

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
Cheyne Capital Management (HK) Limited	ANT244	Licensed Corporation	9
Galileo Capital Limited	AEZ701	Licensed Corporation	6
New Star International Investment Products (Asia) Limited	AHF148	Licensed Corporation	1, 4, 9
NewSmith Capital Partners (Hong Kong) Limited	AMK295	Licensed Corporation	4, 9
Hong Kong Forex Investment Limited	ABT278	Licensed Corporation (Deemed Licence)	3
ICEA Capital Limited	ACP064	Licensed Corporation (Deemed Licence)	1, 4, 6, 9
Susquehanna Financial Group, LLLP	ARJ898	Licensed Corporation (Temporary Licence)	1, 6
ABDUL HALIM Ahmad Bin	APZ549	Licensed Representative	4
AGARWAL Harsh Lakshman	ANL070	Licensed Representative	4
AIT HASSOUNE Mohamed, Eric	AQJ876	Licensed Representative	1
AMATT James William	ARN424	Licensed Representative	4, 5, 9
AN Sung Jin	ANW184	Licensed Representative	1, 4, 6
ANGGRAINI Vivi	AOC741	Licensed Representative	4, 6
AU Chin Lai, Emma	AAD155	Licensed Representative	1
AU Yan Hei, Antony	APK146	Licensed Representative	1, 2
AU-YEUNG Pak Leung	APN585	Licensed Representative	1, 2
BAI Yang	APY585	Licensed Representative	1, 4
BLIESCHKE Stuart Giles	APR572	Licensed Representative	1, 4, 6
BURGESS Matthew	AJA305	Licensed Representative	1, 2
BURKE Daniel Christopher	ASE642	Licensed Representative	1, 2
CAFFREY Kevin Michael	AMS335	Licensed Representative	1, 2
CAI Baoluo	AQE611	Licensed Representative	1, 4
CAMPBELL Edmund Mckay	ARS573	Licensed Representative	1, 4, 9
CAO Junyang	AOG956	Licensed Representative	4
CARANGO Anthony	AQF555	Licensed Representative	4, 6
CHAINANI Roshan Kumar	APC773	Licensed Representative	1
CHAK Tsz Hoi	AML470	Licensed Representative	1, 2
CHAN Bao Ling	AKJ285	Licensed Representative	1, 4
CHAN Chang Yu, Francis	AAC170	Licensed Representative	1, 2
CHAN Chi Hang	AIE957	Licensed Representative	3
CHAN Choi Wah, Kanise	AHF667	Licensed Representative	1, 2, 4, 4, 9, 9
CHAN Ho Chu, Connie	AAG595	Licensed Representative	4
CHAN Ho Ming	AQJ203	Licensed Representative	4
CHAN Joe Cho Lit	AMM394	Licensed Representative	9
CHAN Ka Chun	AON696	Licensed Representative	1, 4
CHAN Ka Yan	APX191	Licensed Representative	4
CHAN Ka Yau, Kevin	APK353	Licensed Representative	1, 3
CHAN Kit Hing, Kathy	AHG624	Licensed Representative	1
CHAN Kwok Luen	ACK347	Licensed Representative	3
CHAN Kwong Wa, Lawrence	ABQ649	Licensed Representative	1, 2
CHAN Lai Wah	ACX941	Licensed Representative	3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Man Hon	AAN830	Licensed Representative	1, 2, 5
CHAN Man Hon	AEI555	Licensed Representative	4
CHAN Siu Han	AQU571	Licensed Representative	1
CHAN Sun Wing, Leo	ARI741	Licensed Representative	1
CHAN Sung Yuen	ACS118	Licensed Representative	3
CHAN Tung Fai	AID487	Licensed Representative	3
CHAN Wing Sang	AQP924	Licensed Representative	1
CHAN Wing Yan	AOX997	Licensed Representative	1, 4, 5, 9
CHAN Yan Nai	AFZ305	Licensed Representative	4
CHAN Yau Kei	APG498	Licensed Representative	1, 4
CHAN YEUNG Mo Bik, Virginia	ADY936	Licensed Representative	1, 9
CHAN Yi Ching	AKY568	Licensed Representative	1
CHAN Yi-Hsiung	AQQ271	Licensed Representative	1
CHAN Yik Fung	APL959	Licensed Representative	1
CHANG Yung Kang, Peter	AGU143	Licensed Representative	1
CHAO Yuet Yee	ARG525	Licensed Representative	2
CHAU Wai Ching, Karen	AOV686	Licensed Representative	1, 4
CHEAH Ming Huat	AQU260	Licensed Representative	1, 6
CHEN Chia-hao	ALG052	Licensed Representative	1, 4
CHEN Zhichao	APH484	Licensed Representative	1, 4
CHENG Kwan Kin	AHK400	Licensed Representative	3
CHENG Shuk Hing	ANP070	Licensed Representative	4
CHENG Siu Mun, Anka	AAB509	Licensed Representative	1, 2
CHENG Yun Chung, Ronie	AKW439	Licensed Representative	4
CHEUNG Chi Ho, Edward	ARK371	Licensed Representative	4
CHEUNG Chi Kwan	AKT530	Licensed Representative	1, 4
CHEUNG Hiu Yin	ASL325	Licensed Representative	1
CHEUNG Hoi Kit, Benny	APS515	Licensed Representative	1, 4
CHEUNG Hok Bun, Ben	ASF481	Licensed Representative	4
CHEUNG Michelle Wei Sze	ANW214	Licensed Representative	4, 9
CHEUNG Wai Kit	AGW410	Licensed Representative	3
CHEUNG Wai Kuen	AEZ938	Licensed Representative	1, 4
CHEUNG Wai Ying	AOV443	Licensed Representative	1, 4, 9
CHIEN Tsz Kin	AMK413	Licensed Representative	1, 4, 5
CHIN Chia Chi	ANZ633	Licensed Representative	1, 4
CHIU Man Wai	AFT050	Licensed Representative	4
CHIU Wai Keung	ARA682	Licensed Representative	1
CHIU Yung Kit	AFV356	Licensed Representative	1, 4
CHOI Christian Man-Hon	APL604	Licensed Representative	1, 4, 9
CHOI Ho Yi, Phyllis	AHZ637	Licensed Representative	1, 6
CHOI Hon Man	AGO786	Licensed Representative	3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	License Type	Types of Regulated Activity
CHOI Hye Jin	APG513	Licensed Representative	1, 2
CHOI Jae Won	AOD815	Licensed Representative	1, 2
CHOI Sui Fung, Andy	ACK330	Licensed Representative	3
CHOI Wai	ADN272	Licensed Representative	1, 4
CHOI Wing Kam	AGC912	Licensed Representative	1, 1, 4, 4, 5, 9
CHOONG Han Ching	ADI243	Licensed Representative	1, 2
CHOU Veronica Sylvia Wing Wai Au	AQS224	Licensed Representative	1, 2
CHOW Miu Fong, Anfar	AED423	Licensed Representative	3
CHOW Suet Mui	ABP768	Licensed Representative	1, 2
CHOW Sung Tak	ADJ004	Licensed Representative	1, 2
CHU Man Dick, Paul	AIR639	Licensed Representative	1
CHU Ying	AQY614	Licensed Representative	9
CHUANG Pao-Chin	ARJ112	Licensed Representative	1
CHUNG Hong Taik	APR253	Licensed Representative	4
CHUNG Tin Yau	AIC877	Licensed Representative	3
CLEMENSON Stephen William John	ADS814	Licensed Representative	1
COATES David Lee	ARJ844	Licensed Representative	1
DANJOUX Berangere	APV620	Licensed Representative	1
DE ROZARIO Robert Allen	AQM686	Licensed Representative	1, 4
DONG Ranran	ARI648	Licensed Representative	1
DU Yiqing	ARJ440	Licensed Representative	6
FAN Hoi Man	APL377	Licensed Representative	1
FAURE Emmanuel Dominique Martial G.	AFC367	Licensed Representative	1, 2, 7
FENDRIK Laszlo	ARV368	Licensed Representative	1, 6
FU Wai Yu	ARA116	Licensed Representative	4
FUN Ai Lyn	AOS681	Licensed Representative	1
FUNG Kai Yuen	ASD832	Licensed Representative	4
FUNG Shui Ping, Giano	AKI590	Licensed Representative	1
GANDHI Ashish	AOB334	Licensed Representative	4
GAO Yan	APU788	Licensed Representative	2
GARTHOFF Alexander Raymond	ABV792	Licensed Representative	1, 4
GINSBURY John	AHC423	Licensed Representative	4, 5, 9
