

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
ARF Investment Management (HK) Limited	ARG864	Licensed Corporation	4
Blackstone Group (HK) Limited, The	ARH147	Licensed Corporation	1, 4, 9
Centaurus Capital (Hong Kong) Limited	ARK730	Licensed Corporation	4, 9
Eurasian Management (Hong Kong) Limited	ARH717	Licensed Corporation	9
F&C Asset Management Asia Limited	ARJ054	Licensed Corporation	1
Gross Asset Management (Asia), Limited	ARO459	Licensed Corporation	9
Houlihan Lokey Howard & Zukin (China) Limited	ARC069	Licensed Corporation	1, 4, 6
Ingensoma Trading Group Limited	AQR467	Licensed Corporation	2
Knight Asia Limited	ADX009	Licensed Corporation	1
KS Asset Management Limited	ARN829	Licensed Corporation	9
M3 Financial Capital Services Limited	ARI536	Licensed Corporation	1
Matterhorn Investment Management (Asia) Limited	ARQ095	Licensed Corporation	9
Saen Options Hong Kong Limited	ARI804	Licensed Corporation	1, 2
Vantage Capital Markets HK Limited	ARI683	Licensed Corporation	1, 2
VMS Asset Management Limited	ARH514	Licensed Corporation	4, 9
AGARWAL Ashish Ashok	ARQ376	Licensed Representative	4
AGARWAL Pankaj Kishore	ARQ355	Licensed Representative	4, 6
AGNEW Ronan	ARO274	Licensed Representative	1, 4, 6
ALFONSI Sebastien	ARQ636	Licensed Representative	1, 2
ARAI Yoko	AQS275	Licensed Representative	4
ARMSTRONG Nicola Clare	ARN794	Licensed Representative	4
AU Chi Ming	ARN601	Licensed Representative	4
AU Pak Sze	ARJ810	Licensed Representative	1, 2
AU Yin Ting	ARO930	Licensed Representative	1
AUGER Kevin D'arcy	ARP061	Licensed Representative	1, 4, 6, 9
BALAKRISHNAN Vicknesan	ARN977	Licensed Representative	4, 9
BALDOCK Henry Robert	ARL415	Licensed Representative	1, 2
BAMFORD Stephen Fildes	ARP194	Licensed Representative	1
BARNIE Andrew James	ARQ984	Licensed Representative	1, 2, 4, 5
BOOTH William Nichols	ARL339	Licensed Representative	9
BRILLAUD Gregoire Daniel Remi	AOU417	Licensed Representative	1, 2
CAI Yiqing	ARL721	Licensed Representative	1
CAMPBELL-BREEDEN Richard Mark	ARO468	Licensed Representative	1, 6
CASHMORE Nicholas Willoughby	ALL246	Licensed Representative	4
CHAK Chung On	ARO314	Licensed Representative	4
CHAN Chi Pang	ARP889	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Chi Wai	ARP740	Licensed Representative	1
CHAN Chor Chuen, Christopher	ARP197	Licensed Representative	4, 9
CHAN Chun Yu, Alex	ARP729	Licensed Representative	4
CHAN Frank Clifford Shui Ting Chu	ARP537	Licensed Representative	6
CHAN Gee Man, Rowenaa	ARO063	Licensed Representative	1, 4
CHAN Hing Chiu	ARK369	Licensed Representative	4
CHAN Hoi Chun	ARP732	Licensed Representative	4
CHAN Hon Kei	ARQ370	Licensed Representative	4
CHAN Justin Chang Yi	ARL414	Licensed Representative	1, 4, 6
CHAN Ka Kui, Sunny	ARP366	Licensed Representative	1, 4
CHAN Ka Wai	ARP167	Licensed Representative	1, 2, 4, 5
CHAN Ka Wing	ARM730	Licensed Representative	1
CHAN Kam Kuan	ARL401	Licensed Representative	1
CHAN Lic Fai	ARO769	Licensed Representative	3
CHAN Man Hoi	ARO466	Licensed Representative	1
CHAN Man Ki, Matthew	AMF519	Licensed Representative	4, 9
CHAN Man Lam	ARN633	Licensed Representative	4
CHAN Mei Ying	ARQ168	Licensed Representative	6
CHAN Ping Sum	AMA022	Licensed Representative	1, 6
CHAN Pui Ka	ARR599	Licensed Representative	4, 9
CHAN Shih-Hao	ARQ393	Licensed Representative	1, 2, 7
CHAN Tai Ye, Derek	ARP425	Licensed Representative	4
CHAN Wai Chi	ARO880	Licensed Representative	4
CHAN Wai, Wynie	ARN188	Licensed Representative	1, 9
CHAN Woon Wai	ARP045	Licensed Representative	1
CHAN Yan Ho, Leo	ARO469	Licensed Representative	4, 9
CHAN Yin Ming	ARO378	Licensed Representative	1, 6
CHAN Yip Hei	ARN825	Licensed Representative	1
CHAN Yu Kit, Jacky	ARO061	Licensed Representative	1, 2
CHAN Yuen Fong, Yvonne	ARO883	Licensed Representative	4
CHANG Lee Peng	ARD326	Licensed Representative	1
CHANG Monica L	ARN814	Licensed Representative	1, 4, 6
CHANG Ying Ting	ARM443	Licensed Representative	1
CHATURVEDI Deepti	ARP391	Licensed Representative	4
CHAU Yun Gi, Gigi	ARP574	Licensed Representative	1, 4
CHEN Chin-Hui	ARP296	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Cuicui	ARO501	Licensed Representative	1
CHEN Di Man	ARO680	Licensed Representative	1
CHEN Fei	ANU926	Licensed Representative	1, 4, 6
CHEN Geng	AOY114	Licensed Representative	4
CHEN Jee Yung	ARP192	Licensed Representative	9
CHEN Jia	ARM172	Licensed Representative	1, 6
CHEN Jingyu	ARP039	Licensed Representative	1, 6
CHEN Stephanie Hsiaomei	ARN786	Licensed Representative	1
CHEN Tzu-Yuan	ARP301	Licensed Representative	4
CHEN Wan-Yu	ARP453	Licensed Representative	1
CHEN Xiongbing	ARN821	Licensed Representative	1, 4
CHEN Yunyan	ARR085	Licensed Representative	1, 4
CHENG Hei Naat	ARO882	Licensed Representative	1
CHENG Kai Ho	ARQ669	Licensed Representative	4
CHENG Kwai Hung	ARP177	Licensed Representative	4
CHENG Lai Kam	ARP238	Licensed Representative	1
CHENG Mee Tak, Amy	ARO612	Licensed Representative	4
CHENG Piu Keung	ARR523	Licensed Representative	1
CHENG Tai Wai, David	ARO960	Licensed Representative	4, 6, 9
CHENG Wai Lam, James	AGD589	Licensed Representative	1
CHENG Won Mon	ARN518	Licensed Representative	4, 9
CHENG Yuk Sing	ARP728	Licensed Representative	1
CHEUNG Angela On Ki	AFT714	Licensed Representative	1, 4, 6
CHEUNG Chi Ho	ARP454	Licensed Representative	1, 7
CHEUNG Chui Shan, Savina	ARQ405	Licensed Representative	4
CHEUNG Hin Sing	ARP125	Licensed Representative	1
CHEUNG Kam Yu	ARN965	Licensed Representative	1
CHEUNG Ki Yu	ARN621	Licensed Representative	1, 2
CHEUNG Lai Fong	ARP127	Licensed Representative	4
CHEUNG Nicole Yung	ARO104	Licensed Representative	1, 2
CHEUNG Wing Yiu	ARO507	Licensed Representative	1, 2
CHI Hai	ARM214	Licensed Representative	1
CHIA An-pei	ARP099	Licensed Representative	9
CHIAIS GianClaudio	ARP693	Licensed Representative	1
CHIAM Zhonghui	ARO944	Licensed Representative	4, 6
CHIK Ho Ting	ARJ494	Licensed Representative	4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIN Kin Man	ALN025	Licensed Representative	1
CHING Chui Wah, Michelle	APJ227	Licensed Representative	1, 2
CHING Wai Lin	ARP666	Licensed Representative	4, 9
CHING Yuen Kuk	ARO442	Licensed Representative	1
CHIU Chi Bong	ARO611	Licensed Representative	4
CHIU Ivan Wei Yin	ARO748	Licensed Representative	6
CHIU Wai Ka	ARO057	Licensed Representative	1, 2, 4, 9
CHIU Wai Ngai	AKU986	Licensed Representative	1, 2
CHIU Wan Kit	ARO657	Licensed Representative	1
CHIU Yat Sing	ARO925	Licensed Representative	4
CHIU Yau Chun, Jackie	ARN620	Licensed Representative	4
CHO Kevin Yong Joon	AOB354	Licensed Representative	4, 9
CHO Kwong Lun	AHT594	Licensed Representative	1
CHOI Jae Hong	ARJ896	Licensed Representative	4, 9
CHOI Jeong Hee	ARQ491	Licensed Representative	1, 4
CHOI Man Yi	ARQ671	Licensed Representative	4
CHOI Shuk Man	ARO127	Licensed Representative	1
CHONG Ping Kin, Kenneth	ARQ050	Licensed Representative	9
CHONG Siu Fun	ARO678	Licensed Representative	1
CHONG Yee Wai	ARM326	Licensed Representative	1
CHONG Yuk Choi, Philip	ARO948	Licensed Representative	1
CHOU Lei	ARI194	Licensed Representative	1
CHOW Yu Yin	ARO981	Licensed Representative	1, 2, 3
CHOY Ka Man, Carmen	ARP183	Licensed Representative	1
CHU Chi Ching, Edward	ARQ769	Licensed Representative	4
CHU Fong Ting	ARO886	Licensed Representative	1
CHU Kin Cheung	ARQ377	Licensed Representative	2
CHU Seok Don	ARO246	Licensed Representative	1, 4
CHU Shirley	AQE298	Licensed Representative	1
CHU Yu-Lin	ARO903	Licensed Representative	4, 9
