CE Name	CE No.	Licence Type	Types of Regulated Activity
GIP Partners Limited	ARG423	Licensed Corporation	4, 9
Nomura Futures (Hong Kong) Limited	AAH062	Licensed Corporation	2
Vicis Capital (Hong Kong) Limited	ARR977	Licensed Corporation	1, 2, 4, 5, 9
Wachovia Advisors International Limited	APL798	Licensed Corporation	4, 9
Lakshmi Kailas	AUR846	Licensed Representative	1, 6
ABRAHAMSSON Erik Elias	AQJ469	Licensed Representative	9
ALDEEN Chihiro	AFT275	Licensed Representative	1
ARORA Divya	ATS486	Licensed Representative	1
AU Maureen	AGC686	Licensed Representative	4, 9
AU Sio lok, Janice	ARD815	Licensed Representative	1
AU Wing Fai, Adrian	ARP246	Licensed Representative	4
AU-YEUNG Ka Wan	AFR499	Licensed Representative	1
BARJ Samy, Alexandre	ARS443	Licensed Representative	1, 2
BRUGNON Jean-Baptiste, Michel, Stanislas	AQG517	Licensed Representative	1, 2, 7
BU Xiangyao	AQS417	Licensed Representative	6
CAO Bin	APL763	Licensed Representative	1, 4
CHAIROJ Thapana	ANT255	Licensed Representative	1, 4, 6
CHAN Billie	AAV371	Licensed Representative	1
CHAN Chi Kuen, Ryan Griffin	APY790	Licensed Representative	4
CHAN Ho Kin	ANT708	Licensed Representative	1, 2, 4, 4, 5
CHAN Pui Ki, Teresa	AOV910	Licensed Representative	4
CHAN Tak Kwan	AUA443	Licensed Representative	1
CHAN Tsz Kin	AFF788	Licensed Representative	1
CHAN Wai Ling Luisa	AQU108	Licensed Representative	4
CHAN Wai Man	AOR840	Licensed Representative	4, 9
CHAN Wai Ting, Wendy	AKQ615	Licensed Representative	4, 9
CHAN Wing Chi Vincent	ATH754	Licensed Representative	4
CHAN Yin Tsung	ATY748	Licensed Representative	1, 6
CHAN Yiu Kwan	AAY581	Licensed Representative	1
CHAN Yuk Lun, Alan	ACQ051	Licensed Representative	2, 5, 7
CHANG Charlene-Jayne Wei-Ying	AUQ670	Licensed Representative	1, 6
CHANG Kwong Wai	ATL494	Licensed Representative	4
CHANG Wei Hung	AMW350	Licensed Representative	1, 2
CHANG Yao-jen	AKI552	Licensed Representative	1, 4, 6
CHAU Wai Ming	AHO168	Licensed Representative	1
CHEN Cong	APF504	Licensed Representative	1, 4, 6

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Cuicui	ARO501	Licensed Representative	1
CHEN Hsin Yi	AQX105	Licensed Representative	1, 2
CHEN Meng-Ling	ARN516	Licensed Representative	4, 9
CHENG Chieh Chu	ANI665	Licensed Representative	1, 2
CHENG Chun Chung	AQR445	Licensed Representative	4
CHENG Chun Ho	AFL137	Licensed Representative	4
CHENG Chun Kuen	ASF704	Licensed Representative	4
CHENG Hsin-I	ASG528	Licensed Representative	1, 2
CHENG Man Kit	AJB416	Licensed Representative	4
CHENG Yee Chun	AQR829	Licensed Representative	1, 4
CHENG Ying	AVA753	Licensed Representative	1, 6
CHEUNG Chick Yu, Eddy	ARA893	Licensed Representative	1
CHEUNG Chun Ho	ATW460	Licensed Representative	1, 4, 9
CHEUNG Ka Wong, Henry	AEX566	Licensed Representative	1
CHEUNG Lok Szee, Rita	ACP443	Licensed Representative	1, 6
CHEUNG Scott	AFL224	Licensed Representative	4
CHEUNG Sze Man, Helen	ART438	Licensed Representative	1
CHEUNG Wai Biu	ANT818	Licensed Representative	1, 4
CHIANG Kai Ming	ATC990	Licensed Representative	4
CHIU Ka Fai	ARG763	Licensed Representative	4
CHOI Man Wing	AOV139	Licensed Representative	1, 2
CHOI Stephen	AHA284	Licensed Representative	4, 9
CHOW Ho Suen	APB418	Licensed Representative	1, 2
CHOW Pak Tim	AOW966	Licensed Representative	1, 6
CHOW Siu Kei	ASX466	Licensed Representative	4
CHOY Victoria C.	AKX506	Licensed Representative	1
CHOY Ye King	AEL348	Licensed Representative	6
CHU Kin Cheung	ARQ377	Licensed Representative	2
CHUI Tin Yam, Johnny	ADF730	Licensed Representative	1
CHUNG Chun Pong James	AQZ892	Licensed Representative	1, 2
CHUNG Ka Ki	AMG895	Licensed Representative	3
CHUNG Kam Wah, Alden	ABH271	Licensed Representative	5
CHUNG Lai Chu	AQU701	Licensed Representative	1
CHUNG Wing Keung, Horton	AOH642	Licensed Representative	1, 4, 9, 9
CHUNG Ying Hay	ATE244	Licensed Representative	1, 2
CLANCY Gerard Aloysius	AIZ875	Licensed Representative	1, 4, 9

CE Name	CE No.	Licence Type	Types of Regulated Activity
CZERKAWSKI Paul Andrew	ARR800	Licensed Representative	1, 2
DENG Guorui	AND458	Licensed Representative	6
DING Yuqian	AVD302	Licensed Representative	4
DONLEY Charles John	AMK250	Licensed Representative	1, 2
DU Jing	ANT379	Licensed Representative	1
DUFOURNET Thierry Jean	ATQ807	Licensed Representative	1
EDWARDS Christopher Hugh Mowbray	AOJ688	Licensed Representative	1, 2, 7
FAN Shuk Ying, Grace	AIU267	Licensed Representative	4, 9
FONG Hei Man, Jamie	APS740	Licensed Representative	4, 6
FONG Leung Yu	ATS070	Licensed Representative	1
FU Yuet Wan	APZ093	Licensed Representative	1, 4, 4
FUNG Tak Lok	ANB772	Licensed Representative	4
FUNG Wai Yan	AHN189	Licensed Representative	1, 2, 2
GABBAY Mark Nadav	APH476	Licensed Representative	1, 4
GREEN Martin John Alan	APY689	Licensed Representative	4, 9
GREGORY Paul Martin	AFE041	Licensed Representative	4, 9
HAN Jizheng	AQI107	Licensed Representative	6
HAN Kaihua	ANJ198	Licensed Representative	1
HAU Wing Ka, Ricky	AFN047	Licensed Representative	1, 4, 4
HIRAI Akihito	AQH373	Licensed Representative	4
HO Chi Kong	AFY994	Licensed Representative	4
HO Man Ho David Gabriel	ARI668	Licensed Representative	1
HO Shu Pui	ARL269	Licensed Representative	3
HO Wing Sze, Cecile	AQI815	Licensed Representative	4
HSU Chih-Wei	ARN799	Licensed Representative	4
HSU Ching-Ching	AOL311	Licensed Representative	1
HSU Chung-Huan	ARN797	Licensed Representative	4
HSU Ming-Mei	APQ279	Licensed Representative	4, 9
HU Zhiwei	AQI145	Licensed Representative	1, 4, 6
HUANG FU Evelyn	ALH879	Licensed Representative	1
HUANG Hsiu Jung	AOH104	Licensed Representative	1
HUANG Kwan Shun, John	ACV914	Licensed Representative	1, 2
HUI Ching Fai	ARQ093	Licensed Representative	1, 4
HUI King Ka	ARO908	Licensed Representative	4
HUNG Kin Ho	ALL163	Licensed Representative	1, 2, 3
JACKAMO II Thomas Joseph	AFD746	Licensed Representative	1, 6

