

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	License Type	Types of Regulated Activity
Clay Finlay (H.K.) Limited	AOP167	Licensed Corporation	4
Horizon21 (Hong Kong) Limited	ALI289	Licensed Corporation	4
Newedge Broker Limited	ABV662	Licensed Corporation	1, 2, 7
Sinochem Kingsway Asset Management Limited	ACU446	Licensed Corporation	6, 9
Temujin Global Asset Management Limited	AQX176	Licensed Corporation	9
Panurgy Limited	AAL261	Licensed Corporation (Deemed Licence)	1, 4, 6, 9
ADERMAN Gary Ephraim	AKX237	Licensed Representative	4, 9
ANDO Joji	AJJ320	Licensed Representative	1, 4, 6
ASABA Keitaro	ARW543	Licensed Representative	1, 2, 7
AU Wing Shan, Ivy	ARI999	Licensed Representative	4
BAJARIA Sejal	ATC149	Licensed Representative	1
BAN Sui Lyn, Lena	AUE036	Licensed Representative	1, 6
BARRETT James Richard	ARH869	Licensed Representative	4, 9
BERTSCH Martin	APC693	Licensed Representative	1, 2
BOLLERMAN Luke Andre	ARI552	Licensed Representative	1
BRET Severine-Laurence Caroline	AUH263	Licensed Representative	2
CASHMAN Dean Irvine	ALI273	Licensed Representative	4, 9
CHAN Cham Pong, Cedric	ANF221	Licensed Representative	1
CHAN Cheong Yung	ABX160	Licensed Representative	2
CHAN Chi Wai	AGJ693	Licensed Representative	4
CHAN Chik Keung	AAF274	Licensed Representative	1, 2
CHAN Ching Yee	ASK504	Licensed Representative	1, 2
CHAN Chun Man, Mable	AFA769	Licensed Representative	1, 4
CHAN Chun Wah	APD545	Licensed Representative	1, 2
CHAN Chung Sze	AOU894	Licensed Representative	1
CHAN Hoi Tik	APD069	Licensed Representative	4
CHAN Ka Lai	AQE823	Licensed Representative	1, 2, 4, 5
CHAN Pui Yee	AAE139	Licensed Representative	1
CHAN Suk Mun, Christine	ANE042	Licensed Representative	4
CHAN Sze Wan, Mandy	APK658	Licensed Representative	1
CHAN Wah Shing, Jacky	AOA417	Licensed Representative	1, 4
CHAN Yu Mei	AGP182	Licensed Representative	4
CHANG CHOW Kam Wen, Margaret	AAF478	Licensed Representative	1
CHANG Tsuo-Hsia	APO871	Licensed Representative	1, 4
CHANG Tung Hau	AED505	Licensed Representative	1
CHAU Pui Por	APS145	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAU Sau Lai, Betty	AAB643	Licensed Representative	1
CHAU Sik Ki	ADB677	Licensed Representative	2
CHEN Chieh	APJ683	Licensed Representative	1, 4
CHEN Hsiang-Ju	AQY429	Licensed Representative	1, 2
CHEN Shih-Che	APB417	Licensed Representative	1, 4
CHEN Xinwei	APJ308	Licensed Representative	1, 4, 6
CHEN Zhongyu	AQX713	Licensed Representative	1
CHENG Siu Chung	AAU297	Licensed Representative	1, 2
CHENG Sui Ho, Horace	APB695	Licensed Representative	4
CHEUNG Angela On Ki	AFT714	Licensed Representative	1, 4, 6
CHEUNG Chui Shan, Savina	ARQ405	Licensed Representative	4
CHEUNG Hoi Tik, Eddie	AGP793	Licensed Representative	1, 2, 7, 8
CHEUNG LUI Mei Sze, Iris	ARI812	Licensed Representative	4
CHEUNG Lap Man	ASH648	Licensed Representative	1, 2
CHEUNG Man	ACB210	Licensed Representative	1
CHEUNG Shu Fun	AGO140	Licensed Representative	1
CHEUNG Shui Kan	AMX117	Licensed Representative	6
CHEUNG Shuk Yee	AGI799	Licensed Representative	4
CHEUNG Sze Ming Heidy	ASF408	Licensed Representative	4
CHEUNG Vincent Sai Sing	AKV098	Licensed Representative	1, 2
CHEUNG Yeuk Sze	APR828	Licensed Representative	4
CHEUNG Yuet Ming	ATB806	Licensed Representative	4
CHEW Ewen	ASS430	Licensed Representative	1, 6
CHIANG See Wan	AOX066	Licensed Representative	1, 4
CHIU Szu-Chi	AQV918	Licensed Representative	1, 6
CHO Eun Ji	AQI272	Licensed Representative	1
CHOI Quinton	AOV905	Licensed Representative	4, 6
CHOW Ching Ming	ALQ453	Licensed Representative	1, 4, 9
CHOW Ching Ning	AGN609	Licensed Representative	4, 9
CHOW Lai Kit	AOU722	Licensed Representative	4, 6
CHOY Hau Man	ANS155	Licensed Representative	3
CHUA Mei Tak, Michelle	ADW214	Licensed Representative	1, 4, 9
CHUN Chungjoo	AOH516	Licensed Representative	4, 6
CHUNG Ho Yee	ASF487	Licensed Representative	4
CHUNG Lam Lap	ASW428	Licensed Representative	1
DABBS IV John Newton	AOJ221	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	License Type	Types of Regulated Activity
DAI Huihui	AOV650	Licensed Representative	1, 2, 7
DASGUPTA Anindya	ARA886	Licensed Representative	1, 2
DU PREEZ Johan	ALF615	Licensed Representative	4, 9
EICHENBERGER Benedikt Rudolf	ASD197	Licensed Representative	1
FANG Cheng	AKP392	Licensed Representative	1, 4
FONG Robert Hing	ADJ410	Licensed Representative	1
FUNG Ching Man	ARE934	Licensed Representative	1, 2
FUNG Yuen Fun	AEX954	Licensed Representative	1, 4
GADI Ankit	AQN829	Licensed Representative	1, 4
GAO Yang	ANB404	Licensed Representative	4
GLEESON James Anthony	AHT088	Licensed Representative	1, 2
GOLDSMITH Christian Guy	ANL061	Licensed Representative	1, 4
GONIN Severine My-Anh	APR859	Licensed Representative	1, 4, 9
GOYAL Ajay	ATN372	Licensed Representative	1, 2
GREEN Robin Adam	ACQ540	Licensed Representative	1, 2, 4, 5
GU Rui	AQJ527	Licensed Representative	4, 9
GU Xiaolei	APW627	Licensed Representative	1, 4
GUINARD Thierry Aymard Paul	AMS072	Licensed Representative	1, 4
GUNTON Michael Andrew	AMN659	Licensed Representative	9
HA Lok Sum	APB670	Licensed Representative	4
HAO Lujiang	ATR620	Licensed Representative	1, 2
HE Jiani Jane	ANU943	Licensed Representative	1, 4, 6
HIRAN Shubhankar	AOC931	Licensed Representative	1
HO Chi Long, Ronnie	AFU693	Licensed Representative	1, 1, 2, 4, 9
HO Hung Hei	ATC135	Licensed Representative	4
HO Jason Hoi Yuen	ARI946	Licensed Representative	1
HO Ka Lam	ASX102	Licensed Representative	4
HO Kwan Kit, Chris	ASH641	Licensed Representative	1, 2
HO Shek Chung	AAJ343	Licensed Representative	1, 2, 5
HO Wing Hang	AHD368	Licensed Representative	4
HONG Jun Ki	APP359	Licensed Representative	1, 4, 6
HONNIBALL Sebastien Daniel Patrick	AOH106	Licensed Representative	1, 4
HORIKAWA Atsushi	APJ349	Licensed Representative	4
HUA Kailing	AOS441	Licensed Representative	4
HUANG Xiaoling	AQR005	Licensed Representative	1
IFILL Gerry Edwin Patrick	ANM952	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	License Type	Types of Regulated Activity
