

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
Instinet Alpha Trading Limited	ABP250	Licensed Corporation	1, 4, 7
Platinum Asset Management (Asia) Limited	AQZ884	Licensed Corporation	9
Montagu Private Equity LLP	AVP922	Licensed Corporation (Temporary Licence)	1
Al Tian	AOG828	Licensed Representative	1, 6
AU Tat Ho, John	ANQ922	Licensed Representative	4
AU-YEUNG Mi, Margaret	AGO161	Licensed Representative	1
BANG Hyong - Gue Michael	ANC091	Licensed Representative	4
BESSENT Marcel Peter	AVR152	Licensed Representative	1, 2
BHANDARI Chetan Dhruv	ACE504	Licensed Representative	1
BIESMEIJER Jimme	APZ522	Licensed Representative	1, 2
BROEREN Daniel Christopher	ATO788	Licensed Representative	4
BRUCK Cyprian Joannes	AVD405	Licensed Representative	1, 2
CALACE Alessandro	AMM027	Licensed Representative	1
CAO Qi	ANH530	Licensed Representative	1, 6
CARTWRIGHT Olivia Lucy	APA549	Licensed Representative	1, 4
CHAK Vincent	APF821	Licensed Representative	4
CHAM Sin Kai	ANK383	Licensed Representative	9
CHAN Chai Ming, Joyce	AQP667	Licensed Representative	1
CHAN Chi Kin	ASP032	Licensed Representative	4
CHAN Chui Wa, Anita	AQS717	Licensed Representative	1
CHAN Chun To	ATP135	Licensed Representative	1, 2
CHAN Hon Chou, Stanley	AUJ778	Licensed Representative	1
CHAN Hung Ying	AFK607	Licensed Representative	1, 4
CHAN Jess Tik Yan	AGO077	Licensed Representative	4
CHAN Ka Ming	ATL498	Licensed Representative	4
CHAN Kin Ho	AUW493	Licensed Representative	1, 2, 4, 9
CHAN King Chu	AST368	Licensed Representative	4
CHAN Kit Man	AGF197	Licensed Representative	1
CHAN Kiu Cheuk	AMO733	Licensed Representative	1
CHAN Kwok Chun	ANH409	Licensed Representative	1, 4, 6
CHAN Kwok Yan	AQQ251	Licensed Representative	1, 2, 5
CHAN Lai Kee, Patricia	ASF479	Licensed Representative	4
CHAN Lik Hang	ASM287	Licensed Representative	4
CHAN Man Chun	ATY775	Licensed Representative	1
CHAN Man Ha	ATD281	Licensed Representative	4
CHAN Ming Yip	AEX756	Licensed Representative	1
CHAN Sau Chun	ASO976	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Sau Wai, Sylvia	ADU457	Licensed Representative	4
CHAN Sheung Hang	ATY769	Licensed Representative	1
CHAN Shui Chung	APB497	Licensed Representative	1
CHAN Trudy Hong Li	AOE439	Licensed Representative	1
CHAN Tsap Hau	AEF098	Licensed Representative	1
CHAN Tsz Fung, Danny	ARX078	Licensed Representative	1, 4
CHAN Wai Kit	ATP151	Licensed Representative	1, 2
CHAN Wai Lok	ANT622	Licensed Representative	4
CHAN Wai Yin, Jammy	AFT002	Licensed Representative	1, 4, 9
CHAN Yick Kei	AJN963	Licensed Representative	1, 4, 6
CHAN Yin Kit	ARK583	Licensed Representative	4
CHAN Yu	AOC953	Licensed Representative	4
CHANG Fang Cheng	ASN247	Licensed Representative	1, 4
CHANG Lin Lin	APK366	Licensed Representative	4, 9
CHAU Man	AFI606	Licensed Representative	1
CHE Ho Man	ARF779	Licensed Representative	1
CHEN Chao	AOC938	Licensed Representative	1, 4, 6
CHEN Ka Wai	AOG225	Licensed Representative	4
CHEN Libing	AOY490	Licensed Representative	9
CHEN Lik Chit	APK224	Licensed Representative	1
CHEN Po-ling	AVG892	Licensed Representative	1, 4
CHEN Shin Ying	AOJ013	Licensed Representative	9
CHEN Tak Yeaw, Collin	AUQ035	Licensed Representative	1
CHEN Xi	APW610	Licensed Representative	1
CHEN Yin-Rio	AUG874	Licensed Representative	1, 4
CHEN Ying	AVZ685	Licensed Representative	1
CHENG Chun Kit	AUQ335	Licensed Representative	3
CHENG Ho Yu	AOY178	Licensed Representative	6
CHENG Kwok Fun	ANZ648	Licensed Representative	9
CHENG Samson Tsz Kit	APL250	Licensed Representative	1
CHENG Shu Fan	AFL096	Licensed Representative	4
CHENG Shuk Man	ANE203	Licensed Representative	4
CHEUNG Chun	AHE272	Licensed Representative	1, 2, 4
CHEUNG Jonathan	ATW579	Licensed Representative	4, 9
CHEUNG Kwong Wai	AAC828	Licensed Representative	1
CHEUNG Leung, Elisa	ALZ916	Licensed Representative	1, 4, 6, 9
CHEUNG Lung Kit	ASW939	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Wai Shan	AQN360	Licensed Representative	1, 3
CHEUNG Wing Han	AGP029	Licensed Representative	4
CHIANG Ngee Onn Peter	AOF983	Licensed Representative	4, 9
CHIANG Venant	AIB743	Licensed Representative	4
CHIN Wei	APL909	Licensed Representative	1, 4
CHIU Chit	AON936	Licensed Representative	1, 2
CHOI Man Yi	ARQ671	Licensed Representative	4
CHOI Yon Sik, Yvon	AGY566	Licensed Representative	4, 4, 5, 5, 9
CHONG Hon Hoong	AOT550	Licensed Representative	1, 2
CHONG Ka Man	ARA354	Licensed Representative	1
CHONG Sui Hei, Ray	AQI504	Licensed Representative	1, 2
CHOTAI Shamil Vijay	ARP185	Licensed Representative	1, 4
CHOUDHARY Apurva	AGO882	Licensed Representative	1, 4, 6
CHOV Sam Nang	APN879	Licensed Representative	1, 2, 7
CHOW Chiu Wa, Jane	ABT154	Licensed Representative	4, 9
CHOW Iris Yan Yee	AOT965	Licensed Representative	1
CHOW Ka Wa	AVD404	Licensed Representative	1, 2
CHOW Tsz Ming, Timothy	APL966	Licensed Representative	1
CHOY Ka Chun	ASO501	Licensed Representative	1
CHU Pui Shan	AGC271	Licensed Representative	4
CHUI Tsan Kit	AMS324	Licensed Representative	1, 2
CHUNG Kwok Wai	AAI578	Licensed Representative	1, 4, 9
COOK Graham Christopher	AMB609	Licensed Representative	1, 4, 7
CORTES Jose III Valencia	AIR817	Licensed Representative	1, 1, 4, 6
CUNNINGHAM Graeme Alexander	AUZ428	Licensed Representative	4
DADABHOY Imran Sooleman	AJH414	Licensed Representative	1, 2
DENTON Thomas Andrew	ARO890	Licensed Representative	1
DERICKS Richard Munn	ARW140	Licensed Representative	1, 2
DOMALAPALLY Rama Rao	ALZ975	Licensed Representative	4, 9
DU Dan	AOT340	Licensed Representative	5
DU Jian	AMI318	Licensed Representative	1, 7
DUAN Shihua	ARA233	Licensed Representative	2
DUPONT Sebastian R. A.	APS906	Licensed Representative	1, 6
EGAN Kevin Thomas	ASC764	Licensed Representative	1
ELLIOTT Patrick Flynn	ARF918	Licensed Representative	1
FANG Lin	ANQ365	Licensed Representative	1, 2
FAVRE Robin Georges Flavien	ANP295	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
FEI Xiang	AOV610	Licensed Representative	1
FENG Ludwig	AHK304	Licensed Representative	1, 2, 4
FOK Chi Kin	AMQ925	Licensed Representative	1, 4
FOK Ka Yee, Salina	AFK645	Licensed Representative	1, 4
FONG Benny Wai Bun	ASL688	Licensed Representative	9
FONG Kam Chung	ANX889	Licensed Representative	4
FONG Wei Sin	ASD550	Licensed Representative	1
FRIEDMAN Scott Jason	AOE413	Licensed Representative	9
FUNG Hin Wai	AUH265	Licensed Representative	1
FUNG Pak Shing	AEL558	Licensed Representative	1
FUNG Wai Yee	ASC592	Licensed Representative	1
FUNG Yuen Yan	AUX016	Licensed Representative	1, 2
GAO Yuequn	APO883	Licensed Representative	1, 4
GEARY Marc Francis Andrew	AVS841	Licensed Representative	1, 2
GIM Susan Hyunah	AVL304	Licensed Representative	9
GODWIN Richard Maxwell	ALW546	Licensed Representative	4, 5, 9
GOLDIE John Phillips	ACZ996	Licensed Representative	4, 9
GOTETY Venkata Narasimha Apparaya Kishore	ATT094	Licensed Representative	1, 4, 9
GUAN Wenjie	ASK369	Licensed Representative	1, 6
GUHA Krishna Jyoti	ALF245	Licensed Representative	1, 2
GUO Jung Rong	AHN977	Licensed Representative	1, 4
GUO Qun	AUK450	Licensed Representative	1
HARA Hitoshi	AMV311	Licensed Representative	1, 2
HARRIS Derek Guy	ASN949	Licensed Representative	1, 2
HAU Chi Chung	ALI568	Licensed Representative	1, 4
HAUPTFUHRER Catherine Jean	AST686	Licensed Representative	1, 2
HE Chang	AVK953	Licensed Representative	1, 4
HEKSTER Tobias Benjamin	ASD796	Licensed Representative	1, 2
HO Chun Kuen	AOJ589	Licensed Representative	1
HO Chun, Michael	ARB589	Licensed Representative	1
HO Eric	ATH409	Licensed Representative	1, 2, 7
HO Ka Ling	AFP445	Licensed Representative	1, 1, 2, 2, 2, 4
HO Ship Man	ATL493	Licensed Representative	4
HO Tsz Hei	ANJ832	Licensed Representative	4
HO Wei-hao	APO553	Licensed Representative	1
