

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
Aberdeen International Investment Management Limited	ALP545	Licensed Corporation	1, 4, 9
EB Real Estate Asia Limited	AST913	Licensed Corporation	4, 9
Everbright-Efund Fund Management Limited	ANB034	Licensed Corporation	4, 9
JANA Partners Asia, Limited	AQG716	Licensed Corporation	9
Lombard/Apic (HK) Limited	AEP878	Licensed Corporation	4, 9
OppenheimerFunds International Distributor Limited	AJD747	Licensed Corporation	1, 4
VTB Capital Plc	ATY899	Licensed Corporation (Temporary Licence)	1, 4, 6
ALLEN Thomas Lloyd	AHR049	Licensed Representative	4, 9
AU Kit Yi	AFL888	Licensed Representative	4
AU Wai Man	APR244	Licensed Representative	4
AU Wai Ming, Stephen	AGV321	Licensed Representative	4, 9
BACHTIAR Roestam Effendi Bin	AEK135	Licensed Representative	1, 2, 7
BAIK Saeyoon	ARJ096	Licensed Representative	4, 6
BAJPAI Jayanti Shankar	AEV502	Licensed Representative	1, 4, 6
BAKER Samuel Cranage	AOA383	Licensed Representative	1, 4
BALAKRISHNAN Vicknesan	ARN977	Licensed Representative	4, 9
BHADANG Mangesh Anil	AQN289	Licensed Representative	1
BIAN Chao	ASH461	Licensed Representative	1, 2
BILAVINAKATH Salim Jouhar	AIU332	Licensed Representative	1, 2, 5
CABBABE Raif	ATE586	Licensed Representative	1, 2
CHAN Ah Lam, Debbie	ARD677	Licensed Representative	1, 2, 7
CHAN Chi Hung, Eric	AER926	Licensed Representative	4
CHAN Chi Wai	AJG528	Licensed Representative	1, 4
CHAN Chin Keung	AFO603	Licensed Representative	4
CHAN Chor Kei	ALV133	Licensed Representative	1, 2
CHAN Christian James	ARQ002	Licensed Representative	1, 4, 7
CHAN Chun Fai	AQX495	Licensed Representative	1
CHAN Fuk Kin	AFN295	Licensed Representative	4
CHAN Fung Kwan, Candy	AGO717	Licensed Representative	4
CHAN Hoi Lee	APL933	Licensed Representative	4
CHAN Ka Wai	AOD030	Licensed Representative	4
CHAN Ka Yee	AQG701	Licensed Representative	4
CHAN Ka Yin, Crystal	AFN297	Licensed Representative	4
CHAN King Chun	AFN311	Licensed Representative	4
CHAN Kwok Leung, Josaphat	ANT706	Licensed Representative	4
CHAN Lai Hing	AGF520	Licensed Representative	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHAN Lok Ngai	AFQ344	Licensed Representative	4
CHAN Lydia Suk Kuan	AOJ905	Licensed Representative	4
CHAN Man Ching	AGI014	Licensed Representative	4
CHAN Ming Chu	AGP884	Licensed Representative	4
CHAN Mo Tze, Wendy	AFL901	Licensed Representative	4
CHAN Pik Yi, Barbara	ANG378	Licensed Representative	4
CHAN Pui Shan, May	AFP201	Licensed Representative	4
CHAN Pui Sung	ABF537	Licensed Representative	1
CHAN Shan Shan	AFP550	Licensed Representative	4
CHAN Shuk Ching, Agnes	AOV909	Licensed Representative	4
CHAN Shuk Fan, Amy	AFR377	Licensed Representative	4
CHAN Sin Lai	AGP732	Licensed Representative	4
CHAN Sing Wai, Carmond	AGA584	Licensed Representative	4
CHAN Siu Ling	AFP227	Licensed Representative	4
CHAN Suet Lan	AQI476	Licensed Representative	4
CHAN Ting Pong	ALZ723	Licensed Representative	4
CHAN Wah Chi	APJ204	Licensed Representative	4
CHAN Wai Ip	APZ942	Licensed Representative	4
CHAN Wai Man, Fiona	APN881	Licensed Representative	4
CHAN Wai Shun	AFO782	Licensed Representative	4
CHAN Wai Wah	AOL145	Licensed Representative	4
CHAN Wai Yee, Carrie	ARE348	Licensed Representative	1
CHAN Wai Ying	AFN520	Licensed Representative	4
CHAN Wing Cheong	ABB063	Licensed Representative	1
CHAN Wing Sang, Winston	ARR807	Licensed Representative	4
CHAN Wing Tat Ben	AKA371	Licensed Representative	4
CHAN Wing Ting	AOA387	Licensed Representative	4
CHAN Yau Shing	ASS781	Licensed Representative	1
CHAN Yin Chun	AFN326	Licensed Representative	4
CHAN Yin Fong	AQG702	Licensed Representative	4
CHAN Yin Ka, Ivy	AFS538	Licensed Representative	4
CHAN Yuen Fong, Yvonne	ARO883	Licensed Representative	4
CHAN Yuen Man	APL888	Licensed Representative	4, 5, 9
CHAN Yuet Mei	ASD143	Licensed Representative	4
CHAN Yuet Ming	AFN743	Licensed Representative	4
CHANG Kin Kei	AIR431	Licensed Representative	1, 3, 4, 9

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHANG Nai-Wen	ANR510	Licensed Representative	1
CHANG Po Wai	AGQ106	Licensed Representative	4
CHANG Shuo-Fong	AQE954	Licensed Representative	1, 4
CHAO Suen Ping, Matthew	AOO170	Licensed Representative	4
CHASE In Young	AQN968	Licensed Representative	1
CHAU Yun Gi, Gigi	ARP574	Licensed Representative	1, 4
CHEIU Shuk Ha	AFN336	Licensed Representative	4
CHEN Chih-Yu	APJ111	Licensed Representative	3
CHEN Nan	ARG251	Licensed Representative	1
CHEN Qing	AOA971	Licensed Representative	1, 4, 6
CHEN Timothy C.	AFT543	Licensed Representative	1, 4, 6
CHEN Yen-Ni	ASL752	Licensed Representative	1, 4
CHEN Yi-Jung	ASL753	Licensed Representative	1, 4
CHENG Chi Kwan	ARH589	Licensed Representative	4
CHENG Chi Yuet	AHN578	Licensed Representative	1, 2, 6
CHENG Chor Ying, Kathy	AGO569	Licensed Representative	4
CHENG Chun Ching, Stephen	ARF006	Licensed Representative	1, 2
CHENG Chun Hei	ANK163	Licensed Representative	1, 4, 4
CHENG Difei	AMR407	Licensed Representative	1, 6
CHENG Ivan Kwok Lueng	AGZ747	Licensed Representative	1, 4, 5, 9
CHENG Kit Yee, Carrol	ARV715	Licensed Representative	4
CHENG Kwan Lun	AJD750	Licensed Representative	1, 2, 3, 4, 9
CHENG Mee Tak, Amy	ARO612	Licensed Representative	4
CHENG Miu Chu Annie	ARE629	Licensed Representative	4
CHENG Siu Har	AGD842	Licensed Representative	4
CHENG Sze Hang, Simon	APS406	Licensed Representative	1, 2
CHENG Tze Ching	AFU705	Licensed Representative	4
CHENG Yiu On	AQM549	Licensed Representative	1
CHENG Yuk Ling	AFL255	Licensed Representative	4
CHEUK Fung Ying	AGO734	Licensed Representative	4
CHEUNG Bernard Kar Hay	ARA665	Licensed Representative	1, 4
CHEUNG Chi Lap	AGO815	Licensed Representative	4
CHEUNG Chun Keung, Billy	AFI628	Licensed Representative	4
CHEUNG Chun Wai	ASS695	Licensed Representative	4
CHEUNG Hoi Kei, Eggie	AMB969	Licensed Representative	4
CHEUNG Ka Yau	AQM053	Licensed Representative	4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHEUNG Kam Hang, Louis	AFN345	Licensed Representative	4
CHEUNG Kin Hang	ARA266	Licensed Representative	2
CHEUNG Kong Yin	ACR880	Licensed Representative	4
CHEUNG Kuk Ming	AFL852	Licensed Representative	4
CHEUNG Kwok Leung	ASD144	Licensed Representative	4
CHEUNG Ling Cheung, Shadow	AFQ349	Licensed Representative	4
CHEUNG Miu Ping	AEW259	Licensed Representative	4
CHEUNG Pui Lan, Betty	AFP933	Licensed Representative	4
CHEUNG Sau Kuen	AFL169	Licensed Representative	4
CHEUNG Shu Chuen	AFQ350	Licensed Representative	4
CHEUNG Sui Ling, Lina	AFR885	Licensed Representative	4
CHEUNG Suk Fong	APO630	Licensed Representative	1, 2
CHEUNG Suk Yee, Margaret	APL766	Licensed Representative	4
CHEUNG Wai Han	AQS605	Licensed Representative	4
CHEUNG Wai King	AFS840	Licensed Representative	4
CHEUNG Wan Wan	AFL843	Licensed Representative	4
CHEUNG Wing Fung	ANB434	Licensed Representative	4
CHEUNG Wui Chuen	ATN999	Licensed Representative	1, 2, 4
CHEUNG Yim Ming	AFW163	Licensed Representative	4
CHEUNG Yiu Kuen	ASE030	Licensed Representative	4
CHEUNG Yu Wing	AFL838	Licensed Representative	4
CHEUNG Yuen Shan, Fanny	AFN389	Licensed Representative	4
CHEUNG Yuk Chun, Jane	APZ990	Licensed Representative	4
CHEUNG Yuk Lung	ARN022	Licensed Representative	4
CHIK Hon Ching, Galen	AGD310	Licensed Representative	4
CHIK Lai Hing	AGO768	Licensed Representative	4
CHING Pak To	AJE763	Licensed Representative	1, 2, 3, 4, 9
CHIU Chau Kuen, Carol	AFP205	Licensed Representative	4
CHIU Chi Bong	ARO611	Licensed Representative	4
CHIU Chun Bong	AGP747	Licensed Representative	4
CHIU James Yu-Chun	AKX097	Licensed Representative	1, 2, 6
CHIU Mei Wah	AFQ815	Licensed Representative	4
CHIU Tik, Tanny	AFN730	Licensed Representative	4
CHIU Yiu Wing, Charles	AEE312	Licensed Representative	1, 2, 4
CHOI So Kam, Jenny	AOH373	Licensed Representative	4
CHOI Tze Fai, Jeffrey	AKX076	Licensed Representative	1, 6

