

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ACP Asset Management Limited	AOM553	Licensed Corporation	4, 9
BOCOM International Holdings Company Limited	AFE334	Licensed Corporation	1, 2, 4, 5, 6, 9
Bucephalus Capital Management Advisors Limited	ARD552	Licensed Corporation	9
Lynx Arbitrage Limited	AHP429	Licensed Corporation	9
The Children's Investment Fund Management (Asia) Limited	ANT792	Licensed Corporation	4, 9
Zhifu Capital Partner Limited	AQL056	Licensed Corporation	9
UKFP (Asia) HK Limited	ACE269	Licensed Corporation (Deemed Licence)	1, 4, 6, 9
ARNAUDY Anthony John	AMH715	Licensed Representative	1, 6
AU Kit Tung	ALK816	Licensed Representative	1, 2
AU Kwok Keung	AJA440	Licensed Representative	2, 3
BOGHOSSIAN Hratch	AOU741	Licensed Representative	1
CHAN Chi Keung	AGC174	Licensed Representative	1, 2, 2, 3
CHAN Chi Pang	ARP889	Licensed Representative	4
CHAN Grace Kwok Li	AMS521	Licensed Representative	1, 4, 5
CHAN Ho Ming	ACL529	Licensed Representative	1, 2
CHAN Hoi Hung	AQQ255	Licensed Representative	1
CHAN Hon Man	AFF287	Licensed Representative	1, 2
CHAN Hung Lung	AON584	Licensed Representative	4
CHAN Hung Ping	ATG911	Licensed Representative	4, 9
CHAN Kam Ming, Clement	APV908	Licensed Representative	4
CHAN Kam Ping, Kammy	AOB161	Licensed Representative	1, 2, 3
CHAN Kar Shun	AOK990	Licensed Representative	1
CHAN Kin Man	AAJ269	Licensed Representative	2, 5
CHAN Kiu Dik, Dixon	ATC161	Licensed Representative	4, 9
CHAN On Lan, Alan	AQQ504	Licensed Representative	4
CHAN Sze Lai	ALS731	Licensed Representative	4
CHAN Tat Fung	ADO182	Licensed Representative	1
CHAN Thomas Fuk Hong	APF266	Licensed Representative	1, 4
CHAN Wing Kin	AMF767	Licensed Representative	1, 4, 9
CHAN Wing Kwong	AQG855	Licensed Representative	1
CHAN Wing Wing	APW430	Licensed Representative	1
CHAN Yat Fei	AMJ553	Licensed Representative	1, 4
CHAN Yat Sing	ARE582	Licensed Representative	4
CHAN Yin Yu	AQO441	Licensed Representative	1, 6
CHAN Yip Keung	ASD061	Licensed Representative	4
CHAN Yu Cheung	AQS303	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Yuk Ching	ASF034	Licensed Representative	4
CHEN Guoxi	ARG394	Licensed Representative	4
CHEN Jee Yung	ARP192	Licensed Representative	9
CHEN Kai Yun	AMJ189	Licensed Representative	1, 4, 6
CHEN Lin	AOX336	Licensed Representative	1, 4
CHEN Mang-Yi	AOY173	Licensed Representative	1, 2
CHEN Zhong Yong	ASD455	Licensed Representative	1, 6
CHENG Hoi Man	ALU124	Licensed Representative	6
CHENG Lai Kam	ARP238	Licensed Representative	1
CHENG Man Hing, Melody	AGQ210	Licensed Representative	4
CHENG Po Kam	ASX097	Licensed Representative	4
CHENG Yi	ARH499	Licensed Representative	1
CHEUK Siu Keung	APK354	Licensed Representative	1
CHEUNG Chun Ting	ALQ997	Licensed Representative	4
CHEUNG Mie Sim	AQC582	Licensed Representative	1
CHEUNG Wai Ki	ARX009	Licensed Representative	1, 4
CHEUNG Yee Nei	ARG907	Licensed Representative	4
CHIANG Ya Chyi	ART685	Licensed Representative	1
CHIEN Deborah Chin-hui	ARA411	Licensed Representative	1
CHIM Ka Wai	AQS651	Licensed Representative	1
CHIU Hui-ping	ATI033	Licensed Representative	1, 4, 6
CHIU Ka Yan	ARH107	Licensed Representative	1, 2
CHO Moonwon	AOY153	Licensed Representative	1, 4, 6
CHOI Che Kin	APJ409	Licensed Representative	1, 2
CHOI Ngai Chun	AQC663	Licensed Representative	4
CHOI Oi Yee, Iris	ANI875	Licensed Representative	4, 9
CHOI Yuk Bun	AQQ324	Licensed Representative	4
CHONG Ka Kam	AND888	Licensed Representative	1, 4, 9
CHOW Andrew Heng Cheong	ATC946	Licensed Representative	4
CHOW Sai Yiu, Evan	ALG423	Licensed Representative	1, 4, 6
CHOW Shu Shing	AOD596	Licensed Representative	4
CHOW Wai Chi	APX229	Licensed Representative	1
CHOW Yat Chun	ANA606	Licensed Representative	1, 2
CHOY Siu Mei, Karen	ANB149	Licensed Representative	4
CHOY Wai Lim, William	AEU192	Licensed Representative	1
CHOY Wing Sze, Rose	AIX156	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHU Melissa	ARJ507	Licensed Representative	1, 2, 4, 5
CHU Yin Ying	AAC539	Licensed Representative	1, 4
CHUNG Hahn Baeck	APH131	Licensed Representative	1, 2, 7
CHUNG Kam Fung	AKX150	Licensed Representative	1, 4
CHUNG Lai Fong, Iris	AEO608	Licensed Representative	1, 4, 9
CUUNJIENG Stephen Anthony Torres	ABN574	Licensed Representative	1, 6
DACRE Heath	APN592	Licensed Representative	1, 2, 7
DAGNEAU DE RICHECOUR Ludovic Christophe Amedee	APV457	Licensed Representative	1, 4
DONNELLY James Francis	APL240	Licensed Representative	1, 2
DRAPER Joseph Thomas	AFD295	Licensed Representative	1
FAN Lai Kwan	APU298	Licensed Representative	6
FAN Zhirong	ARO385	Licensed Representative	4, 6
FARRELL Douglas John	AUD727	Licensed Representative	1, 4, 6
FOO Kah Seong	APP378	Licensed Representative	1, 4, 6
FU TAK WAI	ATG942	Licensed Representative	4
FUNG Chi Kin	ASL904	Licensed Representative	1, 2, 3
FUNG Ching Ho, William	ALR680	Licensed Representative	1, 6
FUNG Si Yu	AOQ744	Licensed Representative	1, 4, 9
GALLO Fabrizio	AJI533	Licensed Representative	1, 2, 7
GHAN Seow Chin	AGB756	Licensed Representative	4, 9
GOH Shao Loong Daryl	AFM357	Licensed Representative	1, 2, 4, 7, 9
GOH Toh Kiat Kelvin	AQY380	Licensed Representative	1
GRAVANIS Anthony	ALW210	Licensed Representative	1, 2
GRIEVE Jamie Angus	ASE065	Licensed Representative	1
GU Zheyi	APK759	Licensed Representative	4, 6
GUO Jin	APL205	Licensed Representative	1, 4
HAN Feng	ARM319	Licensed Representative	1
HAN Raymond Hau	AOR771	Licensed Representative	1, 4, 6
HANBURY Peter Noel Sampson	AFZ309	Licensed Representative	1, 4, 9
HENDERSON Scott Michael	AQU952	Licensed Representative	4, 9
HESTER Thomas Eitel	AEZ079	Licensed Representative	1
HEUNG Chun Wai	ADZ579	Licensed Representative	1, 4
HO Ernest	AOM535	Licensed Representative	1, 4, 9
HO Hin Leung, Loretta	AGP376	Licensed Representative	1
HO Ji-Min, Gabriel	AQE601	Licensed Representative	1, 6
HO Kei Shun, David	ACS538	Licensed Representative	1, 2, 3, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Man Wai	ANT506	Licensed Representative	1
HO Siu Bing, Shirley	ATQ950	Licensed Representative	1
HONG Kit Yin, Stella	AMP303	Licensed Representative	4
HOR Kin Hung	AOT575	Licensed Representative	1
HOWARTH Mark	AHE134	Licensed Representative	1, 4, 7
HSU Chia-Ling	ASY527	Licensed Representative	1, 4
HSU Pei-Yun	AOU393	Licensed Representative	1
HUE Wai Kit	ARN883	Licensed Representative	4
HUI Chi Hang, Damon	ATN556	Licensed Representative	4
INAMDAR Tabassum Abdulla	ATB728	Licensed Representative	4
IP Heung Tsing Mike	ARW849	Licensed Representative	1, 4
JAGER Rainer Andreas	ARB549	Licensed Representative	1, 2
JOYNT Lucas Kelly	AQM550	Licensed Representative	1, 6
JURIANTO Julius	ARP051	Licensed Representative	4, 9
KAM Tyran	AMG982	Licensed Representative	4
