228	Licensed Corporation	1, 2
ROTH Capital Partners (Hong Kong) Limited	AUZ616	Licensed Corporation	1, 6
SFM HK Management Limited	AVO620	Licensed Corporation	9
Stoneworks Asset Management (Malta) Limited	AUW703	Licensed Corporation	9
Montagu Private Equity LLP	AVP922	Licensed Corporation (Temporary Licence)	1
VTB Capital Plc	ATY899	Licensed Corporation (Temporary Licence)	1
- Navita	AWA227	Licensed Representative	1, 2
ABENDSCHEIN Lauren Beth	AWC654	Licensed Representative	1
ADAMS Michael Geoffrey William	AVO883	Licensed Representative	1, 4, 6
ALEXANDER Ean Malcolm	AWC494	Licensed Representative	1, 6
ALLEY Thomas Gordon	AVW005	Licensed Representative	1, 2
ANO Kenjiro	AWE081	Licensed Representative	1, 6
ANSON Glenn William Frank	AWC706	Licensed Representative	1
ARBIB Arianna	AWE922	Licensed Representative	1
ARTHUR-DICK Joseph	AVW172	Licensed Representative	1, 2
AU Hei Ching	AWC465	Licensed Representative	1, 2
AU YEUNG Shuk Kwan	AWC291	Licensed Representative	1
AUGIAS Cedric Alain Nicolas Marie	AFS898	Licensed Representative	1, 2
BAI Dongming	AWA166	Licensed Representative	4
BAO Danny Q	ANR606	Licensed Representative	4
BAYLEY Luke	AWD072	Licensed Representative	1, 6
BEN SADOON Didier	AWA584	Licensed Representative	1, 2
BLACK Robin	APS488	Licensed Representative	1
BOLLIER Stefan Gregor	AWC139	Licensed Representative	1, 6
BURNS Bridget Elizabeth	AWD490	Licensed Representative	1
BUSTA James Michael	AWC450	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CALINOFF Jordan Adam	AWC350	Licensed Representative	1, 4, 9
CAMMARATA Michael	AWC346	Licensed Representative	1, 2, 7
CASTLE Jarrod	AWA482	Licensed Representative	4
CHAIWATANATORN Krit	AWA324	Licensed Representative	1, 6
CHAN Chi Wai	AWF584	Licensed Representative	1
CHAN Chun Wah	APD545	Licensed Representative	4, 9
CHAN Henry	AVZ599	Licensed Representative	1, 2
CHAN Ka Ho	AWF460	Licensed Representative	1
CHAN King Lun	AWC183	Licensed Representative	1, 6
CHAN King Yuet	AHF243	Licensed Representative	1, 4, 9
CHAN Kwok Man, Frederick	AWA392	Licensed Representative	4
CHAN Kwun Ho	AWE467	Licensed Representative	1
CHAN Lai Yan	AWC176	Licensed Representative	4, 5, 9
CHAN Miu Yi	AWC699	Licensed Representative	4
CHAN Pui Ching	AGN555	Licensed Representative	4
CHAN Pui Sing	AWC635	Licensed Representative	1
CHAN Sai Fan	AVX926	Licensed Representative	1, 4, 9
CHAN Sheung Tak, Ricky	AWG842	Licensed Representative	9
CHAN Suet Ching	AVW684	Licensed Representative	1
CHAN Tsz Lok	AWC488	Licensed Representative	1
CHAN Wai Chung	AWB684	Licensed Representative	1
CHAN Wai Ip	AWC624	Licensed Representative	1
CHAN Wing Ho, Wingo	AAH569	Licensed Representative	2
CHAN Wing Man	AWC299	Licensed Representative	1
CHAN Ying In	AWC296	Licensed Representative	1
CHAN Ying Kit	AVZ549	Licensed Representative	1, 4
CHAN Yiu Fung	AVZ970	Licensed Representative	1, 4
CHANG Harold	AKJ151	Licensed Representative	1, 4, 6
CHANG James Hyeong Uk	AVQ605	Licensed Representative	9
CHANG Nim Hing	AWD976	Licensed Representative	3
CHANG Weijun	AOV859	Licensed Representative	1, 6
CHAO Patrick Shih Pan	AVZ600	Licensed Representative	6
CHAU Ho Man	AWC329	Licensed Representative	1, 2
CHAU Hon Sum	AWD033	Licensed Representative	1
CHAU Thomas	AWB783	Licensed Representative	1, 4, 6
CHAU Wai Man, Beverly	AWE779	Licensed Representative	1, 4, 6
CHAUDHURI Dyutish	AMX664	Licensed Representative	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHEN Agnes	AWB693	Licensed Representative	1
CHEN Alice Zhiyuan	AWE561	Licensed Representative	3
CHEN Bun	AFX303	Licensed Representative	1, 6
CHEN Guozeng	AWA222	Licensed Representative	4, 9
CHEN Jong Woei, Kevin	AWC873	Licensed Representative	1, 2, 7
CHEN Lijuan	AWC882	Licensed Representative	4
CHEN Naiqing	AWA138	Licensed Representative	6
CHEN Tianming	AWC087	Licensed Representative	1, 6
CHEN Yi-Lin	AWC188	Licensed Representative	4
CHEN Ying	AVZ685	Licensed Representative	1
CHEN Yiqing	AWE362	Licensed Representative	1, 6
CHEN Yung-hao	AWC264	Licensed Representative	4
CHENG Chi Ho	AWC625	Licensed Representative	1, 2
CHENG Hao	AVZ084	Licensed Representative	4
CHENG Kwan Lun	AJD750	Licensed Representative	4
CHENG Kwong Fook, Patrick	AFE194	Licensed Representative	3
CHENG Shing Fung	AWA311	Licensed Representative	1
CHENG Sim Kuen	APP900	Licensed Representative	1
CHENG Yick Sin, Billy	AOB640	Licensed Representative	1, 6
CHENG Yu Nga	AVW474	Licensed Representative	1
CHEONG Patrick Ming Hey	AWA646	Licensed Representative	1, 4, 6
CHETKA Katarzyna	AWA566	Licensed Representative	1
CHEUNG Aaron Yik Hei	AVZ772	Licensed Representative	1, 2
CHEUNG Chi Hang	AWC633	Licensed Representative	1, 2
CHEUNG Chi Lok	AWC705	Licensed Representative	9
CHEUNG Ho Hin, Arnold	AWC065	Licensed Representative	1
CHEUNG Ka Lok	AWH027	Licensed Representative	1
CHEUNG Ka Ming Wilson	AWD974	Licensed Representative	3
CHEUNG Kar Yan, Karina	AWD210	Licensed Representative	1
CHEUNG Kung Fat	AWA323	Licensed Representative	1
CHEUNG Kwun Wan	AOX381	Licensed Representative	1
CHEUNG Tsun Ting, Roman	AWA045	Licensed Representative	6
CHEUNG Wan Wah	AWA346	Licensed Representative	1
CHI Tien-Wei	AUV422	Licensed Representative	1, 2
CHIMAN Roger Mirchandani	AWC462	Licensed Representative	1
CHIN Tai Yen	AWA325	Licensed Representative	1
CHINSKY Kim M	AWB687	Licensed Representative	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHO Wai Kit	AWC123	Licensed Representative	1
CHOI Pui Yue, Jessica	AWA139	Licensed Representative	1, 4
CHOI Soung Min	AWE420	Licensed Representative	1, 4
CHOI Tat Shing	AHJ977	Licensed Representative	1, 2
CHOI Yu Soo	AWC050	Licensed Representative	1, 6
CHONG Cheuk Chi	AWE564	Licensed Representative	1, 2
CHONG Chun Ho	AWC258	Licensed Representative	1, 2, 3
CHONG Kam Lung	AWA413	Licensed Representative	2
CHONG Pui Yee	AOB170	Licensed Representative	1, 2
CHOW Chun Yue	AWB527	Licensed Representative	1
CHOW Hin Ching	AWC081	Licensed Representative	1
CHOW Ting Ho	AWA162	Licensed Representative	1
CHOW Tsz Lun	AVX419	Licensed Representative	1, 4
CHOW Yuk Hin	AWA215	Licensed Representative	2
CHU Shan Shan	ARK373	Licensed Representative	1, 4
CHU Xi	AWA375	Licensed Representative	1, 6
CHU Yuk Man	AWA560	Licensed Representative	1, 9
CHUA Kah Meng, Adrian	AWD992	Licensed Representative	4
CHUI Yan Chi Matthew	AWE920	Licensed Representative	1
CHUM Crystal Sze Wai	AVZ086	Licensed Representative	1, 6
CHUN Pok Kong	AWC451	Licensed Representative	1, 4
CHUNG Heung Tung	AWE461	Licensed Representative	3
CHUNG Ka Po	ANN404	Licensed Representative	1, 4
CHUNG Man Lee	AWD941	Licensed Representative	1, 2
CHUNG Min Soo	AWE956	Licensed Representative	4, 6
CHUNG Wai Fan, Winnifred	ADY889	Licensed Representative	1
CHUNG Yin Fong, Idy	AWA163	Licensed Representative	1
COE Jeremy Martyn Keith	AWC656	Licensed Representative	1
CUI Huizi	AWA583	Licensed Representative	1
CUI Li	AWC297	Licensed Representative	1
DAVID Raman	AWA562	Licensed Representative	4
DE CLERMONT TONNERRE Charles A. M. A.	AWE737	Licensed Representative	1, 4, 6
DEATS Warren Edmund	AWD931	Licensed Representative	1, 2
DOH Seung Han	AVJ096	Licensed Representative	1, 2
DONG Feng	AWE410	Licensed Representative	9
DONG Wayne	AWC672	Licensed Representative	4
DUAN Yang	AMG019	Licensed Representative	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
EDRIDGE Dominic Andrei	AWA561	Licensed Representative	4
ELLIOTT Michael John	AWE535	Licensed Representative	1
ENOKI Satoshi	AVM802	Licensed Representative	1, 2
EVANS Michael John	AWA046	Licensed Representative	4
FAN Cheuk Ming, Victor	AVW696	Licensed Representative	1, 2, 4, 5
FAN Lok Sang	AWA514	Licensed Representative	1
FENNELL Desmond Sean	AWA578	Licensed Representative	1
FERRIN Andrew Michael	AWE177	Licensed Representative	1, 2
FRASER JENKINS Inigo Lewis	AVZ834	Licensed Representative	4
FU Chi Keung	AWC084	Licensed Representative	1
FU Jackson	ARJ831	Licensed Representative	1
FUNG Mei Man	AVY423	Licensed Representative	9
FUNG Shuk Ching, Rita	AWC275	Licensed Representative	1
FUNG Sum Yi	AWB832	Licensed Representative	1, 2
GAO Shu	AWC298	Licensed Representative	1
GENG Geng	APX231	Licensed Representative	1, 6
GENG Wen	AWC781	Licensed Representative	1, 2, 4, 5
GO Elena	AWB868	Licensed Representative	1