GIRAUD Eglantine Alice	ARE678	Licensed Representative	9
GONG Gail Ann	AEI385	Licensed Representative	4, 9
GONG Wei	APK744	Licensed Representative	1, 2, 7
GRAINGER Richard Peter	AJM908	Licensed Representative	1, 6
GUNWANI Manish	AQJ544	Licensed Representative	4
HANSPAL Raunaq Singh	AOL635	Licensed Representative	4, 6
HARADA Sonoko	APR256	Licensed Representative	1, 2
HILLEN Florian Maarten	ANR683	Licensed Representative	1, 2
HIME Jessica Naomi Anne	AEL937	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	License Type	Types of Regulated Activity
HO Chit Ho	AQF587	Licensed Representative	4
HO Hoi Ming	AGU680	Licensed Representative	3
HO Ka Po, Anna	ANQ590	Licensed Representative	4
HO Kit Wa	AHF457	Licensed Representative	3
HO Tak Nam, Queeny	ALW473	Licensed Representative	4, 9
HSI Chung-Chiou	APB426	Licensed Representative	1, 4
HSU Jui-Sheng	APV584	Licensed Representative	4, 5, 9
HUANG Jie	ANX061	Licensed Representative	1, 2, 7
HUANG Zhiyi	AQM150	Licensed Representative	1
HUI Pak Chi	AQY763	Licensed Representative	1, 2, 7
HUI Siu Cheung	AQX497	Licensed Representative	4
HUI Tsz Shan	ASD885	Licensed Representative	4
HUNG Cheuk Ting, Maureen	AMS399	Licensed Representative	1
HUSSAIN Yasmin	ALF303	Licensed Representative	4
IP Kar King, Kenneth	ACP180	Licensed Representative	1, 2
JACKSON Christopher Macdougall	AGO287	Licensed Representative	4, 9
JI Zhen	APD118	Licensed Representative	4
JIANG Ling	AOA917	Licensed Representative	6
JIANG Tao	APC005	Licensed Representative	1, 4, 6
JONG Simon Yuanzhi	ARI933	Licensed Representative	1
JUHN Grace Sunghee	ARB100	Licensed Representative	1
JUNG Woo Chang	AMP292	Licensed Representative	4
KAHM David Jung	AKP207	Licensed Representative	1, 4
KAN Pui Ling, Isabelle	AOE059	Licensed Representative	4, 9
KANG Zhaoyang	ARN401	Licensed Representative	6
KAST Marta Luise	AIU415	Licensed Representative	1, 7
KIM Han Chul	ANT252	Licensed Representative	1, 4
KING Kalina Shaw Tsang-jia	ARL029	Licensed Representative	1
KINNEAR-NOCK Scott William	AFH089	Licensed Representative	1
KOBLER Tyhoa	AOU148	Licensed Representative	1, 4
KONG Suet Ping	AOY202	Licensed Representative	1, 2
KOO Ginam	ARB440	Licensed Representative	1, 2
KUKREJA Kathy Sham	ANB187	Licensed Representative	1, 4, 6
KUNG Chi Wai	ACL518	Licensed Representative	1
KWAN Chi Hung	ARE725	Licensed Representative	1
KWOK Wai Ngar	APP976	Licensed Representative	1, 4
KWONG Ho Kwun	ASC929	Licensed Representative	4
KWONG Ka King, Leo	AFP982	Licensed Representative	9
KWONG Siu Tung	AMC990	Licensed Representative	1
LAI Chi Tak	AFM115	Licensed Representative	4
LAI Chun	AND908	Licensed Representative	3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	License Type	Types of Regulated Activity
LAI Gorge Mike	ACT782	Licensed Representative	1, 2, 3, 4, 9
LAI Lai Shuen	AMF491	Licensed Representative	1, 2
LAI Ming Chu, Julie	AMJ836	Licensed Representative	4
LAM Anne	ABY973	Licensed Representative	1, 4, 9
LAM Jim	ADT526	Licensed Representative	1, 4
LAM Ka Lai, Queenie	AMO565	Licensed Representative	4
LAM Kam Kin	ACO608	Licensed Representative	1
LAM Maggie	APV887	Licensed Representative	1, 4
LAM Martin Thanh	AOB912	Licensed Representative	1, 4, 9
LAM Sau Yuk	AOE609	Licensed Representative	3
LAM Shing Bun	AFV935	Licensed Representative	1, 2
LAM Siu Fong	APA572	Licensed Representative	1, 4
LAM Tsz Yung, Victor	APF693	Licensed Representative	1, 4
LAU Kar Yau, Johnny	AGW408	Licensed Representative	1, 6
LAU Kwok Chi, Robert	APF022	Licensed Representative	1, 4
LAU Ming	AQC479	Licensed Representative	1
LAU Tze Kwong	ANF260	Licensed Representative	1
LAW Charles	AEJ349	Licensed Representative	4
LAW Kevin Kwok Ching	AJA308	Licensed Representative	1, 6
LAW Siu Yuen	AQA462	Licensed Representative	4
LEE Chin Keng	APH298	Licensed Representative	1
LEE Chu Fung	AOT898	Licensed Representative	3
LEE Fung Yu	ARI391	Licensed Representative	4
LEE Hiu Yu	APG112	Licensed Representative	1
LEE Hok Chi	AMH855	Licensed Representative	1, 2
LEE Joseph Won	ANB457	Licensed Representative	1, 2
LEE Ka Yee, Jacqueline	AOS409	Licensed Representative	1, 2, 3
LEE Sai Fan	AFB313	Licensed Representative	1, 4, 5, 9
LEE Sai Wah, Daniel	ABP729	Licensed Representative	1
LEE Seokho	AQC421	Licensed Representative	1, 2
LEE Seung Hoon	AQQ502	Licensed Representative	4, 5, 9
LEE Shu Kwan	ARK378	Licensed Representative	4
LEE Siu Fung, Rosana	AQJ085	Licensed Representative	1
LEE So Ryung	ARG849	Licensed Representative	1, 2, 7
LEE Sounghun	API076	Licensed Representative	1, 4
LEE Tak Mong, Felix	AHV479	Licensed Representative	3
LEE Wai Kwok, Ignatius	ABH270	Licensed Representative	4, 5, 9
LEE Wing Ho	APO749	Licensed Representative	1, 2
LEITE DA COSTA Jose Pedro Riberiro Aires	AKP795	Licensed Representative	1
LEONG Sok I	APV247	Licensed Representative	1
LEUNG Chun Hei	ARA115	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Hoi Ming, Andrew	ARF919	Licensed Representative	1, 2
LEUNG Lap Yan Silvana	ARI172	Licensed Representative	4
LEUNG Wai Hung, Denny	ADF184	Licensed Representative	3
LEUNG Wing Kai	AQU970	Licensed Representative	9
LEUNG Yat Mun	APD294	Licensed Representative	1, 4
LEUNG Yiu Cho	APJ889	Licensed Representative	6
LEWIS Paul William John	APB503	Licensed Representative	1
LI Chi Hung	APC076	Licensed Representative	4
LI Jialie	AQR387	Licensed Representative	1
LI Jingxin	AQU255	Licensed Representative	4
LI Ka Ho, Dennis	AQP644	Licensed Representative	1, 2
LI Kai Cheung, Henny	ACK903	Licensed Representative	3
LI Shuang	APU651	Licensed Representative	1
LI Weibo	AOC802	Licensed Representative	1, 4, 9
LI Ying Lun, Peter	AMN656	Licensed Representative	1, 4
LI Zhengyi	APJ994	Licensed Representative	1
LIANG Junzhang	APM163	Licensed Representative	4, 6
LIANG Yin	ARG446	Licensed Representative	1
LIAO Philip Kai-yau	ART300	Licensed Representative	1, 2
LIN Cindy Hsin-ying	APL239	Licensed Representative	1, 4
LIN Po-ching	APO545	Licensed Representative	2
LIU En-chuan	AQD052	Licensed Representative	9
LIU Hung Ho	ADR925	Licensed Representative	1, 4, 5, 6, 9
LIU Man Wah	AFT179	Licensed Representative	1
LIU On Lei	AFH176	Licensed Representative	2, 3
LIU Wan Lung	ARJ408	Licensed Representative	1
LIU Wei	ANF150	Licensed Representative	1
LIU Xiangmin	ARO317	Licensed Representative	6
LIU Xingpeng	AQI526	Licensed Representative	1, 4
LO Sze Pui, Sarah	AOE651	Licensed Representative	1
LO Sze Wan, Hera	ANT776	Licensed Representative	1