CHUANG Hsiu-Ling	AQI725	Licensed Representative	1, 4
CHUNG Eugene Bob	ARP376	Licensed Representative	1
CHUNG Ka Man	ARM728	Licensed Representative	1
CHUNG Ting Kwok	ARN967	Licensed Representative	1
CHUNG Yuen Sheung	ALA454	Licensed Representative	1, 4
CLIFF Paul Douglas	AQO149	Licensed Representative	4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
CLITHEROE Paul Hugh	ARO498	Licensed Representative	4
COATES David Lee	ARJ844	Licensed Representative	1
COHAS Francois Jean Claude	ARP451	Licensed Representative	1, 6
COMINELLI Silvana Laura	ALI432	Licensed Representative	1, 2
CURL Timothy Andrew	ARJ846	Licensed Representative	1, 6
DENTON Thomas Andrew	ARO890	Licensed Representative	1
DING Chenying	ARP182	Licensed Representative	1
DING Jingyi	ARP036	Licensed Representative	6
DING Qinbo	ARP386	Licensed Representative	9
DINWOODIE Christian Gilbert	ANA837	Licensed Representative	4
DONG Hongbo	ARP592	Licensed Representative	1
DONG Ranran	ARI648	Licensed Representative	1
DOSHI Mihir Vinod	AKY575	Licensed Representative	1
DU Peng	APP905	Licensed Representative	9
DWERRYHOUSE Alan Damian	ARP686	Licensed Representative	4
EJIRI Norimasa	ARO887	Licensed Representative	4
FABIANI Parvesh Ashok	ARO764	Licensed Representative	1, 6
FAN Haiyang	ARO765	Licensed Representative	1, 6
FAN Nan	ARL485	Licensed Representative	1, 6
FAN Tsun Wan, Louis	ARO901	Licensed Representative	4
FANG Huan	ARP040	Licensed Representative	1, 6
FANG Kai	ARO433	Licensed Representative	1, 4, 6
FEDERICI Frank Anthony	ARO578	Licensed Representative	9
FENG Shiran	ARJ059	Licensed Representative	1
FOK Kenrick Henry	ARP738	Licensed Representative	9
FONG Chuan Jin	ARI939	Licensed Representative	1
FONG Emi Dochi	ARO581	Licensed Representative	1
FONG Wai Yan	ARQ672	Licensed Representative	4
FORSYTH Ian Robert	ARP700	Licensed Representative	1
FOURNIER Gregory John Kennedy	ACJ065	Licensed Representative	1, 4, 6
FU Lei	ARI944	Licensed Representative	1, 6
FU Wai Chung	ARO926	Licensed Representative	4
FUJIKI Aya	ARN787	Licensed Representative	4
FUNG Chor Wai	ARN728	Licensed Representative	4
FUNG Kam Fong, Kammy	AAW660	Licensed Representative	1
FUNG Shuk Yin	ARO894	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
FURRER Guido Ernst	AFI554	Licensed Representative	1, 4, 9
GAO Weishan	ARJ845	Licensed Representative	1
GARDNER Patrick Alan	ARL417	Licensed Representative	1, 2
GLOVER Jethro Alexander John	AED015	Licensed Representative	1, 2, 7
GNOCK FAH Wong Chee How	ARL784	Licensed Representative	1, 6
GOSWAMI Kaushik	ARP166	Licensed Representative	1
GOVIL Anshu	ARQ357	Licensed Representative	4
GREEN Robin Adam	ACQ540	Licensed Representative	1, 2, 4, 5
GREGORY Justin Peter	APS738	Licensed Representative	1, 2
GU Ming	APH410	Licensed Representative	1, 4, 6
GUNDU Mohan Krishna	ARQ493	Licensed Representative	1
GUO Ying	ARO902	Licensed Representative	1
HA Eric King Lun	AQD952	Licensed Representative	1
HAKAMATA Tadashi	ARE200	Licensed Representative	1
HAN James Dong	ARN785	Licensed Representative	9
HAN Ling	ARI073	Licensed Representative	4
HAN Yuanping	ARP685	Licensed Representative	1
HANSOM George Ian	ARP705	Licensed Representative	1
HANSSON David Roland	ARP170	Licensed Representative	1, 4
HARBISON Janet Ruth	ARP726	Licensed Representative	4
HE Jia	ARP306	Licensed Representative	1, 2, 4, 5
HE Liang	ARR521	Licensed Representative	4
HERBET Jean-Marc	ARN542	Licensed Representative	1
HO Chi Pang	ARP396	Licensed Representative	1, 4
HO Chun Yu, Jonathan	ARO147	Licensed Representative	1
HO Ka Ho	ARL404	Licensed Representative	1, 7
HO Ka Lung	AIO045	Licensed Representative	1
HO Lai Seung	ARP285	Licensed Representative	4
HO Lai Yin	ARL157	Licensed Representative	4
HO Ming Kit	ARP314	Licensed Representative	4
HO Pui Lam	ARP168	Licensed Representative	1
HO Shounan	ARO474	Licensed Representative	1, 4
HO Valerie Felicia	ARO473	Licensed Representative	1
HO Yaun, Stanley	AGA624	Licensed Representative	1, 6
HO Yuen Kim	ARO425	Licensed Representative	1, 4
HO Yuen Kiu, Holly	ARO691	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
HOU Tan Tan, Danielle	ARP582	Licensed Representative	1, 6
HOWE Christian John	AQN996	Licensed Representative	1, 2
HSU Chih-Wei	ARN799	Licensed Representative	4
HSU Chung-Huan	ARN797	Licensed Representative	4
HSU Terry	ARO921	Licensed Representative	4
HSU Yu Shih	ARO721	Licensed Representative	1, 2
HUANG Zhaoying	ARJ057	Licensed Representative	1, 6
HUGHES James Richard	ARR132	Licensed Representative	1, 4, 9
HUH Joon	AQR558	Licensed Representative	2
HUI Ka Lai	ARQ751	Licensed Representative	4
HUI Kin Leung	ARN026	Licensed Representative	1
HUI King Ka	ARO908	Licensed Representative	4
HUI Siu Ying	ARO296	Licensed Representative	1
HUNG Guo-shiuan	ARL413	Licensed Representative	4, 9
HWANG YoungJean	ARO818	Licensed Representative	4
INOUE Yukiko	ARQ514	Licensed Representative	1, 4, 9
IP Hung Kei	ARO056	Licensed Representative	1
IP Suk Mei	ARO055	Licensed Representative	1
JACQUIER David Andrew	ARO434	Licensed Representative	1, 2
JAIN Sonal	ARQ497	Licensed Representative	1
JIANG Shan	ARN809	Licensed Representative	1, 2
JIN Kenny Dekai	ARO766	Licensed Representative	1, 6
JIN Zengxiang	ARO631	Licensed Representative	4
JONG Simon Yuanzhi	ARI933	Licensed Representative	1
JOSHI Samir	AOE036	Licensed Representative	9
JUATIRAK Pisit	ARQ318	Licensed Representative	4
JURIANTO Julius	ARP051	Licensed Representative	4, 9
KALB Victoria Stacey	AHT205	Licensed Representative	1
KAM Wendy Wing Yee	ARJ847	Licensed Representative	1, 6
KANEKO Tomonori	ARQ990	Licensed Representative	9
KAYAMA Hitoshi	AHQ974	Licensed Representative	1, 4, 6, 9
KEE Ka Lam	ARO617	Licensed Representative	1
KELLY Colin Padraig	ARI973	Licensed Representative	1, 6
KHAIMSON Evgeni	ARP463	Licensed Representative	1, 7
KHOO Min Sze, Clarice	ARM571	Licensed Representative	4
KILLIAN Anita	ARL331	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
KIM Carol	ARQ280	Licensed Representative	1
KIM Jong Seong	ARI932	Licensed Representative	1, 6
KING Kalina Shaw Tsang-jia	ARL029	Licensed Representative	1
KING Kenneth Shing Wei	ARI976	Licensed Representative	1, 6
KNEELAND JR John Lyman	ARO117	Licensed Representative	4
KO Choi Kam, Jacqueline	ARQ098	Licensed Representative	4
KO Fu Lai	ARO714	Licensed Representative	4
KO Ming Tung	ARQ650	Licensed Representative	1, 2
KOO Kin Fung	ARL408	Licensed Representative	1
KUEVIBULVANICH Maytinee	ARL032	Licensed Representative	1, 2
KWAN Fu Lun	ARP010	Licensed Representative	4
KWAN Hoi Ngai, Michael	ANT542	Licensed Representative	3
KWAN Mei Lan, Renee	ARJ815	Licensed Representative	1, 2
KWOK Chan Loy	ARQ635	Licensed Representative	1, 2
KWOK Ho Yin, Raymond	ARN583	Licensed Representative	4, 9
KWOK Kam Chuen, Addy	ARO663	Licensed Representative	1
KWOK Kan Bor	ARN617	Licensed Representative	1
KWOK Man Kit	ARP888	Licensed Representative	4
KWOK Pui Wing	ARR131	Licensed Representative	1, 2
KWONG Chee Kwan, Priscilla	ARQ747	Licensed Representative	4
KWONG Lorraine Phaik May	ARP707	Licensed Representative	1
LACOURSIERE Paul Michael	ARO982	Licensed Representative	4
LAI Chin Wai	ARP476	Licensed Representative	1, 4
LAI Fung Yee	ANY477	Licensed Representative	4
LAI Wai Tsun, Paul	AED148	Licensed Representative	1
LAI Yuk Kuen	ARP072	Licensed Representative	1
LAM Ching Han, Anita	AFC322	Licensed Representative	4
LAM Jason Che Man	ARN784	Licensed Representative	9
LAM Kam Wing	ARO382	Licensed Representative	4
LAM Kit Sun	APC124	Licensed Representative	1