IP Angel Kwok Yen	AOJ327	Licensed Representative	1, 6
ISE Daigo	APK620	Licensed Representative	1, 4, 6
ISHIGURO Tsurugi	APH026	Licensed Representative	1, 4, 6
ISHII Hiroyuki	APC369	Licensed Representative	1, 4, 6
JIANG Lei	AON844	Licensed Representative	1, 2
JONES Lindsay Sarah	ABY618	Licensed Representative	1, 9
KAN Ching-Jen	AOX099	Licensed Representative	4
KANG Hye Seung	AQW768	Licensed Representative	4
KARAKI Yuji	ARK446	Licensed Representative	1, 4, 6
KAUL Gaurav	AOK031	Licensed Representative	1, 2
KAWAHARA Natsuki	ARL271	Licensed Representative	1
KENTER Joshua Kyle	ASN955	Licensed Representative	4, 5, 9
KO Choi Fai	AQJ268	Licensed Representative	4
KOH Kyoungun	ARR076	Licensed Representative	1, 4, 6
KONO Tomokazu	APN558	Licensed Representative	1, 4, 6
KUH Theodore	AQU242	Licensed Representative	1, 6
KUNG Tsz Ying	ASY565	Licensed Representative	4
KWAN Chin Pang	AUG320	Licensed Representative	4
KWOK Clarence Ming Hin	AP0057	Licensed Representative	1
KWOK Wai Keung, Victor	AME651	Licensed Representative	4
LAI Chi Man, Kelvin	AJM778	Licensed Representative	4, 9
LAI Ka Kwan	ARE607	Licensed Representative	1
LAI Man Hung	ART652	Licensed Representative	4, 9
LAI Suen Chan	ASL077	Licensed Representative	1, 2
LAM Chi Hang, Leo	ATC925	Licensed Representative	4
LAM Chi Man	ARW589	Licensed Representative	4
LAM Chi Wai, Dave	ABB057	Licensed Representative	1, 4
LAM Chun Yau	AOS481	Licensed Representative	1
LAM Kin Yan	AQC497	Licensed Representative	4
LAM Pak Mui	ARS052	Licensed Representative	4
LAM Sau Yu	AQE002	Licensed Representative	1, 2
LAM Sir Wai	AAJ910	Licensed Representative	1
LAM Tik Ka	AQA100	Licensed Representative	1, 2, 4
LAM Wing Sze	AFB574	Licensed Representative	1, 4, 6, 7, 9
LAU Chit Yue, Cheryl	AJF372	Licensed Representative	1, 4, 9
LAU Chung Ming	ARO770	Licensed Representative	3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Ka Lee, Alice	AIF202	Licensed Representative	1, 4, 4
LAU Ka Yi, Vera	AQW236	Licensed Representative	1, 4
LAU Kim Man, Ben	ABM996	Licensed Representative	1, 2
LAU Kwok Leung	ARO483	Licensed Representative	1
LAU Michelle Wing See	ANU170	Licensed Representative	1
LAU Wai On	AOB474	Licensed Representative	2
LAU Yuen Pan, Anna	ACH990	Licensed Representative	1, 2
LAW Ka Ying, Catherine	AFT559	Licensed Representative	4
LAW Muk	AQQ327	Licensed Representative	4
LAW Ping Leung	AOU765	Licensed Representative	1, 2
LEE Chie Kang, Francis	AIM240	Licensed Representative	3
LEE Jong Jin	AHT576	Licensed Representative	1, 4
LEE Mei Yi	AGH969	Licensed Representative	4
LEE Shu Paai	APP909	Licensed Representative	6
LEE Tai Hoi	AAC101	Licensed Representative	1, 2, 4
LEE Tiong Seng	AGH974	Licensed Representative	1, 4
LEE Wai Shan	ASS694	Licensed Representative	4
LEE Yin Cheung	AQE812	Licensed Representative	4, 9
LEE Yu-Chou, Albert	AHS993	Licensed Representative	1
LEE Yuk Fan, Fanny	APG505	Licensed Representative	4
LENG San Man	ARE701	Licensed Representative	4
LEUNG Chak Fai	AQY205	Licensed Representative	4
LEUNG Chak Wing	ATY265	Licensed Representative	4
LEUNG Cheuk Pong Edmond	APR962	Licensed Representative	3
LEUNG Chi Ying, Irene	ANT427	Licensed Representative	4, 9
LEUNG Ho Yin, Denis	AOS619	Licensed Representative	1, 2, 3
LEUNG Kam Wa, Janet	ATF009	Licensed Representative	4
LEUNG Kwok Kei, Stephen	AOH582	Licensed Representative	4
LEUNG Kwok Wai	AGD669	Licensed Representative	1
LEUNG Lai Ching	ARP462	Licensed Representative	1, 4
LEUNG Siu Mei, Ellen	AFK179	Licensed Representative	4
LEUNG Wing Wah, Candice	AQW517	Licensed Representative	1
LEUNG Yat Tung	ANR824	Licensed Representative	4
LEUNG Yui Ka, Elsa	ANC055	Licensed Representative	1, 4
LI Lok Hang	APF782	Licensed Representative	1, 4
LI Ning Kei	ASN786	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	License Type	Types of Regulated Activity
LI Sai Yiu, Charles	AFN641	Licensed Representative	1, 4
LI Tze Yen, Janice	AQE083	Licensed Representative	4, 9
LI Wing Kin	AFC529	Licensed Representative	1
LI Ying	ART807	Licensed Representative	6
LI Yingqi	AOS126	Licensed Representative	1, 6
LI Yuan	AGQ059	Licensed Representative	1, 4
LIANG Shui Foon	ADV772	Licensed Representative	4
LIAO Chun-chiang	ARI567	Licensed Representative	1
LIM Yuan Kai Thomas	APK977	Licensed Representative	1, 6
LIN Hsiu-An	AOW916	Licensed Representative	1
LIN Hsiu-Hui	AQQ102	Licensed Representative	1
LIN Jingjun	ANR626	Licensed Representative	1, 2
LIN Stella	ALQ960	Licensed Representative	1, 2
LING Miu Kai	AKP409	Licensed Representative	1, 2, 3, 3
LIU Bei	ANN276	Licensed Representative	1, 4, 6
LIU Bing	AJI248	Licensed Representative	1, 6
LIU Feng	AOP177	Licensed Representative	1, 6
LIU Ka Ming	AOX407	Licensed Representative	1
LIU Kuo-ping	AOY514	Licensed Representative	1
LIU Linan	AQA053	Licensed Representative	1, 4
LIU Siyan	AON937	Licensed Representative	1, 4, 6
LIU Yihan	AOD278	Licensed Representative	1
LO Karen Ka-wai	ACD184	Licensed Representative	1, 4, 9
LOK Sing To	ANG496	Licensed Representative	1, 2, 3
LU Huaping	APP450	Licensed Representative	4
LU Li-Chen	AQC596	Licensed Representative	4, 9
LU Yachong	ARG826	Licensed Representative	1, 6
LUI Chun Man	AFE996	Licensed Representative	1
LUI Siu Fai	ASK495	Licensed Representative	3
LUI Yan Yan, Yvonne	APR726	Licensed Representative	4, 9
LUK Yun Kee	AQC531	Licensed Representative	1
MA Kevin Chun Wai	AMU916	Licensed Representative	1
MA Lili	AMF494	Licensed Representative	1
MA Man Ling	AQE200	Licensed Representative	1
MA Tian Bei	AOS228	Licensed Representative	1, 9
MAN Hoi Lam, Karen	AQQ400	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	License Type	Types of Regulated Activity
MAO Beichen	ATS704	Licensed Representative	1, 6
MAO Jun	ATL681	Licensed Representative	1, 2
NAH Wee Ping	ADU947	Licensed Representative	1, 4
NAHETA Akshay Sudhir	ANM127	Licensed Representative	1, 2
NG Ching Fat, Raymond	AFK001	Licensed Representative	4
NG Ka Lun, Michael	AOT753	Licensed Representative	4
NG Kam Oi, Rebecca	AFP704	Licensed Representative	4