LO Yue Kwan, Kimmy	AQS485	Licensed Representative	1, 4
LONGUA Mark Paul	APR707	Licensed Representative	1, 4
LOUIE Wai Tat	AML272	Licensed Representative	1, 2
LU An	ACD938	Licensed Representative	4
LUI Kam Ling	AFY186	Licensed Representative	4
LUNDBERG Karl Andreas	AMW551	Licensed Representative	4, 9
LUO Yi	ARL066	Licensed Representative	6
MA Hairuo	ARH645	Licensed Representative	1, 6
MAK Timothy Koon Hai	AQZ616	Licensed Representative	4
MARTINEZ ACOSTA Jorge Carlos	AMJ476	Licensed Representative	4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
MATHIE Robbi	ANQ501	Licensed Representative	9
MC CORMICK James Michael	APZ228	Licensed Representative	1, 4
MIU Hung	ADQ868	Licensed Representative	1, 2
MIVELAZ Gregoire Bernard	ASD683	Licensed Representative	4
MO Wai Hong, Matthew	ABY694	Licensed Representative	1, 4, 9
MOK Young Chung	AND466	Licensed Representative	4
MUSCHAMP Mark Lyndon	ARJ115	Licensed Representative	4, 9
MYONG Chun Hyong	AEN827	Licensed Representative	1
NG Cheuk Chun	AQU749	Licensed Representative	1, 4
NG Chi Chung	AQC323	Licensed Representative	4
NG Chui Mei	AHN490	Licensed Representative	3
NG Hing Cheung	ACK470	Licensed Representative	3
NG Hon Mo	ADG177	Licensed Representative	3
NG Ka Fook	AHA299	Licensed Representative	3
NG Ka Ling	ANK544	Licensed Representative	1
NG Kok Ming	AMN905	Licensed Representative	9
NG Mei Hang	AOY272	Licensed Representative	3
NG Oi Wai	APJ597	Licensed Representative	1, 2
NG Yiu Fai	APZ448	Licensed Representative	1
NG Yuen Hung, Sylvia	ALW177	Licensed Representative	1, 6
NG Yui Lam Marina	AQG516	Licensed Representative	1
NGAI Kam Fai	APK629	Licensed Representative	4
NGAI Ting Huen	ACI584	Licensed Representative	1
NICHOLS Michael Christian	AFB033	Licensed Representative	1
NICHOLS Scott Andrew	APP926	Licensed Representative	1
O'DONOVAN Michael Paul	ARN119	Licensed Representative	1
OLIVEIRA Fernando Furtado	ALU108	Licensed Representative	1
ONG Tiong Sin	ACM818	Licensed Representative	1, 6
ONUMA Yusuke	ALY384	Licensed Representative	1, 2
PAINE David L	ADH929	Licensed Representative	1, 6
PAK Hung Kong	AFW554	Licensed Representative	4
PAU Ka Yan	ASD348	Licensed Representative	4
PEI Eldon	ALA693	Licensed Representative	1, 6
PERE Jerome Jacques	ARJ210	Licensed Representative	1, 2, 7
PONG Hayden Hei Ting	APO549	Licensed Representative	9
POON Chun Pang	ARK367	Licensed Representative	1, 2
QUEK Sze Tien	ANA433	Licensed Representative	9
RUBER Matthew Albert	ACT589	Licensed Representative	1, 2
RUTHERFORD Duncan Ross	AIE258	Licensed Representative	1
SAGO Katsunori	ANR813	Licensed Representative	1, 2, 4, 5
SEEVERS II Leonard William	APA744	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
SEYMOUR Steven James	ABM769	Licensed Representative	1
SHI Shaojie	AML481	Licensed Representative	4
SIK Siu Kwan	ACB771	Licensed Representative	6
SIMA Xuefang	AQM636	Licensed Representative	1
SIMO OLIVET Anna	AHT192	Licensed Representative	1
SIT Wai Lan	AQS880	Licensed Representative	1
SO Chi Ming	AEN527	Licensed Representative	1, 2
SO Kuen Kei	ANR645	Licensed Representative	1, 2
SO Wai Hong	AQI240	Licensed Representative	9
SO Yuen Ha	ANV062	Licensed Representative	1
SQUIER Annabel Jane Helen	AMY988	Licensed Representative	1
STAFFORD Christopher Mark	APZ229	Licensed Representative	1, 4
SUGASA Dustin Paul	AMS609	Licensed Representative	1, 2
SULTAN Asad Turab	ADW848	Licensed Representative	1
SUNG Clement	ANQ403	Licensed Representative	4
TAM Yun Leung, Alan	AGY594	Licensed Representative	3
TAN Lien Seng	AFK584	Licensed Representative	1, 2, 4, 9
TANG Chi Keung	AAD492	Licensed Representative	1, 2
TANG Choe Mui	AGE488	Licensed Representative	1, 4
TANG Kit Ching	ANN128	Licensed Representative	1, 4
TANG Wai Kong	AGW564	Licensed Representative	3
TANG Wei	AOC715	Licensed Representative	1, 6
TAU Sai Kit, Terry	ARI167	Licensed Representative	4
TENG Man Kuen	APG111	Licensed Representative	1
THOMAS Paul Kenneth	ARW272	Licensed Representative	1, 2
TO Ching Chung	AOV934	Licensed Representative	3
TO Yuet Kam	AQA083	Licensed Representative	1, 4
TOH Colin Cheng Chung	AQM201	Licensed Representative	1, 2
TONG Kam Ming	AQQ647	Licensed Representative	1, 4
TONG Kwong Fai	AQX544	Licensed Representative	4
TONG Tak Keung	ADN929	Licensed Representative	1, 6
TONG Tung Kiu	AMY555	Licensed Representative	1, 2
TSANG Ka Fai	AFB900	Licensed Representative	4, 9
TSANG Sing Wan	ARP348	Licensed Representative	4
TSANG Siu Wing	APZ639	Licensed Representative	1
TSANG Siu Yuen	AFI536	Licensed Representative	1, 2, 4, 5
TSE Kam Sum, Cecilia	AIO056	Licensed Representative	4, 9
TSE Sin Ming	APR172	Licensed Representative	4
TSO Heung Yeung	ARG328	Licensed Representative	1
TSOI Chung Shun	AQD162	Licensed Representative	1, 2
TSOI Yuen Yee	AQC324	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	License Type	Types of Regulated Activity
TSUI Chi Wai	AIN731	Licensed Representative	4
TSUI Fuk Loi	ASF402	Licensed Representative	4
TSUI Oi Por	ACW587	Licensed Representative	3
TU Xiaoping	AMJ081	Licensed Representative	1, 2
TWEEBOOM Norman Lionel	AJE275	Licensed Representative	4
UAHWATANASAKUL Kalaya	ALI359	Licensed Representative	1, 4
WAKEM Joanna	AOU550	Licensed Representative	1
WANG Jing	APB403	Licensed Representative	1
WANG Szu-Chia	AOO837	Licensed Representative	1
WANG Yat Ting	AQQ519	Licensed Representative	4
WANG Zhuo	APO523	Licensed Representative	1, 6
WILSON Scott Leslie	APH297	Licensed Representative	4, 6
WONG Alan Wan Lung	AOY210	Licensed Representative	1, 2
WONG Bon Lok	AQC516	Licensed Representative	4
WONG Chi Kai	APR269	Licensed Representative	4
WONG Chi Keung	AFN240	Licensed Representative	1
WONG Chi Wai	AHV038	Licensed Representative	3
WONG Chi Yuen, Raymond	ANS041	Licensed Representative	1, 2
WONG Chun	APN559	Licensed Representative	1, 4
WONG Chun Wai	AQF358	Licensed Representative	1, 2
WONG Ha Lun, Joseph	AQS324	Licensed Representative	1
WONG Hing Hing, Frazer	AEL836	Licensed Representative	1, 4
WONG Hon Sum	AOY966	Licensed Representative	1, 6
WONG Hong Pong	ABM276	Licensed Representative	9
WONG Hong Sing	ABX116	Licensed Representative	1
WONG Huan-Chao	AOG097	Licensed Representative	1, 2, 7
WONG Hung Chau	ADK510	Licensed Representative	4
WONG Ki Fung	AON786	Licensed Representative	3
WONG Kwai Mui	ACP471	Licensed Representative	1
WONG Lena Yan Mei	AJO222	Licensed Representative	1, 4, 9
WONG Man Chun	APQ127	Licensed Representative	1, 2, 2