HO Yee Shun	AOQ840	Licensed Representative	1, 4, 9
HO Yuen Chi	APF060	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Yuen Kim	ARO425	Licensed Representative	1, 4
HOARE Len Peter Andrew	ARM938	Licensed Representative	1
HOBBIS Matthew Charles	AOK591	Licensed Representative	1, 7
HOEKSTRA Douwe Johannes	AQM398	Licensed Representative	1, 4, 9
HON Yam Ip	AOQ555	Licensed Representative	4
HONG Jiang	ASY502	Licensed Representative	2
HONG Joonkee	AJX178	Licensed Representative	1, 4, 6
HOR Lai Fong	AIS599	Licensed Representative	4, 6, 9
HSIUNG Diane	AED403	Licensed Representative	9
HSU Waymin	AQS207	Licensed Representative	1
HUANG Chien-Chung	ANU663	Licensed Representative	1
HUANG Jui-Chu	APB223	Licensed Representative	1, 4
HUANG Thomas Chung-Ming	AFU681	Licensed Representative	1, 4, 9, 9
HUANG Wei	AQS353	Licensed Representative	6
HUI Kin Wai	AOX998	Licensed Representative	1, 4, 9
HUNG Yuk Fan	ABV180	Licensed Representative	1
HUONG Cheong Fai	ALU603	Licensed Representative	4, 9
IP Wing Kei	AUP520	Licensed Representative	1
JAIN Amit	AVM344	Licensed Representative	1, 6
JAISINGH Rohit	AIZ536	Licensed Representative	1
JAVELLANA Nadine Guinevere Tan	AOE410	Licensed Representative	4
JIANG Zu Yao	AMZ980	Licensed Representative	1, 6
KAM Cheong Ming	AUA247	Licensed Representative	1
KAM Yoke Meng	AQI105	Licensed Representative	4, 9
KAN Wai Yee, Cindy	AMS439	Licensed Representative	1, 6
KANG Sung Kou	AFY419	Licensed Representative	9
KAVANAGH Louise Margaret	APJ851	Licensed Representative	1, 4, 9
KETCHUM Dylan Dent	APS258	Licensed Representative	1, 4, 9
KEUNDJIAN Jean-Philippe	AUZ102	Licensed Representative	2
KI Tak Sum, Janus	ARI963	Licensed Representative	4, 9
KIM Hong Il	AQT254	Licensed Representative	1, 4, 6
KIM Hyung Jin	AUU988	Licensed Representative	1
KIRALY Matthew Joseph	AQB027	Licensed Representative	1
KISHIMOTO Masakazu	AQF149	Licensed Representative	4
KO Yau Kwong	AME329	Licensed Representative	1
KOK Tsui Li	AEJ791	Licensed Representative	1
KONG Sau Kam, Lisa	ANG555	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
KONG Sin Fai	AQQ032	Licensed Representative	1
KOT Richard	AUB975	Licensed Representative	1, 2, 7
KOUK Sio Keong	AEN923	Licensed Representative	1
KWAI Cho Yu, Davy	AQQ315	Licensed Representative	1, 4
KWAN Ka Man	AFO512	Licensed Representative	1, 2, 3, 5
KWAN Pui Sze	AJD761	Licensed Representative	1, 4
KWOK Man Kit	ARP888	Licensed Representative	4
KWOK Margaret	AAB111	Licensed Representative	1
KWOK Tun Wai	ALB797	Licensed Representative	1, 2, 4
KWOK Yi Lam, Elaine	APH010	Licensed Representative	1, 2
KWON Oh Kee, Peter	ADZ127	Licensed Representative	1, 7
LAI Hoi Yeuk	AQJ304	Licensed Representative	1, 4
LAI Wai Man	AQS766	Licensed Representative	4
LAM Bing Wai	AMM133	Licensed Representative	1
LAM Chui Wah	AMM393	Licensed Representative	1, 2
LAM Ka Chun	ARH361	Licensed Representative	1
LAM Lai Wah, Anna	ARO614	Licensed Representative	4
LAM Po Ka	APH666	Licensed Representative	4
LAM Ting Wai, Anita	AOE462	Licensed Representative	1, 4, 9
LAM Wah Sho	AUC829	Licensed Representative	4
LAM Wai	APK344	Licensed Representative	1, 2
LAM Wing Sze	AGG952	Licensed Representative	4
LAM Yee Yi	AMO998	Licensed Representative	4
LAN Dong	AQF151	Licensed Representative	1, 4, 6
LAU Chi Wing	ABK629	Licensed Representative	4
LAU Chung Ming	AGO227	Licensed Representative	4
LAU Ho Fung	AMW835	Licensed Representative	4, 6, 9
LAU Koon Ming	AHJ189	Licensed Representative	1
LAU Ping Leung	AAJ307	Licensed Representative	1
LAU Siu Fung	AQA542	Licensed Representative	4
LAU Tse Chiang, Carlson	AUI150	Licensed Representative	1, 9
LAU Wai Yee, Virginia	ASX849	Licensed Representative	1, 4, 9
LAW Siu Lung, David	APH927	Licensed Representative	1, 2
LEE Boon Heng	ABT565	Licensed Representative	1, 2, 4, 5
LEE Chi Kong	APY409	Licensed Representative	4
LEE Chi Wing	AQW857	Licensed Representative	1, 2
LEE Chung Yi, Phoebe	AOH319	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Ilhwa	ARO819	Licensed Representative	4
LEE James Sungho	AMF524	Licensed Representative	1, 4, 6
LEE Ju Hyun	ANF208	Licensed Representative	1
LEE Kwan	ARL952	Licensed Representative	4
LEE Pui Man	ARI988	Licensed Representative	1, 4
LEE Suk Yee, Agnes	AFM161	Licensed Representative	4
LEE Sung Tak	ALN168	Licensed Representative	4
LEE Tak Kuen	ANM937	Licensed Representative	1
LEE Wan Lim	AQU589	Licensed Representative	4, 9
LEE Yu Tung, Louie	AFN790	Licensed Representative	4
LEIGH Anthony Ronald	AFP275	Licensed Representative	4, 9
LEONG Sai Cheong	ABB205	Licensed Representative	1, 2, 8
LEUNG Chun Hung	AQU141	Licensed Representative	4
LEUNG Curtis Yan Wing	ANT253	Licensed Representative	1, 4, 6
LEUNG Hui Kit	ATT938	Licensed Representative	1
LEUNG Hung Sing	AUC949	Licensed Representative	6
LEUNG Hung Ying, Stella	ACF527	Licensed Representative	4
LEUNG Kiu Sum	ALI069	Licensed Representative	4
LEUNG Ping Fai	AFK420	Licensed Representative	4
LEUNG Po Kuen, Freda	AGN001	Licensed Representative	4
LEUNG Wai Kiu	APS168	Licensed Representative	1
LEUNG Wing Kuen	AUR979	Licensed Representative	1
LI Evan Ming-Hon	AOT771	Licensed Representative	4
LI Kwok Kiu	ATO151	Licensed Representative	1, 2
LI Lung Wai, Arthur	AOU097	Licensed Representative	4
LI Qi	AUM913	Licensed Representative	1
LI Sau Chun, Tina	APR052	Licensed Representative	4
LI Yanjie	AUS278	Licensed Representative	1, 6
LI Zhuiyang	ASZ584	Licensed Representative	4
LIM Hean Eng	ARL658	Licensed Representative	1, 4, 9
LIM Soo Chong	ANM487	Licensed Representative	1, 4
LIM Tay Seop	AGW608	Licensed Representative	4, 5, 9
LIN Hao-Lung	AMP418	Licensed Representative	1
LIN Yng-Jyh	ALY151	Licensed Representative	1
LIU Liyang	ACP285	Licensed Representative	1, 4, 6
LIU Ren	ASH680	Licensed Representative	4
LIU Yi-Chun	APB425	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Yin Tai	AUW247	Licensed Representative	1, 2
LIU Ying	ATO768	Licensed Representative	1, 4, 6
LO Kwok Keung, Garlick	ANF059	Licensed Representative	1, 4
LO Man Chuen, Benjamin	AFC192	Licensed Representative	4
LO Ming Lee, Lily	AQM557	Licensed Representative	1, 6
LO Yu Chung	AEO273	Licensed Representative	1, 2, 3
LOGANTCHOUK Alexei Vladimirovich	ASZ710	Licensed Representative	1, 2, 4, 5
LOH Chee Sheng, Jack	ACN377	Licensed Representative	1, 6, 7
LOU Ying Ying	AQI137	Licensed Representative	1, 9
LU Long	AUL894	Licensed Representative	4
LU Yisha	ARG784	Licensed Representative	6
LUAN Zhongjie	ALJ830	Licensed Representative	1, 4, 6
LUI Ka Lok	APJ228	Licensed Representative	4
LUI Ka Yan, Karen	AQX044	Licensed Representative	4
LUI Kin Yeung, Edward	ABZ296	Licensed Representative	4
LUK Ka Ping, Amy	ALL503	Licensed Representative	4
Lim Sau Fat	ANJ682	Licensed Representative	4, 9
MA Hiu Ching, Larina	ARS557	Licensed Representative	1, 2
MA Kei Yu, Carey	AUG233	Licensed Representative	1
MA Siwei	ARO767	Licensed Representative	1, 6
MA Tianyu	AMC941	Licensed Representative	1, 6
MAC DONALD James Taroh	ANV042	Licensed Representative	1, 2, 7
MAK Chi Cheung	ATS270	Licensed Representative	1, 2
MAK Ho Kwun, Eric	AOC718	Licensed Representative	1, 4
MAK Jong Ho, Jeffrey	AHX570	Licensed Representative	1, 2
MAK Kai Chun, Kevin	ANG151	Licensed Representative	6
MAK Mei Po, Mabel	AGD603	Licensed Representative	1
MAK Siu Chong, Philip	ATB940	Licensed Representative	4
MANNO Niccolo	ATO463	Licensed Representative	1
MAQSOOD Omer	AUQ290	Licensed Representative	1, 7
MARTIN Timothy Joseph	APR223	Licensed Representative	1, 2
MARUVADA Venkata Satya Ramakrishna	APA408	Licensed Representative	4
MASON John	AOV707	Licensed Representative	6
MATSUDA Makoto	APS544	Licensed Representative	4
MC NAMARA Mary Elizabeth	ACS807	Licensed Representative	1, 2, 4, 5
MENG Po	AUQ325	Licensed Representative	1, 6