LAM Lai King	ARO615	Licensed Representative	4
LAM Lai Wah, Anna	ARO614	Licensed Representative	4
LAM Mei Ling	ARQ679	Licensed Representative	4
LAM Sai Yu, Geoffrey	ARN789	Licensed Representative	1, 2
LAM Suen Kit	AIL177	Licensed Representative	1, 6
LAM Tai Lung	AGP615	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Yan Ping	ARO713	Licensed Representative	1
LANG Erik Joseph	ARO688	Licensed Representative	4, 9
LANUZA Alberto Advincula	ARO904	Licensed Representative	4
LAO A Kun	ARO928	Licensed Representative	1, 4
LAU Fung Ngor, Swan	ARQ530	Licensed Representative	1
LAU Kwok Leung	ARO483	Licensed Representative	1
LAU Pei Wen, Valerie	ARO471	Licensed Representative	1
LAU Tak Leung	ARO884	Licensed Representative	1
LAU Wing Sin	AEW987	Licensed Representative	1, 4
LAW Kwan Kit	ARQ673	Licensed Representative	4
LEE Chang Bok	ARN744	Licensed Representative	1, 2
LEE Fei Lun	ARQ131	Licensed Representative	1, 4
LEE Hsiutuan	ARP077	Licensed Representative	1, 2
LEE Ilhwa	ARO819	Licensed Representative	4
LEE Ji Young	ARP390	Licensed Representative	1, 2
LEE Jinchul	ARJ894	Licensed Representative	4, 9
LEE Kam Yip	ARQ666	Licensed Representative	4
LEE Michael	ARP397	Licensed Representative	1, 7
LEE Si Chun, Kevin	ARO719	Licensed Representative	1
LEE Wai Suen	ARP635	Licensed Representative	1
LEE Wing Shan	ARQ154	Licensed Representative	9
LEE Yik Kan	ARN408	Licensed Representative	1
LEE Yiu Kong Rindy	ARM532	Licensed Representative	4
LEIBOWITZ Matthew	ARL441	Licensed Representative	1, 2
LEOUZON Nicolas, Christian, Guy, Eugene	ARP680	Licensed Representative	1
LEUNG Chi Kuen	ARO876	Licensed Representative	4
LEUNG Chun Hang	ARQ391	Licensed Representative	6
LEUNG Chun Ho	ARP071	Licensed Representative	1, 6
LEUNG Ka Kiu	ARN970	Licensed Representative	3
LEUNG Ka Wai	ARH345	Licensed Representative	1
LEUNG Kai Pong	ARR594	Licensed Representative	4
LEUNG Kam Wing, Goose	ARQ379	Licensed Representative	1
LEUNG Keith Koon Chung	ARI977	Licensed Representative	1, 6
LEUNG Shing	ARP282	Licensed Representative	4
LEUNG Suk Bing, Annie	ARP258	Licensed Representative	1, 4, 9
LEUNG Wai Sing	ARN686	Licensed Representative	1, 2, 3

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Wai Sum, Sandy	ARO146	Licensed Representative	1, 2
LEUNG Yin Hing, Benny	ARR385	Licensed Representative	1
LEUNG Yu Fung	ARN685	Licensed Representative	1
LEWCHALERMWONG Sumetha	ARP050	Licensed Representative	4, 9
LI Cheuk Hon	ARQ100	Licensed Representative	1
LI Fangfang	ARJ058	Licensed Representative	1, 6
LI Hanyang Chen	ARL734	Licensed Representative	1, 2, 7
LI Ho Yeung	ARO665	Licensed Representative	1
LI Hui	AQZ850	Licensed Representative	1, 4, 6
LI Jing	ARI941	Licensed Representative	1
LI Ka Kit, Raymond	ARQ752	Licensed Representative	4
LI Ka Wai, Carrie	ARO919	Licensed Representative	4
LI Kun-Chih	ARM569	Licensed Representative	1, 6
LI Mengxia	ARP153	Licensed Representative	1, 6
LI Sau Han, Ester Joyse	AAV369	Licensed Representative	9
LI Wai Lun	APR197	Licensed Representative	4
LI Xuan	ARI945	Licensed Representative	1
LI Ying	AQC794	Licensed Representative	4
LI Yuen Ling	AGO207	Licensed Representative	4
LIAO Yixin	ARQ948	Licensed Representative	1, 2
LIN Bin	ARO456	Licensed Representative	9
LIU De-Liang	ARN806	Licensed Representative	1
LIU Diyan	ARJ842	Licensed Representative	1, 6
LIU Eric Kay Hoi	ARN055	Licensed Representative	1, 6
LIU Kan	ARO440	Licensed Representative	1
LIU Ming Fai	ARP191	Licensed Representative	1
LIU Sheung Mo	ARP305	Licensed Representative	4
LIU Yuanmo	ARJ807	Licensed Representative	1, 6
LO Chi Wai	ARO380	Licensed Representative	4, 9
LONG Qing	ARO794	Licensed Representative	1
LU Chao	ARI980	Licensed Representative	1, 6
LU Hui Bing	ARJ763	Licensed Representative	1, 2
LU Ning	ARP152	Licensed Representative	1
LU Yanyan	ARP734	Licensed Representative	4
Lui Chi Yuen	ANG232	Licensed Representative	1, 4, 9
LUK Nga Chi	ARN029	Licensed Representative	1, 2

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LUK Siu Cheung	ARP313	Licensed Representative	4
LUN Pui Yin, Dennis	ARP429	Licensed Representative	1, 6
LYE Yee Chai	ARQ091	Licensed Representative	1, 4
MA Linlin	AIR834	Licensed Representative	1, 4, 9
MA Siwei	ARO767	Licensed Representative	1, 6
MA Wai Man, Isabella	API083	Licensed Representative	1
MA Xin	ARO898	Licensed Representative	1, 6
MA Yee May	ARP370	Licensed Representative	1, 4
MAHIDA Imtiyaz Amirbhai	ARL481	Licensed Representative	4, 5, 9
MAK Ka Lai	ARQ750	Licensed Representative	4
MAK Ngo Sze, Alvan	AQQ501	Licensed Representative	1, 2, 7
MAK Siu Ha, Anita	ARO865	Licensed Representative	1
MAN Ka Ki	ARR412	Licensed Representative	1
MAN Wai Kung	ARO585	Licensed Representative	1, 4
MANALAYSAY Raphael Tablanie	ARP903	Licensed Representative	4
MATLOCK Scott William	ANM132	Licensed Representative	1, 6
MATSUKAWA Nana	ARO918	Licensed Representative	4
MATTHEW Oliver James Gray	ARO877	Licensed Representative	4
MCGRATH Stuart James	ARO705	Licensed Representative	1
MERZ Christoph Hermann	ARO258	Licensed Representative	1, 4
MIAO Jingwen	ARJ808	Licensed Representative	1, 6
MILLER Ryan James	ARF537	Licensed Representative	1, 2, 7
MIU Suet Lai, Juliana	ARP012	Licensed Representative	4
MONTGOMERY James Adam	ARN056	Licensed Representative	1, 2
MORSCH Thomas Joseph	ARN994	Licensed Representative	1, 2
MOUTE Benjamin, Daniel, Germain	ARP639	Licensed Representative	1
MURPHY Joanne Katrina	AJA078	Licensed Representative	1, 4, 9
NADESAN Arun	ARL442	Licensed Representative	1, 2
NAKADA Akira	ARL729	Licensed Representative	1, 2
NANDURKAR Mahesh Yashwant	ARQ374	Licensed Representative	4
NETTER Romain Pierre Michel	ARQ762	Licensed Representative	1
NG Chi Wah	ARQ146	Licensed Representative	9
NG Eric Peter	ARO920	Licensed Representative	1, 2
NG Hei Yiu	ARN025	Licensed Representative	1
NG Hoi Yin	ARO900	Licensed Representative	4
NG King Lit	AEM917	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Long Ni, Renee	ARP323	Licensed Representative	4
NG Mei Yung, Emy	ADT675	Licensed Representative	1
NG Sau Fun, Rosa	ARQ738	Licensed Representative	4
NG So Ha	APG065	Licensed Representative	1
NG Tsz Fung	ARN623	Licensed Representative	4
Ng Wai	ANI759	Licensed Representative	1, 4
NG Wai Man, Raymond	AFT926	Licensed Representative	1
NG Wai Tong, Anthony	ARJ470	Licensed Representative	1
NG Wing Sze, Nancy	ARP014	Licensed Representative	4
NG Yin Ying	APN872	Licensed Representative	1
NG Yu Fung, Justin	ARO644	Licensed Representative	4
NGAI Chun Yu	ARP385	Licensed Representative	1
NGAI Hiu Wa	ARO927	Licensed Representative	4
NGAN Hung Kit, Dennis	ADV590	Licensed Representative	1, 2
NOLAN Christian Timothy	ARP119	Licensed Representative	1, 4
OH Jae Won	ARQ096	Licensed Representative	4, 9
OH Sung Hwan	ARO583	Licensed Representative	1, 6
OKUYAMA Takeshi	ARO946	Licensed Representative	4
OLDFIELD Alexander Toby	ARQ375	Licensed Representative	1
ONG Chi King	AGD020	Licensed Representative	1
PAK Suet Ho	ARO062	Licensed Representative	1, 2
PAK Tsz Hin	ARO895	Licensed Representative	4
PANG Anatole Weinberger	ARQ740	Licensed Representative	4, 6
PANJWANI Rajesh	ARQ373	Licensed Representative	4
PARK Kwan Hoon	ARQ763	Licensed Representative	1, 6
PAU Tin Man, Tammy	ARO582	Licensed Representative	1, 6
PERLMUTTER Julian Jatayu	ARR097	Licensed Representative	4
PHILLIPS Ned	AFC917	Licensed Representative	1
PIH Hao Ming, Lawrence	ARN984	Licensed Representative	1, 2
PITTS James Emilian Thomas	ARJ704	Licensed Representative	1, 2, 7
POON Kit Ching	APF554	Licensed Representative	1, 2