KAN Chi Kit, Roy	AML887	Licensed Representative	1
KASUGA Shunsuke	ANI761	Licensed Representative	4, 5
KEE Hin Hing	AGQ441	Licensed Representative	1
KIM So Young	AMY841	Licensed Representative	4
KRISHNAN Rajesh Raman	AQK251	Licensed Representative	1, 6
KU Wing Yin	ANJ493	Licensed Representative	4
KUNG Chin Pang	ATB596	Licensed Representative	1, 2
KWOK Man Yi, Connie	AFN898	Licensed Representative	4
KWONG King Lok	ATM472	Licensed Representative	1, 2
LAI Wing Wah	ALF235	Licensed Representative	9
LAI Yin Pong	APZ617	Licensed Representative	1, 2
LAM Cheng Kim	ARB798	Licensed Representative	4
LAM Chi Jing, Crystal	ASE662	Licensed Representative	9
LAM Kwok Ming	AMJ995	Licensed Representative	1, 4
LAM Lai Ying	AOA390	Licensed Representative	1
LAM Sin Yee	ARA636	Licensed Representative	1
LAM Tin Yau Dominic	AJD120	Licensed Representative	4
LAM Yau Cheong	ACL281	Licensed Representative	1
LASKOWSKI Christopher Anthony	AFG847	Licensed Representative	1, 6
LAU Ming Fai	AEH948	Licensed Representative	1, 2, 4, 4, 5, 5, 9, 9
LAU Ricky Ka Kei	AQQ906	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Calvin Chi Toa	AMY049	Licensed Representative	1, 6
LEE Chi Ming	ADA367	Licensed Representative	1, 2
LEE Go Eun	ASP244	Licensed Representative	4
LEE Kong Hean	ARB625	Licensed Representative	4, 9
LEE Kwan Piu	AQC498	Licensed Representative	4
LEE Man Kuen, Mandy	ANZ787	Licensed Representative	1
LEE Oi See, Elsie	AGL458	Licensed Representative	1
LEE Peggy	AOD414	Licensed Representative	1, 2
LEE Ting Tung, Graham	ANX993	Licensed Representative	1, 2, 3
LEE Yi Ki	APS881	Licensed Representative	1, 2, 3
LEE Yuk Wah, Eppie	AMU456	Licensed Representative	4
LEUNG Bobby Chi-kan	ALV306	Licensed Representative	1, 4
LEUNG Chi Chung	AJE370	Licensed Representative	1, 2, 3
LEUNG Ching, Francis	AGO855	Licensed Representative	4
LEUNG Chun Sing	ATO216	Licensed Representative	1, 2
LEUNG Hiu Woon	AQG684	Licensed Representative	4
LEUNG Kim Hung	ACG306	Licensed Representative	4, 6, 9
LEUNG Kit Ching	AFN631	Licensed Representative	1, 4
LEUNG Shuk Yi	ATY813	Licensed Representative	4
LEUNG Wah Tung	AQU983	Licensed Representative	4
LEUNG Yee Hang	ARH650	Licensed Representative	1, 2
LI Chun Chiu	AQJ608	Licensed Representative	1
LI Ho Yee, Alice	AMU899	Licensed Representative	4
LI Jack Zheng	AQM738	Licensed Representative	1, 2
LI Ka Yui	ATJ185	Licensed Representative	1, 2
LI Shuk Man, Clara	AJO156	Licensed Representative	1
LI Wai Hang, Sonia	AQC502	Licensed Representative	4
LI Wing Mui, Carol	AOA377	Licensed Representative	1, 2, 4
LI Xuan	ARA094	Licensed Representative	1, 4
LI Yunguang	APJ345	Licensed Representative	9
LIM Kian Lee, Bernard	AUM596	Licensed Representative	1, 4, 9
LIM Su Jin	ARE482	Licensed Representative	4
LIM Yoo Min	AQQ499	Licensed Representative	1, 2, 7
LIN An Ming	AEC085	Licensed Representative	4, 9
LIN Jen-Min	AQR696	Licensed Representative	4
LIN Yu-Hsien	APF559	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Chieh Ju	APL587	Licensed Representative	1, 2, 4, 5
LIU Yuanmo	ARJ807	Licensed Representative	1, 6
LO Chi Hang	ARU729	Licensed Representative	1, 4
LO Sau Ha	AGP700	Licensed Representative	4
LO Tiffany Man Wah	ANE690	Licensed Representative	1, 4, 6
LU Cheng-Ta	APO663	Licensed Representative	1, 4
LUKE Ting Tai	ASW371	Licensed Representative	1
MA Bruno	AEU972	Licensed Representative	1, 4
MA Ngai Keung, Kenneth	ADZ815	Licensed Representative	1, 4, 6, 9
MAK Wing Ling, Harriet	AOA556	Licensed Representative	4
MAKINO Shinsuke	AQF031	Licensed Representative	1
MARRIS Dunstan Geoffrey Hamilton	ANS093	Licensed Representative	4
MARTIN Venus Albana	APL608	Licensed Representative	4
MASI Paul	AJF788	Licensed Representative	1, 2
MCKECHNIE David Jonathan Daniel	AQR013	Licensed Representative	1, 2
MONTAGUE Jolyon Charles	AJC260	Licensed Representative	1, 4
MOTTARD Alexandre Flavien	ARR798	Licensed Representative	1, 2, 7
MUI Pang Kin, Patrick	ARM775	Licensed Representative	9
NEMOTO Yoko	ARJ940	Licensed Representative	4, 9
NG Heung Hung	AJA984	Licensed Representative	4
NG Hiu Ling	AFM915	Licensed Representative	1, 2, 4, 9
NG Wai Man, Raymond	AFT926	Licensed Representative	1
OMOTO Atsushi	ANU254	Licensed Representative	1, 2
OR Chi Hang	AOL351	Licensed Representative	1
PANG Anatole Weinberger	ARQ740	Licensed Representative	4, 6
PANG Jimmy Kar-yin	ANV218	Licensed Representative	1, 2, 4, 5, 9
PARK Jungwon	APL755	Licensed Representative	1, 6
PARMAN Michael Kieran	ALT895	Licensed Representative	1, 6
PARR William Maxim Renton	AMS216	Licensed Representative	1, 9
POON So Fong, Constance	AQC540	Licensed Representative	1
POON Yuk Ki	AEN150	Licensed Representative	1, 1, 2, 4, 5, 5, 9
RAJARAMAN Raghavendran	ATT020	Licensed Representative	1, 2
REGAN Joseph David	ARO001	Licensed Representative	1, 2, 7
REN Gang	ATM482	Licensed Representative	9
RHEE Hae Young	ALB698	Licensed Representative	1, 4
RICHTER Irene Nena Ida	AOZ118	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ROSMARIN Trevor Larry	AMS252	Licensed Representative	1
SCHOCHARDT Harry	AQO327	Licensed Representative	1, 9
SCHWARTZ Stephen Benjamin	ARJ142	Licensed Representative	1
SEK Ying Wai	ARE344	Licensed Representative	4
SEN Seshadri Kumar	ANW447	Licensed Representative	4
SHANG Pei Chun	AOM976	Licensed Representative	1, 6, 9
SHARMA Ruchir Rakeshkumar	AMT887	Licensed Representative	1, 2
SHE Zhen	ASI179	Licensed Representative	1, 6
SHEUNG Pui Tak	ADI228	Licensed Representative	1, 2
SHIH King Lun	ATO949	Licensed Representative	4
SHIMIZU Hiromi	AMD092	Licensed Representative	4, 9
SHUM Wai Chuen	APS468	Licensed Representative	1, 2, 4
SHYU Li-han	ARE465	Licensed Representative	1
SO Lai Yin	APQ669	Licensed Representative	4
SOO Chung Wai	AQP752	Licensed Representative	1
SU Rongxia	ATB921	Licensed Representative	1
SUMI Hiroko	ARI757	Licensed Representative	4, 6, 9
TAI Nga Chun	ATN385	Licensed Representative	1
TAM Winson	ARN988	Licensed Representative	4
TAM Yan Ki	AOA144	Licensed Representative	1, 2, 4
TAN Ghim Lee Carolyn	AML644	Licensed Representative	1
TAN Shuo	AQA684	Licensed Representative	1
TANG Ping Wai	AED507	Licensed Representative	2
TANI Kenichiro	ANZ614	Licensed Representative	1, 2
TENG Fang Hsi	ANE872	Licensed Representative	1, 2
THUKRAL Jatin	AUN816	Licensed Representative	1, 2
TIFFIN Philip John	AFT499	Licensed Representative	1, 2, 7
TONG Hoi Kit Jeffrey	ASH721	Licensed Representative	1, 4
TONG Yiu Cho	ASD150	Licensed Representative	4
TOTSUKA Makoto	AMW547	Licensed Representative	1, 4, 6
TSAI Cheng Che	AQS461	Licensed Representative	1, 4
TSANG Choi Yi	ATM266	Licensed Representative	4
TSE Koon Cheong, Leo	AEG440	Licensed Representative	1
TUNG Yeuk Yeung	ATB834	Licensed Representative	4
VEYLON Thomas, Arthur, Alexandre	AQN536	Licensed Representative	1, 2, 7