MOHAN Santhosh Kumar	AUR104	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
MOUGEOT Benedicte	ARH229	Licensed Representative	1, 4, 5, 9
MUNG Kenny	ASL985	Licensed Representative	1, 2
MYERS Kevin Mitchell	ALB239	Licensed Representative	2
NG Chi Kin Philip	ASW468	Licensed Representative	1
NG Chun Yuen, Alexander	ARQ354	Licensed Representative	1, 4, 9
NG Kai Sum	AHC351	Licensed Representative	1, 3
NG Ming Fei	AOV761	Licensed Representative	1, 4, 6
NG Sau Mui	APL380	Licensed Representative	1
NG Tung Ting	ANP413	Licensed Representative	1, 4
NG Wai Fun	AJN368	Licensed Representative	1, 1, 4
NG Wing Sze	ATY324	Licensed Representative	1, 2
NG Yao Loong	AUJ779	Licensed Representative	1, 6
NG Yi Ching Joven	AUQ033	Licensed Representative	1, 2
NGAI Heung Ming	ATS799	Licensed Representative	1, 6
NGAI Kit Ying, Alice	AOU843	Licensed Representative	1, 2, 7
NIU Ruolei	APZ539	Licensed Representative	1, 4, 9
O'LEAR Christopher James	ASS488	Licensed Representative	1, 2, 7
OLDFIELD Alexander Toby	ARQ375	Licensed Representative	1
OR May Yee, Pauline	ASH728	Licensed Representative	1, 2
OR Wai Hung, Kenneth	ADY282	Licensed Representative	1
PAL Abhishek	ASN918	Licensed Representative	1, 2, 7
PAU Shu Yan, Patrick	ARA276	Licensed Representative	1
PO Yuk Chun, Janet	AFX301	Licensed Representative	4
POET David Andrew	AOG881	Licensed Representative	1, 2
POON Kwun Yu	APR192	Licensed Representative	1
POON Wai Ha	ARQ660	Licensed Representative	1, 4
POON Wai Kei, Raymond	ADX642	Licensed Representative	1, 6
POULTON Eleanor Jane	AKM120	Licensed Representative	1
QUEK Mui Mui	AON076	Licensed Representative	9
RAPI Lorena Gadi	AOP028	Licensed Representative	4, 9
ROUX-CHABERT Guillaume Jean Charles Marc	AMH684	Licensed Representative	1, 2
SAINGOLET Sophie Paulette	ASF397	Licensed Representative	1, 4
SAITO Takayuki	ATG731	Licensed Representative	1
SCLATER Alastair James	AKY177	Licensed Representative	1
SEE Choon May, Patricia	ATL804	Licensed Representative	1
SHAM Jennifer	APN801	Licensed Representative	1, 2, 4, 9
SHAMBHAVI .	ATB980	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHEK King Yue	AHX752	Licensed Representative	1, 4
SIAM Kai Sin	ABY478	Licensed Representative	1, 3
SILVER Colin	AQC208	Licensed Representative	1, 2
SIU Yiu Sing, K K	AGO308	Licensed Representative	4
SMITH Brad Franklin	AOY381	Licensed Representative	1
SMITHSON Travis Lorne	AHE465	Licensed Representative	1, 4
SO Chi Fai	ANJ223	Licensed Representative	4
SO Ha Yan	AUW352	Licensed Representative	1
SO Hang Fai	APJ858	Licensed Representative	1
SO Yim Kuen	AFL716	Licensed Representative	4
SOH Jinlong	AQU607	Licensed Representative	1, 2, 7
SONG Xiaoming	ANR732	Licensed Representative	1, 4
STEVENSON Timothy, Vernon, King	ASH432	Licensed Representative	4
SU Eryck Yi Shyung	ALI353	Licensed Representative	4, 9
SUN Michelle	APM155	Licensed Representative	1, 4
SUNG Yuk Yin	APF733	Licensed Representative	1, 4
SUZUKI Yukiko	ALU731	Licensed Representative	1
TAI Yung Fai	ASI040	Licensed Representative	4
TAKAHASHI Gennosuke	ATN349	Licensed Representative	1, 2
TAM Chi On	AME316	Licensed Representative	1, 4
TAM Hon Bun, Edwin	ATO772	Licensed Representative	1, 2, 7
TAN Sabrina Ong	AIN998	Licensed Representative	1, 4
TAN Shao Khing Bruce	ASZ739	Licensed Representative	1, 2
TAN Yen Keng	AOA645	Licensed Representative	4, 9
TANG Lai Shan, Winnie	AFM629	Licensed Representative	1, 2
TANG Mei Yee	ALC121	Licensed Representative	1, 2, 3, 4
TANG Sai Wah	ATF192	Licensed Representative	1
TANG Siu Kei	AGR034	Licensed Representative	1
THAM Ngiap Lyn Carolyn	AUD962	Licensed Representative	4
TING Ngai	AHV456	Licensed Representative	1, 2
TJUNG Kwong Fung	AVO649	Licensed Representative	4
TO Chi Wai, William	APL886	Licensed Representative	1
TO Man Chau	ATO427	Licensed Representative	6
TONG Kai Ming	AJD856	Licensed Representative	1, 2
TSAI Teddy Hong Teh	AMP367	Licensed Representative	1, 4, 6, 9
TSANG Kin Chi, Oswald	ANG560	Licensed Representative	4
TSANG Lok Man	APS492	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Sin Man	AFO918	Licensed Representative	1, 4, 9
TSE Wai Ning	APY702	Licensed Representative	1
TSUI Chi Yan, Bryan	ASG074	Licensed Representative	1
VENKATARAMANI Kannan	AHF675	Licensed Representative	4, 9
VOGT Harald Walter Albrecht	AJL398	Licensed Representative	4, 9
WAI Man Shan, Ada	ADB779	Licensed Representative	1, 4
WAN Chi Yiu	ANU703	Licensed Representative	1, 4
WAN Kam Yeung	AOT733	Licensed Representative	1, 4, 4
WAN Siu Hang	AUW034	Licensed Representative	4
WANG Le	ANP373	Licensed Representative	1, 2
WANG Peipei	ASE661	Licensed Representative	1
WANG Xiao	ASM446	Licensed Representative	1, 6
WANG Xiaokun	AOZ124	Licensed Representative	1
WANG Yan	ASN855	Licensed Representative	4
WEN Yufeng	AOR267	Licensed Representative	4, 9
WONG Bradley	AQA157	Licensed Representative	1, 6
WONG Cheung Fai	AFK825	Licensed Representative	4
WONG Chi Kwong	APP361	Licensed Representative	1
WONG Chi Nik, Frederick	AHY922	Licensed Representative	1, 4, 6
WONG Chooi Peng, Angeline	AFJ932	Licensed Representative	1
WONG Ho Lam	ALI771	Licensed Representative	1, 4, 9
WONG Hong Kit, Kenneth	AGA146	Licensed Representative	1, 6
WONG Ka Kam	ATI921	Licensed Representative	1
WONG Ka Ki, Eva	AQD871	Licensed Representative	1, 2
WONG Kin Wai	APD187	Licensed Representative	4
WONG King Wai	AVC352	Licensed Representative	1
WONG Kit Yan	AQX498	Licensed Representative	1, 4
WONG Kwai Fong, Posche	AFX811	Licensed Representative	4
WONG Lena Yan Mei	AJO222	Licensed Representative	1, 4, 9
WONG Mo S.	AOD274	Licensed Representative	1
WONG Sek Hung, Panda	AAV373	Licensed Representative	1, 2
WONG Sze Wun	AHN917	Licensed Representative	4
WONG Wa Sum	APF280	Licensed Representative	1
WONG Wing Keung	ATL879	Licensed Representative	1, 2, 4
WONG Wing Shan	APA032	Licensed Representative	1
WONG Yu Ching	AUZ623	Licensed Representative	1
WONG Yuen Ting, Susanna	ARN812	Licensed Representative	1, 2, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Nan	AUW139	Licensed Representative	1, 4, 6
WU Qiong	AQI261	Licensed Representative	6
WU Wan Lerd, Willie	ARI928	Licensed Representative	4, 9
WU Zeen	APM145	Licensed Representative	1, 4, 6
XIAO Chuanming	AQS483	Licensed Representative	1, 2
XU Chao	ART580	Licensed Representative	6
XU Zhan	AQQ125	Licensed Representative	1, 6
YAHATA Kanehide	APQ784	Licensed Representative	4
YAMATE Taketo	AOR373	Licensed Representative	4
YAN Sze Tung, Peggy	ANS078	Licensed Representative	4
YANG Chia Nung	AQA271	Licensed Representative	1
YE Michael	ARF106	Licensed Representative	1, 4, 6
YEE Tsz Shan, Yvonne	AND439	Licensed Representative	4
YEH Jacqueline Yann-Shouh	APB447	Licensed Representative	1, 4
YEH Teh Ming	AAI363	Licensed Representative	1, 3
YEUNG Ching Kwong	ACK686	Licensed Representative	1, 2
YEUNG Hiu Ha, Heidi	APB513	Licensed Representative	4
YEUNG Ho Lin Colin	AMY305	Licensed Representative	4
YEUNG Hon Keung	AHZ655	Licensed Representative	1, 2, 4, 4, 9
YEUNG Kit Lun, Helen	AFA128	Licensed Representative	1, 4, 7
YEUNG Kwun Po	AVW215	Licensed Representative	1, 4
YEUNG Pik Han	ANV060	Licensed Representative	1
YEUNG Pik Ki, Becky	ASO317	Licensed Representative	1
YEUNG Wan Hang	AOS658	Licensed Representative	1, 2, 4
YI Kang Woo	AOQ532	Licensed Representative	1, 7
YING Cheung Wong	AEA475	Licensed Representative	1
YIP Hiu Ying	AOQ719	Licensed Representative	4
YIP Hoi Kam	AQD601	Licensed Representative	1, 2
YIP Kai Yuei Terry	ARE741	Licensed Representative	4
YIP Kwok Lueng	AHZ271	Licensed Representative	2, 3
YONG Ming Tat	ATH550	Licensed Representative	1
YOON Andrew Young	AME441	Licensed Representative	1, 6
YU Kwok Choi, Nelson	ADM136	Licensed Representative	1, 2
YU Suk Lin, Mia	AFV027	Licensed Representative	4