NG Kwok Keung	AFH168	Licensed Representative	1, 3, 4
NG Man Chu	AQO195	Licensed Representative	4
NG Pui San, Betty	AND629	Licensed Representative	1
NG Sau Kuen	AFJ299	Licensed Representative	1, 4, 6, 7, 9
NG Sze Wai, Karen	APR246	Licensed Representative	9
NG Tsui Wah, Phoebe	ANH742	Licensed Representative	4
NG Wai Lun	ASD137	Licensed Representative	4
NG Yuen Ting	ALS549	Licensed Representative	1, 4, 5, 9
NGAI Kwok Wah	ACY898	Licensed Representative	1
NISSATO Hitoshi	ARI894	Licensed Representative	1, 4, 6
NOMURA Kan	AIU759	Licensed Representative	1, 2, 4
O'SULLIVAN Denis Patrick	AJD522	Licensed Representative	1, 2
ONG Ngai Wa	ANY450	Licensed Representative	1, 2
PAK Sze Chai, Stephen	AEL321	Licensed Representative	1, 4
PAN Swee Ting	ARF591	Licensed Representative	4, 9
PANJWANI Narender Anandram	APG506	Licensed Representative	1, 2
PAWAR Mithil Prithvirajsingh	APY713	Licensed Representative	1, 6
PEI Tak Chi	APN591	Licensed Representative	1, 2, 3
PEMBERTON Christian Matthew	AMS716	Licensed Representative	1
PIARDON Mathias Franz Didier	APL758	Licensed Representative	1, 4
PINTUSAN Trachoo	ASL092	Licensed Representative	4
POON Valiant	APJ178	Licensed Representative	4
PUI Sze Wai	ARB499	Licensed Representative	4
QIU Guangyu	AQU538	Licensed Representative	1, 6
RAGUSA Francis Anthony	AFJ912	Licensed Representative	1, 2
SETHI Shaun Nittal	ANM069	Licensed Representative	1
SHAO Wenbo	AIZ254	Licensed Representative	1
SHEN Chao	ARI998	Licensed Representative	4
SHEN Junyu	ARO783	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	License Type	Types of Regulated Activity
SHEN Qing Sheng	ASU228	Licensed Representative	1, 7
SHIGEZAKI Ayako	ASZ506	Licensed Representative	1
SHIH Po-Cheng	ARK095	Licensed Representative	1, 4
SINGH Rajesh Kumar	AFY400	Licensed Representative	4, 9
SO Ngai Nam, Ginny	ANE757	Licensed Representative	1
SUBRAMANIAN Viswanathan R	APK593	Licensed Representative	1, 2
SUEN Siu Nam	ARV354	Licensed Representative	4
SUH Boum Sik	API223	Licensed Representative	1, 6
SUN Mung King	AGO775	Licensed Representative	4
SUN Wing Sze, Venus	ABM281	Licensed Representative	1
SUPPIN Arnaud, Marcel, Pierre	ARN295	Licensed Representative	1
SUSANTO Modestus Reggy Melhen	AQZ709	Licensed Representative	4
TAM Hoi Wai	ASX253	Licensed Representative	1
TAM Lai Chu	AKI597	Licensed Representative	4
TAM Lup Kei	ARI645	Licensed Representative	9
TAN Keng Beng	APH865	Licensed Representative	1, 2, 9
TANAKA Eiji	AQY208	Licensed Representative	1, 4, 6
TANG Ching Yee	AFK930	Licensed Representative	4
TANG Fu Ho	AQR446	Licensed Representative	4
TANG Haibo	ALQ981	Licensed Representative	1
TANG Hing On, Ronald	ADU455	Licensed Representative	1
TANG Keung Wah, Ken	APR018	Licensed Representative	1
TANG Lui, Alice	ANS073	Licensed Representative	4
TAWASIN Jameel	AID224	Licensed Representative	1, 4, 9
TEGEDER Roland Walter Norbert	AGP530	Licensed Representative	1, 2
TEO Kai Sin	AMB060	Licensed Representative	4
TSAI Chiu Hsun	AQS647	Licensed Representative	1, 4
TSAI Jack Du	AHR672	Licensed Representative	1
TSANG Chun Ming	ADO990	Licensed Representative	1, 1, 4
TSANG Ka Yin	ATC139	Licensed Representative	1
TSANG Wing Shan, Peggy	ATC136	Licensed Representative	4
TSANG Yuk Yee	ARK105	Licensed Representative	1, 6
TSE Ching Man	AFV853	Licensed Representative	1, 4, 6, 9
TSE Kin Sang, Eric	ALN813	Licensed Representative	1
TSENG Pei Wen, Karen	ALU916	Licensed Representative	1, 2, 4, 5, 7
TSOI Sau Fong	ARH382	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSUI Wing Kit	ACA544	Licensed Representative	2
TUNG Kin Pan, Bryan	AMA080	Licensed Representative	4
URAGAMI Masako	APH027	Licensed Representative	1, 2
WADHWANI Aektha Indraprakash	ALQ955	Licensed Representative	1, 2, 7
WAN Kai Leung	ARI643	Licensed Representative	4
WANG Nian	ARR075	Licensed Representative	4
WANG Yao Ting	ARK549	Licensed Representative	1
WANG Zhihui	AOA895	Licensed Representative	1, 4
WEI Stephen J	AGW283	Licensed Representative	1
WHEATLEY-HUBBARD Andrew David	ARR841	Licensed Representative	1, 4, 9
WONG Cheuk Wai	ASG163	Licensed Representative	4
WONG Chi Ho, Bernie	ANW240	Licensed Representative	4
WONG Chun Kau, Tommy	AAK416	Licensed Representative	2, 5
WONG Chun Man	ARG194	Licensed Representative	1
WONG Ellen	AQC204	Licensed Representative	1, 2, 4, 5
WONG Fung Lung	AMN581	Licensed Representative	1, 2
WONG Lai Fun, Betty	AEJ316	Licensed Representative	1, 2
WONG Lai Ying	ARS785	Licensed Representative	1, 4
WONG Lin Wah	AAO972	Licensed Representative	1
WONG Man Kit	ASW461	Licensed Representative	1, 4
WONG Man Lee, Jane	AMS329	Licensed Representative	1, 2
WONG Wing Cheong	ADT033	Licensed Representative	1, 2, 3
WONG Wing Sang	AOE629	Licensed Representative	1
WONG Wing Yin Daryl Martin	APO036	Licensed Representative	1, 4
WONG Yiu Chi, Kenneth	AET383	Licensed Representative	1, 4
WU Juan	APY808	Licensed Representative	4
XIAO Weichuan	ALQ742	Licensed Representative	6
XU Jin	AOY611	Licensed Representative	4
XU Li	ASI436	Licensed Representative	4
XU Yu	ARG366	Licensed Representative	4
YANG Jing	ARH995	Licensed Representative	1, 4, 6
YEPES Granados, Ricardo	ARN023	Licensed Representative	3
YEUNG Chi Sing	ARI677	Licensed Representative	1, 2
YEUNG Ka Ho	APO628	Licensed Representative	1, 4
YEUNG Kai Ho	ARN313	Licensed Representative	4, 9
YEUNG Kwok Fai	ARP884	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
YEUNG Man Wai, Janus	AIL672	Licensed Representative	1, 4, 4
YEUNG Sau Lai	AMF805	Licensed Representative	1
YEUNG Yan Hang, Samuel	ARO924	Licensed Representative	4
YIP Shui Key	ACS410	Licensed Representative	1, 2, 4, 5
YIP Siu Fan	ACJ210	Licensed Representative	2
YOON Young Chan	APL768	Licensed Representative	1, 4
YOSHIDA Takahide	AMF760	Licensed Representative	1, 4, 6
YU Jennifer Ngar-Wing	ALY187	Licensed Representative	1, 2
YU King Hung	AEZ526	Licensed Representative	1, 7
YU Minjie	APH121	Licensed Representative	1, 6
YUM Edward Liang Hsien	AOX033	Licensed Representative	4
