

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
Abacus Asset Management (HK) Limited	ATB513	Licensed Corporation	4, 9
Anand Rathi Financial Services (HK) Limited	AST085	Licensed Corporation	1
Artis CP Investment Management Limited	ATJ286	Licensed Corporation	4, 9
Lighthorse Asset Management (HK) Limited	ASZ096	Licensed Corporation	9
Matchpoint Investment Management Asia Limited	ATH574	Licensed Corporation	9
Michael Nock and Associates Limited	ATC252	Licensed Corporation	9
Samena Capital Hong Kong Limited	ATM962	Licensed Corporation	4, 9
SC Lowy Financial (HK) Limited	ATI431	Licensed Corporation	1
Tanrich Futures Limited	AAZ149	Licensed Corporation (Migration)	2
ADAJANIA Mahrukh	ASW935	Licensed Representative	4
AN Keqiang	ATO219	Licensed Representative	1
ANANTHARAM Vishweshwar	ATM017	Licensed Representative	1, 2
ARIMITSU Ken	ATI163	Licensed Representative	1
ARORA Neha	ATB981	Licensed Representative	4
AU-YEUNG Siu Pang	ATR127	Licensed Representative	4
BANSAL Mamta	ATJ463	Licensed Representative	1
BELAL Joanne Henrietta Simone	ATM636	Licensed Representative	1, 2
BIBBY Nicholas Paley	ADB688	Licensed Representative	4, 5, 9
BLIESCHKE Stuart Giles	APR572	Licensed Representative	9
BROEREN Daniel Christopher	ATO788	Licensed Representative	4
CAI Bibo	AOS428	Licensed Representative	9
CAI Daniel, Christian	ATO217	Licensed Representative	1, 2, 7
CATES Andrew Phillip	ATO037	Licensed Representative	4
CHAN Bing Leung	ATP145	Licensed Representative	1, 2
CHAN Che Yan	ATO706	Licensed Representative	1
CHAN Cheuk Nam, Stanley	ATN846	Licensed Representative	1, 4
CHAN Chi Cheung	ATO639	Licensed Representative	1
CHAN Coburn	ATO806	Licensed Representative	4, 9
CHAN Hing Sang, Alexander	ALB323	Licensed Representative	1
CHAN Hoi Hin, William	ANJ133	Licensed Representative	9
CHAN Hui-Wah, Jenny	ATM932	Licensed Representative	1
CHAN Joyce	ATO793	Licensed Representative	4, 9
CHAN Ka Chun	ATH567	Licensed Representative	9
CHAN Ka Chung	ANT245	Licensed Representative	1, 2, 3
CHAN Ka Kit	ANE976	Licensed Representative	9
CHAN Kam Wing	ATO477	Licensed Representative	1

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHAN Kin Fung, Helen	AFU171	Licensed Representative	1
CHAN Kit Man	AGF197	Licensed Representative	1
CHAN Kwan Chun	ATN439	Licensed Representative	4
CHAN Mei Wah, Alsia	ATO790	Licensed Representative	4
CHAN Michael Wai Kit	ATM471	Licensed Representative	4
CHAN Mun Yee	ATQ902	Licensed Representative	1, 2
CHAN Pak Ho, Kenneth	AQS715	Licensed Representative	1
CHAN Raymond Pha Giong	AFP268	Licensed Representative	9
CHAN Roy Kwun Yat	ATM475	Licensed Representative	1, 2
CHAN Shiu Fai, Raymond	AJD697	Licensed Representative	1
CHAN Stanley King Lun	ANU215	Licensed Representative	1
CHAN Yim Kuen	ATO935	Licensed Representative	1
CHAN Yu Sum Patrick	ARJ471	Licensed Representative	1
CHANG Shu Wan	ACZ691	Licensed Representative	4, 9
CHANG Steve Deh-Mo	AGC467	Licensed Representative	1, 2
CHANG Yung Ching	AFF918	Licensed Representative	1, 2
CHAU Kin Kei	ATP137	Licensed Representative	1
CHAU Kwok Wai	ATN585	Licensed Representative	1, 2
CHEN Calvin Hughes	ATL788	Licensed Representative	1, 6
CHEN Can	ATN306	Licensed Representative	1, 2
CHEN Dan	ATO887	Licensed Representative	2
CHEN Hon-jieh	ATN380	Licensed Representative	1, 4
CHEN Hsiao Yi	ATN992	Licensed Representative	1
CHEN Jake Jian Zhou	ATN458	Licensed Representative	1
CHEN Peter Kwan Da	ATO542	Licensed Representative	1, 6
CHEN Qiuping	ATN566	Licensed Representative	4
CHEN Yanhui	ATR115	Licensed Representative	6
CHEN Yin	AOI998	Licensed Representative	1, 4, 6
CHEN Ying	ATN577	Licensed Representative	4
CHEN Zidong	ATM444	Licensed Representative	1, 6
CHENG Cheng-Hua	ATO441	Licensed Representative	4
CHENG Kin Wah	ATN564	Licensed Representative	1, 2
CHENG Oi Ching, Patricia	ATM958	Licensed Representative	4
CHENG Pui Ling	ATO464	Licensed Representative	1
CHENG Sheung Ping	ATP161	Licensed Representative	1
CHENG Ting-Yun	ATM460	Licensed Representative	4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHENG Wing Yee	ATO959	Licensed Representative	4
CHEUNG Chi Seng	ATO435	Licensed Representative	1, 2
CHEUNG Ho Lap	ATO918	Licensed Representative	4
CHEUNG Joanna Wai Sze	ATM610	Licensed Representative	1
CHEUNG Ka Chun, Desmond	ATO779	Licensed Representative	1, 9
CHEUNG Tsz Ying	ATO215	Licensed Representative	1
CHEUNG Wing Ka	ATM436	Licensed Representative	1, 2
CHEUNG Wing Lam	ATM802	Licensed Representative	1
CHEUNG Wing Sing	ATM574	Licensed Representative	1, 2
CHEUNG Wui Chuen	ATN999	Licensed Representative	1, 2, 4
CHIEN Jung-chun	ATN539	Licensed Representative	1, 4, 6
CHIU Hok Cheung, Simon	ATO805	Licensed Representative	4, 9
CHO Hyungsoo	ATN382	Licensed Representative	1, 4
CHOI Chun Ni, Elina	ATM649	Licensed Representative	1, 4
CHOI Hok Sum	ATQ936	Licensed Representative	1, 4
CHOI Whan Seuk	ATL710	Licensed Representative	1, 2
CHOI Yiu Ting, Allan	ATO434	Licensed Representative	1
CHOI Yu Jin	ATO430	Licensed Representative	1, 2, 7
CHOI Yun Seong	ARJ171	Licensed Representative	4, 9
CHOMEL Benjamin, Guy	ATI165	Licensed Representative	1, 2, 7
CHONG Chung Shing	ATN767	Licensed Representative	9
CHONG Lee Man	APZ164	Licensed Representative	1, 2
CHOW Andrew Heng Cheong	ATC946	Licensed Representative	4
CHOW Chi Wai	ATN994	Licensed Representative	4
CHOW Siu Kuen	AGF501	Licensed Representative	3
CHOW Wai Chi	ATO798	Licensed Representative	4
CHOW Yuen Ying	ATO521	Licensed Representative	1
CHU Chun Man	ASZ711	Licensed Representative	1
CHU Chun Wai	ACA601	Licensed Representative	1
CHU Wing Hei	ATO638	Licensed Representative	1, 2
CHUAH Jon Dee	ATN361	Licensed Representative	1, 2
CHUNG Lai Ming, Rachel	ATL470	Licensed Representative	1
CHUNG Otto Jone Kwok Ho	AQX201	Licensed Representative	4
CHUNG Pui Sze	ATO437	Licensed Representative	1, 2
CHUNG Yan Lam Eunice	ATM818	Licensed Representative	1
DENNIS Clive	ATM462	Licensed Representative	1

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
DHAR Sudipta	ATL440	Licensed Representative	1
DIAZ Ricardo Lorenzana	ATM321	Licensed Representative	1
DING Lei	ATJ498	Licensed Representative	1, 2
DING Tom Liang	ATJ265	Licensed Representative	9
DOCHOW Milo Twohy	ATL986	Licensed Representative	1
DRAKE Michael Christopher	ATO554	Licensed Representative	1, 2, 7
DU PUCH Amaury Olivier	ATO540	Licensed Representative	1, 2
EVERAERT Veronique M. C. G.	ATN737	Licensed Representative	1
FENG Yun	ATN364	Licensed Representative	1, 4, 6
FERGUSON Benjamin William	ASU168	Licensed Representative	1, 2
FIFI Nurjati Purwohardono	ATN716	Licensed Representative	1
FLETCHER Douglas Robert	ATJ494	Licensed Representative	4, 9
FOK Chung Hin, Felix	ATO002	Licensed Representative	1, 2
FONG Chiu Chi	ATO784	Licensed Representative	1
FONG Ming Huang, Ernest	ATM263	Licensed Representative	4
FRAHI Karim	ATO829	Licensed Representative	1, 2
FU Mei Ting	ATL439	Licensed Representative	1
FU TAK WAI	ATG942	Licensed Representative	4
FUNG Chui Man	ATL984	Licensed Representative	1
FUNG Hon Nam	AFR093	Licensed Representative	4
FUNG Wai Ming	ATN875	Licensed Representative	1
GERRITY Caitlin Margaret	ATO053	Licensed Representative	1, 2, 7
GORTHY Anil Kumar	ATN437	Licensed Representative	9
GOYAL Ajay	ATN372	Licensed Representative	1, 2
GRANDE Mary Margareth R.	ABX017	Licensed Representative	1, 2
GREY Kathleen Maree	ATL480	Licensed Representative	1
GROVER Akashdeep	AFD207	Licensed Representative	4, 9
GUO Dan	ATO171	Licensed Representative	9