YU Wing Shan, Christina	ATI280	Licensed Representative	1
YU Yun Kai, Peter	ALP935	Licensed Representative	1, 4, 6
YUEN Kia Yee, Claudia	ARF130	Licensed Representative	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAN Jia	ATR012	Licensed Representative	4, 9
ZHANG Shu	ATQ785	Licensed Representative	4
ZHANG Xiaodong	AFH079	Licensed Representative	9
ZHAO Hui	AOG390	Licensed Representative	1, 6
ZHAO Jian	ARO675	Licensed Representative	1
ZHOU Zhong	ATW216	Licensed Representative	4
ZHU Guohui	ALK824	Licensed Representative	4, 6, 9
ZHU John Jiang	ATV958	Licensed Representative	1
ZIELEMAN Tara Jessica	AUE399	Licensed Representative	1
ALEXANDER Charles Alan Heathcote	ALI373	Licensed Representative - Responsible Officer	1, 4, 6
BALFOUR Alexander David	AOL009	Licensed Representative - Responsible Officer	1, 4
BARROSO Raul Francisco	ALF573	Licensed Representative - Responsible Officer	4
CHAN Chun Wah	AAA231	Licensed Representative - Responsible Officer	1
CHAN Kam Wing, Joseph	AAR099	Licensed Representative - Responsible Officer	4, 9
CHAN Pak Lam, Tom	ACJ830	Licensed Representative - Responsible Officer	1, 2, 3, 3, 4, 5, 9
CHEN Miao-Ju	ALJ946	Licensed Representative - Responsible Officer	1, 4, 9, 9
CHEUNG Kam Ping	AEX966	Licensed Representative - Responsible Officer	1, 4, 9
CHOI Hyun Man	ALL101	Licensed Representative - Responsible Officer	1
DU Dan	AOT340	Licensed Representative - Responsible Officer	1, 4, 9
DUONG Dat T	AJD706	Licensed Representative - Responsible Officer	4, 9
GONG Lixun	AKX873	Licensed Representative - Responsible Officer	4
HALPIN Christopher Paul	APQ336	Licensed Representative - Responsible Officer	1, 4
HO Kum Loon, Patrick	AGH918	Licensed Representative - Responsible Officer	9
IP Gar Bo, Victoria	AAF813	Licensed Representative - Responsible Officer	4, 5, 9
KISHIMOTO Masakazu	AQF149	Licensed Representative - Responsible Officer	1
KO Thura Ko	AFJ971	Licensed Representative - Responsible Officer	1, 4
LAM WONG Wei Ching, Carmen	ALS709	Licensed Representative - Responsible Officer	1, 4
LEE Ming Keung	ADJ352	Licensed Representative - Responsible Officer	9
LEUNG Pei Sai	ANR568	Licensed Representative - Responsible Officer	9
LIM John Barclay	AAA085	Licensed Representative - Responsible Officer	1, 4, 6
LOK Anthony Chi Hang	ADK940	Licensed Representative - Responsible Officer	4
MOFFAT Kieran Alistair	AFD039	Licensed Representative - Responsible Officer	1
MYERS Kevin Mitchell	ALB239	Licensed Representative - Responsible Officer	1
NGUYEN Thanh Cao	ADQ686	Licensed Representative - Responsible Officer	1, 7
PASHA Tahnoon	AOM501	Licensed Representative - Responsible Officer	4, 5, 9
POST Mark Pieter	APP087	Licensed Representative - Responsible Officer	1, 2
PREISER David Abraham	AOD252	Licensed Representative - Responsible Officer	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ROBINS Ian David Murray	AQC250	Licensed Representative - Responsible Officer	9
SHANGGUAN Lili	ARS996	Licensed Representative - Responsible Officer	9
SHEETS Bryon Thomas	AUM103	Licensed Representative - Responsible Officer	1, 4
SHOAIB Salman Ali	AMT777	Licensed Representative - Responsible Officer	1, 4
SIMPSON Richard William George	AJO035	Licensed Representative - Responsible Officer	9
VRATTOS William Charles	APJ355	Licensed Representative - Responsible Officer	9
WALLS Chad Whitney	AQE610	Licensed Representative - Responsible Officer	2
WEN Hui	ARS993	Licensed Representative - Responsible Officer	9
WONG Chee Wai, Timothy	ABV816	Licensed Representative - Responsible Officer	1, 4
WONG Chi Wai, Francis	ABF476	Licensed Representative - Responsible Officer	4, 9
WONG Sin Just	ABS438	Licensed Representative - Responsible Officer	1, 4, 6, 9
WOO Jack Man, Jimmy	ADV210	Licensed Representative - Responsible Officer	1, 4, 6, 9
WOO John Kwok Kwong	ACS669	Licensed Representative - Responsible Officer	1
YAP Wai Tso, Joseph	ADB531	Licensed Representative - Responsible Officer	4, 9
YI Kang Woo	AOQ532	Licensed Representative - Responsible Officer	2
YING Cheung Wong	AEA475	Licensed Representative - Responsible Officer	2, 5
YU Fa	AEX223	Licensed Representative - Responsible Officer	9
- Navita	AWA227	Licensed Representative (Provisional Licence)	1, 2
ABENDSCHEIN Lauren Beth	AWC654	Licensed Representative (Provisional Licence)	1
ALEXANDER Ean Malcolm	AWC494	Licensed Representative (Provisional Licence)	1, 6
ALLEY Thomas Gordon	AVW005	Licensed Representative (Provisional Licence)	1, 2
ANO Kenjiro	AWE081	Licensed Representative (Provisional Licence)	1, 6
ANSON Glenn William Frank	AWC706	Licensed Representative (Provisional Licence)	1
ARBIB Arianna	AWE922	Licensed Representative (Provisional Licence)	1
AU Hei Ching	AWC465	Licensed Representative (Provisional Licence)	1, 2
AUGIAS Cedric Alain Nicolas Marie	AFS898	Licensed Representative (Provisional Licence)	1, 2
BAI Dongming	AWA166	Licensed Representative (Provisional Licence)	4
BAO Danny Q	ANR606	Licensed Representative (Provisional Licence)	4
BAYLEY Luke	AWD072	Licensed Representative (Provisional Licence)	1, 6
BEN SADOON Didier	AWA584	Licensed Representative (Provisional Licence)	1, 2
BLACK Robin	APS488	Licensed Representative (Provisional Licence)	1
BOLLIER Stefan Gregor	AWC139	Licensed Representative (Provisional Licence)	1, 6
BURNS Bridget Elizabeth	AWD490	Licensed Representative (Provisional Licence)	1
BUSTA James Michael	AWC450	Licensed Representative (Provisional Licence)	1
CALINOFF Jordan Adam	AWC350	Licensed Representative (Provisional Licence)	1, 4, 9
CAMMARATA Michael	AWC346	Licensed Representative (Provisional Licence)	1, 2, 7
CASTLE Jarrod	AWA482	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAIWATANATORN Krit	AWA324	Licensed Representative (Provisional Licence)	1, 6
CHAN Chi Wai	AWF584	Licensed Representative (Provisional Licence)	1
CHAN Chun Wah	APD545	Licensed Representative (Provisional Licence)	4, 9
CHAN Henry	AVZ599	Licensed Representative (Provisional Licence)	1, 2
CHAN King Lun	AWC183	Licensed Representative (Provisional Licence)	1, 6
CHAN Kwun Ho	AWE467	Licensed Representative (Provisional Licence)	1
CHAN Lai Yan	AWC176	Licensed Representative (Provisional Licence)	4, 5, 9
CHAN Pui Sing	AWC635	Licensed Representative (Provisional Licence)	1
CHAN Tsz Lok	AWC488	Licensed Representative (Provisional Licence)	1
CHAN Wai Chung	AWB684	Licensed Representative (Provisional Licence)	1
CHAN Wing Ho, Wingo	AAH569	Licensed Representative (Provisional Licence)	2
CHAN Wing Man	AWC299	Licensed Representative (Provisional Licence)	1
CHAN Ying Kit	AVZ549	Licensed Representative (Provisional Licence)	1, 4
CHANG Harold	AKJ151	Licensed Representative (Provisional Licence)	1, 4, 6
CHANG Nim Hing	AWD976	Licensed Representative (Provisional Licence)	3
CHANG Weijun	AOV859	Licensed Representative (Provisional Licence)	1, 6
CHAO Patrick Shih Pan	AVZ600	Licensed Representative (Provisional Licence)	6
CHAU Ho Man	AWC329	Licensed Representative (Provisional Licence)	1, 2
CHAU Thomas	AWB783	Licensed Representative (Provisional Licence)	1, 4, 6
CHAU Wai Man, Beverly	AWE779	Licensed Representative (Provisional Licence)	1, 4, 6
CHAUDHURI Dyutish	AMX664	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Agnes	AWB693	Licensed Representative (Provisional Licence)	1
CHEN Alice Zhiyuan	AWE561	Licensed Representative (Provisional Licence)	3
CHEN Bun	AFX303	Licensed Representative (Provisional Licence)	1, 6
CHEN Jong Woei, Kevin	AWC873	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Lijuan	AWC882	Licensed Representative (Provisional Licence)	4
CHEN Naqing	AWA138	Licensed Representative (Provisional Licence)	6
CHEN Tianming	AWC087	Licensed Representative (Provisional Licence)	1, 6