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHOI Wai Chee	AFU712	Licensed Representative	4
CHOI Yuk Lan	AGO739	Licensed Representative	4
CHONG Pui Kar	AFN477	Licensed Representative	4
CHOTIMONGKOL Watson	ARH506	Licensed Representative	1, 2, 4, 5
CHOW Cheuk Man	ARN460	Licensed Representative	4
CHOW King For	APL759	Licensed Representative	1
CHOW Kwai Har	AFP210	Licensed Representative	4
CHOW Sau Kam, Annie	APK651	Licensed Representative	1
CHOW Wing Chu	APQ325	Licensed Representative	4
CHOW Yin Fan	ANK389	Licensed Representative	4
CHOW Yuet Shan	AFQ475	Licensed Representative	4
CHU Ching Chun	AFL793	Licensed Representative	4
CHU Ho, Jimmy	AFU377	Licensed Representative	4
CHU Kwok Wing	AFN481	Licensed Representative	4
CHU Kwun Kit	AJB221	Licensed Representative	4
CHU Lai Mei	AGI385	Licensed Representative	4
CHU Pui Yu Patty	ARG945	Licensed Representative	4
CHU Yuen Yu, Judy	AGP971	Licensed Representative	4
CHU Yu-Lin	ARO903	Licensed Representative	4, 9
CHUI Sze Wai	AGI268	Licensed Representative	4
CHUN Chyi-Hung	ANM139	Licensed Representative	1, 2
CHUNG Christina Wing Sze	ARR982	Licensed Representative	4, 9
CHUNG Kin Keung	AFL296	Licensed Representative	4
CHUNG Kwai Kuen	AFE413	Licensed Representative	1, 2, 5
CHUNG Mo	AFM009	Licensed Representative	4
CHUNG Tak Tong	AQS660	Licensed Representative	1
CHUNG Wai Yan, Vinla	AFU378	Licensed Representative	4
COMBES Cyrille	AIR455	Licensed Representative	1, 2, 7
DALE Julian	AFN487	Licensed Representative	4
DASWANI Daisy	ART648	Licensed Representative	4
DOEL Jonathan Michael	AOV389	Licensed Representative	4, 9
DOUGLAS Liza	AFL792	Licensed Representative	4
ECONOMOS Andrew	APW596	Licensed Representative	1, 4
EL HICHERI Fayez	ANH083	Licensed Representative	1, 2
FAN Chun Sing, Patrick	AFN491	Licensed Representative	4
FAN Hay Wan, Clara	AFW137	Licensed Representative	4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
FAN Sheung Wei	AQG571	Licensed Representative	1
FAN Siu Ying, Winnie	AFR894	Licensed Representative	4
FAURE Olivier Pierre	AHK081	Licensed Representative	1
FEENEY Eugene Tomas	AQS146	Licensed Representative	1, 2
FISHMAN Leonard	ARH065	Licensed Representative	4, 6
FONG Chun Wing	AOT845	Licensed Representative	1
FONG Ming Lun, Alan	AQA226	Licensed Representative	4
FONG Sui Ying	AFN494	Licensed Representative	4
FONG Wing Chi	ASD147	Licensed Representative	4
FOONG Eltrica Li Ching	ANG871	Licensed Representative	4
FU Jackson	ARJ831	Licensed Representative	1, 2
FU Yung Kap	AFL431	Licensed Representative	4
FUNG Chi Kong	AFS027	Licensed Representative	4
FUNG Chui Kuen	AOA603	Licensed Representative	4
FUNG Kit Yuk, Jennifer	AFR375	Licensed Representative	4
FUNG Pui Ki	AQS474	Licensed Representative	4
FUNG Wai Yee	AFQ947	Licensed Representative	4
FUNG Yin Chun, Kitty	AFL787	Licensed Representative	4
GENG Geng	APX231	Licensed Representative	1, 4, 6
GOWRI SHANKAR Rajshri	AQY077	Licensed Representative	1, 2
GUPTA Aniruddh	ARI814	Licensed Representative	1, 2
HAN Hanting	ARQ970	Licensed Representative	4
HAU Chun Bun	AGP810	Licensed Representative	4
HAU Kin Man	APH993	Licensed Representative	1, 3
HIRAKAWA Alan Bruce	AGG692	Licensed Representative	1, 6
HO Billy Weng Fong	ARB859	Licensed Representative	4
HO Ching Chuen	ANH872	Licensed Representative	4
HO Chun Fung	AFP267	Licensed Representative	4
HO Gerran Chun Yin	APR258	Licensed Representative	1, 4, 6
HO Ka Shun	AMK149	Licensed Representative	4
HO Ka Wing	AQE329	Licensed Representative	4, 9
HO Kam San	AFR910	Licensed Representative	4
HO Kwong Cheong	AQD782	Licensed Representative	4
HO Lai Bun	ASN802	Licensed Representative	1
HO Lai Kuen	AGP800	Licensed Representative	4
HO Lai Seung	ARP285	Licensed Representative	4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
HO Pui Yan	AFN510	Licensed Representative	4
HO Purdy Pin Pin	ASI353	Licensed Representative	1, 2
HO Shiu Lim	AFP757	Licensed Representative	4
HO Shuk Kiu, Vera	AFR273	Licensed Representative	4
HO Shuk Yin, Ingrid	AFS501	Licensed Representative	4
HO Siu Man	ARF259	Licensed Representative	4
HO Suk Wan	AGA586	Licensed Representative	4
HO Yin Ping	AFS670	Licensed Representative	4
HO Yuen Wa	ASH635	Licensed Representative	4
HO-HIO-HEN Christelle Nga Sok	APH286	Licensed Representative	1, 2, 7
HON Man Lai	APJ856	Licensed Representative	4
HOW Beng Wee	AQI399	Licensed Representative	1, 2
HSU Fang-Chih	ANR509	Licensed Representative	1
HSU Sumy	APZ550	Licensed Representative	1
HUANG Mei-Ching	ARD867	Licensed Representative	1
HUANG Yan Qiao, Team	AMM127	Licensed Representative	1, 4
HUI Heung King	AFU170	Licensed Representative	4
HUI Ho Fan	AFN427	Licensed Representative	4
HUI Hoi Lei	AGG011	Licensed Representative	4
HUI Kam Wing, Benjamin	ACB593	Licensed Representative	1, 2, 5
HUI Kwok Fai	AQG703	Licensed Representative	4
HUI Mei Yi	AGQ165	Licensed Representative	4
HUI Sau Lam	ARE165	Licensed Representative	4
HUI Siu Chun, Eddie	AFR593	Licensed Representative	4
HUI Suet Ching	ARV695	Licensed Representative	4
HUI Wing Sang	ARN544	Licensed Representative	4
HUI Wing Wah	APB269	Licensed Representative	4
HUI Yuen Kwan, Alice	AQS776	Licensed Representative	4
HUNG Kai Yee	AFL778	Licensed Representative	4
HUNG Lai Kwan	AQS604	Licensed Representative	4
HUNG Pak Ching, Cubert	AFP756	Licensed Representative	4
HUNG Sze Ki, Prudence	ANG304	Licensed Representative	4
HUNG Yiu Pun	AFX778	Licensed Representative	4
IP Hin Ling	AFU777	Licensed Representative	4
IP Pik Wai	AFN441	Licensed Representative	4
IP Sau Ching	AGP988	Licensed Representative	4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
JIANG Xiaoxu	ASO484	Licensed Representative	1, 6
KAM Ling Fan	AFS845	Licensed Representative	4
KAM Wai Ming	ARI519	Licensed Representative	4
KAMALIA Ankur	APO153	Licensed Representative	9
KAN Kwai Hing	AFN444	Licensed Representative	4
KELLY Peter Edward	ANT290	Licensed Representative	1, 2
KENG Chih-Cheng	APC590	Licensed Representative	1, 4
KIM Jae Yoon	APB838	Licensed Representative	1, 2, 4, 5
KIM Jinyong	AML730	Licensed Representative	1, 4
KIM Jung Hyon	ALY188	Licensed Representative	1, 2
KIM Sun Do	ANE128	Licensed Representative	1
KIM Young-Ki	AOA648	Licensed Representative	4, 6
KNOTT David Barry	AKW758	Licensed Representative	1, 2
KO Yoke Kwan	ARD584	Licensed Representative	1
KOENEN JR Austin Voorhees	AQA803	Licensed Representative	1, 6
KOK Chung Yen, Monica	AQW416	Licensed Representative	4
KOK Ki Wai	AGO899	Licensed Representative	4
KONG Lai Ling	AFQ946	Licensed Representative	4
KONG Suk Ching, Katherine	AFU778	Licensed Representative	4
KOO Yuen Ping, Annie	AFP513	Licensed Representative	4
KU Siu Ting	AFN778	Licensed Representative	4
KUO Yu-ying	ALL900	Licensed Representative	1, 2
KWAN Chi Man	AFW341	Licensed Representative	4
KWAN Fu Lun	ARP010	Licensed Representative	4
KWAN Hon Lung	AGJ450	Licensed Representative	4
KWAN Kim Hung	AFR080	Licensed Representative	4
KWAN Pui Kwan	AMM203	Licensed Representative	4
KWAN Pui Man, Ivy	AFN454	Licensed Representative	4
KWAN Tsz Man	AOS354	Licensed Representative	4
KWAN Yin Ha	AGI004	Licensed Representative	4
KWOK Ching Ha	AFX784	Licensed Representative	4
KWOK Ching Ting	ARG946	Licensed Representative	4
KWOK Chung Ping	APS975	Licensed Representative	4
KWOK Kin Man	AJD154	Licensed Representative	4
KWOK Man Ling	APC683	Licensed Representative	4
KWOK Pui Wan	AQD388	Licensed Representative	1