WALLACE Steven Andrew	ALP769	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Shu	AOR280	Licensed Representative	1
WANG Yolanda	APR575	Licensed Representative	4
WARD Michael Patrick	APA003	Licensed Representative	1
WONG Benjamin Malcolm	APJ685	Licensed Representative	1, 4
WONG Chau Hing	AEM261	Licensed Representative	1
WONG Chi Chiu	AGQ531	Licensed Representative	4
WONG Chung Nin	AAG676	Licensed Representative	1
WONG Dor Dor	ALJ793	Licensed Representative	1, 4, 5
WONG Hiu Fong	ALP953	Licensed Representative	1, 2
WONG Lai Ting	AQF071	Licensed Representative	1
WONG Siu Yung	AQX385	Licensed Representative	1, 4, 9
WONG Tsun Hei	ASP993	Licensed Representative	1
WONG Wai Ming	ARE999	Licensed Representative	1
WONG Wai Ming, Wallace	AMY553	Licensed Representative	4
WONG Yuk Wa	AFL853	Licensed Representative	1, 4
WU Kin Wing	AQN987	Licensed Representative	4
WU Shenbin	ALC370	Licensed Representative	1
WU Yuet Sheung Maria	ARI796	Licensed Representative	4
XU Guoqiao	ANB171	Licensed Representative	4
YAM Andrew Tze Jim	AUH327	Licensed Representative	1, 6
YANG Jun Won	APS980	Licensed Representative	4, 9
YANG Wenzhu	ANH104	Licensed Representative	1, 6
YEUNG Cheong Ho	ARB729	Licensed Representative	1
YEUNG Chi Kwan, Paul	AKT571	Licensed Representative	4
YEUNG Choi Hung	ARW932	Licensed Representative	1
YEUNG Tsz Yuen	ARE686	Licensed Representative	4
YIM Ying Ki	ATV465	Licensed Representative	1, 2
YIP Brenda	AOE784	Licensed Representative	1, 4, 6
YIP Cheuk Yin, Ryan	AEK520	Licensed Representative	1, 2
YIP Chi Ho	ARH966	Licensed Representative	4
YIP Cho Hei	ATE227	Licensed Representative	1
YIP Chung Wai, David	ACV738	Licensed Representative	1, 4, 9
YIP Heng	AUD022	Licensed Representative	1, 4, 6
YIP Hung Kei	AST287	Licensed Representative	1
YIP Wah Shing, Edmund	AGT670	Licensed Representative	1, 4
YIU Chun Nam	ABG971	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
YIU Suet Har	AGP341	Licensed Representative	4
YU Hon Man, Herman	ASH712	Licensed Representative	1, 2
YU Jason	ATJ280	Licensed Representative	4
YUEN Chi Kit	ANM077	Licensed Representative	4
YUM Pok Man	ARQ766	Licensed Representative	1, 4
YUN Heekyu	ATJ399	Licensed Representative	1, 2
ZHANG Bing	ATI035	Licensed Representative	1, 2
ZHANG Hong	ASZ571	Licensed Representative	4, 9
ZHANG Ke	ART581	Licensed Representative	1, 4
ZHANG Ruiying	AQV697	Licensed Representative	1
ZHANG Zhuojia	AMX199	Licensed Representative	1, 4
ZHENG Yinan	ATH408	Licensed Representative	1, 6
ZHOU Wei	ARF329	Licensed Representative	1, 6
BAE Jin Suk	ASO704	Licensed Representative - Responsible Officer	1, 4
BECKER Michael Nicolas	AOS485	Licensed Representative - Responsible Officer	4, 9
CHIK Hak Kan, Leon	ADT573	Licensed Representative - Responsible Officer	4
CHIU Hsin-Hsun	ARP100	Licensed Representative - Responsible Officer	9
HARDICK Rory Alan	ARI526	Licensed Representative - Responsible Officer	1
KHUBCHANDANI Ashwin	ARD919	Licensed Representative - Responsible Officer	4, 6
KUMATA Mitsuo	APO460	Licensed Representative - Responsible Officer	1, 2, 4, 5
LEE Chi Chung, Johnny	ADJ622	Licensed Representative - Responsible Officer	1
LO Bing Kuen	ADT691	Licensed Representative - Responsible Officer	6
LO Kwok Ho	AHU175	Licensed Representative - Responsible Officer	1, 2
MOELLER Gerhard	ASS612	Licensed Representative - Responsible Officer	9
NG Simon	AFU692	Licensed Representative - Responsible Officer	1, 6
PALLISTER Katherine Claire	APD352	Licensed Representative - Responsible Officer	9
POON Yuk Ki	AEN150	Licensed Representative - Responsible Officer	4, 9
PRAHL Michael	AQA402	Licensed Representative - Responsible Officer	4
RITTER Thomas	ARM806	Licensed Representative - Responsible Officer	4
ROSE Benjamin Paul	AQL998	Licensed Representative - Responsible Officer	9
ROSS Lynne Margaret	ACZ273	Licensed Representative - Responsible Officer	9
SPENCE Robert Hector John	AJD110	Licensed Representative - Responsible Officer	4, 9
SUEN Chun Fai	ADK928	Licensed Representative - Responsible Officer	9
SUNG Chou-Jen	AFA669	Licensed Representative - Responsible Officer	1, 4
TSUI Che Yin, Frank	AAZ115	Licensed Representative - Responsible Officer	1, 6
WERTHEIMER Richard Miles	ACT127	Licensed Representative - Responsible Officer	1, 1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
-- Lakshmi Kailas	AUR846	Licensed Representative (Provisional Licence)	1, 6
AHN Chae Young	AUS848	Licensed Representative (Provisional Licence)	1, 2
AHN Seong Soo	AUQ481	Licensed Representative (Provisional Licence)	4
ANDREW Timothy William	ACQ044	Licensed Representative (Provisional Licence)	1, 4
AU Kin Fai	AUT369	Licensed Representative (Provisional Licence)	1, 2
BADENHOP Henning	AUR975	Licensed Representative (Provisional Licence)	4, 9
BARKER Catherine Yung-wen	AUR978	Licensed Representative (Provisional Licence)	1, 4, 9
BOLTON Anthony John	AAV490	Licensed Representative (Provisional Licence)	4, 9
BOSCO Xavier Armand	AUV356	Licensed Representative (Provisional Licence)	1
BRADLEY Simon Joseph	AUN770	Licensed Representative (Provisional Licence)	1, 2
BRAMAH Callum	AUR637	Licensed Representative (Provisional Licence)	4
BRILLE Brian Joseph	AUN752	Licensed Representative (Provisional Licence)	1, 2, 4, 6, 7
CHAFER Amine	AUN334	Licensed Representative (Provisional Licence)	1, 2
CHAKHTOURA Andree	AUS130	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Ho Wai Howard	ATJ294	Licensed Representative (Provisional Licence)	1, 2
CHAN Kai Ho	AUV332	Licensed Representative (Provisional Licence)	1
CHAN Kin Kwan	AUN432	Licensed Representative (Provisional Licence)	1
CHAN Kin Man	AUQ963	Licensed Representative (Provisional Licence)	1, 3
CHAN Kwan Yiu, Nelson	AUS154	Licensed Representative (Provisional Licence)	1, 2
CHAN Lok Fung	AUR102	Licensed Representative (Provisional Licence)	1, 2
CHAN Shing Jee	AUN761	Licensed Representative (Provisional Licence)	1, 2
CHAN Sonya Wing Kuan	AUQ040	Licensed Representative (Provisional Licence)	6
CHAN Tat Shing	AUS311	Licensed Representative (Provisional Licence)	1, 4
CHAN Tin Wing	AUJ846	Licensed Representative (Provisional Licence)	1
CHAN Tsz Pan, Henry	AUT165	Licensed Representative (Provisional Licence)	1, 2
CHAN Wang Lak	AUV575	Licensed Representative (Provisional Licence)	4
CHAN Yat Fung	AUS131	Licensed Representative (Provisional Licence)	1, 2
CHANG Charlene-Jayne Wei-Ying	AUQ670	Licensed Representative (Provisional Licence)	1, 6
CHANG Feng	AUQ474	Licensed Representative (Provisional Licence)	1, 2, 7
CHANG Tzy Fay	AUV944	Licensed Representative (Provisional Licence)	9
CHAPMAN Patrick Dylan	AUR996	Licensed Representative (Provisional Licence)	1, 2, 7
CHAU Hubert	AUS198	Licensed Representative (Provisional Licence)	1