GUO Yiqiang	ANN262	Licensed Representative	1, 4
HAGEN Greg B.	AFV451	Licensed Representative	1
HAN Jizheng	AQI107	Licensed Representative	6
HAO Jimmy Hsien Yi	ATM933	Licensed Representative	1, 4, 6
HE Yifei	ATN454	Licensed Representative	1, 4, 6
HENRIQUES Guy Michael Quixano	ATN770	Licensed Representative	1, 4, 9
HINTERHAEUSER Marina	ATM619	Licensed Representative	1
HO Kevin Kin-hung	ATM434	Licensed Representative	1

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
HO Siu Bing, Shirley	ATQ950	Licensed Representative	1
HO Tak Nam, Queeny	ALW473	Licensed Representative	4, 9
HO Wai Fu	ATO202	Licensed Representative	4
HO Wing Sum, Veda	AOA335	Licensed Representative	1, 4, 9
HON Lap Kan	ATO939	Licensed Representative	4
HOU Wenwen	ATO865	Licensed Representative	4
HSUEH Yan-Wen	ATN371	Licensed Representative	1, 4, 6
HU Hao	ATL437	Licensed Representative	9
HU Qingzi	ATM822	Licensed Representative	1, 4
Hu Wai Kwok	AJO631	Licensed Representative	1
HUANG Desmond Bor-Nian	ATM005	Licensed Representative	1, 2
HUANG Huei-Hung	ATM961	Licensed Representative	1, 2
HUANG Kai	AOB359	Licensed Representative	9
HUANG Min	ATN837	Licensed Representative	1, 2
HUANG Yihan	ATO147	Licensed Representative	1, 7
HUANG Yi-shan	ATQ957	Licensed Representative	1
HUANG Yong	ATH192	Licensed Representative	1
HUI Chi Hang, Damon	ATN556	Licensed Representative	4
HUNG Hsi-Ming	ATO440	Licensed Representative	4
HUNG King Hong	ATO894	Licensed Representative	1
HUNG Tao, Alice	ATQ777	Licensed Representative	4
HUNG Yun-Jen	ATO214	Licensed Representative	4, 9
IP Ho Wah, Gordon	ATN989	Licensed Representative	4, 9
IP Vivien Hsiao Lin	AKY527	Licensed Representative	1, 2
ISER Anthony Leslie	ATO003	Licensed Representative	1
IWASAKI Ai	ATG867	Licensed Representative	1, 2
JAMES Shane Charles	ATL423	Licensed Representative	9
JARVIS Ashley David	ATN579	Licensed Representative	1
JAWAL Anshul	ATN368	Licensed Representative	1, 2
JEONG Woon Young	ATM929	Licensed Representative	1, 2
JIANG Xuxiao	ATI407	Licensed Representative	4
JIM Pok To, Francis	ATM635	Licensed Representative	1, 4
JIN Shanghao	ATO556	Licensed Representative	1
JU Jongyoon	ATJ082	Licensed Representative	4, 9
KALSI Dilbagh Singh	ATN169	Licensed Representative	1, 2, 7
KAM Vincent Seng Kee	ATN163	Licensed Representative	1

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
KAN Ming	ATO454	Licensed Representative	4
KAN Pui Sai, Katie	ATJ266	Licensed Representative	1
KAPUR Kanak Kamal	AGL150	Licensed Representative	9
KEDIA Amar Kumar	ATC334	Licensed Representative	4
KEKKE Shuya	ATI096	Licensed Representative	1, 2, 7
KHANNA Punit	ACE500	Licensed Representative	1
KHILNANI Mrinal	ATO158	Licensed Representative	1, 7
KIM Joseph Hwa	ATN695	Licensed Representative	1
KIM Joshua Taehyun	ATL814	Licensed Representative	1, 4, 6
KIM Sejoon	ATM418	Licensed Representative	1, 6
KIM Young Roe	ATP155	Licensed Representative	4
KIRN Henry George	ATO439	Licensed Representative	4
KODAGANALLUR NARAYANAN Venkateswaran	ATJ272	Licensed Representative	1
KOH Choy	ACF185	Licensed Representative	4
KONG Pui Ying, Angel	ATO873	Licensed Representative	4
KU Wei-Chiang	AQD402	Licensed Representative	4, 9
KUO Chen-feng	ATH912	Licensed Representative	1
KWAK Hyunjoo	ATN279	Licensed Representative	1, 6
KWAN Chin Man	ANN592	Licensed Representative	1, 4
KWAN Hin Sing	ATM797	Licensed Representative	1, 2
KWANG Ming Li	ATN580	Licensed Representative	9
KWOK Ka Chiu	ATN773	Licensed Representative	1, 2
KWOK Keng Chee	ATL725	Licensed Representative	1, 2, 9
KWOK Wai Cheong	ATM805	Licensed Representative	1, 2
KWOK Wing Lun	AND706	Licensed Representative	1, 2
KWON Sung Wook	ATO040	Licensed Representative	1, 4
KWONG King Lok	ATM472	Licensed Representative	1, 2
LAI Dick Ngar, Dehlia	APQ177	Licensed Representative	1, 2
LAI Shu Sum	ATN723	Licensed Representative	1, 2
LAI Tsun Yun, Joanne	ATQ730	Licensed Representative	1, 2
LAI Wai Kwong, Daryl	AHC852	Licensed Representative	4, 9
LAM Chak Fung, Ian	ATN444	Licensed Representative	4
LAM Chun Hung	ATL665	Licensed Representative	4
LAM Kang Tai	ABK342	Licensed Representative	1
LAM San Wai	ATO960	Licensed Representative	4
LAM Suet Man	AMJ797	Licensed Representative	1, 2

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LAU Cho Yiu	ATN343	Licensed Representative	1, 2
LAU Hiu Long, Garros	ATM404	Licensed Representative	1
LAU Ho To	AQD647	Licensed Representative	1
LAU Jeremy Le Van	ATJ376	Licensed Representative	1
LAU Ka Fai	ATO218	Licensed Representative	1, 2
LAU Ka Lai, Anna	ATM454	Licensed Representative	1, 4
LAU Man Chun	ATO958	Licensed Representative	4
LAU Pak Kei	AMG913	Licensed Representative	3
LAU Pui Fun	ATQ934	Licensed Representative	1
LAU Siu Kau	ATN414	Licensed Representative	1, 4
LAU Yiu Keung	ATM804	Licensed Representative	1
LAW Kai Ting	ATN552	Licensed Representative	4
LAW Kwok Keung	ACS624	Licensed Representative	1
LAW Yick Chun	AGP603	Licensed Representative	1
LE SAINT Laurent, Andre, Claude	ATO888	Licensed Representative	1
LEA Robert Michael	ATL432	Licensed Representative	1, 2
LEE Cheuk Wing	ATI914	Licensed Representative	1
LEE Chi Ming	ADA367	Licensed Representative	1, 2
LEE Chi Yan	ATN121	Licensed Representative	1, 2, 7
LEE Chris Curl	ATN438	Licensed Representative	1, 2
LEE Chui Ling, Doris	ATQ952	Licensed Representative	4, 9
LEE Hong Sui	ATQ868	Licensed Representative	1
LEE Jeok	ANH621	Licensed Representative	4
LEE Ka Hung	ATL799	Licensed Representative	1, 2, 4
LEE King Hang	ATO455	Licensed Representative	4
LEE Lap Ming	ATN407	Licensed Representative	2
LEE Man Fung, Kency	ATO945	Licensed Representative	4
LEE Mun Tong	ATN727	Licensed Representative	1
LEE Pui Kwan	APU612	Licensed Representative	1
LEE Steven Tugwon	ATO159	Licensed Representative	1, 7
LEE Sze Wai, Daisy	ATM807	Licensed Representative	1, 2
LEE Tsz Hin	ATN988	Licensed Representative	1
LEE Wah Pun	ATO869	Licensed Representative	1
LEE Wan Lim	AQU589	Licensed Representative	4, 9
LEE Wing Kea, Peggy	ADH933	Licensed Representative	1, 2
LEE Yuen See Tracy	ATO423	Licensed Representative	1, 2, 4, 5, 9

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LENZNER Anna	ATO802	Licensed Representative	1
LEUNG Chi Hang, Nicholas	ATC818	Licensed Representative	1, 2
LEUNG Chi Man	ATP159	Licensed Representative	1
LEUNG Chun Sing	ATO216	Licensed Representative	1, 2
LEUNG Hoi Hin	ATN314	Licensed Representative	1, 2
LEUNG Ivan Wan Chung	ATN553	Licensed Representative	4
LEUNG Jody	ACW206	Licensed Representative	4, 9
LEUNG Kit Yee Helen	ATQ913	Licensed Representative	1
LEUNG Shuk Kwan	AMV305	Licensed Representative	1
LEUNG Sze Wai	ATO641	Licensed Representative	9
LEUNG Wai Chee	ATO785	Licensed Representative	1, 2
LEUNG Wai Kei, Gary	ABN422	Licensed Representative	9
LEUNG Wai Leung	ATM573	Licensed Representative	1
LEUNG Wing Choi	ACB134	Licensed Representative	1, 2
LEUNG Wing Kai	AQU970	Licensed Representative	1, 2, 7
LI Cheng-Chi	ATO436	Licensed Representative	1
LI Chun Yi	ATN122	Licensed Representative	1, 2
LI Hai	AQU876	Licensed Representative	1
LI Jack Zheng	AQM738	Licensed Representative	1, 2
LI Ka Shing	ATL873	Licensed Representative	1, 2
LI Kim Man	ATJ131	Licensed Representative	1, 2, 7
LI Kwok Kiu	ATO151	Licensed Representative	1, 2
LI Lin	ATL730	Licensed Representative	1
LI Tze Yan, Janet	ATN771	Licensed Representative	4
LI Weibo	AOC802	Licensed Representative	1
LI Yilu	ATN888	Licensed Representative	1, 4, 6
LI Ying	ATM474	Licensed Representative	1, 2
LI Zhenyin	ATN366	Licensed Representative	1, 4, 6