CE Name	CE No.	Licence Type	Types of Regulated Activity
JASUJA Radhika	AID481	Licensed Representative	1, 4, 9
JIN Tao	AUG130	Licensed Representative	4
JOSHI Abhishek Jayketu	AMV209	Licensed Representative	1, 6
JOUVENT Damien Emeric Jean Philippe	AQA623	Licensed Representative	1, 2
KA Pui Man	AOS684	Licensed Representative	4
KAJI Taiki	APQ785	Licensed Representative	4
KAUFMAN Arnaud, Henri, David	ASZ092	Licensed Representative	1, 2, 7
KE Jen-Hsien	ARQ309	Licensed Representative	1, 4, 9
KIKUCHI Satoshi	AHM444	Licensed Representative	1, 2, 4
KIM Jae Sang	APL767	Licensed Representative	1, 4
KINDRED Jonathan Bruce	ACZ378	Licensed Representative	1
KOHL Mario	AUE808	Licensed Representative	2
KOSAKA Tomomi	AHT189	Licensed Representative	1
KUK Wing Ching	ALH729	Licensed Representative	4
KWAN Mo Han	ATD406	Licensed Representative	1, 4
KWEE Su-lin	AUA988	Licensed Representative	1
KWOK Ka Ming	AQS307	Licensed Representative	1, 2
KWOK Wai Chung	ADE796	Licensed Representative	1, 4, 9
KWON Ji Hoon	AQM545	Licensed Representative	1
KWONG Kin Man, Jacman	AEX603	Licensed Representative	1
KWUN Sui Ching	APX054	Licensed Representative	1
LAI Hau Tsz, Vera	ARG854	Licensed Representative	4
LAI Hei Pang	APW221	Licensed Representative	4
LAI Ting Fei	AJN358	Licensed Representative	1
LAI Tsz Lung	APV204	Licensed Representative	1
LAM John Junior	AEO683	Licensed Representative	1, 2
LAM Kar Wai	AFR888	Licensed Representative	1, 2
LAM Kwan	AIN831	Licensed Representative	4
LAM Lai Chu, Elsa	AOT729	Licensed Representative	4
LAM Tze Wan, Phyllis	AOT555	Licensed Representative	4
LAM Wai Cheong	AUC060	Licensed Representative	1
LAM Wai Hing	AQQ685	Licensed Representative	1
LANGFORD Michael	AQU895	Licensed Representative	1, 2, 4
LAU Chik Ling	AOJ020	Licensed Representative	4
LAU Hing Lung	APC583	Licensed Representative	1
LAU Ka Fei, Kathy	AFY571	Licensed Representative	1

CE Name	CE No.	Licence Type	Types of Regulated Activity
₋AU Man Chun	ATO958	Licensed Representative	4
_AU Shek Wing	AAC254	Licensed Representative	1, 4
.AU Siu Cheong	AKJ015	Licensed Representative	1, 2
AW Nicholas Kwan Chak	ACI771	Licensed Representative	1, 4, 9
EE Chuk Ching	ACJ145	Licensed Representative	1, 2, 4
EE Haesung	AQO516	Licensed Representative	1, 4, 6
EE Hoi Ling	APH367	Licensed Representative	1, 4
EE Ka Man	AQY015	Licensed Representative	1, 4
EE Ka Ning	AQS395	Licensed Representative	1
EE Kim Hung	AMR687	Licensed Representative	1
EE Kit Ping	ACL968	Licensed Representative	4
EE Kit Ying	AEJ795	Licensed Representative	1, 4
_EE Man Wui, Jeff	AUA461	Licensed Representative	1, 2
EE Mona Yan Yan	ACS379	Licensed Representative	1
EE Pak Seng	AUN115	Licensed Representative	4
EE Pui Kwan	APU612	Licensed Representative	1
EE Pui Lan, Mary	AOQ910	Licensed Representative	4
EE Suk Chun	AJI623	Licensed Representative	4
EE Yuk Fat	ADZ124	Licensed Representative	1
EE Yun-Shin	ARS564	Licensed Representative	1, 4, 9
EUNG Chi Ming	AIQ682	Licensed Representative	4
EUNG Chong Keong	AML919	Licensed Representative	3
EUNG Ka Shun	AND430	Licensed Representative	4
EUNG Kelvin Yiu Chung	ASD279	Licensed Representative	4, 9
EUNG Kin Bon	AEF428	Licensed Representative	1
EUNG Wai Wah	APJ573	Licensed Representative	1, 4
I Chun Pong	AMH699	Licensed Representative	2
.l Jiong	AQU512	Licensed Representative	1
.l Li	ANM312	Licensed Representative	1, 4, 9
I Pik Na	AOE454	Licensed Representative	4, 9
I Tingting	ASG396	Licensed Representative	1
I Xian	ANR349	Licensed Representative	1, 2
IANG Hsiang-Hsien	ARG848	Licensed Representative	6
IAO Yung-Chi	ANP465	Licensed Representative	1, 4
IU Chung Ching	ATT576	Licensed Representative	1
LIU Heng	APB428	Licensed Representative	1, 4

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Kai Fung	ART527	Licensed Representative	4
LIU Liang	ARV901	Licensed Representative	9
LIU Suiyan	AQI402	Licensed Representative	1, 2, 3
LIU Wanyun	AUE706	Licensed Representative	9
LO Kam Fong	ABH099	Licensed Representative	1
LO Man Sze, Sherry	AFX191	Licensed Representative	1, 2
LOCKHART William Robert Somervell	ANF270	Licensed Representative	1, 4
LOW Michael Brian	ANJ783	Licensed Representative	4, 5, 9
LU Xiaoying	APO977	Licensed Representative	1, 6
MA Anny	AMC724	Licensed Representative	4
MA Suk Yin	ATO823	Licensed Representative	4
MA Yan Calvin Ronald	ARO125	Licensed Representative	1, 4, 6
MAK Hoi Fung	AST835	Licensed Representative	1
MAN Ying Chuen	ARO133	Licensed Representative	4
MARTINO Joseph Anthony	ANJ418	Licensed Representative	1, 2, 7
MENON Suresh Krishnankutty	ALY362	Licensed Representative	1, 2
MOK Ming Wai Anita	AUZ626	Licensed Representative	2
MORVAN Christophe	AUB794	Licensed Representative	1, 2
MOU Lai Yin, Percy	AMF612	Licensed Representative	1
MU Rong	APJ191	Licensed Representative	2
MULDOON James Patrick	AOX234	Licensed Representative	1, 2
NESBITT Jonathan Michael	ALK388	Licensed Representative	1
NG Kay Chak, Eric	APK348	Licensed Representative	1, 4, 9
NG Kin Shing, Kenny	ATN588	Licensed Representative	4
NG Suk Ching	ADW550	Licensed Representative	1, 4, 9
NG Sze Man	AMN571	Licensed Representative	1, 2
NG Ting Kit	AOE447	Licensed Representative	1, 2
NG Wai Cheong, Tony	ASU462	Licensed Representative	4
NG Wai Leung, Anthony	AME574	Licensed Representative	1, 2, 7
NG Yin	ATI770	Licensed Representative	1, 2, 4
NGAI Shuk King	AQU713	Licensed Representative	1
NGAI Tsz Yau	ATX907	Licensed Representative	4
OPIO Ruth Yuanping	APO520	Licensed Representative	4
OYO Hiroshi	AUA698	Licensed Representative	1, 2, 3
PANG Shuen Wai, Nichols	AAV790	Licensed Representative	4, 9
PANG Tong	ARW292	Licensed Representative	9

CE Name	CE No.	Licence Type	Types of Regulated Activity
PARK Andrew Jai Young	AVA057	Licensed Representative	1, 6
POON Sze Wai, Kelvin	ANU253	Licensed Representative	1, 4, 6
ROU Lei	ATX914	Licensed Representative	1
SCOTT Elisabeth Charlotte	ACI103	Licensed Representative	1, 4, 9
SHAN Liming	AQC404	Licensed Representative	4, 9
SHARMA Ankur	ARR379	Licensed Representative	1
SHE King Man	ARQ981	Licensed Representative	1
SHI Hanqing	APC470	Licensed Representative	1, 6, 9
SIDDIQUI Sarosh Ahmed	AOV454	Licensed Representative	1, 2
SINGH Shivesh Kumar	ASH495	Licensed Representative	1, 2
SIT Yuk Cheung	ANR218	Licensed Representative	3
SIU Man Keong	AAY152	Licensed Representative	1
SIU Wai Chuen	ANZ650	Licensed Representative	1, 2, 4
SIU Yun Ying	APJ338	Licensed Representative	1, 2
SO King Tai	AGG611	Licensed Representative	1
SUGANDHI Mardian Jun	AMJ576	Licensed Representative	9
SULLIVAN James Robert	ANA240	Licensed Representative	9
SUN Quanyong	ATV923	Licensed Representative	1, 4
SUN You Ning	AUB924	Licensed Representative	1, 4
SUNG Wai Kit	AIH190	Licensed Representative	4
SZE Ping, Francis	AGQ669	Licensed Representative	4
SZETO Tak	APG899	Licensed Representative	4
TAM Pui Shan	AGP789	Licensed Representative	4
TAN Chee Yin	AFU293	Licensed Representative	4, 9
TAN Hui Hong	AQC321	Licensed Representative	1, 4
TAN Yee Siang	ARP855	Licensed Representative	1, 2
ΓANG Chun Wa	APO121	Licensed Representative	6
TANG Joyce Tsui Ying	ARO576	Licensed Representative	4
TANG Kwok Wing	ATD414	Licensed Representative	1
TANG Oi Ling	ADO934	Licensed Representative	4
TANG Yang	ATQ941	Licensed Representative	1
TANG Yin Kwan, Eunice	ANU352	Licensed Representative	1, 6
TO Kwok Kin	ATB618	Licensed Representative	3
TO Lok Man	ASK219	Licensed Representative	1, 4
TONG Shing Kit, Jack	ADS004	Licensed Representative	1, 2, 2, 7
TONG Ying Yuen	ALG185	Licensed Representative	4