CHAUDHRY Wahid	AUS834	Licensed Representative (Provisional Licence)	1, 2
CHEN Haibo	AUN340	Licensed Representative (Provisional Licence)	1
CHEN Liangyun	ARJ432	Licensed Representative (Provisional Licence)	6
CHEN Yu-Cheng	ATR465	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Zi Yun, Charlotte	AQA158	Licensed Representative (Provisional Licence)	1
CHEN Zibo	AUR285	Licensed Representative (Provisional Licence)	4
CHENG Adrian Chun Ying	AUS087	Licensed Representative (Provisional Licence)	1, 6
CHENG Bowei	AUQ984	Licensed Representative (Provisional Licence)	1, 4
CHENG Hing	AUM436	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Hoi Yin	AGC463	Licensed Representative (Provisional Licence)	1, 6
CHENG Kim Wa	AGE884	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Hoi Yip, Herbert	AUT506	Licensed Representative (Provisional Licence)	1
CHEUNG Huai Yun, Candy	AUS580	Licensed Representative (Provisional Licence)	9
CHEUNG Kam Wing	AUU995	Licensed Representative (Provisional Licence)	1
CHEUNG Kin Keung, Jocelyn	ADI990	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Tsz Kit	AIX574	Licensed Representative (Provisional Licence)	4
CHEUNG Tsz Wai	AUT495	Licensed Representative (Provisional Licence)	1
CHEUNG Wai Hung	AUN717	Licensed Representative (Provisional Licence)	6
CHIAO Hsuan Shih	AUQ667	Licensed Representative (Provisional Licence)	1, 4, 6
CHIN Chung Hing	AUR132	Licensed Representative (Provisional Licence)	1
CHIN Szu Yi	ASE564	Licensed Representative (Provisional Licence)	4, 9
CHIU Man Yung	AUV119	Licensed Representative (Provisional Licence)	1
CHO Keum Taek	AUV511	Licensed Representative (Provisional Licence)	1
CHOI Chi Ho	AUS180	Licensed Representative (Provisional Licence)	1, 2
CHONG Ting Piu	AUO117	Licensed Representative (Provisional Licence)	1
CHOW Wai Ping	AUU996	Licensed Representative (Provisional Licence)	1
CHOW Wai Yee	AUQ748	Licensed Representative (Provisional Licence)	1
CHU Yik	ATO870	Licensed Representative (Provisional Licence)	1
CHUANG Yu	AUT128	Licensed Representative (Provisional Licence)	4
CHUI Henry Kar-Kea	AQI323	Licensed Representative (Provisional Licence)	1
CHUNG Hon Kee	AEP533	Licensed Representative (Provisional Licence)	1
CHYE Nyit Shin	AUS310	Licensed Representative (Provisional Licence)	1
COHEN Ylan Joseph Steven	ATV805	Licensed Representative (Provisional Licence)	1, 4
CONNOLLY CARBO Trevor James	AUT134	Licensed Representative (Provisional Licence)	9
COOK James Gerard	AUQ934	Licensed Representative (Provisional Licence)	1
COWPERTHWAITTE Adam Aaron	AIB753	Licensed Representative (Provisional Licence)	1, 2
CUI Can	AUQ924	Licensed Representative (Provisional Licence)	1, 9
CUNIN Laurent, Jacques	AUU917	Licensed Representative (Provisional Licence)	1, 2
CUNNINGTON Guillaume, Serge, Charles	AUP691	Licensed Representative (Provisional Licence)	1, 2
DAURES Christophe, Pascal	AUQ925	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
DAY Donald Kurt	AUN917	Licensed Representative (Provisional Licence)	1
DEO Stephane Alfred	AUQ990	Licensed Representative (Provisional Licence)	4
DEVEREUX WONG Virginia	AAJ666	Licensed Representative (Provisional Licence)	1, 9
DOONG Chui Yee, Wendy	AEU516	Licensed Representative (Provisional Licence)	1, 2
DOYLE Matthias William	AUP815	Licensed Representative (Provisional Licence)	1, 2, 7
DU Yiqing	ARJ440	Licensed Representative (Provisional Licence)	6
DUBEY Preeti	AUP812	Licensed Representative (Provisional Licence)	1
EL HICHERI Fayez	ANH083	Licensed Representative (Provisional Licence)	1
FAN Liwei	AUS995	Licensed Representative (Provisional Licence)	1, 4
FAN Ruijin	AUQ160	Licensed Representative (Provisional Licence)	4, 6, 9
FAUCHEUR Sebastien, Noel, Philippe	AUP952	Licensed Representative (Provisional Licence)	1, 2, 7
FERREIRA Michael John	AUP419	Licensed Representative (Provisional Licence)	1, 2
FLAMAND Philippe Francois	AUS996	Licensed Representative (Provisional Licence)	1, 2
FONG Chung Loon, David	AUT314	Licensed Representative (Provisional Licence)	1, 2
FONG Joseph J	AUQ951	Licensed Representative (Provisional Licence)	4
FOO Yin Pheng	AUQ510	Licensed Representative (Provisional Licence)	4, 9
FU Tan Yip	AUT505	Licensed Representative (Provisional Licence)	1
FUKUHARA Yuko	AUM369	Licensed Representative (Provisional Licence)	1
FUNG Chi Ping	AUU924	Licensed Representative (Provisional Licence)	1
GALVIN Donal Joseph	AOK745	Licensed Representative (Provisional Licence)	1, 2, 4, 9
GAO Xing	AUH962	Licensed Representative (Provisional Licence)	1, 4, 6
GE Xin	ALI170	Licensed Representative (Provisional Licence)	1, 4
GHOSH Sharmila	AUS854	Licensed Representative (Provisional Licence)	1, 4
GINESTA VAZQUEZ Guillermo Francisco	AUU967	Licensed Representative (Provisional Licence)	1, 4
GODDING Christopher	AJO484	Licensed Representative (Provisional Licence)	1
GOODWIN Douglas Samuel	AUI207	Licensed Representative (Provisional Licence)	1, 2
GOVE Jennifer Anne	AUS998	Licensed Representative (Provisional Licence)	9
GOYAL Vishal	AUU965	Licensed Representative (Provisional Licence)	4
GRABOWSKI Ernst-gerrit Alexander	AMP319	Licensed Representative (Provisional Licence)	1, 6
GRISEL Albert Jan	AUR748	Licensed Representative (Provisional Licence)	6
GROVER Madhu	AUM426	Licensed Representative (Provisional Licence)	1
GRUNAUER Xavier Mieles	ARE996	Licensed Representative (Provisional Licence)	4
GUNN Marcus Charles	AUN364	Licensed Representative (Provisional Licence)	1, 2, 7
GUNNS Christopher Michael Adrian	APC679	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Yan	AUS955	Licensed Representative (Provisional Licence)	1, 2, 7
GUPTA Vishal	AUV188	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
HAN Xintong	ATT451	Licensed Representative (Provisional Licence)	1
HAU Woon Ki	AUR983	Licensed Representative (Provisional Licence)	1, 2
HE Wei	ARP598	Licensed Representative (Provisional Licence)	4
HENTZ Jean-Samuel, Etienne	AUN320	Licensed Representative (Provisional Licence)	1, 2, 7
HERRMANN Simon Fabian	AUQ923	Licensed Representative (Provisional Licence)	1, 6
HO Chi Lun Barry	AUQ143	Licensed Representative (Provisional Licence)	4, 6, 9
HO Kin Chung, Kelvin	AFR360	Licensed Representative (Provisional Licence)	1, 2
HO Suk Chun	AUT164	Licensed Representative (Provisional Licence)	1, 2
HODULIK John Christopher	AUQ991	Licensed Representative (Provisional Licence)	4
HONG Yun	AUQ146	Licensed Representative (Provisional Licence)	4, 6, 9
HOO Emily Lye Lyn	AUS209	Licensed Representative (Provisional Licence)	1, 4
HOUDEYE Cedric Abraham Avi	AUN368	Licensed Representative (Provisional Licence)	1, 2, 7
HOWIE Rochelle Jeanne	AMS322	Licensed Representative (Provisional Licence)	1, 6
HSIEH Siou-Ping	AUR646	Licensed Representative (Provisional Licence)	1