YUNG Yat Ming	AOH581	Licensed Representative	1, 2, 4, 9
ZHAI Pu	ALC178	Licensed Representative	1, 6
ZHANG Feng	AGP569	Licensed Representative	1
ZHANG Tingting	ANK348	Licensed Representative	1, 6
ZHU Jingshi	ANU257	Licensed Representative	1, 6
CHAN Chik Keung	AAF274	Licensed Representative - Responsible Officer	1, 3, 4, 9
CHOW Mun Chung, Terence	AHY906	Licensed Representative - Responsible Officer	1, 4, 6
HILL Stephen Christopher	ACG751	Licensed Representative - Responsible Officer	1, 4
HO Cheuk Yin	AFR193	Licensed Representative - Responsible Officer	6
HOO Chuin Hoong	ALW172	Licensed Representative - Responsible Officer	9
HUANG Wei	AST014	Licensed Representative - Responsible Officer	1
KAI Yu-sheng	ALS659	Licensed Representative - Responsible Officer	4, 6, 9
KUANG Bo	AOY326	Licensed Representative - Responsible Officer	2
LAI Chi Kwong	ASN335	Licensed Representative - Responsible Officer	9
LAI Yui Ben	AJI707	Licensed Representative - Responsible Officer	4, 9
LAPPING Jason Douglas St	ALK886	Licensed Representative - Responsible Officer	1
LEE Carol Jungeun	AGL373	Licensed Representative - Responsible Officer	1, 4
LEE Dong Jun	AOS434	Licensed Representative - Responsible Officer	1, 4, 9
LIM Hui Neo, Marie Nicolette	ANW492	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
LIN Bing	AEH581	Licensed Representative - Responsible Officer	1
LO Hsiao-Lin	AOY595	Licensed Representative - Responsible Officer	1, 4
ROGERS Nicholas David	ABP979	Licensed Representative - Responsible Officer	4, 9
TANG Yin Man	ALF673	Licensed Representative - Responsible Officer	9
TRAPP George Bentley	ARE976	Licensed Representative - Responsible Officer	1
YAMADA Hiroshi	AFW685	Licensed Representative - Responsible Officer	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAN Chuen Hung	AAA578	Licensed Representative - Responsible Officer	1
YOUNG Derek Connell	AIR794	Licensed Representative - Responsible Officer	1, 4
ZHU Jian Huan	AMQ520	Licensed Representative - Responsible Officer	4, 9
RICHARDSON Mary	AAP563	Licensed Representative (Deemed Licence)	9
ADOLF .	AUE375	Licensed Representative (Provisional Licence)	1, 2
AHN Joong Min	AUJ487	Licensed Representative (Provisional Licence)	1
AICARDI Jean-francois	AUJ793	Licensed Representative (Provisional Licence)	1, 2, 4
ALBANESE II Louis Francais	AUC929	Licensed Representative (Provisional Licence)	1, 4, 6
ARGYRIDES Mario	AUI171	Licensed Representative (Provisional Licence)	1
ASHTON Scott Randall	ACC199	Licensed Representative (Provisional Licence)	1
AU Ki Lun	AUI280	Licensed Representative (Provisional Licence)	1
BADLANI Reena Jawahar	AUJ915	Licensed Representative (Provisional Licence)	1, 2, 4, 9
BAJPAI Jayanti Shankar	AEV502	Licensed Representative (Provisional Licence)	1, 4, 6
BAKER Edward James	AUI994	Licensed Representative (Provisional Licence)	1, 2
BAMBER Richard Mark Anthony	AGM551	Licensed Representative (Provisional Licence)	1
BAN Sui Lyn, Lena	AUE036	Licensed Representative (Provisional Licence)	1, 6
BANGHART III James Munger	AUE953	Licensed Representative (Provisional Licence)	1, 4
BASQUIN Frederic Pierre Rene	AUK292	Licensed Representative (Provisional Licence)	1, 2
BEDAWI Nagi	AUJ252	Licensed Representative (Provisional Licence)	1, 4
BERMEJO Philippe	AUH272	Licensed Representative (Provisional Licence)	2
BESSON Florent	AUI988	Licensed Representative (Provisional Licence)	1, 7
BHATTACHARYA Gopal	AUJ488	Licensed Representative (Provisional Licence)	1
BI Ye	AUH561	Licensed Representative (Provisional Licence)	9
BRET Severine-Laurence Caroline	AUH263	Licensed Representative (Provisional Licence)	2
BUDIMAN Verdi	ATD664	Licensed Representative (Provisional Licence)	1
BYWORTH Richard Anthony	AUF080	Licensed Representative (Provisional Licence)	1, 2
CAMPBELL Alexander Roderick	AUG899	Licensed Representative (Provisional Licence)	4, 9
CARBONNEL Julien Pierre	AUJ493	Licensed Representative (Provisional Licence)	1
CARIASO Reginaldo Balibay	AGO290	Licensed Representative (Provisional Licence)	1, 4, 6
CAZAUBIEL Paul Charles Roger	AUK294	Licensed Representative (Provisional Licence)	1
CHA Gyeongmin	AUG884	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Chun Kong	AUL595	Licensed Representative (Provisional Licence)	1
CHAN Chun Tat, Alfred	AUC171	Licensed Representative (Provisional Licence)	4
CHAN Dixon	AUL684	Licensed Representative (Provisional Licence)	1, 2
CHAN Hiu Yi	AUG801	Licensed Representative (Provisional Licence)	1
CHAN Hoi Kong, Brian	AUJ313	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Hon Chou, Stanley	AUJ778	Licensed Representative (Provisional Licence)	1
CHAN Kai Lin	AUD562	Licensed Representative (Provisional Licence)	1, 4
CHAN Kam Chuen	ATC817	Licensed Representative (Provisional Licence)	1, 2
CHAN Man Fung	AUE707	Licensed Representative (Provisional Licence)	1, 4
CHAN Pun Kong	AUJ424	Licensed Representative (Provisional Licence)	1
CHAN Siu Ying	AUJ215	Licensed Representative (Provisional Licence)	1
CHAN Tsz Shing Spenser	AUK266	Licensed Representative (Provisional Licence)	1
CHAN Vince Wing-chee	AUE373	Licensed Representative (Provisional Licence)	4
CHAN Yan	AUG812	Licensed Representative (Provisional Licence)	1
CHAN Ying Wai	AUC919	Licensed Representative (Provisional Licence)	1, 4, 6, 9
CHAN Yue Hang, Emerson	AUK171	Licensed Representative (Provisional Licence)	9
CHAN Yuen Biu	AUG873	Licensed Representative (Provisional Licence)	1
CHANG Hsun-Chih	AUG806	Licensed Representative (Provisional Licence)	4
CHAU Chi Tong	AUI928	Licensed Representative (Provisional Licence)	1
CHAU Po Yuen	AUL685	Licensed Representative (Provisional Licence)	1, 2
CHAU Sai Cheong	AUH275	Licensed Representative (Provisional Licence)	1
CHEN Chi Ho	AUI937	Licensed Representative (Provisional Licence)	1, 2
CHEN Hsin-shu Branden	AUK172	Licensed Representative (Provisional Licence)	4
CHEN Taotao	AUK286	Licensed Representative (Provisional Licence)	1, 2
CHEN Tse Wei, Geoffrey	AHR045	Licensed Representative (Provisional Licence)	4
