

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
AM Investment Partners HK, Limited	ARJ072	Licensed Corporation	9
Apus Investments Limited	ARL309	Licensed Corporation	9
Bucephalus Capital Management Advisors Limited	ARD552	Licensed Corporation	9
CSOP Asset Management Limited	ARN075	Licensed Corporation	9
Fidelity Management & Research (Hong Kong) Limited	ARI747	Licensed Corporation	4, 5, 9
Hiu Fung Securities Limited	AQS774	Licensed Corporation	1
Horizon Asset International (HK) Limited	ARG660	Licensed Corporation	9
Millennium Capital Management (Hong Kong) Limited	ARE092	Licensed Corporation	9
Mount Kellett Capital (Hong Kong) Limited	ARL255	Licensed Corporation	9
Oriental Wealth Securities Limited	ARJ116	Licensed Corporation	1
Redbrick Capital Management (Asia) Limited	ARJ978	Licensed Corporation	9
SODICA Asia Limited	ARG873	Licensed Corporation	6
Somerley Asset Management Limited	APS577	Licensed Corporation	9
Susquehanna Financial Group, LLLP	ARJ898	Licensed Corporation (Temporary Licence)	1, 6
ABEY Arun Kumar	AIU634	Licensed Representative	4
AKIBA Tsumugi	ARJ690	Licensed Representative	4
ALLARD Wayne Anthony	ARF586	Licensed Representative	4
AMATT James William	ARN424	Licensed Representative	4, 5, 9
ARMAND Christophe Damien	ARI739	Licensed Representative	1
AU Ka Ho	ARK827	Licensed Representative	1
AU Ka Yee	ARH383	Licensed Representative	1
AU Wing Fai, Adrian	ARP246	Licensed Representative	4
AU Wing Shan, Ivy	ARI999	Licensed Representative	4
AU Wing Sing	ARF809	Licensed Representative	1, 6
BAI Shuyang	ARJ095	Licensed Representative	4, 6
BAIK Saeyoon	ARJ096	Licensed Representative	4, 6
BASHAM Caroline Charlotte	AFU065	Licensed Representative	1
BELAI Kishore Motilal	ARK035	Licensed Representative	4
BLENKIRON Philip Edward	ARG533	Licensed Representative	1, 2
BOGOMOL Matthew David	AFO857	Licensed Representative	1
BOLON Jonathan Quinn	ARH276	Licensed Representative	1, 2
BOWERS John Pennington	ARM695	Licensed Representative	4
BRADLEY Pamela Anne	ARH944	Licensed Representative	4
BRASIER Jean-paul Yves	ACR440	Licensed Representative	1
BROOKS Christopher John	AHF678	Licensed Representative	4, 6
BRUNELLO Jonathan Paul	ARG186	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
BURRELL James Alexander	ARF693	Licensed Representative	4
CAI Yipeng	ARL158	Licensed Representative	4, 9
CESARO Andrea	ARL099	Licensed Representative	1, 4
CHAK Wai Wing	AIX144	Licensed Representative	4, 9
CHAN Bryan Wei-Heung	ARH109	Licensed Representative	1, 6
CHAN Chi Keung	ARJ968	Licensed Representative	1
CHAN Chin Fai	ARK366	Licensed Representative	4
CHAN Ching Yuen	ARN190	Licensed Representative	1
CHAN Chun Wai	AQJ036	Licensed Representative	1
CHAN Chun Wing	AML975	Licensed Representative	1, 2
CHAN Chung Shun	ARM620	Licensed Representative	1, 4
CHAN Chung Wai	ARJ543	Licensed Representative	1
CHAN Hei Yi	ARO720	Licensed Representative	4
CHAN Hin Hong	ARI672	Licensed Representative	1, 2
CHAN Hoi Sze, Ceci	ARO235	Licensed Representative	4
CHAN Jun Yu	ARK106	Licensed Representative	1, 6
CHAN Ka Man, Gloria	ARJ769	Licensed Representative	4
CHAN Kai Sin	ARL431	Licensed Representative	3
CHAN Kai Yiu	ACJ652	Licensed Representative	1
CHAN Kin Fai	ARD451	Licensed Representative	4
CHAN King Chi	ARJ502	Licensed Representative	4
CHAN Kwok Keung	ARM283	Licensed Representative	1, 4
CHAN Man Hung	ARL935	Licensed Representative	4
CHAN Siu Keung	ARO746	Licensed Representative	4
CHAN Tak Lap	AFN721	Licensed Representative	4
CHAN Tik Man, Desmond	ARK324	Licensed Representative	1, 2, 7
CHAN Wai Chung	AOD117	Licensed Representative	1, 2
CHAN Wai Fook	ARM567	Licensed Representative	4
CHAN Wai Hung Gordon	ARI820	Licensed Representative	4
CHAN Wai Tong	ARJ357	Licensed Representative	1, 4
CHAN Yee Lim, Lawrence	ADH477	Licensed Representative	4, 9
CHAN Yin	ARI164	Licensed Representative	4
CHAN Yin Kit	ARK583	Licensed Representative	4
CHAN Yiu Fai	ARI935	Licensed Representative	1
CHAN Yiu Leung	ARG414	Licensed Representative	4
CHAN Yuen Sang	ARJ583	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Yuen Yu	ARN138	Licensed Representative	1, 6
CHANG Koon Chung, Joseph	AHV465	Licensed Representative	4
CHANG Pui Shan, Melissa	ARN180	Licensed Representative	1, 6
CHANG Yi Ta	ARM491	Licensed Representative	1
CHAU Nam Sai, Michelle	ARG413	Licensed Representative	4
CHAU Tsang Wu	ARK069	Licensed Representative	3
CHEE Shien Yuen, Aylwin	ARI565	Licensed Representative	1, 6
CHEN Chang	ARM897	Licensed Representative	1, 2
CHEN Chien-Hua	ARJ090	Licensed Representative	1, 4
CHEN Chung-pei	ARQ018	Licensed Representative	1
CHEN Emily Lilan	ARN140	Licensed Representative	1
CHEN Heyi	ARN018	Licensed Representative	4, 9
CHEN Jing Yi	ARN454	Licensed Representative	1, 4, 9
CHEN Kuan-Ting	ARI993	Licensed Representative	1, 4
CHEN Meng-Ling	ARN516	Licensed Representative	4, 9
CHEN Nan	ARG251	Licensed Representative	1
CHEN Qi	ARI743	Licensed Representative	1, 2
CHEN Ren	ARJ094	Licensed Representative	4, 6
CHEN Tsun Kit	ARN749	Licensed Representative	1, 6
CHEN Wei Ming	ARL972	Licensed Representative	9
CHEN Yi-Hsuan	ARM896	Licensed Representative	1
CHEN Yu-hua	ARI975	Licensed Representative	4, 9
CHEN Yuzhe	ARJ839	Licensed Representative	4, 6
CHENG Chui Yee, Bonnie	ARJ710	Licensed Representative	4
CHENG Dickson	AGP527	Licensed Representative	1, 6
CHENG Hoi Wa	ARM926	Licensed Representative	1
CHENG Pui Kan	APY381	Licensed Representative	1, 2
CHENG Ta-Kan, Andrew	ARK329	Licensed Representative	1, 4
CHENG Wei	ARI947	Licensed Representative	1, 4, 6
CHENG Yan Lap, Samuel	AAW137	Licensed Representative	1
CHENG Yung Hui	ARN383	Licensed Representative	9
CHEUK Wai Hing	ARL486	Licensed Representative	1
CHEUNG Chi Ho, Edward	ARK371	Licensed Representative	4
CHEUNG Ching Ha	ANP394	Licensed Representative	1
CHEUNG Chung Wa	AKP485	Licensed Representative	4
CHEUNG King Han, Caris	ARL831	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG LUI Mei Sze, Iris	ARI812	Licensed Representative	4
CHEUNG Man Chu, Milly	ARN969	Licensed Representative	4
CHEUNG Siu Chung, Dennis	ARN186	Licensed Representative	1, 2
CHEUNG Wing Wai	ARM299	Licensed Representative	1
CHEUNG Yee Nei	ARG907	Licensed Representative	4
CHEUNG Ying Yuet	ARL795	Licensed Representative	4
CHEUNG Yuen Wai	AAJ922	Licensed Representative	2
CHEUNG Yuk Lan	AET971	Licensed Representative	4
CHEUNG Yuk Lung	ARN022	Licensed Representative	4
CHEW Van Hoong	ARK447	Licensed Representative	1
CHI Chung Him	ARO768	Licensed Representative	3
CHIA Huey Ping	ARM833	Licensed Representative	1
CHIAO Karen K	ARN147	Licensed Representative	1, 6
CHIN Hyun-Joon	ARN998	Licensed Representative	1
CHIN Wei Xian	ARK828	Licensed Representative	1
CHIU Chi Hoi	ALV072	Licensed Representative	1
CHIU Chun Hung, Russell	ARK451	Licensed Representative	4, 9
CHIU Man Wai	AFT050	Licensed Representative	4
CHO Hyun Kyung	ARJ829	Licensed Representative	1, 2
CHOA Ka Lim	ARN433	Licensed Representative	1, 2
CHOI Janice Su Yun	AMN768	Licensed Representative	1, 4
CHOI Joon Ho	ARJ837	Licensed Representative	4, 6
CHOI Man Lee	ARO659	Licensed Representative	1
CHOI Pang Fei	ARO662	Licensed Representative	1
CHOI Suet Miu	ARJ977	Licensed Representative	1
CHOI Wai Ying	ARL805	Licensed Representative	4
CHOI Yun Seong	ARJ171	Licensed Representative	4, 9
CHONG Pui Ling	ARH347	Licensed Representative	1
CHONG Shi-Lin, Corinne	ARJ830	Licensed Representative	4, 6
CHONG Siu Chuen	ARH353	Licensed Representative	1
CHONG Wan Yee	ADC300	Licensed Representative	1, 4, 6
CHOW Cheuk Man	ARN460	Licensed Representative	4
CHOW Chi Wing	AGA805	Licensed Representative	4
CHOW Hiu Nam	AEY974	Licensed Representative	1
CHOW Ka Lai, Vivian	ARL811	Licensed Representative	4
CHOW Kwai Shing	ARG875	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOW Wing Wah	ARN078	Licensed Representative	1
CHOY Fung Yin Puffy	AQZ726	Licensed Representative	1
CHOY Wai Lee, Candy	ARO682	Licensed Representative	4
CHU Chun Yi	ARM915	Licensed Representative	1
CHU Hiu Wai, Kelvin	ARN399	Licensed Representative	4
CHU Hon Chiu	ARI991	Licensed Representative	4, 9
CHU Ka Tat, Andy	ARL488	Licensed Representative	1
CHU Man Kai	ARJ699	Licensed Representative	3
CHU Shan Shan	ARK373	Licensed Representative	4
CHU Wai Tak, Victor	ARK830	Licensed Representative	1, 4, 9
CHUA Man Ching	AEO864	Licensed Representative	4
CHUA Mei Ting	ARM837	Licensed Representative	1
CHUANG Pao-Chin	ARJ112	Licensed Representative	1
CHUI Siu Ching	ARJ209	Licensed Representative	1, 2, 7
CHUNG Siu Hon	ARN976	Licensed Representative	4
CHUNG Wai Sum	ARG417	Licensed Representative	4
CHUNG Wai Tong	ARF932	Licensed Representative	1, 2
CLAEREN Niels Ferdinand Henri Cornelis Maria	ARI048	Licensed Representative	1, 6
CLARK Kelly Ken	ARK432	Licensed Representative	9
COLEMAN Andrew Jonathan	ARH649	Licensed Representative	4, 9
DAWSON Elizabeth Mc Vey	AFH193	Licensed Representative	1, 9
DE VILLELE Benoit, Marie, Patrice, Andre	ARJ464	Licensed Representative	1
DHILLON Deepinder Singh	ARN392	Licensed Representative	9
DIGMANESE Bernie	ARM574	Licensed Representative	6
DIOUF Ibrahima	ARK145	Licensed Representative	1, 4, 9
DIVGI Pratima Ramdas	ARI451	Licensed Representative	4, 9
DR SCHMID Marc Stephan	ARL128	Licensed Representative	1, 6