LI Zhongxia	ATM959	Licensed Representative	1, 6
LIANG Chun Fei, Belle	AGN570	Licensed Representative	4
LIANG Hao	ATN174	Licensed Representative	1
LIANG Shiqi	ATM943	Licensed Representative	1, 2
LIANG Wei Jen, William	AGU673	Licensed Representative	1, 4
LIAO Jie	ATD558	Licensed Representative	4
LIEM Chi Kit, Kevin	AQQ003	Licensed Representative	4, 9
LIM AH KEN Brian	ATO203	Licensed Representative	4



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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
Lim Chen San	ARP951	Licensed Representative	1, 2
LIM Seng Gene	ANZ804	Licensed Representative	1
LIN Feng Yi	ATB633	Licensed Representative	1
LIN Hsuan Liang	AKJ183	Licensed Representative	1, 4
LIN Zhenze	ATN329	Licensed Representative	1, 2
LING Pui Kwan	ATL571	Licensed Representative	1, 2
LIOU Wei Jei	ATO438	Licensed Representative	4, 5, 9
LIST Wendy Gaye	APL829	Licensed Representative	4
LIU Chih Yuan	ATQ909	Licensed Representative	1, 2
LIU Kwan Wai	AFT869	Licensed Representative	1
LIU Wing Yin, Wendy	ANE330	Licensed Representative	6
LIU Yi	ATI179	Licensed Representative	1
LIU Ying	ATO768	Licensed Representative	1, 4, 6
LIU Yixuan	ATQ883	Licensed Representative	4
LLOYD Robert Donald	ATQ871	Licensed Representative	4, 5, 9
LO Chun Kit, Ricky	ATO150	Licensed Representative	1
LO Ka Wai, Simon	ATO705	Licensed Representative	4
LO Kit Ying	ATN766	Licensed Representative	1
LO Pui Chi Lyon	AAF036	Licensed Representative	1
LO Ying Kin	ATL664	Licensed Representative	1, 2, 3
LU Yajun	ATL483	Licensed Representative	6
LUI Ka Yan, Karen	AQX044	Licensed Representative	4
LUI Yee Lin, Eva	ATN123	Licensed Representative	1, 2
LUK Tsz Yan	ATQ940	Licensed Representative	1, 2
MA Shuk Wun	ATN459	Licensed Representative	4
MAHAPATRA Satyakam	ATO781	Licensed Representative	1, 2
MAK Chi Ho	ATN700	Licensed Representative	1, 4, 9
MAK Hoong Fatt	ATO799	Licensed Representative	1, 6
MAK Suk Yin	ATO823	Licensed Representative	4
MAK Tak Sang	ATQ885	Licensed Representative	2
MAK Yau Kee, Wilson	AOO701	Licensed Representative	1
MAN Chun Kit	ATH765	Licensed Representative	1
MAO Jun	ATL681	Licensed Representative	1, 2
MATAYOSHI Yuka	APR966	Licensed Representative	1
MAUGINO Herve, Cedric, Stephane	ATO808	Licensed Representative	1, 2
MCCASKER Grant David	ATL666	Licensed Representative	4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
MIGLANI Sugeet	ATN355	Licensed Representative	1, 2
MINARDS Timothy Bede	ATM616	Licensed Representative	1, 7
MING MING-hsia	ATO860	Licensed Representative	1, 4
MIU Fai Yan	APC633	Licensed Representative	1, 2
MORITA Sergio Takeo	ATL817	Licensed Representative	1, 2
MURGA Ma. Theresa Sanchez	ATQ911	Licensed Representative	1, 2
NAIR Ajitesh	ATN164	Licensed Representative	4
NG Chung Tim, Richard	AAF442	Licensed Representative	1
NG Fung Yan, Ada	ATJ264	Licensed Representative	1
NG Hoi Tong	ATO885	Licensed Representative	1, 2
NG Ka Wing, Fiona	ATO021	Licensed Representative	1
NG Kam Tin, Agnes	ATI906	Licensed Representative	1
NG Kin Shing, Kenny	ATN588	Licensed Representative	4
NG Pui Nee	ATJ281	Licensed Representative	4, 9
NG Siu Hang	ATL550	Licensed Representative	1, 2
NG Suk Yee, Amanda	ATO201	Licensed Representative	1
NG Wai Wah	AHN450	Licensed Representative	1
NG Wai Yip	ATL570	Licensed Representative	1, 2
NG Yat Wai	ATO211	Licensed Representative	1
NG Yik Yin	ATN406	Licensed Representative	1, 2
NG Yuet Yeung	ATQ780	Licensed Representative	4
NISHBALL Daniel Aaron Basa	ATL586	Licensed Representative	1
ORR Joseph Wai Shing	AHF354	Licensed Representative	6, 9
OYAMA Natsumi	ATN175	Licensed Representative	4
PANG Man Hong	ATO416	Licensed Representative	1, 3
PANG Tsz Chun	ATN570	Licensed Representative	1, 2
PANG Yat Fei	ATR220	Licensed Representative	1
PARK Christina	ATI929	Licensed Representative	1, 6
PAU Tien Sum, Esme	ATP176	Licensed Representative	4
PENG Andy Xihui	ATO643	Licensed Representative	4, 9
PO Ho Pong	ATN694	Licensed Representative	1, 2
POHL HAN Chia Chi	ATN112	Licensed Representative	1
POON Yuen Shun, Vincent	AFR775	Licensed Representative	1, 4
PURI Narinder	ATN363	Licensed Representative	1, 2
RAGHAVAN Nirmal	ATN143	Licensed Representative	4
RAO Pathagolusu Venkat	ATL541	Licensed Representative	1

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REMA HARINARAYANAN Suhas	ATL667	Licensed Representative	4
RICHARD Yves Mathieu Nicolas	ATO220	Licensed Representative	1
ROGERS David Andrew	ATM320	Licensed Representative	1, 2
ROY Philippe, Paul, Andre	ATL610	Licensed Representative	1, 2, 7
RUSSELL David Thomas	AEC988	Licensed Representative	1, 4
SABRE Benjamin	ATO429	Licensed Representative	1, 2, 7
SACHDEV Sunita Sunder	ATL685	Licensed Representative	4
SAERANG Imelda Juliana	ATM319	Licensed Representative	1
SEE Choon May, Patricia	ATL804	Licensed Representative	1
SHAM Sing Yi	AJR212	Licensed Representative	1
SHAMBHAVI .	ATB980	Licensed Representative	4
SHANG Rongrong	ATO797	Licensed Representative	1
SHEN Minggao	ATQ771	Licensed Representative	4
SHEN Peng	ATI393	Licensed Representative	1
SHEN Tsien Wen, Charmaine	AEN546	Licensed Representative	1
SHER Kwan Wai	ATN772	Licensed Representative	1, 2
SHETE Shantanu Sunil	ATL998	Licensed Representative	1, 2
SHI Yongtao	ATL611	Licensed Representative	1
SHIH King Lun	ATO949	Licensed Representative	4
SHIN Yoon Kyung	ATM424	Licensed Representative	1, 2
SHIRAISHI Yoshihisa	ATN188	Licensed Representative	1, 4, 6
SHUM Wai Bill, Patrick	AFB711	Licensed Representative	9
SHUM Yu	ATP169	Licensed Representative	4
SIVAKUA Samaporn	ATQ908	Licensed Representative	1, 2
SO Kin Wai	ABV540	Licensed Representative	1, 2
SO Sze Ling Atlanta Anie	ARO685	Licensed Representative	1
SONG Hanqian	ATO433	Licensed Representative	1
STRABO Benjamin	ATO956	Licensed Representative	1, 2
STRAUS Richard Andrew	ATQ912	Licensed Representative	1, 2
SU Alice Jia	ATO816	Licensed Representative	1, 4, 6
SU Wen	ATO953	Licensed Representative	4
SUGANDHI Mardian Jun	AMJ576	Licensed Representative	9
SUM Ho Sang	ASK323	Licensed Representative	4
SUMOTO Tokue	ATO582	Licensed Representative	1
SUN Di	ATO642	Licensed Representative	9
SUN Yanfeng	ATL994	Licensed Representative	1, 4, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
SURI Pankaj	AST178	Licensed Representative	4
SURUGA Hideki	ATN990	Licensed Representative	1, 4
SZE Hung Kwok	ATN823	Licensed Representative	2
TAI Nga Chun	ATN385	Licensed Representative	1
TAJPURIYA Archish Gopal	ATJ274	Licensed Representative	1
TAM Yin Ming, Cecilia	ATO160	Licensed Representative	1, 4, 6
TAN James Seng Yee	ATN584	Licensed Representative	1, 2
TAN Jian Wei	ATL612	Licensed Representative	1, 4, 6
TANDIYONO Felicia	ATE973	Licensed Representative	4
TANG Hin Yeung	ATN457	Licensed Representative	9
TANG Peony	ATO917	Licensed Representative	1
TANG Stephen Kee Ho	AIN848	Licensed Representative	1
TANG Wai Fung	ATN569	Licensed Representative	1, 2
TANG Wai San	ATP149	Licensed Representative	1
TANG Wing Yiu, Robert	AAK431	Licensed Representative	1, 2
TANG Yang	ATQ941	Licensed Representative	1
TANIMITSU Hideya	ATM476	Licensed Representative	1
THAMMINENI Rajkumar	ATO815	Licensed Representative	1
THIO George Patrick	APD705	Licensed Representative	1, 4
TIAN Bin	ATO874	Licensed Representative	6
TIAN Kuankuan	ATP164	Licensed Representative	1, 4, 6
TIN Pui Yee	ATO952	Licensed Representative	4
TING Wing Yee	ATN769	Licensed Representative	1
TO Man Chau	ATO427	Licensed Representative	6
TOBING Horas Sebastian Ebenezer	ATE958	Licensed Representative	4