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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
KWOK Siu Lin, Yvonne	AFN490	Licensed Representative	4
KWOK Tsing	ASC588	Licensed Representative	1
KWOK Wai Hing	AFQ609	Licensed Representative	4
KWOK Wai Kwan	ARN153	Licensed Representative	1, 6
KWOK Wai Lun	AQI474	Licensed Representative	4
KWOK Wing Yin, Windy	AFN499	Licensed Representative	4
KWOK Yuet Ngor	AFL684	Licensed Representative	4
KWONG Chee Kwan, Priscilla	ARQ747	Licensed Representative	4
KWONG Man Wai, Herman	AQS598	Licensed Representative	9
LAI Gordon Yat Hung	AQS197	Licensed Representative	1
LAI Hing Yuk	AGP877	Licensed Representative	4
LAI Kin Fai	ANN614	Licensed Representative	4
LAI Kit Chi	AFQ552	Licensed Representative	4
LAI Kit Man	AFQ554	Licensed Representative	4
LAI On No	AFO756	Licensed Representative	4
LAI Pui Shan	AFN656	Licensed Representative	4
LAI Wai Kei	AFM110	Licensed Representative	4
LAI Yuk Ling	AFT963	Licensed Representative	4
LAM Chi Wai	ASE696	Licensed Representative	4
LAM Chun Sang	ASZ059	Licensed Representative	1
LAM Hai Luen, Margaret	AOA399	Licensed Representative	4
LAM Hau Chu	AGH396	Licensed Representative	4
LAM Ho Kei, Edmund	ASO897	Licensed Representative	1
LAM Ka Ki, Charlie	ABE374	Licensed Representative	1, 4, 9
LAM Lai King	ARO615	Licensed Representative	4
LAM Ling Wan	AQS603	Licensed Representative	4
LAM Mei Lai	AOT961	Licensed Representative	4
LAM Pui Kin	AFN493	Licensed Representative	4
LAM Siu Hei	ANI870	Licensed Representative	4
LAM So Shan, Susana	AFQ948	Licensed Representative	4
LAM Sze Yin	AJB966	Licensed Representative	4
LAM Tsz Shan, Eddie	AFQ565	Licensed Representative	4
LAM Vanessa Yuk Yee	AID483	Licensed Representative	1, 4, 5, 9
LAM Wai Keung, Alan	AFL663	Licensed Representative	4
LAM Wai Kuen	AGP025	Licensed Representative	4
LAM Wai Man	AFS609	Licensed Representative	4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LAM Yat Hong, Victor	AFS595	Licensed Representative	4
LAM Yat Ming, Richard	ADL563	Licensed Representative	4
LAM Yau Cheong	ARI162	Licensed Representative	4
LAM Yau Kin	ASH445	Licensed Representative	4
LANUZA Alberto Advincula	ARO904	Licensed Representative	4
LAU Chi Fai, Franco	AGH983	Licensed Representative	4
LAU Chi Wing	AFS029	Licensed Representative	4
LAU Chiu	APU946	Licensed Representative	4
LAU Chor Kiu	AFP326	Licensed Representative	4
LAU Chun Sing	ANQ367	Licensed Representative	1, 2
LAU Hoi Yi	AJF305	Licensed Representative	4, 9
LAU Hon Keung	AFN653	Licensed Representative	4
LAU Ka Yee, Esther	AFL654	Licensed Representative	4
LAU Lai Sang	AFQ585	Licensed Representative	4
LAU Leong Man	AFO759	Licensed Representative	4
LAU Sau Ngan, Margaret	AQX527	Licensed Representative	4
LAU Sze Man	AFX795	Licensed Representative	4
LAU Wai Keung	AGP028	Licensed Representative	4
LAU Wing Fai	APP237	Licensed Representative	4
LAU Wing Yan	ARU206	Licensed Representative	4
LAU Yuk Ngo	ARH590	Licensed Representative	4
LAW Ho Yan	AFQ586	Licensed Representative	4
LAW Kin Nam	ARJ417	Licensed Representative	4
LAW Kwan Moon	APR267	Licensed Representative	4
LAW Siu Hong	ADT637	Licensed Representative	1, 2
LAW Wai Hing, Oscar	AGO885	Licensed Representative	4
LAW Wing Kin	AFN529	Licensed Representative	4
LEE Chui Ha	AGO884	Licensed Representative	4
LEE Chun Bong	AFQ589	Licensed Representative	4
LEE Chun Yu	ARE583	Licensed Representative	4
LEE Hing Kwai	AFN531	Licensed Representative	4
LEE Hoi Ting	AFZ470	Licensed Representative	4
LEE Hoi Tung	ASE237	Licensed Representative	1, 7
LEE Hsiutuan	ARP077	Licensed Representative	1, 2
LEE Jiin Yih	AML646	Licensed Representative	4
LEE Ka Fai	ANG925	Licensed Representative	4

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LEE Ka Yee	AGO574	Licensed Representative	4
LEE Kit Wai	AFR032	Licensed Representative	4
LEE Kiu Lai	AFT967	Licensed Representative	4
LEE Kwan Kit	AOT964	Licensed Representative	4
LEE Man Kin	ASE029	Licensed Representative	4
LEE Mei Lan, Joe	AFP683	Licensed Representative	4
LEE Mei Lin	AFO161	Licensed Representative	4
LEE Ping Kin	AFT417	Licensed Representative	4
LEE Sin Tung	ARS051	Licensed Representative	4
LEE Siu Wai, Radcliffe	AFR037	Licensed Representative	4
LEE Suk Man	ANH845	Licensed Representative	4
LEE Wing Fat, James	AFP941	Licensed Representative	4
LEE Wu Ling	AFN788	Licensed Representative	4
LEE Yick Wa	AGH671	Licensed Representative	4
LEE Yiu Wah	AGP798	Licensed Representative	4
LEE Yui	ANZ814	Licensed Representative	1, 4, 9
LEE Yuk Lan	ARO674	Licensed Representative	4
LEE Yuk Ming	APL474	Licensed Representative	4
LEUNG Che Tak	AFP330	Licensed Representative	4
LEUNG Cheuk Hang	ARU218	Licensed Representative	4
LEUNG Hoi Suet	AFK843	Licensed Representative	4
LEUNG Hon Chung	AFN813	Licensed Representative	4
LEUNG Ka Fai	AFP963	Licensed Representative	4
LEUNG Ka Kei	AFP944	Licensed Representative	4
LEUNG Kai Pong	ARR594	Licensed Representative	4
LEUNG Kin Cho	AFN830	Licensed Representative	4
LEUNG Koon Wing, Timothy	AFP945	Licensed Representative	4
LEUNG Mei Po	AMD090	Licensed Representative	1, 2, 4
LEUNG Ming Fai, Dawson	AGO968	Licensed Representative	4
LEUNG Miu Lan	AFL629	Licensed Representative	4
LEUNG Siu Man, Sally	APC137	Licensed Representative	4
LEUNG Siu Wah	AOG930	Licensed Representative	4
LEUNG Sui Lan	AGP639	Licensed Representative	4
LEUNG Sze Man, Lilian	ART418	Licensed Representative	1
LEUNG Tak Chi, Dennis	ALZ592	Licensed Representative	4
LEUNG Wai Yuen, Winnie	AOC890	Licensed Representative	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LEUNG Wing Cheung	ALA766	Licensed Representative	1
LEUNG Wing Yu	AFP965	Licensed Representative	4
LEUNG WOO Yin Ching	AFN854	Licensed Representative	4
LEUNG Yiu Ngan, Carol	AFL610	Licensed Representative	4
LEVENTHORPE Thomas Rooper	ABA264	Licensed Representative	4
LI Ah Chun	AFP332	Licensed Representative	4
LI Bo	ASO215	Licensed Representative	1, 2
LI Chi Fat	AFL607	Licensed Representative	4
LI Chi Kan, Paddy	AFK850	Licensed Representative	4
LI Chun Chun	AFL603	Licensed Representative	4
LI Donghui	AOJ004	Licensed Representative	6
LI Fung Ying	AFS661	Licensed Representative	4
LI Hiu Mei	AGP845	Licensed Representative	4
LI Ka Leung Cyril	APP081	Licensed Representative	4
LI Kit Ching, Esther	AFN856	Licensed Representative	4
LI Kwo Man	AFP333	Licensed Representative	4
LI Kwok Tsui	AFP939	Licensed Representative	4
LI Kwok Wai, Raymond	AFN859	Licensed Representative	4
LI Lai Po, Kitty	ANW414	Licensed Representative	4
LI Lai Ying	AFN864	Licensed Representative	4
LI Lan	AQQ432	Licensed Representative	4
LI Lok Fai	ARP011	Licensed Representative	4
LI Pak Leung	AFY185	Licensed Representative	4
LI Pui Yan, Jacqueline	AGO726	Licensed Representative	4
LI Ran	AOX844	Licensed Representative	1, 2
LI Shui Ling, Santi	AFS650	Licensed Representative	4
LI Shuk Wa, Vivian	ANY054	Licensed Representative	1, 2, 5
LI Siu Hon	AFS242	Licensed Representative	4
LI Siu Wan	AFP335	Licensed Representative	4
LI Sixuan	ARJ826	Licensed Representative	1, 4
LI WOO Yin King Cammy	AFL908	Licensed Representative	4
LI Yuen Ling	AGO207	Licensed Representative	4
LIN I-Chien	APR836	Licensed Representative	1
LIN Suen Kwok, Bobby	AAF423	Licensed Representative	1, 4, 9
LING Suk Wai	AFS508	Licensed Representative	4
LIU Dongmei	AMM436	Licensed Representative	1, 4, 6