DU Lui	ARJ091	Licensed Representative	4, 6
DU Yiqing	ARJ440	Licensed Representative	6
ENG CHEUNG Chi Ping, Betty	AAB547	Licensed Representative	9
ESPINELI Reynaldo Miguel Nazareno	ARM746	Licensed Representative	1, 4, 6, 9
FAN See Hang	ARM892	Licensed Representative	1
FANG Fang	ARE225	Licensed Representative	9
FAOAGALI Anna Silone	ARJ019	Licensed Representative	4
FARMAN Daniel Noel George	ARN747	Licensed Representative	1
FONG Chi Keung	ARN461	Licensed Representative	4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
FONG Sut Sam	AFR045	Licensed Representative	1, 4
FONG Wai Lun	ARL280	Licensed Representative	4
FONG Wai Sang, Paul	ARM917	Licensed Representative	4
FOO Wei-Hsien Dawn Florina	ARN980	Licensed Representative	1, 4
FU Ha Ping	ARN511	Licensed Representative	4
FU Jackson	ARJ831	Licensed Representative	1, 2
FUNG Chun Yin, Joesph	ABA031	Licensed Representative	2
FUNG Daniel Kenneth	AEJ052	Licensed Representative	4, 9
FUNG Fai Hung	AFK102	Licensed Representative	4
FUNG Ming Cheung	AKY485	Licensed Representative	1, 4
FUNG Sze Man	ARM577	Licensed Representative	1, 2
GAO Ming	ANG491	Licensed Representative	1, 2
GERA Diksha	ARJ835	Licensed Representative	1, 4
GHOZALI Nasrine	ARM921	Licensed Representative	4, 5, 9
GLASSOCK Lee Douglas	ARF813	Licensed Representative	1
GOYARDI Tatagraha	ARI509	Licensed Representative	4
GRUEN Dale Jaffe	ARJ584	Licensed Representative	9
GRUNAUER Xavier Mieles	ARE996	Licensed Representative	4
GUINNESS Stuart Wesley Grattan	ARG897	Licensed Representative	1, 4, 9
GUO Yijun	ARI927	Licensed Representative	1, 6
GUPTA Ajay	AIR435	Licensed Representative	1, 2
HAENE Michael Thomas	AQX334	Licensed Representative	1, 2, 7
HAMERSMA Sander Bastiaan	ADW037	Licensed Representative	1, 6
HAN Sangkyoon	ARJ172	Licensed Representative	4, 9
HAN Shan	ARL736	Licensed Representative	1
HAR Chun Kit, Johnson	ARM685	Licensed Representative	1, 2, 7
HASAN Haroon	ADS283	Licensed Representative	1, 2
HATRICK Kerr	ARH858	Licensed Representative	1
HAYNES-OLIVER Simon Chrisanthony	ARL453	Licensed Representative	1, 2
HETHERINGTON John Charles	ABN564	Licensed Representative	4
HEUNG Man Wa	ARN439	Licensed Representative	4
HO Cheong Wing	ARM493	Licensed Representative	1
HO Chun Hang	ARM740	Licensed Representative	4
HO Chung Chee, Cecilia	ARI177	Licensed Representative	1, 2
HO Hon Wing	ARN670	Licensed Representative	4
HO Jason Hoi Yuen	ARI946	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Ka Kin, Terence	ARJ354	Licensed Representative	1
HO Ka Lee, Kary	ARN024	Licensed Representative	1, 2, 5
HO Ka Man, Canny	ARK402	Licensed Representative	4
HO Kwok Cheong	ARO129	Licensed Representative	4
HO Man Ho David Gabriel	ARI668	Licensed Representative	1
HO Po Yung	ARN429	Licensed Representative	4
HO Shing Chi	ARM538	Licensed Representative	4
HO Shu Pui	ARL269	Licensed Representative	3
HO Sui Shan	ARK403	Licensed Representative	4
HO Ting Kay	AEH400	Licensed Representative	4
HO Tze Chung	ARO376	Licensed Representative	1, 4
HO Wang Kei, David	ARK440	Licensed Representative	1
HO Yee Wah	ARM778	Licensed Representative	4
HO Yik Ling	AEK008	Licensed Representative	1
HOEGEE Brian Richard	ARF808	Licensed Representative	1, 2
HON Sheung	ARN962	Licensed Representative	1
HOR Kar Ngai	ARK410	Licensed Representative	4
HOU Ching Chen	AHS857	Licensed Representative	1, 2, 4, 5, 9
HSIEH Tsai-yin	ARH151	Licensed Representative	1
HSU Yi-Ping	ARL877	Licensed Representative	4
HSUEH Ya-chi	ARG528	Licensed Representative	1
HU Haoping	ARG359	Licensed Representative	4
HU Xiao	ARM291	Licensed Representative	1, 6
HUANG Fang	ARL307	Licensed Representative	1
HUANG Lui	ARI731	Licensed Representative	1, 2, 3
HUANG Wenqian	ARL308	Licensed Representative	1
HUANG Yih-Chuh	ARJ063	Licensed Representative	1
HUE Wai Kit	ARN883	Licensed Representative	4
HUEN Yuk Chun	ARN605	Licensed Representative	4
HUGHES Anthony Michael	ARG524	Licensed Representative	1
HUI Chiu Shing, Jensen	ARJ355	Licensed Representative	1
HUI Kwai Ngan, Christina	ARJ542	Licensed Representative	4
HUI Sum Yuet Barbara	ARH946	Licensed Representative	1, 2, 5
HUI Wing Sang	ARN544	Licensed Representative	4
HUNG Chi Fung	ARL089	Licensed Representative	1
HUNG Janet Yi Kui	ARJ827	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUNG Pan Shun	ARL826	Licensed Representative	4
HUNG Yee Ting, Jasmine	AKV312	Licensed Representative	1, 4, 5, 9
INOUE Mariko	ARN614	Licensed Representative	1
IP Kin Kei	ARN432	Licensed Representative	4
IP Lai Man	ARJ689	Licensed Representative	4, 5, 9
IP Sau Chun, Lisa	ARM732	Licensed Representative	1, 2, 7
ITO Kanako	ARO126	Licensed Representative	1
IWASA Shinsuke	AMP190	Licensed Representative	4
JACKAMO II Thomas Joseph	AFD746	Licensed Representative	1, 6
JAFFRAY Scott John	ARH186	Licensed Representative	1
JAFREE Raza Ali	ARI951	Licensed Representative	1, 2, 7
JIA Qin	ARI969	Licensed Representative	1, 4, 9
JIANG Jian	ARG676	Licensed Representative	1
JIN Liwen	ARK556	Licensed Representative	1, 4
JINDAL Anuj	ARL444	Licensed Representative	1, 2
KAM Ho Yu, Astor	ARN073	Licensed Representative	4
KAM On Ni	ARL827	Licensed Representative	4
KANESHIGE Hirou	ARD681	Licensed Representative	1, 2
KANG Melissa Su Yi	ARN456	Licensed Representative	4
KANG Zhaoyang	ARN401	Licensed Representative	6
KARAKI Yuji	ARK446	Licensed Representative	1, 4, 6
KEE Man Hei	ARN016	Licensed Representative	4
KELLOCK Mark William	ARJ486	Licensed Representative	4
KHOO Lay Seng	ARN426	Licensed Representative	1, 6
KI Tak Sum, Janus	ARI963	Licensed Representative	4, 9
KIM Kwangwoo	ARJ146	Licensed Representative	9
KIM Taewon	ARI057	Licensed Representative	1
KIM Yoon Jhung	ARG900	Licensed Representative	4, 9
KIM Young A	ARL484	Licensed Representative	4, 9
KO Ka Wing, Priscilla	ARJ306	Licensed Representative	1
KO Mei Sze	ARF257	Licensed Representative	1, 9
KO Wing Hay	ARN435	Licensed Representative	2
KO Yat Hang	ARN067	Licensed Representative	1
KOO Anna-mae Mei Jong	ANR847	Licensed Representative	4, 9
KOT Geoffrey Tin Cheuk	AQZ731	Licensed Representative	1, 2
KOTWAL Neha	ARI433	Licensed Representative	4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
KUMAR Ajay	ARN358	Licensed Representative	1, 2
KUO Feng-Yi	ARN036	Licensed Representative	1, 2
KWAN Lee Lee, Lily	ARL836	Licensed Representative	4
KWAN Shing On, Albert	AEO761	Licensed Representative	1, 4
KWAN Suk On, Maria	ARO740	Licensed Representative	4
KWOK Chung Ting Viola	ARI484	Licensed Representative	1
KWOK Ka Lok, Stanley	ARL159	Licensed Representative	4, 9
KWOK Kam Tim	ARJ779	Licensed Representative	4
KWOK Man Yi, Connie	AFN898	Licensed Representative	4
KWOK Sze Ho	ALO530	Licensed Representative	9
KWOK Wai Kwan	ARN153	Licensed Representative	1, 6
KWOK Wai Shing	ARJ795	Licensed Representative	4
KWOK Yu Tin	ARN819	Licensed Representative	4
KWONG Wing Hang	ARI948	Licensed Representative	1
LAI Man Kin	ARI785	Licensed Representative	1, 4
LAI Wing Yan Queenie	ARI064	Licensed Representative	4
LAM Chuen	ARN384	Licensed Representative	1
LAM Ka Chun	ARH361	Licensed Representative	1
LAM Ka Chun	ARK653	Licensed Representative	1, 2, 4
LAM Ka Wai	ARL775	Licensed Representative	1, 2, 3
LAM Lau Fai	ARN684	Licensed Representative	4
LAM Ling Ling, Susanna	ARO742	Licensed Representative	4
LAM Mei Ying	ARN630	Licensed Representative	4
LAM Miu Shan	ARK445	Licensed Representative	4
LAM She Yan	ARN423	Licensed Representative	4
LAM Siu Lun	ARJ701	Licensed Representative	1
LAM Yau Cheong	ARI162	Licensed Representative	4
LAM Yuen Man	ARO700	Licensed Representative	4
LAM Yuk Bo, Candy	AAE918	Licensed Representative	1, 4
LANDAU Alan Jeffrey	AQC823	Licensed Representative	4, 9
LAU Chi Kong	ARN543	Licensed Representative	4
LAU Chi Yan	ARN514	Licensed Representative	1, 4, 9
LAU Chung Ming	ARO770	Licensed Representative	3
LAU Gin Yip	ARK548	Licensed Representative	1, 2, 3
LAU Ho Wah, Kevin	ACZ646	Licensed Representative	1
LAU Hui Yi, Fiona	ARM891	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Man Lai	ARJ416	Licensed Representative	1
LAU Oi Shan	AHH536	Licensed Representative	1
LAU Pui Yan	ARN978	Licensed Representative	4
LAU See Him, Louis	ABE484	Licensed Representative	1
LAU Tony Yik Tung	ARM315	Licensed Representative	1, 4, 6
LAU Wing Yee	ARM920	Licensed Representative	1, 4, 6
LAW Chi Shing	ARG415	Licensed Representative	4
LAW Kin Nam	ARJ417	Licensed Representative	4
LAW Kwok Tai, Terry	ARM738	Licensed Representative	4
LAW Sau Fong	ARK831	Licensed Representative	1, 4
LE Xue	ARM838	Licensed Representative	4
LEE Allen Po	ARJ841	Licensed Representative	1, 2
LEE Chin Ching Cyrix	ARG904	Licensed Representative	2
LEE Chun Cheong	ARI165	Licensed Representative	4
LEE Chun Man	AJE100	Licensed Representative	1, 4
LEE Da Eun	ARI566	Licensed Representative	4
LEE Eu Han	ARJ450	Licensed Representative	4
LEE Ho Man	ARN584	Licensed Representative	1
LEE Hoi Ken, Andy	ARO696	Licensed Representative	4
LEE Kar Yan, Judith	ABA346	Licensed Representative	1, 6
LEE Kwan	ARL952	Licensed Representative	4
LEE Lay Ping Stella Gabrielle	ARM284	Licensed Representative	1, 4
LEE Leung Sing	ARL487	Licensed Representative	1
LEE Min Ha	ARN389	Licensed Representative	1, 2, 7
LEE Ming Cere	AGL926	Licensed Representative	1
LEE Shiu Kuen	AFX333	Licensed Representative	1, 4, 9