GORNY Wolfgang Georg	AWD943	Licensed Representative	4
GU Gerard Sheng	AHD220	Licensed Representative	1, 6
GUI Lin	ANE043	Licensed Representative	4, 6, 9
HAO Tong	AWD076	Licensed Representative	1
HAYES Andrew Michael	AWE391	Licensed Representative	1, 4
HE Jun	AUR969	Licensed Representative	1
HE Kevin	AWE615	Licensed Representative	1, 6
HEDIGAN John Edward	AWA378	Licensed Representative	1, 4
HENDERSON Beata Karolina	AMP142	Licensed Representative	1
HEUNG Yuk King	AVA791	Licensed Representative	4, 9
HINDE Andrew Francis	AWA579	Licensed Representative	1
HO Chak Sum	AWD297	Licensed Representative	1
HO Kam Hing	AWC317	Licensed Representative	1
HO Kin To	AWC093	Licensed Representative	1
HO Kwok Fai	ACS543	Licensed Representative	2
HO Man Kit	AWA582	Licensed Representative	1, 2
HO Tsz Kin Dominic	AVZ607	Licensed Representative	1, 4, 6
HO Yiu Chung	AWE941	Licensed Representative	1, 2
HO Yuen May May	AWD112	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
HOLM Chad Christopher	AVZ806	Licensed Representative	1, 4, 6
HOLMEWOOD Philip James	AWC657	Licensed Representative	1, 2
HORNER Benjamin Brian Harold	AVR174	Licensed Representative	1
HORSFIELD Christopher William	AWA043	Licensed Representative	4
HOU Hongyan	ARR885	Licensed Representative	1, 2, 4
HOU Yingxuan	AWA022	Licensed Representative	1, 6
HSU Chih Lin, Julienne	AWF138	Licensed Representative	1, 4
HU Carol	AWD991	Licensed Representative	9
HU Linghan	AVZ070	Licensed Representative	1, 6
HU Shuang	AVY885	Licensed Representative	4
HUANG Bo-Kai	AKY607	Licensed Representative	1
HUANG Hon Ming	AWB697	Licensed Representative	1, 4
HUANG Kwan Shun, John	ACV914	Licensed Representative	1
HUANG Ngon Ping	ARW297	Licensed Representative	1, 2
HUANG Wei	AVZ311	Licensed Representative	2
HUANG Yuhui	AVY571	Licensed Representative	1, 4
HUANG Zheng-xian	AWD608	Licensed Representative	4
HUI Pik Man	AWD035	Licensed Representative	1
HUNG William	AWA329	Licensed Representative	1
ILDERTON Howard Graydon Rodney	AVZ288	Licensed Representative	1
ISHIGAKI Tomoko	AWE990	Licensed Representative	1, 6
ISHIZU Momoe	AWA212	Licensed Representative	1
ITO Rei	AWD995	Licensed Representative	1, 2
JIANG Bo	AWD081	Licensed Representative	1
JIANG Minming	AQG749	Licensed Representative	1, 4, 6
JIANG Sini	AWC257	Licensed Representative	1, 6
JIANG Wenqian	AWE172	Licensed Representative	1, 4, 6
JIN Bing	AWA168	Licensed Representative	1, 6
JOHNSTON Andrew Ian	AVY765	Licensed Representative	4
JONADET Antoine Georges Philippe Ernest Jacques	AWC448	Licensed Representative	1, 2
JUE Stephen Tukqun	AIQ688	Licensed Representative	4, 9
KALIA Naveen K	AWC351	Licensed Representative	9
KAPADIA Sunil Vijay	AWB792	Licensed Representative	4
KAWANISHI Miki	AVM803	Licensed Representative	1, 2
KIM Aki	ATM633	Licensed Representative	1, 2
KIM Hyun Wook	AWE446	Licensed Representative	4
KIM Ki Soo	AFJ899	Licensed Representative	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
KIM Nam Hee	AWD590	Licensed Representative	1, 6
KIM Warren Ho-Joong	AVY508	Licensed Representative	1
KITAYAMA Haruki	AWE082	Licensed Representative	1, 6
KO Ming Shan	AWC879	Licensed Representative	1
KOH Hui-Jian	AVM932	Licensed Representative	1, 4
KOH Soo Jin	AWC107	Licensed Representative	1, 6
KONG Tai Wai, Ernest	AWE576	Licensed Representative	1, 2
KOO Yuk Shing	AWA385	Licensed Representative	1, 2
KOSUGA Osamu	AWA259	Licensed Representative	1
KRISMER Peter Markus	AWD489	Licensed Representative	1, 4
KUMAR Lalit	AWB683	Licensed Representative	4
KUNG Pei Hsuan	AVW189	Licensed Representative	1, 2
KWAN Chun Chung	AWA516	Licensed Representative	1, 2
KWAN Tsun Man	AWA326	Licensed Representative	2
KWOK Chun Wing	ADU040	Licensed Representative	1, 2
KWOK Ka Man	AVY051	Licensed Representative	1
KWOK Ka Yin	AQW492	Licensed Representative	1
KWOK Kai Ho, Kelvin	AWA312	Licensed Representative	1
KWOK Wing Kin	AJI409	Licensed Representative	1
LABIDOIRE Yves	AWC072	Licensed Representative	1, 2, 7
LAI Chun Chiu	AWC888	Licensed Representative	1, 6
LAI Kin Ming	AWF473	Licensed Representative	1
LAI Suen Chan	ASL077	Licensed Representative	1, 2
LAI Wa Hing	AWD940	Licensed Representative	1, 2
LAM Chun Wai, Nicholas	AVM804	Licensed Representative	1, 2
LAM Kwun Wai	AWB780	Licensed Representative	1, 6
LAM Shang Ling	AWE513	Licensed Representative	1, 2
LAM Tat Yan	AWA223	Licensed Representative	1
LAM Tim Yi, Emily	AUS277	Licensed Representative	1
LAM Wai Man Raymond	AWF018	Licensed Representative	1
LAM Yin Kwan, Grace	AVX931	Licensed Representative	1, 4
LAN Wan-Ting	AVZ117	Licensed Representative	1, 2
LANGNER Gilbert Fred	ADK592	Licensed Representative	1, 2
LATHAM Ritchie Stephen	AQP593	Licensed Representative	1, 2
LAU Anson	AWC302	Licensed Representative	1
LAU Chun Hung	AWB786	Licensed Representative	1
LAU Chun Lung	AWC078	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LAU Hiu Fung	AWD942	Licensed Representative	1, 2
LAU Hui Ping, Patrick	AWA606	Licensed Representative	1, 6
LAU Ka Chun	AWD948	Licensed Representative	1, 2
LAU Kin Lam	AWC628	Licensed Representative	1, 3
LAU Mei Wah	AWC505	Licensed Representative	1
LAU Yuen Chi	AWC458	Licensed Representative	1, 2
LAW Chun Lok	AVY116	Licensed Representative	1
LAW Hung Yuet	AWB637	Licensed Representative	1
LAW Kevin Kwok Ching	AJA308	Licensed Representative	1
LAWSON Ryan John	ATQ939	Licensed Representative	1, 4
LE Anatole	AVY459	Licensed Representative	1, 2, 7
LEAHY Timothy James	AWB788	Licensed Representative	1
LEE Andrew Chun Ning	AWA649	Licensed Representative	1
LEE Hung Kwong	AAJ756	Licensed Representative	1, 2, 4
LEE Jackie Kai Yat	AWD626	Licensed Representative	4
LEE Jae Jun	AWB625	Licensed Representative	1
Lee Kwok Cheong	ATV233	Licensed Representative	1, 2
LEE Kyung In	AWD595	Licensed Representative	1, 4, 6
LEE Lik Hang, Lincoln	AWD861	Licensed Representative	4, 9
LEE Nicholas Kong Tze	ANG864	Licensed Representative	1, 2
LEE Pui Chi	AWC182	Licensed Representative	1, 2
LEE Shin Yin	AWD039	Licensed Representative	1, 4
LEE Shiu Ki	AWC496	Licensed Representative	1
LEE Shu Fan	AVZ082	Licensed Representative	1, 2
LEE Siu San, Rocky	AWC885	Licensed Representative	1, 4, 6
LEE Vizer	AWF319	Licensed Representative	9
LEE Wai Man	AWC512	Licensed Representative	1
LEE Yan Tung	AWA515	Licensed Representative	1
LEE Yiu Wah	AWB695	Licensed Representative	1, 4
LEI Quei-An	AWE267	Licensed Representative	1
LEUNG Chi Him	AWG843	Licensed Representative	9
LEUNG Chi Sun	AAH934	Licensed Representative	1
LEUNG Chun Chuen	AVJ100	Licensed Representative	1, 2
LEUNG Cliff Siu Chung	AWC889	Licensed Representative	1, 6
LEUNG Gi Hon Geoffrey	AWB688	Licensed Representative	6
LEUNG Hung Kin	AVM343	Licensed Representative	1, 4
LEUNG Ka Wun	AWC886	Licensed Representative	1, 9



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LEUNG Kwok Yan	AVZ100	Licensed Representative	1, 4
LEUNG Lok Kin	AWD227	Licensed Representative	1
LEUNG Man See	AWA336	Licensed Representative	1
LEUNG Miranda Chun Mui	AGB782	Licensed Representative	1, 4
LEUNG Pui Yin	AIO017	Licensed Representative	1, 4
LEUNG Quinson	AVZ110	Licensed Representative	1, 2
LEUNG Shuk Ching	AWB865	Licensed Representative	1
LEUNG Wai Lok	AQA795	Licensed Representative	4, 9
LEUNG Wai Ming	AWD524	Licensed Representative	1
LEUNG Wing Fai	AVX932	Licensed Representative	1
LEUNG Wing Man	AWA354	Licensed Representative	1
LI Hongxing	AWA019	Licensed Representative	1, 4, 6
LI Kar Chun, Eric	AWA219	Licensed Representative	1
LI Kwan Fu Christopher	AWD982	Licensed Representative	1, 4
LI Kwok Tung	AWB685	Licensed Representative	4, 9
LI Lee	AWF163	Licensed Representative	1, 4
LI Lei	AWA255	Licensed Representative	1, 6
Li Ngok	ALU184	Licensed Representative	1, 4
LI Pui Lun	AWC664	Licensed Representative	1
LI Pui Yan	AVZ605	Licensed Representative	1
LIAO Kai	AWC665	Licensed Representative	4
LIAO Ningjun	AGD668	Licensed Representative	9
LIAO Ya Tzu	ALN825	Licensed Representative	1
LIM Weijern	AWA189	Licensed Representative	1, 6
LIN Chien Huei	ATR860	Licensed Representative	1
LIN Liwei	AVY762	Licensed Representative	1
LIN Sally	ALV422	Licensed Representative	1
LIN Tun	AWE515	Licensed Representative	1, 4
LIU Bin	AWD208	Licensed Representative	1
LIU Candice Ye Ping	AVZ120	Licensed Representative	1, 6