WONG Mei Yuk	ARB885	Licensed Representative	4
WONG Michael Sai Khit	AGW115	Licensed Representative	1, 3
WONG Sau Chun, Carrie	ACX079	Licensed Representative	1, 2, 5
WONG Shing Him	ASG434	Licensed Representative	4
WONG Sze Lok	APQ339	Licensed Representative	4
WONG Tak Yan, Andy	AME466	Licensed Representative	4
WONG Wai Kwan, Stella	APR276	Licensed Representative	1, 2
WONG Wing Kin, Ricky	AQQ122	Licensed Representative	1
WOO Bik See	ANM940	Licensed Representative	1
WOO Henry Siungai	AAU939	Licensed Representative	1, 2, 5

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	License Type	Types of Regulated Activity
WOO Kwok Leung	AQC426	Licensed Representative	1, 2
WOO Yee Ting, Patricia	ARH501	Licensed Representative	1, 4, 6
WU Hoi Yi, Carrie	ARQ749	Licensed Representative	4
WU Po Yan	APA022	Licensed Representative	4
WYSHYNSKI Jeffrey D	AOX253	Licensed Representative	1, 2
XU Jue	AQI250	Licensed Representative	1
XU Ping	ALI016	Licensed Representative	4
YANG Naijun	ARL777	Licensed Representative	1
YANG Richard C	AOR814	Licensed Representative	1, 2
YANG Rui	ALG051	Licensed Representative	1, 4, 6
YANG Yao-Wei	AQM265	Licensed Representative	1, 4, 9
YAU Kwai Shan	APG987	Licensed Representative	1
YE Zijun	APV627	Licensed Representative	1, 6
YEUNG Kin Kan	APN543	Licensed Representative	1
YI Zhengliang	AOT541	Licensed Representative	1, 4
YICK Chi Ho	AIU718	Licensed Representative	1
YIM Yin Ha, Eileen	AQX365	Licensed Representative	4
YIP Hin Kwong	AFM311	Licensed Representative	1
YIP Siu Lung	APJ934	Licensed Representative	1
YIU Wing Kin	APV588	Licensed Representative	1
YU Kwai Na	ARI561	Licensed Representative	4
YUE Kin Wan	AAE881	Licensed Representative	1, 2
YUEN Ka Lok, David	ALW487	Licensed Representative	4
YUEN Yu Leung	ALN297	Licensed Representative	4
ZASCHE Sean Paul	ARI961	Licensed Representative	1
ZEE John	AAE260	Licensed Representative	1
ZHAI Jia	APH926	Licensed Representative	4, 6
ZHANG Justin Yisha	APR486	Licensed Representative	1
ZHANG Wei	APK769	Licensed Representative	4, 6
ZHANG Yang	APW257	Licensed Representative	1, 2
ZHAO Jun	APR313	Licensed Representative	1
ZHENG Dandong	AOU108	Licensed Representative	9
ZHU Zhenghong	APQ659	Licensed Representative	4
ZHUANG Zhan	ARG575	Licensed Representative	1
ANG Gim Hua, Margaret	ABF709	Licensed Representative - Responsible Officer	1, 4
BHATIA Sanjiv	ADG304	Licensed Representative - Responsible Officer	4, 9
CHAN Ping	ADI846	Licensed Representative - Responsible Officer	1, 4, 9
CHANG Yung Ching	AFF918	Licensed Representative - Responsible Officer	1, 2, 5
CHEMLA Laurent Albert	ANR572	Licensed Representative - Responsible Officer	2
CHENG Yun Chung, Ronie	AKW439	Licensed Representative - Responsible Officer	6, 9
CHEUNG Bruce Heung Wing	ANU065	Licensed Representative - Responsible Officer	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Joanne Wai	AKX070	Licensed Representative - Responsible Officer	1
CHIN Chi Kin, Edward	AFY839	Licensed Representative - Responsible Officer	4, 9
CHOW Chi Ping, Simon	APV782	Licensed Representative - Responsible Officer	9
CHOW Wing Kit, Dennis	ABN739	Licensed Representative - Responsible Officer	9
HASEGAWA Yoshiaki	AHT306	Licensed Representative - Responsible Officer	4, 6, 9
HELLER Benjamin Jayson	ANH596	Licensed Representative - Responsible Officer	9
KOH Choy	ACF185	Licensed Representative - Responsible Officer	9
LAI Tak Seng	AEV204	Licensed Representative - Responsible Officer	4
LAM Shen Hriung, Gilbert	ADZ537	Licensed Representative - Responsible Officer	1, 4, 9, 9
LEE Kin Chung, Simon	AAF803	Licensed Representative - Responsible Officer	4, 5, 9
LEE You-Yu	ANU507	Licensed Representative - Responsible Officer	4, 6, 9
LI Hang Wan, Cheri	ACA711	Licensed Representative - Responsible Officer	1
LIM Seng Yee	AGT239	Licensed Representative - Responsible Officer	1, 4, 6
LIN John Sian-Zu	AED623	Licensed Representative - Responsible Officer	4, 9
LIN Su-Yuan	ARA550	Licensed Representative - Responsible Officer	1
LU Carolyn Anne	ADT848	Licensed Representative - Responsible Officer	1, 4, 9
MAO Tsao Yu, Frank	AAI356	Licensed Representative - Responsible Officer	1, 2, 4, 5, 6, 7, 9
NGAI Ting Huen	ACI584	Licensed Representative - Responsible Officer	2
PEACOCK Nicholas Bertil	AAD517	Licensed Representative - Responsible Officer	9
PRIMHAK Warren Lee	ADV313	Licensed Representative - Responsible Officer	1, 4
SCOTT David Paul Mcleod	ADX363	Licensed Representative - Responsible Officer	1, 2, 5
SHIH Alvina Luy	ALH784	Licensed Representative - Responsible Officer	1
SUTCH Jeremy Rupert Peter	ADB510	Licensed Representative - Responsible Officer	4
TSANG Wai Wah, Jocelyn	AMM231	Licensed Representative - Responsible Officer	4, 9
WILSON Anthony Casey	AGB940	Licensed Representative - Responsible Officer	1
TENCZA Gregory John	ANA700	Licensed Representative - Responsible Officer (Temporary Licence)	4
AGARWAL Anand	ASL813	Licensed Representative (Provisional Licence)	1
AHN Soo Yong	ASM065	Licensed Representative (Provisional Licence)	1, 2
ANTICO John Paul	AMP295	Licensed Representative (Provisional Licence)	1, 2
AU YEUNG Tat Wing	ASJ761	Licensed Representative (Provisional Licence)	3
AVANIAN Alexandre	ARV353	Licensed Representative (Provisional Licence)	1, 2, 7
BALDWIN Christopher Stephen	ASK328	Licensed Representative (Provisional Licence)	9
BANOMYONG Smith	ASH979	Licensed Representative (Provisional Licence)	1, 2
BAROUTI-VIRET Igor Olivier	ASK735	Licensed Representative (Provisional Licence)	1, 2
BASARAT Haroen Mohamed Ali	ASH864	Licensed Representative (Provisional Licence)	4, 9
BENDALL Mark Adrian	ASI295	Licensed Representative (Provisional Licence)	4, 6
BHARAT Saurabh	ASL826	Licensed Representative (Provisional Licence)	4
BLUMSOM David Charles	ASL757	Licensed Representative (Provisional Licence)	9
BORCH Michael	AHM899	Licensed Representative (Provisional Licence)	1, 6
BUCHANAN Thomas Matthew	ASJ685	Licensed Representative (Provisional Licence)	1
CAMPBELL David John	ASM179	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
CAMPBELL Shawn	ASM376	Licensed Representative (Provisional Licence)	9
CHAN Che Yin, Jeanny	ASL988	Licensed Representative (Provisional Licence)	1
CHAN Cheuk Lun	AMF520	Licensed Representative (Provisional Licence)	1, 2
CHAN Cheuk Wing, Andy	ALV385	Licensed Representative (Provisional Licence)	4, 6, 9