HSU Mabel Ming	ANT724	Licensed Representative (Provisional Licence)	1, 4, 6
HU CHAI Yong Wei	AUQ515	Licensed Representative (Provisional Licence)	1, 6
HUANG Chen	AUV437	Licensed Representative (Provisional Licence)	1, 2
HUANG Lingzi	AUR855	Licensed Representative (Provisional Licence)	1, 6
HUANG Shasha	AUV734	Licensed Representative (Provisional Licence)	1, 6
HUANG Wenjun	AUT504	Licensed Representative (Provisional Licence)	1, 6
HUI Daniel Ka Chung	AUP690	Licensed Representative (Provisional Licence)	2
HUI Man Kit	AUN918	Licensed Representative (Provisional Licence)	1, 2
HUI Wai Fat, Philip	AUS126	Licensed Representative (Provisional Licence)	1, 2
HUNG Chiu Loi	AUS181	Licensed Representative (Provisional Licence)	1, 2
HUNG Wesley Yi Kuo	AUR863	Licensed Representative (Provisional Licence)	1, 2, 7
HUNG Yat On	AUS182	Licensed Representative (Provisional Licence)	1
IP Chit Yin	AUR651	Licensed Representative (Provisional Licence)	4
IP Po San, Joyce	AFF506	Licensed Representative (Provisional Licence)	1
IP Wai Shan	AUR645	Licensed Representative (Provisional Licence)	3
IU Pak Fan	AUS619	Licensed Representative (Provisional Licence)	1, 2
JESSON Arthur Michael	AUQ043	Licensed Representative (Provisional Licence)	1, 4
JOHNSON Christopher Lind	AGA971	Licensed Representative (Provisional Licence)	1, 2
JOTE Vijay C	AKS783	Licensed Representative (Provisional Licence)	1, 2
KABIGTING Caroline Llave	AUQ338	Licensed Representative (Provisional Licence)	4
KAKEHASHI Sayaka	AUV636	Licensed Representative (Provisional Licence)	1, 4
KANG John Brian	AFW034	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
KANG Zhaoyang	ARN401	Licensed Representative (Provisional Licence)	6
KEYES Justin S	AQD163	Licensed Representative (Provisional Licence)	1
KHAN Asad Rahman	AUS963	Licensed Representative (Provisional Licence)	1, 2
KHANDELWAL Abhishek	AUQ154	Licensed Representative (Provisional Licence)	1, 4, 6
KHOO Wen Wen Michelle	AUQ669	Licensed Representative (Provisional Licence)	1, 6
KIM Gil Hyung	AUQ480	Licensed Representative (Provisional Licence)	4
KIM Hyung Jin	AUU988	Licensed Representative (Provisional Licence)	1
KIM So Yeon	AMM348	Licensed Representative (Provisional Licence)	1
KIM Taehong	AUV123	Licensed Representative (Provisional Licence)	1, 2, 7
KING Wen-Herng Henry	AUR993	Licensed Representative (Provisional Licence)	1, 4
KNIPPER Jonathan Paul	AUN771	Licensed Representative (Provisional Licence)	1, 6
KOENEN JR Austin Voorhees	AQA803	Licensed Representative (Provisional Licence)	1, 6
KOU Chin Pang	AUQ156	Licensed Representative (Provisional Licence)	1, 2
KU Kwan, Patrick	AUR318	Licensed Representative (Provisional Licence)	1, 4, 6
KUNG Ho Bun	AUS202	Licensed Representative (Provisional Licence)	1
KWOK Yim Fan	AUR654	Licensed Representative (Provisional Licence)	3
KWONG Michael	AQS646	Licensed Representative (Provisional Licence)	4
KWONG Yee Kin, Samuel	APX279	Licensed Representative (Provisional Licence)	4
LACEY JR Donald Edward	AUQ978	Licensed Representative (Provisional Licence)	1, 6
LAI Ka Wah	ATR461	Licensed Representative (Provisional Licence)	1
LAI Lap Yan	AUR101	Licensed Representative (Provisional Licence)	1, 2
LAI Tsz Wo, Wanda	AUQ526	Licensed Representative (Provisional Licence)	1, 4
LAI Yat Hoi	AHS158	Licensed Representative (Provisional Licence)	2
LAI Yee Chung	AUR977	Licensed Representative (Provisional Licence)	1, 2
LAM Chi Wai, Dave	ABB057	Licensed Representative (Provisional Licence)	1
LAM Hoi Sing	AUR383	Licensed Representative (Provisional Licence)	1, 2
LAM Ka Lai	AUT719	Licensed Representative (Provisional Licence)	1, 2
LAM Lai Yuun Meng	AUS150	Licensed Representative (Provisional Licence)	9
LAM Sai Lap	APW631	Licensed Representative (Provisional Licence)	9
LAM Tim Yi, Emily	AUS277	Licensed Representative (Provisional Licence)	1
LAM Wing Ki	AUT952	Licensed Representative (Provisional Licence)	1
LAM Yin Fung Preston	AUS828	Licensed Representative (Provisional Licence)	1, 2
LAU Chi Chiu, Terry	AUQ993	Licensed Representative (Provisional Licence)	1
LAU Hoi Man, Mable	AUP378	Licensed Representative (Provisional Licence)	1, 2, 7
LAU Kai Wing	AUS656	Licensed Representative (Provisional Licence)	1
LAU Muk Hoi	AUQ375	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Sheung Yuen	AUR615	Licensed Representative (Provisional Licence)	1, 6
LAU Suk Yu	AAG214	Licensed Representative (Provisional Licence)	1, 2
LAW Chi Yeung	AUS143	Licensed Representative (Provisional Licence)	1, 2
LEE Allen Cheng-Luan	ANK425	Licensed Representative (Provisional Licence)	9
LEE Chin Keng	APH298	Licensed Representative (Provisional Licence)	1
LEE Chung Hei	AUQ941	Licensed Representative (Provisional Licence)	9
LEE Deborah Susan	APU511	Licensed Representative (Provisional Licence)	1
LEE Ha Yan	AUS843	Licensed Representative (Provisional Licence)	1, 4
LEE Hwa Shin	AUQ985	Licensed Representative (Provisional Licence)	1
LEE Hyun Woo	AUR839	Licensed Representative (Provisional Licence)	1
LEE Jina	AUT717	Licensed Representative (Provisional Licence)	4
LEE Lap Tak, Alfred	AUQ739	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Man Hung	AUV610	Licensed Representative (Provisional Licence)	1
LEE Mei Shuen	AUV606	Licensed Representative (Provisional Licence)	1, 2
LEE Sheung Hung	AKJ073	Licensed Representative (Provisional Licence)	1, 2
LEE Wai Chu	AUR338	Licensed Representative (Provisional Licence)	1
LEE Wai Sum	AUR858	Licensed Representative (Provisional Licence)	4, 9
LEE Wing	AUR872	Licensed Representative (Provisional Licence)	1
LEE Yan Lun	AUR845	Licensed Representative (Provisional Licence)	2, 3
LEI Ming	AUV623	Licensed Representative (Provisional Licence)	1, 6
LEONG Wai Ip	AFV784	Licensed Representative (Provisional Licence)	1
LEUNG Chi Loen	AUQ948	Licensed Representative (Provisional Licence)	3
LEUNG Man Kit	ANW505	Licensed Representative (Provisional Licence)	1, 2
LEUNG Wai Ming	AUR822	Licensed Representative (Provisional Licence)	1, 2
LEUNG Wing Kuen	AUR979	Licensed Representative (Provisional Licence)	1
LEUNG Yu Hang	AUT166	Licensed Representative (Provisional Licence)	1
LEWIS Nicholas John	AUJ419	Licensed Representative (Provisional Licence)	1, 2
LI Bonan	AUV512	Licensed Representative (Provisional Licence)	4
LI Shanshan	AUJ405	Licensed Representative (Provisional Licence)	4
LI Yanjie	AUS278	Licensed Representative (Provisional Licence)	1, 6
LI Yuk Ping	ADV175	Licensed Representative (Provisional Licence)	1, 2
LIANG Xin	AUQ994	Licensed Representative (Provisional Licence)	1, 7
LIM Kian Lee, Bernard	AUM596	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Kin Heng	AUV550	Licensed Representative (Provisional Licence)	1
LIN Alex	AUQ475	Licensed Representative (Provisional Licence)	1, 4