LIU Chengqi	AWC881	Licensed Representative	1, 2
LIU Hang Yu	AWC324	Licensed Representative	6
LIU Wai Ho	AWA607	Licensed Representative	9
LIU Yecheng	AWA518	Licensed Representative	1
LO Chi Shing	AWE923	Licensed Representative	1, 2
LO Lap Kin, Frederick	AWA581	Licensed Representative	1, 2
LO Long Pak Rambo	AWA647	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LO Siu Kit	AOA396	Licensed Representative	1, 4, 6
LO Suet Ting, Sharon	AWH053	Licensed Representative	4, 9
LOU Dion Ziang	AWE176	Licensed Representative	1, 6
LU Chia-lin	AWB680	Licensed Representative	4
LU Liqin	AMJ802	Licensed Representative	1, 6
LUI Chun Man	AFE996	Licensed Representative	1, 2
LUI Suen Wah, Gary	ALI775	Licensed Representative	1, 4
LUI Wai Yin	AWE459	Licensed Representative	1
LUK Kuo Sheng, James	AWA383	Licensed Representative	1, 4, 9
LUK Wing Cheong	AWD990	Licensed Representative	1
LURIE Mattan Hillel	ANB366	Licensed Representative	1
LUTZ Marcel Anton	AWE444	Licensed Representative	1
MA Fai	AWB641	Licensed Representative	1, 4, 7, 9
MA Hiu Nga, Michelle	AWE190	Licensed Representative	4
MA Jun	AVZ152	Licensed Representative	4, 9
MA Oi Wan	AWD939	Licensed Representative	1
MA Wai Shun, Patrick	ABF913	Licensed Representative	4
MA Yaoyao	AWC080	Licensed Representative	1, 4
MA Zheng	AWD042	Licensed Representative	1
MAK Ka Ki	AWE005	Licensed Representative	1, 6
MAK King Man	AWC306	Licensed Representative	1
MAK Siu Ching	APV914	Licensed Representative	1
MAK Timothy Koon Hai	AQZ616	Licensed Representative	4, 5
MAK Wai Keung	AWE434	Licensed Representative	1
MAK Yiu Chuen, Eugene	AWA600	Licensed Representative	1
MAKIO Hisayoshi	AVM805	Licensed Representative	1, 2
MAN Nok Yan, Gladys	AWD244	Licensed Representative	1, 6
MAQSOOD Omer	AUQ290	Licensed Representative	1, 7
MCDONALD Nicolas	AWA321	Licensed Representative	1
MCDUGALL Kathleen Martha	AVD132	Licensed Representative	4
MEHTA Ashwin	AVZ833	Licensed Representative	4
MEHTA Siddharth	AWF333	Licensed Representative	1, 6
MELVIN YIP Yang Joon	AVP043	Licensed Representative	1, 4, 6
METCALFE Stephen Donald	ACR545	Licensed Representative	1, 6
MITCHELL Lee Mark	AWA042	Licensed Representative	1
MITCHINSON David Nicholas	ALW321	Licensed Representative	4, 5, 9
MO Wai Hong, Matthew	ABY694	Licensed Representative	4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
MOK Hon Kit	ASE897	Licensed Representative	1, 2
MONK Philip David	AVV242	Licensed Representative	1, 9
MROZINSKI Matthew Thomas	AVZ081	Licensed Representative	1, 6
MU Hao	AWC280	Licensed Representative	1, 6
NADEL Mitchell Neil	AWB871	Licensed Representative	1, 2
NAGAE Joseph Kurian	AVZ283	Licensed Representative	6
NAGASAKA Mia	AVY675	Licensed Representative	1
NAKAZAWA Shin	AVM806	Licensed Representative	1, 2
NAUER Marc Andre	AWC056	Licensed Representative	4, 9
NG Chan Man	AWC045	Licensed Representative	1
NG Chung Kei, Paul	AWF522	Licensed Representative	1
NG Jason W H	AWD539	Licensed Representative	9
NG Kar Wing, Karen	AWD040	Licensed Representative	1
NG Kar Yin, Derek	AVZ068	Licensed Representative	1, 6
NG Kay Chak, Eric	APK348	Licensed Representative	1, 4
NG King Lit	AEM917	Licensed Representative	1, 2
NG Kwok Ming	AWA039	Licensed Representative	1, 2
NG Lok Wun	AWC637	Licensed Representative	1, 2
NG Ting Fai	AWD110	Licensed Representative	1, 2
NG Tze Wai	ARB557	Licensed Representative	1
NG Wai Hong	AOR973	Licensed Representative	1, 4
NG Wai Ping	AWC089	Licensed Representative	1
NG Wing Sze	AWC493	Licensed Representative	1, 2
NG Yu Hin	AWA345	Licensed Representative	1
NGAI Kwok Pan	AWE460	Licensed Representative	3
NGOH You'An	AWB831	Licensed Representative	1, 6
NICHOLSON Paul Andrew	AOJ715	Licensed Representative	9
NIE Daniel	AVM807	Licensed Representative	1, 2
NORDBERG Brian Victor Edward	APZ205	Licensed Representative	1, 7
OGATA Marina Miyuki	AWF341	Licensed Representative	1, 2
OLSSON Scott James	AWC074	Licensed Representative	4
ORUCHE Fidelis	AWA580	Licensed Representative	1
PAN Chenyue	AWE766	Licensed Representative	1, 2, 7
PAN Dengzhao	AWE392	Licensed Representative	1
PAN Qiang	AVZ078	Licensed Representative	1, 6
PARAMESWARAN Dhileepan	ALZ600	Licensed Representative	1
PARKS Robert Ralph	ADM267	Licensed Representative	1, 4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
PASTO JORQUERA Albert	AWD094	Licensed Representative	1, 2
PEQUIGNOT Jean-david	AWC880	Licensed Representative	1, 6
PETKOVA Tania Kostadinova	AWD679	Licensed Representative	1, 2
POON Chun Wai	AWF137	Licensed Representative	1
POON Yee Yan	AWD508	Licensed Representative	1
POUPARD NEALE Corinne Dominique	AWE468	Licensed Representative	1, 4, 6
PRATT Jon Vaughan Merrick	AGN910	Licensed Representative	1, 4, 6
PUN Yun Mei, Marcella	AFU332	Licensed Representative	1
QIAN Gu	AWD872	Licensed Representative	4
QU Wen	AVI867	Licensed Representative	1, 2
RECCO Salvatore Paul	AWC694	Licensed Representative	1, 2
RYALL Scott John	AWE006	Licensed Representative	4
RYAN David Anthony	AWE536	Licensed Representative	1
SAHA Soumyadeep	AWA384	Licensed Representative	1, 4, 9
SATO Go	AWC453	Licensed Representative	9
SCHNEIDER Paul Stephen	AVW695	Licensed Representative	4
SEE THOR Wai Fung	AWA398	Licensed Representative	1
SELBY Paul John	AWA565	Licensed Representative	1
SELLAM Alexandre Elie	AWD946	Licensed Representative	1, 2
SENER Fatih	AWA028	Licensed Representative	1, 2
SEUNG Kyu Suk	AWD650	Licensed Representative	1, 4, 6
SHA Shimin	AVY729	Licensed Representative	1, 2
SHAH Kiran Dhirajlal	AWC345	Licensed Representative	1, 2, 4, 5
SHAO Sheila	AWE431	Licensed Representative	1
SHE Kui Chi	AJE102	Licensed Representative	1, 2, 3
SHIN Dai Hyun	AWA208	Licensed Representative	1, 6
SHINTRE Vaibhav Kiran	AVZ074	Licensed Representative	1, 2
SHUM Pui Kwan, Catherine	AKL739	Licensed Representative	4
SISTERSON John Paul	ACS560	Licensed Representative	1
SO Ka Wai, Amy	AFZ784	Licensed Representative	4
SO Mei Yee Marilyn	AWE114	Licensed Representative	1
SO Ngai Nam, Ginny	ANE757	Licensed Representative	4
SO Sung Yuen	AWB874	Licensed Representative	1, 2, 4, 5
SPENCER John Charles	AWC659	Licensed Representative	1
STACEY Edward John	AWF161	Licensed Representative	1
SUEN Nam Pan	AWC059	Licensed Representative	1, 4
SUEN Wing Chung Joseph	AWE396	Licensed Representative	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
SUN Jie	AWC668	Licensed Representative	4
SUN Yubei	AWF153	Licensed Representative	1, 6
SUNG Wai Man	AWD607	Licensed Representative	1
SZE Kai Yi, Craik	AWD525	Licensed Representative	1
TAI Waini Karen	APC027	Licensed Representative	4
TAM Tommy Yuk-tong	AVZ831	Licensed Representative	4
TAM Yi Ting	AWA341	Licensed Representative	1, 2
TAN Jason Pak Yin	AWA245	Licensed Representative	1, 2
TAN Mei Lin	AVZ261	Licensed Representative	1, 2
TAN Wee Lee	AQI099	Licensed Representative	1, 4
TANAKA Daisuke	AWB870	Licensed Representative	1
TANG Chung Kwan	ADV243	Licensed Representative	1, 2
TANG Lin	AVY842	Licensed Representative	1, 4, 5, 9
TANG Li-Yu	AWE763	Licensed Representative	4
TANG Yu Ping	AWF020	Licensed Representative	6
TANTUICO Anna Francesca Syjuco	AWA044	Licensed Representative	1
TATEBE Kojiro	AVM808	Licensed Representative	1, 2
TAY Yhee Lin, Amanda	AWD549	Licensed Representative	1
THEMISTOCLES Todd Matthew	AWF159	Licensed Representative	1, 4
THURSBY Nicholas Geoffrey	AWD032	Licensed Representative	1, 4, 6
TING Khang	AWC349	Licensed Representative	9
TO Yik Man	AVZ548	Licensed Representative	1, 3
TONG Kwok Fai Alferd	AWC510	Licensed Representative	1
TSANG Tan Ying	ANU464	Licensed Representative	1
TSANG Tsz Ho	AWE361	Licensed Representative	1, 2
TSANG Wai Yip, Victor	AWF325	Licensed Representative	1, 4
TSAO Hui-shu	AVY646	Licensed Representative	4, 6
TSE Chun Yuen	AWC311	Licensed Representative	1
TSE Ling Ling	AVZ963	Licensed Representative	1
TSENG KUO Jorge	AQW616	Licensed Representative	4
TSUI Kin Kwok	AWG840	Licensed Representative	1
VAN DER VEN Jeroen Willibrord Erlend	AWC090	Licensed Representative	9
WAI Shing Cheong	AWF102	Licensed Representative	1, 2, 3
WAKI Shuichiro	AVM809	Licensed Representative	1, 2
WAN Kwok Fai	AWE458	Licensed Representative	1, 2
WANG Jia	AWC467	Licensed Representative	6
WANG Jue	AWD852	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WANG Lei	AWC779	Licensed Representative	1, 6