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LIU Harriet Hau Yee	ANP078	Licensed Representative	4
LIU Lai Lei	AFR629	Licensed Representative	4
LIU Yen-chun	ANU792	Licensed Representative	1, 2
LIU Yin Fong	AGO881	Licensed Representative	4
LO King Yu	ASZ246	Licensed Representative	4
LO Lai Ping, Marianna	AFU724	Licensed Representative	4
LO Lai Yi	AFR637	Licensed Representative	4
LO Mary	AGO816	Licensed Representative	4
LO Sau Fung	AFL597	Licensed Representative	4
LO Shun Tat	AAK741	Licensed Representative	1, 2, 5
LO Sik Yin	AFL592	Licensed Representative	4
LO Siu Kong	ARS576	Licensed Representative	1
LO Tik Sang, Dickson	AGO773	Licensed Representative	4
LOCK Pik Shan, Ivy	AIU532	Licensed Representative	1, 4, 6
LOGIURATO Liana	ARZ142	Licensed Representative	1, 4, 6
LOW Kenneth Meng Ee	AJD749	Licensed Representative	1, 4, 6
LOW Yu-Ling, Adrienne	APU243	Licensed Representative	1
LU Sung-tao	AMF176	Licensed Representative	1, 4, 6
LUI Fung Kit	ANT907	Licensed Representative	4
LUI Kit Ming	AGP803	Licensed Representative	4
LUI Man Wah	AQA809	Licensed Representative	1
LUK Chiu Ming	ARD512	Licensed Representative	1
LUK Pak Kin	APJ207	Licensed Representative	4
LUN Pui Yin, Dennis	ARP429	Licensed Representative	1, 6
LUNG Wai Sze	AFZ475	Licensed Representative	4
LUNG Wei Man	AFS657	Licensed Representative	4
LUPIS Alexis Damien Dominique	ATC479	Licensed Representative	1
LYNCH Simon James	AMW694	Licensed Representative	1, 2
MA Ka Man	ARL853	Licensed Representative	4
MA Man Yan, Joanne	AFQ632	Licensed Representative	4
MA Pak Ying	ARN636	Licensed Representative	4
MA Pui Kei	AQJ771	Licensed Representative	4
MA Siu Hung	AFR649	Licensed Representative	4
MA Wing Mei, Winnie	AIJ098	Licensed Representative	4
MAK Chu Ming, Jack	AFT420	Licensed Representative	4
MAK Sui Ying, Pauline	AGO783	Licensed Representative	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
MAN Chi Hung	AME920	Licensed Representative	4
MAN Lai Ling	AFP946	Licensed Representative	4
MIURA Fumiko	ACX126	Licensed Representative	4, 9
MOK Mei Ha, Mimi	AMJ200	Licensed Representative	4
MOK Shun Keung	AJB965	Licensed Representative	4
MOK Yuk King, Carmen	ALO502	Licensed Representative	4
MOORE Marianne Nicole	ASL739	Licensed Representative	1
MORGAN Sarah Judith	ARH953	Licensed Representative	1
MUI Man Hei, Aldous	AFQ969	Licensed Representative	4
NEE Mary Yuet So	ABF513	Licensed Representative	1, 2
NEWMAN Daniel Antony	AAN991	Licensed Representative	1, 4, 6
NG Cheuk Bun, David	AGP827	Licensed Representative	4
NG Cheuk Chiu, Louis	AFQ926	Licensed Representative	4
NG Chin Chau, Francis	AMX074	Licensed Representative	4
NG Chong Fai	AFL576	Licensed Representative	4
NG Hon Wei	AFL572	Licensed Representative	4
NG Kit Huen, Peter	AGQ071	Licensed Representative	4
NG Lai Ching, Venus	AFL558	Licensed Representative	4
NG Lai Fong	AAC271	Licensed Representative	4
NG Lai Lin	AFT422	Licensed Representative	4
NG Lai Yung, Brenda	AFN551	Licensed Representative	4
NG Man Hon	AOV148	Licensed Representative	4
NG Sai Mui	ALV015	Licensed Representative	4
NG Sau Lin	AFZ390	Licensed Representative	4
NG Shu Ching	AFU728	Licensed Representative	4
NG Shui King, Yvonne	AGE338	Licensed Representative	1, 2
NG Siu Hang	ARK482	Licensed Representative	4
NG Siu Lai	AHT084	Licensed Representative	4
NG Wai Bong	ASP241	Licensed Representative	1
NG Wai Nam	AFS975	Licensed Representative	4
NG Wai Yee, Taule	AFN553	Licensed Representative	4
NG Wing Chung	AGP804	Licensed Representative	4
NG Wing Sze, Nancy	ARP014	Licensed Representative	4
NG Yuet Wa	AFS625	Licensed Representative	4
NG Yun Ling	AFS199	Licensed Representative	4
NGAI Lai Ching	AGP026	Licensed Representative	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
NGO Kwan Lit	AFL540	Licensed Representative	4
NGO Mei Yung	AFO773	Licensed Representative	4
NICHOLLS William James Roland	APJ504	Licensed Representative	1
NIP Fung Ping	AFL438	Licensed Representative	4
NORRIS Jeremy Anthony	ABM977	Licensed Representative	1
ODGERS Cameron Ward	AKP053	Licensed Representative	1, 4
OH Sung Hwan	ARO583	Licensed Representative	1, 6
OON Bee Lih	AMB463	Licensed Representative	4
OU YOUNG Cho Hon, Chester	ANK896	Licensed Representative	4
PAK Oi Lin	AFO776	Licensed Representative	4
PANG Ho Yee	AFV310	Licensed Representative	3
PANG Hua	APJ169	Licensed Representative	1, 4
PARK Miyoung	AQY830	Licensed Representative	1
PATANKAR Aliya Saud	AOY904	Licensed Representative	1, 2, 4
PERSSON Per Mikael	AQJ531	Licensed Representative	9
PETRAS Jason William	AOP068	Licensed Representative	4, 9
POULEUR Jean-hubert Claude	ALV071	Licensed Representative	1, 4, 6
PUN Sau Lan	AFQ928	Licensed Representative	4
RAJVANSHY Vikram	ARE316	Licensed Representative	4
SAM CHANG Segundo Willy	AHE451	Licensed Representative	1, 2, 3, 6
SAMANT Aditya Ashok	ACA985	Licensed Representative	4, 9
SANDOR David	AQA676	Licensed Representative	1, 6
SARGEANT Luke Matthew	AOE635	Licensed Representative	1, 2
SCHWARTING CHEUNG Mei Kam, Amy	API199	Licensed Representative	4
SEIZ Andrew Victor	AER988	Licensed Representative	1, 2, 4
SETIAWAN Ronny	ANM323	Licensed Representative	1, 2
SETO Chiu Lee	ANT699	Licensed Representative	4
SHAM Ping Chuen	ACU387	Licensed Representative	1, 2, 5
SHAO Jin	APS743	Licensed Representative	1
SHEK Shun Ngor	AFU393	Licensed Representative	4
SHIU Shuk Fun, Angel	AFQ929	Licensed Representative	4
SHIU Yuk	AGP835	Licensed Representative	4
SHUM Jerry	AEO903	Licensed Representative	6
SHUM Kwok Leung, Ivan	AMJ201	Licensed Representative	4
SIM Boon Chye	AOO860	Licensed Representative	1, 4, 6
SIU Kai Wah	ALO477	Licensed Representative	1

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
SIU Kin Shing, Kenneth	ASL740	Licensed Representative	4
SIU Wai Wa	AGI010	Licensed Representative	4
SIU Yim Fong, Candy	AFW512	Licensed Representative	4
SJOESTROEM Mats Johan	AMF102	Licensed Representative	1
SO Chi Kit, Bowie	ABE584	Licensed Representative	1, 2
SO Hang Yeung	ARQ488	Licensed Representative	1, 4
SO Ka Wai, Amy	AFZ784	Licensed Representative	4
SO Siu Wai	ANR005	Licensed Representative	4
SO Yu Chuen	AFN319	Licensed Representative	4
SO Yuk Yee	AFR700	Licensed Representative	4
SOO Lai Chun	AGP864	Licensed Representative	4
SOO Wai Kwan	AGH994	Licensed Representative	4
SUE Jungmo	AEY387	Licensed Representative	1, 4, 6
SUEN Kwan Lam	AFP647	Licensed Representative	4
SUEN Pui Lin	AFN321	Licensed Representative	4
SUEN Yee Man	ASS641	Licensed Representative	4
SUGIURA Nami	AJE847	Licensed Representative	1
SUNG Min Su	ARO771	Licensed Representative	1, 6
SURAPHONGCHAI Pyap	ARN152	Licensed Representative	1, 6
SUTTON Benedict Francis	ARL079	Licensed Representative	4
SZETO Ka Yee	AFL977	Licensed Representative	4
SZYMANSKI Dylan	ARJ017	Licensed Representative	1, 6
TAI Kwai Hung	AGP795	Licensed Representative	4
TAI Wai Yee, Catherine	AFS944	Licensed Representative	4
TAI Wing Kin, Kent	ANK903	Licensed Representative	4
TAI Yuk Lin, Linda	AQI513	Licensed Representative	4
TAM Chit Wa	APQ493	Licensed Representative	1, 4, 6
TAM Hoi Ling, Jennifer	AOE539	Licensed Representative	1, 2
TAM LAM Kwan Hing	AGO871	Licensed Representative	4
TAM Tsz Yeung, Alan	ALI351	Licensed Representative	1, 4, 9
TAM Wai Ping Tomika	APU447	Licensed Representative	4
TAN Chuu Ming	APC677	Licensed Representative	1, 4
TAN Song Lit	AGO776	Licensed Representative	4
TANG Chi Keung	AFR668	Licensed Representative	4
TANG Fuk Chong	AFN344	Licensed Representative	4
TANG Hing Keung	AGP857	Licensed Representative	4



**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
TANG Ivan Yiu Chun	APQ671	Licensed Representative	1
TANG Kwok Yung	AEE613	Licensed Representative	1
TANG Pui Fai, Terry	AQJ511	Licensed Representative	4
TANG Wai Kwan	ANE712	Licensed Representative	4
TANG Yu Tong	AQO295	Licensed Representative	4
TAPIA Ryan Martin	ARO580	Licensed Representative	1, 6
TEH Min Yee	AFW855	Licensed Representative	1, 6
TENG David Wen Hou	ARE496	Licensed Representative	1, 4, 5, 9
TING Miu Ling	AOA889	Licensed Representative	4
TO Kwok Wah, Cecilia	AFN368	Licensed Representative	4
TO Po Wah	AGO745	Licensed Representative	4
TO Pui Fun, Jenny	AGP865	Licensed Representative	4
TO Wai Man	ARO673	Licensed Representative	4
TO Yau Mei	AGO954	Licensed Representative	4
TONG Ching Chong, Corinna	AMB461	Licensed Representative	4
TONG Hei Ting	AQC816	Licensed Representative	4
TONG Kwok Chu, George	AFV813	Licensed Representative	4
TONG Suwan	AQA050	Licensed Representative	1, 2
TREHAN Ankur	ATL824	Licensed Representative	1, 6
TSAI Chen-Yuan	APB486	Licensed Representative	1
TSAI I-ni	AJE120	Licensed Representative	1, 2, 7
TSANG Bing Fun, Eugene	ARU692	Licensed Representative	1, 2
TSANG Hin Kuen	AFP574	Licensed Representative	4
TSANG Ka Yan	ANK780	Licensed Representative	4
TSANG Ka Yan	AQU744	Licensed Representative	1
TSANG Kwan Laam	AFQ651	Licensed Representative	4
TSANG Suet Kan, Wanda	AFN374	Licensed Representative	4
TSE Ka Man	APV609	Licensed Representative	1, 4
TSE Lai Ming	AFO783	Licensed Representative	4
TSE May Lok	AGP001	Licensed Representative	4
TSONG Wai Sze, Grace	AFN394	Licensed Representative	4
TSUI Kwok Hung	AFP612	Licensed Representative	4
TUNG Roland	APJ673	Licensed Representative	1, 2, 4, 5
TURKINGTON Joshua Michael	AON542	Licensed Representative	1, 2
VAN DER GRAAF Roderik Cornelis	APV504	Licensed Representative	1, 2, 9
VERSANDI Alexis Laura Dunham	AOT951	Licensed Representative	1, 2, 7