LEE Shu Kwan	ARK378	Licensed Representative	4
LEE Shuk Ching	ARN582	Licensed Representative	3
LEE Si-Da Shawn	ARN057	Licensed Representative	1, 6
LEE Yuk Lan	ARO674	Licensed Representative	4
LEE Yun Yu, Wasabi	ARJ489	Licensed Representative	4
LEONG Wei Qun	ARN267	Licensed Representative	1
LEUNG Chin Hei	ARI066	Licensed Representative	4
LEUNG Ho Yin, Scott	ABK257	Licensed Representative	1, 2
LEUNG Hung Kit	ARO391	Licensed Representative	1
LEUNG Man Hang	ARN368	Licensed Representative	4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Sai Hung	APW120	Licensed Representative	1
LEUNG Shiu Lunn, Edmund	AOU868	Licensed Representative	4
LEUNG Siu Ling, Rita	ARG354	Licensed Representative	1, 4, 9
LEUNG Suk Yi	AFS295	Licensed Representative	1, 4, 9
LEUNG Sze Man	ARG096	Licensed Representative	1, 2
LEUNG Sze Wai	ARL933	Licensed Representative	4
LEUNG Tsui Wah, Anne	ARM301	Licensed Representative	1
LEUNG Wai Chi	ARO745	Licensed Representative	4
LEUNG Wai Man	ALG025	Licensed Representative	1, 2, 4, 5, 9
LEUNG Wai Yee, Vivian	ARO692	Licensed Representative	4
LEUNG Yan Kin	ARI557	Licensed Representative	1, 2
LEUNG Yee Ching	ARM324	Licensed Representative	1, 2
LEUNG Yu Hin, Eugene	ARK453	Licensed Representative	1, 4, 6
LEUNG Yu Ying	ARI972	Licensed Representative	4
LEUNG Yuen Sum	ARN077	Licensed Representative	4
LEUNG Yun Kwai	AAA612	Licensed Representative	1
LEUNG Yun Lung	ADI458	Licensed Representative	2
LEVENTHORPE Thomas Rooper	ABA264	Licensed Representative	4
LI Anita Oi Yan	AGP627	Licensed Representative	1, 2
LI Dan	ARK452	Licensed Representative	1, 4, 6
LI Ding Yuen	ARN366	Licensed Representative	4
LI How Yu, Angel	ARL124	Licensed Representative	1
LI Jiong	AQU512	Licensed Representative	1
LI Kin Keung	AHA933	Licensed Representative	4
LI Kwok Wah	ARI850	Licensed Representative	4
LI Kwok Wing	ARE949	Licensed Representative	1, 2
LI Lai Ling	AMS542	Licensed Representative	1, 2
LI Lee	ARG510	Licensed Representative	1
LI Lok Fai	ARP011	Licensed Representative	4
LI Longzeng	ARI309	Licensed Representative	2
LI Ming	ARH985	Licensed Representative	1
LI Pui Yan, Justine	ARI570	Licensed Representative	1
LI Qian	ARI666	Licensed Representative	1
LI Rong	ARM918	Licensed Representative	1
LI Shurong	AOA897	Licensed Representative	4
LI Sisi	ARN059	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Sixuan	ARJ826	Licensed Representative	1, 4
LI Tak Fan	ARI176	Licensed Representative	4
LI Tingting	ARI573	Licensed Representative	4
LI Wan Hoi	ARN564	Licensed Representative	1, 2
LI Yi	ARN580	Licensed Representative	1, 2
LI Yijia	ARJ840	Licensed Representative	1
LI Yu Fei	ARE951	Licensed Representative	1, 2
LI Yuk Man	ARK414	Licensed Representative	4
LI Yun Wing	ARO377	Licensed Representative	4
LI Zemin	ACN988	Licensed Representative	1, 4, 6
LIAN Juanjuan	ARL162	Licensed Representative	2
LIANG Hsiang-Hsien	ARG848	Licensed Representative	6
LIANG Yuk Man	ARN155	Licensed Representative	1
LIAO Chun-chiang	ARI567	Licensed Representative	1
LIAU Ai Lin Irene	ARM186	Licensed Representative	9
LIM Chui Yeng, Andrea	ARJ657	Licensed Representative	1, 2
LIM Robert Warren	ARJ311	Licensed Representative	6
LIM Shyan Wen	ARN508	Licensed Representative	9
LIN John Jun	ARM925	Licensed Representative	1, 4, 6
LIN Ping-kuei	ARL369	Licensed Representative	1
LIN Yat Man	ARI067	Licensed Representative	4
LIU Jianye	ARO132	Licensed Representative	1, 4, 6
LIU Regina Alexandra	ARL101	Licensed Representative	1
LIU Suyan	ARE636	Licensed Representative	1, 6
LIU Wai Hang	ARN682	Licensed Representative	4
LIU Wan Lung	ARJ408	Licensed Representative	1
LIU Xiangmin	ARO317	Licensed Representative	6
LIU Xuan	ARJ697	Licensed Representative	3
LIU Yan	ARE732	Licensed Representative	1
LIU Yan	ARI569	Licensed Representative	1, 4, 6
LO Oi Ling	ARN457	Licensed Representative	4
LO Shun Tat	AAK741	Licensed Representative	1, 2, 5
LO Tak Ki Dicky	ARI069	Licensed Representative	4
LO Wai Yin, Betsy	ARN681	Licensed Representative	4
LO Yu Yeung	ARN828	Licensed Representative	6
LONG Weili	ARM296	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
LU Hui-Chen	ARN240	Licensed Representative	1, 6
LU Qinghua	ARM848	Licensed Representative	6
LUI Kwong Kee	AFK924	Licensed Representative	4
LUI Ming Fai Frederick	ARI170	Licensed Representative	4
LUK Gee Shan	ARI967	Licensed Representative	4
LUK Wai Hong, William	ACB810	Licensed Representative	9
LUK Wing Kwong	ARI940	Licensed Representative	1
LUM Kwok Kay, Eugene	ARJ211	Licensed Representative	1, 2, 7
LUO Xianrun	ARI929	Licensed Representative	1, 6
LUO Xiao Feng	ARG901	Licensed Representative	4, 9
LUO Yi	ARL066	Licensed Representative	6
MA Hairuo	ARH645	Licensed Representative	1, 6
MA Jui Hsiang	ARJ662	Licensed Representative	4, 5, 9
MA Ka Man	ARL853	Licensed Representative	4
MA Liyu	ARD380	Licensed Representative	1, 6
MA Pak Ying	ARN636	Licensed Representative	4
MA Pang	ARL185	Licensed Representative	4
MA Yan Calvin Ronald	ARO125	Licensed Representative	1, 4, 6
MADAN Gaurav	ARN145	Licensed Representative	1, 6
MAGLIOCCO Matthew Thomas	ARJ092	Licensed Representative	4, 6
MAK Kai Yin	ARE627	Licensed Representative	1, 4
MAK Kin Nung	ARK384	Licensed Representative	4
MAK Kon Tong	AER866	Licensed Representative	4
MAK Mio Leng	ARI949	Licensed Representative	1
MAK Wing Yan	AHU543	Licensed Representative	9
MALOY Arthur Chong Wah	ARJ093	Licensed Representative	4, 6
MAN Ying Chuen	ARO133	Licensed Representative	4
MARTIN Guillaume Claude Jean-Marie	ARK560	Licensed Representative	1, 2
MAXEY Ian	AQH651	Licensed Representative	4
MC DERMOTT Glen Michael	ARM737	Licensed Representative	1, 4, 9
MIN Jihong	ARN793	Licensed Representative	9
MING Chi Hang, Charles	ARJ980	Licensed Representative	4
MO Zhaoheng	ARM103	Licensed Representative	1, 2
MOK Wing Yi	ARL063	Licensed Representative	1
MUI Arthur	ARN058	Licensed Representative	1
MUI Pang Kin, Patrick	ARM775	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
MUSCHAMP Mark Lyndon	ARJ115	Licensed Representative	4, 9
NEMOTO Yoko	ARJ940	Licensed Representative	4, 9
NG Calvin Cheuk Sing	ARH478	Licensed Representative	1, 2
NG Cheuk Fung, Alex	ARO475	Licensed Representative	1, 4
NG Cheuk Man, Walter	ARK431	Licensed Representative	4
NG Grace Sarra Hanna	ARI168	Licensed Representative	4
NG Man Chow, Michelle	ARL518	Licensed Representative	1
NG Pak Yu	ARM512	Licensed Representative	4
NG Siu Hang	ARK482	Licensed Representative	4
NG Wai Yim	ARI852	Licensed Representative	4
NG Wai Yin, Winnie	ARL163	Licensed Representative	1, 2
NG Yan Lam, Bosco	ARJ836	Licensed Representative	1, 2
NG Yin Ching	ARK322	Licensed Representative	1
NG Yuk Fai	ARO690	Licensed Representative	4
NGAI Chun Ming	ARN458	Licensed Representative	4
NGUYEN Nicholas Nolan	ARJ828	Licensed Representative	1, 2
NISSATO Hitoshi	ARI894	Licensed Representative	1, 4, 6
OHMSEN Daniel	AQT145	Licensed Representative	1
OKA Mizuho	AQZ650	Licensed Representative	1
OKUMA Motofumi	ARJ709	Licensed Representative	4, 9
PAN Jen Kai	ARM327	Licensed Representative	1, 6
PANDA Angkit	ARG689	Licensed Representative	1
PANG So Sum, Rio	ARL756	Licensed Representative	1, 4, 9
PANG Tin Chu	ARN607	Licensed Representative	4
PARK Terence-Young	ARN268	Licensed Representative	4
PATRONA Chris William	ARL489	Licensed Representative	9
PEDERSEN Endre Gaski	APZ629	Licensed Representative	4, 5, 9
PENALOZA Philip O.	AAI785	Licensed Representative	4, 5, 9
PENG Wen-ying	ARE467	Licensed Representative	1
PERE Jerome Jacques	ARJ210	Licensed Representative	1, 2, 7
PIERCY Yeuk Bo	ALY335	Licensed Representative	1
POON Chun Pang	ARK367	Licensed Representative	1, 2
POON Chun Pong	AAD084	Licensed Representative	9
POON Hei Wai	ARF067	Licensed Representative	6
POTTER Simon James	ARO318	Licensed Representative	1, 4, 9
PUN Ka Wing	ARN040	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
RICHMOND Sally Victoria	ARL393	Licensed Representative	4
ROSAS Guido Santos	ARM893	Licensed Representative	1
SEHGAL Yuvraj Avinash	ARI686	Licensed Representative	1, 2
SEO Yun Won	ARK449	Licensed Representative	1, 4
SHAH Jigar Jayanti	ARH600	Licensed Representative	1
SHANKAR Venkatesh	ARN034	Licensed Representative	1, 2
SHANLEY III William Francis	ARJ514	Licensed Representative	4, 5, 9
SHARPE Victoria Shigehira	ARK448	Licensed Representative	1
SHEN Ching-Yen	ARJ018	Licensed Representative	1
SHEN Haihua	ARL953	Licensed Representative	9
SHEN Nan	ARL161	Licensed Representative	4
SHEN Yi	ARJ501	Licensed Representative	1, 4, 9
SHERWIN Spencer Baily	ARI936	Licensed Representative	1, 4, 6
SHI Chun Hei	ARM302	Licensed Representative	1
SHI Xuesong	ARH500	Licensed Representative	1
SHI Ying Ying	ARL882	Licensed Representative	4
SHI Zhenxing	ARI076	Licensed Representative	2
SHIH Po-Cheng	ARK095	Licensed Representative	1, 4
SHIMIZU Yasuhiro	APQ497	Licensed Representative	4, 5
SHIN Ji Yoon	ARJ173	Licensed Representative	4, 9
SHIU Chi Thun	ARI175	Licensed Representative	4
SHIU Wai Man	ARI045	Licensed Representative	1
SHUM Wing Lan Emily	ARI559	Licensed Representative	1, 2, 5
SHYU Li-han	ARE465	Licensed Representative	1
SIN Wai Keung	ARJ606	Licensed Representative	1
SINHA Rohit	ARG872	Licensed Representative	1, 6
SLOMIENSKI Michael Kenneth	AQY576	Licensed Representative	1, 2
SO Ka Hing Eric	ARG913	Licensed Representative	4