CHAN Chi Chung	ASM026	Licensed Representative (Provisional Licence)	1
CHAN Chi Hang	AQU089	Licensed Representative (Provisional Licence)	1, 2
CHAN Ching Yee	ASK504	Licensed Representative (Provisional Licence)	1
CHAN Chiu Kai, Judy	AAV594	Licensed Representative (Provisional Licence)	1, 2
CHAN Chui Fun	AAV545	Licensed Representative (Provisional Licence)	1, 2
CHAN Hon Mei, Norma	ABF697	Licensed Representative (Provisional Licence)	1, 2
CHAN Kwok Hay	ACA610	Licensed Representative (Provisional Licence)	1
CHAN Lik Hang	ASM287	Licensed Representative (Provisional Licence)	4
CHAN Mang No, Ana	AEA298	Licensed Representative (Provisional Licence)	1, 2
CHAN Tsz Kin	AFF788	Licensed Representative (Provisional Licence)	1
CHAN Wai Leung	ASK745	Licensed Representative (Provisional Licence)	1
CHAN Yat Fung, Gary	AIC320	Licensed Representative (Provisional Licence)	1, 2
CHANG Chung Kan	ADJ876	Licensed Representative (Provisional Licence)	1
CHANG Fang Cheng	ASN247	Licensed Representative (Provisional Licence)	1, 4
CHANG Vivian Ming-yin	ASI105	Licensed Representative (Provisional Licence)	1, 2
CHEN Ching-Hsiang	ASK752	Licensed Representative (Provisional Licence)	1, 2
CHEN Su Juan	ASL537	Licensed Representative (Provisional Licence)	9
CHEN Yi Ding	AQK951	Licensed Representative (Provisional Licence)	9
CHEN Yi-Jung	ASL753	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhong Wei	AMM502	Licensed Representative (Provisional Licence)	4
CHERIAN Ajay Kurian	AGJ562	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Cheuk Ying, Patricia	AHE188	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Lap Man	ASH648	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Wing Yan	ASN728	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Yin Hei	ASL637	Licensed Representative (Provisional Licence)	3
CHEW Chai Ming, Ryan	ASN689	Licensed Representative (Provisional Licence)	1, 2
CHI Yeung, Richard	ASL914	Licensed Representative (Provisional Licence)	1
CHIA Douglas Boon Liang	AOR808	Licensed Representative (Provisional Licence)	1, 2
CHIN Victoria Vui Luen	AED574	Licensed Representative (Provisional Licence)	1
CHO Andrew Kwanghi	ASK489	Licensed Representative (Provisional Licence)	1, 4
CHO Ka Yan	ASK270	Licensed Representative (Provisional Licence)	1, 4
CHONG Yuk Yan	ASM208	Licensed Representative (Provisional Licence)	1
CHOU Hongsong	APA703	Licensed Representative (Provisional Licence)	4
CHOW Hung Lai	ASL830	Licensed Representative (Provisional Licence)	1
CHOW Ka Keung	ACZ377	Licensed Representative (Provisional Licence)	1
CHOW Kan Shing	ASK327	Licensed Representative (Provisional Licence)	1, 2
CHOW Yu Ting, Beverly	APC113	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOW Yui Fung	ASK543	Licensed Representative (Provisional Licence)	1
CHU Lai Wan, Ivy	ASH689	Licensed Representative (Provisional Licence)	1, 2
CHUNG King Yan	ASI114	Licensed Representative (Provisional Licence)	1, 2
CHUNG Se Chan	ASL827	Licensed Representative (Provisional Licence)	1
COOK III Thomas Crawford	ASI335	Licensed Representative (Provisional Licence)	1
DARLET Florent Jean Roland	ASH403	Licensed Representative (Provisional Licence)	1, 2, 7
DAVID Martin	ASF044	Licensed Representative (Provisional Licence)	1, 2
DE ST JOHN-PRYCE Winton Charles Nicholas	ASK492	Licensed Representative (Provisional Licence)	1, 6
DENG Xi	ARD381	Licensed Representative (Provisional Licence)	4
DEWAL BIN MD ALI Rastam	ASM102	Licensed Representative (Provisional Licence)	1, 6
DILKES Ross Peter	ASH948	Licensed Representative (Provisional Licence)	4, 9
DING Jing Wei	ASG310	Licensed Representative (Provisional Licence)	4
DONNELLY Mark	ASL794	Licensed Representative (Provisional Licence)	4
DU Yuxi	AQD401	Licensed Representative (Provisional Licence)	1
ENSMINGER-LAW Benjamin	ASH982	Licensed Representative (Provisional Licence)	1, 4, 6
FINEBERG Jonathan Erich	AFQ918	Licensed Representative (Provisional Licence)	1, 4
FOO Li Mei, Janice	ASK655	Licensed Representative (Provisional Licence)	1
FOX Robert	ASL255	Licensed Representative (Provisional Licence)	1, 2
FRASER Paul Willis	ASL905	Licensed Representative (Provisional Licence)	2
FUCHS Benjamin Aaron	ASH500	Licensed Representative (Provisional Licence)	1, 2
FUNG Chi Kin	ASL904	Licensed Representative (Provisional Licence)	1
FUNG Tze Chiu	ASL527	Licensed Representative (Provisional Licence)	4
GARATTI Alexis	ASL199	Licensed Representative (Provisional Licence)	4
GAUTIER Raphael Pierre Benjamin	ASL263	Licensed Representative (Provisional Licence)	1, 2
GELBER Randy Scott	ACR602	Licensed Representative (Provisional Licence)	1, 4, 6
GHOSH Srijay	ASL686	Licensed Representative (Provisional Licence)	1
GIESE Jason Andrew	AFU281	Licensed Representative (Provisional Licence)	1
GOH Yeah Lin	ASK028	Licensed Representative (Provisional Licence)	1, 2, 5
GONG Xiaocheng	ASM445	Licensed Representative (Provisional Licence)	6
GOODWIN Andrew D	ASJ618	Licensed Representative (Provisional Licence)	1, 2
GUAN Wenjie	ASK369	Licensed Representative (Provisional Licence)	1, 6
GUO Ying	ARP968	Licensed Representative (Provisional Licence)	1, 4
GUPTA Sachin	AOC936	Licensed Representative (Provisional Licence)	4
HARADA Hideyuki	ASF975	Licensed Representative (Provisional Licence)	1, 4, 6
HAYES Arthur	ASJ614	Licensed Representative (Provisional Licence)	1, 2
HAYES Keith Leslie	ASM424	Licensed Representative (Provisional Licence)	4
HE Jialin	ASL683	Licensed Representative (Provisional Licence)	1
HE Shumei Franci	ASK163	Licensed Representative (Provisional Licence)	1, 2
HIRABAYASHI Yasuko	ASM441	Licensed Representative (Provisional Licence)	1
HIYAMUTA Harumi	ASK818	Licensed Representative (Provisional Licence)	1, 2
HO Ka King, Anthony	ASG371	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Ki Hiu	ASK364	Licensed Representative (Provisional Licence)	9
HO Kwan Kit, Chris	ASH641	Licensed Representative (Provisional Licence)	1, 2
HO Wai Lee	ASK885	Licensed Representative (Provisional Licence)	1
HO Yiu Fai	AIB991	Licensed Representative (Provisional Licence)	1
HOENS Rodney Paul	ASL684	Licensed Representative (Provisional Licence)	1, 4, 6
HON Stefanie Maria	ASH723	Licensed Representative (Provisional Licence)	1, 2
HONG Kee Wing, Christine	ALO528	Licensed Representative (Provisional Licence)	3
HORA Neeraj	ADB507	Licensed Representative (Provisional Licence)	1, 6