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
VONGKITBUNCHA Viravate	AMF752	Licensed Representative	1, 6
WAN Chi Kin	AFL935	Licensed Representative	4
WAN Chin Keung	ANX805	Licensed Representative	4
WAN Hoi Wa, Vanessa	ASH463	Licensed Representative	4
WAN Kam Wah	AAA535	Licensed Representative	1, 4, 6, 9
WAN Shuk Fan, Kimmy	AFU782	Licensed Representative	4
WAN Tai Chak, Raymond	AFL931	Licensed Representative	4
WAN Wai Keung, Samson	AOD013	Licensed Representative	4
WANG Christopher Ren-Chie	APQ126	Licensed Representative	1
WANG Qingxi	ANY423	Licensed Representative	1
WANG Wenbo	APM099	Licensed Representative	1, 4
WEE Lee Choo	AOL064	Licensed Representative	1
WOEN Pik Lan	AFQ656	Licensed Representative	4
WONG Chau Yee	AQT257	Licensed Representative	4
WONG Chi Man, Harris	APJ210	Licensed Representative	4
WONG Chui Yi, Michelle	AFR022	Licensed Representative	4
WONG Chun Yeung	AQO492	Licensed Representative	1
WONG Fei Ling	ARH597	Licensed Representative	4
WONG Fung Kiu, Connie	AGG174	Licensed Representative	4
WONG Hing Yee	ARG569	Licensed Representative	4
WONG Hiu Yan	AQG385	Licensed Representative	1, 2, 5
WONG Hoi Hang	AQO447	Licensed Representative	4
WONG Hon Lam	AQQ041	Licensed Representative	4
Wong Ka Kui	AMH787	Licensed Representative	1, 2, 3
WONG Ka Po	AGP021	Licensed Representative	4
WONG Kai Ming	ASE697	Licensed Representative	4
WONG Kai Wah Tony	ARN493	Licensed Representative	4
WONG Kam Fong, Brenda	AGP007	Licensed Representative	4
WONG Kam Ha	AFU737	Licensed Representative	4
WONG Kam Ngoi	APP078	Licensed Representative	4
WONG Kam Sheung	AFX304	Licensed Representative	4
WONG Kin To, Bunco	AEW227	Licensed Representative	4
WONG Kin Wai	ARG508	Licensed Representative	1, 2, 5
WONG King Kiu	ASD149	Licensed Representative	4
WONG King Pok, Kenneth	AMY447	Licensed Representative	4
WONG King Yin, Wilfrith	ARX010	Licensed Representative	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WONG Kiu Ngor	ASH452	Licensed Representative	4
WONG Kuen	AFN303	Licensed Representative	4
WONG Kwok Keung	AQL541	Licensed Representative	4
WONG Lai Ming, Winnie	AFL832	Licensed Representative	4
WONG Lap Ping, Fiona	AFN314	Licensed Representative	4
WONG Man Yi	AFQ668	Licensed Representative	4
WONG Mei Yin	AFQ853	Licensed Representative	4
WONG Nam Fu, Andy	ADB026	Licensed Representative	1, 2
WONG Nga Yu	AFN322	Licensed Representative	4
WONG Oi Lin	AJI661	Licensed Representative	4
WONG Oi Man Gloria	ARB858	Licensed Representative	4
WONG Pak Chi	ARI068	Licensed Representative	4
WONG Po Shan	AFL917	Licensed Representative	4
WONG Sau Chi	AFS952	Licensed Representative	4
WONG Shek Cheung	ACK208	Licensed Representative	3
WONG Shu Tong	AFN325	Licensed Representative	4
WONG Siu Man	AFP808	Licensed Representative	4
WONG So Kwan	AGO729	Licensed Representative	4
WONG Sun Loon	AFP798	Licensed Representative	4
WONG Tak Jor, Rex	AFK855	Licensed Representative	4
WONG Tak Shing	AFO155	Licensed Representative	4
WONG Tze Hwee, Grace	APZ545	Licensed Representative	1, 4, 6
WONG Wai Ki	ASF466	Licensed Representative	4
WONG Wai Po	AST279	Licensed Representative	4
WONG Wing	ASK570	Licensed Representative	1
WONG Wing Ka	AMS334	Licensed Representative	4
WONG Wing Yee, Sanda	AFP955	Licensed Representative	4
WONG Yik Ching	ASE820	Licensed Representative	4
WONG Yin Lan	AFU785	Licensed Representative	4
WONG Yu Yin	AGI949	Licensed Representative	1, 9
WONG Yuet Wah, Mandy	AFP353	Licensed Representative	4
WONG Yuk Mui, Eva	AGO869	Licensed Representative	4
WOO Ka Fai, Stephen	AFQ945	Licensed Representative	4
WOO Mei Ying	AGH556	Licensed Representative	4
WOO Wai Ling	AFR658	Licensed Representative	4
WOO Yuen Chen, Agnes	ANJ568	Licensed Representative	1

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WU Hang-Ping	AMV345	Licensed Representative	1
WU James Yun	AGN747	Licensed Representative	1
WU Man Chiu	ARO753	Licensed Representative	1
WU Shu Ming	ADK901	Licensed Representative	4
WU Suk Yee, Teresa	AMR567	Licensed Representative	4
WU Tak Hin	AGP762	Licensed Representative	4
WU Ya Lan	AJL550	Licensed Representative	4, 5
WU Yaling	AFX306	Licensed Representative	4
WU Yuk Mui, Christine	AFQ769	Licensed Representative	4
XU Shaohong, Alex	ARG166	Licensed Representative	1, 2
YAN Siu Yuk	AJV362	Licensed Representative	4
YANG Lee Keong	APW470	Licensed Representative	1, 2
YANG Liqun	ASE818	Licensed Representative	4
YAU Chiu Shun	ARA315	Licensed Representative	4
YAU Lai Kuen	AGH558	Licensed Representative	4
YAU Wai Lok	AAV961	Licensed Representative	1, 7
YAU Yin Mei, Catherine	ASD148	Licensed Representative	4
YEE Ping Wai	AMM386	Licensed Representative	4
YEUNG Man Lai, Emily	AGP858	Licensed Representative	4
YEUNG Miu Ling	AGP949	Licensed Representative	4
YEUNG Pik Yee	AGP862	Licensed Representative	4
YEUNG Yuk Fai, Clarence	ACJ087	Licensed Representative	1, 2, 4, 5, 9
YIM Kwok Wai, Philip	AFL881	Licensed Representative	4
YING Kejing	APH869	Licensed Representative	6
YIP Chi Wing	ARO676	Licensed Representative	4
YIP Kam Ping	AFN366	Licensed Representative	4
YIP Kim Chung	AGO778	Licensed Representative	4
YIP Kin Hei	AGY921	Licensed Representative	4
YIP Kit Ling, Kitty	AJH265	Licensed Representative	4, 9
YIP Lai Ching, Sucine	AFO744	Licensed Representative	4
YIP Raymond	AFP962	Licensed Representative	4
YIP Suk Ha	AFL874	Licensed Representative	4
YIP Yin Ue, Maggie	AGQ021	Licensed Representative	4
YIU Kwong Tak	AGP010	Licensed Representative	4
YOUSSEFI Chrif	ARF462	Licensed Representative	1, 2
YU Chung Tat	ANG379	Licensed Representative	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
YU Kam Suen	ANC146	Licensed Representative	4
YU Kwun Po, Anson	APJ172	Licensed Representative	4
YU Raymundo A	ADV211	Licensed Representative	1
YU Sheung Chun, Esa	AFQ774	Licensed Representative	4
YU Suk Chi, Grace	AFP255	Licensed Representative	4
YU Sze Wan, Gisela	AQN174	Licensed Representative	1, 6
YU Yiu Lai, Belinda	AFP794	Licensed Representative	4
YUE Chi Ha, Harieta	AGA372	Licensed Representative	4
YUEN Chi Kwong	ART642	Licensed Representative	1, 4
YUEN Fung Ha, Karen	AFN386	Licensed Representative	4
YUEN Kam Lin	AFT435	Licensed Representative	4
YUEN Kevin Siu Ting	ADX883	Licensed Representative	1, 4, 6
YUEN Siu Ling	AOV914	Licensed Representative	4
YUEN Sui Yue	AGP806	Licensed Representative	4
YUEN Wai Ming	ARD789	Licensed Representative	4
YUNG Po Wa, Geran	AFS241	Licensed Representative	4
YUNG Sau Fong	AGA377	Licensed Representative	4
YUNG Siu Kuen, Ivy	AFN400	Licensed Representative	4
ZENG Zhujun	ATQ773	Licensed Representative	1, 2
ZHANG Haochuan	ARJ089	Licensed Representative	1, 4
ZHANG Hong	APO632	Licensed Representative	6
ZHANG Yibo	APW140	Licensed Representative	1, 2
ZHANG Yichi	AQF007	Licensed Representative	1, 2
ZHU Lei	AIU660	Licensed Representative	1, 4, 6
AU Kin Hong, Jester	AAC877	Licensed Representative - Responsible Officer	1, 2
BONGIORNO Anthony Julian	APU943	Licensed Representative - Responsible Officer	9
CHENG Man Kwong	AFG916	Licensed Representative - Responsible Officer	4, 9
DEAR Patrick Giles Gauntlet	ANN415	Licensed Representative - Responsible Officer	9
DIOTH Kathryn Clare	AQA428	Licensed Representative - Responsible Officer	1
DOTY Robert Michael	APA538	Licensed Representative - Responsible Officer	3
EVANS Gareth Handel	AMK322	Licensed Representative - Responsible Officer	1, 4, 6
HO Wing On, Christopher	ABF864	Licensed Representative - Responsible Officer	6
HO Yin Ping	AKO491	Licensed Representative - Responsible Officer	4
HONG Wai Cheung	AFR146	Licensed Representative - Responsible Officer	4
JIN Seung Wook	ARQ109	Licensed Representative - Responsible Officer	1, 4
LAU Chun Fai, Dennis	AAW772	Licensed Representative - Responsible Officer	1

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LAU Heung Wai	AFX585	Licensed Representative - Responsible Officer	4, 5, 9
LAU Ka Chun, Ringo	AEL837	Licensed Representative - Responsible Officer	1, 4, 9
LEE Kin Keung	AEQ451	Licensed Representative - Responsible Officer	6
LI Hoi Lin, Dinnie	AFL803	Licensed Representative - Responsible Officer	4, 5, 9
MAK Chi Ming, Alfred	ACC233	Licensed Representative - Responsible Officer	1, 4, 9
NGUYEN Tung Kim	AQE677	Licensed Representative - Responsible Officer	4
OKUNO Katsuo	AJC136	Licensed Representative - Responsible Officer	1, 2, 4, 6, 9
SEBTI Noreddine Ali	ARJ195	Licensed Representative - Responsible Officer	1, 2, 4, 5
SHUM Jerry	AEO903	Licensed Representative - Responsible Officer	4, 9
SIU Kai Wah	ALO477	Licensed Representative - Responsible Officer	2
STEEL Roger David	AQI377	Licensed Representative - Responsible Officer	4
SUN Weshiang	AQY832	Licensed Representative - Responsible Officer	9
SURRIDGE Michael John	AHV460	Licensed Representative - Responsible Officer	1
TAM Yau Yee	ALN337	Licensed Representative - Responsible Officer	4, 9
TSUI Chi Kin, Ellick	ARM502	Licensed Representative - Responsible Officer	4
YIP Chi Hung	ATI898	Licensed Representative - Responsible Officer	1
YOU Jingfeng	ADK262	Licensed Representative - Responsible Officer	1, 6
YU Junli	AOK950	Licensed Representative - Responsible Officer	2
YUEN Kin, Samuel	ABG168	Licensed Representative - Responsible Officer	6
LESKO Glenn Eric	ADE247	Licensed Representative - Responsible Officer (Provisional Licence)	1, 4, 7
ANWAR Gohir Naseem	ATY467	Licensed Representative (Provisional Licence)	1
BARNES IV Edwin Alonzo	ATT837	Licensed Representative (Provisional Licence)	1, 2
BERNEY Christopher Scott	APL602	Licensed Representative (Provisional Licence)	1
BEUREL Damien Jacky	ATY074	Licensed Representative (Provisional Licence)	1, 2, 7
BIRO Sophie Valerie Louba	ALK025	Licensed Representative (Provisional Licence)	4
BLENKIRON Marc	ATX626	Licensed Representative (Provisional Licence)	1, 2, 7
BYRNE Luke William	AUB086	Licensed Representative (Provisional Licence)	1, 4
CAM Austin Cyril	ATX593	Licensed Representative (Provisional Licence)	1
CHALLIS Philip John	AIV508	Licensed Representative (Provisional Licence)	1
CHAN Cheuk Lun, Gordon	ATY328	Licensed Representative (Provisional Licence)	1
CHAN Chi Ki	AUA440	Licensed Representative (Provisional Licence)	1, 2
CHAN Chi Wing	ATX898	Licensed Representative (Provisional Licence)	1, 2
CHAN Hoi Pong	ATW931	Licensed Representative (Provisional Licence)	1
CHAN Kam Hung, Katrina	ATX660	Licensed Representative (Provisional Licence)	1
CHAN Kei Yan	ATY283	Licensed Representative (Provisional Licence)	1
CHAN Kwong Fat, George	AFU058	Licensed Representative (Provisional Licence)	1