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Pui Ching Patricia	ADM025	Licensed Representative	1, 4
TSANG Tsz Ying	ARE745	Licensed Representative	4
TSANG Yee Man, Eva	ART998	Licensed Representative	4
TSANG Yuen Ling	AOV655	Licensed Representative	4
TSE Kin Wai	ATY812	Licensed Representative	1
TSENG Ching-Yuan	APG913	Licensed Representative	1, 4
TSO David	AAB970	Licensed Representative	1
TUNG Chung Hong	AEY971	Licensed Representative	1, 2, 2
UTENOV Azamat	APP370	Licensed Representative	1
VERDON Craig David Christopher	AEY594	Licensed Representative	1, 2, 7
VERMA Sapan	ARP653	Licensed Representative	4
WAH Sai Kit	ANJ563	Licensed Representative	1, 2
WANG Nan	ANS070	Licensed Representative	1, 2, 6, 9
WANG Yu-Chung	ARN964	Licensed Representative	1, 2
WEI Lei	ASK858	Licensed Representative	1, 4
WEN Kuo-Hui	APB222	Licensed Representative	1, 4
WILLIAMS Nicole Petra	APO568	Licensed Representative	1, 2, 4, 5, 9
WILSON Alexander Francis	AQN272	Licensed Representative	1, 4
WONG Chi Kit	AOE662	Licensed Representative	1
WONG Chin Pang	ARL062	Licensed Representative	4
WONG Chor Sang	AUX300	Licensed Representative	1
WONG Ho Ting	ARP322	Licensed Representative	1, 4
WONG Kah Kiat Roy	AUM481	Licensed Representative	1
WONG Pui Kei	AGC129	Licensed Representative	4
WONG Seng Kuong	ANR776	Licensed Representative	4
WONG Tsz Hong	AJC318	Licensed Representative	4, 9
WONG Tsz Kwan	AUC179	Licensed Representative	4
WONG Wai Chi, Rigi	ASF411	Licensed Representative	4
WONG Wang Yum	AQU980	Licensed Representative	1, 4
WONG Wen Mee, Joyce	AGF478	Licensed Representative	1, 4, 9
WONG Yin Kui, Derek	AMB370	Licensed Representative	1, 4
WONG Yuen Kee, Yvonne	AEZ573	Licensed Representative	1, 9
WU Bo	ARV921	Licensed Representative	9
WU Chi Fai	AEK852	Licensed Representative	1, 2
WU Shuxiong	ASZ103	Licensed Representative	4
WU Stephanie Yo-hua	ALZ631	Licensed Representative	1, 4

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Tsz Yee	AAV288	Licensed Representative	6
XIA Thomas Xi	AQY002	Licensed Representative	1, 2
XIAO Jing	AVD272	Licensed Representative	4
XIONG Guangyu	ATT122	Licensed Representative	1
YANG Ying-Pei	AOK849	Licensed Representative	1
YAO Kenneth	ANH517	Licensed Representative	1
YAU Song, Christie	AUD238	Licensed Representative	1, 4
YEUNG Hang Far	ANP406	Licensed Representative	1, 4
YEUNG Hiu Lan	AKO824	Licensed Representative	4
YEUNG Pui Ching	APJ612	Licensed Representative	4
YEUNG Yat Sang, Nelson	ACS128	Licensed Representative	4
YIN Ti-Fan	APB902	Licensed Representative	6
YIP Sandy Sang	AEA551	Licensed Representative	6
YIP Shuk Ling	AEA526	Licensed Representative	1, 2
YIU Chim Hoi	ACW457	Licensed Representative	4, 6, 9
YOSHIDA Shingo	AOV644	Licensed Representative	1
YU Hin Yin	ARD759	Licensed Representative	1, 4
YU Stanley	ASP827	Licensed Representative	4
YU Wai Ka	ATX641	Licensed Representative	1
YUE Jun	ATL676	Licensed Representative	4
YUEN Tung Mui	AOS319	Licensed Representative	1
ZENG George Xiangwen	ARQ506	Licensed Representative	9
ZHANG Chao	ASE033	Licensed Representative	1
ZHANG Junjie	AQM136	Licensed Representative	4
ZHANG Xuan	AQQ235	Licensed Representative	4
ZHANG Xue	ANW974	Licensed Representative	1
ZHANG Yin	ART036	Licensed Representative	4
ZHAO Jie	AVD275	Licensed Representative	4
ZHAO Qi	AQF554	Licensed Representative	1
ZHENG Yuling	AOS657	Licensed Representative	1, 2
ZHOU Xiao Tong	AUD078	Licensed Representative	1, 4
BURKE Patrick Thurston	AMD089	Licensed Representative - Responsible Officer	4, 9
CELATA Denis	AOQ850	Licensed Representative - Responsible Officer	4, 9
CHAN Suet Yee	AIZ235	Licensed Representative - Responsible Officer	4
CHENG Hung Kit	AAD366	Licensed Representative - Responsible Officer	1
CHEONG Chan Kei, Ernest	AGN732	Licensed Representative - Responsible Officer	9

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Ka Wong, Henry	AEX566	Licensed Representative - Responsible Officer	2, 3
CHUNG Kam Wah, Alden	ABH271	Licensed Representative - Responsible Officer	4, 9
GAMUCCI Guido Paolo	AQC980	Licensed Representative - Responsible Officer	4
HUANG Dennis Chong	AET356	Licensed Representative - Responsible Officer	6
KIM Seok Stone	AQA115	Licensed Representative - Responsible Officer	1, 4, 6
KOH Boon Huat, John Charles	AAH620	Licensed Representative - Responsible Officer	4, 9
KOH Liang Heong	AFY155	Licensed Representative - Responsible Officer	6
LAU Chun Hon	ACV704	Licensed Representative - Responsible Officer	1
LEE Jin Yi	AFD753	Licensed Representative - Responsible Officer	1, 4, 6, 9
LEE Kon Chung	AAJ488	Licensed Representative - Responsible Officer	1, 4, 9
LEE Raymond Leonard	AAG345	Licensed Representative - Responsible Officer	1, 4, 5, 9
LEUNG Wing Che, Andrew	ACR958	Licensed Representative - Responsible Officer	4, 9
LOBO Alfredo Paulo	ACP300	Licensed Representative - Responsible Officer	9, 9
MAC CARTHY Denis	AGK114	Licensed Representative - Responsible Officer	1, 2, 4, 5
MACKAY Anthony	ACZ354	Licensed Representative - Responsible Officer	1, 4, 7
MANN Lindsay Robert	AEB099	Licensed Representative - Responsible Officer	1, 4, 9
MATTHEWS Kathryn Ann	AMJ740	Licensed Representative - Responsible Officer	1, 4, 5, 9
PANG Jennifer Yuk Chun	AHS595	Licensed Representative - Responsible Officer	4
PEARSON James	ABN229	Licensed Representative - Responsible Officer	1, 6
PETCH Jolyon Robert	AAA878	Licensed Representative - Responsible Officer	9
PETERSOHN Steven Jeffrey	ABF770	Licensed Representative - Responsible Officer	9
POON Mung Yu	AFP040	Licensed Representative - Responsible Officer	1, 4, 9
RUCQUOI-BERGER John Timothy	ACW848	Licensed Representative - Responsible Officer	4
RYAN Christopher John	ADH094	Licensed Representative - Responsible Officer	1, 4, 9
SCHREUR Koene Jan Lute	ARJ341	Licensed Representative - Responsible Officer	4, 9
SEPULCHRE Alain Jean Charles	AME893	Licensed Representative - Responsible Officer	4
SHU Kenichi	AEN523	Licensed Representative - Responsible Officer	9
STEWART Lisa Michelle	AAB231	Licensed Representative - Responsible Officer	4, 9
TSO David	AAB970	Licensed Representative - Responsible Officer	2, 3
WUNG Sheng-Bin	AQS401	Licensed Representative - Responsible Officer	1, 4
ZHAO Wei	ANP590	Licensed Representative - Responsible Officer	4, 9
ZUCKER Lauren Jill	APH714	Licensed Representative - Responsible Officer	1, 4
AGGARWALA Saurabh	AVD967	Licensed Representative (Provisional Licence)	1
AHN Sol	AVD296	Licensed Representative (Provisional Licence)	4, 9
ALDCROFT Stewart Robert Kenneth	AAF829	Licensed Representative (Provisional Licence)	4, 9
ANG Jing Jia	AVE604	Licensed Representative (Provisional Licence)	1, 6