PORTER Lee	ARR538	Licensed Representative	1, 7
PRESLER Paul Erik	ARP675	Licensed Representative	1
PRITCHARD Philip	AAV591	Licensed Representative	1
PUI Jerry	ARO896	Licensed Representative	4
PUN Daniel	ARQ681	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
PUNG Chi Kuang	ARQ395	Licensed Representative	1, 4
PURDIE Ross Kenyon	ABS450	Licensed Representative	1
PUTATUNDA Rajarshi	ARO118	Licensed Representative	4
QI Yanke	ARP993	Licensed Representative	4, 6
RAN Dong	ARP048	Licensed Representative	1, 6
RATANASUVAN Piyachat	ARM729	Licensed Representative	4
RATTANAVAN Andre	ARR410	Licensed Representative	1
RICHTER Steven Anthony	ARL336	Licensed Representative	9
RIGGS John West	ARO321	Licensed Representative	1, 4, 6
ROBERTSON Benjamin Mark Manwaring	ARP047	Licensed Representative	4
ROUGERON EP BRADLEY Catherine, Annick, Caroline	ARN813	Licensed Representative	1, 4, 6
SAKURABA Masayuki	ARJ147	Licensed Representative	1, 2
SALMON Raphael Francis Rene	ARO864	Licensed Representative	1, 2
SALTER Neil Anthony	ARN455	Licensed Representative	1, 2, 7
SANDISON David John MacGregor	ABA590	Licensed Representative	4
SARKER Vikram Prabir	ARQ516	Licensed Representative	1, 4
SCHOEB JR Richard Joseph	ARO424	Licensed Representative	1, 4
SCHROEDER Griffin Emory	ARJ900	Licensed Representative	4, 9
SEAGRIM John Christopher	ARP678	Licensed Representative	1
SETIAWAN Maria Ansela Jennifer	ARO683	Licensed Representative	1, 2, 7
SHEA Miu Yu	ARP337	Licensed Representative	4
SHEFFIELD Nicholas Jonathan	ARQ986	Licensed Representative	4, 6
SHEN Jie	ARJ698	Licensed Representative	1, 6
SHEN Junyu	ARO783	Licensed Representative	1, 4, 6
SHEN Tailong	ARO891	Licensed Representative	2
SHI Xiaonan	ARQ099	Licensed Representative	1, 6
SHI Zhan	ARL439	Licensed Representative	2
SIRETT William George	ARN753	Licensed Representative	1, 2
SIU Wai Man	ARP887	Licensed Representative	4
SLADE Edward John	ACE858	Licensed Representative	4, 6
SO Chui Shan	ARO922	Licensed Representative	4
SO Hang Yeung	ARQ488	Licensed Representative	1, 4
SOH Pey Yen	ARM565	Licensed Representative	1, 2, 7
STEWART Ian Colin	ARM928	Licensed Representative	1
STODDARD Richard Carver	AEP934	Licensed Representative	1, 4, 6
SU Ta-Chi	ARN517	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
SU Xing	ARP042	Licensed Representative	1, 6
SUN Jie	ARQ753	Licensed Representative	4
SUN Peng	ARQ308	Licensed Representative	4
SUNG Min Su	ARO771	Licensed Representative	1, 6
SUNG Wai Man, Eileen	APA343	Licensed Representative	1, 2
TAM King Yip, Stephen	AQM646	Licensed Representative	1
TAM Pui Yi, Fiony	AHG456	Licensed Representative	1, 4, 9
TAM Wing Tai, Victor	ARN041	Licensed Representative	1
TAM Winson	ARN988	Licensed Representative	4
TAMURA Hiromichi	APQ492	Licensed Representative	4
TAN An Li	ARQ985	Licensed Representative	1
TAN Raymond See Kit	ARO940	Licensed Representative	9
TAN Yee Siang	ARP855	Licensed Representative	1, 2
TAN Yew Kiat	ARM832	Licensed Representative	1
TANG Chui King	ARR413	Licensed Representative	1
TANG Chun Wai, Nelson	ARN983	Licensed Representative	1, 2
TANG Hoi Lam	ARO136	Licensed Representative	1, 2
TANG Joyce Tsui Ying	ARO576	Licensed Representative	4
TANG Kan Wai	ARQ667	Licensed Representative	1, 4
TANG Thomas Yaw Fay	ARH348	Licensed Representative	4
TANG Ye	ANQ032	Licensed Representative	9
TAO Yiyang	ARP395	Licensed Representative	1, 4, 6
TAPIA Ryan Martin	ARO580	Licensed Representative	1, 6
TEISSIER Arnaud Julien Maxime	ARQ764	Licensed Representative	1, 6
TEOH Chin Wei	ARP541	Licensed Representative	6
TERADA Yuma	ARJ707	Licensed Representative	9
THANAPISITIKUL Wirathip	ARP193	Licensed Representative	1
TO Wai Man	ARO673	Licensed Representative	4
TONG Pok Wai	ARR372	Licensed Representative	1, 2
TONG Wai Chiu, Philip	ARO584	Licensed Representative	4
TSANG Dick Yue, Dickie	ARR084	Licensed Representative	1
TSANG Ka Kin	ALD602	Licensed Representative	4, 6
TSANG Ling Kei	ARQ656	Licensed Representative	1, 2
TSANG Long	ARQ674	Licensed Representative	1, 2
TSANG Oi Chee	ARP727	Licensed Representative	4
TSANG Sing Wan	ARP348	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Wai Kwan	ARO755	Licensed Representative	4
TSANGARIDES Neophytos	ARO107	Licensed Representative	9
TSE Chi Kin, Daniel	ARP474	Licensed Representative	1
TSE Chun Wai	ARO629	Licensed Representative	4
TSE Lok Yin, Joyce	ARN689	Licensed Representative	1
TSE Sze Man	AKS678	Licensed Representative	1, 4
TSOI Suk Man	ARN624	Licensed Representative	4
UEDA Koji	ARL698	Licensed Representative	1, 6
USSANI Riccardo	ARP573	Licensed Representative	9
VAN DINE Phillip Sean	ARQ356	Licensed Representative	1
VISHWANATHAN Vimal	ARJ695	Licensed Representative	1, 2, 7
WAH Ho Man	ARN782	Licensed Representative	4, 9
WAN Tammy Margaret	ARP452	Licensed Representative	1, 6
WANG Chien-Chung	AIC939	Licensed Representative	1
WANG Danyang	AGH255	Licensed Representative	1
WANG David Haoyuan	ARL025	Licensed Representative	1
WANG Fengyi	ARO610	Licensed Representative	4
WANG Haoyu	ARN562	Licensed Representative	1
WANG Michael Tsu Tsong	ARP169	Licensed Representative	1
WANG Qinyan	ARP576	Licensed Representative	1, 6
WANG Wenzhou	ARO923	Licensed Representative	4
WANG Xiaodi	ARO438	Licensed Representative	4
WANG Xiaoxi	ARO679	Licensed Representative	1, 4, 6
WANG Yong	ARI649	Licensed Representative	1
WANG Zhi	ARO579	Licensed Representative	9
WARACHIJ Witranan	ARM699	Licensed Representative	1
WATANABE Atsushi	ARL703	Licensed Representative	1
WATSON Peter Anthony	AKO811	Licensed Representative	9
WEE Teck Hui	ARO942	Licensed Representative	9
WHALE Patrick Xavier	ARO879	Licensed Representative	1
WIJONO Rizal	ADT339	Licensed Representative	1, 4
WONG Andrew Kok Leong	ARP901	Licensed Representative	4, 6
WONG Chak Kei, Jack	ARN581	Licensed Representative	1, 6
WONG Cham Yip	ARP735	Licensed Representative	4
WONG Chi Man	ARQ768	Licensed Representative	1, 4
WONG Chin Pang	AFU569	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Ching Siang	ARO472	Licensed Representative	1, 2
WONG Harald	ARO504	Licensed Representative	1, 4, 9
WONG Ho Chuen	ARO917	Licensed Representative	1
WONG Ho Fung	ARN622	Licensed Representative	1, 2
WONG Kin Ho	ARN987	Licensed Representative	1
WONG Kwai Sun	AGN688	Licensed Representative	4
WONG Laam Laam, Christine	ARP655	Licensed Representative	4
WONG Lap Wai	AOX339	Licensed Representative	1, 4
WONG Lok Man	ARP885	Licensed Representative	4
WONG Patrick Chiu Yin	ARP713	Licensed Representative	9
WONG Pik Tin	ARN780	Licensed Representative	4
WONG Sau Wai	AQI850	Licensed Representative	1
WONG Siu Cheung	ARO630	Licensed Representative	4
WONG Siu Lun	ARP393	Licensed Representative	4
WONG Tak Yan, Frances	ARP090	Licensed Representative	1
WONG Tsz Ho	ARQ649	Licensed Representative	1, 2
WONG Wing Shan, Rosa	ARP387	Licensed Representative	1, 2
WONG Yat Him	ARN729	Licensed Representative	9
WONG Yuen Ting, Susanna	ARN812	Licensed Representative	1, 2, 7
WONG Zi Kei	ARQ774	Licensed Representative	4
WOODWARD Nicholas Mark	ARQ314	Licensed Representative	4, 9
WU Hoi Yi, Carrie	ARQ749	Licensed Representative	4
WU Junya	ARO390	Licensed Representative	1, 6
WU Ka Wai, Christine	ARN396	Licensed Representative	4, 9
WU Man Chiu	ARO753	Licensed Representative	1
WU Pin Yi	ARM727	Licensed Representative	1, 4
WU Qing	ARP176	Licensed Representative	1, 2
WU Shengji	ARL762	Licensed Representative	1, 4
WU Shuo Ting	ARO003	Licensed Representative	1, 4, 6
WU Xiongwei	ARP124	Licensed Representative	1, 4, 5, 9