WANG Lisa	AWF160	Licensed Representative	1, 4
WANG Ting	AON292	Licensed Representative	1, 4
WANG Xin	AVV240	Licensed Representative	1, 4, 6
WANG Yuan	AWC461	Licensed Representative	4, 6
WATSON Jason William	AWC344	Licensed Representative	1, 4
WEI Like	AWC816	Licensed Representative	1, 6
WEN Yani	AWE563	Licensed Representative	3
WOLF Matthias	AVZ099	Licensed Representative	1
WON Sun-hee	AWC341	Licensed Representative	1, 4
WONG Benson	ACU389	Licensed Representative	1, 4
WONG Cheong Tai	AIU561	Licensed Representative	1, 2
WONG Chi Kiu	AWD978	Licensed Representative	4, 9
WONG Chi Tat	AWE140	Licensed Representative	1, 4
WONG Chui Ying	AWD945	Licensed Representative	1
WONG Chun Ting	AOV781	Licensed Representative	1, 4
WONG Hiu Tung, Hilda	AWA334	Licensed Representative	1
WONG Hiu Yan	AQG385	Licensed Representative	1
WONG Hoi Tik	AWA333	Licensed Representative	1
WONG Justin Wayne	AWD588	Licensed Representative	1, 6
WONG Ka Chun	AWF569	Licensed Representative	1
WONG Ka Man	AFQ602	Licensed Representative	1
WONG Ka Ming, Alan	ARQ657	Licensed Representative	1, 2
WONG Ka Wing	AVZ613	Licensed Representative	1
WONG Kit Ting	AWC883	Licensed Representative	1, 4
WONG Lui	ADN449	Licensed Representative	1
WONG Man Tat	AWE964	Licensed Representative	1
WONG Ming Kwan Clement	AVZ776	Licensed Representative	1
WONG Nga Yan	AWD491	Licensed Representative	6
WONG Pak Yin	AWA130	Licensed Representative	1, 4
WONG Shun Kit	AWE539	Licensed Representative	1
WONG Sze Sing	AWG839	Licensed Representative	1
WONG Tak Yung	AWC327	Licensed Representative	1, 4
WONG Wai Kwan	AWA381	Licensed Representative	2
WONG Wai Ling	ADV539	Licensed Representative	1
WONG Wai Ming	AHK736	Licensed Representative	1
WONG Wing Kin	AWA470	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WONG Yuk Wa	AVY716	Licensed Representative	1
WOO Wing Yin	APC057	Licensed Representative	1
WU Chun Yin, Steven	AVZ964	Licensed Representative	1, 4
WU Cindy Sunny	AVQ956	Licensed Representative	1, 4, 6
WU Huichun	AWC278	Licensed Representative	4
WU Juan	AVI835	Licensed Representative	4
WU Man Ching	AWH054	Licensed Representative	9
WU Ping-Heng	AWA165	Licensed Representative	4
WU Xiaowen	AWB782	Licensed Representative	1
WU Xueying	AWC459	Licensed Representative	2
XIA Yuqi	AVS718	Licensed Representative	1, 2
XIE Jiejing	AWA458	Licensed Representative	1, 6
XIE Yi	AWE691	Licensed Representative	4
XU Lin	AVZ985	Licensed Representative	9
XU Pengbing	AWC146	Licensed Representative	1, 4
XUE Yunzhe	AWE565	Licensed Representative	1, 6
YAM Tsz Chun	AQC811	Licensed Representative	4
YAMAGUCHI Daisuke	AMS713	Licensed Representative	1, 4
YAN Lei	AVX953	Licensed Representative	4, 9
YANG Ming	AWE557	Licensed Representative	4, 9
YANG Xinlin	APG517	Licensed Representative	1, 6
YAR Lai Ngor, Petty	ALK133	Licensed Representative	1, 4
YAU Chyi-kuen	AVZ841	Licensed Representative	1
YEH Jyh-jia	AWB869	Licensed Representative	1, 2
YEH Wan-Ping	AVZ839	Licensed Representative	4
YEUNG Kin Yip	AWH552	Licensed Representative	1
YEUNG Nok Yan, Jonathan	AVD837	Licensed Representative	1, 6
YEUNG Sheung Pui	AWA332	Licensed Representative	1
YIP Hao Huan	AVZ349	Licensed Representative	4, 9
YIP Pui Yee	ASY648	Licensed Representative	1, 2, 7
YIP Wing Sze	AWA188	Licensed Representative	1, 9
YIP Wing Sze, Venz	AWD043	Licensed Representative	4
YIU Sui Chong	AOS002	Licensed Representative	1, 6
YOUNG Ting Kar	AEY445	Licensed Representative	1, 2
YU Bessie	AWA320	Licensed Representative	1
YU Chi Wai Joey	AWE457	Licensed Representative	1
YU Man Ting Loretta	AWE558	Licensed Representative	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
YU Pui Tak	AOY583	Licensed Representative	1, 4
YU Wai Yin, Alice	APW434	Licensed Representative	1, 2
YU Xiaoping	AWC655	Licensed Representative	4
YUAN Chongze	AWD529	Licensed Representative	1, 2
YUEN Che Hay	AWA517	Licensed Representative	1
YUEN Robin	AQD400	Licensed Representative	4
YUEN Tat Wing	AWA568	Licensed Representative	1
ZHAN Meiqing	AWD580	Licensed Representative	1, 2
ZHANG Fan	AWE514	Licensed Representative	1, 6
ZHANG Fen	AWA135	Licensed Representative	1, 4, 6
ZHANG Tingfei	AUA545	Licensed Representative	1
ZHAO Yang	ARS552	Licensed Representative	1, 4
ZHENG Yong	AWC127	Licensed Representative	1, 6
ZHU Yi	AWE965	Licensed Representative	4
ZOU Chuan	AVZ312	Licensed Representative	1, 2
ANDERSON Keith Thomas	AVP030	Licensed Representative - Responsible Officer	9
BHAGAT Rohit	AUI838	Licensed Representative - Responsible Officer	1, 4
BIGGS Steven John	AVY105	Licensed Representative - Responsible Officer	9
CHAN Wing Hing Barry	ACN231	Licensed Representative - Responsible Officer	6
CHAN Yue Yuen	ANM307	Licensed Representative - Responsible Officer	4, 9
CHEN Chuan-Hsin	ARG223	Licensed Representative - Responsible Officer	1
CHEUNG Sze Mei, Florence	AFS329	Licensed Representative - Responsible Officer	9
CHEUNG Wing Lok, Kenny	AVV379	Licensed Representative - Responsible Officer	9
DAI Jixin	AVP032	Licensed Representative - Responsible Officer	9
DOWNES Larissa Anne	AGI658	Licensed Representative - Responsible Officer	4
EGUSA Hiroya	AVM801	Licensed Representative - Responsible Officer	1, 2
FORSTER Peter John	AVT056	Licensed Representative - Responsible Officer	4, 9
GREGORY Philip Leeton	ANZ638	Licensed Representative - Responsible Officer	6
HEER-ZACEK Esther Maria	ABA045	Licensed Representative - Responsible Officer	1, 4, 9
HORSEY Frederick James	AMF121	Licensed Representative - Responsible Officer	1, 4
HUA Weilai	ALU448	Licensed Representative - Responsible Officer	9
KWON Young Bum	AGO217	Licensed Representative - Responsible Officer	1, 4, 6
LARKIN Anthony Gerrard	ABW349	Licensed Representative - Responsible Officer	4
LAU Mun Chung	AGS094	Licensed Representative - Responsible Officer	1
LEUNG Chun Shing	ALG206	Licensed Representative - Responsible Officer	5, 9
LI Keith Kai	ACR902	Licensed Representative - Responsible Officer	4, 9
LIN Xiao Jun	AVY106	Licensed Representative - Responsible Officer	9



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LUSTBERG Robert Jack	AUW705	Licensed Representative - Responsible Officer	9
MARCON Stephen James Neville	AAD512	Licensed Representative - Responsible Officer	4
MCWILLIAM James Richard	AVY126	Licensed Representative - Responsible Officer	1, 4
MURPHY Michael John	AUD964	Licensed Representative - Responsible Officer	4, 9
NOGUCHI Kenichi	AVY124	Licensed Representative - Responsible Officer	1
SILVA Joseph Edward	AAI904	Licensed Representative - Responsible Officer	1
SIMS Jeffrey Robert	AUW707	Licensed Representative - Responsible Officer	9
SOH Sei Hoe	AVJ707	Licensed Representative - Responsible Officer	2
TAN Marcus Harry	AFG776	Licensed Representative - Responsible Officer	1, 6
TANG Boo Teck	AVM906	Licensed Representative - Responsible Officer	9
TANG Kay Hwa	AAB952	Licensed Representative - Responsible Officer	9
TRUELOCK Mitchell Ray	AUZ666	Licensed Representative - Responsible Officer	1, 6
WONG Chung Yip, Kenneth	AVM448	Licensed Representative - Responsible Officer	6
WOO Kam For, Kelvin	ADY966	Licensed Representative - Responsible Officer	1, 2, 4, 9
WU Choi Sun, William	AER325	Licensed Representative - Responsible Officer	4, 9
YANG Yang	AVR642	Licensed Representative - Responsible Officer	4, 9
YOSHIHARA Masatoshi	AVM800	Licensed Representative - Responsible Officer	1, 2
ZHAO Lumiao	ATR659	Licensed Representative - Responsible Officer	4, 9
ABITBOL Othoniel	AWE966	Licensed Representative (Provisional Licence)	1, 2, 7
AGARI Daisuke	AWH021	Licensed Representative (Provisional Licence)	1
ALEXANDER Alisha Nicole	AWE175	Licensed Representative (Provisional Licence)	1, 2
ALLEY Thomas Gordon	AVW005	Licensed Representative (Provisional Licence)	1, 2
ARBIB Arianna	AWE922	Licensed Representative (Provisional Licence)	1
ARCULLI Kim Melanie	AAA119	Licensed Representative (Provisional Licence)	1, 4
ARORA Bhanu	AWE899	Licensed Representative (Provisional Licence)	1, 4, 9
AWANOHARA Naoko	AWE541	Licensed Representative (Provisional Licence)	1
BAI Yue	AWI797	Licensed Representative (Provisional Licence)	4, 9
BANG Edward Soo Jong	ADA664	Licensed Representative (Provisional Licence)	4, 9