SO LAM Yuk Yin, Elina	AAJ020	Licensed Representative	1, 4
SO Ming Tak, Ivan	ARO134	Licensed Representative	4
SO Shu Ching, Jason	AGE416	Licensed Representative	1, 4
SONG Qiuyun	ARN146	Licensed Representative	1, 6
SONG Xiaoming	ANR732	Licensed Representative	1, 4
STAPANAVATR Niphon	ARI960	Licensed Representative	1, 2
STEINEMANN Patrick Paul	ARN033	Licensed Representative	1, 4, 6
SUBRAMANIAN HARI Balaji	AQW030	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
SULLIVAN Luke Joseph	ARJ503	Licensed Representative	4, 9
SUN Guantong	ARJ838	Licensed Representative	4, 6
SUN Mengxi	ARN808	Licensed Representative	1, 4
SUN Sai Man	ANQ197	Licensed Representative	1
SUN Timothy	ADQ628	Licensed Representative	4
SUN Xintong	ARI950	Licensed Representative	1
SUPPIN Arnaud, Marcel, Pierre	ARN295	Licensed Representative	1
SURAPHONGCHAI Pyap	ARN152	Licensed Representative	1, 6
SUTRISNO Sulung	ARJ659	Licensed Representative	1, 4
SUTTON Benedict Francis	ARL079	Licensed Representative	4
SZE Tak Kai	AJM478	Licensed Representative	1, 2
SZETO Wing Fun, Simon	ARI432	Licensed Representative	1, 2
TAI Kwok Shing	ARN108	Licensed Representative	1, 4
TAKAHASHI Hideo	ARI895	Licensed Representative	1, 4, 6
TAM Joy Man, Jonathan	ARN061	Licensed Representative	1, 2
TAM Ka Heng, Carmen	ARL253	Licensed Representative	1, 4, 6, 9
TAM Wing Kei, Ruth	AEM817	Licensed Representative	1, 4
TAMAKI So	ARN489	Licensed Representative	1, 6
TAN Fang	ARI742	Licensed Representative	1, 2
TAN Koon Seng	ARH185	Licensed Representative	1
TANG Chun Keung	ARE463	Licensed Representative	1, 2
TANG Hoi Ling	ARH445	Licensed Representative	1
TANG Ki Shun, Jason	AJL731	Licensed Representative	1, 4
TANG Lei Bing, Vicky	ARO772	Licensed Representative	1, 2
TANG Shiu Hin, Andy	ARH728	Licensed Representative	1, 2
TANG Shun Man, Tony	ARK142	Licensed Representative	1
TANG Tat Fai	ARG885	Licensed Representative	1
TANG Yiu Ming, Michael	AAP252	Licensed Representative	4
TANGIKU Toshio	ARL491	Licensed Representative	4
TEE David Nathaniel	ADV188	Licensed Representative	9
THOM Yvonne Elizabeth	ARI572	Licensed Representative	1, 2
THOMAS Ellen Christina	AQT267	Licensed Representative	1, 6
TIAN Alice	ARJ097	Licensed Representative	1, 2
TONG Daphne Wai Yan	ARM295	Licensed Representative	1
TONG Lai Kin	ARN805	Licensed Representative	1
TSAI Tung-Liang	ARN801	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Brietta Tyla	ARM894	Licensed Representative	1, 2
TSANG Chi Chiu	APB632	Licensed Representative	4
TSANG Chui Ha	AOO003	Licensed Representative	1, 2
TSANG Hing Wan	ARK580	Licensed Representative	4
TSANG Wing Ngai	ARM922	Licensed Representative	1, 6
TSANG Yat Shing	ARK454	Licensed Representative	1, 2
TSANG Yuk Yee	ARK105	Licensed Representative	1, 6
TSE Hang Mei, Angela	ARJ744	Licensed Representative	3
TSE Hoi, Alex	ARL371	Licensed Representative	1, 4
TSE Tan	ARN236	Licensed Representative	1, 6
TSE Wan Sze, Vivien	ARN680	Licensed Representative	4
TSENG KUO Juan	AJL228	Licensed Representative	1, 4
TSUI Chung	ARN177	Licensed Representative	1, 2, 3
TSUI Kam Hee	ARO573	Licensed Representative	1
TU Kang	ARH149	Licensed Representative	1, 2
TU Michel	ARL055	Licensed Representative	1, 2, 4, 5
VAN SMIT Michael Jonathan	ARD710	Licensed Representative	4
WAN Tsz Fung	ARN434	Licensed Representative	1, 2
WANG Daniel Nianzu	AHY126	Licensed Representative	1, 4, 6
WANG Haibin	ARK365	Licensed Representative	1, 6
WANG Haitao	ARG386	Licensed Representative	4
WANG Jingchong	ARN060	Licensed Representative	1, 2
WANG Julie Keh-Wei	ARI749	Licensed Representative	9
WANG Qi	ARH850	Licensed Representative	1, 4
WANG Qibin	ARJ833	Licensed Representative	1
WANG Ya Ya	ARO574	Licensed Representative	1
WANG Yao Ting	ARK549	Licensed Representative	1
WANG Yayuan	ARM817	Licensed Representative	1, 4
WANG Yingchu	ARL276	Licensed Representative	1, 2
WANG Yongtian	ARN721	Licensed Representative	1, 6
WANG Yu-Chung	ARN964	Licensed Representative	1, 2
WEI Li	ARN032	Licensed Representative	1
WEISS Benjamin Eli	ARJ419	Licensed Representative	1, 2, 4, 9
WHITE JR James Woodson	ARM232	Licensed Representative	9
WILSON Daniel Charles	ARM931	Licensed Representative	4, 9
WONG Albert Ka Wah	AEK108	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Bing Yee	ARM523	Licensed Representative	1, 4
WONG Binnie Wai Yan	ARN242	Licensed Representative	1
WONG Chak Pun, Victor	ARJ759	Licensed Representative	4
WONG Chak Yan Martin	AKS660	Licensed Representative	1
WONG Chen Lung	AFR616	Licensed Representative	1, 2
WONG Chi Man, Mickey	AGQ606	Licensed Representative	1
WONG Chi Wai	ARH852	Licensed Representative	1, 4
WONG Chin Pang	ARL062	Licensed Representative	4
WONG Cho San	ARL937	Licensed Representative	4
WONG Chong Yau, Runme	ALY546	Licensed Representative	9
WONG Chun Lam	ARJ001	Licensed Representative	4
WONG Glen	ARN430	Licensed Representative	4
WONG Hang Lam, Lorna	ARN613	Licensed Representative	4
WONG Jinyin, Chester	ARN402	Licensed Representative	1, 2
WONG Ka Chung	AGT048	Licensed Representative	1, 4
WONG Ka Shing	ARL160	Licensed Representative	1, 4, 6
WONG Kai Wah Tony	ARN493	Licensed Representative	4
WONG Kin Hoi	ARL949	Licensed Representative	4
WONG Kin Wai	ARG508	Licensed Representative	1, 2, 5
WONG King Yiu Calvin	ARM542	Licensed Representative	4
WONG Kwan To	ARL437	Licensed Representative	4, 5, 9
WONG Kwok Fun	ARN982	Licensed Representative	4
WONG Man Lai	ARK258	Licensed Representative	1, 4, 5, 9
WONG Pak Chi	ARI068	Licensed Representative	4
WONG Pui Ka	ARL907	Licensed Representative	1
WONG Rainbow Nga Man	ARN683	Licensed Representative	1
WONG San Yu	ARK585	Licensed Representative	4
WONG Shun Kin	AFL880	Licensed Representative	4
WONG Shun Wah	ARN141	Licensed Representative	1
WONG Si Yuen, Andy	ARK439	Licensed Representative	1, 9
WONG Sik Nin	ARL440	Licensed Representative	1
WONG Siu Fai	ARO689	Licensed Representative	4
WONG Siu Nga, Gloria	AGJ871	Licensed Representative	1
WONG Wa Chun	ARL977	Licensed Representative	4
WONG Wai Lan	ARI562	Licensed Representative	1, 2
WONG Wan	ARN068	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Weng Tong	ARI934	Licensed Representative	1, 2
WONG Wing Lam	ARK450	Licensed Representative	1, 2
WONG Wing Sze	ARI070	Licensed Representative	4
WONG Wun Man, Andrew	API022	Licensed Representative	4, 9
WONG Yui Ho	AOR998	Licensed Representative	1, 2
WU Chi Hang	ARN312	Licensed Representative	4, 9
WU Hsin-i	ARE466	Licensed Representative	1
WU Ka Shun, Joseph	ARL490	Licensed Representative	1, 2
WU Liao-Chuan	ARL233	Licensed Representative	1
WU Yee Wah, Eva	AJK629	Licensed Representative	4
XIANG Pinggui	AOJ791	Licensed Representative	4, 9
XIE Rong	ARI786	Licensed Representative	1, 4
XU Enyu	ARI896	Licensed Representative	1, 4, 6
XU Jack Wentao	ARL031	Licensed Representative	1
XU Lixiang	ARN187	Licensed Representative	9
XU Ouliang	ARJ818	Licensed Representative	4
XU Tao	AMF173	Licensed Representative	1
XU Yu	ARG366	Licensed Representative	4
XU Zhenzhong	ARJ353	Licensed Representative	1
YAM Ying	ARK577	Licensed Representative	1, 2, 7
YAN Jia	ARN428	Licensed Representative	1, 4
YAN Ka Lok	ARK078	Licensed Representative	2
YANG Chongze	ARH245	Licensed Representative	1
YANG Fan	ARH595	Licensed Representative	4, 9
YANG Fan	ARM895	Licensed Representative	1, 2, 4
YANG Ming	ARG350	Licensed Representative	4
YANG Yu	ALD507	Licensed Representative	1, 4, 5, 9
YAO Yao	ARI756	Licensed Representative	1, 2
YAU Yuen Yee	ARN603	Licensed Representative	4
YE Weizheng	ARN510	Licensed Representative	1, 2, 4, 5
YEN Chanan	ARM750	Licensed Representative	6
YEN Gee Wei	ARN013	Licensed Representative	1, 4, 9
YEUNG Chi Sing	ARI677	Licensed Representative	1, 2
YEUNG Chi Yung	AMC935	Licensed Representative	2
YEUNG Chun Pong	ARG571	Licensed Representative	1
YEUNG Kai Ho	ARN313	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
YEUNG Nga Man, Ruby	ALZ803	Licensed Representative	1, 4
YEUNG Po Ling	ARN631	Licensed Representative	4
YEUNG Wai Hung	ARL945	Licensed Representative	4
YI Yen-Hua	ARO058	Licensed Representative	4
YIM Pui Shan, Kiev	ARL113	Licensed Representative	1, 2
YIM Wai Kit	ARN606	Licensed Representative	4
YIP Yuk Lam	ARM499	Licensed Representative	1
YIU Man Ho	ARH184	Licensed Representative	1, 2
YIU Wai Kit, Ricky	ACD951	Licensed Representative	1
YOUNG Thomas Gregory	ADX199	Licensed Representative	1
YU Chenwei	ARI574	Licensed Representative	1, 4
YU Siu Chung	AOX543	Licensed Representative	4, 9
YU Tak Shun	ARM325	Licensed Representative	1
YU Wing Lok, Garry	ARK610	Licensed Representative	3
YU Yee Kong	ARN431	Licensed Representative	4
YU Yingyi	ARM929	Licensed Representative	1
YUEN Chi Kit	ANM077	Licensed Representative	4
YUEN Fung Yi	ARN632	Licensed Representative	4
YUEN William	ARN485	Licensed Representative	1
YUEN Wilson Wai Chi	ARN440	Licensed Representative	4, 9
YUNG Yee Ching, Rebecca	ARO666	Licensed Representative	1
ZAGURY Alan	ARN030	Licensed Representative	1, 2
ZEE Timothy Yuen Cheng	ANX817	Licensed Representative	1, 6
ZENG Chaohao	ALN856	Licensed Representative	1, 4, 6
ZENG Keke	ARJ832	Licensed Representative	4, 6
ZHAN Long	AGP561	Licensed Representative	1, 4, 9
ZHANG Haochuan	ARJ089	Licensed Representative	1, 4
ZHANG Xiaoxiao	APW336	Licensed Representative	1, 4, 6