CE Name	CE No.	Licence Type	Types of Regulated Activity
ASOME Alison Mary	AVC923	Licensed Representative (Provisional Licence)	1, 4
AU YEUNG Sai Man	AVD360	Licensed Representative (Provisional Licence)	1, 4
BACCHUS Angel Men Chan	ANB456	Licensed Representative (Provisional Licence)	1
BANGA Guneet	AJE844	Licensed Representative (Provisional Licence)	1, 6
BARRETT James Richard	ARH869	Licensed Representative (Provisional Licence)	4
BELALIMAT Karim	AVD533	Licensed Representative (Provisional Licence)	1, 2, 7
BHATT Jay Hemant	ADR152	Licensed Representative (Provisional Licence)	1, 4, 9
BOEDING Jacob Francis	AVC255	Licensed Representative (Provisional Licence)	1, 2, 7
BOULAY Nicolas Philippe	AQI395	Licensed Representative (Provisional Licence)	1, 2, 5
BRAILEY Simon Geoffrey	AVD351	Licensed Representative (Provisional Licence)	1
BRANDT Todd Michael	AVE815	Licensed Representative (Provisional Licence)	1, 2, 7
BREWIS James Calver	AVC743	Licensed Representative (Provisional Licence)	1
BRUCK Cyprian Joannes	AVD405	Licensed Representative (Provisional Licence)	1, 2
BURCIER Clement Jacques	AVA515	Licensed Representative (Provisional Licence)	1, 7
BUTLER Joseph John	AVF494	Licensed Representative (Provisional Licence)	1, 2, 7
BYUN Yong Hoon, Ralph	AVE766	Licensed Representative (Provisional Licence)	1
CANTLEY Louisa May	AVC982	Licensed Representative (Provisional Licence)	1, 2
CAO Min	AVA087	Licensed Representative (Provisional Licence)	4
CAO Xianghua	AVC718	Licensed Representative (Provisional Licence)	1, 4
CHADWICK Mark David	ADT508	Licensed Representative (Provisional Licence)	1, 4
CHAI Shan Shan	AVD684	Licensed Representative (Provisional Licence)	1, 6
CHAN Chi Man	AVA790	Licensed Representative (Provisional Licence)	1
CHAN Chi Wing	AVH087	Licensed Representative (Provisional Licence)	1, 2
CHAN Chiu Yu	AQC546	Licensed Representative (Provisional Licence)	1
CHAN Chun Wai, Spring	AVF122	Licensed Representative (Provisional Licence)	1
CHAN Hoi Yan	AVD100	Licensed Representative (Provisional Licence)	1, 2
CHAN Hung Ngam, Younker	AOV343	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN lat Meng	AVD353	Licensed Representative (Provisional Licence)	1
CHAN Jacky Ka Ki	AVF622	Licensed Representative (Provisional Licence)	1, 4
CHAN Ka Man, Karmen	AVB849	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Kam Pui	AVF495	Licensed Representative (Provisional Licence)	6
CHAN Kei Hong, Larry	AVE984	Licensed Representative (Provisional Licence)	1
CHAN Keng Fai	AMF902	Licensed Representative (Provisional Licence)	1
CHAN Kwok Wai	ARE605	Licensed Representative (Provisional Licence)	1, 2
CHAN Leung Ting	AKI937	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Lui	AVD266	Licensed Representative (Provisional Licence)	1

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Man Hung, Ivan	AVE049	Licensed Representative (Provisional Licence)	2
CHAN Man Man	AVC914	Licensed Representative (Provisional Licence)	1
CHAN Ping Lam, Winson	AUG225	Licensed Representative (Provisional Licence)	1
CHAN Shu Pui	AVA786	Licensed Representative (Provisional Licence)	1, 2
CHAN Shuk Mei	AVA545	Licensed Representative (Provisional Licence)	1, 6
CHAN Ting Hing, Carol	AVE785	Licensed Representative (Provisional Licence)	4
CHAN Tsz Wo	AMH583	Licensed Representative (Provisional Licence)	3
CHAN Tze Ying, Charlotte	AVE184	Licensed Representative (Provisional Licence)	1
CHAN Wai Sze	AOB741	Licensed Representative (Provisional Licence)	1, 4
CHAN Wing Yee	AMR696	Licensed Representative (Provisional Licence)	1, 6
CHANG An-chieh	AVA362	Licensed Representative (Provisional Licence)	1, 7
CHANG Chih-Hung	AVE037	Licensed Representative (Provisional Licence)	4
CHANG Fei Fu	AEV978	Licensed Representative (Provisional Licence)	1
CHANG Ho Wan	AUH451	Licensed Representative (Provisional Licence)	1
CHANG I-To Edward	AFZ404	Licensed Representative (Provisional Licence)	4, 9
CHANG Yi Chien	AVD060	Licensed Representative (Provisional Licence)	1
CHEN Chi Hung	AOX772	Licensed Representative (Provisional Licence)	1
CHEN Hua	AUZ009	Licensed Representative (Provisional Licence)	1, 4
CHEN Pengyang	AUZ740	Licensed Representative (Provisional Licence)	1, 4
CHEN Yiwen	AVF113	Licensed Representative (Provisional Licence)	4
CHENG Ivan Kwok Lueng	AGZ747	Licensed Representative (Provisional Licence)	4, 9
CHENG Karen Hiu Mei	AVC356	Licensed Representative (Provisional Licence)	1
CHENG Ming Ho	AVD292	Licensed Representative (Provisional Licence)	1
CHENG Siu Yin	AVE025	Licensed Representative (Provisional Licence)	1
CHENG Tsz Hin, Anthony	AVF136	Licensed Representative (Provisional Licence)	1, 2
CHENG Wen Fei	AVG908	Licensed Representative (Provisional Licence)	1, 4
CHENG Wing Yan Tiffany	AVG909	Licensed Representative (Provisional Licence)	1, 2
CHEONG Im	AVD307	Licensed Representative (Provisional Licence)	1
CHEUNG Chi Cheuk, Cedric	ANA761	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Chi Ying	AVD392	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Chun Ho	AVD390	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Desmond Clinton	AGN567	Licensed Representative (Provisional Licence)	4
CHEUNG Hugh Keat	AVC085	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Nga Chung	AVG632	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Siu Yin, Simon	AVF593	Licensed Representative (Provisional Licence)	1
CHEUNG Tomo-hito	AUS139	Licensed Representative (Provisional Licence)	1

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIN To, Tony	AVA538	Licensed Representative (Provisional Licence)	1, 6
CHIU Hui-ping	ATI033	Licensed Representative (Provisional Licence)	1, 4, 6
CHIU Man Ho, Andy	AJM492	Licensed Representative (Provisional Licence)	2
CHONG Chi Yan	AVH086	Licensed Representative (Provisional Licence)	1
CHOW Ka Wa	AVD404	Licensed Representative (Provisional Licence)	1, 2
CHOW Koon Ho	AVF577	Licensed Representative (Provisional Licence)	1
CHOW Man Chi, Joey	AFO294	Licensed Representative (Provisional Licence)	1
CHOW Wing Kam	AVF140	Licensed Representative (Provisional Licence)	4, 9
CHU Kai Tung	AUS138	Licensed Representative (Provisional Licence)	1
CHUNG Chun Kit	AVC102	Licensed Representative (Provisional Licence)	1
CHUNG Ho Cheong	AVD979	Licensed Representative (Provisional Licence)	1, 2
CHUNG Yiu Yan Elaine	AVG630	Licensed Representative (Provisional Licence)	1, 2
CLARKE Andrew Kenneth	ADT180	Licensed Representative (Provisional Licence)	1
COHEN Ian Alexander	AVB976	Licensed Representative (Provisional Licence)	1
CONVERSIN Sophie Arielle Marlene	AVE057	Licensed Representative (Provisional Licence)	1
CORNAILLE Claire Oceane Margaux	AVE767	Licensed Representative (Provisional Licence)	1
D EMMEREZ DE CHARMOY Alice	AVD845	Licensed Representative (Provisional Licence)	4, 9
DAI Chao	AVD234	Licensed Representative (Provisional Licence)	4
DAS Ashok Kumar	AVH956	Licensed Representative (Provisional Licence)	1
DAYAL Prasoon	AEH431	Licensed Representative (Provisional Licence)	1, 6
DOSHI Nishi Jyotindra	AON592	Licensed Representative (Provisional Licence)	4
DRAYTON lain Nicholas	AUS877	Licensed Representative (Provisional Licence)	1, 6
DRIESSEN Sven Jorgen	AUI033	Licensed Representative (Provisional Licence)	1, 2
DUJARDIN Nicolas, Guillaume	AUJ687	Licensed Representative (Provisional Licence)	1, 2
DUNCAN Michael Troy	AQQ429	Licensed Representative (Provisional Licence)	1
DUNNETT Janice	AIR860	Licensed Representative (Provisional Licence)	1, 2, 7
EBERT Robert James	AVF581	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
EVANS James David	AVH780	Licensed Representative (Provisional Licence)	1
F. V. RICHTHOFEN Peter P. H. O. A.	AFU034	Licensed Representative (Provisional Licence)	1
FENG Jing	APZ397	Licensed Representative (Provisional Licence)	2
FENG Zhe	AFW435	Licensed Representative (Provisional Licence)	4
FIELDS Daniel Mays	AVG800	Licensed Representative (Provisional Licence)	9
FOK Hon Ming	AVE578	Licensed Representative (Provisional Licence)	1
FONG Shing Fan, Stanford	AVD974	Licensed Representative (Provisional Licence)	1, 2, 3
FUNG Chui Shan	AFZ170	Licensed Representative (Provisional Licence)	1, 2
FUNG Sui Sang, Tommy	AFN762	Licensed Representative (Provisional Licence)	1, 4

CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNG Wilson Kee-hang	AGC284	Licensed Representative (Provisional Licence)	1, 7
GANGAHAR Divya	AVA782	Licensed Representative (Provisional Licence)	1
GAO Dan	AVF215	Licensed Representative (Provisional Licence)	1, 6
GARLAND Benjamin Howard	AVA789	Licensed Representative (Provisional Licence)	1, 4, 9
GILBERT Benjamin Joseph	AVB999	Licensed Representative (Provisional Licence)	4
GOH Wei Kiat	AVH675	Licensed Representative (Provisional Licence)	1, 6
GOSS Christopher John	AVE749	Licensed Representative (Provisional Licence)	1, 2
GUAN Xue	AUZ743	Licensed Representative (Provisional Licence)	1, 4
HARAM Ahmad Walid	AVE053	Licensed Representative (Provisional Licence)	1
HE Yuming	AVC261	Licensed Representative (Provisional Licence)	1, 6
HENDERSON Samuel Thomas	AVD913	Licensed Representative (Provisional Licence)	1, 4
HETRAKUL Kahn	AVA765	Licensed Representative (Provisional Licence)	1, 2
HISHINUMA Ryuya	AVD897	Licensed Representative (Provisional Licence)	1, 4, 6
HO Chui Man	AVC083	Licensed Representative (Provisional Licence)	1, 2
HO Kar Ming	AFU408	Licensed Representative (Provisional Licence)	1
HO Kin Ching	AVG723	Licensed Representative (Provisional Licence)	1
HO Wai Sze	AVD964	Licensed Representative (Provisional Licence)	6
HOARE Geoffrey Peter	AUW124	Licensed Representative (Provisional Licence)	4, 5, 9
HODGSON Holden Kirk	AOS400	Licensed Representative (Provisional Licence)	1, 2
HORIAI Koji	AVC265	Licensed Representative (Provisional Licence)	1, 2
HORNMARK Erik Taro	AUZ795	Licensed Representative (Provisional Licence)	1, 2, 7
HOU Lingzhong	AVD831	Licensed Representative (Provisional Licence)	4
HSIEH Wei-Horng	AVC357	Licensed Representative (Provisional Licence)	4, 9
HSU Li Fei	AVA787	Licensed Representative (Provisional Licence)	1, 4
HUANG Ching Hsiang	ASZ515	Licensed Representative (Provisional Licence)	1
HUANG Cindy	AVD113	Licensed Representative (Provisional Licence)	9
HUANG Yan	AVD315	Licensed Representative (Provisional Licence)	1, 4, 6
HUGHES Steven William	AVE208	Licensed Representative (Provisional Licence)	1, 2
HUI Ka Chun	AVB836	Licensed Representative (Provisional Licence)	1
HUNG Hing Fung	AVC920	Licensed Representative (Provisional Licence)	1
HUNG Pok Man	AVF218	Licensed Representative (Provisional Licence)	1
INA Shinichi	AVD124	Licensed Representative (Provisional Licence)	4
IWASAKI Daisuke	AVF357	Licensed Representative (Provisional Licence)	9
JAIN Sanjay	AUW893	Licensed Representative (Provisional Licence)	4
JASANI Nilesh Surendra Rai	AFJ460	Licensed Representative (Provisional Licence)	4
JIANG Xiaoxu	ASO484	Licensed Representative (Provisional Licence)	6

CE Name	CE No.	Licence Type	Types of Regulated Activity
JING Yun	AOI876	Licensed Representative (Provisional Licence)	1, 6
KABA Vincent Sekou	AVH092	Licensed Representative (Provisional Licence)	1, 2, 7
KAM Tyran	AMG982	Licensed Representative (Provisional Licence)	4, 5
KANG Na	AVA488	Licensed Representative (Provisional Licence)	1, 4, 6
KAO Chi Wang, Ronald	AVD112	Licensed Representative (Provisional Licence)	1, 4
KAPOOR Rohit	AVF429	Licensed Representative (Provisional Licence)	1
KHOO Pui Wun	AGO218	Licensed Representative (Provisional Licence)	1, 4
KINUGASA Tatsuya	AVB960	Licensed Representative (Provisional Licence)	1, 2
KO Aaron Tze Hin	AVB828	Licensed Representative (Provisional Licence)	1, 6
KOU Chung Yin, Mariana	AVE181	Licensed Representative (Provisional Licence)	4
KRIENGPRARTHANA Udomsith	AVD493	Licensed Representative (Provisional Licence)	9
KRISHNAN Akshay	AVE042	Licensed Representative (Provisional Licence)	9
KUO Yen-Ting	AHK087	Licensed Representative (Provisional Licence)	1, 2
KURODA Shinji	ADV542	Licensed Representative (Provisional Licence)	4
KWAN Sin Hang	AVF586	Licensed Representative (Provisional Licence)	9
KWOCK Shu Wai, David	AVC260	Licensed Representative (Provisional Licence)	1, 2, 7
KWOK Ka Chi	AUW800	Licensed Representative (Provisional Licence)	4
KWOK Man Kit	AVH406	Licensed Representative (Provisional Licence)	1, 4
KWONG Wai Yin	AKX252	Licensed Representative (Provisional Licence)	1
LA RUE Robert Scott	AUW237	Licensed Representative (Provisional Licence)	6
LAI Chun To	AVF640	Licensed Representative (Provisional Licence)	1
LAI Man	AVD562	Licensed Representative (Provisional Licence)	1
LAI Zhiyong	AVD365	Licensed Representative (Provisional Licence)	1
LAM Brian	AUW751	Licensed Representative (Provisional Licence)	1
LAM Cho King	AVD910	Licensed Representative (Provisional Licence)	1, 2
LAM Chun Bong	AVD960	Licensed Representative (Provisional Licence)	1
LAM Ho Chit	AVH205	Licensed Representative (Provisional Licence)	1, 6
LAM Kai Man, Herman	AVC363	Licensed Representative (Provisional Licence)	1, 2
LAM Tai Kong	ACX894	Licensed Representative (Provisional Licence)	1
LANG Yuanlin	AUZ665	Licensed Representative (Provisional Licence)	1
LAO Ruby Margaret Tan	AVA611	Licensed Representative (Provisional Licence)	1
ARDIZABAL Juan Gabriel Pascual	AVD156	Licensed Representative (Provisional Licence)	1
LARKIN John Michael	AVC808	Licensed Representative (Provisional Licence)	1
LAU Chi Hung	AVD366	Licensed Representative (Provisional Licence)	1
LAU Hing Chung, Wilfred	AHX688	Licensed Representative (Provisional Licence)	1, 4, 5
LAU Ka Wai Janet	AVD081	Licensed Representative (Provisional Licence)	1