CHEN Yongren	ATJ227	Licensed Representative (Provisional Licence)	6
CHENG Ka Ho	AUJ853	Licensed Representative (Provisional Licence)	1
CHENG Shu Yuen	AIS494	Licensed Representative (Provisional Licence)	9
CHENG Stanley Hsing-i	AUE093	Licensed Representative (Provisional Licence)	1, 7
CHEUNG King Fai	AUH631	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Ngai	AUI051	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Sze Ting, Jessie	AFN580	Licensed Representative (Provisional Licence)	1, 7
CHEUNG Tsz Wei, Michele	AQI408	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Victor Chor Keung	AUH716	Licensed Representative (Provisional Licence)	6
CHEUNG Wang Fat	AUI883	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Ying Lok	AUJ314	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Yuk Lam	AUE967	Licensed Representative (Provisional Licence)	1
CHEUNG Yung Yung, Annie	AEW947	Licensed Representative (Provisional Licence)	1
CHIANG Hui-Chen	AUH933	Licensed Representative (Provisional Licence)	1
CHIU Jonathan Chun Yip	AUK261	Licensed Representative (Provisional Licence)	1, 2
CHIU Man Ho	AUI275	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	License Type	Types of Regulated Activity
CHOI Hon Wai, Allen	AUH944	Licensed Representative (Provisional Licence)	1, 2
CHOI Tan Yee	AUM132	Licensed Representative (Provisional Licence)	1, 4, 6
CHOUILLET Laurent	AUI381	Licensed Representative (Provisional Licence)	1, 2
CHOW Hin Lun, Kevin	AUD696	Licensed Representative (Provisional Licence)	1
CHUANG Shu-Chuan	AUE868	Licensed Representative (Provisional Licence)	1
CHUI Chi Yip	AUD694	Licensed Representative (Provisional Licence)	1
CHUNG Chak Man	APH261	Licensed Representative (Provisional Licence)	1, 2
CHUNG Man Wai, David	AOU630	Licensed Representative (Provisional Licence)	4
CHUNILAL Damian Ratilal	AJH327	Licensed Representative (Provisional Licence)	9
CLARK Kelly Kent	ARK432	Licensed Representative (Provisional Licence)	1
COHEN Fabrice, Albert	AUI722	Licensed Representative (Provisional Licence)	1, 2, 7
DADABHOY Imran Sooleman	AJH414	Licensed Representative (Provisional Licence)	1, 2
DAVIDS Mark Robert John	AUE966	Licensed Representative (Provisional Licence)	4, 5, 9
DAVIES Kyle Livingston	AUD999	Licensed Representative (Provisional Licence)	1, 2, 7
DE SUPERVIELLE-RAGEAU Francois	ANN402	Licensed Representative (Provisional Licence)	1
DE VliegHER Pierre-edouard M.R.G.A.	AUH914	Licensed Representative (Provisional Licence)	2
DESAI Dhaval Pravinkumar	AUG328	Licensed Representative (Provisional Licence)	1, 2
DIALDAS Anand	ALD236	Licensed Representative (Provisional Licence)	1, 2, 4, 9
DONG Zimeng	AUI206	Licensed Representative (Provisional Licence)	9
DOU Ruirui	AUH943	Licensed Representative (Provisional Licence)	1
DOWLAND Richard Adetokunbo	AUH913	Licensed Representative (Provisional Licence)	2
DOWNES Dianne Michelle	ATY162	Licensed Representative (Provisional Licence)	4
FAN Kin Ngai	AUI158	Licensed Representative (Provisional Licence)	1
FAN Lin	AUD641	Licensed Representative (Provisional Licence)	1
FANG Hong	ALY689	Licensed Representative (Provisional Licence)	1, 4
FANG Liang	ASG438	Licensed Representative (Provisional Licence)	6
FARRELL Douglas John	AUD727	Licensed Representative (Provisional Licence)	1, 4, 6
FEI Xiang	AOV610	Licensed Representative (Provisional Licence)	1
FONG Chun Kit, Alexander	AUI793	Licensed Representative (Provisional Licence)	1
GALEA Ronald Francis	AUG567	Licensed Representative (Provisional Licence)	1, 2
GARNER Jonathan Frederick	AUE987	Licensed Representative (Provisional Licence)	1
GARREAU Vincent Olivier	AUI992	Licensed Representative (Provisional Licence)	1, 2, 7
GAUTIER DE CHARNACE Arthur Bernard Jean Marie	AUJ425	Licensed Representative (Provisional Licence)	1
GHOSH Anandomoy	ALL099	Licensed Representative (Provisional Licence)	1, 2
GHOSH Soujit	AOJ195	Licensed Representative (Provisional Licence)	1, 2
GITTUS Richard Alan	AAK404	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
GLOVER Jethro Alexander John	AED015	Licensed Representative (Provisional Licence)	1, 2
GOO Hanna	AUH579	Licensed Representative (Provisional Licence)	4
GU Dechun	AQQ831	Licensed Representative (Provisional Licence)	6
GUO Jung Rong	AHN977	Licensed Representative (Provisional Licence)	1, 4
GUO Shiling	AUE007	Licensed Representative (Provisional Licence)	4
GVOZDEN Alexander Robin	ADR449	Licensed Representative (Provisional Licence)	4
HAN Sangkyoon	ARJ172	Licensed Representative (Provisional Licence)	4, 9
HART Matthew Edward	AUI290	Licensed Representative (Provisional Licence)	1
HATTANGDI Geetika	AUJ927	Licensed Representative (Provisional Licence)	1, 2, 4
HE Jia	AOJ239	Licensed Representative (Provisional Licence)	4
HEER Jasdeep Singh	AUI675	Licensed Representative (Provisional Licence)	1, 2
HO Chung-Yeng	AUH936	Licensed Representative (Provisional Licence)	1, 2
HO Kam Hung	AUJ460	Licensed Representative (Provisional Licence)	1
HO Kei Him, Calvin	ATF046	Licensed Representative (Provisional Licence)	1, 4
HO Lai Ming	AUF129	Licensed Representative (Provisional Licence)	1, 4
HO Tin Chu	AUJ705	Licensed Representative (Provisional Licence)	1
HO Wai Wan, Vivien	AUI394	Licensed Representative (Provisional Licence)	4
HOE Beng Hian Patrick	AUA757	Licensed Representative (Provisional Licence)	2
HONGSUWAN Natravee	AUI205	Licensed Representative (Provisional Licence)	4
HORSLEY-HEATHER Nicholas John	ASZ379	Licensed Representative (Provisional Licence)	1, 2
HSU She-Hung Danny	AUC935	Licensed Representative (Provisional Licence)	1, 4
HSU Wen-Tang	ANP629	Licensed Representative (Provisional Licence)	1
HU Lingling	AUI056	Licensed Representative (Provisional Licence)	1, 4
HUANG I-Chiang	ATY943	Licensed Representative (Provisional Licence)	1
HUANG Pei-Hsuan	AUH256	Licensed Representative (Provisional Licence)	1, 4
HUANG Yan	AUI679	Licensed Representative (Provisional Licence)	1
HUEN Tak Pan, Philip	AUH257	Licensed Representative (Provisional Licence)	1, 4