ZHAO Tian	ANR067	Licensed Representative	1, 6
ZHAO Tianqi	ARJ098	Licensed Representative	4, 6
ZHAO Yilu	ARJ014	Licensed Representative	1, 6
ZHENG Weida	ARI971	Licensed Representative	1, 2, 3
ZHENG Xiaomin	ARN627	Licensed Representative	4
ZHOU Huijie	ARJ055	Licensed Representative	1, 6
ZHOU Jingjing	ARN345	Licensed Representative	1, 6
ZHOU Xiaoming	ARJ056	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHOU Xing	ARJ667	Licensed Representative	4, 6, 9
ZHOU Xudong	ARD602	Licensed Representative	1, 6
ZHU Xiaohui	ARJ511	Licensed Representative	4, 5, 9
ZHUANG Zhan	ARG575	Licensed Representative	1
BAUM Jeffrey Stuart	ARJ979	Licensed Representative - Responsible Officer	9
BROWN Jonathan Neill	ARH138	Licensed Representative - Responsible Officer	9
BURNS Mark Xavier	ARI764	Licensed Representative - Responsible Officer	4, 5, 9
CHAN Chi Lick, Neville	AQH615	Licensed Representative - Responsible Officer	1, 9
CHAN Wing Sun	ARJ317	Licensed Representative - Responsible Officer	1
CHANG Huifeng	ARN076	Licensed Representative - Responsible Officer	9
CHIU Ming-Hsi	ARF787	Licensed Representative - Responsible Officer	1
CHIU Yue Ling	ALY201	Licensed Representative - Responsible Officer	1
CHOO Inchoong	ARJ719	Licensed Representative - Responsible Officer	1, 4
CHU TSE Alina Sui Han	ARI952	Licensed Representative - Responsible Officer	4, 5, 9
CHUMAN Daniel Christopher	ADS174	Licensed Representative - Responsible Officer	9
CHUNG Siu Wah	AGC128	Licensed Representative - Responsible Officer	9
DING Chen	ARN081	Licensed Representative - Responsible Officer	9
EE Oon Sun Albert	ARE093	Licensed Representative - Responsible Officer	9
FUNG Lim	ARI004	Licensed Representative - Responsible Officer	2
GUO Yanming	ARN079	Licensed Representative - Responsible Officer	9
JHAVERI Viral Maheshbhai	AIZ229	Licensed Representative - Responsible Officer	4
KIM George Jay	ARL131	Licensed Representative - Responsible Officer	9
LANDES Bradley Dean	ACI712	Licensed Representative - Responsible Officer	9
LEE David	AHX965	Licensed Representative - Responsible Officer	9
LEE You-Yu	ANU507	Licensed Representative - Responsible Officer	4, 6, 9
LEFRANC Denis Pierre Henri	ARN065	Licensed Representative - Responsible Officer	1, 4, 9
LI Po Chun	AFW602	Licensed Representative - Responsible Officer	9
LU Ta-wen	ABI262	Licensed Representative - Responsible Officer	1, 4, 9
LUO Shan	ARJ350	Licensed Representative - Responsible Officer	1
MAN Yi Man, Anita	ARH220	Licensed Representative - Responsible Officer	6
MAYNARD Jason Edward	AEP420	Licensed Representative - Responsible Officer	9
MILLET Gerard Gabriel Leon	AAI902	Licensed Representative - Responsible Officer	6
MORLAND Giles Philip Maxwell	AIU051	Licensed Representative - Responsible Officer	1, 4
NADAR Sherif Hassan	ACI724	Licensed Representative - Responsible Officer	9
PENG Wensheng	ARI892	Licensed Representative - Responsible Officer	4
SHU Kenichi	AEN523	Licensed Representative - Responsible Officer	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
SOONG Yiu Cho	ALF844	Licensed Representative - Responsible Officer	9
STERN Adam Bryan	ARJ084	Licensed Representative - Responsible Officer	9
TORREY Matthew Carter	ARI767	Licensed Representative - Responsible Officer	4, 5, 9
WEN Liang	ALU451	Licensed Representative - Responsible Officer	9
WETLAUFER Eric Michael	ARI773	Licensed Representative - Responsible Officer	4, 5, 9
YAP Wai Tso, Joseph	ADB531	Licensed Representative - Responsible Officer	4, 9
ZEZZA David John	ACP135	Licensed Representative - Responsible Officer	9
ZHOU Linda Lei	AHF466	Licensed Representative - Responsible Officer	4, 6, 9
AGARWAL Ashish Ashok	ARQ376	Licensed Representative (Provisional Licence)	4
AGARWAL Pankaj Kishore	ARQ355	Licensed Representative (Provisional Licence)	4, 6
AHLEFELDT-LAURVIG Jannik	ARO577	Licensed Representative (Provisional Licence)	1, 6
ALFONSI Sebastien	ARQ636	Licensed Representative (Provisional Licence)	1, 2
AMATT James William	ARN424	Licensed Representative (Provisional Licence)	4, 5, 9
ANDREWS Oliver William	ARQ928	Licensed Representative (Provisional Licence)	1
AUGER Kevin D'arcy	ARP061	Licensed Representative (Provisional Licence)	1, 4, 6, 9
BAMFORD Stephen Fildes	ARP194	Licensed Representative (Provisional Licence)	1
BARNIE Andrew James	ARQ984	Licensed Representative (Provisional Licence)	1, 2, 4, 5
BEHERA Roshan Raj	ARP091	Licensed Representative (Provisional Licence)	4
BRADY Mark Joseph	ARQ480	Licensed Representative (Provisional Licence)	1, 4, 7
BRILLAUD Gregoire Daniel Remi	AOU417	Licensed Representative (Provisional Licence)	1, 2
BROOKS Christopher John	AHF678	Licensed Representative (Provisional Licence)	4, 6
BUDD Daniel	ARM314	Licensed Representative (Provisional Licence)	1, 4
BYUN Jung Yeon	ARQ476	Licensed Representative (Provisional Licence)	1
CAMPBELL-BREEDEN Richard Mark	ARO468	Licensed Representative (Provisional Licence)	1, 6
CASHMORE Nicholas Willoughby	ALL246	Licensed Representative (Provisional Licence)	4
CHAK Man Kin	ARR345	Licensed Representative (Provisional Licence)	1
CHAMBERS Michael Edmund Carrick	ARP470	Licensed Representative (Provisional Licence)	1
CHAN Bok Man, Andrew	ARQ479	Licensed Representative (Provisional Licence)	4, 5, 9
CHAN Chi Wai	ARP740	Licensed Representative (Provisional Licence)	1
CHAN Chun Yu, Alex	ARP729	Licensed Representative (Provisional Licence)	4
CHAN Frank Clifford Shui Ting Chu	ARP537	Licensed Representative (Provisional Licence)	6
CHAN Hoi Chun	ARP732	Licensed Representative (Provisional Licence)	4
CHAN Ian Weng	ARQ957	Licensed Representative (Provisional Licence)	1, 2
CHAN Ka Wai	ARP167	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHAN Kin Pong, Matthew	ARR346	Licensed Representative (Provisional Licence)	1
CHAN King Chi	ARP284	Licensed Representative (Provisional Licence)	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Shih-Hao	ARQ393	Licensed Representative (Provisional Licence)	1, 2, 7
CHAN Woon Wai	ARP045	Licensed Representative (Provisional Licence)	1
CHAN Yan Shuen, Grace	ACQ677	Licensed Representative (Provisional Licence)	1, 2
CHAN Yin Ming	ARO378	Licensed Representative (Provisional Licence)	1, 6
CHATURVEDI Deepti	ARP391	Licensed Representative (Provisional Licence)	4
CHEN Bryan Tsewei	ARP669	Licensed Representative (Provisional Licence)	1
CHEN Chin-Hui	ARP296	Licensed Representative (Provisional Licence)	1
CHEN Cuicui	ARO501	Licensed Representative (Provisional Licence)	1
CHEN Di Man	ARO680	Licensed Representative (Provisional Licence)	1
CHEN Fei	ANU926	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Geng	AOY114	Licensed Representative (Provisional Licence)	4
CHEN Jee Yung	ARP192	Licensed Representative (Provisional Licence)	9
CHEN Jingyu	ARP039	Licensed Representative (Provisional Licence)	1, 6
CHEN SHUN-PIN	ARP291	Licensed Representative (Provisional Licence)	1
CHEN Tzu-Yuan	ARP301	Licensed Representative (Provisional Licence)	4
CHEN Wan-Yu	ARP453	Licensed Representative (Provisional Licence)	1
CHEN Yunyan	ARR085	Licensed Representative (Provisional Licence)	1, 4
CHENG Connie	ARP196	Licensed Representative (Provisional Licence)	1
CHENG Dickson	AGP527	Licensed Representative (Provisional Licence)	1, 6
CHENG Kai Ho	ARQ669	Licensed Representative (Provisional Licence)	4
CHENG Kwai Hung	ARP177	Licensed Representative (Provisional Licence)	4
CHENG Wai Lam, James	AGD589	Licensed Representative (Provisional Licence)	1
CHENG Yu-Pin	APO464	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Kin Sang	ARO503	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Lap Ki, David	ARR119	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Nicole Yung	ARO104	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Wing Yiu	ARO507	Licensed Representative (Provisional Licence)	1, 2
CHIAIS GianClaudio	ARP693	Licensed Representative (Provisional Licence)	1
CHIN Hyun-Joon	ARN998	Licensed Representative (Provisional Licence)	1
CHING Chui Wah, Michelle	APJ227	Licensed Representative (Provisional Licence)	1, 2
CHING Yuen Kuk	ARO442	Licensed Representative (Provisional Licence)	1
CHIU Wai Ngai	AKU986	Licensed Representative (Provisional Licence)	1, 2
CHO Kwong Lun	AHT594	Licensed Representative (Provisional Licence)	1
CHOI Man Yi	ARQ671	Licensed Representative (Provisional Licence)	4
CHOI Shuk Man	ARO127	Licensed Representative (Provisional Licence)	1
CHONG Lai Ying	ARR338	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHONG Ping Kin, Kenneth	ARQ050	Licensed Representative (Provisional Licence)	9
CHONG Siu Fun	ARO678	Licensed Representative (Provisional Licence)	1
CHONG Yuk Choi, Philip	ARO948	Licensed Representative (Provisional Licence)	1
CHOPRA Vikramaditya	ARR353	Licensed Representative (Provisional Licence)	1, 9
CHOR Ko Fung, Voiron	ADT849	Licensed Representative (Provisional Licence)	1, 2
CHOTAI Shamil Vijay	ARP185	Licensed Representative (Provisional Licence)	1, 4
CHOU Cindy W	ARR073	Licensed Representative (Provisional Licence)	1, 2, 7
CHOW Ling Chung, Ernest	ARQ680	Licensed Representative (Provisional Licence)	1, 2
CHOW Tsz Kwan	ACQ176	Licensed Representative (Provisional Licence)	1
CHOW Yu Yin	ARO981	Licensed Representative (Provisional Licence)	1, 2, 3