BIRRELL David Andrew	AWF135	Licensed Representative (Provisional Licence)	1
BODOR Thomas	AWH545	Licensed Representative (Provisional Licence)	4
BONG Peng Leong Terence	AWD298	Licensed Representative (Provisional Licence)	1, 4, 9
BUDHRANI Sanam Gobind	AWE947	Licensed Representative (Provisional Licence)	1, 4
CAI Tsz Yeung	AWH561	Licensed Representative (Provisional Licence)	1, 2
CAI Xiaoxiao	AWH776	Licensed Representative (Provisional Licence)	1, 4, 9
CANAL Lino Mario	AWF523	Licensed Representative (Provisional Licence)	1, 4
CHAI Tak Loon	AWH859	Licensed Representative (Provisional Licence)	1
CHAN Che Hong, Bruce	AKQ590	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHAN Chi Wai	AWF584	Licensed Representative (Provisional Licence)	1
CHAN Hin Hong	ARI672	Licensed Representative (Provisional Licence)	1, 2
CHAN Hing Hung	AWJ215	Licensed Representative (Provisional Licence)	2
CHAN Hor Chung	AWH108	Licensed Representative (Provisional Licence)	1
CHAN Lok Man	AWI318	Licensed Representative (Provisional Licence)	1
CHAN Ming Wing	AWH504	Licensed Representative (Provisional Licence)	1
CHAN On Kei, Angel	ALN635	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Pak Shing	AWJ632	Licensed Representative (Provisional Licence)	1
CHAN Siu Ping	AWJ730	Licensed Representative (Provisional Licence)	1, 4
CHAN Tai Yeung	AWE573	Licensed Representative (Provisional Licence)	1
CHAN Tai Yu	AWI794	Licensed Representative (Provisional Licence)	1, 2
CHAN Ut leong	AWF498	Licensed Representative (Provisional Licence)	4
CHAN Wai Sing	AWJ805	Licensed Representative (Provisional Licence)	2
CHAN Wayne Holt	AMH139	Licensed Representative (Provisional Licence)	6
CHAN Wing Hei, Jason	AWF756	Licensed Representative (Provisional Licence)	1, 2
CHAN Wing Ho, Wingo	AAH569	Licensed Representative (Provisional Licence)	2
CHAN Wing Yee	AWF337	Licensed Representative (Provisional Licence)	1
CHAN Yin Tsung	ATY748	Licensed Representative (Provisional Licence)	6
CHANG Man Wai, Lawrence	AWF164	Licensed Representative (Provisional Licence)	1
CHANG Nim Hing	AWD976	Licensed Representative (Provisional Licence)	3
CHAU Chun Wun	AWH522	Licensed Representative (Provisional Licence)	1, 2
CHAU Yuen Kit	AWH565	Licensed Representative (Provisional Licence)	1, 6
CHEN Alice Zhiyuan	AWE561	Licensed Representative (Provisional Licence)	3
CHEN Amanda	AWF103	Licensed Representative (Provisional Licence)	1, 6
CHEN Chen	AWI296	Licensed Representative (Provisional Licence)	6
CHEN Jennifer Chen	AWI665	Licensed Representative (Provisional Licence)	1, 6
CHEN Jianwu	AWG059	Licensed Representative (Provisional Licence)	1
CHEN Jong Woei, Kevin	AWC873	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Ke	AWG860	Licensed Representative (Provisional Licence)	1, 6
CHEN Mu-Hsuan	AWI094	Licensed Representative (Provisional Licence)	1
CHEN Po-jen	APN593	Licensed Representative (Provisional Licence)	1, 4
CHEN Yanhu	AWJ173	Licensed Representative (Provisional Licence)	1, 6
CHENG Gao	AWE189	Licensed Representative (Provisional Licence)	4
CHENG Hoi Kwong	AWH509	Licensed Representative (Provisional Licence)	1, 2
CHENG Ka Ming	AWG075	Licensed Representative (Provisional Licence)	1, 2
CHENG Kam Wa	AWJ164	Licensed Representative (Provisional Licence)	1, 2, 4
CHENG Kwan Lun	AJD750	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHENG Kwong Fook, Patrick	AFE194	Licensed Representative (Provisional Licence)	3
CHENG Lai Yee	AWH237	Licensed Representative (Provisional Licence)	1
CHENG Mee Mee, Lina	ASY524	Licensed Representative (Provisional Licence)	1, 2, 3
CHENG Tsz Yeung	AWJ019	Licensed Representative (Provisional Licence)	1
CHEUNG Aaron Yik Hei	AVZ772	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Hoi Yee Sue Ann	AWH828	Licensed Representative (Provisional Licence)	1
CHEUNG Kwok Kee, Kaman	AWF521	Licensed Representative (Provisional Licence)	1
CHEUNG Mei Kuen Amy	AWJ405	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Pui Hei, Christopher	AWJ345	Licensed Representative (Provisional Licence)	9
CHHUOR Vithaya	AWI764	Licensed Representative (Provisional Licence)	4, 9
CHIBA Lisa Herrell	AWI663	Licensed Representative (Provisional Licence)	9
CHIN Yuen Hung	AWH920	Licensed Representative (Provisional Licence)	1
CHIU Ho Ming	AWI112	Licensed Representative (Provisional Licence)	4
CHIU Wing Fai	ADS695	Licensed Representative (Provisional Licence)	4
CHO Ichio	AWE957	Licensed Representative (Provisional Licence)	1, 4
CHOI Sae Joon	AWF683	Licensed Representative (Provisional Licence)	1, 4, 9
CHOI Soung Min	AWE420	Licensed Representative (Provisional Licence)	1, 4
CHONG Cheuk Chi	AWE564	Licensed Representative (Provisional Licence)	1, 2
CHONG Wing Luk	AWE952	Licensed Representative (Provisional Licence)	1
CHOY Chu Fu Prudence	AWJ707	Licensed Representative (Provisional Licence)	1, 2
CHOY Phoebe Sin Ting	AWJ867	Licensed Representative (Provisional Licence)	1
CHU James Hendon	AWJ622	Licensed Representative (Provisional Licence)	1, 9
CHU Wai Ting, Eddie	ABX990	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Xian	AWI938	Licensed Representative (Provisional Licence)	4
CHUI Cho Yiu, Joewick	ADC848	Licensed Representative (Provisional Licence)	1, 9
CHUI Yan Chi Matthew	AWE920	Licensed Representative (Provisional Licence)	1
CHUN Man Kit, Vic	AWE774	Licensed Representative (Provisional Licence)	6
CHUNG Min Soo	AWE956	Licensed Representative (Provisional Licence)	4, 6
CHUNG Soon Hyung	AWJ606	Licensed Representative (Provisional Licence)	1, 4, 9
CLARK Bettina Gay	AHL626	Licensed Representative (Provisional Licence)	1
COE Jeremy Martyn Keith	AWC656	Licensed Representative (Provisional Licence)	1
DELEPAU Yves Andre Pierre	AWI266	Licensed Representative (Provisional Licence)	1
DEN Izumi	AWH496	Licensed Representative (Provisional Licence)	1
DONALD Robert Anthony	AWI235	Licensed Representative (Provisional Licence)	9
DONG Yujia	AWH332	Licensed Representative (Provisional Licence)	1, 6
EDANAMI Sawa	AWH500	Licensed Representative (Provisional Licence)	1
ELLIOTT Michael John	AWE535	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
EZZIANE Nadjib	AWJ626	Licensed Representative (Provisional Licence)	1
FANG Zhiying	AWK007	Licensed Representative (Provisional Licence)	1
FANNING Clive Rupert	AWH503	Licensed Representative (Provisional Licence)	1
FARMER Kaitlin Marie	AWF328	Licensed Representative (Provisional Licence)	1, 2
FEI Peng	AWH287	Licensed Representative (Provisional Licence)	4, 9
FENG Wei	AWI297	Licensed Representative (Provisional Licence)	6
FERRIN Andrew Michael	AWE177	Licensed Representative (Provisional Licence)	1, 2
FISHER Kane Christian	AWC457	Licensed Representative (Provisional Licence)	1, 2
FRENCH Charles David	AWI079	Licensed Representative (Provisional Licence)	2
FU Ka Chun	AWJ844	Licensed Representative (Provisional Licence)	1
FUNG Chi Kei, Jackie	AWH854	Licensed Representative (Provisional Licence)	1, 4, 9
FUNG Chun Wai	AWG917	Licensed Representative (Provisional Licence)	1
FUNG Shuk Chong, Angela	AWE944	Licensed Representative (Provisional Licence)	1
GAO Hao	AWH335	Licensed Representative (Provisional Licence)	1, 6
GASSER Karin	AWK318	Licensed Representative (Provisional Licence)	1, 4
GAYNOR Kevin Brendan	AWE840	Licensed Representative (Provisional Licence)	4, 5
GENG Wen	AWC781	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GOH Eng Kiat	AWH794	Licensed Representative (Provisional Licence)	1, 6
GOH Nicole Sue Yi	AWE537	Licensed Representative (Provisional Licence)	4
GOLDSHTEIN Simon	AWI075	Licensed Representative (Provisional Licence)	1
GONG Dan	AWE542	Licensed Representative (Provisional Licence)	2
GROSE George Francis	AWH234	Licensed Representative (Provisional Licence)	4
GU Gerard Sheng	AHD220	Licensed Representative (Provisional Licence)	1, 6
GU Peng	AWE910	Licensed Representative (Provisional Licence)	6
GUHA ROY Tathagata	ALN138	Licensed Representative (Provisional Licence)	4
GUI Po Lung, Paul	AGK580	Licensed Representative (Provisional Licence)	1
GUO Jieyi	AWH106	Licensed Representative (Provisional Licence)	1, 2
HAYES Andrew Michael	AWE391	Licensed Representative (Provisional Licence)	1, 4
HAYES-GRIFFIN Alexander	AWI108	Licensed Representative (Provisional Licence)	1, 6