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHAN Ling Man, Anita	AGH228	Licensed Representative (Provisional Licence)	1
CHAN Lung Sang	AUA794	Licensed Representative (Provisional Licence)	1
CHAN Ma Lai	ATX636	Licensed Representative (Provisional Licence)	1, 2
CHAN Raymond Siu Lun	ATT484	Licensed Representative (Provisional Licence)	1, 2
CHAN Tak Kwan	AUA443	Licensed Representative (Provisional Licence)	1
CHAN Ting Fung	ATX675	Licensed Representative (Provisional Licence)	1, 2
CHAN Wai Ching Walton	ATX679	Licensed Representative (Provisional Licence)	1, 2
CHAN Wang Kin	ATX638	Licensed Representative (Provisional Licence)	1, 2
CHAN Wing Kok, Christopher	ATW449	Licensed Representative (Provisional Licence)	1
CHAN Wing Yan	AUA943	Licensed Representative (Provisional Licence)	9
CHAN Yee Ting	ATX933	Licensed Representative (Provisional Licence)	1, 2
CHAN Yim Fong	ATX846	Licensed Representative (Provisional Licence)	4
CHAN Yin Tsung	ATY748	Licensed Representative (Provisional Licence)	1, 6
CHANDRAMOULI Arun	ATY790	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHAU Wing Sze	ATX682	Licensed Representative (Provisional Licence)	1, 2
CHEN Stanley	APU255	Licensed Representative (Provisional Licence)	4, 9
CHEN Weiwei	ATY791	Licensed Representative (Provisional Licence)	1
CHEN Wenlai James	AUB795	Licensed Representative (Provisional Licence)	1, 2
CHEN Ying	ATY273	Licensed Representative (Provisional Licence)	1, 2
CHEN Yuxi	ATX977	Licensed Representative (Provisional Licence)	1, 2
CHENG Chi Yu	AOR101	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHENG Hung Tat	AUA676	Licensed Representative (Provisional Licence)	1
CHENG Kong Sen	APU888	Licensed Representative (Provisional Licence)	1, 4
CHENG Pei-huai	ATT841	Licensed Representative (Provisional Licence)	1, 2
CHENG Shun Man	ATY390	Licensed Representative (Provisional Licence)	1, 2
CHENG Wing Tat	AUB321	Licensed Representative (Provisional Licence)	1
CHEUNG Chi Hung	AEY847	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Chun Ho	ATW460	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Clarice Bonita	ATT086	Licensed Representative (Provisional Licence)	1
CHEUNG Jonathan	ATW579	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Lai Yee	ATY571	Licensed Representative (Provisional Licence)	1, 4
CHI Christa	AUA253	Licensed Representative (Provisional Licence)	1, 2, 7
CHIANG Chun Kit	ATX672	Licensed Representative (Provisional Licence)	1, 2
CHIEW Bee Geok Alina	ALA864	Licensed Representative (Provisional Licence)	1, 9
CHIN Pui Yee	ATX833	Licensed Representative (Provisional Licence)	4
CHING Tsun Lung	AUA954	Licensed Representative (Provisional Licence)	1

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHOI Jennifer	ATX210	Licensed Representative (Provisional Licence)	1, 4, 6
CHOI Shun Tim	ATX627	Licensed Representative (Provisional Licence)	1, 2
CHONG Ka Man	ARA354	Licensed Representative (Provisional Licence)	1
CHOW Choi Lin, Elina	AFJ902	Licensed Representative (Provisional Licence)	1
CHOWDHURY Azad Nasrullah	ATX508	Licensed Representative (Provisional Licence)	1, 6
CHOY Louisa	ABS283	Licensed Representative (Provisional Licence)	1, 2
CHU Cheuk Ho, Andrew	ATX915	Licensed Representative (Provisional Licence)	1, 2
CHU Kuen Ming	AQE680	Licensed Representative (Provisional Licence)	1, 2
CHU Siu Bun	ATX629	Licensed Representative (Provisional Licence)	1
CHUI Lai Ting	AUA965	Licensed Representative (Provisional Licence)	1
CHUNG Sang Won	AIP442	Licensed Representative (Provisional Licence)	9
CONWAY Allan Brian	AMS702	Licensed Representative (Provisional Licence)	1
DAI Ling	ATC265	Licensed Representative (Provisional Licence)	9
DENG Lisa Li	ATX277	Licensed Representative (Provisional Licence)	4
DENG Zheng	ATY590	Licensed Representative (Provisional Licence)	9
DJAJADININGRAT Arif Medianto	ATT849	Licensed Representative (Provisional Licence)	4, 6
DOCTOR Rohan Nadir	ATY606	Licensed Representative (Provisional Licence)	1
DONG Liang	ATS187	Licensed Representative (Provisional Licence)	1, 2, 7
D'SOUZA Dexter Augustus	ATX280	Licensed Representative (Provisional Licence)	1, 2
FAN Chi Wai Simon	AGB716	Licensed Representative (Provisional Licence)	1, 2, 4
FAN Ching Yee	AHR797	Licensed Representative (Provisional Licence)	1, 4, 6
FAN Haiyang	ARO765	Licensed Representative (Provisional Licence)	1, 6
FANG Fei	ATX896	Licensed Representative (Provisional Licence)	1, 6
FISHER David Eli	ATS863	Licensed Representative (Provisional Licence)	9
FONG Kam Cheung, Patrick	AMQ876	Licensed Representative (Provisional Licence)	1, 2
FONG Wei Sin	ASD550	Licensed Representative (Provisional Licence)	1
FOO Chee King, John	AGC136	Licensed Representative (Provisional Licence)	9
FUNG Chung Chi	AJE965	Licensed Representative (Provisional Licence)	1, 2
FUNG Hei Shun, Gary	ATX827	Licensed Representative (Provisional Licence)	1
FUNG Ka Ho, Eric	AUA455	Licensed Representative (Provisional Licence)	1, 2
GALLAGHER Casey Haran	AQE600	Licensed Representative (Provisional Licence)	1
GALVAO Caio Napoli	ATY363	Licensed Representative (Provisional Licence)	1
GAN Weiyang, Samuel	ATT473	Licensed Representative (Provisional Licence)	1, 4, 6
GANDHI Harmeet Singh	ATY488	Licensed Representative (Provisional Licence)	1, 2
GAO Lining	ASY511	Licensed Representative (Provisional Licence)	1, 4
GARDNER David Leslie	ATX829	Licensed Representative (Provisional Licence)	4



**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
GODRIDGE Benjamin John	ATS628	Licensed Representative (Provisional Licence)	1, 4, 9
GOEL Kshitij	AQM623	Licensed Representative (Provisional Licence)	1
GONG Min	ATX842	Licensed Representative (Provisional Licence)	4
GOTETY Venkata Narasimha Apparaya Kishore	ATT094	Licensed Representative (Provisional Licence)	1, 4, 9
GUPTA Oindrilla	AUA970	Licensed Representative (Provisional Licence)	1, 9
HALL Adam Peter	ATX841	Licensed Representative (Provisional Licence)	1, 2, 7
HAN Eugene Kim	AUA466	Licensed Representative (Provisional Licence)	1, 4, 6
HANSEN Patrick Dwight	AST134	Licensed Representative (Provisional Licence)	4
HE Yinliang	AUA263	Licensed Representative (Provisional Licence)	1, 4
HO Chi-pin	ANM677	Licensed Representative (Provisional Licence)	1
HO Hsueh-mei	ATX642	Licensed Representative (Provisional Licence)	4, 5, 9
HO Ka Lee Carrie	AUA763	Licensed Representative (Provisional Licence)	1
HO Kwong Yan	ATY797	Licensed Representative (Provisional Licence)	1, 2
HO Shung Wai	ATY617	Licensed Representative (Provisional Licence)	1
HO Yoon Onn	AUA259	Licensed Representative (Provisional Licence)	1
HO Yuk Wah	ANA760	Licensed Representative (Provisional Licence)	1, 2
HONG Colin Chor Lok	ANQ007	Licensed Representative (Provisional Licence)	1, 4
HONG Kam Kit, Eddie	ATX920	Licensed Representative (Provisional Licence)	9
HUANG Jaw-yang	ARG744	Licensed Representative (Provisional Licence)	1
HUI Tak Tsun	AOD424	Licensed Representative (Provisional Licence)	1
HUNG Hing Wu	AGT903	Licensed Representative (Provisional Licence)	1, 4
HUNG Kei Fung	ATX630	Licensed Representative (Provisional Licence)	1, 2
HUREWITZ Joel Allan	AIN756	Licensed Representative (Provisional Licence)	1, 4, 7
HWANG Shiang-ling, Helen	AMI512	Licensed Representative (Provisional Licence)	1, 2
JIM Yuk Tong	ATT579	Licensed Representative (Provisional Licence)	1, 2
JIN Lu	ATX994	Licensed Representative (Provisional Licence)	6
KAM Cheong Ming	AUA247	Licensed Representative (Provisional Licence)	1
KANG Gyong Hee	AIK892	Licensed Representative (Provisional Licence)	1
KANSAL Mohit	ATX900	Licensed Representative (Provisional Licence)	1, 2
KARP Erika Michele	ATY607	Licensed Representative (Provisional Licence)	4
KASHYAP Ravi	ATY166	Licensed Representative (Provisional Licence)	1, 2, 7
KESEBI Sukru	ATT835	Licensed Representative (Provisional Licence)	1, 2
KIM In-Geun	AUA681	Licensed Representative (Provisional Licence)	1
KIM Samuel, Nicolas	ATX996	Licensed Representative (Provisional Licence)	1, 2, 7
KIMURA Kuniomi	ATY075	Licensed Representative (Provisional Licence)	1, 2
KIRK Bruce Stephen James	ASZ619	Licensed Representative (Provisional Licence)	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
KOH Columbia	ATY202	Licensed Representative (Provisional Licence)	4
KONDO Kei	ATX611	Licensed Representative (Provisional Licence)	1, 2, 7
KONG Zuming	ATX317	Licensed Representative (Provisional Licence)	2
KORNBERG Benjamin Mikael	ATM599	Licensed Representative (Provisional Licence)	1
KWAN Hoi Wai	ATV559	Licensed Representative (Provisional Licence)	1, 2
KWAN Yuk Yee	ATW994	Licensed Representative (Provisional Licence)	1
KWOK Siu Fung	ATW960	Licensed Representative (Provisional Licence)	1
KWOK Tun Wai	ALB797	Licensed Representative (Provisional Licence)	1, 4
LAGERLOW Aaron William	ATC868	Licensed Representative (Provisional Licence)	1
LAI Man Wah	ATX935	Licensed Representative (Provisional Licence)	1, 4, 9
LAKHANPAL Ranjit	AFT173	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Bonita	ATX998	Licensed Representative (Provisional Licence)	1
LAM Hau Ling	ATX836	Licensed Representative (Provisional Licence)	1
LAM Jason Kit Keong	ATT386	Licensed Representative (Provisional Licence)	1
LAM Wai Cheong	AUC060	Licensed Representative (Provisional Licence)	1
LARBIER Patrick	ABY856	Licensed Representative (Provisional Licence)	2
LAU Chau Wah	ATY767	Licensed Representative (Provisional Licence)	1
LAU Cheuk Ki	ATX970	Licensed Representative (Provisional Licence)	1, 2
LAU Ka Ming	AGP191	Licensed Representative (Provisional Licence)	1
LAU Kin To, Philip	AUB584	Licensed Representative (Provisional Licence)	1, 4
LAU Wan Sze	ATY768	Licensed Representative (Provisional Licence)	1, 7
LAU Yin Ling	ATY263	Licensed Representative (Provisional Licence)	4
LAW Wei Kee, Philip	ATT955	Licensed Representative (Provisional Licence)	1, 2
LEE Joon Ho	ATX670	Licensed Representative (Provisional Licence)	1
LEE Kok Hung, Morse	ATW710	Licensed Representative (Provisional Licence)	1
LEE Man Wui, Jeff	AUA461	Licensed Representative (Provisional Licence)	1, 2
LEE Man Yiu, Paul	ADV329	Licensed Representative (Provisional Licence)	1
LEE Pui Ki	AUA442	Licensed Representative (Provisional Licence)	1
LEE Shek Wing, Paul	ACM457	Licensed Representative (Provisional Licence)	4, 5, 9
LEE Sze Man, Ellen	APH709	Licensed Representative (Provisional Licence)	1, 2
LEE Tak Choi	AMZ925	Licensed Representative (Provisional Licence)	1, 2
LEE Tse Yun	AUA508	Licensed Representative (Provisional Licence)	1
LEE Tsz Yan	ATX934	Licensed Representative (Provisional Licence)	1, 2
LEE Yiu San	ATT577	Licensed Representative (Provisional Licence)	1
LEPSOE Robert Christopher	AFJ245	Licensed Representative (Provisional Licence)	1
LEUNG Chak Yan	ATO522	Licensed Representative (Provisional Licence)	1, 2, 3