HUNG CHOI Grecia Wing Yee	ARU588	Licensed Representative (Provisional Licence)	1, 2, 3
HUNG Chun	ARO135	Licensed Representative (Provisional Licence)	1, 6
HUNG Kap Keung	AUG766	Licensed Representative (Provisional Licence)	1
IANKOVA Nedialka Dimova	AUI026	Licensed Representative (Provisional Licence)	1, 4, 6
IN Weiyee	AUD689	Licensed Representative (Provisional Licence)	4
IP Kar King, Kenneth	ACP180	Licensed Representative (Provisional Licence)	1, 2
IP Kong Fung	AUI998	Licensed Representative (Provisional Licence)	1
ISHIBASHI Katsumi	AUG572	Licensed Representative (Provisional Licence)	4, 9
ISHIDA Sosuke	AUH911	Licensed Representative (Provisional Licence)	2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
JAMESON Robert Welch	AUF139	Licensed Representative (Provisional Licence)	4
JAYARAMAN Sreenivas	AUG804	Licensed Representative (Provisional Licence)	1
JEON Ryan Taylor	AUE101	Licensed Representative (Provisional Licence)	1, 4
JIANG Zhicheng	AUG887	Licensed Representative (Provisional Licence)	1, 4
JOORIS Dominique M.	AUI976	Licensed Representative (Provisional Licence)	1
JUDD Cameron Paul	AUG332	Licensed Representative (Provisional Licence)	1
JUEN Kam Wing	AUI996	Licensed Representative (Provisional Licence)	1
KAMEYAMA Naoto	AUH907	Licensed Representative (Provisional Licence)	2
KANG Kyung Mo	AUG575	Licensed Representative (Provisional Licence)	4
KAO Hiu Hing Stanley	ATM450	Licensed Representative (Provisional Licence)	1
KATO Takashi	AUD160	Licensed Representative (Provisional Licence)	1, 4
KIM Seung Wook	ATT490	Licensed Representative (Provisional Licence)	4
KLOISER-JONES Jeremy Stuart	AUG623	Licensed Representative (Provisional Licence)	1
KO Ka Fai, Philip	AUH553	Licensed Representative (Provisional Licence)	1
KOJIMA Kyoko	AUG573	Licensed Representative (Provisional Licence)	4, 9
KOLOTIY Dmitry	AUE810	Licensed Representative (Provisional Licence)	1, 2
KONG Tak Kwan	AUI049	Licensed Representative (Provisional Licence)	1
KU Wai	AUI279	Licensed Representative (Provisional Licence)	1, 2
KUI Yingchun	AOU648	Licensed Representative (Provisional Licence)	1, 4, 6
KWAN Oi Yee	AUK260	Licensed Representative (Provisional Licence)	1, 2
KWOK Tsz Ching	AUH399	Licensed Representative (Provisional Licence)	1
KWOK Tsz Chung	AUK433	Licensed Representative (Provisional Licence)	4
KWOK Wing Hei	AUJ773	Licensed Representative (Provisional Licence)	1
LAI Fai Yeung	AUH727	Licensed Representative (Provisional Licence)	1
LAI Lai Shuen	AMF491	Licensed Representative (Provisional Licence)	1, 2
LAM Hung Kai	ACZ478	Licensed Representative (Provisional Licence)	1, 2
LAM Pui Fan	AUK448	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Suen Kit	AIL177	Licensed Representative (Provisional Licence)	1
LAM Ting Wai, Anita	AOE462	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Tsz Man	AUG805	Licensed Representative (Provisional Licence)	9
LAU Chung Kit	AUE965	Licensed Representative (Provisional Licence)	1, 2
LAU Hin Cheung	AUK242	Licensed Representative (Provisional Licence)	4
LAU Ka On, David	AOE652	Licensed Representative (Provisional Licence)	4
LAU Siu Cheong	AUI274	Licensed Representative (Provisional Licence)	1, 2
LAU Tat Fai	AUD253	Licensed Representative (Provisional Licence)	1, 2
LAU Tse Chiang, Carlson	AUI150	Licensed Representative (Provisional Licence)	1, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Tsz Chun	AUL596	Licensed Representative (Provisional Licence)	1
LAUNAY Thomas	AUG654	Licensed Representative (Provisional Licence)	1, 2, 7
LAW Chi Yuen Edmond	AUL788	Licensed Representative (Provisional Licence)	1, 3
LAW Robert Balbir	AUI078	Licensed Representative (Provisional Licence)	4
LEE Jang Wook	ATD267	Licensed Representative (Provisional Licence)	1
LEE Kar Woon, Karen	AQI268	Licensed Representative (Provisional Licence)	1, 2
LEE Ngan Anh	AEL574	Licensed Representative (Provisional Licence)	1, 4
LEE Su Jin	ARD670	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEE Yee Nam	AUC313	Licensed Representative (Provisional Licence)	4, 9
LEE Yin Ping	AUI783	Licensed Representative (Provisional Licence)	1
LEE Yiu Wai	AUE952	Licensed Representative (Provisional Licence)	1
LEFEVRE John James Reginald	ALG130	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Hing Man	AUH927	Licensed Representative (Provisional Licence)	1
LEUNG Ho Ming	AJH302	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LEUNG Kok Sze	AUJ774	Licensed Representative (Provisional Licence)	1
LEUNG Man, Johnson	AUI268	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Mo Ki, Connie	AEU416	Licensed Representative (Provisional Licence)	1
LI Anthony Sze-Long	AUK438	Licensed Representative (Provisional Licence)	1, 4, 6
LI Ken Ning	AUE960	Licensed Representative (Provisional Licence)	1
LI Lei	AUG807	Licensed Representative (Provisional Licence)	4
LI Na	AUI489	Licensed Representative (Provisional Licence)	1, 2
LI Siu Ying, Freda	AKV283	Licensed Representative (Provisional Licence)	1
LI Xihua	AUI662	Licensed Representative (Provisional Licence)	1
LI Yim Ling, Alice	AUE414	Licensed Representative (Provisional Licence)	4, 5, 9
LI Yuk Wa	AUJ264	Licensed Representative (Provisional Licence)	1
LIN Huei-Jen	AUI488	Licensed Representative (Provisional Licence)	1
LIN Ming-Jung	AUG886	Licensed Representative (Provisional Licence)	1
LIU Jason Chee Lai	AUI480	Licensed Representative (Provisional Licence)	1
LIU Jing	AUK370	Licensed Representative (Provisional Licence)	1, 4
LIU Rui	ATJ183	Licensed Representative (Provisional Licence)	1
LIU Wenyun	AUI940	Licensed Representative (Provisional Licence)	1
LOU Chung-te	ANT228	Licensed Representative (Provisional Licence)	9
LUI Tsz Fong	AUK119	Licensed Representative (Provisional Licence)	1, 2
LUK Chi Fung Dennis	AUK264	Licensed Representative (Provisional Licence)	1
LUK Chun Pong Andrew	AUL608	Licensed Representative (Provisional Licence)	1, 2