HE Kevin	AWE615	Licensed Representative (Provisional Licence)	1, 6
HITSCH Rudolf	AEX704	Licensed Representative (Provisional Licence)	1, 2
HO Chi Ming	AWJ080	Licensed Representative (Provisional Licence)	1, 2
HO Kin Man	AWG976	Licensed Representative (Provisional Licence)	1
HO Pui Hung	AWE954	Licensed Representative (Provisional Licence)	1, 4
HO Sze Yuen	AWH560	Licensed Representative (Provisional Licence)	1, 2
HO Yiu Chung	AWE941	Licensed Representative (Provisional Licence)	1, 2
HOLMEWOOD Philip James	AWC657	Licensed Representative (Provisional Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
HONG Xiaohua	AWH557	Licensed Representative (Provisional Licence)	1, 2, 9
HORNER Benjamin Brian Harold	AVR174	Licensed Representative (Provisional Licence)	1
HSU Chih Lin, Julienne	AWF138	Licensed Representative (Provisional Licence)	1, 4
HU Carol	AWD991	Licensed Representative (Provisional Licence)	9
HU Mingjun	AWF553	Licensed Representative (Provisional Licence)	1
HUA Andrew Sir	AWH867	Licensed Representative (Provisional Licence)	1
HUANG Chi Kiu	AWH119	Licensed Representative (Provisional Licence)	1, 4
HUANG Jiajun	AWH242	Licensed Representative (Provisional Licence)	1, 6
HUNG Kam Chan	AWJ605	Licensed Representative (Provisional Licence)	1
ISHIGAKI Tomoko	AWE990	Licensed Representative (Provisional Licence)	1, 6
ITO Rei	AWD995	Licensed Representative (Provisional Licence)	1, 2
JANG Eunjae	AWG077	Licensed Representative (Provisional Licence)	1, 4, 9
JIN Xiaolong	AWJ022	Licensed Representative (Provisional Licence)	1
JONES William Rhys	AWI933	Licensed Representative (Provisional Licence)	1, 2
JU Peirong	AWJ167	Licensed Representative (Provisional Licence)	9
JUILLARD Pierre-Emmanuel Yves	AWH518	Licensed Representative (Provisional Licence)	1, 2
KAN Yue Kai Edward	AWJ921	Licensed Representative (Provisional Licence)	1
KANO Sera Reika	AWH549	Licensed Representative (Provisional Licence)	1
KASDIN Matthew Hedley	AWJ133	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Hyun Wook	AWE446	Licensed Representative (Provisional Licence)	4
KIM Jae Hee	AFT100	Licensed Representative (Provisional Licence)	1, 4
KIM Ki Soo	AFJ899	Licensed Representative (Provisional Licence)	1, 4
KIM Yoon Hong	AWI664	Licensed Representative (Provisional Licence)	1, 6
KIRKWOOD Daniel Andreas	AWH536	Licensed Representative (Provisional Licence)	1
KO Tsz Ngong	AWH115	Licensed Representative (Provisional Licence)	1, 2
KOBAYASHI Toshio	AWH556	Licensed Representative (Provisional Licence)	4
KONG Sum Ying	AFS337	Licensed Representative (Provisional Licence)	1, 4, 9
KONG Tai Wai, Ernest	AWE576	Licensed Representative (Provisional Licence)	1, 2
KOVACS Joseph James	AWI763	Licensed Representative (Provisional Licence)	1, 4
KRAUTHEIM Erica Shao-Ning	AWG056	Licensed Representative (Provisional Licence)	1, 2
KUNG Hwei Kuok	AWI434	Licensed Representative (Provisional Licence)	1, 2
KUO Yan Ki	AWE687	Licensed Representative (Provisional Licence)	4
KWAN Ka Yin	AWF338	Licensed Representative (Provisional Licence)	1
KWOK Chun Wai, Kenny	AWE951	Licensed Representative (Provisional Licence)	1, 2
KWONG Wai Chi	AWF597	Licensed Representative (Provisional Licence)	4, 9
LAI Chun Chiu	AWC888	Licensed Representative (Provisional Licence)	1, 6
LAI Hiu Yan, Lenny	AWJ008	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LAI Suen Chan	ASL077	Licensed Representative (Provisional Licence)	1, 2
LAI Sze Nga	ALQ190	Licensed Representative (Provisional Licence)	1, 2
LAM Ho Yu	AWF133	Licensed Representative (Provisional Licence)	1, 6
LAM Lok Ki	AWI153	Licensed Representative (Provisional Licence)	1
LAM Pui Wai Peony	AWJ625	Licensed Representative (Provisional Licence)	1, 2
LAM Shang Ling	AWE513	Licensed Representative (Provisional Licence)	1, 2
LAM Shue Wing	AWG919	Licensed Representative (Provisional Licence)	1, 3
LAM Sze Yuen	AWJ748	Licensed Representative (Provisional Licence)	1
LAM Tim Yi, Emily	AUS277	Licensed Representative (Provisional Licence)	1
LAM Wai Man Raymond	AWF018	Licensed Representative (Provisional Licence)	1
LAM Yik Wa	AWH855	Licensed Representative (Provisional Licence)	1
LATHAM Ritchie Stephen	AQP593	Licensed Representative (Provisional Licence)	1, 2
LAU Hang, Henry	AWJ860	Licensed Representative (Provisional Licence)	1, 6
LAU Ho Fai Keith	AWJ157	Licensed Representative (Provisional Licence)	1, 2
LAU Mak Chi	AWG054	Licensed Representative (Provisional Licence)	1, 2
LAU Man Yi Nancy	AWJ021	Licensed Representative (Provisional Licence)	1, 2
LAU Mimi Hong Ting	AFR679	Licensed Representative (Provisional Licence)	1, 2, 4, 6, 7
LAU Tsz Yan	AWJ623	Licensed Representative (Provisional Licence)	1, 2
LAU Wai Bun	AWH043	Licensed Representative (Provisional Licence)	4
LAW Chi Yuen	ARI745	Licensed Representative (Provisional Licence)	3
LEE Chun Man	AWJ497	Licensed Representative (Provisional Licence)	1
LEE Jooho	AWH795	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Ka Ho	AWE955	Licensed Representative (Provisional Licence)	1, 2
Lee Kwok Cheong	ATV233	Licensed Representative (Provisional Licence)	1, 2
LEE Kyung In	AWD595	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Ling Lei, Linda	AWF555	Licensed Representative (Provisional Licence)	1
LEE Shin Yin	AWD039	Licensed Representative (Provisional Licence)	1, 4
LEE Tak Wai	AWI111	Licensed Representative (Provisional Licence)	1
LEE Tin Ming	AOU142	Licensed Representative (Provisional Licence)	1
LEHNER JR Charles Warren	AWI800	Licensed Representative (Provisional Licence)	1, 4
LEI Pui Yuk, Winnie	AWF642	Licensed Representative (Provisional Licence)	1, 4, 9
LEI Quei-An	AWE267	Licensed Representative (Provisional Licence)	1
LEONG Ai Lin	AWF418	Licensed Representative (Provisional Licence)	1, 6
LEUNG Cliff Siu Chung	AWC889	Licensed Representative (Provisional Licence)	1, 6
LEUNG Ho Kei	AWJ083	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ka Leung	AWJ764	Licensed Representative (Provisional Licence)	2
LEUNG Kin Yip	AWH336	Licensed Representative (Provisional Licence)	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LEUNG Siu Tak	AWJ858	Licensed Representative (Provisional Licence)	1, 2
LEUNG Wai Kit	AWI333	Licensed Representative (Provisional Licence)	1
LI Chenkan	AWI078	Licensed Representative (Provisional Licence)	1, 6
LI Feng	AWJ613	Licensed Representative (Provisional Licence)	1, 2
LI Jian	AWF297	Licensed Representative (Provisional Licence)	4
LI Linxuan Katrina	AWI947	Licensed Representative (Provisional Licence)	1, 4, 6
LI Ming Liang	AWI068	Licensed Representative (Provisional Licence)	4, 9
LI Shih-hui	AWG925	Licensed Representative (Provisional Licence)	1, 4
LI Shu	AWH337	Licensed Representative (Provisional Licence)	1, 6
LIANG Chen-chung	ABW695	Licensed Representative (Provisional Licence)	1, 6
LIANG Ka Kit	AWH505	Licensed Representative (Provisional Licence)	1
LIN Huan Chih	ADO049	Licensed Representative (Provisional Licence)	1, 2
LIN Longbi	AWF207	Licensed Representative (Provisional Licence)	1, 2, 7
LIN Yu	AWI926	Licensed Representative (Provisional Licence)	1, 4, 6
LING Ka Man	AWE723	Licensed Representative (Provisional Licence)	1
LIU Bo	AWF420	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Candice Ye Ping	AVZ120	Licensed Representative (Provisional Licence)	1, 6
LIU Fang-ying	AWH799	Licensed Representative (Provisional Licence)	1, 4
LIU Han-Chih	AWJ230	Licensed Representative (Provisional Licence)	4
LIU Hua	AWJ588	Licensed Representative (Provisional Licence)	1
LIU Min	AWI092	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Tian	AWJ079	Licensed Representative (Provisional Licence)	4
LIU Wei Cheng Wayne	ANB756	Licensed Representative (Provisional Licence)	4
LIU Yonggang	AWE778	Licensed Representative (Provisional Licence)	1, 4, 9
LO Eric, Hung Yin	AWH123	Licensed Representative (Provisional Licence)	4, 5, 9
LO Jia En, Benedict	AWH796	Licensed Representative (Provisional Licence)	1, 6
LO Wan Wai, Isaac	AGR427	Licensed Representative (Provisional Licence)	9
LONG Say Huan	AWI085	Licensed Representative (Provisional Licence)	1, 6
LOU Dion Ziang	AWE176	Licensed Representative (Provisional Licence)	1, 6
LU Yang	AWI773	Licensed Representative (Provisional Licence)	1, 4