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LEUNG Chun John	ATY710	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ho Ping, Paul	ATW947	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ho Yan, Ryan	ATW711	Licensed Representative (Provisional Licence)	4
LEUNG Hoi Lam	ATW750	Licensed Representative (Provisional Licence)	1, 4
LEUNG Lok Yan	ATX315	Licensed Representative (Provisional Licence)	4
LEUNG Man Shan	AUA953	Licensed Representative (Provisional Licence)	1
LEUNG Pui Yin	AIO017	Licensed Representative (Provisional Licence)	1
LEUNG Yuen Yee, Cherry	AHS598	Licensed Representative (Provisional Licence)	1
LI Bo	ATY688	Licensed Representative (Provisional Licence)	4
LI Chih Chiang	ATW743	Licensed Representative (Provisional Licence)	1, 4
LI Hing Wah	ATY468	Licensed Representative (Provisional Licence)	1, 2
LI Hui	ATX931	Licensed Representative (Provisional Licence)	1, 6
LI Jacqueline Hsuanying	ATT459	Licensed Representative (Provisional Licence)	1, 4, 6
LI Lei	AUA255	Licensed Representative (Provisional Licence)	1, 6
LI Wing See	AMJ728	Licensed Representative (Provisional Licence)	4
LI Ye	ATX274	Licensed Representative (Provisional Licence)	1, 6
LI Yee Shuen	AUA984	Licensed Representative (Provisional Licence)	1, 2
LIANG Guoqiang	ATX306	Licensed Representative (Provisional Licence)	4, 9
LIANG Lili	ATT866	Licensed Representative (Provisional Licence)	1
LIE Thresiana	ATX921	Licensed Representative (Provisional Licence)	9
LIN Alexander Lanson	ADY651	Licensed Representative (Provisional Licence)	4, 5, 9
LIN David Ping	AOT744	Licensed Representative (Provisional Licence)	1
LIN Lin	AUA267	Licensed Representative (Provisional Licence)	1, 2
LING Chi Chuen	AQQ128	Licensed Representative (Provisional Licence)	1
LIU Chui Ping	ATX837	Licensed Representative (Provisional Licence)	1
LIU Hongyi	ATO886	Licensed Representative (Provisional Licence)	6
LIU Kam Lung	AGO532	Licensed Representative (Provisional Licence)	1
LIU Qiwen	ATT804	Licensed Representative (Provisional Licence)	4
LIU Youhua	ATW985	Licensed Representative (Provisional Licence)	1
LO Kai Fung	ATX632	Licensed Representative (Provisional Licence)	1, 2
LO King Keung, Joe	ATX834	Licensed Representative (Provisional Licence)	4
LODHA Amit	ATT580	Licensed Representative (Provisional Licence)	1, 9
LONGE Kehinde Phillip Oladotun	ANT775	Licensed Representative (Provisional Licence)	1, 2
LY Laurent Huai Eng	ATW215	Licensed Representative (Provisional Licence)	1, 4, 6
MA Chi Ming	ACS639	Licensed Representative (Provisional Licence)	1
MA Kwok Ming	AQC165	Licensed Representative (Provisional Licence)	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
MA Yuk Fung	ATX838	Licensed Representative (Provisional Licence)	1
MAEDA Jun	ARI687	Licensed Representative (Provisional Licence)	1, 2, 7
MAK Kwong On	ATW321	Licensed Representative (Provisional Licence)	1
MAN Yuk Fai	ATY462	Licensed Representative (Provisional Licence)	4
MESSERSCHMITT Frederic Pierre Paul	ATY938	Licensed Representative (Provisional Licence)	1, 6
MICHEL Celine Marie Fanny	AUA949	Licensed Representative (Provisional Licence)	1, 2
MOK Lai Fun Florence	ANJ599	Licensed Representative (Provisional Licence)	1, 6
MOLLER Andrew Peter	ATV175	Licensed Representative (Provisional Licence)	1
MORVAN Christophe	AUB794	Licensed Representative (Provisional Licence)	1, 2
MURPHY David James	AFG800	Licensed Representative (Provisional Licence)	1, 2, 4, 5
NG Kam Fai	AUA229	Licensed Representative (Provisional Licence)	1
NG Kwok Kei	AUB320	Licensed Representative (Provisional Licence)	1, 2
NG Wing Sze	ATY324	Licensed Representative (Provisional Licence)	1, 2
NG Yu Hin John	ATW740	Licensed Representative (Provisional Licence)	1
NGUYEN Nguyen Thanh	AOO555	Licensed Representative (Provisional Licence)	1, 2
NING Richard	AUA444	Licensed Representative (Provisional Licence)	1, 2
OEN Daniel Thian Liong	ATS911	Licensed Representative (Provisional Licence)	1
ONG Marilyn Yap	ATT778	Licensed Representative (Provisional Licence)	1, 2
OYO Hiroshi	AUA698	Licensed Representative (Provisional Licence)	1, 2
PAN Peng	AFP014	Licensed Representative (Provisional Licence)	1
PANG Man King	ATX974	Licensed Representative (Provisional Licence)	1, 2
PARMAR Bhavin Pravin	AUA256	Licensed Representative (Provisional Licence)	1, 2
POON Kin Hei	ATV568	Licensed Representative (Provisional Licence)	1
POON Lai Man	AUA680	Licensed Representative (Provisional Licence)	1
POON Tik Wan	AEO641	Licensed Representative (Provisional Licence)	1
QI Xuan	ATW424	Licensed Representative (Provisional Licence)	1, 4
RAJARAMAN Raghavendran	ATT020	Licensed Representative (Provisional Licence)	1, 2
RATHI Shashank	ATW910	Licensed Representative (Provisional Licence)	1, 2
SALZGEBER Olivier	AUA248	Licensed Representative (Provisional Licence)	1, 4, 6
SAMTER Mark Graham	ATW081	Licensed Representative (Provisional Licence)	4
SELLEN David Paul	ATX502	Licensed Representative (Provisional Licence)	1, 2
SEOK Joon	ATX989	Licensed Representative (Provisional Licence)	1
SHAHAPURKAR Nilesh	ATX901	Licensed Representative (Provisional Licence)	1
SHANG Wei	ATV553	Licensed Representative (Provisional Licence)	1, 2
SHE Chiu Yin, Duncan	AAJ218	Licensed Representative (Provisional Licence)	4, 5, 9
SHEK Po Wan, Winnie	ADY724	Licensed Representative (Provisional Licence)	1, 4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
SHU Shan	ATY750	Licensed Representative (Provisional Licence)	1, 2
SHUM Ki Ho	ATX634	Licensed Representative (Provisional Licence)	1
SHUNG Kwok Yin	AFF211	Licensed Representative (Provisional Licence)	1, 2
SIN Chi Wai	AUA766	Licensed Representative (Provisional Licence)	1, 2
SIN Yee Wing, Fiona	AUA467	Licensed Representative (Provisional Licence)	1
SIU Chi Chiu	ATX811	Licensed Representative (Provisional Licence)	1
SIU Chi Pang	ATY476	Licensed Representative (Provisional Licence)	1
SO Shin Yuk	AJG154	Licensed Representative (Provisional Licence)	1, 2
SOHN Charles Chongho	AUA852	Licensed Representative (Provisional Licence)	1
SONG Zhenru	ATX916	Licensed Representative (Provisional Licence)	1, 6
SOPP Stuart Martin	ATS771	Licensed Representative (Provisional Licence)	1, 2
STARR Tea	AUA701	Licensed Representative (Provisional Licence)	1
STASSEN Christian Eriksen	AUA254	Licensed Representative (Provisional Licence)	1, 2
STEPHENS John David	ATX325	Licensed Representative (Provisional Licence)	1, 2
STILLE Guy Michael	AAE672	Licensed Representative (Provisional Licence)	1, 2, 4
SUN Xiaodong	ATY657	Licensed Representative (Provisional Licence)	4, 9
SUN Yiqian	ATV809	Licensed Representative (Provisional Licence)	1, 2
SUOR Jehanne	ATW753	Licensed Representative (Provisional Licence)	1, 4
SURANUDPRED A Vuthi	AUA470	Licensed Representative (Provisional Licence)	3
SZE Ching Man	AUA960	Licensed Representative (Provisional Licence)	1, 2
TAM Ka Wai, Clara	AQS480	Licensed Representative (Provisional Licence)	1
TAM Sau Yin	AFC202	Licensed Representative (Provisional Licence)	1
TAM Tsz Chung	ATX450	Licensed Representative (Provisional Licence)	1, 2
TAM Wai Man	ATY686	Licensed Representative (Provisional Licence)	1
TAN Fang Li, Vera	ATX839	Licensed Representative (Provisional Licence)	1
TAN Wan Yuan	AMK263	Licensed Representative (Provisional Licence)	1, 2
TANG Siu Lai, Stanley	AFQ893	Licensed Representative (Provisional Licence)	1, 6
TEH Chou Yuen	ATY066	Licensed Representative (Provisional Licence)	1
THAKORE Hemal	AED178	Licensed Representative (Provisional Licence)	1
THIBault Emmanuel, Marie, Philippe, Daniel	ATW114	Licensed Representative (Provisional Licence)	1, 2
TONG Alex Chi Kai	ATX897	Licensed Representative (Provisional Licence)	1, 4
TONG Jun Yan, Martin	ATY749	Licensed Representative (Provisional Licence)	1
TONG Tsun Yat	ATW485	Licensed Representative (Provisional Licence)	1, 2
TSAI Hsueh-Ping	ATS056	Licensed Representative (Provisional Licence)	1
TSAI Yuen Ning	ATX780	Licensed Representative (Provisional Licence)	9
TSE Ka Tsuen	ATY068	Licensed Representative (Provisional Licence)	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
TSE Ka Wai	ATT121	Licensed Representative (Provisional Licence)	1, 2
TSE Kin Wai	ATY812	Licensed Representative (Provisional Licence)	1
TSE Nga Man	ATX507	Licensed Representative (Provisional Licence)	1
TSUI Chi Ping, Ken	ATY811	Licensed Representative (Provisional Licence)	1, 4
TUNG Mathew Ka Wang	AUA456	Licensed Representative (Provisional Licence)	1, 2
VAN DEURSEN Reinout Henric Laurens	ASH973	Licensed Representative (Provisional Licence)	1, 2
VARGHESE Dennis George	ATY739	Licensed Representative (Provisional Licence)	1
VENEAU James Christopher	ATY073	Licensed Representative (Provisional Licence)	4, 9
VERSTRAETE Jeroen Jerome Denise	ATX640	Licensed Representative (Provisional Licence)	9
WAI Justin	ANU188	Licensed Representative (Provisional Licence)	1, 4
WAN Chun Man	ATY752	Licensed Representative (Provisional Licence)	1, 2
WANG Cong	ATW842	Licensed Representative (Provisional Licence)	4
WANG Nan	ATY806	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Po Yuk	ATW993	Licensed Representative (Provisional Licence)	1
WANG Richard Jen-Hwa	AUA264	Licensed Representative (Provisional Licence)	1, 4
WANG Xi	ATS191	Licensed Representative (Provisional Licence)	4
WANG Yang	ATY067	Licensed Representative (Provisional Licence)	1, 6
WEI Zhenghui	ATY167	Licensed Representative (Provisional Licence)	4
WEN Danielle	ATS171	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Chun Chung	ATX845	Licensed Representative (Provisional Licence)	4
WONG Hok Yuen	AUA731	Licensed Representative (Provisional Licence)	1, 4, 5, 9
WONG Mei Sze	ATY711	Licensed Representative (Provisional Licence)	1, 2
WONG Pun Lung, Rockey	ATG997	Licensed Representative (Provisional Licence)	1, 2
WONG Wing Shing	ATY477	Licensed Representative (Provisional Licence)	1
WONG Yee Kae	ATV562	Licensed Representative (Provisional Licence)	1, 2
WOODHULL Anshumann	ATY160	Licensed Representative (Provisional Licence)	9
WU Lap Yan	AUB549	Licensed Representative (Provisional Licence)	1, 2
XIE Rong	ATT939	Licensed Representative (Provisional Licence)	1
XIONG Guangyu	ATT122	Licensed Representative (Provisional Licence)	1
XU Pengbiao	ATW741	Licensed Representative (Provisional Licence)	1, 2
XU Sixiao	AUA948	Licensed Representative (Provisional Licence)	1, 4
XUE Yan	ATX492	Licensed Representative (Provisional Licence)	4, 9
YANG Bing	ATX912	Licensed Representative (Provisional Licence)	1, 6
YANG Yang	ATY685	Licensed Representative (Provisional Licence)	1, 2
YAU Chi Wai	AUA441	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG On Lit	AQE939	Licensed Representative (Provisional Licence)	1, 2