XIAO Hong	ARQ319	Licensed Representative	1
XIAO Jingjing	ARQ049	Licensed Representative	1, 6
XIAO Minhong	ARO060	Licensed Representative	2
XIE Joanie Zhong Jie	ARP195	Licensed Representative	1, 6
XIE Yin	ARP059	Licensed Representative	1, 6
XU Jia	ARP556	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Qingfei	ARO773	Licensed Representative	1, 6
YADAV Gaurav	ARQ320	Licensed Representative	4
YAM Yuen Man, Apple	ARQ270	Licensed Representative	4
YAN Danmin	ARC145	Licensed Representative	6
YANG Elizabeth	ARP703	Licensed Representative	1
YANG Naijun	ARL777	Licensed Representative	1
YANG Qing	ARO431	Licensed Representative	1, 6
YANG Yujia	ARP384	Licensed Representative	9
YAU Kit Yu	AFS957	Licensed Representative	4
YEH Yu-Ting	ARN473	Licensed Representative	1
YEPES Granados, Ricardo	ARN023	Licensed Representative	3
YETMAN Mark Stephen	ACT009	Licensed Representative	1
YEUNG Bui, Bill	AFD827	Licensed Representative	1, 2
YEUNG Ho Ki	ARO899	Licensed Representative	1
YEUNG Kwok Bun	ARQ665	Licensed Representative	4
YEUNG Kwok Fai	ARP884	Licensed Representative	4
YEUNG Man Heung	AFL602	Licensed Representative	4
YEUNG Wing Hin	AFL322	Licensed Representative	1, 4
YEUNG Yan Hang, Samuel	ARO924	Licensed Representative	4
YIM Wan Choi	AQS308	Licensed Representative	1
YIP Chi Wing	ARO676	Licensed Representative	4
YIP Chung Him	ARQ661	Licensed Representative	4
Yip Pui Man, Patrick	ALU191	Licensed Representative	1
YOO Ji Hye	ARO820	Licensed Representative	4
YOSHINO Ai	ARO626	Licensed Representative	1
YOTSUMOTO Kanako	ARN748	Licensed Representative	1
YU Chi Leung	ARR604	Licensed Representative	1
YU Chiung-yin	ARQ097	Licensed Representative	1
YU Ho Man	ARQ934	Licensed Representative	4
YU Ka Lam	ARP477	Licensed Representative	4
YU Leung Chiu	ARO892	Licensed Representative	1
YU Lu	ARP189	Licensed Representative	1
YU Nian	ARP154	Licensed Representative	4
YUE Hok Yin, Lawrence	ARO897	Licensed Representative	4
YUEN Hon Ming, Edwin	ABY523	Licensed Representative	6
YUEN Kevin Siu Ting	ADX883	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
YUEN Ue Ting	ARP178	Licensed Representative	4
YUM Pok Man	ARQ766	Licensed Representative	1, 4
YUNG Wai Lun	ARN020	Licensed Representative	1
ZHAI Wenjing	ARP180	Licensed Representative	1, 6
ZHANG Hongqi	ARN453	Licensed Representative	1, 6
ZHANG Liang	ARI942	Licensed Representative	1, 4
ZHANG Wenyuan	ARJ899	Licensed Representative	4, 9
ZHANG Xin, Eric	ARQ383	Licensed Representative	1, 6
ZHANG Xinxiong	ARO301	Licensed Representative	2
ZHANG Yue	ARO427	Licensed Representative	1, 4, 6
ZHAO Jian	ARO675	Licensed Representative	1
ZHAO Sharon Chang	ARN679	Licensed Representative	1
ZHAO Wenli	ARP526	Licensed Representative	4
ZHENG Hao	ARO775	Licensed Representative	1, 6
ZHENG Wenli	ARO320	Licensed Representative	4, 9
ZHOU Zihui	ARJ843	Licensed Representative	1, 2
ZHU Yin	ARQ748	Licensed Representative	4
AU Tai Chung	AGO840	Licensed Representative - Responsible Officer	1, 4
BATE Paul William	AGG681	Licensed Representative - Responsible Officer	9
CHEUNG Chung Wai, Billy	AGT978	Licensed Representative - Responsible Officer	6
CHOI Jae Woo	AQR482	Licensed Representative - Responsible Officer	2
CHUA Alvin Cheng-Hock	AED730	Licensed Representative - Responsible Officer	1
CORSO Patrick	ARN072	Licensed Representative - Responsible Officer	1, 4
DANY Sean Thomas	ARO464	Licensed Representative - Responsible Officer	9
FU Jonathan Dei Jeng	AQV835	Licensed Representative - Responsible Officer	9
GAASBEEK Arieen Christiaan	ARH982	Licensed Representative - Responsible Officer	2
GOUGH Philip John	ARI394	Licensed Representative - Responsible Officer	1
GUBERMAN Howard Roy	ARO463	Licensed Representative - Responsible Officer	9
HALL David Parkhurst	ARI395	Licensed Representative - Responsible Officer	1
HARDICK Rory Alan	ARI526	Licensed Representative - Responsible Officer	1
JENKINS Benjamin Johnson	ARH485	Licensed Representative - Responsible Officer	4, 9
KIM Chung	AQR499	Licensed Representative - Responsible Officer	2
KITCHEN Jeremy Benedict	ARH720	Licensed Representative - Responsible Officer	9
KREFMAN Daniel Scott	ARI529	Licensed Representative - Responsible Officer	1
LEARY Paul Allen	ARK733	Licensed Representative - Responsible Officer	4, 9
LEELASENA Rasitha Subodha	ARR166	Licensed Representative - Responsible Officer	2, 5

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Kam Chung	AAD267	Licensed Representative - Responsible Officer	1, 4, 9
LIN John Sian-Zu	AED623	Licensed Representative - Responsible Officer	4, 9
MALLIA Francis Ross	AAO156	Licensed Representative - Responsible Officer	1, 6
MENDEZ DE VIGO ZU LOEWENSTEIN Cristobal Alejandro	ALB913	Licensed Representative - Responsible Officer	1
MOSKEY Matthew Love	ARK735	Licensed Representative - Responsible Officer	4, 9
NIEMAN Aaron Michael	ARH486	Licensed Representative - Responsible Officer	4, 9
O'CONNELL Carl Manning	AQF131	Licensed Representative - Responsible Officer	9
PESCE Christopher Joseph	ADT741	Licensed Representative - Responsible Officer	4, 9
RHEE Jung Ho	ARN043	Licensed Representative - Responsible Officer	4
RITTER Thomas	ARM806	Licensed Representative - Responsible Officer	4
SENKO Erik Andrew	ARK736	Licensed Representative - Responsible Officer	4, 9
SHIN Kiyoung	ARN830	Licensed Representative - Responsible Officer	9
TSUI Chi Kin, Ellick	ARM502	Licensed Representative - Responsible Officer	4
VALLACE Christopher James	ARI527	Licensed Representative - Responsible Officer	1
VAN BAKEL Roy	ARI807	Licensed Representative - Responsible Officer	1, 2
VAN PUT Ralph Paul Johan	ARI806	Licensed Representative - Responsible Officer	1, 2
WANG Zhihua	ARI402	Licensed Representative - Responsible Officer	9
WONG Mun Yee	APU633	Licensed Representative - Responsible Officer	9
WOO Heng Che, Stephen	ACA876	Licensed Representative - Responsible Officer	9
WURFBAIN Roderick Joan Leonhard	ARI684	Licensed Representative - Responsible Officer	1, 2
YANO Takashi	ARM818	Licensed Representative - Responsible Officer	4, 9
AGARWAL Vibhu	ARR424	Licensed Representative (Provisional Licence)	1
AMACHER Douglas Ray	ARP466	Licensed Representative (Provisional Licence)	9
ARORA Rohit	ART681	Licensed Representative (Provisional Licence)	1, 4
AU YEUNG Yiu Chung	ARS301	Licensed Representative (Provisional Licence)	1
AU-YEUNG Emily Ying Ka	ART701	Licensed Representative (Provisional Licence)	1, 6
AYOUB Faris Ibrahim Taha	ART001	Licensed Representative (Provisional Licence)	1, 4
BAER Eric	ARR815	Licensed Representative (Provisional Licence)	1, 2
BAIK Saeyoon	ARJ096	Licensed Representative (Provisional Licence)	4, 6
BARJ Samy, Alexandre	ARS443	Licensed Representative (Provisional Licence)	1, 2
BARWICK Richard John	ART684	Licensed Representative (Provisional Licence)	1
BLANCHARD Alexander Peter	ARU340	Licensed Representative (Provisional Licence)	1, 2
BRUNGS Ian Heinrich	ARS772	Licensed Representative (Provisional Licence)	1
CAMPBELL Edmund Mckay	ARS573	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Kam Chueng	AGL515	Licensed Representative (Provisional Licence)	4
CHAN Kam Ho Clarence	ARS145	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Man Sin	ART579	Licensed Representative (Provisional Licence)	1
CHAN Man Ting	ARS745	Licensed Representative (Provisional Licence)	1
CHAN Ngai Lun, Alan	ARR782	Licensed Representative (Provisional Licence)	1
CHAN Suk Yee, Shirley	AGE348	Licensed Representative (Provisional Licence)	1, 4
CHAN Wai Kit	ARS162	Licensed Representative (Provisional Licence)	2