TOMLIN Owen	ALI374	Licensed Representative	1
TONG Chin Fai	ATQ938	Licensed Representative	1, 2
TONG Man Sang	ATR043	Licensed Representative	1, 2
TSAI Wan-Yu	ALH788	Licensed Representative	4, 9
TSANG Che Young	ATN357	Licensed Representative	1, 2
TSANG Kin Wai	ATM426	Licensed Representative	1, 2
TSANG Wing Kiu, Ricky	ATM944	Licensed Representative	1, 4
TSUI Chi Kit Robin	ATN830	Licensed Representative	4
TSUI Wing Dung	ATJ180	Licensed Representative	1
TSUI Yan Tung	ATM650	Licensed Representative	4
TSUI Yuk Sing	ATO004	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
TSUNG Kelvin	ATO931	Licensed Representative	1
UCHIYAMA Akiko	ANQ462	Licensed Representative	1
VERES Octavien Gabriel	ATO538	Licensed Representative	1, 2
WAN Nga Yee, Joyce	AOH920	Licensed Representative	1
WANG Alexander Michael	ATN583	Licensed Representative	1, 2
WANG Bing	AEZ762	Licensed Representative	1, 4, 6
WANG Zhufeng	APQ657	Licensed Representative	4
WHEE Heekyung	AEW812	Licensed Representative	1
WONG Brian Shek Kae	AQF551	Licensed Representative	9
WONG Cheuk Ting	ATO771	Licensed Representative	1, 3
WONG Chi Man, Mandy	ATQ781	Licensed Representative	4
WONG Chin Fun	ATL427	Licensed Representative	4
WONG Chun Kong	ATM806	Licensed Representative	1, 2
WONG Diana Faye	AFD548	Licensed Representative	4
WONG Dick Hong	APR439	Licensed Representative	4
WONG Hing	ATL970	Licensed Representative	1
WONG Hiu Tung	ATO824	Licensed Representative	4
WONG Ho Hon	ATJ181	Licensed Representative	1
WONG Hoi Kei	ATO557	Licensed Representative	1, 2
WONG Hung Ming	ATN346	Licensed Representative	1, 2
WONG Ka Kam	ATI921	Licensed Representative	1
WONG Ka Kit Isaac	ATO541	Licensed Representative	1, 7
WONG Ka Ku, Frankie	AGN692	Licensed Representative	1
WONG Ka Ming	ATO476	Licensed Representative	1
WONG Ki Wa	AOH624	Licensed Representative	2, 3
WONG Kin Yip	ATQ782	Licensed Representative	4
WONG Kwok Tung, Anthony	ALQ261	Licensed Representative	9
WONG Lena Yan Mei	AJO222	Licensed Representative	1, 4, 9
WONG Man Chun	APQ127	Licensed Representative	1, 2
WONG May Fat	AKQ533	Licensed Representative	1
WONG Shiu Hung	ATN456	Licensed Representative	4, 9
WONG Tang Tang	ATM598	Licensed Representative	1
WONG Wa Hong	ATO895	Licensed Representative	2
WONG Wai Po, Kelvin	ATO782	Licensed Representative	1, 2
WONG Wing Kei	ATO892	Licensed Representative	1
WONG Wing Keung	ATL879	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WONG Wing Kin, Ricky	AQQ122	Licensed Representative	3
WU Charlotte Nga Ting	ATN587	Licensed Representative	4
WU Desmond Tat Man	ATO920	Licensed Representative	4
WU Pak Hong	ATS536	Licensed Representative	1
WU Shenbin	ALC370	Licensed Representative	1
WU Wing Sze	AMZ333	Licensed Representative	1
WU Zhigang	AHK042	Licensed Representative	1
XIA Nan	ATN735	Licensed Representative	1, 4, 6
XIAO Liang	ATO707	Licensed Representative	1
XIE Huiting	ATO925	Licensed Representative	4
XIE Min	ATL442	Licensed Representative	9
XU Nan	ATM316	Licensed Representative	4
XU Shunan	ATN698	Licensed Representative	1, 2
XU Xiaohui	AOA592	Licensed Representative	1, 4, 6
XU Xu	AOF929	Licensed Representative	1, 4, 6
YAN Tak Ming	ATL832	Licensed Representative	1, 4
YANG Justin James	AGJ009	Licensed Representative	1
YANG Lijuan	ATN170	Licensed Representative	1, 4, 6
YAU Chak Po	ATM813	Licensed Representative	1, 2
YAU Tsun Pui	ATO149	Licensed Representative	4
YEUNG Fu Ngai	AOH572	Licensed Representative	4, 9
YEUNG Hiu Ming	ATO950	Licensed Representative	1
YEUNG King Ha	ATL968	Licensed Representative	1
YEUNG King Lung	ATO875	Licensed Representative	1
YEUNG Ming Hung	ATP156	Licensed Representative	4
YEUNG Suet Ying	AJK482	Licensed Representative	1
YIM Wan Choi	AQS308	Licensed Representative	4
YIN Junhua	ATN431	Licensed Representative	1
YIP Chi Chung	ATL323	Licensed Representative	1
YIP Chun Keung	ATH371	Licensed Representative	4
YIP Tsun Wah Alvan	ATO776	Licensed Representative	1, 4
YIP Yuen Fan	ATO417	Licensed Representative	1, 3
YIU Wai Kim Lydia	ATO857	Licensed Representative	1, 4
YOSHIKAWA Kazuo	ANY401	Licensed Representative	1
YU Wei	ATN190	Licensed Representative	4
YU Yi	ATI939	Licensed Representative	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
YUAN Quanwei	ATO052	Licensed Representative	1, 4, 6
YUE Pui Lam	AGA571	Licensed Representative	1, 2
YUN Heekyu	ATJ399	Licensed Representative	1, 2
ZENG Xiangyun	ATL711	Licensed Representative	1, 4, 6
ZHANG Bing	ATI035	Licensed Representative	1, 2
ZHANG Rutie	ATL830	Licensed Representative	2
ZHANG Shu	ATQ785	Licensed Representative	4
ZHAO Fang	ATO858	Licensed Representative	6
ZHAO Meng	ATM955	Licensed Representative	1
ZHAO Rui	ATI408	Licensed Representative	4
ZHAO Tianyi	ATM651	Licensed Representative	9
ZHAO Yi	ATM606	Licensed Representative	1, 6
ZHAO Zhirong	ATN324	Licensed Representative	1, 6
ZHENG Andrew D	ATL787	Licensed Representative	1, 6
ZHENG Lei	ATR472	Licensed Representative	6
ZHENG Lili	ATN367	Licensed Representative	1, 4
ZHOU Hongyu	ATD513	Licensed Representative	4
ZHOU Lingli	ALW484	Licensed Representative	1
ZHOU Yue	ASM425	Licensed Representative	1
ZHU Hongxia	ATM945	Licensed Representative	4
ZHU John Jiang	ATV958	Licensed Representative	1
ZHUO Yue	ATI938	Licensed Representative	1, 6
CHANG Jack P	AKR038	Licensed Representative - Responsible Officer	4, 9
CHEUNG Wai Kwok, Gary	AAC469	Licensed Representative - Responsible Officer	1, 2, 4, 6, 9
CHU Chun Wai	ACA601	Licensed Representative - Responsible Officer	2
DRAKE Christopher Michael	ACZ957	Licensed Representative - Responsible Officer	4, 9
EDSTEIN Alexandra	ASZ221	Licensed Representative - Responsible Officer	4
FARNON Celia Elizabeth	ATM963	Licensed Representative - Responsible Officer	9
GUNN Magnus	ADC341	Licensed Representative - Responsible Officer	1, 4
GUO Baoxin	ATO640	Licensed Representative - Responsible Officer	9
HWANG Wen-hann	ALB895	Licensed Representative - Responsible Officer	1, 4
KENNAN Peter David	AQC319	Licensed Representative - Responsible Officer	4, 9
LEE Soo Cheon	ATI488	Licensed Representative - Responsible Officer	1
LEUNG Ka-tung	ACN928	Licensed Representative - Responsible Officer	4, 9
LIEN Ber Luen	AOW957	Licensed Representative - Responsible Officer	9
LIU Michael Hong	AOU173	Licensed Representative - Responsible Officer	9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LOWY Michel	ATI459	Licensed Representative - Responsible Officer	1
MAYANI Rajesh Arvind	AST098	Licensed Representative - Responsible Officer	1
MC NELIS Patrick James	ATN126	Licensed Representative - Responsible Officer	1
MEHROTRA Ravi	ATL967	Licensed Representative - Responsible Officer	1, 4
MOK Peter Ka Keong	AAU986	Licensed Representative - Responsible Officer	1
MULCHANDANI Narayan Phatu	ACZ175	Licensed Representative - Responsible Officer	1
PAK James Jinsung	AGL962	Licensed Representative - Responsible Officer	1, 4, 6
PATEL Rajeev	ATQ942	Licensed Representative - Responsible Officer	9
PHILIPS George Andrew	AQQ524	Licensed Representative - Responsible Officer	9
RIDDER HUYSSSEN VAN KATTENDIJK Hugo	AOB207	Licensed Representative - Responsible Officer	9
SHAH Raaj J	AOI820	Licensed Representative - Responsible Officer	9
SIM Choon	ADQ377	Licensed Representative - Responsible Officer	1, 6
TAM Mei Woon, Peggy	AEA816	Licensed Representative - Responsible Officer	4, 9
TAN Yew Boon	AFS647	Licensed Representative - Responsible Officer	9
TSUI On Kwok Timothy Brian	ATB512	Licensed Representative - Responsible Officer	4, 9