HSU Lincoln	ASK160	Licensed Representative (Provisional Licence)	1, 4, 6
HSU Mabel Ming	ANT724	Licensed Representative (Provisional Licence)	1, 6
HU Kwang Hwa, Wendy	ASG380	Licensed Representative (Provisional Licence)	1, 2
HUAN Rui	ASM444	Licensed Representative (Provisional Licence)	6
HUANG Dan Qing	ARH066	Licensed Representative (Provisional Licence)	1, 6
HUANG Sibing	ANT441	Licensed Representative (Provisional Licence)	1, 6
HUI Kiu Chi	ASM057	Licensed Representative (Provisional Licence)	3
HUI Lai Kwan, Vivian	AQM981	Licensed Representative (Provisional Licence)	1, 2
HUNG Kin Houw, Alvin	ASM440	Licensed Representative (Provisional Licence)	4, 9
HURST Nicola Carolyn	ASK780	Licensed Representative (Provisional Licence)	1
HUTTON Jason Alec	ASK838	Licensed Representative (Provisional Licence)	1, 2
HWANG YS Jonathan	AGF429	Licensed Representative (Provisional Licence)	1
IRELAND Peter Aaron Joseph	ASM050	Licensed Representative (Provisional Licence)	1
JOHANSSON Per Thore Marcus	ASL633	Licensed Representative (Provisional Licence)	4, 5, 9
JONES Michael Andrew	APY433	Licensed Representative (Provisional Licence)	1, 4, 6
KAPOOR Rahul	ASN707	Licensed Representative (Provisional Licence)	4
KIM Hyun Ho	ACJ079	Licensed Representative (Provisional Licence)	1, 2, 4, 7
KIM Jisoo	ASL685	Licensed Representative (Provisional Licence)	1, 2, 7
KIM John Piljung	ASL857	Licensed Representative (Provisional Licence)	1
KIM Jung Ho	ASM183	Licensed Representative (Provisional Licence)	1
KIN Shunei	ASF050	Licensed Representative (Provisional Licence)	1
KONG Cheuk Hang	ADD792	Licensed Representative (Provisional Licence)	1, 2
KUDALKAR Anil Sadanand	ASJ616	Licensed Representative (Provisional Licence)	1, 2
KUMAR Nivesh	ASL835	Licensed Representative (Provisional Licence)	4
KWOK Chun Wai	ARO388	Licensed Representative (Provisional Licence)	1, 4
KWOK Siu Man	ASL774	Licensed Representative (Provisional Licence)	1, 2
KWONG Ip Hang	ASM275	Licensed Representative (Provisional Licence)	4
LAI Chung Hin	ASN340	Licensed Representative (Provisional Licence)	3
LAI Nga Sze, Christine	AKJ086	Licensed Representative (Provisional Licence)	1, 2
LAM Bik Ha	ASK355	Licensed Representative (Provisional Licence)	4
LAM Ching Ching, Kit	ASK550	Licensed Representative (Provisional Licence)	1, 4
LAM Ching Mei	ASL528	Licensed Representative (Provisional Licence)	4
LAM Ka Lai	ASL106	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Kit Man, Adonis	AQL716	Licensed Representative (Provisional Licence)	3
LAM May Lai Shirley	ARO893	Licensed Representative (Provisional Licence)	1
LAM Tsz Yeung	AQX177	Licensed Representative (Provisional Licence)	1
LAM Wai Chun	ASM382	Licensed Representative (Provisional Licence)	3
LAU Alan John Yan Lun	ASK673	Licensed Representative (Provisional Licence)	1, 2
LAU Ka Fei	ASL713	Licensed Representative (Provisional Licence)	1
LAU Ka Yi, Vera	AQW236	Licensed Representative (Provisional Licence)	1, 4
LAW Cho Kin	ACN478	Licensed Representative (Provisional Licence)	1, 2, 3
LAW Chung Wai	ASM066	Licensed Representative (Provisional Licence)	1, 6
LAW Wai Yu	ASL768	Licensed Representative (Provisional Licence)	4
LEE Denise Hau Ying	ASK486	Licensed Representative (Provisional Licence)	4
LEE Eric F	ASK583	Licensed Representative (Provisional Licence)	1, 4
LEE Ken-Yi, Terence	ASJ658	Licensed Representative (Provisional Licence)	4, 9
LEE Kyung Hui	ASL828	Licensed Representative (Provisional Licence)	1
LEE Man Chun	ARO949	Licensed Representative (Provisional Licence)	1, 2
LEE Miang Kear	ASK051	Licensed Representative (Provisional Licence)	1, 6
LEE Raymond Yuman	ACF125	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Tse Faye	ARH409	Licensed Representative (Provisional Licence)	1, 4, 5
LEE Wai Hong, Wilson	AEF114	Licensed Representative (Provisional Licence)	1, 2
LEE Yee Cheung, Shera	ALZ801	Licensed Representative (Provisional Licence)	1, 2
LEUNG Fung Kwan, Angela	ASH732	Licensed Representative (Provisional Licence)	1, 2
LEUNG Sze Yu	ASM284	Licensed Representative (Provisional Licence)	4
LEUNG Wai Man	ASK678	Licensed Representative (Provisional Licence)	6
LI Meng	AMH702	Licensed Representative (Provisional Licence)	1, 6
LI See Tsun, Stephen	ASH731	Licensed Representative (Provisional Licence)	1, 2
LI Xiangyu	APJ336	Licensed Representative (Provisional Licence)	1, 6
LI Yan	ASC960	Licensed Representative (Provisional Licence)	1
LI Yuen Kwan	ANL984	Licensed Representative (Provisional Licence)	4
LIM Chin Pang	AFA433	Licensed Representative (Provisional Licence)	4, 9
LIM Jit Soon	ARN629	Licensed Representative (Provisional Licence)	4
LIM Si Min, Michelle	ASI130	Licensed Representative (Provisional Licence)	1
LIN Shanshan	ASJ891	Licensed Representative (Provisional Licence)	1, 4
LIN Yen-Chun	ASM080	Licensed Representative (Provisional Licence)	1
LIN Yu-Ting	ASK367	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Aolin	ASL676	Licensed Representative (Provisional Licence)	1
LIU Shuang	ASN929	Licensed Representative (Provisional Licence)	1
LIU Wan Hoi	ASK547	Licensed Representative (Provisional Licence)	1, 4
LIU Xue	ASJ679	Licensed Representative (Provisional Licence)	1, 3, 4
LO Chi Chiu	ASK509	Licensed Representative (Provisional Licence)	1
LO Jun Hao	AOJ326	Licensed Representative (Provisional Licence)	1, 2
LO Sau Wai	AFK054	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
LO Wai Ming, Steven	ADL626	Licensed Representative (Provisional Licence)	1, 2
LOI Kon Chao	ASL983	Licensed Representative (Provisional Licence)	1
LOK Leonora Shing Lum	ASH981	Licensed Representative (Provisional Licence)	1
LOO Yim Sheung, Louisa	ABH707	Licensed Representative (Provisional Licence)	1, 2
LU Xiaojiu	ASD159	Licensed Representative (Provisional Licence)	4
LU Yumian	ASM064	Licensed Representative (Provisional Licence)	1
LUI Ka Chun, Alan	ASH730	Licensed Representative (Provisional Licence)	1, 2
LUI Siu Fai	ASK495	Licensed Representative (Provisional Licence)	3
LUK Chun Kin	ALF377	Licensed Representative (Provisional Licence)	1, 2
LUK Tit Pui	AOY548	Licensed Representative (Provisional Licence)	1, 2
MA Kai Chung	AAA626	Licensed Representative (Provisional Licence)	1, 2
MA Linlin	ASK727	Licensed Representative (Provisional Licence)	2
MA Vicky V.	ASM274	Licensed Representative (Provisional Licence)	4
MA Xiaowen	ASG314	Licensed Representative (Provisional Licence)	4