LIN Carol Iris	AFX854	Licensed Representative (Provisional Licence)	1, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Chi Long, Danny	ADD804	Licensed Representative (Provisional Licence)	1
LIN Derek Tin-fu	AUQ490	Licensed Representative (Provisional Licence)	1, 2, 7
LIU Charlene Cheuk Lam Racheal	AUO044	Licensed Representative (Provisional Licence)	4
LIU Hong	ARI623	Licensed Representative (Provisional Licence)	4
LIU Wei	AUS655	Licensed Representative (Provisional Licence)	1
LIU Xi	AUQ721	Licensed Representative (Provisional Licence)	1
LO Eva	ANQ384	Licensed Representative (Provisional Licence)	4
LO John	AUS158	Licensed Representative (Provisional Licence)	1, 2
LO Sin Au	AUR649	Licensed Representative (Provisional Licence)	3
LOH Jacinda Ee Wenn	AUS201	Licensed Representative (Provisional Licence)	4
LOU Meng	AUN916	Licensed Representative (Provisional Licence)	1, 2, 7
LOUARN Thomas Pierre Guy Felix	AUV893	Licensed Representative (Provisional Licence)	1, 2, 7
LU Chaoqi	AUR998	Licensed Representative (Provisional Licence)	1, 4
LU Hongbo	AUS615	Licensed Representative (Provisional Licence)	4
LUI Kit Chun, Michelle	AUS687	Licensed Representative (Provisional Licence)	1, 4
LUO Wei	AUQ322	Licensed Representative (Provisional Licence)	1
MA Chao	AUR848	Licensed Representative (Provisional Licence)	1, 6
MA Hei Ming	AUV005	Licensed Representative (Provisional Licence)	1, 2
MA Henry Lig	AUR629	Licensed Representative (Provisional Licence)	1
MA Yunpeng	AUR465	Licensed Representative (Provisional Licence)	4
MAESTRI Sergio	AUT780	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Kai Chun, Kevin	ANG151	Licensed Representative (Provisional Licence)	6
MAN Ka Mei	AUR547	Licensed Representative (Provisional Licence)	1, 6
MANI Praveen Ayyappa	AUV603	Licensed Representative (Provisional Licence)	4, 5
MANLEY Richard Matthew	AUU994	Licensed Representative (Provisional Licence)	1, 4
MCCORMACK James	AUV518	Licensed Representative (Provisional Licence)	1
MCDONALD Liam Patrick	AUQ456	Licensed Representative (Provisional Licence)	1
MCFERRAN Jack Howard Egar	AIN733	Licensed Representative (Provisional Licence)	1, 2
MCLAUGHLIN Gregory John	AUT903	Licensed Representative (Provisional Licence)	1, 4
MIAO Fan	ATB864	Licensed Representative (Provisional Licence)	4
MING Chi Ho, Edward	AUS686	Licensed Representative (Provisional Licence)	9
MIYAMOTO Tsutomu	AUJ263	Licensed Representative (Provisional Licence)	4
MIZUNO Atsushi	AUQ472	Licensed Representative (Provisional Licence)	1, 4, 6
MOHAN Santhosh Kumar	AUR104	Licensed Representative (Provisional Licence)	1, 6
MOK Hoi Chung	AEE172	Licensed Representative (Provisional Licence)	1, 2
MOK Yu Tung	AUQ336	Licensed Representative (Provisional Licence)	3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
MOTWANI Natasha Murli	AUR655	Licensed Representative (Provisional Licence)	1, 2
MOU Man Kit, Suny	AHX161	Licensed Representative (Provisional Licence)	1, 2, 7
MU Tong	AUQ183	Licensed Representative (Provisional Licence)	1, 2
MUKHERJI Ranobir	AUS447	Licensed Representative (Provisional Licence)	1, 4, 6
MYRON Jason Louis	AUV506	Licensed Representative (Provisional Licence)	1
NAJI Ramin	APH952	Licensed Representative (Provisional Licence)	1, 4, 6
NEWTON Linda Maree	AUU968	Licensed Representative (Provisional Licence)	4
NG Alice Pui Shan	AUV477	Licensed Representative (Provisional Licence)	1
NG Cheuk Kin	AUV180	Licensed Representative (Provisional Licence)	1
NG Chi Ho	AUR825	Licensed Representative (Provisional Licence)	1, 4, 9
NG Kok Ming	AMN905	Licensed Representative (Provisional Licence)	4
NG Man Chun	AML619	Licensed Representative (Provisional Licence)	1
NG Manhoe	AUT769	Licensed Representative (Provisional Licence)	1, 2
NG Miu Ping	AUS643	Licensed Representative (Provisional Licence)	1, 2
NG Sin Ting, Joanne	AUT770	Licensed Representative (Provisional Licence)	1, 4, 6
NG Tze Ling	AUR843	Licensed Representative (Provisional Licence)	1
NG Wai Sum	AJD565	Licensed Representative (Provisional Licence)	2
NG Yi Ching Joven	AUQ033	Licensed Representative (Provisional Licence)	1, 2
NICHOLSON Gareth	AUR823	Licensed Representative (Provisional Licence)	1, 4
O'CONNELL Jared Stockton	AUQ513	Licensed Representative (Provisional Licence)	1, 4
OETOMO Teddy Nuryanto	ATH918	Licensed Representative (Provisional Licence)	4
PALMA Jeffrey A	AUT364	Licensed Representative (Provisional Licence)	4
PANG Ka Fai, Andy	AUT321	Licensed Representative (Provisional Licence)	1, 2
PARK Soo Jin	AUT784	Licensed Representative (Provisional Licence)	1
PARK Sun Jong	AUS811	Licensed Representative (Provisional Licence)	1, 4
PARKER Michael Wade	AUR866	Licensed Representative (Provisional Licence)	1, 4
PETIT-JEAN Guillaume, Christian	AUP738	Licensed Representative (Provisional Licence)	1, 2
PRASAD Simple	AUA964	Licensed Representative (Provisional Licence)	1
PUN Wai Ying	AOB919	Licensed Representative (Provisional Licence)	1, 4, 7
QUEK Tzu Chye	AUQ534	Licensed Representative (Provisional Licence)	4
RAZMILOVIC Ante	AUR148	Licensed Representative (Provisional Licence)	1
ROBERTSON Toby Neil	AUR287	Licensed Representative (Provisional Licence)	1
ROEDER Sabine Monika	ATN822	Licensed Representative (Provisional Licence)	1, 6
RONG Baiding	AUJ708	Licensed Representative (Provisional Licence)	4
ROSSWICK Benjamin Simeon Henry	AUJ409	Licensed Representative (Provisional Licence)	1, 2
ROULIN Emmanuel Pierre Michel	AUV182	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
RYU Ho Kyung	AUV471	Licensed Representative (Provisional Licence)	1, 6
SABOT Etienne Gabriel	APP680	Licensed Representative (Provisional Licence)	1, 2
SAKAI Fumiyoshi	AUO101	Licensed Representative (Provisional Licence)	4
SAKAMOTO Yusuke	AUV633	Licensed Representative (Provisional Licence)	1
SASSO Aira	AUR745	Licensed Representative (Provisional Licence)	1
SAWAI Ankit Kumar	AUV595	Licensed Representative (Provisional Licence)	1, 6
SCHMOLL Alan	ATS544	Licensed Representative (Provisional Licence)	1
SHAH Jennifer Sarah Clark	AUR660	Licensed Representative (Provisional Licence)	1, 9
SHAH Junaid Hussain	AUR999	Licensed Representative (Provisional Licence)	1, 2
SHAN Miao	AUT412	Licensed Representative (Provisional Licence)	1, 6
SHEN Tao	AUS961	Licensed Representative (Provisional Licence)	4
SHI Dawei	AUR997	Licensed Representative (Provisional Licence)	1, 4
SHI Gaoyang	AUS417	Licensed Representative (Provisional Licence)	4
SHI Zhensong	AUR648	Licensed Representative (Provisional Licence)	2
SHIU Lai Man	AKQ640	Licensed Representative (Provisional Licence)	3
SHUK Lap	ALB297	Licensed Representative (Provisional Licence)	1, 2
SHUM Wai Lam, William	AUV735	Licensed Representative (Provisional Licence)	1, 6