WU Shangzhi	AFN509	Licensed Representative - Responsible Officer	9
YIP Chi Hung	ATI898	Licensed Representative - Responsible Officer	1
AGARWAL Nupur	ATW419	Licensed Representative (Provisional Licence)	4
AGDAMAG Sandra Chanco	ATW322	Licensed Representative (Provisional Licence)	1
ALI Samet	ATR044	Licensed Representative (Provisional Licence)	1
AU-YEUNG Siu Pang	ATR127	Licensed Representative (Provisional Licence)	4
BADDELEY Alexander Richard	ATS851	Licensed Representative (Provisional Licence)	2
BANSAL Sandip	ATT492	Licensed Representative (Provisional Licence)	4
BARTOLOME Victoria-Maureen Libunao	ATS675	Licensed Representative (Provisional Licence)	1, 6
BERTET Jean-Philippe, Alain	ATS633	Licensed Representative (Provisional Licence)	1, 2
BILLER David Paul Campbell	ATV214	Licensed Representative (Provisional Licence)	1, 6
BISWAS Swagatam	ATS185	Licensed Representative (Provisional Licence)	1
BOUBEE Pascal Frederic	ATS148	Licensed Representative (Provisional Licence)	1, 2
CAI Hongyu	ATX143	Licensed Representative (Provisional Licence)	1
CHALLIS Philip John	AIV508	Licensed Representative (Provisional Licence)	1
CHAN Cherk Him, Simon	ANB798	Licensed Representative (Provisional Licence)	1, 2, 3
CHAN Cheuk Yin	ANH195	Licensed Representative (Provisional Licence)	1, 2
CHAN Choi Wah, Kanise	AHF667	Licensed Representative (Provisional Licence)	1, 4
CHAN Chun Shing, Peter	APQ867	Licensed Representative (Provisional Licence)	1, 2
CHAN Ho Ming	ATS071	Licensed Representative (Provisional Licence)	1, 2, 4
CHAN Hoi Fan	AGB682	Licensed Representative (Provisional Licence)	1, 2



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHAN Hoi Hin, William	ANJ133	Licensed Representative (Provisional Licence)	9
CHAN Ka Kit	ATS061	Licensed Representative (Provisional Licence)	4
CHAN Kai Lun, Kelvin	ATT439	Licensed Representative (Provisional Licence)	4
CHAN Kai Man Kant	AHM525	Licensed Representative (Provisional Licence)	4
CHAN Kam Sing	ACL574	Licensed Representative (Provisional Licence)	3
CHAN Kwong Fat, George	AFU058	Licensed Representative (Provisional Licence)	1
CHAN Mei Mei	AHX196	Licensed Representative (Provisional Licence)	1, 4
CHAN Pui Ling	ATV283	Licensed Representative (Provisional Licence)	1
CHAN Raymond Siu Lun	ATT484	Licensed Representative (Provisional Licence)	1, 2
CHAN Shek Bun, Ben	AFI560	Licensed Representative (Provisional Licence)	1, 2
CHAN Shek Chuen	ARE460	Licensed Representative (Provisional Licence)	1, 2
CHAN Wai Kin	ATR859	Licensed Representative (Provisional Licence)	1, 2
CHAN Wai Kit	ATP151	Licensed Representative (Provisional Licence)	1
CHAN Whole Man	ATW092	Licensed Representative (Provisional Licence)	4
CHAN Wing Chiu	ATQ962	Licensed Representative (Provisional Licence)	1, 2
CHAN Yat Hung	ATW416	Licensed Representative (Provisional Licence)	1
CHAN Yin Sum	ATS054	Licensed Representative (Provisional Licence)	1
CHANG Eric	AOZ110	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Steve Deh-Mo	AGC467	Licensed Representative (Provisional Licence)	1, 2
CHANG Wei-Chun	AQM403	Licensed Representative (Provisional Licence)	1, 4
CHANG Wen Hui	ATW265	Licensed Representative (Provisional Licence)	4
CHANG Yan Hui	ATS685	Licensed Representative (Provisional Licence)	1, 6
CHARLTON-JONES John Murray	ATR494	Licensed Representative (Provisional Licence)	1
CHAU Ho Hei	AIE136	Licensed Representative (Provisional Licence)	1, 2
CHAU Pak Lun	ATO954	Licensed Representative (Provisional Licence)	1
CHEN Chih-chieh	ATH471	Licensed Representative (Provisional Licence)	1
CHEN Su Ling	ANX197	Licensed Representative (Provisional Licence)	1, 2
CHEN Yi-chin	ATQ774	Licensed Representative (Provisional Licence)	1
CHEN Yu-Cheng	ATR465	Licensed Representative (Provisional Licence)	4
CHEN Ziyu	AQM097	Licensed Representative (Provisional Licence)	1
CHENG Hiu Yan, Alan	ANS223	Licensed Representative (Provisional Licence)	1
CHEUNG Christopher Yiu Chung	ATQ945	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Chun Ho	ATW460	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Chung Mau, Simon	ATR359	Licensed Representative (Provisional Licence)	1
CHEUNG Clarice Bonita	ATT086	Licensed Representative (Provisional Licence)	1
CHEUNG Ho Yu	ATT485	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHEUNG Kim Fung, Paul	ATR219	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Kin Pong	ATS383	Licensed Representative (Provisional Licence)	4
CHEUNG Man Cheong	ATS060	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Shu Fai	ATV536	Licensed Representative (Provisional Licence)	2
CHEUNG Tai Kam	ATT817	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Wai Lun	ATO861	Licensed Representative (Provisional Licence)	4
CHEUNG Wing Kei, Winnie	AEM607	Licensed Representative (Provisional Licence)	4
CHEUNG Ying Sum	ATP206	Licensed Representative (Provisional Licence)	1
CHIANG Randy	ATV645	Licensed Representative (Provisional Licence)	1, 2
CHIU Mo Sze, Winnie	AFT535	Licensed Representative (Provisional Licence)	1, 2
CHOI Chi Kin, Calvin	AMP538	Licensed Representative (Provisional Licence)	6
CHOI Chun Ning	ATS068	Licensed Representative (Provisional Licence)	1, 2
CHOI Shek To, Frank	AMS084	Licensed Representative (Provisional Licence)	1, 2
CHOW Andrew Heng Cheong	ATC946	Licensed Representative (Provisional Licence)	4
CHOW Ngai Chung	ATR346	Licensed Representative (Provisional Licence)	1
CHU Wai Lun	ATR167	Licensed Representative (Provisional Licence)	1
CHU Wen-ching	ATQ760	Licensed Representative (Provisional Licence)	1
CHUI Kar Man, Carmen	ATR477	Licensed Representative (Provisional Licence)	4
DAOU Mourad	ATR365	Licensed Representative (Provisional Licence)	1, 2, 7
DAVENPORT Paul Samuel	APB999	Licensed Representative (Provisional Licence)	1
DE CALONNE D'AVESNES Amaury Xavier	ATT818	Licensed Representative (Provisional Licence)	1, 4
DELLOYE Renaud Nicolas Yves	ATW568	Licensed Representative (Provisional Licence)	1
DESMIDT Marc Bovell Paul	ACP019	Licensed Representative (Provisional Licence)	1, 9
DJAJADININGRAT Arif Medianto	ATT849	Licensed Representative (Provisional Licence)	4, 6
DONG Liang	ATS187	Licensed Representative (Provisional Licence)	1, 2, 7
DRAPER Lucy Jane Jessica	ATS389	Licensed Representative (Provisional Licence)	1, 4
EMERSON Kathleen Ann	AEH820	Licensed Representative (Provisional Licence)	1
FIASCARIS Alessandro	ATT604	Licensed Representative (Provisional Licence)	1, 4
FISHER David Eli	ATS863	Licensed Representative (Provisional Licence)	9
FONG Kam Cheung, Patrick	AMQ876	Licensed Representative (Provisional Licence)	1, 2
FONG Leung Yu	ATS070	Licensed Representative (Provisional Licence)	1
FONG Yu	ATS874	Licensed Representative (Provisional Licence)	4, 5, 9
FOO Chee King, John	AGC136	Licensed Representative (Provisional Licence)	9
FROISSART Julien Sebastien	ATR371	Licensed Representative (Provisional Licence)	1, 2
FU Jinfeng	ATR255	Licensed Representative (Provisional Licence)	2
FUNG Chung Chi	AJE965	Licensed Representative (Provisional Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
FUNG Hon Nam	AFR093	Licensed Representative (Provisional Licence)	4
GAN Weiyang, Samuel	ATT473	Licensed Representative (Provisional Licence)	1, 4, 6
GINESKY Bret Matthew	ATT107	Licensed Representative (Provisional Licence)	4
GODRIDGE Benjamin John	ATS628	Licensed Representative (Provisional Licence)	1, 4, 9
GOEL Pankaj	ATR011	Licensed Representative (Provisional Licence)	1, 6