CHAN Wei See	ARU693	Licensed Representative (Provisional Licence)	1, 9
CHAN Wing Sang, Winston	ARR807	Licensed Representative (Provisional Licence)	4
CHANG Hyun Kyu	ARU856	Licensed Representative (Provisional Licence)	1
CHANG Jack	ARS401	Licensed Representative (Provisional Licence)	4
CHAU Pok Man, Stanley	ARS412	Licensed Representative (Provisional Licence)	1
CHEAH Kit May, Aileen	ART048	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Chih-Yu	ARS400	Licensed Representative (Provisional Licence)	4
CHEN Frank	ARR529	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Le	ARS239	Licensed Representative (Provisional Licence)	9
CHEN Wei	ARU545	Licensed Representative (Provisional Licence)	6
CHEN Yinyin	ART224	Licensed Representative (Provisional Licence)	1, 4
CHEN Yuzhe	ARJ839	Licensed Representative (Provisional Licence)	4, 6
CHENG Kwo Yeh	AHC335	Licensed Representative (Provisional Licence)	1, 2
CHENG Suet Mei	ART070	Licensed Representative (Provisional Licence)	1
CHENG Tak Yiu	AGB941	Licensed Representative (Provisional Licence)	4, 9
CHENG Wai Yang	ART646	Licensed Representative (Provisional Licence)	4
CHEUNG Chun Keung	ARS058	Licensed Representative (Provisional Licence)	4
CHEUNG Sze Man, Helen	ART438	Licensed Representative (Provisional Licence)	1
CHEUNG Tao Ping	ARS456	Licensed Representative (Provisional Licence)	9
CHIANG Fuk Yip, Philip	ARR636	Licensed Representative (Provisional Licence)	1
CHIANG Sabina Wei Lam	ARU107	Licensed Representative (Provisional Licence)	1, 4
CHIN To	ARS572	Licensed Representative (Provisional Licence)	1, 4, 9
CHING Kerry Kim Wai	AFF128	Licensed Representative (Provisional Licence)	1, 4, 9
CHIU Mei Fung	AGN586	Licensed Representative (Provisional Licence)	1
CHIU Sin Leung, Robert	ARU078	Licensed Representative (Provisional Licence)	1
CHOO Kwok How	ACD952	Licensed Representative (Provisional Licence)	1, 2
CHOW Ki Wai	ART647	Licensed Representative (Provisional Licence)	4
CHOW Man Nok	ARS048	Licensed Representative (Provisional Licence)	1
CHRISTANTO Rika	ARS554	Licensed Representative (Provisional Licence)	1, 6
CHU Siu Fan	ARS054	Licensed Representative (Provisional Licence)	4
CHUN Kwang Hyuk	AME482	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHUNG Kar Ho, Carol	ART063	Licensed Representative (Provisional Licence)	4
CHUNG Wai Chun	ARU112	Licensed Representative (Provisional Licence)	1, 2
CHUNG Wai Yin, Vivien	AMD003	Licensed Representative (Provisional Licence)	1
CODD Niaby Janette	ARS442	Licensed Representative (Provisional Licence)	1, 2
COOPER Kenneth Allen	ACW742	Licensed Representative (Provisional Licence)	2
CZERKAWSKI Paul Andrew	ARR800	Licensed Representative (Provisional Licence)	1, 2
D'ABO John Gerard Nicholas	AFH717	Licensed Representative (Provisional Licence)	1, 6
DE ANGELIS Vittorio Roberto Vincenzo	ARH483	Licensed Representative (Provisional Licence)	1, 2
DEVGAN Sanjeet	AEC764	Licensed Representative (Provisional Licence)	1, 2
DHARMA Ronny Budi	ARQ974	Licensed Representative (Provisional Licence)	1, 2
DONAHUE Timothy Bradley	ART821	Licensed Representative (Provisional Licence)	4
DUJARI Vipul	ALC175	Licensed Representative (Provisional Licence)	9
DWIVEDI Manish	ARS454	Licensed Representative (Provisional Licence)	9
EELMAN Robert Garrett	AQA091	Licensed Representative (Provisional Licence)	1
EKINS Daniel William	ART880	Licensed Representative (Provisional Licence)	1, 4
EVEREST Steven Leslie	ARS776	Licensed Representative (Provisional Licence)	1
FAN Zhirong	ARO385	Licensed Representative (Provisional Licence)	4, 6
FEDOROV Boris	ARR935	Licensed Representative (Provisional Licence)	1
FOK Thomas Kui Chuen	AMR345	Licensed Representative (Provisional Licence)	1
FONG Kwun Wai, Matthew	ARS734	Licensed Representative (Provisional Licence)	4, 9
FUNG King Yin, Lawrence	ART079	Licensed Representative (Provisional Licence)	2
GARRILL Lyndsey Michelle	ART667	Licensed Representative (Provisional Licence)	1, 2, 7
GEFFROY Eric Laurent Raymond	ART533	Licensed Representative (Provisional Licence)	1, 2
GEHIN Wilfried Dominique Lucas	ART639	Licensed Representative (Provisional Licence)	1, 4, 9
GOODALL Jarrod James	ARR969	Licensed Representative (Provisional Licence)	1
GREEN Robin Adam	ACQ540	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GUAN Heyuan	ART225	Licensed Representative (Provisional Licence)	1
GUAN Yuqiang	ARR785	Licensed Representative (Provisional Licence)	1
GUPTA Niraj Mahendra	ART915	Licensed Representative (Provisional Licence)	1
HAKAMATA Tadashi	ARE200	Licensed Representative (Provisional Licence)	1
HALLEGUEN Yann	ARS440	Licensed Representative (Provisional Licence)	1, 2
HAMPEL Robert Karl St John	ARS445	Licensed Representative (Provisional Licence)	1, 2
HARDY Kevin Shane Lee	ART547	Licensed Representative (Provisional Licence)	1
HERON Garth Campbell	ART578	Licensed Representative (Provisional Licence)	1, 6
HESTER Thomas Eitel	AEZ079	Licensed Representative (Provisional Licence)	1
HILL Liam Patrick	AKI975	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Kwai Ching Mark	ARS046	Licensed Representative (Provisional Licence)	1, 4, 9
HO Wing Hang	AHD368	Licensed Representative (Provisional Licence)	4
HO Yaun, Stanley	AGA624	Licensed Representative (Provisional Licence)	1, 6
HO Yiu Hang, Ricky	ARA413	Licensed Representative (Provisional Licence)	6
HONG Chui Mei	ART935	Licensed Representative (Provisional Licence)	1
HSIEH Chih-Ming	ART446	Licensed Representative (Provisional Licence)	1
HSIEH Lee-shian	ARN823	Licensed Representative (Provisional Licence)	1, 4
HSU Yu-chiuan	ART649	Licensed Representative (Provisional Licence)	1
HTUT Zeyar Sein	ARS770	Licensed Representative (Provisional Licence)	4
HUANG Dan Qing	ARH066	Licensed Representative (Provisional Licence)	4, 6
HUANG Jeng Wei	ARR788	Licensed Representative (Provisional Licence)	1, 2
HUANG Songbo	ARS092	Licensed Representative (Provisional Licence)	2
HUANG Timothy C	APJ306	Licensed Representative (Provisional Licence)	4
HUI Tou Wah, Sam	ACD314	Licensed Representative (Provisional Licence)	1
HUI Wai Pan	ART695	Licensed Representative (Provisional Licence)	6
HUNG Cheung Fung	ART552	Licensed Representative (Provisional Licence)	1
HUNG CHOI Grecia Wing Yee	ARU588	Licensed Representative (Provisional Licence)	1, 2
HUNG Wing Sze, Cecilia	ARS096	Licensed Representative (Provisional Licence)	1, 6
JIANG Li	ARU868	Licensed Representative (Provisional Licence)	4
JIN Zhenxing	ARR544	Licensed Representative (Provisional Licence)	1, 4
JOSEPH Mani Parekattu	ARQ492	Licensed Representative (Provisional Licence)	1, 2
KAN Yuen Yee	ARS053	Licensed Representative (Provisional Licence)	4
KANG Sung Kou	AFY419	Licensed Representative (Provisional Licence)	9
KARLIN Jean-Luc, Michel	ART435	Licensed Representative (Provisional Licence)	1, 4, 9
KATHURIA Nitesh	ARS414	Licensed Representative (Provisional Licence)	4, 9
KE Jen-Hsien	ARQ309	Licensed Representative (Provisional Licence)	1, 4, 9
KEMPER Moritz	ART683	Licensed Representative (Provisional Licence)	4
KIM Bum Suk	ARQ770	Licensed Representative (Provisional Licence)	4
KO Chi Yiu	AGI704	Licensed Representative (Provisional Licence)	1, 4
KOH Kyoungyeun	ARR076	Licensed Representative (Provisional Licence)	1, 4, 6
KWAN Hoi Ngai, Michael	ANT542	Licensed Representative (Provisional Licence)	3
KWOK Kwan Kit	ART041	Licensed Representative (Provisional Licence)	1, 2
KWOK Pui Man	ARR630	Licensed Representative (Provisional Licence)	1, 4