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Ka Yee, Katherine	AVD966	Licensed Representative (Provisional Licence)	1
LAU Kwan Ho	ALB117	Licensed Representative (Provisional Licence)	9
LAU Wing Yiu, Jason	APU510	Licensed Representative (Provisional Licence)	1, 2, 7
LAW Ka Yan	AVF009	Licensed Representative (Provisional Licence)	1, 2
LAW Kai Man	AVC921	Licensed Representative (Provisional Licence)	1, 2
LAW Tin Fan Arthur	ANQ737	Licensed Representative (Provisional Licence)	1, 4
LEE Dennis Pui Yan	AOS008	Licensed Representative (Provisional Licence)	6
LEE Gay Tune, Christen	AVH059	Licensed Representative (Provisional Licence)	1
LEE Hwa Chie	AHA589	Licensed Representative (Provisional Licence)	4
LEE Koon Wing Edwin	AVD467	Licensed Representative (Provisional Licence)	1, 2
LEE Man Hon	AVC108	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Ming Fai	AVD983	Licensed Representative (Provisional Licence)	1
LEE Roger Leonard	AVB844	Licensed Representative (Provisional Licence)	1
LEE Tak Mong, Felix	AHV479	Licensed Representative (Provisional Licence)	1
LEE Wai Kee	AQI239	Licensed Representative (Provisional Licence)	3
LEE Wai Yee, Winnie	AVD448	Licensed Representative (Provisional Licence)	1, 4
LEUNG Cheuk Yue	AVF490	Licensed Representative (Provisional Licence)	1, 2
LEUNG Chung Kei, Stanley	ARA402	Licensed Representative (Provisional Licence)	1
LEUNG Fung Man	ASP987	Licensed Representative (Provisional Licence)	1, 4
LEUNG Ho Yin	AVF604	Licensed Representative (Provisional Licence)	4
LEUNG Kam Wa, Janet	ATF009	Licensed Representative (Provisional Licence)	4
LEUNG Man Fun	AVD466	Licensed Representative (Provisional Licence)	1, 2
LEUNG Pak Hei	AVD976	Licensed Representative (Provisional Licence)	1, 2
LEUNG Tim Pak	AVG896	Licensed Representative (Provisional Licence)	9
LEUNG Wing Chi	AOB275	Licensed Representative (Provisional Licence)	1
LEUNG Yee Ming, Luxthew	AND667	Licensed Representative (Provisional Licence)	1, 2, 7
LEUNG Yu San	AVD097	Licensed Representative (Provisional Licence)	1
LI Jack Zheng	AQM738	Licensed Representative (Provisional Licence)	1, 2
LI Kam Ching	AFH309	Licensed Representative (Provisional Licence)	4, 9
LI Lin	AVD808	Licensed Representative (Provisional Licence)	1, 4
LI Ming Keung	AVD367	Licensed Representative (Provisional Licence)	1
LI Song	ANP431	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LI Xiaofeng	ANS226	Licensed Representative (Provisional Licence)	1, 4
LI Xin	AVA785	Licensed Representative (Provisional Licence)	1
LI Youdan	AUV418	Licensed Representative (Provisional Licence)	6
LI Zhonghai	AMS111	Licensed Representative (Provisional Licence)	1

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIANG Jing	AVH405	Licensed Representative (Provisional Licence)	1, 6
LIN Grant Chuan	AVC262	Licensed Representative (Provisional Licence)	1, 6
LIN Wei-Chun	AVD281	Licensed Representative (Provisional Licence)	1, 4
LIU Chi Yan	AVF644	Licensed Representative (Provisional Licence)	1
LIU Eric Cha-Hua	ARF869	Licensed Representative (Provisional Licence)	1, 2, 7
LIU Jiapeng	AVF642	Licensed Representative (Provisional Licence)	1
LIU Ka Ki	AVD297	Licensed Representative (Provisional Licence)	1
LIU Maretta Yiqi	AVD327	Licensed Representative (Provisional Licence)	1, 2, 7
LIU Wing Wai	AUR824	Licensed Representative (Provisional Licence)	1
LIU Xingni	AVE182	Licensed Representative (Provisional Licence)	6
LO Hiu Yeung	AVD958	Licensed Representative (Provisional Licence)	1
LO Ho Lung	AVE188	Licensed Representative (Provisional Licence)	1
LO Wai Hung	AVD807	Licensed Representative (Provisional Licence)	2
LU Yi	AVE820	Licensed Representative (Provisional Licence)	1, 4, 6
LUI Chung Ting	AVD372	Licensed Representative (Provisional Licence)	1
LUI Siu Man	AVD314	Licensed Representative (Provisional Licence)	1
LUK King Man	AVD973	Licensed Representative (Provisional Licence)	1, 2
LUK Michael Wai Hoe	AFS485	Licensed Representative (Provisional Licence)	1, 4, 6
LUK Siu Yin	ADI465	Licensed Representative (Provisional Licence)	1, 2
LUONG Thomas C.C.	AGP051	Licensed Representative (Provisional Licence)	4
MA Huan	AUZ788	Licensed Representative (Provisional Licence)	6
MA Lai Suet	AUE660	Licensed Representative (Provisional Licence)	1
MAC ALOON John Joseph	AVF623	Licensed Representative (Provisional Licence)	1, 2
MAHESHWARI Mayank	AVH119	Licensed Representative (Provisional Licence)	1
MALL Man Mohan	AVE809	Licensed Representative (Provisional Licence)	1
MALLICK Sumana	AVF619	Licensed Representative (Provisional Licence)	1
MAN Chap Fu, Jeff	AVD847	Licensed Representative (Provisional Licence)	1, 4, 9
MANCINI Mark Francis	AVA348	Licensed Representative (Provisional Licence)	1, 2
MARQUEVIELLE Olivier Hee Chul	AVE024	Licensed Representative (Provisional Licence)	1
MC CARRON Ciaran Peter	AVE056	Licensed Representative (Provisional Licence)	1, 2
MCDONALD Scott Andrew	AVF618	Licensed Representative (Provisional Licence)	1, 2, 7
MENG Fanjie	AVC118	Licensed Representative (Provisional Licence)	4
MESZAROS Andor Istvan	AQU256	Licensed Representative (Provisional Licence)	1, 6
MILLARD Michael Ashley	AVE807	Licensed Representative (Provisional Licence)	9
MIU Cheong Lam	AVD087	Licensed Representative (Provisional Licence)	1, 2
MIU Hung Lucy	ADQ868	Licensed Representative (Provisional Licence)	1

CE Name	CE No.	Licence Type	Types of Regulated Activity
MOEL MODIANO Alberto	AVD880	Licensed Representative (Provisional Licence)	1, 4
MOHD MUKHTAR Muhammad Muzhafar Bin	AVE441	Licensed Representative (Provisional Licence)	4
MOK Nga Woon, Shirley	AVF163	Licensed Representative (Provisional Licence)	1
MOK Sin Yan	AVD972	Licensed Representative (Provisional Licence)	1, 2
MOK Yue Pang	APJ712	Licensed Representative (Provisional Licence)	1, 2
MONOT Cosme Hubert Roger Pierre	AVF688	Licensed Representative (Provisional Licence)	1, 7
MORRISON Allister George	ABB808	Licensed Representative (Provisional Licence)	1, 4, 6
MUTTDON Luke	AVE988	Licensed Representative (Provisional Licence)	1, 2
NG Dennis	AVG536	Licensed Representative (Provisional Licence)	1, 2
NG Fai Yeung, Eric	AVF598	Licensed Representative (Provisional Licence)	4
NG Hung Wai, Tony	AVC722	Licensed Representative (Provisional Licence)	1
NG Ka Man	AUZ729	Licensed Representative (Provisional Licence)	1
NG Man Yee	AVD844	Licensed Representative (Provisional Licence)	1
NG Shi Wei, Leonard	AVE805	Licensed Representative (Provisional Licence)	1, 4, 6
NG Wai Yan	AVD093	Licensed Representative (Provisional Licence)	1
NG Wing Cheong	AVE043	Licensed Representative (Provisional Licence)	1
NG Yin Yee, Ivy	AVC720	Licensed Representative (Provisional Licence)	1
NGAI Chow Fung	AVD371	Licensed Representative (Provisional Licence)	1
NICOL Christopher Geoffrey	AVF592	Licensed Representative (Provisional Licence)	1
NOIRAT Benjamin	AVD838	Licensed Representative (Provisional Licence)	1, 2
NOJIMA Susumu	AUT633	Licensed Representative (Provisional Licence)	1, 2
ONO Azuma	AOR376	Licensed Representative (Provisional Licence)	4
OR Frank King Hon	AES401	Licensed Representative (Provisional Licence)	1
OU Yun-ching	ASZ561	Licensed Representative (Provisional Licence)	1
PACHCHIGAR Grishma Nitin	AVG766	Licensed Representative (Provisional Licence)	1, 2
PANG Ka Keung	AVF502	Licensed Representative (Provisional Licence)	1
PANG Ka Yin	AEF574	Licensed Representative (Provisional Licence)	1
PANG Sik Meng	AVG572	Licensed Representative (Provisional Licence)	1, 9
PANG Yeung Ping	AVE982	Licensed Representative (Provisional Licence)	1, 7
PARK Ha Sung	AVD520	Licensed Representative (Provisional Licence)	1
PATEL Rikil Yogesh	AVH271	Licensed Representative (Provisional Licence)	1, 2
PATWARI Ruchi	AVB959	Licensed Representative (Provisional Licence)	4
PAUL Jonathan Matthew Wing-en	AQS184	Licensed Representative (Provisional Licence)	1, 4, 6
PAULINA Elly	AVD547	Licensed Representative (Provisional Licence)	1, 4
PERRIN Francois Jean Bernard	AVD963	Licensed Representative (Provisional Licence)	4, 9
PHELPS Jacob Thomas	AVD354	Licensed Representative (Provisional Licence)	1, 2