GOTETY Venkata Narasimha Apparaya Kishore	ATT094	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Yan	ATW316	Licensed Representative (Provisional Licence)	1
GUPTA Ashutosh	ATW073	Licensed Representative (Provisional Licence)	1, 2
GUPTA Navin Kumar	ATW420	Licensed Representative (Provisional Licence)	4
HAN Xintong	ATT451	Licensed Representative (Provisional Licence)	1
HAO Lujiang	ATR620	Licensed Representative (Provisional Licence)	1, 2
HAO Yinan	ATW084	Licensed Representative (Provisional Licence)	4, 9
HARRIS David Anthony	ADZ065	Licensed Representative (Provisional Licence)	1
HARRIS Maury Norton	ATR460	Licensed Representative (Provisional Licence)	4
HO Chi-pin	ANM677	Licensed Representative (Provisional Licence)	1
HO Mei Ling	ATS213	Licensed Representative (Provisional Licence)	1, 2
HSIEH Tiffany	ATT782	Licensed Representative (Provisional Licence)	4
HSIN Fanneau Ching	ACT437	Licensed Representative (Provisional Licence)	9
HSIN Sze Wai	AJJ600	Licensed Representative (Provisional Licence)	1
HSU Shu-Ting	AOQ540	Licensed Representative (Provisional Licence)	4, 6, 9
HU Kun	ATS197	Licensed Representative (Provisional Licence)	1, 6
HUANG Chia-Hsuan	ATS381	Licensed Representative (Provisional Licence)	4, 9
HUANG Wanqian	ATS917	Licensed Representative (Provisional Licence)	4, 6
HUI Lok Man	ATS532	Licensed Representative (Provisional Licence)	1
HUI Siu Ming	ATS640	Licensed Representative (Provisional Licence)	1
HUNG Hing Wu	AGT903	Licensed Representative (Provisional Licence)	1, 4
HUREWITZ Joel Allan	AIN756	Licensed Representative (Provisional Licence)	1, 4, 7
HUTCHINSON Simon Andrew	ATS220	Licensed Representative (Provisional Licence)	1
HWANG Shiang-ling, Helen	AMI512	Licensed Representative (Provisional Licence)	1, 2
IP Mina Mei Na	ATS690	Licensed Representative (Provisional Licence)	1, 6
JAFFRAY Scott John	ARH186	Licensed Representative (Provisional Licence)	1, 4, 9
JESSNER Gregory	ATR236	Licensed Representative (Provisional Licence)	1, 2
JIANG Tianyu	ATR856	Licensed Representative (Provisional Licence)	1, 4, 6
JIM Yuk Tong	ATT579	Licensed Representative (Provisional Licence)	1, 2
JOHNSON Pekka David	ADL598	Licensed Representative (Provisional Licence)	1
JOLLY Rimmo	ATR852	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
JOSHI Nimish Amar	ATR487	Licensed Representative (Provisional Licence)	4
JUNG Yi-Ping	ATR846	Licensed Representative (Provisional Licence)	4
KAM Fred	AA119	Licensed Representative (Provisional Licence)	4, 9
KAMATH Sujay Anthony Derrick	ATS181	Licensed Representative (Provisional Licence)	1
KAN Lai Ping, Pinky	AKY991	Licensed Representative (Provisional Licence)	1
KANANI Sachin	ATR828	Licensed Representative (Provisional Licence)	1, 2
KANG Fang Yu	ATR249	Licensed Representative (Provisional Licence)	1, 2
KANG Sang Hun	ATR857	Licensed Representative (Provisional Licence)	1, 2
KAWAKITA Tadakatsu	ANH120	Licensed Representative (Provisional Licence)	1, 2, 4
KEYES Leslie Yu-ling	ABZ047	Licensed Representative (Provisional Licence)	4
KIM Hongtae	ATQ968	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Jeffrey Il Jung	ATQ943	Licensed Representative (Provisional Licence)	1, 2
KIM Young Roe	ATP155	Licensed Representative (Provisional Licence)	4
KO Chin Hung, Patrick	AJO155	Licensed Representative (Provisional Licence)	1, 4
KO Kit, Scarlett	AFR179	Licensed Representative (Provisional Licence)	1, 4, 6
KU Wei-Chiang	AQD402	Licensed Representative (Provisional Licence)	4, 9
KUGA Yasutaka	ATS489	Licensed Representative (Provisional Licence)	9
KWAK Paul Youngjin	ATS676	Licensed Representative (Provisional Licence)	1, 6
KWAN Hoi Wai	ATV559	Licensed Representative (Provisional Licence)	1, 2
KWOK Ronald King-wong	AQQ334	Licensed Representative (Provisional Licence)	1, 2
KWOK Yik Man, Joyce	ATS268	Licensed Representative (Provisional Licence)	1, 2
KWONG Wing Hong, Danny	ATT112	Licensed Representative (Provisional Licence)	1
LAI Chi Hoi	APW068	Licensed Representative (Provisional Licence)	1
LAI Chun Wing	ATR740	Licensed Representative (Provisional Licence)	1
LAI Ka Wah	ATR461	Licensed Representative (Provisional Licence)	1
LAI Pak Kin	ATR485	Licensed Representative (Provisional Licence)	1
LAM Elaine	ATS343	Licensed Representative (Provisional Licence)	1, 4
LAM Jason Kit Keong	ATT386	Licensed Representative (Provisional Licence)	1
LAM Lam, Linda	AGP663	Licensed Representative (Provisional Licence)	4
LAM Pik Yan, Amy	AIB737	Licensed Representative (Provisional Licence)	1
LAM Sau Lan, Sara	ATS541	Licensed Representative (Provisional Licence)	1, 2
LATHER Manik	ATQ944	Licensed Representative (Provisional Licence)	1, 2
LAU Chun Yip Ernest	ATS772	Licensed Representative (Provisional Licence)	1
LAU Kwan Chun	ATQ916	Licensed Representative (Provisional Licence)	1
LAU Pui Yan	ATR491	Licensed Representative (Provisional Licence)	1, 2
LAU Wing Fung	ASJ918	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LAW Kam Yam	ATR340	Licensed Representative (Provisional Licence)	1
LAW Wei Kee, Philip	ATT955	Licensed Representative (Provisional Licence)	1, 2
LAW Yin Ping	ARN597	Licensed Representative (Provisional Licence)	1
LAWRENCE Steven Joseph	ATV793	Licensed Representative (Provisional Licence)	1, 2
LEE Chai Sin	AJA483	Licensed Representative (Provisional Licence)	1, 2
LEE Chi Lin, Rita	ATS907	Licensed Representative (Provisional Licence)	1, 2
LEE Chui Ling, Doris	ATQ952	Licensed Representative (Provisional Licence)	4, 9
LEE Kok Hung, Morse	ATW710	Licensed Representative (Provisional Licence)	1
LEE Man Hang	ATT475	Licensed Representative (Provisional Licence)	1, 2
LEE Sze Man, Ellen	APH709	Licensed Representative (Provisional Licence)	1, 2
LEE Tak Sing	AFU833	Licensed Representative (Provisional Licence)	9
LEE Tiffany May	ATS692	Licensed Representative (Provisional Licence)	1, 6
LEE Wai Keung	AFK372	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Wai Wah, Pauline	AKP372	Licensed Representative (Provisional Licence)	1, 6
LEE Yim Lan, Phyllis	ATR619	Licensed Representative (Provisional Licence)	1
LEE Yin Lok, Frederick	ATO882	Licensed Representative (Provisional Licence)	4
LEE Yiu San	ATT577	Licensed Representative (Provisional Licence)	1
LEUNG Chiu Chung	ATW111	Licensed Representative (Provisional Licence)	4
LEUNG Ho Ping, Paul	ATW947	Licensed Representative (Provisional Licence)	1, 2
LEUNG Jody	ACW206	Licensed Representative (Provisional Licence)	4, 9
LEUNG Ka Yee	ATS905	Licensed Representative (Provisional Licence)	9
LEUNG King Ming	AAH114	Licensed Representative (Provisional Licence)	2
LEUNG Kit Yee Helen	ATQ913	Licensed Representative (Provisional Licence)	1
LEUNG Man Tak, Yannick	ATR355	Licensed Representative (Provisional Licence)	1, 2
LEUNG Trenton Pui Lam	ATV455	Licensed Representative (Provisional Licence)	2
LI Hai	AQU876	Licensed Representative (Provisional Licence)	1
LI Haoting	ATV659	Licensed Representative (Provisional Licence)	2
LI Jacqueline Hsuanying	ATT459	Licensed Representative (Provisional Licence)	1, 4, 6
LI Shuk Yin	ATV554	Licensed Representative (Provisional Licence)	1
LI Weibo	AOC802	Licensed Representative (Provisional Licence)	1
LI Yibai	ATR119	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zengyi	ATQ757	Licensed Representative (Provisional Licence)	1, 2, 7
LIAO Shuting	ATT452	Licensed Representative (Provisional Licence)	4
LIM Khoo Hian Michael	ATS891	Licensed Representative (Provisional Licence)	4
LIM Ruoh Chwin	ATQ960	Licensed Representative (Provisional Licence)	4