SIM Chantara	AUT129	Licensed Representative (Provisional Licence)	1, 2
SIM Emily Shao Hua	AUM601	Licensed Representative (Provisional Licence)	1
SIN Kin Hong, Edward	AUU993	Licensed Representative (Provisional Licence)	1, 2
SO Koon On	AUR647	Licensed Representative (Provisional Licence)	1
SO Yu Yuk	AUQ747	Licensed Representative (Provisional Licence)	1
SOHN Hyunho	ANB380	Licensed Representative (Provisional Licence)	4, 9
SRINIVASAN Kandarp	AUQ668	Licensed Representative (Provisional Licence)	1, 6
SRIVASTAV Pankaj Kumar	AUU964	Licensed Representative (Provisional Licence)	4
STIRIS Alexander Jarl	AUR834	Licensed Representative (Provisional Licence)	1, 6
SUH Jung Won	AUS548	Licensed Representative (Provisional Licence)	1, 4, 6
SUH Young Suk	AFO928	Licensed Representative (Provisional Licence)	1
SUN Kam Ming	AUT130	Licensed Representative (Provisional Licence)	1, 6
SUTTIE Ben Charles	AFW867	Licensed Representative (Provisional Licence)	1, 7
SZE Chiu Yu, Dominic	AUS423	Licensed Representative (Provisional Licence)	1, 4
TABATA Shinichi	AUV637	Licensed Representative (Provisional Licence)	1, 4
TAI Nai	AUS628	Licensed Representative (Provisional Licence)	1, 2
TAM Ces'ario Selwyn	AUN337	Licensed Representative (Provisional Licence)	1, 2
TAM Lup Yun, Yoko	AUS003	Licensed Representative (Provisional Licence)	1, 2
TAM Tsz Tuen, Arthur	AUS140	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAM Yee Hang	AUT514	Licensed Representative (Provisional Licence)	4, 9
TAN Meng Wee, Kendrew	AUV519	Licensed Representative (Provisional Licence)	1, 6
TAN Yi-ming, Darryl	AUR864	Licensed Representative (Provisional Licence)	1, 2, 7
TANG Luk Cheung	AUU976	Licensed Representative (Provisional Licence)	1, 2
TANG Peter Jee Ho	ACP853	Licensed Representative (Provisional Licence)	4
TANG Wai Chi Ricky	AUS271	Licensed Representative (Provisional Licence)	1, 9
TANG Yik Lun	AUS633	Licensed Representative (Provisional Licence)	1, 2
TAO Feiran	AUN714	Licensed Representative (Provisional Licence)	1, 2
TAO Wing Cheong	AUU990	Licensed Representative (Provisional Licence)	1
TAY Jasper Choon Hean	AUS298	Licensed Representative (Provisional Licence)	1
TAYLOR Timothy	ADA513	Licensed Representative (Provisional Licence)	4
THUKRAL Jatin	AUN816	Licensed Representative (Provisional Licence)	1, 2
TO Ching Sze, Cecilia	APA899	Licensed Representative (Provisional Licence)	4, 5
TO Kam Lung, Danny	ARA334	Licensed Representative (Provisional Licence)	4
TOKUYAMA Tomoaki	AUN114	Licensed Representative (Provisional Licence)	1, 2
TONG Tsz Wai	AUT494	Licensed Representative (Provisional Licence)	1
TRECOURT Pierre Jean Daniel	AUV127	Licensed Representative (Provisional Licence)	1
TSANG Cheuk Fung	AUS314	Licensed Representative (Provisional Licence)	1, 2
TSANG Hing Yu	AHN528	Licensed Representative (Provisional Licence)	1
TSANG Jason Ka Chun	AUT168	Licensed Representative (Provisional Licence)	1, 2
TSANG Wai Tak, Victor	ACP649	Licensed Representative (Provisional Licence)	1
TSAO Philip	AEF812	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
TSE Albert Yeuk Kuk	AUQ329	Licensed Representative (Provisional Licence)	6
TSE Betty Shui Hing	AUR711	Licensed Representative (Provisional Licence)	1
TSE Kim Hung	AUR388	Licensed Representative (Provisional Licence)	4
TSE Yau Ling	AUV604	Licensed Representative (Provisional Licence)	1
TULK Philip W	ADZ105	Licensed Representative (Provisional Licence)	4
VALDISOLO Mattia	AUS156	Licensed Representative (Provisional Licence)	1
VATCHKOVA Denitza Plamenova	AUS969	Licensed Representative (Provisional Licence)	1, 2, 7
WAN Ho Lam	AUR976	Licensed Representative (Provisional Licence)	3
WAN Hung Ha	AUQ942	Licensed Representative (Provisional Licence)	1
WAN Li	AUK434	Licensed Representative (Provisional Licence)	4
WANA Arief Cahyadi	ATH915	Licensed Representative (Provisional Licence)	4
WANG Jing	AUQ482	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WANG Lei	ATV187	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Miao	AUR623	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WEI Jie	AUT751	Licensed Representative (Provisional Licence)	1, 6
WERNER Michael Joseph	AUO124	Licensed Representative (Provisional Licence)	1, 4
WILKINS Clare Rosina	AUV326	Licensed Representative (Provisional Licence)	9
WONG Chin Hung, Cyrus	ATO773	Licensed Representative (Provisional Licence)	1
WONG Hoi Yan	AFR863	Licensed Representative (Provisional Licence)	1
WONG Ka Chun	AUU925	Licensed Representative (Provisional Licence)	1
WONG Ka Fai	AEA628	Licensed Representative (Provisional Licence)	1
WONG Kam Ka	AUR988	Licensed Representative (Provisional Licence)	1, 2
WONG Sau Chun, Carrie	ACX079	Licensed Representative (Provisional Licence)	1, 4
WONG See Wah, Eva	AUR982	Licensed Representative (Provisional Licence)	1
WONG Wai Man	AUR713	Licensed Representative (Provisional Licence)	1
WONG Yun Tong Anton	AUR625	Licensed Representative (Provisional Licence)	1
WOO Lai Ming Raymond	AUS410	Licensed Representative (Provisional Licence)	1, 6
WOODS Jason Liam Thomas	AUL716	Licensed Representative (Provisional Licence)	1, 2, 7
WU Jennifer Qian	AUR749	Licensed Representative (Provisional Licence)	6
WU Jian	AUS973	Licensed Representative (Provisional Licence)	1, 6
WU Lea	AUR710	Licensed Representative (Provisional Licence)	6
YAMAOKU Takahiro	AUM127	Licensed Representative (Provisional Licence)	1, 4, 6
YAN Wentao	AUV991	Licensed Representative (Provisional Licence)	4
YANG Ka Shui	AOW796	Licensed Representative (Provisional Licence)	1
YANG Lizhi	AUQ155	Licensed Representative (Provisional Licence)	9
YANG Shijing	AUU963	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YIP Chui Ping, Lisa	AUW141	Licensed Representative (Provisional Licence)	1, 6
YIP Ho Yan	AUS286	Licensed Representative (Provisional Licence)	2
YIP Kai Sun	AUR622	Licensed Representative (Provisional Licence)	1, 2
YIP Melody	AUS176	Licensed Representative (Provisional Licence)	3
YIP Yuen Man, Letitia	AIY988	Licensed Representative (Provisional Licence)	6
YIP Yuk Wah, Candy	AUR844	Licensed Representative (Provisional Licence)	1
YOKOSUKA Takashi	AUV635	Licensed Representative (Provisional Licence)	1, 4
YOON Dong Hyuk	AOJ335	Licensed Representative (Provisional Licence)	1, 4
YOSHIDA Gotaro	AUQ202	Licensed Representative (Provisional Licence)	1, 2, 7
YOW Chee Kim	AUN750	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
YU Hiu To	AGM902	Licensed Representative (Provisional Licence)	6
YU Ka Fan	AUR829	Licensed Representative (Provisional Licence)	1, 2
YU Kai	AUQ952	Licensed Representative (Provisional Licence)	4
YU Miao	AUS315	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Ming Lung	AUT720	Licensed Representative (Provisional Licence)	6