CHU Kin Cheung	ARQ377	Licensed Representative (Provisional Licence)	2
CHU Shirley	AQE298	Licensed Representative (Provisional Licence)	1
CHU Stephen Henry	ARR623	Licensed Representative (Provisional Licence)	9
CHU Yu Man, Pricilla	AOA610	Licensed Representative (Provisional Licence)	4
CHUNG Eugene Bob	ARP376	Licensed Representative (Provisional Licence)	1
CHUNG Wai Yip Joel	AOV569	Licensed Representative (Provisional Licence)	1, 2
CHUNG Yuen Sheung	ALA454	Licensed Representative (Provisional Licence)	1, 4
CIANI BASSETTI Pietro	ARR167	Licensed Representative (Provisional Licence)	1
CLIFF Paul Douglas	AQO149	Licensed Representative (Provisional Licence)	4
CLITHEROE Paul Hugh	ARO498	Licensed Representative (Provisional Licence)	4
COHAS Francois Jean Claude	ARP451	Licensed Representative (Provisional Licence)	1, 6
CRANWELL Russell Anthony	ARP917	Licensed Representative (Provisional Licence)	4, 6
CUI Ying	ARO747	Licensed Representative (Provisional Licence)	1
DAUZ Alexander Ludwig Lim	ARP906	Licensed Representative (Provisional Licence)	1
DAVIDSON Marcus James	ARQ958	Licensed Representative (Provisional Licence)	1, 2
DENTON Thomas Andrew	ARO890	Licensed Representative (Provisional Licence)	1
DING Chenying	ARP182	Licensed Representative (Provisional Licence)	1
DING Jingyi	ARP036	Licensed Representative (Provisional Licence)	6
DING Qinbo	ARP386	Licensed Representative (Provisional Licence)	9
DINWOODIE Christian Gilbert	ANA837	Licensed Representative (Provisional Licence)	4
DOSHI Mihir Vinod	AKY575	Licensed Representative (Provisional Licence)	1
DWERRYHOUSE Alan Damian	ARP686	Licensed Representative (Provisional Licence)	4
EJIRI Norimasa	ARO887	Licensed Representative (Provisional Licence)	4
ELLIS James Andrew	ARR323	Licensed Representative (Provisional Licence)	1
ESPINELI Reynaldo Miguel Nazareno	ARM746	Licensed Representative (Provisional Licence)	1, 4, 6, 9
FABIANI Parvesh Ashok	ARO764	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
FAN Haiyang	ARO765	Licensed Representative (Provisional Licence)	1, 6
FAN Tsun Wan, Louis	ARO901	Licensed Representative (Provisional Licence)	4
FANG Huan	ARP040	Licensed Representative (Provisional Licence)	1, 6
FANG Kai	ARO433	Licensed Representative (Provisional Licence)	1, 4, 6
FEDERICI Frank Anthony	ARO578	Licensed Representative (Provisional Licence)	9
FOGLE Mark Bradley	ARP364	Licensed Representative (Provisional Licence)	1, 4
FONG Emi Dochi	ARO581	Licensed Representative (Provisional Licence)	1
FONG Wai Yan	ARQ672	Licensed Representative (Provisional Licence)	4
FORSYTH Ian Robert	ARP700	Licensed Representative (Provisional Licence)	1
FOURNIER Gregory John Kennedy	ACJ065	Licensed Representative (Provisional Licence)	1, 4, 6
FRANKEN Patrick David Anthony	ARQ024	Licensed Representative (Provisional Licence)	1, 6
FU Wai Chung	ARO926	Licensed Representative (Provisional Licence)	4
FUJIKI Aya	ARN787	Licensed Representative (Provisional Licence)	4
GAO Ying	ARO106	Licensed Representative (Provisional Licence)	1, 4
GAUD David Jacques Michel	ARP668	Licensed Representative (Provisional Licence)	9
GENG Geng	APX231	Licensed Representative (Provisional Licence)	1, 4, 6
GLOVER Jethro Alexander John	AED015	Licensed Representative (Provisional Licence)	1, 2, 7
GOH Po Gin Jonathan	ARQ470	Licensed Representative (Provisional Licence)	1, 6
GONG Jie	ARO415	Licensed Representative (Provisional Licence)	1, 4
GOSWAMI Kaushik	ARP166	Licensed Representative (Provisional Licence)	1
GOTAMA Suwantara Lim	ARP468	Licensed Representative (Provisional Licence)	4
GOVIL Anshu	ARQ357	Licensed Representative (Provisional Licence)	4
GRAY Gabriel Joaquin	ARP184	Licensed Representative (Provisional Licence)	1, 2, 7
GU Ming	APH410	Licensed Representative (Provisional Licence)	1, 4, 6
GUNDU Mohan Krishna	ARQ493	Licensed Representative (Provisional Licence)	1
GUO Ying	ARO902	Licensed Representative (Provisional Licence)	1
GUO Ying	ARP968	Licensed Representative (Provisional Licence)	1, 4
HA Wai Cheung, Steve	ARQ021	Licensed Representative (Provisional Licence)	1
HAN Hanting	ARQ970	Licensed Representative (Provisional Licence)	4
HAN Yuanping	ARP685	Licensed Representative (Provisional Licence)	1
HANSOM George Ian	ARP705	Licensed Representative (Provisional Licence)	1
HANSSON David Roland	ARP170	Licensed Representative (Provisional Licence)	1, 4
HARBISON Janet Ruth	ARP726	Licensed Representative (Provisional Licence)	4
HE Jia	ARP306	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HE Wei	ARP598	Licensed Representative (Provisional Licence)	4
HE Yunfei	ARP954	Licensed Representative (Provisional Licence)	1, 2, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Chi Lun	ARR539	Licensed Representative (Provisional Licence)	4
HO Chi Pang	ARP396	Licensed Representative (Provisional Licence)	1, 4
HO Ming Kit	ARP314	Licensed Representative (Provisional Licence)	4
HO Pui Lam	ARP168	Licensed Representative (Provisional Licence)	1
HO Valerie Felicia	ARO473	Licensed Representative (Provisional Licence)	1
HO Yuan Hang	ARQ499	Licensed Representative (Provisional Licence)	1, 4, 6
HO Yuen Kiu, Holly	ARO691	Licensed Representative (Provisional Licence)	1
HOU Tan Tan, Danielle	ARP582	Licensed Representative (Provisional Licence)	1, 6
HSU Yu Shih	ARO721	Licensed Representative (Provisional Licence)	1, 2
HUANG Shin-wei	ARQ019	Licensed Representative (Provisional Licence)	1
HUGHES James Richard	ARR132	Licensed Representative (Provisional Licence)	1, 4, 9
HUI Cheuk Ho, Charles	ARQ771	Licensed Representative (Provisional Licence)	1, 2
HUI Ching Piu	ARQ988	Licensed Representative (Provisional Licence)	9
HUI King Ka	ARO908	Licensed Representative (Provisional Licence)	4
HUI Ole Hiu Tung	ARR518	Licensed Representative (Provisional Licence)	1, 4
HUNG Chun	ARO135	Licensed Representative (Provisional Licence)	1, 6
HYAMS Andrew Jon	ARR133	Licensed Representative (Provisional Licence)	1
INOUE Yukiko	ARQ514	Licensed Representative (Provisional Licence)	1, 4, 9
IP Chi Him, Jimmy	ARP329	Licensed Representative (Provisional Licence)	1
IP Hung Kei	ARO056	Licensed Representative (Provisional Licence)	1
IP Suk Mei	ARO055	Licensed Representative (Provisional Licence)	1
JACQUIER David Andrew	ARO434	Licensed Representative (Provisional Licence)	1, 2
JAIN Sonal	ARQ497	Licensed Representative (Provisional Licence)	1
JIANG Bin	ARP379	Licensed Representative (Provisional Licence)	1, 4
JIN Kenny Dekai	ARO766	Licensed Representative (Provisional Licence)	1, 6
JIN Zengxiang	ARO631	Licensed Representative (Provisional Licence)	4
JING Shan	ARQ921	Licensed Representative (Provisional Licence)	4
JOSHI Samir	AOE036	Licensed Representative (Provisional Licence)	9
JUATIRAK Pisit	ARQ318	Licensed Representative (Provisional Licence)	4
KALB Victoria Stacey	AHT205	Licensed Representative (Provisional Licence)	1
KANEKO Tomonori	ARQ990	Licensed Representative (Provisional Licence)	9
KAYAMA Hitoshi	AHQ974	Licensed Representative (Provisional Licence)	1, 4, 6, 9
KELLY Marvin James	ARQ936	Licensed Representative (Provisional Licence)	1, 2, 7
KERSCHENMEYER Martine Felicie	ARP003	Licensed Representative (Provisional Licence)	1
KIM Jaehak	ARQ972	Licensed Representative (Provisional Licence)	4
KNEELAND JR John Lyman	ARO117	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
KONG Hin Hung	ARP017	Licensed Representative (Provisional Licence)	1, 2
KOO Anna-mae Mei Jong	ANR847	Licensed Representative (Provisional Licence)	4, 9
KWAN Boon Yee	ARP129	Licensed Representative (Provisional Licence)	1, 4, 9
KWOCK Mei Shan, Michelle Joyce	ARQ020	Licensed Representative (Provisional Licence)	4
KWOK Chan Loy	ARQ635	Licensed Representative (Provisional Licence)	1, 2
KWON Sejong	ARO401	Licensed Representative (Provisional Licence)	1, 6
KWONG Chee Kwan, Priscilla	ARQ747	Licensed Representative (Provisional Licence)	4
KWONG Ho Kei, Emily	AGB307	Licensed Representative (Provisional Licence)	1, 4, 6
KWONG Lorraine Phaik May	ARP707	Licensed Representative (Provisional Licence)	1
LACOURSIERE Paul Michael	ARO982	Licensed Representative (Provisional Licence)	4
LAI Wai Tsun, Paul	AED148	Licensed Representative (Provisional Licence)	1
LAM Chuck Yin, Antares	ARP346	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Chun Yuk	ARR348	Licensed Representative (Provisional Licence)	1
LAM May Lai Shirley	ARO893	Licensed Representative (Provisional Licence)	1
LAM Patrick Hoyin	ARQ987	Licensed Representative (Provisional Licence)	1, 2
LAM Wai Ping	ARP641	Licensed Representative (Provisional Licence)	1, 2
LANG Erik Joseph	ARO688	Licensed Representative (Provisional Licence)	4, 9
LAU Chin Chiu	ARR337	Licensed Representative (Provisional Licence)	1
LAU Chung Mau	ARQ964	Licensed Representative (Provisional Licence)	1
LAU Kwok Leung	ARO483	Licensed Representative (Provisional Licence)	1
LAU Pei Wen, Valerie	ARO471	Licensed Representative (Provisional Licence)	1
LAW Kwan Kit	ARQ673	Licensed Representative (Provisional Licence)	4
LAWI Stephan Selim	ARP096	Licensed Representative (Provisional Licence)	1, 2
LEE Hsiutuan	ARP077	Licensed Representative (Provisional Licence)	1, 2
LEE Ji Young	ARP390	Licensed Representative (Provisional Licence)	1, 2
LEE Kevin	ARQ490	Licensed Representative (Provisional Licence)	1