LIN David Ping	AOT744	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LIN Li	ATR493	Licensed Representative (Provisional Licence)	1, 2
LIN Xiaoli	ATR336	Licensed Representative (Provisional Licence)	1, 6
LIN Yu Hong	ATS207	Licensed Representative (Provisional Licence)	4
LING Vey Sern	ATS897	Licensed Representative (Provisional Licence)	4
LIU Allen Benjamin	ATS058	Licensed Representative (Provisional Licence)	1, 2
LIU Chung Ching	ATT576	Licensed Representative (Provisional Licence)	1
LIU Hong	ARI623	Licensed Representative (Provisional Licence)	4
LIU Hongyi	ATO886	Licensed Representative (Provisional Licence)	6
LIU Jidi	ATS680	Licensed Representative (Provisional Licence)	1, 6
LIU Kit Ying, Christine	AOJ342	Licensed Representative (Provisional Licence)	1, 7
LIU Qiwen	ATT804	Licensed Representative (Provisional Licence)	4
LIU Yi	ATR118	Licensed Representative (Provisional Licence)	4
LO Chi Hung	ATS893	Licensed Representative (Provisional Licence)	1, 2
LO Kwong Chun	ATS353	Licensed Representative (Provisional Licence)	4
LODHA Amit	ATT580	Licensed Representative (Provisional Licence)	1, 9
LOI Tsun	ABZ775	Licensed Representative (Provisional Licence)	1
LONG Ben Lixiang	ANI750	Licensed Representative (Provisional Licence)	9
LU Chun Yuen	ATS378	Licensed Representative (Provisional Licence)	1
LU Gloria Yi	ADA784	Licensed Representative (Provisional Licence)	4
LU Jiuzhou	ATR182	Licensed Representative (Provisional Licence)	6
LUI Chai Yan	ATS535	Licensed Representative (Provisional Licence)	1, 2
LUI Hoi Yan, Jaclyn	ANQ808	Licensed Representative (Provisional Licence)	1, 2
LUK Ping Kwan	ATS091	Licensed Representative (Provisional Licence)	1, 2
MA Wai Sze, Chrisie	ATW866	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Chi Cheung	ATS270	Licensed Representative (Provisional Licence)	1, 2
MAK Kwok Leung	APF173	Licensed Representative (Provisional Licence)	1
MAN Shing Wai	ATR360	Licensed Representative (Provisional Licence)	1, 2
MAO Beichen	ATS704	Licensed Representative (Provisional Licence)	1, 6
MC DONALD James Duncan	ATS219	Licensed Representative (Provisional Licence)	1
MILLER Daniel Owen	ACU447	Licensed Representative (Provisional Licence)	1
MO Kim Fai, Patrick	ATT466	Licensed Representative (Provisional Licence)	1
MOK Chin Ping	ATV927	Licensed Representative (Provisional Licence)	1
MOK Jeanne	ACE638	Licensed Representative (Provisional Licence)	1, 4
MOLLER Andrew Peter	ATV175	Licensed Representative (Provisional Licence)	1
MURPHY David James	AFG800	Licensed Representative (Provisional Licence)	1, 2, 4, 5
NAHAR Pawan	ATT423	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
NAIK Abhijeet Kisan	ATS209	Licensed Representative (Provisional Licence)	4
NEUNDORFER Patrick Harmon	ATS485	Licensed Representative (Provisional Licence)	1, 2, 7
NG Lit Cheuk	ATR684	Licensed Representative (Provisional Licence)	1
NGAI Heung Ming	ATS799	Licensed Representative (Provisional Licence)	1, 6
NGUYEN Nguyen Thanh	AOO555	Licensed Representative (Provisional Licence)	1, 2
NIP Wing Han, Maggie	ADT666	Licensed Representative (Provisional Licence)	1, 4
OEN Daniel Thian Liong	ATS911	Licensed Representative (Provisional Licence)	1
PANG Ching Yee	ATV626	Licensed Representative (Provisional Licence)	1
PANG Kwok Tung, Alan	ATT779	Licensed Representative (Provisional Licence)	1
PANG Siu Lun	ATT501	Licensed Representative (Provisional Licence)	1
PENG Andy Xihui	ATO643	Licensed Representative (Provisional Licence)	4, 9
PENG Zhiyuan	ATR337	Licensed Representative (Provisional Licence)	1
POON Chun Wing	ATR652	Licensed Representative (Provisional Licence)	1
POON Ka Wing	ATS954	Licensed Representative (Provisional Licence)	1, 2
POON Kin Hei	ATV568	Licensed Representative (Provisional Licence)	1
PUN Hiu Lok	ATT772	Licensed Representative (Provisional Licence)	1, 4
QI Xuan	ATW424	Licensed Representative (Provisional Licence)	1, 4
QU Yang	ATP186	Licensed Representative (Provisional Licence)	4, 9
RAJARAMAN Raghavendran	ATT020	Licensed Representative (Provisional Licence)	1, 2
RASTOMJEE Cyrus Russi	ATS154	Licensed Representative (Provisional Licence)	1
RATHI Shashank	ATW910	Licensed Representative (Provisional Licence)	1, 2
RAUIS Bruno Martin Jacques Michel	ATS714	Licensed Representative (Provisional Licence)	1, 2
RHEE Albert Kyuik	ATS674	Licensed Representative (Provisional Licence)	1, 6
ROBERTSON Blair Meighen	ACH688	Licensed Representative (Provisional Licence)	1, 6
RODRIGUES Peter John	ABY942	Licensed Representative (Provisional Licence)	4, 9
SABRE Benjamin	ATO429	Licensed Representative (Provisional Licence)	1, 2, 7
SAK Kai Yau	ATR363	Licensed Representative (Provisional Licence)	1, 2
SAMTER Mark Graham	ATW081	Licensed Representative (Provisional Licence)	4
SASPORTAS Ashley Joseph	ATP147	Licensed Representative (Provisional Licence)	1
SCHMOLL Alan	ATS544	Licensed Representative (Provisional Licence)	1
SCOTT Christopher	ATO855	Licensed Representative (Provisional Licence)	1
SHAH Bhavin	ATO810	Licensed Representative (Provisional Licence)	1, 2, 7
SHANG Wei	ATV553	Licensed Representative (Provisional Licence)	1, 2
SHARMA Pankaj	ATO968	Licensed Representative (Provisional Licence)	4
SHIVALINGAM Sanjeevan	ATR492	Licensed Representative (Provisional Licence)	1
SIA Lim, Selina	ACO266	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
SIN Pak Cheong Philip Charles	AGC925	Licensed Representative (Provisional Licence)	1, 6
SO Hing Nin, Henry	ATR442	Licensed Representative (Provisional Licence)	1
SO Kit Wai, Susanna	ATS534	Licensed Representative (Provisional Licence)	1, 4
SO Regina Ka Ying	ATT109	Licensed Representative (Provisional Licence)	1
SO Shin Yuk	AJG154	Licensed Representative (Provisional Licence)	1, 2
SOMANI Anirudh	ATS210	Licensed Representative (Provisional Licence)	1
SOPP Stuart Martin	ATS771	Licensed Representative (Provisional Licence)	1, 2
STREETER Mark	ATS186	Licensed Representative (Provisional Licence)	1
SU Shan	AOU240	Licensed Representative (Provisional Licence)	1, 6
SUN Peifang	ATR253	Licensed Representative (Provisional Licence)	4, 5, 9
SUN Quanyong	ATV923	Licensed Representative (Provisional Licence)	1, 4
SZE Cha Mau	ATW605	Licensed Representative (Provisional Licence)	3
TANG Yun Kwong	ATV457	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
TING Ka Man	ATR339	Licensed Representative (Provisional Licence)	1
TO Sze Wing, Crystal	ATR755	Licensed Representative (Provisional Licence)	1
TOKIZAWA Hayata	ATV564	Licensed Representative (Provisional Licence)	1, 4, 9
TONG Tsun Yat	ATW485	Licensed Representative (Provisional Licence)	1, 2
TONG Tsz Chun	ATS073	Licensed Representative (Provisional Licence)	1, 2
TSAI Chien-kuo	ALA691	Licensed Representative (Provisional Licence)	4
TSAI Hsueh-Ping	ATS056	Licensed Representative (Provisional Licence)	1
TSAI Yeung	ATS683	Licensed Representative (Provisional Licence)	1, 6
TSANG Kwok Leung, Duncan	AML597	Licensed Representative (Provisional Licence)	1
TSANG Shun	ATT402	Licensed Representative (Provisional Licence)	1
TSE Ka Wai	ATT121	Licensed Representative (Provisional Licence)	1, 2
TSE Koon Nang, Colin	ATR121	Licensed Representative (Provisional Licence)	1, 9
TSUE Wing Yeung	ATP049	Licensed Representative (Provisional Licence)	4, 9
TSUI Chak Sum	ATT780	Licensed Representative (Provisional Licence)	4
VALENTINE Benjamin Neil	ATQ905	Licensed Representative (Provisional Licence)	1, 2