KWOK Yat Sing	ARR940	Licensed Representative (Provisional Licence)	4
LAI Chi Chuen	ARS055	Licensed Representative (Provisional Licence)	4
LAI Man Hung	ART652	Licensed Representative (Provisional Licence)	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAI Pei-Chun	ARO383	Licensed Representative (Provisional Licence)	4, 6
LAI Yin Yu, Michelle	ARQ989	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Ka Lee, Carrie	ART900	Licensed Representative (Provisional Licence)	1, 2
LAM Pak Mui	ARS052	Licensed Representative (Provisional Licence)	4
LAM Pik Yan	ARR968	Licensed Representative (Provisional Licence)	1
LAM Wai Hung	APV151	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Yip Kay John	ARS101	Licensed Representative (Provisional Licence)	4, 9
LAU Fung Ngor, Swan	ARQ530	Licensed Representative (Provisional Licence)	1
LAW Hong Shing, Ambrose Peter	ART299	Licensed Representative (Provisional Licence)	1, 4
LAW Ka On, Andy	ARR601	Licensed Representative (Provisional Licence)	1, 2
LAWI Stephan Selim	ARP096	Licensed Representative (Provisional Licence)	1, 2
LAZERGES Gilles	ART865	Licensed Representative (Provisional Licence)	1
LEE Hin Tak Dominic	ARS144	Licensed Representative (Provisional Licence)	4
LEE Ka Shing, Cathina	AFJ607	Licensed Representative (Provisional Licence)	6
LEE Lik Hang, Alex	ART556	Licensed Representative (Provisional Licence)	4
LEE Mabel	ARU038	Licensed Representative (Provisional Licence)	1, 2
LEE Sin Tung	ARS051	Licensed Representative (Provisional Licence)	4
LEE Tung Ming	ARR924	Licensed Representative (Provisional Licence)	1
LEE Yun-Shin	ARS564	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Chik Lun	ARS045	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ho Cheong, Barry	ALY163	Licensed Representative (Provisional Licence)	4
LEUNG Kin Man	ARR823	Licensed Representative (Provisional Licence)	2
LEUNG Kin Yee	ARU276	Licensed Representative (Provisional Licence)	4
LEUNG Kwok Kee	ACH434	Licensed Representative (Provisional Licence)	1, 2
LEUNG Sze Man, Lilian	ART418	Licensed Representative (Provisional Licence)	1
LEUNG Yee Ki	ARS764	Licensed Representative (Provisional Licence)	1
LEVY-BRUHL Francois Andre Jacques	ARS303	Licensed Representative (Provisional Licence)	1, 2, 7
LI Bin	AMN772	Licensed Representative (Provisional Licence)	1
LI Chin Pang	ARR814	Licensed Representative (Provisional Licence)	1, 2
LI Dong	ARR525	Licensed Representative (Provisional Licence)	1
LI Dong	ARS750	Licensed Representative (Provisional Licence)	1, 4, 6
LI Enlun	ARS102	Licensed Representative (Provisional Licence)	1, 6
LI Jian	ART968	Licensed Representative (Provisional Licence)	1
LI Ke	ARR524	Licensed Representative (Provisional Licence)	6
LI Miaoxian	ARS451	Licensed Representative (Provisional Licence)	4
LI Wai Kwok Solomon	ARS049	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Xin	ART780	Licensed Representative (Provisional Licence)	1, 6
LIANG Junjian	ART298	Licensed Representative (Provisional Licence)	1, 6
LIAO Philip Kai-yau	ART300	Licensed Representative (Provisional Licence)	1, 2
LIM Tien Hoe	ARS413	Licensed Representative (Provisional Licence)	2
LIN Cheng-Yih	ARS446	Licensed Representative (Provisional Licence)	1, 2
LING Nim Chiu	AHC354	Licensed Representative (Provisional Licence)	4
LIU Jing	ART641	Licensed Representative (Provisional Licence)	1, 4
LIU Kai Fung	ART527	Licensed Representative (Provisional Licence)	4
LIU Michelle Xi Xiao	APC217	Licensed Representative (Provisional Licence)	1, 6
LIU Yu	ARR603	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Yujing	ARR155	Licensed Representative (Provisional Licence)	1, 6
LOH Terence Paul Keng Ann	ART645	Licensed Representative (Provisional Licence)	4, 9
LU Yanxiang	AQX954	Licensed Representative (Provisional Licence)	1, 4
LUE Kit Yan	ARS449	Licensed Representative (Provisional Licence)	1, 6
LUI Chi Hang	ARU560	Licensed Representative (Provisional Licence)	1, 4
LUM Hon Seng	ARS240	Licensed Representative (Provisional Licence)	9
MA Junrui	ARR894	Licensed Representative (Provisional Licence)	4
MA Wenjie	ARS300	Licensed Representative (Provisional Licence)	1, 6
MAK Yat Tang, Anthony	ART637	Licensed Representative (Provisional Licence)	1
MASHIKO Takeshi	ARS044	Licensed Representative (Provisional Licence)	1, 4, 6
MATHUR Adeep	ARR520	Licensed Representative (Provisional Licence)	1, 2
MILEUSNIC James Simon	ARR526	Licensed Representative (Provisional Licence)	1, 2
MILLER John Campbell	ARS089	Licensed Representative (Provisional Licence)	1, 2, 7
MISTRY Sachin Rohit Shiam	ARS999	Licensed Representative (Provisional Licence)	9
MONAHAN Mark Donahue	ARS560	Licensed Representative (Provisional Licence)	1, 7
MOTTARD Alexandre Flavien	ARR798	Licensed Representative (Provisional Licence)	1, 2, 7
MULLER Horst Holger	ARR932	Licensed Representative (Provisional Licence)	1
NARANG Mayank	ARS807	Licensed Representative (Provisional Licence)	1, 2
NEARY James Joseph	AQE987	Licensed Representative (Provisional Licence)	1
NEOH Jasmine Li Hoon	ARS228	Licensed Representative (Provisional Licence)	1
NG Ching Yin	ARS551	Licensed Representative (Provisional Licence)	1, 2
NG Francis Yee Hong	ARR545	Licensed Representative (Provisional Licence)	1, 6
NG Kin Mui	ART039	Licensed Representative (Provisional Licence)	4
NG Sheung Wah	ARR154	Licensed Representative (Provisional Licence)	1, 2
NG Weng Keong	ARQ312	Licensed Representative (Provisional Licence)	1, 4, 9
NGAI Yuen Shan	ARS104	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
OH Jae Chang	ARO384	Licensed Representative (Provisional Licence)	4, 6
OKA Taikan	ARU690	Licensed Representative (Provisional Licence)	1
OOI Ian Seong	APC880	Licensed Representative (Provisional Licence)	4, 9
PANG Siu Man	ARS056	Licensed Representative (Provisional Licence)	4
PARK Ji Na	ARO389	Licensed Representative (Provisional Licence)	4, 6
PERLMUTTER Julian Jatayu	ARR097	Licensed Representative (Provisional Licence)	4
PHUA Ai Ching, Pamela	ARS305	Licensed Representative (Provisional Licence)	1, 4
PICCARDO Massimo	ART993	Licensed Representative (Provisional Licence)	1
POON Yuen Fai	ARS050	Licensed Representative (Provisional Licence)	4
RACHIDY Kamal	ARS441	Licensed Representative (Provisional Licence)	1, 2
RATTANAVAN Andre	ARR410	Licensed Representative (Provisional Licence)	1
RAWAT Aakash	ARR537	Licensed Representative (Provisional Licence)	4
ROELOFFS JR Kurt William	AOR428	Licensed Representative (Provisional Licence)	1, 4
ROHNER Anton Johannes	ART995	Licensed Representative (Provisional Licence)	1, 6
RONDOUIN Hugues Louis Gabriel	ARS164	Licensed Representative (Provisional Licence)	1, 4
ROSE Janice Mei Yin	ARS304	Licensed Representative (Provisional Licence)	1
SCHULZ Willi Peter	ARS590	Licensed Representative (Provisional Licence)	1, 6
SHAN Juan	ARS452	Licensed Representative (Provisional Licence)	1, 2
SHAN Li	AQD832	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Qi	ARP973	Licensed Representative (Provisional Licence)	1, 4
SHIN Jae Won	ARN826	Licensed Representative (Provisional Licence)	4, 6
SHORE Dominic Daniel	ARR622	Licensed Representative (Provisional Licence)	1
SIU On Chin, Angie	ARR628	Licensed Representative (Provisional Licence)	6
SO Pui Yin	APO857	Licensed Representative (Provisional Licence)	1, 4, 9
SOLUADE Rosiji Olakitan	ARS444	Licensed Representative (Provisional Licence)	1, 2
SONG Min Sup	ARR816	Licensed Representative (Provisional Licence)	1, 6