CHEN Yi-Lin	AWC188	Licensed Representative (Provisional Licence)	4
CHEN Ying	AVZ685	Licensed Representative (Provisional Licence)	1
CHEN Yiqing	AWE362	Licensed Representative (Provisional Licence)	1, 6
CHEN Yung-hao	AWC264	Licensed Representative (Provisional Licence)	4
CHENG Chi Ho	AWC625	Licensed Representative (Provisional Licence)	1, 2
CHENG Hao	AVZ084	Licensed Representative (Provisional Licence)	4
CHENG Kwan Lun	AJD750	Licensed Representative (Provisional Licence)	4
CHENG Kwong Fook, Patrick	AFE194	Licensed Representative (Provisional Licence)	3
CHENG Sim Kuen	APP900	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Yick Sin, Billy	AOB640	Licensed Representative (Provisional Licence)	1, 6
CHENG Yu Nga	AVW474	Licensed Representative (Provisional Licence)	1
CHEONG Patrick Ming Hey	AWA646	Licensed Representative (Provisional Licence)	1, 4, 6
CHETKA Katarzyna	AWA566	Licensed Representative (Provisional Licence)	1
CHEUNG Aaron Yik Hei	AVZ772	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Chi Hang	AWC633	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Chi Lok	AWC705	Licensed Representative (Provisional Licence)	9
CHEUNG Ho Hin, Arnold	AWC065	Licensed Representative (Provisional Licence)	1
CHEUNG Ka Ming Wilson	AWD974	Licensed Representative (Provisional Licence)	3
CHEUNG Kar Yan, Karina	AWD210	Licensed Representative (Provisional Licence)	1
CHEUNG Kwun Wan	AOX381	Licensed Representative (Provisional Licence)	1
CHEUNG Tsun Ting, Roman	AWA045	Licensed Representative (Provisional Licence)	6
CHEUNG Wan Wah	AWA346	Licensed Representative (Provisional Licence)	1
CHIMAN Roger Mirchandani	AWC462	Licensed Representative (Provisional Licence)	1
CHINSKY Kim M	AWB687	Licensed Representative (Provisional Licence)	9
CHO Wai Kit	AWC123	Licensed Representative (Provisional Licence)	1
CHOI Pui Yue, Jessica	AWA139	Licensed Representative (Provisional Licence)	1, 4
CHOI Soung Min	AWE420	Licensed Representative (Provisional Licence)	1, 4
CHOI Yu Soo	AWC050	Licensed Representative (Provisional Licence)	1, 6
CHONG Cheuk Chi	AWE564	Licensed Representative (Provisional Licence)	1, 2
CHONG Chun Ho	AWC258	Licensed Representative (Provisional Licence)	1, 2, 3
CHONG Kam Lung	AWA413	Licensed Representative (Provisional Licence)	2
CHONG Pui Yee	AOB170	Licensed Representative (Provisional Licence)	1, 2
CHOW Ting Ho	AWA162	Licensed Representative (Provisional Licence)	1
CHOW Yuk Hin	AWA215	Licensed Representative (Provisional Licence)	2
CHU Xi	AWA375	Licensed Representative (Provisional Licence)	1, 6
CHU Yuk Man	AWA560	Licensed Representative (Provisional Licence)	1, 9
CHUA Kah Meng, Adrian	AWD992	Licensed Representative (Provisional Licence)	4
CHUI Yan Chi Matthew	AWE920	Licensed Representative (Provisional Licence)	1
CHUM Crystal Sze Wai	AVZ086	Licensed Representative (Provisional Licence)	1, 6
CHUNG Heung Tung	AWE461	Licensed Representative (Provisional Licence)	3
CHUNG Ka Po	ANN404	Licensed Representative (Provisional Licence)	1, 4
CHUNG Man Lee	AWD941	Licensed Representative (Provisional Licence)	1, 2
CHUNG Min Soo	AWE956	Licensed Representative (Provisional Licence)	4, 6
CHUNG Yin Fong, Idy	AWA163	Licensed Representative (Provisional Licence)	1
COE Jeremy Martyn Keith	AWC656	Licensed Representative (Provisional Licence)	1
CUI Huizi	AWA583	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
DAVID Raman	AWA562	Licensed Representative (Provisional Licence)	4
DEATS Warren Edmund	AWD931	Licensed Representative (Provisional Licence)	1, 2
DOH Seung Han	AVJ096	Licensed Representative (Provisional Licence)	1, 2
DONG Feng	AWE410	Licensed Representative (Provisional Licence)	9
DONG Wayne	AWC672	Licensed Representative (Provisional Licence)	4
DUAN Yang	AMG019	Licensed Representative (Provisional Licence)	1, 4
EDRIDGE Dominic Andrei	AWA561	Licensed Representative (Provisional Licence)	4
ELLIOTT Michael John	AWE535	Licensed Representative (Provisional Licence)	1
EVANS Michael John	AWA046	Licensed Representative (Provisional Licence)	4
FAN Cheuk Ming, Victor	AVW696	Licensed Representative (Provisional Licence)	1, 2, 5
FAN Lok Sang	AWA514	Licensed Representative (Provisional Licence)	1
FERRIN Andrew Michael	AWE177	Licensed Representative (Provisional Licence)	1, 2
FRASER JENKINS Inigo Lewis	AVZ834	Licensed Representative (Provisional Licence)	4
FUNG Mei Man	AVY423	Licensed Representative (Provisional Licence)	9
FUNG Shuk Ching, Rita	AWC275	Licensed Representative (Provisional Licence)	1
FUNG Sum Yi	AWB832	Licensed Representative (Provisional Licence)	1, 2
GENG Geng	APX231	Licensed Representative (Provisional Licence)	1, 6
GENG Wen	AWC781	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GORNY Wolfgang Georg	AWD943	Licensed Representative (Provisional Licence)	4
GU Gerard Sheng	AHD220	Licensed Representative (Provisional Licence)	1, 6
HA Ying Kit	AVD846	Licensed Representative (Provisional Licence)	1
HAO Tong	AWD076	Licensed Representative (Provisional Licence)	1
HAYES Andrew Michael	AWE391	Licensed Representative (Provisional Licence)	1, 4
HE Kevin	AWE615	Licensed Representative (Provisional Licence)	1, 6
HEDIGAN John Edward	AWA378	Licensed Representative (Provisional Licence)	1, 4
HENDERSON Beata Karolina	AMP142	Licensed Representative (Provisional Licence)	1
HO Kin To	AWC093	Licensed Representative (Provisional Licence)	1
HO Man Kit	AWA582	Licensed Representative (Provisional Licence)	1, 2
HO Tsz Kin Dominic	AVZ607	Licensed Representative (Provisional Licence)	1, 4, 6
HO Yiu Chung	AWE941	Licensed Representative (Provisional Licence)	1, 2
HOLM Chad Christopher	AVZ806	Licensed Representative (Provisional Licence)	1, 4, 6
HOLMEWOOD Philip James	AWC657	Licensed Representative (Provisional Licence)	1, 2
HORNER Benjamin Brian Harold	AVR174	Licensed Representative (Provisional Licence)	1
HORSFIELD Christopher William	AWA043	Licensed Representative (Provisional Licence)	4
HOU Hongyan	ARR885	Licensed Representative (Provisional Licence)	1, 2, 4
HOU Yingxuan	AWA022	Licensed Representative (Provisional Licence)	1, 6
HSU Chih Lin, Julianne	AWF138	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
HU Carol	AWD991	Licensed Representative (Provisional Licence)	9
HU Linghan	AVZ070	Licensed Representative (Provisional Licence)	1, 6
HU Shuang	AVY885	Licensed Representative (Provisional Licence)	4
HUANG Bo-Kai	AKY607	Licensed Representative (Provisional Licence)	1
HUANG Ngon Ping	ARW297	Licensed Representative (Provisional Licence)	1, 2
HUANG Wei	AVZ311	Licensed Representative (Provisional Licence)	2
HUANG Yuhui	AVY571	Licensed Representative (Provisional Licence)	1, 4
HUANG Zheng-xian	AWD608	Licensed Representative (Provisional Licence)	4
ILDERTON Howard Graydon Rodney	AVZ288	Licensed Representative (Provisional Licence)	1
ISHIGAKI Tomoko	AWE990	Licensed Representative (Provisional Licence)	1, 6
ISHIZU Momoe	AWA212	Licensed Representative (Provisional Licence)	1
ITO Rei	AWD995	Licensed Representative (Provisional Licence)	1, 2
JIANG Bo	AWD081	Licensed Representative (Provisional Licence)	1
JIANG Minming	AQG749	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Sini	AWC257	Licensed Representative (Provisional Licence)	1, 6
JIANG Wenqian	AWE172	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Bing	AWA168	Licensed Representative (Provisional Licence)	1, 6
JOHNSTON Andrew Ian	AVY765	Licensed Representative (Provisional Licence)	4
JONADET Antoine Georges Philippe Ernest Jacques	AWC448	Licensed Representative (Provisional Licence)	1, 2
JUE Stephen Tukqun	AIQ688	Licensed Representative (Provisional Licence)	4, 9
KALIA Naveen K	AWC351	Licensed Representative (Provisional Licence)	9
KAPADIA Sunil Vijay	AWB792	Licensed Representative (Provisional Licence)	4