MAK Chi Wai	ASI136	Licensed Representative (Provisional Licence)	1, 2
MAK Chong Kei, Vincent	APL681	Licensed Representative (Provisional Licence)	1, 2
MARTIN Trammel Allan	ASM181	Licensed Representative (Provisional Licence)	9
MC GOVERN William Francis	ASN835	Licensed Representative (Provisional Licence)	1
MCINTOSH David Anthony	ASM394	Licensed Representative (Provisional Licence)	1
MCKAY Mark Andrew	ASH863	Licensed Representative (Provisional Licence)	1
MENG Peihui	ASL829	Licensed Representative (Provisional Licence)	1
MILLS Richard William Grenville	ASL986	Licensed Representative (Provisional Licence)	1, 2
MITHAL Sanjay	ASM211	Licensed Representative (Provisional Licence)	1, 2
MOK Hoi Ki, Ricky	ASJ715	Licensed Representative (Provisional Licence)	4
MOK Ka Kit	ASL405	Licensed Representative (Provisional Licence)	1, 2
MOORE Gregory Patrick	ASK786	Licensed Representative (Provisional Licence)	1
MU Er	ASL540	Licensed Representative (Provisional Licence)	1, 4, 6
MURCH Timothy Alexander	ASI127	Licensed Representative (Provisional Licence)	1
NAHM Yeon Soo	ARA417	Licensed Representative (Provisional Licence)	1, 6
NG Hui Heong	ASL101	Licensed Representative (Provisional Licence)	1, 2
NG Mei Po, Mabel	ASH685	Licensed Representative (Provisional Licence)	1, 2
NG Ming Yui	AFT282	Licensed Representative (Provisional Licence)	1, 4, 6
NG Siok Leng	AFM257	Licensed Representative (Provisional Licence)	4
NG Tze Tang, Caesar	ASH726	Licensed Representative (Provisional Licence)	1, 2
NG Xiao-wen, Natalie	ASI106	Licensed Representative (Provisional Licence)	1, 6
NG Yuen Lan, Vivien	ASM285	Licensed Representative (Provisional Licence)	4
NG Yuk Wing, William	ASM268	Licensed Representative (Provisional Licence)	1
NGAN Chak Keung	ACZ334	Licensed Representative (Provisional Licence)	4, 9
NGAN Wing Ho	AFR720	Licensed Representative (Provisional Licence)	1, 6
NGUYEN TANG Xuan Lam	ASL990	Licensed Representative (Provisional Licence)	1, 4, 9
NICODEMO Anthony Joseph	ASI178	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
NIGAM Manish	AIR633	Licensed Representative (Provisional Licence)	4
NISSEN Charles Peter Alexander	ASI132	Licensed Representative (Provisional Licence)	1, 4
OHTANI Takashi	ANH124	Licensed Representative (Provisional Licence)	1, 2
O'NEILL Matthew William	ASL088	Licensed Representative (Provisional Licence)	1, 2
OR May Yee, Pauline	ASH728	Licensed Representative (Provisional Licence)	1, 2
PAN Felix	ARN062	Licensed Representative (Provisional Licence)	4
PATEL Daven Ashok	ASK721	Licensed Representative (Provisional Licence)	1, 2
PINTUSAN Trachoo	ASL092	Licensed Representative (Provisional Licence)	4
POH Hsi Liang	ASM431	Licensed Representative (Provisional Licence)	1, 2
PURI Sumit	ASH657	Licensed Representative (Provisional Licence)	1, 2
ROWE Damian Marcus	ASH901	Licensed Representative (Provisional Licence)	1, 2
SATYAN Sanat	ASN706	Licensed Representative (Provisional Licence)	4
SBARRO David Vincent	ASK722	Licensed Representative (Provisional Licence)	1, 2
SHAO Lei	ASK857	Licensed Representative (Provisional Licence)	1, 4, 6
SHARMA Alok	AQO086	Licensed Representative (Provisional Licence)	1, 2
SHE Zhen	ASI179	Licensed Representative (Provisional Licence)	1, 6
SHEN Jieqiong	ASL268	Licensed Representative (Provisional Licence)	1, 4
SHETTY Arjun	ASI142	Licensed Representative (Provisional Licence)	1, 2
SHI Jialong	ASK682	Licensed Representative (Provisional Licence)	4
SHUM Yu Kwan	AQU244	Licensed Representative (Provisional Licence)	1, 2
SIEGMUND Thomas	ASH486	Licensed Representative (Provisional Licence)	1, 2, 4, 6
SIMA Jiajia	ASK756	Licensed Representative (Provisional Licence)	1, 2, 3
SIMON Eric, Emmanuel	ASL819	Licensed Representative (Provisional Licence)	2
SINGH Manu	ASL822	Licensed Representative (Provisional Licence)	4
SINGH Rohan Rajiv	ASL911	Licensed Representative (Provisional Licence)	1
SINGH Shivesh Kumar	ASH495	Licensed Representative (Provisional Licence)	1, 2
SIU Kin Shing, Kenneth	ASL740	Licensed Representative (Provisional Licence)	4
SMITH Ian Micheal Corfield	ASH865	Licensed Representative (Provisional Licence)	1, 2, 7
SPANDAN .	ASL823	Licensed Representative (Provisional Licence)	4
STAMENOVIC Ivan	ASM435	Licensed Representative (Provisional Licence)	1, 2
STIMPSON John Matthew	ADJ619	Licensed Representative (Provisional Licence)	1, 2
SU Chin	ASJ711	Licensed Representative (Provisional Licence)	1, 2
SU Yi	AOZ134	Licensed Representative (Provisional Licence)	1, 6
SUN Steven John	ACR153	Licensed Representative (Provisional Licence)	1, 6
SUZUKI Hiroyoshi	AAY817	Licensed Representative (Provisional Licence)	1, 4
TAI Lai Yee	AEG457	Licensed Representative (Provisional Licence)	6
Tai Lai Yin, Raymond	AKY403	Licensed Representative (Provisional Licence)	1, 2
TAM Ping Wah	ASL793	Licensed Representative (Provisional Licence)	4
TAN Choon Ming	AMA932	Licensed Representative (Provisional Licence)	4
TANG Alice Hau Yee	ASJ677	Licensed Representative (Provisional Licence)	1, 6
TANG Gabriel Che Wai	ASM286	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
TANG Lin	APH959	Licensed Representative (Provisional Licence)	1, 6
TANG Shuzhen	ART654	Licensed Representative (Provisional Licence)	2
TANG Wenyi	ASI157	Licensed Representative (Provisional Licence)	1, 2
TAY Ying Shen	ASN687	Licensed Representative (Provisional Licence)	1, 2
TEDESCHI Christopher David	ASI350	Licensed Representative (Provisional Licence)	4
THOMPSON Stephen Paul	ASK773	Licensed Representative (Provisional Licence)	1, 6
TIN Carmen	AFF901	Licensed Representative (Provisional Licence)	1, 2
TING Ting Chi	ASI180	Licensed Representative (Provisional Licence)	1
TO Lok Man	ASK219	Licensed Representative (Provisional Licence)	1, 4
TONG Ming Kit, Brian	ASJ682	Licensed Representative (Provisional Licence)	1, 4
TRIVEDI Anshul	ASK045	Licensed Representative (Provisional Licence)	1
TSAI Yuan-Yiu	ARO470	Licensed Representative (Provisional Licence)	4
TSANG Pui Ching Patricia	ADM025	Licensed Representative (Provisional Licence)	1, 4
TSE Man Ting, Sunny	AGO296	Licensed Representative (Provisional Licence)	1, 2
TSE Man Wai, Raymond	ASN524	Licensed Representative (Provisional Licence)	1, 4
TSE Pui Yun	ABN508	Licensed Representative (Provisional Licence)	1, 2
TSUCHIYA Shiro	ASL837	Licensed Representative (Provisional Licence)	4
TSUI Wai Chi	ASF937	Licensed Representative (Provisional Licence)	3