CE Name	CE No.	Licence Type	Types of Regulated Activity
PONG Yue Hin	AVF216	Licensed Representative (Provisional Licence)	4
POON Chi Hung	AVH596	Licensed Representative (Provisional Licence)	1
POTEL Ronnie Rayomand	ALW778	Licensed Representative (Provisional Licence)	1, 6
PUN Yiu	ASP010	Licensed Representative (Provisional Licence)	1, 6
QIU Hua	AVH944	Licensed Representative (Provisional Licence)	1
QUAN Hong	AIE773	Licensed Representative (Provisional Licence)	1, 6
RAY Rajat Subhra	AVA085	Licensed Representative (Provisional Licence)	1, 2
REINHARDT Oksana	AVE054	Licensed Representative (Provisional Licence)	1
RIPLEY Sean James	AFK667	Licensed Representative (Provisional Licence)	9
ROBERTS Peter Bruce	AVF324	Licensed Representative (Provisional Licence)	1
RODRIGUEZ Gerardo Emanuel	AVC259	Licensed Representative (Provisional Licence)	1, 2
ROTTER Luca	AVE217	Licensed Representative (Provisional Licence)	1, 2
RYU Tae-Ho	AVD978	Licensed Representative (Provisional Licence)	1, 9
SALNIKOVA Daria	AVE446	Licensed Representative (Provisional Licence)	1
SANDOR David	AQA676	Licensed Representative (Provisional Licence)	1, 6
SATO Shinji	AVD528	Licensed Representative (Provisional Licence)	1, 2
SHAO Xiaobo Sara	AVG424	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Chenhua	AVE813	Licensed Representative (Provisional Licence)	1
SHI Xiaoni	AMJ724	Licensed Representative (Provisional Licence)	4, 9
SHID Chun Hieun	APJ571	Licensed Representative (Provisional Licence)	1, 2
SHIH Shan Shan	AVF496	Licensed Representative (Provisional Licence)	1, 2
SHIVDASANI Shaan Anil	AVE058	Licensed Representative (Provisional Licence)	1
SHU Lai Yi	AMS605	Licensed Representative (Provisional Licence)	1
SHU Wan Chi	AVF621	Licensed Representative (Provisional Licence)	1
SHUAI Qi	AVD106	Licensed Representative (Provisional Licence)	1, 4, 6
SHUM Lui Pang	AQH110	Licensed Representative (Provisional Licence)	1, 2
SHUM Wing Man, Jennifer	AVH209	Licensed Representative (Provisional Licence)	4, 9
SIU Kwan Kin	AVD536	Licensed Representative (Provisional Licence)	1, 4
SKELTON Lisa Jayne	AVH106	Licensed Representative (Provisional Licence)	1, 2
SO Ho Ching, Paul	AQD146	Licensed Representative (Provisional Licence)	4, 5, 9
SO Pak Chee, Percy	AVB954	Licensed Representative (Provisional Licence)	1, 6
SOLBES Laurent	AVG749	Licensed Representative (Provisional Licence)	1, 2, 7
STEWART James Michael	AVF503	Licensed Representative (Provisional Licence)	4
SUN Liang	AUZ741	Licensed Representative (Provisional Licence)	1, 4
SUN Ying	AVD529	Licensed Representative (Provisional Licence)	1, 2
SUN Yue	APO973	Licensed Representative (Provisional Licence)	4, 9

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUNG Tsung Ping	AVD304	Licensed Representative (Provisional Licence)	1, 2
SZE Lap Sum	AVC721	Licensed Representative (Provisional Licence)	1
TAI Yee Yung	AVE789	Licensed Representative (Provisional Licence)	1
TAM Sze Mei	AVF030	Licensed Representative (Provisional Licence)	1, 4
TAM Tai Wai	AVD971	Licensed Representative (Provisional Licence)	1, 2
TAN Zi Lin, Vera	ANH747	Licensed Representative (Provisional Licence)	1, 2
TANAKA Hironori	ALU048	Licensed Representative (Provisional Licence)	1
TANG Chung Bong, Rubun	AVE676	Licensed Representative (Provisional Licence)	1, 2, 7
TANG Kwok Yung	AEE613	Licensed Representative (Provisional Licence)	1, 2
TANG Pak Kee	AVD968	Licensed Representative (Provisional Licence)	1
TANG Simon Ming Yeung	AVD361	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Yu Lam	AVG579	Licensed Representative (Provisional Licence)	1
TAY Siang Watt Terence	AVH952	Licensed Representative (Provisional Licence)	1
TEE Sze Chiah	AVE567	Licensed Representative (Provisional Licence)	4
TIAN Jingjing	AVE808	Licensed Representative (Provisional Licence)	1, 6
TIAN Kuankuan	ATP164	Licensed Representative (Provisional Licence)	1, 4, 6
TING Chi Chung	AVD329	Licensed Representative (Provisional Licence)	1, 2
TONG Mung Yin	AVD907	Licensed Representative (Provisional Licence)	1
TSAI Hin Man, Daniel	ANJ771	Licensed Representative (Provisional Licence)	1, 6
TSANG Ping Kwan	AEH879	Licensed Representative (Provisional Licence)	1, 2
TSANG Pui Man	AVE186	Licensed Representative (Provisional Licence)	1
TSANG Shan	AVB835	Licensed Representative (Provisional Licence)	1
TSE Cho Wan	AVD685	Licensed Representative (Provisional Licence)	1, 6
TSE Wing Lam, Michelle	AVB832	Licensed Representative (Provisional Licence)	1, 6
TSE Yuen Yi, Margaret	AFW095	Licensed Representative (Provisional Licence)	1
TSOI Chiu Kwan	AVH068	Licensed Representative (Provisional Licence)	1
TSUI Terence	AVA788	Licensed Representative (Provisional Licence)	1
TUNG Jonathan W	AVE251	Licensed Representative (Provisional Licence)	1, 2
TURNBULL Alexander Bligh Hughes	AMN702	Licensed Representative (Provisional Licence)	1
UNESAKI Akira	AVC266	Licensed Representative (Provisional Licence)	4
UOMOTO Toshihiro	AUZ789	Licensed Representative (Provisional Licence)	1
VAGANOV Vladislav	AVH114	Licensed Representative (Provisional Licence)	1, 2, 7
VAN DER GRAAF Roderik Cornelis	APV504	Licensed Representative (Provisional Licence)	1, 2
VAN DER SCHAFT Pieter	ADW623	Licensed Representative (Provisional Licence)	1, 4
VARMANI Karan	AVG633	Licensed Representative (Provisional Licence)	1, 2
VOGEL Daniel	AVG414	Licensed Representative (Provisional Licence)	1, 4, 6

CE Name	CE No.	Licence Type	Types of Regulated Activity
WAN Tin Chi	AVF008	Licensed Representative (Provisional Licence)	1
WANG Chengxiang	AVD393	Licensed Representative (Provisional Licence)	4
WANG Jianbo	ARE473	Licensed Representative (Provisional Licence)	1, 2
WANG Jun	AVA086	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Loke Kiat	AVD977	Licensed Representative (Provisional Licence)	1, 2, 7
WANG Wen	AUZ790	Licensed Representative (Provisional Licence)	6
WANG Xiao	AVD829	Licensed Representative (Provisional Licence)	4, 9
WANG Yanan	AVE602	Licensed Representative (Provisional Licence)	4
WANG Yihui	AVE151	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yingchuan	AQE314	Licensed Representative (Provisional Licence)	1, 2
WEI Suk Po	AFS484	Licensed Representative (Provisional Licence)	1, 2
WENHAM James Alexander Scott	AVF334	Licensed Representative (Provisional Licence)	1
WINDSOR Michele Anne	AVF116	Licensed Representative (Provisional Licence)	1, 9
WONG Che Fui	AOX137	Licensed Representative (Provisional Licence)	1, 2
WONG Cheuk Hung	AFC567	Licensed Representative (Provisional Licence)	1, 2
WONG Chi Pang	AVD101	Licensed Representative (Provisional Licence)	1, 2
WONG Ching Man, Sarah	AVF111	Licensed Representative (Provisional Licence)	1
WONG Chun	AVD584	Licensed Representative (Provisional Licence)	1, 6
WONG Chun Wai, Raymond	AEF008	Licensed Representative (Provisional Licence)	1, 2
WONG Ho Man Julian	AUA500	Licensed Representative (Provisional Licence)	1, 2
WONG Kim Cheung, Pius	AGE447	Licensed Representative (Provisional Licence)	1
WONG King	AVE609	Licensed Representative (Provisional Licence)	4, 9
WONG Kwok Chung	ATL699	Licensed Representative (Provisional Licence)	1
WONG Lai Che	AAD646	Licensed Representative (Provisional Licence)	1
WONG Po Yee	AOC980	Licensed Representative (Provisional Licence)	1
WONG Siang Yeung Rigan	AVE595	Licensed Representative (Provisional Licence)	4
WONG Tin Kit	AVD828	Licensed Representative (Provisional Licence)	1
WONG Wai Kong, Edman	APJ853	Licensed Representative (Provisional Licence)	1
WONG Wing Fung	AGG258	Licensed Representative (Provisional Licence)	1, 4
WONG Wing Sum	AVE603	Licensed Representative (Provisional Licence)	1, 4
WONG Wing Yan	ALM985	Licensed Representative (Provisional Licence)	1
WOO Man Yip, Justin	AFZ875	Licensed Representative (Provisional Licence)	1, 2, 7
WU Huimin	AUZ699	Licensed Representative (Provisional Licence)	1, 4
WU Yahong	AVF001	Licensed Representative (Provisional Licence)	1, 4, 6
WU Yuk Hung	AVF641	Licensed Representative (Provisional Licence)	1
WU Zhijun	AVF146	Licensed Representative (Provisional Licence)	1, 6