LUK Tsun Kiu, Julian	AUG331	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUK Wing	ATL500	Licensed Representative (Provisional Licence)	4
LUNDIA Yogesh	APZ525	Licensed Representative (Provisional Licence)	1, 6
LUO Peng	AUJ707	Licensed Representative (Provisional Licence)	1
LUPOLI Christopher	AUD573	Licensed Representative (Provisional Licence)	4
LURIE Mattan Hillel	ANB366	Licensed Representative (Provisional Licence)	1
MADDOCK Julian Paul	AUH900	Licensed Representative (Provisional Licence)	2
MAI Siwei	AUJ127	Licensed Representative (Provisional Licence)	2
MAK Po Man	AGL178	Licensed Representative (Provisional Licence)	1, 2
MAK Wai Yee	AUI938	Licensed Representative (Provisional Licence)	1, 2
MARSDEN Matthew Owen	AMA934	Licensed Representative (Provisional Licence)	4
MO Jiao	AUK245	Licensed Representative (Provisional Licence)	4, 9
MOK Joseph	AUG919	Licensed Representative (Provisional Licence)	1, 4, 6
MOK Long Sang	AUL590	Licensed Representative (Provisional Licence)	1, 2
MONCUIT Bertrand Hubert	AUH273	Licensed Representative (Provisional Licence)	2
MONTEUX Oriane Gabrielle Anne	AUI993	Licensed Representative (Provisional Licence)	1, 2, 7
MOSHKOV Maxim	AUE711	Licensed Representative (Provisional Licence)	4
MUN Jongchul	AUG126	Licensed Representative (Provisional Licence)	1
NAIR Manjith Raghavan	AUE012	Licensed Representative (Provisional Licence)	4
NEARY James Joseph	AQE987	Licensed Representative (Provisional Licence)	1
NG Fong Chun	AFG500	Licensed Representative (Provisional Licence)	1, 4, 9
NG Kin Sang	ARB853	Licensed Representative (Provisional Licence)	1
NG Ngan Sum	AUH924	Licensed Representative (Provisional Licence)	1
NG Pui Wah	AUI678	Licensed Representative (Provisional Licence)	1
NG Siu Ngong, Anson	AHA002	Licensed Representative (Provisional Licence)	4, 9
NG Tze Hong	AUI453	Licensed Representative (Provisional Licence)	4
NG Yao Loong	AUJ779	Licensed Representative (Provisional Licence)	1, 6
NGAI Yue Yan, Ian	AUC912	Licensed Representative (Provisional Licence)	1, 4, 6
NING Ning	AUK246	Licensed Representative (Provisional Licence)	4, 9
NING Tak Bong	AUI979	Licensed Representative (Provisional Licence)	1, 2
O'DONNELL Loretta Maura	AUA695	Licensed Representative (Provisional Licence)	4
O'SULLIVAN Gerard William	AUI817	Licensed Representative (Provisional Licence)	1
OH Kuan Yu	AUI149	Licensed Representative (Provisional Licence)	4
OH Minsok	AUI148	Licensed Representative (Provisional Licence)	4
ONO Jumpei	AUC930	Licensed Representative (Provisional Licence)	1, 4
PALMETTO Erik Thomas	AND634	Licensed Representative (Provisional Licence)	1, 4
PANAECH Aman Jeet Singh	AUE107	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
POOL Jonathan Michael	AMU497	Licensed Representative (Provisional Licence)	4
POON Shun Shing	AMP561	Licensed Representative (Provisional Licence)	1
POON Wai Yip	AUI080	Licensed Representative (Provisional Licence)	1, 2
PURDIE Ross Kenyon	ABS450	Licensed Representative (Provisional Licence)	1
QIAO Fei	ATJ228	Licensed Representative (Provisional Licence)	6
QIU Jialiang	AUJ975	Licensed Representative (Provisional Licence)	1, 2
QIU Shirley	ARN136	Licensed Representative (Provisional Licence)	1, 6
RADZKI Michael	AUE954	Licensed Representative (Provisional Licence)	1, 4
ROMA Olivier Norbert Gaston	AUJ134	Licensed Representative (Provisional Licence)	1, 2
SAJNANI Roshan	AUH912	Licensed Representative (Provisional Licence)	1
SCHRAGE Rebecca Mak Karen	AUE422	Licensed Representative (Provisional Licence)	1, 4
SCHWARZ Rami Lempert	AUE955	Licensed Representative (Provisional Licence)	1, 4
SEGAL James Marc Lee	ANM994	Licensed Representative (Provisional Licence)	1, 2
SEMIONATO BERNARDES Eduardo Henrique	AUL622	Licensed Representative (Provisional Licence)	1
SENGUPTA Ashis Kumar	AUI272	Licensed Representative (Provisional Licence)	1, 4
SEVE Mickael Arnaud	AUJ499	Licensed Representative (Provisional Licence)	1
SHEKHAWAT Shilesh	AUE958	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SHEN Xiaoyi	AUJ706	Licensed Representative (Provisional Licence)	1
SHEPPARD Neil Murray	AUC311	Licensed Representative (Provisional Licence)	4
SHI Ruichao	ATH910	Licensed Representative (Provisional Licence)	1, 2
SHINODA Yumi	AUC938	Licensed Representative (Provisional Licence)	1, 2
SHUEN Wesley	AUL626	Licensed Representative (Provisional Licence)	1, 2
SIDDIQ Hassan	AUI681	Licensed Representative (Provisional Licence)	1
SINEGUBKO Boris	AUE781	Licensed Representative (Provisional Licence)	1, 2
SINGH Deepak Kumar	AUJ527	Licensed Representative (Provisional Licence)	9
SINHA Raul	AUI077	Licensed Representative (Provisional Licence)	4
SIU Chun	AUK154	Licensed Representative (Provisional Licence)	1
SO Kwan	AUJ767	Licensed Representative (Provisional Licence)	1, 2
SO Wai Sang	AAC858	Licensed Representative (Provisional Licence)	1, 2
SOH David	AEI247	Licensed Representative (Provisional Licence)	1
SOOD Nishant	AUG268	Licensed Representative (Provisional Licence)	1, 4
SRIPUNPORN Roger Ardisorn	AIB741	Licensed Representative (Provisional Licence)	1
STOKELD Craig Charles	AUI378	Licensed Representative (Provisional Licence)	1
SUGIYAMA Hirokazu	AUK111	Licensed Representative (Provisional Licence)	2
SUN Miaoling	ATM483	Licensed Representative (Provisional Licence)	1
SUNG Yuk Yin	APF733	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SZE Pan Pan	AUI039	Licensed Representative (Provisional Licence)	4
SZETO Yat Kong	ANM052	Licensed Representative (Provisional Licence)	4, 9
TAI Kim Chung	AUI053	Licensed Representative (Provisional Licence)	1
TAKEUCHI Katsuya	AUE023	Licensed Representative (Provisional Licence)	4
TAM Shing Tak	AUI999	Licensed Representative (Provisional Licence)	1, 2
TAN Kim Chong	ANT749	Licensed Representative (Provisional Licence)	4
TAN Sin Shiong	AUG880	Licensed Representative (Provisional Licence)	1, 4
TANAKA Shunsuke	AUE033	Licensed Representative (Provisional Licence)	1, 2
TANG Chi Tat	AIB791	Licensed Representative (Provisional Licence)	4, 9