LEE Kong Hian	ARQ361	Licensed Representative (Provisional Licence)	1
LEE Michael	ARP397	Licensed Representative (Provisional Licence)	1, 7
LEE Shau Yat	ARP315	Licensed Representative (Provisional Licence)	4
LEE Wai Suen	ARP635	Licensed Representative (Provisional Licence)	1
LEIGH Benjamin Joseph Miller	ARR533	Licensed Representative (Provisional Licence)	1, 6
LEMAIRE-SICRE Francois Charles B.	ARQ008	Licensed Representative (Provisional Licence)	1
LEOUZON Nicolas, Christian, Guy, Eugene	ARP680	Licensed Representative (Provisional Licence)	1
LEUNG Chun Hang	ARQ391	Licensed Representative (Provisional Licence)	6
LEUNG Chun Ho	ARP071	Licensed Representative (Provisional Licence)	1, 6
LEUNG Ka Chun	ARP464	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Lai Ching	ARP462	Licensed Representative (Provisional Licence)	1, 4
LEUNG Suk Bing, Annie	ARP258	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Wai Sum, Sandy	ARO146	Licensed Representative (Provisional Licence)	1, 2
LI Ka Kit, Raymond	ARQ752	Licensed Representative (Provisional Licence)	4
LI Ka Wai, Carrie	ARO919	Licensed Representative (Provisional Licence)	4
LI Mengxia	ARP153	Licensed Representative (Provisional Licence)	1, 6
LI Shanna	ARQ975	Licensed Representative (Provisional Licence)	6
LI Si Chun, Kevin	ARO719	Licensed Representative (Provisional Licence)	1
LI Wei	ARP186	Licensed Representative (Provisional Licence)	1, 4
LI Ying	AQC794	Licensed Representative (Provisional Licence)	4
LIAO Yixin	ARQ948	Licensed Representative (Provisional Licence)	1, 2
LIM Tay Seop	AGW608	Licensed Representative (Provisional Licence)	4, 5, 9
LIU Eric Kay Hoi	ARN055	Licensed Representative (Provisional Licence)	1, 6
LIU Feng	AOP177	Licensed Representative (Provisional Licence)	1, 6
LIU Hing Hung	ARP656	Licensed Representative (Provisional Licence)	4, 9
LIU Ming Fai	ARP191	Licensed Representative (Provisional Licence)	1
LO Chi Wai	ARO380	Licensed Representative (Provisional Licence)	4, 9
LO Kin Cheung, Kenneth	ARQ678	Licensed Representative (Provisional Licence)	4
LOH Swee Phin	ARQ965	Licensed Representative (Provisional Licence)	4
LONG Qing	ARO794	Licensed Representative (Provisional Licence)	1
LU Ning	ARP152	Licensed Representative (Provisional Licence)	1
LU Yanyan	ARP734	Licensed Representative (Provisional Licence)	4
LUI Yee Kwun, Eva	ARQ313	Licensed Representative (Provisional Licence)	1
LUN Pui Yin, Dennis	ARP429	Licensed Representative (Provisional Licence)	1, 6
LYE Yee Chai	ARQ091	Licensed Representative (Provisional Licence)	1, 4
MA Linlin	AIR834	Licensed Representative (Provisional Licence)	1, 4, 9
MA Siwei	ARO767	Licensed Representative (Provisional Licence)	1, 6
MA Wai Man, Isabella	API083	Licensed Representative (Provisional Licence)	1
MA Xin	ARO898	Licensed Representative (Provisional Licence)	1, 6
MAN Wai Kung	ARO585	Licensed Representative (Provisional Licence)	1, 4
MANALAYSAY Raphael Tablanie	ARP903	Licensed Representative (Provisional Licence)	4
MATSUKAWA Nana	ARO918	Licensed Representative (Provisional Licence)	4
MATTHEW Oliver James Gray	ARO877	Licensed Representative (Provisional Licence)	4
MC DERMOTT Glen Michael	ARM737	Licensed Representative (Provisional Licence)	1, 4, 9
MCGRATH Stuart James	ARO705	Licensed Representative (Provisional Licence)	1
MCLEOD Angus Mcarthur	ARQ675	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
MENG Yu	ARR341	Licensed Representative (Provisional Licence)	1, 6
MILLER Ryan James	ARF537	Licensed Representative (Provisional Licence)	1, 2, 7
MOUTE Benjamin, Daniel, Germain	ARP639	Licensed Representative (Provisional Licence)	1
MURPHY Joanne Katrina	AJA078	Licensed Representative (Provisional Licence)	1, 4, 9
NANDURKAR Mahesh Yashwant	ARQ374	Licensed Representative (Provisional Licence)	4
NAPIER Russell Allen Richard	ACV316	Licensed Representative (Provisional Licence)	1
NETTER Romain Pierre Michel	ARQ762	Licensed Representative (Provisional Licence)	1
NG Chi Wah	ARQ146	Licensed Representative (Provisional Licence)	9
NG Chun Yuen, Alexander	ARQ354	Licensed Representative (Provisional Licence)	9
NG Eric Peter	ARO920	Licensed Representative (Provisional Licence)	1, 2
NG Hoi Yin	ARO900	Licensed Representative (Provisional Licence)	4
NG Long Ni, Renee	ARP323	Licensed Representative (Provisional Licence)	4
Ng Wai	ANI759	Licensed Representative (Provisional Licence)	1, 4
NGAI Hiu Wa	ARO927	Licensed Representative (Provisional Licence)	4
NGAN Hung Kit, Dennis	ADV590	Licensed Representative (Provisional Licence)	1, 2
NOLAN Christian Timothy	ARP119	Licensed Representative (Provisional Licence)	1, 4
OH Jae Won	ARQ096	Licensed Representative (Provisional Licence)	4, 9
OH Sung Hwan	ARO583	Licensed Representative (Provisional Licence)	1, 6
OLDFIELD Alexander Toby	ARQ375	Licensed Representative (Provisional Licence)	1
OZAWA Daiji	ARP900	Licensed Representative (Provisional Licence)	1
PANG Man Yee, Maggie	APZ425	Licensed Representative (Provisional Licence)	1, 4
PANJWANI Rajesh	ARQ373	Licensed Representative (Provisional Licence)	4
PARK Kwan Hoon	ARQ763	Licensed Representative (Provisional Licence)	1, 6
PATTAPHONGSE Patipat	ACK851	Licensed Representative (Provisional Licence)	1, 2
PAU Tin Man, Tammy	ARO582	Licensed Representative (Provisional Licence)	1, 6
PENALOZA Philip O.	AAI785	Licensed Representative (Provisional Licence)	4, 5, 9
PHILLIPS Ned	AFC917	Licensed Representative (Provisional Licence)	1
PINSET Victor Balthazar	ARQ494	Licensed Representative (Provisional Licence)	1, 2, 7
PLUNKETT Timothy Charles	ARQ469	Licensed Representative (Provisional Licence)	1
PO Chi Kin	AGD101	Licensed Representative (Provisional Licence)	4
POON Hei Wai	ARF067	Licensed Representative (Provisional Licence)	6
POON Hui Ling	ARP007	Licensed Representative (Provisional Licence)	1, 6
PRESLER Paul Erik	ARP675	Licensed Representative (Provisional Licence)	1
PRITCHARD Philip	AAV591	Licensed Representative (Provisional Licence)	1
PUN Ka Shing	ARQ531	Licensed Representative (Provisional Licence)	1
PUPALASINGAM Ahillan	ARO402	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
PURDIE Ross Kenyon	ABS450	Licensed Representative (Provisional Licence)	1
RAN Dong	ARP048	Licensed Representative (Provisional Licence)	1, 6
REGAN Joseph David	ARO001	Licensed Representative (Provisional Licence)	1, 2, 7
RENOUX Yann Bruno	ARQ459	Licensed Representative (Provisional Licence)	1
ROBERTSON Benjamin Mark Manwaring	ARP047	Licensed Representative (Provisional Licence)	4
ROBIN Julien Georges Luc	ARQ022	Licensed Representative (Provisional Licence)	1, 6
SALMON Raphael Francis Rene	ARO864	Licensed Representative (Provisional Licence)	1, 2
SANDISON David John MacGregor	ABA590	Licensed Representative (Provisional Licence)	4
SARKER Vikram Prabir	ARQ516	Licensed Representative (Provisional Licence)	1, 4
SCHRAM Paulus Augustinus Henricus	ARQ023	Licensed Representative (Provisional Licence)	1, 6
SEAGRIM John Christopher	ARP678	Licensed Representative (Provisional Licence)	1
SETIAWAN Maria Ansela Jennifer	ARO683	Licensed Representative (Provisional Licence)	1, 2, 7
SHE King Man	ARQ981	Licensed Representative (Provisional Licence)	1
SHEA Miu Yu	ARP337	Licensed Representative (Provisional Licence)	4
SHEN Chen-Ju	APJ570	Licensed Representative (Provisional Licence)	1, 2
SHEN Junyu	ARO783	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Xiaonan	ARQ099	Licensed Representative (Provisional Licence)	1, 6
SIN Pui Wai	ARR373	Licensed Representative (Provisional Licence)	1, 4
SINGHAL Krishna Kumar	ARO802	Licensed Representative (Provisional Licence)	1
SLADE Edward John	ACE858	Licensed Representative (Provisional Licence)	4, 6
SO Chui Shan	ARO922	Licensed Representative (Provisional Licence)	4
SO Hang Yeung	ARQ488	Licensed Representative (Provisional Licence)	1, 4
STEWART Ian Colin	ARM928	Licensed Representative (Provisional Licence)	1
STODDARD Richard Carver	AEP934	Licensed Representative (Provisional Licence)	1, 4, 6
STRADMOOR Norman Alexandra	ARR597	Licensed Representative (Provisional Licence)	1, 4
SU Xing	ARP042	Licensed Representative (Provisional Licence)	1, 6
SUN Jie	ARQ753	Licensed Representative (Provisional Licence)	4
SUN Peng	ARQ308	Licensed Representative (Provisional Licence)	4
SUN Xianbing	ARO467	Licensed Representative (Provisional Licence)	1, 4
SUNG Min Su	ARO771	Licensed Representative (Provisional Licence)	1, 6
SUNG Min-chun	ARQ477	Licensed Representative (Provisional Licence)	1
TAM Winson	ARN988	Licensed Representative (Provisional Licence)	4
TAMAKI So	ARN489	Licensed Representative (Provisional Licence)	1, 6
TAN Raymond See Kit	ARO940	Licensed Representative (Provisional Licence)	9
TAN Yee Siang	ARP855	Licensed Representative (Provisional Licence)	1, 2
TAN Yew Kiat	ARM832	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
TANG Hoi Lam	ARO136	Licensed Representative (Provisional Licence)	1, 2
TANG Wang Kit	ARP465	Licensed Representative (Provisional Licence)	1, 2, 4
TAO Yiyang	ARP395	Licensed Representative (Provisional Licence)	1, 4, 6
TAPIA Ryan Martin	ARO580	Licensed Representative (Provisional Licence)	1, 6
TEISSIER Arnaud Julien Maxime	ARQ764	Licensed Representative (Provisional Licence)	1, 6