SONG Tingting	ART003	Licensed Representative (Provisional Licence)	1, 4
STURMEY Jonathan William	ARS748	Licensed Representative (Provisional Licence)	1, 6
SUEN Pui Suen	ART002	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Jie	ARO381	Licensed Representative (Provisional Licence)	1
SUN Xiao	ARS241	Licensed Representative (Provisional Licence)	9
SUN Xiaojun	ARU688	Licensed Representative (Provisional Licence)	1, 7
SUYAMA Takuro	ARU691	Licensed Representative (Provisional Licence)	1, 6
SZYMANSKI Dylan	ARJ017	Licensed Representative (Provisional Licence)	1, 6
TAN An Li	ARQ985	Licensed Representative (Provisional Licence)	1
TAO Kwok King	ARS312	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
TENG Fang Hsi	ANE872	Licensed Representative (Provisional Licence)	1, 2
THOMPSON Cormac Joseph	ARR528	Licensed Representative (Provisional Licence)	1, 2
TIAN Xiaolei	ARS482	Licensed Representative (Provisional Licence)	1, 2
TOMINAGA Satoshi	ART770	Licensed Representative (Provisional Licence)	1
TONG Chi Kwong	ART038	Licensed Representative (Provisional Licence)	1, 4
TONG Ka Lai	ARR530	Licensed Representative (Provisional Licence)	4, 9
TSANG Bing Fun, Eugene	ARU692	Licensed Representative (Provisional Licence)	1, 2
TSANG Kwai Ping	ART234	Licensed Representative (Provisional Licence)	6
TSANG Yee Man, Eva	ART998	Licensed Representative (Provisional Licence)	4
TSENG Man-ting	ARR378	Licensed Representative (Provisional Licence)	1, 2
TSUCHIYA Shunsuke	ART679	Licensed Representative (Provisional Licence)	4
TSUI Chun Wa	ART866	Licensed Representative (Provisional Licence)	1
TSUI Shu Hang, Edwin	AFT307	Licensed Representative (Provisional Licence)	1, 2
TU Ian Chia-Lun	ARQ475	Licensed Representative (Provisional Licence)	1, 4, 6
TURNBULL Alexander Bligh Hughes	AMN702	Licensed Representative (Provisional Licence)	9
VAN MAANEN Erin Margaret	ARS308	Licensed Representative (Provisional Licence)	1, 6
VERON Patrick Boke	ARS489	Licensed Representative (Provisional Licence)	6
VIOL Christopher Robert	ARS238	Licensed Representative (Provisional Licence)	4
WAN Cheuk Yin	ARR928	Licensed Representative (Provisional Licence)	1
WAN Chiu Ho, Peggy	AJV350	Licensed Representative (Provisional Licence)	1
WAN Siu Yung	ARR913	Licensed Representative (Provisional Licence)	1
WANG Chien-Chung	AIC939	Licensed Representative (Provisional Licence)	1
WANG Feng	ARR583	Licensed Representative (Provisional Licence)	1
WANG Haitong	AFR180	Licensed Representative (Provisional Licence)	9
WANG Jianguo	ART708	Licensed Representative (Provisional Licence)	4, 5, 9
WANG Jong-shiow	ARR792	Licensed Representative (Provisional Licence)	4
WAUGH Robert George	ART513	Licensed Representative (Provisional Licence)	1, 6
WHEATLEY-HUBBARD Andrew David	ARR841	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Chi Fai	ARS434	Licensed Representative (Provisional Licence)	4
WONG Ching Yee, June	ART451	Licensed Representative (Provisional Licence)	1
WONG Cho Wai	ART678	Licensed Representative (Provisional Licence)	1, 4
WONG Chun Wai	AJJ806	Licensed Representative (Provisional Licence)	1
WONG Hiu Bun	ARU571	Licensed Representative (Provisional Licence)	1
WONG Man Hing	ARS171	Licensed Representative (Provisional Licence)	4
WONG Pik Tin	ARN780	Licensed Representative (Provisional Licence)	4
WONG Pui Ying	APV517	Licensed Representative (Provisional Licence)	1, 2, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Quincy Chao-Yu	AHJ394	Licensed Representative (Provisional Licence)	9
WONG Shing Chi	ARS765	Licensed Representative (Provisional Licence)	2
WONG Si Man	ARS172	Licensed Representative (Provisional Licence)	4
WONG Vivien	ARR811	Licensed Representative (Provisional Licence)	4
WONG Wai Man	ARS057	Licensed Representative (Provisional Licence)	4
WONG Wan Nei, Winny	ART301	Licensed Representative (Provisional Licence)	4
WONG Yik Fong	AED169	Licensed Representative (Provisional Licence)	4, 9
WU Elaine	ARO386	Licensed Representative (Provisional Licence)	4
WU Hung-Ting	ARS402	Licensed Representative (Provisional Licence)	4
WU Ruojun	ARN824	Licensed Representative (Provisional Licence)	4
WU Sum Yue	ARR805	Licensed Representative (Provisional Licence)	1, 2
XING Ziqiang	ART864	Licensed Representative (Provisional Licence)	1
XU Ce	ARG809	Licensed Representative (Provisional Licence)	4, 6
XU Jinlong	ART863	Licensed Representative (Provisional Licence)	1
YAN Meizhi	ARP097	Licensed Representative (Provisional Licence)	4
YANG Jie	ARS746	Licensed Representative (Provisional Licence)	1, 4, 9
YEH Su -Lin	ARS391	Licensed Representative (Provisional Licence)	1
YICK Wang Fung	AMR755	Licensed Representative (Provisional Licence)	9
YIP Siu Fong	ART514	Licensed Representative (Provisional Licence)	1
YU Adrienne Jia Yan	ARQ478	Licensed Representative (Provisional Licence)	1, 4, 6
YU Pui Ling, Selina	ARR536	Licensed Representative (Provisional Licence)	4
YU Xiang	ARS233	Licensed Representative (Provisional Licence)	6
YU Yuan Tsung	ARS797	Licensed Representative (Provisional Licence)	2
YUEN Hang Ngai	ARS103	Licensed Representative (Provisional Licence)	4
ZENG Keke	ARJ832	Licensed Representative (Provisional Licence)	4, 6
ZHANG Huan	ARS297	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jinghao	APM160	Licensed Representative (Provisional Licence)	1
ZHANG Lianhua	ARS299	Licensed Representative (Provisional Licence)	1
ZHANG Yin	ART036	Licensed Representative (Provisional Licence)	4
ZHANG Zhongying	ARS091	Licensed Representative (Provisional Licence)	2
ZHAO Yang	ARS552	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Yiqing	ARR939	Licensed Representative (Provisional Licence)	1, 6
ZHOU Chunhua	ARO145	Licensed Representative (Provisional Licence)	4, 6
ZHOU Wei	ARS556	Licensed Representative (Provisional Licence)	1
ZHOU Ying	ARR377	Licensed Representative (Provisional Licence)	4
ZHU Lei	AIU660	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
COX Ashley James	AQS487	Licensed Representative (Temporary Licence)	1
GODET Martial Herve Paul	ARU049	Licensed Representative (Temporary Licence)	1, 4
HASNAIN Syed Jafer	AMX713	Licensed Representative (Temporary Licence)	4
HEASMAN Nicholas James	ART784	Licensed Representative (Temporary Licence)	1
HERGOTT Mark Steven	ART675	Licensed Representative (Temporary Licence)	1
IP Angela Sin-Yue	ANN456	Licensed Representative (Temporary Licence)	2
LEON Pamela Klein	ART786	Licensed Representative (Temporary Licence)	1
MANN Gregory James	ARR531	Licensed Representative (Temporary Licence)	1
MARSHALL John Duncan	ALW819	Licensed Representative (Temporary Licence)	1
MASUNO Daisaku	APD236	Licensed Representative (Temporary Licence)	4
MATAYOSHI Yuka	APR966	Licensed Representative (Temporary Licence)	1
MICHELI Gabriel Jean Victor	ARS100	Licensed Representative (Temporary Licence)	4
MORIYAMA Keisuke	AQP609	Licensed Representative (Temporary Licence)	4
NAKATA Shusuke	ARR934	Licensed Representative (Temporary Licence)	1
NAKATSUKA Mayo	APR799	Licensed Representative (Temporary Licence)	1
PHLEGAR Jeffrey Scott	AMJ997	Licensed Representative (Temporary Licence)	4
QUINN Emma Catherine	AOS451	Licensed Representative (Temporary Licence)	1
SALAMA Daniel	ARU050	Licensed Representative (Temporary Licence)	1, 4
SKELLY David John	AOS439	Licensed Representative (Temporary Licence)	2
UNNO Hiroshi	ARS090	Licensed Representative (Temporary Licence)	4
URUSHIHARA Ryoichi	ARR527	Licensed Representative (Temporary Licence)	4
Bank of Montreal	AAK809	Registered Institution	1, 4