YU Peijun	AUQ324	Licensed Representative (Provisional Licence)	1, 2
YU Yinghua	AUQ932	Licensed Representative (Provisional Licence)	1, 4, 6
YUAN Ding	AUQ989	Licensed Representative (Provisional Licence)	4
YUEN Siu Lun	AJA304	Licensed Representative (Provisional Licence)	3
YUNG King Shing	AUS299	Licensed Representative (Provisional Licence)	4
Yeung Pak Keung	ANX912	Licensed Representative (Provisional Licence)	1
ZHANG Disheng	AOY784	Licensed Representative (Provisional Licence)	1
ZHANG Fan	AUT824	Licensed Representative (Provisional Licence)	4
ZHANG Jingxin	AUS542	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Peng	AUP569	Licensed Representative (Provisional Licence)	1, 2, 7
ZHANG Qin	AUV596	Licensed Representative (Provisional Licence)	4
ZHANG Wenxian	AJC736	Licensed Representative (Provisional Licence)	4
ZHAO Huiying	ATX474	Licensed Representative (Provisional Licence)	1
ZHAO Qian	AUQ180	Licensed Representative (Provisional Licence)	1, 2
ZHOU Meng	AUO120	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Anne Rui	AUM639	Licensed Representative (Provisional Licence)	1, 4, 6
ANTONELLI Christopher George	AQJ320	Licensed Representative (Temporary Licence)	1
BECK III Andrew Ellingson	ASD117	Licensed Representative (Temporary Licence)	1
BENDALL Victoria Jane	AUS994	Licensed Representative (Temporary Licence)	1
BOULAY Nicolas Philippe	AQI395	Licensed Representative (Temporary Licence)	1
BOUVARD Caroline Marie Eugenie Renee	AUX261	Licensed Representative (Temporary Licence)	1
CARON James Arthur	ASU362	Licensed Representative (Temporary Licence)	1, 2
CASHYN Igor Vyacheslavovich	AUW684	Licensed Representative (Temporary Licence)	1, 2
CASTELLAN Steven	ARV908	Licensed Representative (Temporary Licence)	1
CORNELL James Campbell	ART873	Licensed Representative (Temporary Licence)	1
COX Ashley James	AQS487	Licensed Representative (Temporary Licence)	1
DELMENICO Susan Jane	AQC327	Licensed Representative (Temporary Licence)	1
EDELMAN Marilyn Miki	ARN992	Licensed Representative (Temporary Licence)	1
FINEMAN Daniel Mark	AEP441	Licensed Representative (Temporary Licence)	4
FUKUSHIMA Daisuke	ASJ764	Licensed Representative (Temporary Licence)	4
GAY Mary Christine	AOR816	Licensed Representative (Temporary Licence)	4
GODET Martial Herve Paul	ARU049	Licensed Representative (Temporary Licence)	1, 4
GREENE JR William Jeffrey	AUX423	Licensed Representative (Temporary Licence)	1
HASHIZUME Masayuki	AUW020	Licensed Representative (Temporary Licence)	1
HEASMAN Nicholas James	ART784	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
HODGSON Holden Kirk	AOS400	Licensed Representative (Temporary Licence)	1, 2
HUANG Shih-Han	AUS132	Licensed Representative (Temporary Licence)	4
HUGHES Michael Warren	AQV262	Licensed Representative (Temporary Licence)	1
IMMEL Andreas Wolfram Peter	AMR653	Licensed Representative (Temporary Licence)	1
JOHNSON Matthew James	AUV898	Licensed Representative (Temporary Licence)	1
KIM Luang	AUP380	Licensed Representative (Temporary Licence)	2
KOBAYASHI Masayoshi	AUW207	Licensed Representative (Temporary Licence)	4
KONTANI Masaru	AUW611	Licensed Representative (Temporary Licence)	1
KRAUS Peter S	ASM271	Licensed Representative (Temporary Licence)	4
KUMAR Ashish M	AUX429	Licensed Representative (Temporary Licence)	1
KUNIMOTO (ALAWDEEN) Reza	AUO037	Licensed Representative (Temporary Licence)	1, 4
LACEY Mark Anthony	ARP109	Licensed Representative (Temporary Licence)	4
LAVI Avraham	AQC511	Licensed Representative (Temporary Licence)	4
LEE LI Elizabeth Shu	AUV482	Licensed Representative (Temporary Licence)	1
LUKE James Robert	AUW501	Licensed Representative (Temporary Licence)	4
MASUNO Daisaku	APD236	Licensed Representative (Temporary Licence)	4
MATSUMOTO Shigeki	AUV159	Licensed Representative (Temporary Licence)	4
MC MAKIN III Benjamin Reese	AUS203	Licensed Representative (Temporary Licence)	1, 2
MCGUIGAN Paul Martin	AUD697	Licensed Representative (Temporary Licence)	1
MCKENZIE John Patrick	ACO890	Licensed Representative (Temporary Licence)	1
MEHTA Nilang Jitendra	AUW826	Licensed Representative (Temporary Licence)	1
MIKAMI Jiro	AQS872	Licensed Representative (Temporary Licence)	1
MILLER III William Herbert	APS295	Licensed Representative (Temporary Licence)	4
MOON John Joohahn	ATH181	Licensed Representative (Temporary Licence)	1
MULLEN Annette Francis	AUW142	Licensed Representative (Temporary Licence)	4
MUNRO Joanna Mary	AUW071	Licensed Representative (Temporary Licence)	1
NESBIT Alan Michael	ANG454	Licensed Representative (Temporary Licence)	4
NISHI Tetsuhiro	AUV963	Licensed Representative (Temporary Licence)	1, 2
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
NOJIMA Susumu	AUT633	Licensed Representative (Temporary Licence)	1, 2
OKAZAKI Yu	AUW883	Licensed Representative (Temporary Licence)	4
OTSUKA Wataru	AUS196	Licensed Representative (Temporary Licence)	4
REDDY Yerravalli Sashipal	AUV613	Licensed Representative (Temporary Licence)	4
RUSTUM John Patrick	ARZ121	Licensed Representative (Temporary Licence)	1
SAITO Katsushi	ATW220	Licensed Representative (Temporary Licence)	4
SANDERSE Menno Gerard Cornelis	AUX424	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHAW Russell James	AUV852	Licensed Representative (Temporary Licence)	4
SKURR Paul Thomas	AQS669	Licensed Representative (Temporary Licence)	1
SLIFIRSKI Michael Jarek	AUT661	Licensed Representative (Temporary Licence)	4
SOLCA Luca Giuseppe	AUT772	Licensed Representative (Temporary Licence)	4
STARKEY Andrew Phillip	AUG334	Licensed Representative (Temporary Licence)	6
STEYN David Andrew	AUX029	Licensed Representative (Temporary Licence)	4
STONE Maximilian Dana	AEJ358	Licensed Representative (Temporary Licence)	1
SWAN Dominic Noel	AUQ036	Licensed Representative (Temporary Licence)	1
TEEPLE Louise	AUV927	Licensed Representative (Temporary Licence)	1
THOMPSON Alistair James	AUV608	Licensed Representative (Temporary Licence)	4
TODD Jason Edmund	ATO944	Licensed Representative (Temporary Licence)	1
TOTH Gina Marie	ARV876	Licensed Representative (Temporary Licence)	4
TOTH Gina Marie	ARV876	Licensed Representative (Temporary Licence)	4
WENZEL Michael Bruce	APU308	Licensed Representative (Temporary Licence)	1
WEST Daniel Craig	AUE988	Licensed Representative (Temporary Licence)	1
WILLIAMS Andrew James	AUR747	Licensed Representative (Temporary Licence)	4
WILLIAMS Toby Lee	AEO751	Licensed Representative (Temporary Licence)	4
WINOKER Steven Eric	AUT771	Licensed Representative (Temporary Licence)	4
WRIGHT Thomas Rowe	AUA323	Licensed Representative (Temporary Licence)	4
YAMAZAKI Yuko	AUW612	Licensed Representative (Temporary Licence)	1
Korea Exchange Bank	AAW095	Registered Institution	1, 4