KIM Aki	ATM633	Licensed Representative (Provisional Licence)	1, 2
KIM Hyun Wook	AWE446	Licensed Representative (Provisional Licence)	4
KIM Ki Soo	AFJ899	Licensed Representative (Provisional Licence)	1, 4
KIM Nam Hee	AWD590	Licensed Representative (Provisional Licence)	1, 6
KIM Warren Ho-Joong	AVY508	Licensed Representative (Provisional Licence)	1
KITAYAMA Haruki	AWE082	Licensed Representative (Provisional Licence)	1, 6
KOH Hui-Jian	AVM932	Licensed Representative (Provisional Licence)	1, 4
KOH Soo Jin	AWC107	Licensed Representative (Provisional Licence)	1, 6
KONG Tai Wai, Ernest	AWE576	Licensed Representative (Provisional Licence)	1, 2
KOO Yuk Shing	AWA385	Licensed Representative (Provisional Licence)	1
KOSUGA Osamu	AWA259	Licensed Representative (Provisional Licence)	1
KRISMER Peter Markus	AWD489	Licensed Representative (Provisional Licence)	1, 4
KUMAR Lalit	AWB683	Licensed Representative (Provisional Licence)	4
KUNG Pei Hsuan	AVW189	Licensed Representative (Provisional Licence)	1, 2
KWAN Chun Chung	AWA516	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWAN Tsun Man	AWA326	Licensed Representative (Provisional Licence)	2
KWOK Ka Man	AVY051	Licensed Representative (Provisional Licence)	1
LABIDOIRE Yves	AWC072	Licensed Representative (Provisional Licence)	1, 2, 7
LAI Chun Chiu	AWC888	Licensed Representative (Provisional Licence)	1, 6
LAI Hin Kay, Andrew	AEQ446	Licensed Representative (Provisional Licence)	1
LAI Suen Chan	ASL077	Licensed Representative (Provisional Licence)	1, 2
LAI Wa Hing	AWD940	Licensed Representative (Provisional Licence)	1, 2
LAM Kwun Wai	AWB780	Licensed Representative (Provisional Licence)	1, 6
LAM Shang Ling	AWE513	Licensed Representative (Provisional Licence)	1, 2
LAM Tat Yan	AWA223	Licensed Representative (Provisional Licence)	1
LAM Tim Yi, Emily	AUS277	Licensed Representative (Provisional Licence)	1
LAM Wai Man Raymond	AWF018	Licensed Representative (Provisional Licence)	1
LAN Wan-Ting	AVZ117	Licensed Representative (Provisional Licence)	1, 2
LANGNER Gilbert Fred	ADK592	Licensed Representative (Provisional Licence)	1, 2
LARKIN Anthony Gerrard	ABW349	Licensed Representative (Provisional Licence)	4
LATHAM Ritchie Stephen	AQP593	Licensed Representative (Provisional Licence)	1, 2
LAU Chun Hung	AWB786	Licensed Representative (Provisional Licence)	1
LAU Hiu Fung	AWD942	Licensed Representative (Provisional Licence)	1, 2
LAU Hui Ping, Patrick	AWA606	Licensed Representative (Provisional Licence)	1, 6
LAU Ka Chun	AWD948	Licensed Representative (Provisional Licence)	1, 2
LAU Kin Lam	AWC628	Licensed Representative (Provisional Licence)	1, 3
LAU Yuen Chi	AWC458	Licensed Representative (Provisional Licence)	1, 2
LAW Chun Lok	AVY116	Licensed Representative (Provisional Licence)	1
LAW Kevin Kwok Ching	AJA308	Licensed Representative (Provisional Licence)	1
LAWSON Ryan John	ATQ939	Licensed Representative (Provisional Licence)	1, 4
LE Anatole	AVY459	Licensed Representative (Provisional Licence)	1, 2, 7
LEAHY Timothy James	AWB788	Licensed Representative (Provisional Licence)	1
LEE Andrew Chun Ning	AWA649	Licensed Representative (Provisional Licence)	1
LEE Jackie Kai Yat	AWD626	Licensed Representative (Provisional Licence)	4
LEE Jae Jun	AWB625	Licensed Representative (Provisional Licence)	1
LEE Kyung In	AWD595	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Nicholas Kong Tze	ANG864	Licensed Representative (Provisional Licence)	1, 2
LEE Pui Chi	AWC182	Licensed Representative (Provisional Licence)	1, 2
LEE Shin Yin	AWD039	Licensed Representative (Provisional Licence)	1, 4
LEE Shiu Ki	AWC496	Licensed Representative (Provisional Licence)	1
LEE Shu Fan	AVZ082	Licensed Representative (Provisional Licence)	1, 2
LEE Siu San, Rocky	AWC885	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Wai Man	AWC512	Licensed Representative (Provisional Licence)	1
LEE Yan Tung	AWA515	Licensed Representative (Provisional Licence)	1
LEI Quei-An	AWE267	Licensed Representative (Provisional Licence)	1
LEUNG Chun Chuen	AVJ100	Licensed Representative (Provisional Licence)	1, 2
LEUNG Cliff Siu Chung	AWC889	Licensed Representative (Provisional Licence)	1, 6
LEUNG Hung Kin	AVM343	Licensed Representative (Provisional Licence)	1, 4
LEUNG Lok Kin	AWD227	Licensed Representative (Provisional Licence)	1
LEUNG Man See	AWA336	Licensed Representative (Provisional Licence)	1
LEUNG Miranda Chun Mui	AGB782	Licensed Representative (Provisional Licence)	1, 4
LEUNG Pui Yin	AIO017	Licensed Representative (Provisional Licence)	1, 4
LEUNG Quinson	AVZ110	Licensed Representative (Provisional Licence)	1, 2
LEUNG Shuk Ching	AWB865	Licensed Representative (Provisional Licence)	1
LEUNG Wai Lok	AQA795	Licensed Representative (Provisional Licence)	4, 9
LEUNG Wai Ming	AWD524	Licensed Representative (Provisional Licence)	1
LEUNG Wing Man	AWA354	Licensed Representative (Provisional Licence)	1
LI Hongxing	AWA019	Licensed Representative (Provisional Licence)	1, 4, 6
LI Kar Chun, Eric	AWA219	Licensed Representative (Provisional Licence)	1
LI Kwan Fu Christopher	AWD982	Licensed Representative (Provisional Licence)	1, 4
LI Lei	AWA255	Licensed Representative (Provisional Licence)	1, 6
LI Pui Yan	AVZ605	Licensed Representative (Provisional Licence)	1
LI Quan	AVZ137	Licensed Representative (Provisional Licence)	1, 6
LIAO Ya Tzu	ALN825	Licensed Representative (Provisional Licence)	1
LIM Weijern	AWA189	Licensed Representative (Provisional Licence)	1, 6
LIN Chien Huei	ATR860	Licensed Representative (Provisional Licence)	1
LIN Liwei	AVY762	Licensed Representative (Provisional Licence)	1
LIN Sally	ALV422	Licensed Representative (Provisional Licence)	1
LIN Tun	AWE515	Licensed Representative (Provisional Licence)	1, 4
LIU Bin	AWD208	Licensed Representative (Provisional Licence)	1
LIU Candice Ye Ping	AVZ120	Licensed Representative (Provisional Licence)	1, 6
LIU Chengqi	AWC881	Licensed Representative (Provisional Licence)	1, 2
LIU Hang Yu	AWC324	Licensed Representative (Provisional Licence)	6
LIU Wai Ho	AWA607	Licensed Representative (Provisional Licence)	9
LIU Yecheng	AWA518	Licensed Representative (Provisional Licence)	1
LO Lap Kin, Frederick	AWA581	Licensed Representative (Provisional Licence)	1, 2
LO Long Pak Rambo	AWA647	Licensed Representative (Provisional Licence)	1
LO Siu Kit	AOA396	Licensed Representative (Provisional Licence)	1, 4, 6
LOU Dion Ziang	AWE176	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LU Chia-lin	AWB680	Licensed Representative (Provisional Licence)	4
LU Liqin	AMJ802	Licensed Representative (Provisional Licence)	1, 6
LUI Chun Man	AFE996	Licensed Representative (Provisional Licence)	1, 2
LUI Wai Yin	AWE459	Licensed Representative (Provisional Licence)	1
LUK Kuo Sheng, James	AWA383	Licensed Representative (Provisional Licence)	1, 4, 9
LUK Wing Cheong	AWD990	Licensed Representative (Provisional Licence)	1
LURIE Mattan Hillel	ANB366	Licensed Representative (Provisional Licence)	1
LUTZ Marcel Anton	AWE444	Licensed Representative (Provisional Licence)	1
Lee Kwok Cheong	ATV233	Licensed Representative (Provisional Licence)	1, 2
MA Hiu Nga, Michelle	AWE190	Licensed Representative (Provisional Licence)	4
MA Jun	AVZ152	Licensed Representative (Provisional Licence)	4, 9
MA Wai Shun, Patrick	ABF913	Licensed Representative (Provisional Licence)	4
MA Yaoyao	AWC080	Licensed Representative (Provisional Licence)	1, 4
MAK Ka Ki	AWE005	Licensed Representative (Provisional Licence)	1, 6
MAK Kai Ming, Maurice	AWC707	Licensed Representative (Provisional Licence)	1
MAK Timothy Koon Hai	AQZ616	Licensed Representative (Provisional Licence)	4, 5
MAK Wai Keung	AWE434	Licensed Representative (Provisional Licence)	1