TANG Sai Keung	AUI997	Licensed Representative (Provisional Licence)	1, 2
TANG Wai Choi	AUD732	Licensed Representative (Provisional Licence)	1, 2
THAM Ngiap Lyn Carolyn	AUD962	Licensed Representative (Provisional Licence)	4
THOMAS Hugh Ian	AUE092	Licensed Representative (Provisional Licence)	1, 6
TING Michael	AUK120	Licensed Representative (Provisional Licence)	1, 2
TLILI Mourad	AUI990	Licensed Representative (Provisional Licence)	1, 2
TOLEDANO Baruk	AUJ510	Licensed Representative (Provisional Licence)	1
TSE Hiu Yu	AUG364	Licensed Representative (Provisional Licence)	1, 4, 6
TSO Hoi Yun, Bettina	AUF148	Licensed Representative (Provisional Licence)	1
TSO Kit Yee	AUC618	Licensed Representative (Provisional Licence)	4, 5, 9
TSUI Luen On	AIB800	Licensed Representative (Provisional Licence)	1
UCHIDA Kikuko	AUG749	Licensed Representative (Provisional Licence)	1
VASANIA Homiyar Dara	AER013	Licensed Representative (Provisional Licence)	1
VERGOTIS Andreas	AUI269	Licensed Representative (Provisional Licence)	1, 4, 9
VIRGONA Thomas Benjamin	AUH268	Licensed Representative (Provisional Licence)	2
VU John Bao	AUJ250	Licensed Representative (Provisional Licence)	1, 2
WANG Mingjun	AUI083	Licensed Representative (Provisional Licence)	1, 2
WANG Xiaoshen	AUI082	Licensed Representative (Provisional Licence)	1, 2
WANG Yi Li, Ally	AUC869	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Ching Ho	ATI527	Licensed Representative (Provisional Licence)	1, 2
WONG Ka Yau	AHL492	Licensed Representative (Provisional Licence)	4
WONG Kin Shing	AUK122	Licensed Representative (Provisional Licence)	1
WONG Kwok San	AUI885	Licensed Representative (Provisional Licence)	1, 2
WONG Po Ching	AUD880	Licensed Representative (Provisional Licence)	1, 2
WONG Sze Ki	AFP508	Licensed Representative (Provisional Licence)	1, 2
WU Chunlei	AUE411	Licensed Representative (Provisional Licence)	1
WU Tsz Kui	AUI946	Licensed Representative (Provisional Licence)	1, 3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
XING Ziqiang	ART864	Licensed Representative (Provisional Licence)	1
YAM Andrew Tze Jim	AUH327	Licensed Representative (Provisional Licence)	1, 6
YANG Han Hsiang	AUG879	Licensed Representative (Provisional Licence)	1, 4
YANG Weizi	AOV058	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Yipeng	AUI276	Licensed Representative (Provisional Licence)	1, 4
YAU Ka Ho	AUI151	Licensed Representative (Provisional Licence)	1
YAU Yin Hung	AUI767	Licensed Representative (Provisional Licence)	1, 2
YIM Chun Wah	AUE950	Licensed Representative (Provisional Licence)	1
YIM Kam Ting	AUL591	Licensed Representative (Provisional Licence)	1
YIP Heng	AUD022	Licensed Representative (Provisional Licence)	1, 4, 6
YOO Jae Ouk	AEO161	Licensed Representative (Provisional Licence)	1, 4
YOO SIMON	AUC960	Licensed Representative (Provisional Licence)	1, 6
YOUNG Harvey Wade	AUJ768	Licensed Representative (Provisional Licence)	1, 2, 7
YUE Kenneth	ARS558	Licensed Representative (Provisional Licence)	4
YUEN Hu Tone, Leslie	AUJ677	Licensed Representative (Provisional Licence)	1, 6
YUNG Wei, Judy	AUJ775	Licensed Representative (Provisional Licence)	1
ZENG Zhujun	ATQ773	Licensed Representative (Provisional Licence)	4
ZHANG Jiaxin	AUI054	Licensed Representative (Provisional Licence)	1
ZHANG Jingwei	AUG360	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Liqun	ATB974	Licensed Representative (Provisional Licence)	9
ZHANG Miao	ASX296	Licensed Representative (Provisional Licence)	2
ZHANG Weirong	AUH920	Licensed Representative (Provisional Licence)	1, 2
ZHANG Xiao Wei	AUK169	Licensed Representative (Provisional Licence)	1
ZHANG Yelin	AUF147	Licensed Representative (Provisional Licence)	9
ZHOU Qing Yuan	AUJ674	Licensed Representative (Provisional Licence)	4
ZHU Shengchu	AUI034	Licensed Representative (Provisional Licence)	1, 9
ZHUKOV Vladimir	AUE417	Licensed Representative (Provisional Licence)	4
ZOU Qinghong	AUI949	Licensed Representative (Provisional Licence)	4, 9
BOULAY Nicolas Philippe	AQI395	Licensed Representative (Temporary Licence)	1
CANT Nicholas James	AUG885	Licensed Representative (Temporary Licence)	1
CRAMER Brahm Stuart	AUP814	Licensed Representative (Temporary Licence)	4
DE WECK Philippe Thomas Pierre	AQC660	Licensed Representative (Temporary Licence)	1
GILBERT Alexander Parish	AUP813	Licensed Representative (Temporary Licence)	4
JOUBERT Pierre Delmar	ATI743	Licensed Representative (Temporary Licence)	1, 6
KANG Wonsuk	AUB444	Licensed Representative (Temporary Licence)	1, 4, 6
KERRAND Gregory Laurent Christian	ATY269	Licensed Representative (Temporary Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIPPENS Bruno Andre Joseph	ARP187	Licensed Representative (Temporary Licence)	1
MASTALIR Blake Allard	AUP798	Licensed Representative (Temporary Licence)	4
MIKOSHIBA Shiro	ARG492	Licensed Representative (Temporary Licence)	4
MILLER Christopher Patrick Leahey	AUF117	Licensed Representative (Temporary Licence)	1
NARITA Yasuhiro	ARQ487	Licensed Representative (Temporary Licence)	4
NYDICK Jay Scott	AFK637	Licensed Representative (Temporary Licence)	4
PODKOLZINE Roman	AUH269	Licensed Representative (Temporary Licence)	1, 4
PROZESKY Paul Martin	AUK244	Licensed Representative (Temporary Licence)	4
ROHNER Philippe Jean Georges	AUM946	Licensed Representative (Temporary Licence)	1
SCOTT Ian Christopher	AUO018	Licensed Representative (Temporary Licence)	4
SELQUIST Thomas Day	AUQ294	Licensed Representative (Temporary Licence)	1
SHIGEMURA Kyoichiro	AQT050	Licensed Representative (Temporary Licence)	4
SUZUKI Seiichiro	AUK287	Licensed Representative (Temporary Licence)	4
TIMBERLAKE Nicholas Richard William	ALZ469	Licensed Representative (Temporary Licence)	1
WHEELER JR Edwin Joseph	AOV152	Licensed Representative (Temporary Licence)	1
WOOD Catherine Duddy	ATT445	Licensed Representative (Temporary Licence)	4
WU Tao Thomas	AUA520	Licensed Representative (Temporary Licence)	4
ZALAKET Michael J	AUH396	Licensed Representative (Temporary Licence)	1, 2