LU Yi	AWI935	Licensed Representative (Provisional Licence)	1, 6
LUK Pui Chi	AWJ279	Licensed Representative (Provisional Licence)	1, 6
LUO Hua	AWJ906	Licensed Representative (Provisional Licence)	2
LUO Yixin	AWJ276	Licensed Representative (Provisional Licence)	4
MA Hiu Nga, Michelle	AWE190	Licensed Representative (Provisional Licence)	4
MA Wai Shun, Patrick	ABF913	Licensed Representative (Provisional Licence)	4
MA Xianyu	AWF217	Licensed Representative (Provisional Licence)	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
MAK Ka Ki	AWE005	Licensed Representative (Provisional Licence)	1, 6
MAK Ka Yan	AWI142	Licensed Representative (Provisional Licence)	1
MAK Kwok Kei	AWH798	Licensed Representative (Provisional Licence)	1, 4, 6
MAK Wai Keung	AWE434	Licensed Representative (Provisional Licence)	1
MAN Lok Si, Lobsey	AWJ159	Licensed Representative (Provisional Licence)	1
MAN Pui Kuen	AED063	Licensed Representative (Provisional Licence)	1
MAN Tsz Ming	AWH563	Licensed Representative (Provisional Licence)	1, 2
MCALLISTER Rory Edward Richard	AAD523	Licensed Representative (Provisional Licence)	1
MCCARTHY Sean Joseph	AWI446	Licensed Representative (Provisional Licence)	1, 2
MCDUGALL Alexander Henry	AWH550	Licensed Representative (Provisional Licence)	1, 9
MEHTA Siddharth	AWF333	Licensed Representative (Provisional Licence)	1, 6
MILLER James Ian Mac Gregor	AWH546	Licensed Representative (Provisional Licence)	1, 2
MITCHINSON David Nicholas	ALW321	Licensed Representative (Provisional Licence)	4, 5, 9
MIYAUCHI Yuko	AWH499	Licensed Representative (Provisional Licence)	1
MOK King Lun, Philip	AWF599	Licensed Representative (Provisional Licence)	1, 4
MOK Yun Cheong, George	AWH558	Licensed Representative (Provisional Licence)	1, 2
NG Chi Keung	AWH341	Licensed Representative (Provisional Licence)	1
NG Eng Beng, Gary	AWE924	Licensed Representative (Provisional Licence)	9
NG Fuk Yee, Lily	AWJ007	Licensed Representative (Provisional Licence)	1
NG Wai Yin	AWI746	Licensed Representative (Provisional Licence)	1, 2
NG Wing Sze	AWC493	Licensed Representative (Provisional Licence)	1, 2
NG Yuk Wing	AWH132	Licensed Representative (Provisional Licence)	1
NGAN Siu Keung Cliff	AWI158	Licensed Representative (Provisional Licence)	1, 2, 3
NICHOLSON Paul Andrew	AOJ715	Licensed Representative (Provisional Licence)	9
NIU Zhengguang	AWI950	Licensed Representative (Provisional Licence)	1, 4, 6
OBERG Oscar Donald Angus	AWH340	Licensed Representative (Provisional Licence)	4
O'DONNELL Daniel Eugene	AWH134	Licensed Representative (Provisional Licence)	1, 4, 6
OGATA Marina Miyuki	AWF341	Licensed Representative (Provisional Licence)	1, 2
OLIVEIRA Ana Luiza Ayres Barroca	AWH885	Licensed Representative (Provisional Licence)	1, 2
OLIVEIRA Rafael De Oliveira	AWF157	Licensed Representative (Provisional Licence)	1, 2
OMOTO Atsushi	ANU254	Licensed Representative (Provisional Licence)	1, 2
ONG Beng Yong	ANT433	Licensed Representative (Provisional Licence)	1
PAN Chenyue	AWE766	Licensed Representative (Provisional Licence)	1, 2, 7
PARAVANI Simona	AWK354	Licensed Representative (Provisional Licence)	1, 4, 9
PHAN Vee Leung	AWE835	Licensed Representative (Provisional Licence)	1, 2, 7
POON Chi Yeung	AWI329	Licensed Representative (Provisional Licence)	1, 4
POUPARD NEALE Corinne Dominique	AWE468	Licensed Representative (Provisional Licence)	1, 4, 6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
QUEK Chong Wee	AWI324	Licensed Representative (Provisional Licence)	1, 6
RAMCHANDANI Sanam Raju	AEO646	Licensed Representative (Provisional Licence)	1, 4, 9
REN Na	AWF620	Licensed Representative (Provisional Licence)	4, 9
REYNOLDS Lance George	AWF627	Licensed Representative (Provisional Licence)	4
ROSADO VELASCO Sixto Fernando	AWJ387	Licensed Representative (Provisional Licence)	1, 4
RYALL Scott John	AWE006	Licensed Representative (Provisional Licence)	4
RYAN David Anthony	AWE536	Licensed Representative (Provisional Licence)	1
SCHNEIDER MAUNOURY Su	AWF571	Licensed Representative (Provisional Licence)	1, 4, 9
SEBBAN Shimon Meir	AWH872	Licensed Representative (Provisional Licence)	1
SELLAM Alexandre Elie	AWD946	Licensed Representative (Provisional Licence)	1, 2
SEROCOLD Mark Joseph Pearce	AWI427	Licensed Representative (Provisional Licence)	1, 4, 9
SHAO Chen	AWE331	Licensed Representative (Provisional Licence)	4
SHEN Wenting	AWI982	Licensed Representative (Provisional Licence)	1, 4
SHI Feng	AWI080	Licensed Representative (Provisional Licence)	1, 6
SHUM Ben	AWJ362	Licensed Representative (Provisional Licence)	1, 4, 6
SHVETS Viktor	ACQ231	Licensed Representative (Provisional Licence)	4
SIN Hau Yi	ANR892	Licensed Representative (Provisional Licence)	3
SIU Kin Wa	AWH334	Licensed Representative (Provisional Licence)	1, 4
SO Chun Yung	AWJ020	Licensed Representative (Provisional Licence)	1
SO Ting Fung	AWJ003	Licensed Representative (Provisional Licence)	6
SONG Yang	AWJ028	Licensed Representative (Provisional Licence)	4
SORIANO Pamela Bianca De Venecia	AWH532	Licensed Representative (Provisional Licence)	1
SOROS Robert Daniel	AVQ819	Licensed Representative (Provisional Licence)	9
STEPHANIE Natasha Simon Kudus	AWF414	Licensed Representative (Provisional Licence)	1, 4, 6
SUBRAMANIAN Balakrishnan	AWF074	Licensed Representative (Provisional Licence)	4, 9
SUN He	AWF650	Licensed Representative (Provisional Licence)	6
SUNG Chang Hwan	AWI986	Licensed Representative (Provisional Licence)	1, 4, 9
SURBER Markus	AWK173	Licensed Representative (Provisional Licence)	1
SURYA Fonny	AWJ109	Licensed Representative (Provisional Licence)	4
SWIFT Anthony Nicholas	AWH857	Licensed Representative (Provisional Licence)	1, 4, 9
TAI Timon	AWG055	Licensed Representative (Provisional Licence)	4
TAM Yat Kan	AWG907	Licensed Representative (Provisional Licence)	1, 2
TAN Geok Hua	AJM691	Licensed Representative (Provisional Licence)	1
TAN Wee Lee	AQI099	Licensed Representative (Provisional Licence)	1, 4
TANG Li-Yu	AWE763	Licensed Representative (Provisional Licence)	4
TANG Yu Ping	AWF020	Licensed Representative (Provisional Licence)	6
TANPRASERT Nattanee	AWH913	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
TAY Yhee Lin, Amanda	AWD549	Licensed Representative (Provisional Licence)	1
THEMISTOCLES Todd Matthew	AWF159	Licensed Representative (Provisional Licence)	1, 4
TONG Wai Kei, William	AIU544	Licensed Representative (Provisional Licence)	1, 2
TRUELOVE Keith Alan	AWF626	Licensed Representative (Provisional Licence)	1, 2
TSANG Siu Wah	AIO026	Licensed Representative (Provisional Licence)	1
TSANG Yim Fan, Florence	AWJ932	Licensed Representative (Provisional Licence)	1, 2
TSE Jonathan Kamhay	AWJ763	Licensed Representative (Provisional Licence)	1
TSENG Chia Hui	AWF342	Licensed Representative (Provisional Licence)	1
TSO Sin Cheung	AWJ365	Licensed Representative (Provisional Licence)	1
TSOI Man	AIU275	Licensed Representative (Provisional Licence)	4
TSOI Yiu Yeung	AWH564	Licensed Representative (Provisional Licence)	1
TSUCHIMOTO Takehiro	AWF625	Licensed Representative (Provisional Licence)	1, 2
TSUI Kwan Tung, Gwendolen	AWI658	Licensed Representative (Provisional Licence)	4, 9
VITRY Frantz	AWH797	Licensed Representative (Provisional Licence)	1
VOON Yee Woan	AUX115	Licensed Representative (Provisional Licence)	4
WAI Shing Cheong	AWF102	Licensed Representative (Provisional Licence)	1, 2, 3
WAN Kwok Fai	AWE458	Licensed Representative (Provisional Licence)	1, 2
WANG Huan	AWI425	Licensed Representative (Provisional Licence)	4
WANG Jue	AWD852	Licensed Representative (Provisional Licence)	1, 2
WANG Lisa	AWF160	Licensed Representative (Provisional Licence)	1, 4
WANG Li-xian	AWF057	Licensed Representative (Provisional Licence)	1, 2
WANG Mengting	AWJ513	Licensed Representative (Provisional Licence)	1, 6
WANG Qian	AWI081	Licensed Representative (Provisional Licence)	1, 6
WANG Yinghui	AWI338	Licensed Representative (Provisional Licence)	1, 6
WEN Yani	AWE563	Licensed Representative (Provisional Licence)	3
WEN Zhongjie	AWF840	Licensed Representative (Provisional Licence)	1, 4, 6
WILLIAMS Grant David Vincent	AHU774	Licensed Representative (Provisional Licence)	1