UYS Gareth Edward	ASK598	Licensed Representative (Provisional Licence)	1, 2
VAN DEURSEN Reinout Henric Laurens	ASH973	Licensed Representative (Provisional Licence)	1, 2
VERMA Vineet	ASL824	Licensed Representative (Provisional Licence)	4
VOGT Harald Walter Albrecht	AJL398	Licensed Representative (Provisional Licence)	4, 9
WAN Siu Kin	ASL738	Licensed Representative (Provisional Licence)	1
WAN Wai Cheung, Vera	ADK527	Licensed Representative (Provisional Licence)	1, 2
WAN Wei	ASH820	Licensed Representative (Provisional Licence)	4
WANG Elise Qian	ASI336	Licensed Representative (Provisional Licence)	1
WANG Jiyu	ASH808	Licensed Representative (Provisional Licence)	2, 3
WANG Pao-Chang	ASH945	Licensed Representative (Provisional Licence)	1
WANG Peipei	ASE661	Licensed Representative (Provisional Licence)	1
WANG Xiao	ASM446	Licensed Representative (Provisional Licence)	1, 6
WANG Xiaobing	ASK683	Licensed Representative (Provisional Licence)	4
WANG Xionghui	ARE183	Licensed Representative (Provisional Licence)	4, 9
WARHAFTIG Gregory David	AMK222	Licensed Representative (Provisional Licence)	1
WEI Lei	ASK858	Licensed Representative (Provisional Licence)	1, 4
WEI Serena	ASK055	Licensed Representative (Provisional Licence)	1, 4
WEISS Stephen Duffus	ASL811	Licensed Representative (Provisional Licence)	1, 6
WHARTON Jonathan Matthew	ASH868	Licensed Representative (Provisional Licence)	1, 4
WILLIS Paul Michael	ASM024	Licensed Representative (Provisional Licence)	4
WONG Chi Chun	ASM070	Licensed Representative (Provisional Licence)	2
WONG Ching Yee, Winnie	ASL394	Licensed Representative (Provisional Licence)	1
WONG Hin Lun, Brian	ASM207	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Hon Kit	AHF688	Licensed Representative (Provisional Licence)	6
WONG Kwok Leung	ASK759	Licensed Representative (Provisional Licence)	1, 2
WONG Pui San	ASM104	Licensed Representative (Provisional Licence)	4
WONG Sze Ip	AQU491	Licensed Representative (Provisional Licence)	1
WU Bowen	ASI435	Licensed Representative (Provisional Licence)	4
WU Rong	ASH827	Licensed Representative (Provisional Licence)	4
WU Shanle	ASK728	Licensed Representative (Provisional Licence)	4
WU Suk Kam, Shirley	ASH661	Licensed Representative (Provisional Licence)	1, 2
XU Li	ASI436	Licensed Representative (Provisional Licence)	4
XU Ming	ASK599	Licensed Representative (Provisional Licence)	4
XU Peng	ARY265	Licensed Representative (Provisional Licence)	1, 4
XU Ting	ASK490	Licensed Representative (Provisional Licence)	4
XUE Danyi	AOV551	Licensed Representative (Provisional Licence)	1
YABUT Vivian Chan	ASM299	Licensed Representative (Provisional Licence)	1
YAN Ching Man	ASK571	Licensed Representative (Provisional Licence)	1
YAO Wenwan	ASK776	Licensed Representative (Provisional Licence)	4
YAU King Shan, Carol	ADE159	Licensed Representative (Provisional Licence)	4
YE Hanying	ASK326	Licensed Representative (Provisional Licence)	1, 2
YE Yin	ASI122	Licensed Representative (Provisional Licence)	1
YEUNG See Ah	ARD761	Licensed Representative (Provisional Licence)	1, 4
YIM Tak Chor, Henry	ASL741	Licensed Representative (Provisional Licence)	1
YIP Chi Ho, Horace	AFP548	Licensed Representative (Provisional Licence)	1, 2
YIP Chui Man, Scarlett	ASL639	Licensed Representative (Provisional Licence)	3
YIP Wan Mei Winnie	ASH690	Licensed Representative (Provisional Licence)	1, 2
YIU Ying Ying, Mina	ASH675	Licensed Representative (Provisional Licence)	1, 2
YU Wai Hang	ASK839	Licensed Representative (Provisional Licence)	1
YUAN Hong	ASI356	Licensed Representative (Provisional Licence)	1, 2
YUAN Xiao Liang	AMO735	Licensed Representative (Provisional Licence)	1, 4
YUEN Pui Lam Pauline	ASK151	Licensed Representative (Provisional Licence)	4
ZAMAN Syed Akram	ASK744	Licensed Representative (Provisional Licence)	1, 6
ZHANG Chao	ASE033	Licensed Representative (Provisional Licence)	1
ZHANG Chunzhi	ASK859	Licensed Representative (Provisional Licence)	1
ZHANG Qin	ASI437	Licensed Representative (Provisional Licence)	4
ZHAO Allen Shengjie	ASL728	Licensed Representative (Provisional Licence)	1, 2
ZIMMERMANN Rebecca Verena	ASL333	Licensed Representative (Provisional Licence)	1
ZOU Hao	ASM068	Licensed Representative (Provisional Licence)	6
ASARI Takehiko	ASD558	Licensed Representative (Temporary Licence)	1, 2
CHAKRABORTY Indranil	ASM281	Licensed Representative (Temporary Licence)	1
CORNELL James Campbell	ART873	Licensed Representative (Temporary Licence)	1
DONALD John Christopher	AQG468	Licensed Representative (Temporary Licence)	1
HASENSTAB Michael James	ASL607	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity
HEASMAN Nicholas James	ART784	Licensed Representative (Temporary Licence)	1
ISSERS Alan	APY711	Licensed Representative (Temporary Licence)	1
IWASAWA Seiichiro	ARO888	Licensed Representative (Temporary Licence)	4
JOKOVIC Vic John	ASN854	Licensed Representative (Temporary Licence)	1
KAMITANI Toshifumi	ASP230	Licensed Representative (Temporary Licence)	1
KRAUS Peter S	ASM271	Licensed Representative (Temporary Licence)	4
KUO Peter C	ARJ941	Licensed Representative (Temporary Licence)	1, 6
LEWIS Janet Barbara	ASL090	Licensed Representative (Temporary Licence)	4
LIU Xiaozheng	ASK668	Licensed Representative (Temporary Licence)	1, 6
LU Shun-Chang	ASL805	Licensed Representative (Temporary Licence)	1
LUI Ka Min	ASK671	Licensed Representative (Temporary Licence)	1, 6
MORIYAMA Keisuke	AQP609	Licensed Representative (Temporary Licence)	4
PODKOLZIN Roman	ASL449	Licensed Representative (Temporary Licence)	1, 4
REMOND Marie-Amelie Jeanne Mauricette	ASL015	Licensed Representative (Temporary Licence)	1
SAKURAI Yuta	AQU371	Licensed Representative (Temporary Licence)	4
SANDBERG Duane Edward	ASK293	Licensed Representative (Temporary Licence)	4
SHIGEMURA Kyoichiro	AQT050	Licensed Representative (Temporary Licence)	4
TER LAAG Sheila Elizabeth	ASL459	Licensed Representative (Temporary Licence)	1, 4
TOTH Gina Marie	ARV876	Licensed Representative (Temporary Licence)	4
TURCAT Christel Marie Bertrade	ASL462	Licensed Representative (Temporary Licence)	1, 4
VOSPER Paul Andrew	ARU913	Licensed Representative (Temporary Licence)	1
WILLIAMS Toby Lee	AEO751	Licensed Representative (Temporary Licence)	4
ZLOTNIKOV Vadim	ASO250	Licensed Representative (Temporary Licence)	4
HSH Nordbank AG	AIX566	Registered Institution	1, 4