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
YEUNG Pui Shan	ATY822	Licensed Representative (Provisional Licence)	1, 2
YEUNG Shuen	ATX840	Licensed Representative (Provisional Licence)	1
YEUNG Siu Wing	ATX323	Licensed Representative (Provisional Licence)	1
YIP Lai Chuen	ATX917	Licensed Representative (Provisional Licence)	1, 4, 9
YOSHIDA Hinako	AUB347	Licensed Representative (Provisional Licence)	1, 2
YU Peng	ATY475	Licensed Representative (Provisional Licence)	6
YU Wai Ka	ATX641	Licensed Representative (Provisional Licence)	1
YU Wai Kwan, Tina	AMJ508	Licensed Representative (Provisional Licence)	4, 9
YUE Kin Wan	AAE881	Licensed Representative (Provisional Licence)	1, 2
YUEN Robin	AQD400	Licensed Representative (Provisional Licence)	1
YUEN Shu Chi Alvin	ATX895	Licensed Representative (Provisional Licence)	1, 4
ZHANG Chuandong	ATS050	Licensed Representative (Provisional Licence)	1, 4
ZHANG Jincheng	ATT807	Licensed Representative (Provisional Licence)	4
ZHOU Bei	ASZ105	Licensed Representative (Provisional Licence)	9
ZHOU Yu	AGO880	Licensed Representative (Provisional Licence)	9
ZHOU Zhong	ATW216	Licensed Representative (Provisional Licence)	4
ZHU Kun	ATT128	Licensed Representative (Provisional Licence)	4
ABBOU Brice, Stephen, Vassili	ATY267	Licensed Representative (Temporary Licence)	1, 2
ANTONELLI Christopher George	AQJ320	Licensed Representative (Temporary Licence)	1
ATTARZADEH Ebrahim	ATW651	Licensed Representative (Temporary Licence)	1, 4
CABOUAT Nicolas, Claude, Carlo	ATY076	Licensed Representative (Temporary Licence)	1, 2
CAMACHO RIERA Luis Francisco	AQR573	Licensed Representative (Temporary Licence)	4
CAMPICHE Nicolas Thierry	ATY955	Licensed Representative (Temporary Licence)	1
CARON James Arthur	ASU362	Licensed Representative (Temporary Licence)	1
COYLE John	AUB182	Licensed Representative (Temporary Licence)	1
DIYACHKINA Polina	APH589	Licensed Representative (Temporary Licence)	4
DIYACHKINA Polina	APH589	Licensed Representative (Temporary Licence)	4
EDWARDS Kevin Maurice	AUE088	Licensed Representative (Temporary Licence)	1
GINGRICH James Andrew	AUA282	Licensed Representative (Temporary Licence)	4
GODDING Christopher	AJO484	Licensed Representative (Temporary Licence)	1
HAGEMEIER Jan Patric	AUB442	Licensed Representative (Temporary Licence)	4
HEDTKE Nathan Harrington	ARG770	Licensed Representative (Temporary Licence)	1
HENKEL David Carl	ARO112	Licensed Representative (Temporary Licence)	4
ILARDI Francesco Saverio	ATY957	Licensed Representative (Temporary Licence)	1
KANG Eric Sing Poh	AUA650	Licensed Representative (Temporary Licence)	1
KHISAMOVA Elena	ATY947	Licensed Representative (Temporary Licence)	1, 4, 6

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2009**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
KRISHNAN Ashwin	AUB346	Licensed Representative (Temporary Licence)	1
LIN Chen-wei	ATW654	Licensed Representative (Temporary Licence)	1
MASTERS Seth	ALH777	Licensed Representative (Temporary Licence)	4
MC VEY Henry Hanna	AUC987	Licensed Representative (Temporary Licence)	1
MCGUIGAN Paul Martin	AUD697	Licensed Representative (Temporary Licence)	1
MEANY Peter James	AUA480	Licensed Representative (Temporary Licence)	4
MEHTA Viresh Navnitray	ATV360	Licensed Representative (Temporary Licence)	1
METHERELL Alexander John Harding	AUD146	Licensed Representative (Temporary Licence)	1, 4, 6
MIKAMI Jiro	AQS872	Licensed Representative (Temporary Licence)	1
NIEDRINGHAUS IV George Walter	ATY941	Licensed Representative (Temporary Licence)	1, 4, 6
NOGUCHI Masayasu	AUD731	Licensed Representative (Temporary Licence)	4
NORRIS Paul Gregory	AUC402	Licensed Representative (Temporary Licence)	1, 4, 6
RADCLYFFE Benjamin James	AUD569	Licensed Representative (Temporary Licence)	1
RICHARDS Melissa	AUC009	Licensed Representative (Temporary Licence)	1
RICHARDSON Peter George Lister	AUD567	Licensed Representative (Temporary Licence)	1
ROEDER Sabine Monika	ATN822	Licensed Representative (Temporary Licence)	1, 6
ROSS James Mcconville	AUC310	Licensed Representative (Temporary Licence)	4
RUPPERT-FELSOT Jori Elan	ATY492	Licensed Representative (Temporary Licence)	1, 2
SECKER Graham Ronald	AUD568	Licensed Representative (Temporary Licence)	1
SHERIDAN Richard Leo	AUE091	Licensed Representative (Temporary Licence)	1
SHODA Masafumi	ANE306	Licensed Representative (Temporary Licence)	4
STANTON Benjamin	AUB177	Licensed Representative (Temporary Licence)	1
STOPFORD Patrick John Richard	AQE998	Licensed Representative (Temporary Licence)	4
STREET Lee Alexander	AUE090	Licensed Representative (Temporary Licence)	1
SUGG Christopher Charles	ATX990	Licensed Representative (Temporary Licence)	1
TAN Lay Chiun, Justine	ATN677	Licensed Representative (Temporary Licence)	1, 6
TOTH Gina Marie	ARV876	Licensed Representative (Temporary Licence)	4
TOTH Gina Marie	ARV876	Licensed Representative (Temporary Licence)	4
VALENTINE ANDREWS Anne Louise	AUC011	Licensed Representative (Temporary Licence)	1
VAN BRUGGE Robert Pieter	AUA340	Licensed Representative (Temporary Licence)	4
VARINO III George Vincent	ATY716	Licensed Representative (Temporary Licence)	1
VOSPER Paul Andrew	ARU913	Licensed Representative (Temporary Licence)	1
WEST Daniel Craig	AUE988	Licensed Representative (Temporary Licence)	1
WRIGHT Thomas Rowe	AUA323	Licensed Representative (Temporary Licence)	4
WU Tao Thomas	AUA520	Licensed Representative (Temporary Licence)	4
YANAGISAWA Masakazu	ASL355	Licensed Representative (Temporary Licence)	1