MAK Yiu Chuen, Eugene	AWA600	Licensed Representative (Provisional Licence)	1
MAN Nok Yan, Gladys	AWD244	Licensed Representative (Provisional Licence)	1, 6
MCDONALD Nicolas	AWA321	Licensed Representative (Provisional Licence)	1
MCDUGALL Kathleen Martha	AVD132	Licensed Representative (Provisional Licence)	4
MEHTA Ashwin	AVZ833	Licensed Representative (Provisional Licence)	4
MEHTA Siddharth	AWF333	Licensed Representative (Provisional Licence)	1, 6
MELVIN YIP Yang Joon	AVP043	Licensed Representative (Provisional Licence)	1, 4, 6
METCALFE Stephen Donald	ACR545	Licensed Representative (Provisional Licence)	1, 6
MITCHELL Lee Mark	AWA042	Licensed Representative (Provisional Licence)	1
MITCHINSON David Nicholas	ALW321	Licensed Representative (Provisional Licence)	4, 5, 9
MO Wai Hong, Matthew	ABY694	Licensed Representative (Provisional Licence)	4, 9
MOK Hon Kit	ASE897	Licensed Representative (Provisional Licence)	1, 2
MONK Philip David	AVV242	Licensed Representative (Provisional Licence)	1, 9
MROZINSKI Matthew Thomas	AVZ081	Licensed Representative (Provisional Licence)	1, 6
MU Hao	AWC280	Licensed Representative (Provisional Licence)	1, 6
NADEL Mitchell Neil	AWB871	Licensed Representative (Provisional Licence)	1, 2
NAGAE Joseph Kurian	AVZ283	Licensed Representative (Provisional Licence)	6
NAGASAKA Mia	AVY675	Licensed Representative (Provisional Licence)	1
NG Kar Yin, Derek	AVZ068	Licensed Representative (Provisional Licence)	1, 6
NG Kay Chak, Eric	APK348	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
NG King Lit	AEM917	Licensed Representative (Provisional Licence)	1, 2
NG Kwok Ming	AWA039	Licensed Representative (Provisional Licence)	1, 2
NG Lok Wun	AWC637	Licensed Representative (Provisional Licence)	1, 2
NG Ting Fai	AWD110	Licensed Representative (Provisional Licence)	1, 2
NG Wai Ping	AWC089	Licensed Representative (Provisional Licence)	1
NG Wing Sze	AWC493	Licensed Representative (Provisional Licence)	1, 2
NG Yu Hin	AWA345	Licensed Representative (Provisional Licence)	1
NGAI Kwok Pan	AWE460	Licensed Representative (Provisional Licence)	3
NGOH You'An	AWB831	Licensed Representative (Provisional Licence)	1, 6
NICHOLSON Paul Andrew	AOJ715	Licensed Representative (Provisional Licence)	9
OGATA Marina Miyuki	AWF341	Licensed Representative (Provisional Licence)	1, 2
OLSSON Scott James	AWC074	Licensed Representative (Provisional Licence)	4
PAN Chenyue	AWE766	Licensed Representative (Provisional Licence)	1, 2, 7
PAN Dengzhao	AWE392	Licensed Representative (Provisional Licence)	1
PAN Qiang	AVZ078	Licensed Representative (Provisional Licence)	1, 6
PARAMESWARAN Dhileepan	ALZ600	Licensed Representative (Provisional Licence)	1
PARKS Robert Ralph	ADM267	Licensed Representative (Provisional Licence)	1, 4, 9
PASTO JORQUERA Albert	AWD094	Licensed Representative (Provisional Licence)	1, 2
PEQUIGNOT Jean-david	AWC880	Licensed Representative (Provisional Licence)	1, 6
POON Tsz Tung	AVD255	Licensed Representative (Provisional Licence)	1, 2
POON Yee Yan	AWD508	Licensed Representative (Provisional Licence)	1
POUPARD NEALE Corinne Dominique	AWE468	Licensed Representative (Provisional Licence)	1, 4, 6
PRATT Jon Vaughan Merrick	AGN910	Licensed Representative (Provisional Licence)	1, 4, 6
PUN Yun Mei, Marcella	AFU332	Licensed Representative (Provisional Licence)	1
QU Wen	AVI867	Licensed Representative (Provisional Licence)	1, 2
RECCO Salvatore Paul	AWC694	Licensed Representative (Provisional Licence)	1, 2
RYALL Scott John	AWE006	Licensed Representative (Provisional Licence)	4
RYAN David Anthony	AWE536	Licensed Representative (Provisional Licence)	1
SABOT Florent Roland Christian	AWD111	Licensed Representative (Provisional Licence)	1, 2
SAHA Soumyadeep	AWA384	Licensed Representative (Provisional Licence)	1, 4, 9
SATO Go	AWC453	Licensed Representative (Provisional Licence)	9
SCHNEIDER Paul Stephen	AVW695	Licensed Representative (Provisional Licence)	4
SELBY Paul John	AWA565	Licensed Representative (Provisional Licence)	1
SELLAM Alexandre Elie	AWD946	Licensed Representative (Provisional Licence)	1, 2
SENER Fatih	AWA028	Licensed Representative (Provisional Licence)	1, 2
SEUNG Kyu Suk	AWD650	Licensed Representative (Provisional Licence)	1, 4, 6
SHA Shimin	AVY729	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHAH Kiran Dhirajlal	AWC345	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SHAO Sheila	AWE431	Licensed Representative (Provisional Licence)	1
SHIN Dai Hyun	AWA208	Licensed Representative (Provisional Licence)	1, 6
SHINTRE Vaibhav Kiran	AVZ074	Licensed Representative (Provisional Licence)	1, 2
SHUM Pui Kwan, Catherine	AKL739	Licensed Representative (Provisional Licence)	4
SISTERSON John Paul	ACS560	Licensed Representative (Provisional Licence)	1
SO Mei Yee Marilyn	AWE114	Licensed Representative (Provisional Licence)	1
SO Sung Yuen	AWB874	Licensed Representative (Provisional Licence)	1, 2
SPENCER John Charles	AWC659	Licensed Representative (Provisional Licence)	1
SUEN Wing Chung Joseph	AWE396	Licensed Representative (Provisional Licence)	1, 4
SUNG Wai Man	AWD607	Licensed Representative (Provisional Licence)	1
SZE Kai Yi, Craik	AWD525	Licensed Representative (Provisional Licence)	1
TAI Waini Karen	APC027	Licensed Representative (Provisional Licence)	4
TAM Tommy Yuk-tong	AVZ831	Licensed Representative (Provisional Licence)	4
TAM Van See, Joyce	AIN600	Licensed Representative (Provisional Licence)	1, 2
TAM Yi Ting	AWA341	Licensed Representative (Provisional Licence)	1, 2
TAN Jason Pak Yin	AWA245	Licensed Representative (Provisional Licence)	1, 2
TAN Mei Lin	AVZ261	Licensed Representative (Provisional Licence)	1, 2
TAN Wee Lee	AQI099	Licensed Representative (Provisional Licence)	1, 4
TANAKA Daisuke	AWB870	Licensed Representative (Provisional Licence)	1
TANG Chung Kwan	ADV243	Licensed Representative (Provisional Licence)	1, 2
TANG Li-Yu	AWE763	Licensed Representative (Provisional Licence)	4
TANG Lin	AVY842	Licensed Representative (Provisional Licence)	1, 4, 5, 9
TANG Yu Ping	AWF020	Licensed Representative (Provisional Licence)	6
TANTUICO Anna Francesca Syjuco	AWA044	Licensed Representative (Provisional Licence)	1
TAY Yhee Lin, Amanda	AWD549	Licensed Representative (Provisional Licence)	1
THEMISTOCLES Todd Matthew	AWF159	Licensed Representative (Provisional Licence)	1, 4
THURSBY Nicholas Geoffrey	AWD032	Licensed Representative (Provisional Licence)	1, 4, 6
TING Khang	AWC349	Licensed Representative (Provisional Licence)	9
TO Yik Man	AVZ548	Licensed Representative (Provisional Licence)	1, 3
TSANG Tan Ying	ANU464	Licensed Representative (Provisional Licence)	1
TSANG Tsz Ho	AWE361	Licensed Representative (Provisional Licence)	1, 2
TSAO Hui-shu	AVY646	Licensed Representative (Provisional Licence)	4, 6
TSE Ling Ling	AVZ963	Licensed Representative (Provisional Licence)	1
VAN DER VEN Jeroen Willibrord Erlend	AWC090	Licensed Representative (Provisional Licence)	9
WAI Shing Cheong	AWF102	Licensed Representative (Provisional Licence)	1, 2, 3
WAN Kwok Fai	AWE458	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Jia	AWC467	Licensed Representative (Provisional Licence)	6
WANG Jue	AWD852	Licensed Representative (Provisional Licence)	1, 2
WANG Lei	AWC779	Licensed Representative (Provisional Licence)	1, 6
WANG Lisa	AWF160	Licensed Representative (Provisional Licence)	1, 4
WANG Ting	AON292	Licensed Representative (Provisional Licence)	1, 4
WATSON Jason William	AWC344	Licensed Representative (Provisional Licence)	1, 4
WEI Like	AWC816	Licensed Representative (Provisional Licence)	1, 6
WEN Yani	AWE563	Licensed Representative (Provisional Licence)	3
WOLF Matthias	AVZ099	Licensed Representative (Provisional Licence)	1
WON Sun-hee	AWC341	Licensed Representative (Provisional Licence)	1, 4