WONG Chi Kit, Amas	AWG979	Licensed Representative (Provisional Licence)	1, 3
WONG Christine, Taijia	AWH511	Licensed Representative (Provisional Licence)	1, 2
WONG Chun Lang, Johann	AWJ931	Licensed Representative (Provisional Licence)	1, 2
WONG Fung Lung	AMN581	Licensed Representative (Provisional Licence)	4
WONG Kin	AWI152	Licensed Representative (Provisional Licence)	1
WONG Lung Ngong	AWG928	Licensed Representative (Provisional Licence)	4
WONG Man Chun	AWH342	Licensed Representative (Provisional Licence)	1
WONG Muk Wing Jimmy	AWF681	Licensed Representative (Provisional Licence)	1
WONG Pui Ying	AWF281	Licensed Representative (Provisional Licence)	1
WONG Sung Kuk	AWH034	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WONG Wai Pui	AWF166	Licensed Representative (Provisional Licence)	1, 2, 3
WONG Wai Sing	AWI330	Licensed Representative (Provisional Licence)	1, 2
WONG Wan Kin	AVM904	Licensed Representative (Provisional Licence)	1, 2
WONG Yan Leung	AWH117	Licensed Representative (Provisional Licence)	1, 2
WONG Yuet Ling	ART233	Licensed Representative (Provisional Licence)	9
WONG Yuk Fong	AWH238	Licensed Representative (Provisional Licence)	1
WONG Yuk Kwan	AOI977	Licensed Representative (Provisional Licence)	4
WU Chi-tai	AWC499	Licensed Representative (Provisional Licence)	1
WU Jeremy On Joon	AOZ049	Licensed Representative (Provisional Licence)	1, 6
WU Man	AWH055	Licensed Representative (Provisional Licence)	1, 2
WU Vicky Way Kay	AWI156	Licensed Representative (Provisional Licence)	1
WU Wing Hong, Simon	AWH566	Licensed Representative (Provisional Licence)	1, 2
XIE Yi	AWE691	Licensed Representative (Provisional Licence)	4
XU Huang Jian	AWE777	Licensed Representative (Provisional Licence)	1, 4, 9
YAN Kwok Kit	AWJ610	Licensed Representative (Provisional Licence)	1
YANG Bohan	AWH555	Licensed Representative (Provisional Licence)	1, 4
YANG Fengchun	AWJ220	Licensed Representative (Provisional Licence)	4
YANG Hsin Yu	AWJ406	Licensed Representative (Provisional Licence)	1, 2
YANG Liqun	ASE818	Licensed Representative (Provisional Licence)	1
YE Nayun	AWG076	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Nga Yi	ACP957	Licensed Representative (Provisional Licence)	1
YEUNG Tsz Fung	ANJ834	Licensed Representative (Provisional Licence)	1, 2
YIP Chin Ho	AWE953	Licensed Representative (Provisional Licence)	1, 2
YIP Chung Leung	AWF608	Licensed Representative (Provisional Licence)	1
YIP Man Yan, Abbie	AWI435	Licensed Representative (Provisional Licence)	1
YOON Ki Jong	AWH133	Licensed Representative (Provisional Licence)	1, 4
YU Man Tung	AWH559	Licensed Representative (Provisional Licence)	1, 2
YU Sin Tak, Chris	AWF530	Licensed Representative (Provisional Licence)	1, 6
ZENG Jupeng	AWE950	Licensed Representative (Provisional Licence)	1, 4
ZHANG Guilin	AWF886	Licensed Representative (Provisional Licence)	6
ZHANG Ting	AWF800	Licensed Representative (Provisional Licence)	1
ZHANG Xi	AWI936	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xia	AWG867	Licensed Representative (Provisional Licence)	1, 6
ZHENG Lili	AWJ166	Licensed Representative (Provisional Licence)	4
ZHENG Wanshi	AWI159	Licensed Representative (Provisional Licence)	9
ZHENG Xiaoxi	AWF326	Licensed Representative (Provisional Licence)	1
ZHOU Enxu	AWJ278	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
ZHOU Weiguo	APO574	Licensed Representative (Provisional Licence)	1
ZHU Diwu	AWG058	Licensed Representative (Provisional Licence)	1, 4
ZHU Junyan	AWD993	Licensed Representative (Provisional Licence)	4
ZHU Yi	AWE965	Licensed Representative (Provisional Licence)	4
ZHU Zhengben	AWI937	Licensed Representative (Provisional Licence)	1, 6
AHUJA Vivek Manohar	ARI512	Licensed Representative (Temporary Licence)	1
AKIZUKI Manabu	AMM295	Licensed Representative (Temporary Licence)	4
ANTOINE EP. REYL Caroline Sophie	APV496	Licensed Representative (Temporary Licence)	4
BAN Chong Wook	AWH517	Licensed Representative (Temporary Licence)	4
BARATON Xavier Francois	AVC110	Licensed Representative (Temporary Licence)	1
BRISTOWE Michael Gerald	AWC663	Licensed Representative (Temporary Licence)	4
BURKE John Patrick	AWF162	Licensed Representative (Temporary Licence)	4
CAMPICHE Nicolas Thierry	ATY955	Licensed Representative (Temporary Licence)	1
CARON James Arthur	ASU362	Licensed Representative (Temporary Licence)	1, 2
CHAUDHRY Mateen	AOO558	Licensed Representative (Temporary Licence)	1
CHELLAPPA Govindarajan	AUX265	Licensed Representative (Temporary Licence)	4
CINTAVEY Christopher Albert	ASZ371	Licensed Representative (Temporary Licence)	4
COUNTRYMAN David Andrew	AVQ063	Licensed Representative (Temporary Licence)	1, 6
CRANLEY Benjamin Charles	AWI854	Licensed Representative (Temporary Licence)	1
CRAWFORD James Duncan Laing	AVG867	Licensed Representative (Temporary Licence)	4
DE RHAM Gregoire Philippe	AWH107	Licensed Representative (Temporary Licence)	1
DONALD John Christopher	AQG468	Licensed Representative (Temporary Licence)	1
DUFOURNET Thierry Jean	ATQ807	Licensed Representative (Temporary Licence)	1
FU Siu Lan	AWH344	Licensed Representative (Temporary Licence)	1, 2
GANDLER Lawrence J	AWG927	Licensed Representative (Temporary Licence)	4
GATENBY Christopher Jason	AVP921	Licensed Representative (Temporary Licence)	1
GEORGE Bradley John	AQF002	Licensed Representative (Temporary Licence)	4
GOH Eng Siang	AUX124	Licensed Representative (Temporary Licence)	4
GUPTA Ashish	AWJ087	Licensed Representative (Temporary Licence)	4
HARNED Douglas Stuart	AWE188	Licensed Representative (Temporary Licence)	4
HENCH William Anthony	AWF128	Licensed Representative (Temporary Licence)	4
HOGBIN Christopher Edward George	AWC337	Licensed Representative (Temporary Licence)	4
IP Angela Sin-Yue	ANN456	Licensed Representative (Temporary Licence)	2
JAISINGH Rohit	AIZ536	Licensed Representative (Temporary Licence)	1
KIM Dong Wan	AMU451	Licensed Representative (Temporary Licence)	4
KOBAYASHI Masayoshi	AUW207	Licensed Representative (Temporary Licence)	4
KONDO Takanori	AWE831	Licensed Representative (Temporary Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LEE Terry Tai-Suk	AMY783	Licensed Representative (Temporary Licence)	1
LEE Tom Wai	ABY974	Licensed Representative (Temporary Licence)	1
MALHOTRA Sandeep	AUX271	Licensed Representative (Temporary Licence)	4, 5
MARRIOTT Gavin Brent	ASH807	Licensed Representative (Temporary Licence)	1
MASTERSON Christopher Mary	AEP340	Licensed Representative (Temporary Licence)	1
MC MAHON Neil Andrew	AWA194	Licensed Representative (Temporary Licence)	4
MCDERMOTT Christopher	AWF156	Licensed Representative (Temporary Licence)	1
MEHTA Nilang Jitendra	AUW826	Licensed Representative (Temporary Licence)	1
NG Kheng Siang	AWH494	Licensed Representative (Temporary Licence)	1
NG Ngee Cheng	ALT810	Licensed Representative (Temporary Licence)	4
O'BRIEN Vincent Gerald	AEU374	Licensed Representative (Temporary Licence)	1
RAO Janakinath	AWF417	Licensed Representative (Temporary Licence)	1, 2
ROSS James Mcconville	AUC310	Licensed Representative (Temporary Licence)	4
SASAKI Keiko	AWH343	Licensed Representative (Temporary Licence)	1
SHIGEZAKI Ayako	ASZ506	Licensed Representative (Temporary Licence)	1
SOHN Myungwoo	AWH514	Licensed Representative (Temporary Licence)	4
SUMMERFIELD David Angus	AEP603	Licensed Representative (Temporary Licence)	1
SURPLICE John Michael Edward	AWI813	Licensed Representative (Temporary Licence)	1
TAN Chee Yong	AWE472	Licensed Representative (Temporary Licence)	1, 4
TAN Ting Min	AUX128	Licensed Representative (Temporary Licence)	4
TIRUPATTUR Viswanath	AWE653	Licensed Representative (Temporary Licence)	1
TOTH Gina Marie	ARV876	Licensed Representative (Temporary Licence)	4
VECHT Samuel Joseph	AWE736	Licensed Representative (Temporary Licence)	1, 4
VOSPER Paul Andrew	ARU913	Licensed Representative (Temporary Licence)	4
WAGHORN Jonathan Charles	AWG082	Licensed Representative (Temporary Licence)	4
WENZEL Michael Bruce	APU308	Licensed Representative (Temporary Licence)	1
WIRZ Pascal Francois Marie	AUV421	Licensed Representative (Temporary Licence)	1
WRIGHT Thomas Rowe	AUA323	Licensed Representative (Temporary Licence)	4
Bank Julius Baer & Co. Ltd.	AUR302	Registered Institution	1, 4