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIE Jianying	AQB143	Licensed Representative (Provisional Licence)	4
XU Yidi	AVD403	Licensed Representative (Provisional Licence)	1, 4, 6
XU Zhouping	AVE570	Licensed Representative (Provisional Licence)	1, 6
YAN Wentao	AUV991	Licensed Representative (Provisional Licence)	1, 2
YANG Chung-chih	AVD352	Licensed Representative (Provisional Licence)	1, 4
YANG Lingxiu	AVH936	Licensed Representative (Provisional Licence)	4
YANG Shuenn-yuh	AVA597	Licensed Representative (Provisional Licence)	1, 4, 6
YAU Man Him	AVE055	Licensed Representative (Provisional Licence)	1, 2
YAU Man Li	AVE046	Licensed Representative (Provisional Licence)	1, 4
YEE Ming Wai	AVD975	Licensed Representative (Provisional Licence)	1, 2
YEN Tzu-han	ANL837	Licensed Representative (Provisional Licence)	1, 4, 6
YEUN Man Yee	AVH947	Licensed Representative (Provisional Licence)	9
YEUNG Chun Wing	AVD563	Licensed Representative (Provisional Licence)	1, 4
YEUNG Hoi Chuen	ALO198	Licensed Representative (Provisional Licence)	4
YEUNG Nok Yan, Jonathan	AVD837	Licensed Representative (Provisional Licence)	4
YEUNG Pui Yan	AVE023	Licensed Representative (Provisional Licence)	1, 2
YEUNG Yat Tung	AVD364	Licensed Representative (Provisional Licence)	1, 2
YIN Jason Hoeyan	AVB829	Licensed Representative (Provisional Licence)	1, 4, 6
YIP Ching Man Ivy	AVE594	Licensed Representative (Provisional Licence)	1, 2
YPSILANTI Alexander George	AHL627	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
YU Chiung-yin	ARQ097	Licensed Representative (Provisional Licence)	1, 4, 6
YU ENG HONG Franklin	AUY318	Licensed Representative (Provisional Licence)	1, 4
YU Jane Qian	AVB983	Licensed Representative (Provisional Licence)	1
YU Ka Ho, Bernard	AQR189	Licensed Representative (Provisional Licence)	9
YU Meng	APF778	Licensed Representative (Provisional Licence)	1, 4, 6
YU Nga Chi	AVE448	Licensed Representative (Provisional Licence)	1
YU Yan Kit, Ivan	AVC104	Licensed Representative (Provisional Licence)	1, 2, 7
YUEN Shiu Ming	AAC069	Licensed Representative (Provisional Licence)	9
YUEN Shu Chi Alvin	ATX895	Licensed Representative (Provisional Licence)	1, 2
YUNG Lik Hang	AVD830	Licensed Representative (Provisional Licence)	1, 2
ZHANG Liang	AVA073	Licensed Representative (Provisional Licence)	1, 4
ZHANG Mo	AVC250	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shunrong	AVG894	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Xinliang	AVE572	Licensed Representative (Provisional Licence)	1, 6
ZHAO Qiyi	AVF557	Licensed Representative (Provisional Licence)	1, 6
ZHONG Wenming	AUZ742	Licensed Representative (Provisional Licence)	1, 4

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHOU Lei	AUV419	Licensed Representative (Provisional Licence)	6
ZHOU Tingting	AUG803	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Yifeng	AVD686	Licensed Representative (Provisional Licence)	1, 6
ZHU Yuande	AVH785	Licensed Representative (Provisional Licence)	1, 4
ARAKAKI Victor Crisol	AVG427	Licensed Representative (Temporary Licence)	1
ASPESI Claudio	AVI918	Licensed Representative (Temporary Licence)	4
AYRES Frank Alan	ADP222	Licensed Representative (Temporary Licence)	1
BONZON Yves Roger Henri	AVH039	Licensed Representative (Temporary Licence)	1
BRASHEAR Janet Mallison	AVJ254	Licensed Representative (Temporary Licence)	4
CHAUDHRY Mateen	AOO558	Licensed Representative (Temporary Licence)	1
CHUA Su Tye	AUW974	Licensed Representative (Temporary Licence)	4
CLINT Oswald Conan	AVH615	Licensed Representative (Temporary Licence)	4
CRAWFORD James Duncan Laing	AVG867	Licensed Representative (Temporary Licence)	4
DONAY Christophe Jean Hubert	AVH050	Licensed Representative (Temporary Licence)	1
HERMIE Kris A.	AUV976	Licensed Representative (Temporary Licence)	1
IANNONE Angelo Gerald	AQE834	Licensed Representative (Temporary Licence)	1, 2
JENKYN-JONES Bruce	AVE747	Licensed Representative (Temporary Licence)	4
KATAYAMA Eiichi	AMO855	Licensed Representative (Temporary Licence)	4
KINMONT David Alexander Holloway	AVF091	Licensed Representative (Temporary Licence)	1
LIM Yoo Min	AQQ499	Licensed Representative (Temporary Licence)	1, 2
MA Jie	AVD108	Licensed Representative (Temporary Licence)	4
ODEN John Evans	AOU898	Licensed Representative (Temporary Licence)	4
PETTITT Stephen Mark	AVD294	Licensed Representative (Temporary Licence)	2
PRICE Nicholas John	APV295	Licensed Representative (Temporary Licence)	1
PUROHIT Debasish Priyadarshi	ALV240	Licensed Representative (Temporary Licence)	1, 4, 6
QUEK Chin Kuan	AMG954	Licensed Representative (Temporary Licence)	4
RAJPAL Jai	APJ980	Licensed Representative (Temporary Licence)	1, 2, 4, 6
REEVES Martin Adam	AVG767	Licensed Representative (Temporary Licence)	4
RENONCOURT Julien	AJO301	Licensed Representative (Temporary Licence)	1
RIS Alexandre Hugo	ATO934	Licensed Representative (Temporary Licence)	4
SALAMA Daniel	ARU050	Licensed Representative (Temporary Licence)	4
SHAO Jiamin	AVD115	Licensed Representative (Temporary Licence)	4
SHIMADA Tetsuhiro	ARG825	Licensed Representative (Temporary Licence)	1
SINGH Bhuvnesh	AUX279	Licensed Representative (Temporary Licence)	4
SIVASUBRAMANIAM Sivasakthi D/O	AUW890	Licensed Representative (Temporary Licence)	4
SODA Yuzo	AUW239	Licensed Representative (Temporary Licence)	1

CE Name	CE No.	Licence Type	Types of Regulated Activity
SONG Ming Huay	AUW973	Licensed Representative (Temporary Licence)	4
SUAREZ David Naguit	AVG597	Licensed Representative (Temporary Licence)	1, 2
SUGIBAYASHI Sakura	ATR849	Licensed Representative (Temporary Licence)	1
THIHA Mika	AUZ530	Licensed Representative (Temporary Licence)	1, 2
TO Christine Oi Yan	ADQ669	Licensed Representative (Temporary Licence)	1, 2
TOTH Gina Marie	ARV876	Licensed Representative (Temporary Licence)	4
VANPARIJS Frederic H. L.	AUV975	Licensed Representative (Temporary Licence)	1
WADAKI Tetsuya	ATE081	Licensed Representative (Temporary Licence)	4
WILSON Thomas George St John	AVI947	Licensed Representative (Temporary Licence)	1
WONG Hui Yun	AUZ064	Licensed Representative (Temporary Licence)	1, 6
YAMAMOTO Morihiro	AVH184	Licensed Representative (Temporary Licence)	1
YAMASAKI Masaya	AMM286	Licensed Representative (Temporary Licence)	4
ZHANG Yun Ying	AVF024	Licensed Representative (Temporary Licence)	1