TEOH Chin Wei	ARP541	Licensed Representative (Provisional Licence)	6
THANAPISITIKUL Wirathip	ARP193	Licensed Representative (Provisional Licence)	1
TIAN Linyang	ARR344	Licensed Representative (Provisional Licence)	1
TONG Pok Wai	ARR372	Licensed Representative (Provisional Licence)	1, 2
TONG Wai Chiu, Philip	ARO584	Licensed Representative (Provisional Licence)	4
TSAI Yuan-Yiu	ARO470	Licensed Representative (Provisional Licence)	4
TSANG Ling Kei	ARQ656	Licensed Representative (Provisional Licence)	1, 2
TSANG Long	ARQ674	Licensed Representative (Provisional Licence)	1, 2
TSANG Sing Wan	ARP348	Licensed Representative (Provisional Licence)	4
TSE Chi Kin, Daniel	ARP474	Licensed Representative (Provisional Licence)	1
TSOI Ping Hing	ARQ789	Licensed Representative (Provisional Licence)	1, 6
TUCKWELL Zachary Scott	ADT336	Licensed Representative (Provisional Licence)	1
TURNER Samuel James	ARO796	Licensed Representative (Provisional Licence)	1
USSANI Riccardo	ARP573	Licensed Representative (Provisional Licence)	9
VAN DINE Phillip Sean	ARQ356	Licensed Representative (Provisional Licence)	1
VERMA Sapan	ARP653	Licensed Representative (Provisional Licence)	4
WAN Tammy Margaret	ARP452	Licensed Representative (Provisional Licence)	1, 6
WANG Danyang	AGH255	Licensed Representative (Provisional Licence)	1
WANG Jun	ARP952	Licensed Representative (Provisional Licence)	1
WANG Michael Tsu Tsong	ARP169	Licensed Representative (Provisional Licence)	1
WANG Nian	ARR075	Licensed Representative (Provisional Licence)	4
WANG Qinyan	ARP576	Licensed Representative (Provisional Licence)	1, 6
WANG Sheng	ARQ980	Licensed Representative (Provisional Licence)	1
WANG Xiaoxi	ARO679	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yue	ANM980	Licensed Representative (Provisional Licence)	1
WANG Zhi	ARO579	Licensed Representative (Provisional Licence)	9
WARACHIJ Witranan	ARM699	Licensed Representative (Provisional Licence)	1
WEE Teck Hui	ARO942	Licensed Representative (Provisional Licence)	9
WENG Alexander I-Chi	AMV312	Licensed Representative (Provisional Licence)	1, 6
WHALE Patrick Xavier	ARO879	Licensed Representative (Provisional Licence)	1
WOLF Matthew David	ARO798	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Andrew Kok Leong	ARP901	Licensed Representative (Provisional Licence)	4, 6
WONG Cham Yip	ARP735	Licensed Representative (Provisional Licence)	4
WONG Ching Siang	ARO472	Licensed Representative (Provisional Licence)	1, 2
WONG Chong Yau, Runme	ALY546	Licensed Representative (Provisional Licence)	9
WONG Harald	ARO504	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Ho Chuen	ARO917	Licensed Representative (Provisional Licence)	1
WONG Ho Ting	ARP322	Licensed Representative (Provisional Licence)	1, 4
WONG Kwai Sun	AGN688	Licensed Representative (Provisional Licence)	4
WONG Laam Laam, Christine	ARP655	Licensed Representative (Provisional Licence)	4
WONG Tak Yan, Frances	ARP090	Licensed Representative (Provisional Licence)	1
WONG Tsz Ho	ARQ649	Licensed Representative (Provisional Licence)	1, 2
WONG Wing Shan, Rosa	ARP387	Licensed Representative (Provisional Licence)	1, 2
WOODWARD Anthony James Passingham	ACS226	Licensed Representative (Provisional Licence)	1, 2
WU Hoi Yi, Carrie	ARQ749	Licensed Representative (Provisional Licence)	4
WU Junya	ARO390	Licensed Representative (Provisional Licence)	1, 6
WU Qing	ARP176	Licensed Representative (Provisional Licence)	1, 2
WU Sui Hin	ARO400	Licensed Representative (Provisional Licence)	1, 2, 7
WU Xiongwei	ARP124	Licensed Representative (Provisional Licence)	1, 4, 5, 9
XIAO Hong	ARQ319	Licensed Representative (Provisional Licence)	1
XIAO Jingjing	ARQ049	Licensed Representative (Provisional Licence)	1, 6
XIE Joanie Zhong Jie	ARP195	Licensed Representative (Provisional Licence)	1, 6
XIE Yin	ARP059	Licensed Representative (Provisional Licence)	1, 6
XU Ping	ARQ788	Licensed Representative (Provisional Licence)	1, 6
XU Qingfei	ARO773	Licensed Representative (Provisional Licence)	1, 6
YADAV Gaurav	ARQ320	Licensed Representative (Provisional Licence)	4
YAN Dong	ARP190	Licensed Representative (Provisional Licence)	1, 6
YANG Elizabeth	ARP703	Licensed Representative (Provisional Licence)	1
YANG Qing	ARO431	Licensed Representative (Provisional Licence)	1, 6
YANG Yujia	ARP384	Licensed Representative (Provisional Licence)	9
YANG Zhihan	ARQ983	Licensed Representative (Provisional Licence)	6
YE Weizheng	ARN510	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YETMAN Mark Stephen	ACT009	Licensed Representative (Provisional Licence)	1
YEUNG Bui, Bill	AFD827	Licensed Representative (Provisional Licence)	1, 2
YEUNG Hiu Chit	AML649	Licensed Representative (Provisional Licence)	9
YEUNG Yan Hang, Samuel	ARO924	Licensed Representative (Provisional Licence)	4
YIN Chunmei	ARP067	Licensed Representative (Provisional Licence)	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIU Man Hay	ARP095	Licensed Representative (Provisional Licence)	1
YU Chiung-yin	ARQ097	Licensed Representative (Provisional Licence)	1
YU Hing Chun	ARP333	Licensed Representative (Provisional Licence)	1, 2
YU Leung Chiu	ARO892	Licensed Representative (Provisional Licence)	1
YU Nian	ARP154	Licensed Representative (Provisional Licence)	4
YU Wai Chi	AIY918	Licensed Representative (Provisional Licence)	1, 4
YU Yin	ARN735	Licensed Representative (Provisional Licence)	1, 2
YUAN Ting-Ting	ARP681	Licensed Representative (Provisional Licence)	1
YUEN Hon Ming, Edwin	ABY523	Licensed Representative (Provisional Licence)	6
YUEN Ue Ting	ARP178	Licensed Representative (Provisional Licence)	4
YUM Pok Man	ARQ766	Licensed Representative (Provisional Licence)	1, 4
ZENG Chaohao	ALN856	Licensed Representative (Provisional Licence)	1, 4, 6
ZENG George Xiangwen	ARQ506	Licensed Representative (Provisional Licence)	9
ZHAI Wenjing	ARP180	Licensed Representative (Provisional Licence)	1, 6
ZHAN Ni	ARQ978	Licensed Representative (Provisional Licence)	6
ZHANG Jing	ARQ244	Licensed Representative (Provisional Licence)	4
ZHANG Xin, Eric	ARQ383	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yue	ARO427	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Hao	ARO775	Licensed Representative (Provisional Licence)	1, 6
ZHENG Xiaomin	ARN627	Licensed Representative (Provisional Licence)	4
ZHENG Zheng	ARO432	Licensed Representative (Provisional Licence)	9
ZHU Hong	ARQ931	Licensed Representative (Provisional Licence)	1
ZHU Qingsi	ARN999	Licensed Representative (Provisional Licence)	1, 6
ZHU Yin	ARQ748	Licensed Representative (Provisional Licence)	4
BARRETTE Jeffrey Michael	ARO749	Licensed Representative (Temporary Licence)	1
BOURRIER Alain Jacques Benoit	ARO122	Licensed Representative (Temporary Licence)	1, 4
BOWERS Grant Blair	ARP018	Licensed Representative (Temporary Licence)	1
BROWNJOHN Cameron William	ARQ458	Licensed Representative (Temporary Licence)	1
CHAN Christian James	ARQ002	Licensed Representative (Temporary Licence)	1, 4
CHEUNG Kar Heng	ARQ132	Licensed Representative (Temporary Licence)	1
DARST David Martin	AAB969	Licensed Representative (Temporary Licence)	1, 2
DARYL Ee Hock Heng	ARR388	Licensed Representative (Temporary Licence)	1, 4
EDELMAN Marilyn Miki	ARN992	Licensed Representative (Temporary Licence)	1
GEORGE Bradley John	AQF002	Licensed Representative (Temporary Licence)	4
HAKAMATA Tadashi	ARE200	Licensed Representative (Temporary Licence)	1
HAN Kenji	ARO148	Licensed Representative (Temporary Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2008

CE Name	CE No.	Licence Type	Types of Regulated Activity
HENKEL David Carl	ARO112	Licensed Representative (Temporary Licence)	4
HODGSON Holden Kirk	AOS400	Licensed Representative (Temporary Licence)	1, 2
IWASAWA Seiichiro	ARO888	Licensed Representative (Temporary Licence)	4
KAZAHAYA Takahiro	ARO540	Licensed Representative (Temporary Licence)	4
KELLY-JONES John Thomas	AMH873	Licensed Representative (Temporary Licence)	1
KUMAR Yogesh	APY707	Licensed Representative (Temporary Licence)	1
KUO Peter C	ARJ941	Licensed Representative (Temporary Licence)	1, 6
LACEY Mark Anthony	ARP109	Licensed Representative (Temporary Licence)	4
LANDERS William Mark	AMR327	Licensed Representative (Temporary Licence)	1, 4
LEUNG Kenneth Kwun Chung	ARN820	Licensed Representative (Temporary Licence)	4, 6
LIEW Wei-Ling	AOD519	Licensed Representative (Temporary Licence)	1
LIPPENS Bruno Andre Joseph	ARP187	Licensed Representative (Temporary Licence)	4
MADNI Munib Mohammad	ARQ130	Licensed Representative (Temporary Licence)	1
MAEDA Koichiro	AMB300	Licensed Representative (Temporary Licence)	1
MAEKAWA Kentaro	ARO698	Licensed Representative (Temporary Licence)	4
MAYER Marc Orlans	ARO541	Licensed Representative (Temporary Licence)	4
MCQUEEN Colin David	AOD764	Licensed Representative (Temporary Licence)	1
MICALLEF Antoine	ARD706	Licensed Representative (Temporary Licence)	1, 2
MONOVSKI Plamen Antonov	ARO124	Licensed Representative (Temporary Licence)	1, 4
NARITA Yasuhiro	ARQ487	Licensed Representative (Temporary Licence)	4
NISHIMURA Shuichi	AMR969	Licensed Representative (Temporary Licence)	4
SHODA Masafumi	ANE306	Licensed Representative (Temporary Licence)	4
UMETSU Cameron Neil	ARP038	Licensed Representative (Temporary Licence)	4