VANDEVILLE Betty Yvonne Gusta Mathilde	ATS636	Licensed Representative (Provisional Licence)	1, 4
WACHTMEISTER Pontus Nils Fredrik S	ATS490	Licensed Representative (Provisional Licence)	9
WAN Cheuk Wing	ATP167	Licensed Representative (Provisional Licence)	4
WAN Chi Man	ATP150	Licensed Representative (Provisional Licence)	1
WANG Lu	ATT456	Licensed Representative (Provisional Licence)	1, 6
WANG Xi	ATS191	Licensed Representative (Provisional Licence)	4
WANG Xiaofan	ATR687	Licensed Representative (Provisional Licence)	1
WANG Yan Yiu	ATR361	Licensed Representative (Provisional Licence)	1, 2



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WATSON Toby Clive Sutherland	ATR020	Licensed Representative (Provisional Licence)	1, 2
WEAVER Jacob Carl	ATS224	Licensed Representative (Provisional Licence)	1
WEE Yee Yeong	AJM734	Licensed Representative (Provisional Licence)	1
WEN Danielle	ATS171	Licensed Representative (Provisional Licence)	1, 4, 6
WEN Yaomin	ATW445	Licensed Representative (Provisional Licence)	1, 6
WIJAYA Sastra	ATR636	Licensed Representative (Provisional Licence)	1
WONG Choi Fat	ATR490	Licensed Representative (Provisional Licence)	1
WONG Chun	ATS895	Licensed Representative (Provisional Licence)	1
WONG Chung Yan	ATS382	Licensed Representative (Provisional Licence)	4
WONG Ka Fei, Alfred	ATS072	Licensed Representative (Provisional Licence)	1, 2
WONG Kam Fei	ATW444	Licensed Representative (Provisional Licence)	1, 4, 6
WONG King Chung	APB407	Licensed Representative (Provisional Licence)	1, 6
WONG Kwok Wai	AOY613	Licensed Representative (Provisional Licence)	1, 4
WONG Man Chi Maggie	ATV731	Licensed Representative (Provisional Licence)	1
WONG Man Huen, Mandy	AKO878	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WONG Man Kay, Ignacio	ATS153	Licensed Representative (Provisional Licence)	1, 2
WONG Peter	ATR166	Licensed Representative (Provisional Licence)	1, 3
WONG Tai Cheung, Andrew	AKO636	Licensed Representative (Provisional Licence)	1, 7
WONG Wai Tao	ATW049	Licensed Representative (Provisional Licence)	1, 2
WONG Wing Cho	ATR473	Licensed Representative (Provisional Licence)	1
WONG Yee Kae	ATV562	Licensed Representative (Provisional Licence)	1, 2
WONG Yuk Fai	ATS542	Licensed Representative (Provisional Licence)	1, 2
WU Carl	ATS488	Licensed Representative (Provisional Licence)	1, 4
WU Jin	ATW234	Licensed Representative (Provisional Licence)	1
WU Shanshan	ATQ890	Licensed Representative (Provisional Licence)	1, 2
XIE Chengsi	ATQ775	Licensed Representative (Provisional Licence)	1
XIONG Guangyu	ATT122	Licensed Representative (Provisional Licence)	1
XU Feng	ATS770	Licensed Representative (Provisional Licence)	1, 6
XU Jing Jing	ATR685	Licensed Representative (Provisional Licence)	1, 4
XU Mengmeng	ARI794	Licensed Representative (Provisional Licence)	1, 4, 6
XU Naijie	ATR686	Licensed Representative (Provisional Licence)	4, 9
XU Xin	ATS864	Licensed Representative (Provisional Licence)	1, 6
YAN In Tan, Teresa	ACY655	Licensed Representative (Provisional Licence)	1, 2
YAN Weiyong, Grace	ATQ907	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Justin James	AGJ009	Licensed Representative (Provisional Licence)	1
YANG Shenshen	ATV287	Licensed Representative (Provisional Licence)	6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
YEH Shu-hung	ATQ772	Licensed Representative (Provisional Licence)	1
YEK Jia Jin Justin	ATS711	Licensed Representative (Provisional Licence)	1, 6
YEON Dayea	AQU055	Licensed Representative (Provisional Licence)	1, 6
YEUNG Chi Man	ATR478	Licensed Representative (Provisional Licence)	4
YEUNG Chun Wah, Roger	ABQ555	Licensed Representative (Provisional Licence)	1, 2
YEUNG Chun Wai, Anthony	AME473	Licensed Representative (Provisional Licence)	1, 7
YEUNG Kin Lok	ATV435	Licensed Representative (Provisional Licence)	1, 2
YEUNG Pauline	ATR855	Licensed Representative (Provisional Licence)	1, 2, 7
YEUNG Tak Wah	ATR495	Licensed Representative (Provisional Licence)	1, 2
YIM Man Leong, Francis	ADN999	Licensed Representative (Provisional Licence)	1, 2
YIM Ying Ki	ATV465	Licensed Representative (Provisional Licence)	1, 2
YIP Yin	ATT869	Licensed Representative (Provisional Licence)	1
YOKOSUKA Sayaka	ATR827	Licensed Representative (Provisional Licence)	1, 2
YU Shek Lun	ATQ882	Licensed Representative (Provisional Licence)	1
YUE Kin Wan	AAE881	Licensed Representative (Provisional Licence)	1, 2
YUE Pui Lam	AGA571	Licensed Representative (Provisional Licence)	1, 2
YUEN Lai Fong, Dorothy	ACA976	Licensed Representative (Provisional Licence)	4, 5, 9
YUNG Lai Kuen	ATV279	Licensed Representative (Provisional Licence)	1
ZENG Zhujun	ATQ773	Licensed Representative (Provisional Licence)	1, 2
ZHANG BROGLE Jing	ATR489	Licensed Representative (Provisional Licence)	1, 4
ZHANG Chuandong	ATS050	Licensed Representative (Provisional Licence)	1, 4
ZHANG Dijiang	ATS379	Licensed Representative (Provisional Licence)	6
ZHANG Gerald	ATS686	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jincheng	ATT807	Licensed Representative (Provisional Licence)	4
ZHANG Kai	ATR358	Licensed Representative (Provisional Licence)	9
ZHANG Xudong	ATR338	Licensed Representative (Provisional Licence)	1
ZHAO Sharon Chang	ARN679	Licensed Representative (Provisional Licence)	1
ZHU Kun	ATT128	Licensed Representative (Provisional Licence)	4
ZHU Tianfeng	ATV955	Licensed Representative (Provisional Licence)	4
ZHU Yuan	ATS925	Licensed Representative (Provisional Licence)	1, 4
BOTELHO BASTOS Pedro Augusto	ATV304	Licensed Representative (Temporary Licence)	1
CHOI Wing Yin, Winnie	AMV206	Licensed Representative (Temporary Licence)	4
CLAPPIER John Frederick	AGL393	Licensed Representative (Temporary Licence)	1, 2
DASHER Karl Franklin	ATS248	Licensed Representative (Temporary Licence)	1
DE NOON Paul Joseph	ALY371	Licensed Representative (Temporary Licence)	4
ELLIS John Robert Victor	ATS889	Licensed Representative (Temporary Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
FINEBERG Jonathan Erich	AFQ918	Licensed Representative (Temporary Licence)	1, 4
HANSEN Patrick Dwight	AST134	Licensed Representative (Temporary Licence)	4
HELPER Paul Douglas	ARF416	Licensed Representative (Temporary Licence)	1
KATAYAMA Eiichi	AMO855	Licensed Representative (Temporary Licence)	4
LEWIS Janet Barbara	ASL090	Licensed Representative (Temporary Licence)	4
MATSUMOTO Yuji	ATQ910	Licensed Representative (Temporary Licence)	4
NISHIMURA Shuichi	AMR969	Licensed Representative (Temporary Licence)	4
PATTISAHUSIWA Nurdiaz Alvin	ATS149	Licensed Representative (Temporary Licence)	4
RAW Catherine Philippa	ATR488	Licensed Representative (Temporary Licence)	4
SAITO Katsushi	ATW220	Licensed Representative (Temporary Licence)	4
SHEN Ju-ching	ATS930	Licensed Representative (Temporary Licence)	4
SIDIK Muhamad Umar Johan	ATS150	Licensed Representative (Temporary Licence)	4
STOFFELS D'HAUTEFORT Helie, Armand, Emmanuel, Francois	ATW119	Licensed Representative (Temporary Licence)	1, 4
SUGIBAYASHI Sakura	ATR849	Licensed Representative (Temporary Licence)	1
TUBBS Daniel Stuart	ATR183	Licensed Representative (Temporary Licence)	4
WACKETT Andrew Crawford	AMX311	Licensed Representative (Temporary Licence)	4
WIGGINS Clive Richard	ATV718	Licensed Representative (Temporary Licence)	4
WOOD Catherine Duddy	ATT445	Licensed Representative (Temporary Licence)	4
YAMAGUCHI Keiko	ATW221	Licensed Representative (Temporary Licence)	4
YANAGISAWA Masakazu	ASL355	Licensed Representative (Temporary Licence)	1