WONG Benson	ACU389	Licensed Representative (Provisional Licence)	1, 4
WONG Chi Kiu	AWD978	Licensed Representative (Provisional Licence)	4, 9
WONG Chun Ting	AOV781	Licensed Representative (Provisional Licence)	1, 4
WONG Hiu Yan	AQG385	Licensed Representative (Provisional Licence)	1
WONG Justin Wayne	AWD588	Licensed Representative (Provisional Licence)	1, 6
WONG Ka Ming, Alan	ARQ657	Licensed Representative (Provisional Licence)	1, 2
WONG Ka Wing	AVZ613	Licensed Representative (Provisional Licence)	1
WONG Kit Ting	AWC883	Licensed Representative (Provisional Licence)	1, 4
WONG Shun Kit	AWE539	Licensed Representative (Provisional Licence)	1
WONG Wai Ling	ADV539	Licensed Representative (Provisional Licence)	1
WONG Wai Ming	AHK736	Licensed Representative (Provisional Licence)	1
WONG Wing Kin	AWA470	Licensed Representative (Provisional Licence)	1
WONG Yuk Wa	AVY716	Licensed Representative (Provisional Licence)	1
WOO Wing Yin	APC057	Licensed Representative (Provisional Licence)	1
WU Cindy Sunny	AVQ956	Licensed Representative (Provisional Licence)	1, 4, 6
WU Juan	AVI835	Licensed Representative (Provisional Licence)	4
WU Ping-Heng	AWA165	Licensed Representative (Provisional Licence)	4
WU Xiaowen	AWB782	Licensed Representative (Provisional Licence)	1
WU Xueying	AWC459	Licensed Representative (Provisional Licence)	2
XIA Yuqi	AVS718	Licensed Representative (Provisional Licence)	1, 2
XIE Jiejing	AWA458	Licensed Representative (Provisional Licence)	1, 6
XIE Yi	AWE691	Licensed Representative (Provisional Licence)	4
XU Lin	AVZ985	Licensed Representative (Provisional Licence)	9
XU Pengbing	AWC146	Licensed Representative (Provisional Licence)	1, 4
YAM Tsz Chun	AQC811	Licensed Representative (Provisional Licence)	4
YAMAGUCHI Daisuke	AMS713	Licensed Representative (Provisional Licence)	1
YANG Ming	AWE557	Licensed Representative (Provisional Licence)	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Xinlin	APG517	Licensed Representative (Provisional Licence)	1, 6
YAR Lai Ngor, Petty	ALK133	Licensed Representative (Provisional Licence)	1, 4
YAU Chyi-kuen	AVZ841	Licensed Representative (Provisional Licence)	1
YEH Jyh-jia	AWB869	Licensed Representative (Provisional Licence)	1, 2
YEH Wan-Ping	AVZ839	Licensed Representative (Provisional Licence)	4
YIP Hao Huan	AVZ349	Licensed Representative (Provisional Licence)	4, 9
YIP Pui Yee	ASY648	Licensed Representative (Provisional Licence)	1, 2, 7
YIP Wing Sze	AWA188	Licensed Representative (Provisional Licence)	1, 9
YIP Wing Sze, Venz	AWD043	Licensed Representative (Provisional Licence)	4
YIU Sui Chong	AOS002	Licensed Representative (Provisional Licence)	1, 6
YOUNG Ting Kar	AEY445	Licensed Representative (Provisional Licence)	1, 2
YU Chi Wai Joey	AWE457	Licensed Representative (Provisional Licence)	1
YU Man Ting Loretta	AWE558	Licensed Representative (Provisional Licence)	1
YU Wai Yin, Alice	APW434	Licensed Representative (Provisional Licence)	1, 2
YU Xiaoping	AWC655	Licensed Representative (Provisional Licence)	4
YUAN Chongze	AWD529	Licensed Representative (Provisional Licence)	1, 2
YUEN Che Hay	AWA517	Licensed Representative (Provisional Licence)	1
YUEN Robin	AQD400	Licensed Representative (Provisional Licence)	4
YUEN Tat Wing	AWA568	Licensed Representative (Provisional Licence)	1
ZHAN Meiqing	AWD580	Licensed Representative (Provisional Licence)	1, 2
ZHANG Fan	AWE514	Licensed Representative (Provisional Licence)	1, 6
ZHANG Fen	AWA135	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Yang	ARS552	Licensed Representative (Provisional Licence)	1, 4
ZHENG Yong	AWC127	Licensed Representative (Provisional Licence)	1, 6
ZHU Yi	AWE965	Licensed Representative (Provisional Licence)	4
ZOU Chuan	AVZ312	Licensed Representative (Provisional Licence)	1, 2
AKIZUKI Manabu	AMM295	Licensed Representative (Temporary Licence)	4
ANTOINE EP. REYL Caroline Sophie	APV496	Licensed Representative (Temporary Licence)	4
BONG Peng Leong Terence	AWD298	Licensed Representative (Temporary Licence)	1
CAMPICHE Nicolas Thierry	ATY955	Licensed Representative (Temporary Licence)	1
CARON James Arthur	ASU362	Licensed Representative (Temporary Licence)	1, 2
CARTER Christian James	AWD292	Licensed Representative (Temporary Licence)	1
CHAUDHRY Mateen	AOO558	Licensed Representative (Temporary Licence)	1
CHOUKEIR Mouhammed	AWA211	Licensed Representative (Temporary Licence)	1
CINTAVEY Christopher Albert	ASZ371	Licensed Representative (Temporary Licence)	4
CLARK Dwane Bryan	ATX809	Licensed Representative (Temporary Licence)	1
COUNTRYMAN David Andrew	AVQ063	Licensed Representative (Temporary Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CRAWFORD James Duncan Laing	AVG867	Licensed Representative (Temporary Licence)	4
CRUZ Joseph	ATL697	Licensed Representative (Temporary Licence)	1
DE RHAM Gregoire Philippe	AWH107	Licensed Representative (Temporary Licence)	1
DONALD John Christopher	AQG468	Licensed Representative (Temporary Licence)	1
DRIJKONINGEN Rob Johan	AVT235	Licensed Representative (Temporary Licence)	1
GATENBY Christopher Jason	AVP921	Licensed Representative (Temporary Licence)	1
GEORGE Bradley John	AQF002	Licensed Representative (Temporary Licence)	4
GRIFFITHS Graeme Derek Victor	AOA583	Licensed Representative (Temporary Licence)	4
HARNED Douglas Stuart	AWE188	Licensed Representative (Temporary Licence)	4
HATAO Michiko	ATB923	Licensed Representative (Temporary Licence)	4
HENCH William Anthony	AWF128	Licensed Representative (Temporary Licence)	4
HOGBIN Christopher Edward George	AWC337	Licensed Representative (Temporary Licence)	4
HURTSELLERS Christine Lynn	AVS786	Licensed Representative (Temporary Licence)	1
IP Angela Sin-Yue	ANN456	Licensed Representative (Temporary Licence)	2
JAIN Digan Prem Prakash	AWE173	Licensed Representative (Temporary Licence)	4
JAISINGH Rohit	AIZ536	Licensed Representative (Temporary Licence)	1
JO Keisuke	AMH313	Licensed Representative (Temporary Licence)	1
KIM Dong Wan	AMU451	Licensed Representative (Temporary Licence)	4
KIM Luang	AUP380	Licensed Representative (Temporary Licence)	2
KINMONT David Alexander Holloway	AVF091	Licensed Representative (Temporary Licence)	1
KOBAYASHI Masayoshi	AUW207	Licensed Representative (Temporary Licence)	4
KONDO Takanori	AWE831	Licensed Representative (Temporary Licence)	1, 2
LANDERS William Mark	AMR327	Licensed Representative (Temporary Licence)	1, 4
LEE Terry Tai-Suk	AMY783	Licensed Representative (Temporary Licence)	1
LIANG Man Wei, Jonathan	AFR655	Licensed Representative (Temporary Licence)	4
MALHOTRA Sandeep	AUX271	Licensed Representative (Temporary Licence)	4, 5
MARRIOTT Gavin Brent	ASH807	Licensed Representative (Temporary Licence)	1
MASOJADA Jolanta Bozena	AVA415	Licensed Representative (Temporary Licence)	4
MASTERSON Christopher Mary	AEP340	Licensed Representative (Temporary Licence)	1
MCDERMOTT Christopher	AWF156	Licensed Representative (Temporary Licence)	1
MURRAY Stuart David	AQS705	Licensed Representative (Temporary Licence)	1
NG Ngee Cheng	ALT810	Licensed Representative (Temporary Licence)	4
O'BRIEN Vincent Gerald	AEU374	Licensed Representative (Temporary Licence)	1
OSLER Peter Terence	AVY110	Licensed Representative (Temporary Licence)	4
PENN Simon James	AVY111	Licensed Representative (Temporary Licence)	4
RAO Janakinath	AWF417	Licensed Representative (Temporary Licence)	1, 2
ROSS James Mcconville	AUC310	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ROSTECK Hans-Joachim Adalbert	ACP043	Licensed Representative (Temporary Licence)	4
SCHIRALDI Dario	AWD233	Licensed Representative (Temporary Licence)	1
SHIGEZAKI Ayako	ASZ506	Licensed Representative (Temporary Licence)	1
SHOJI Yu	AWE654	Licensed Representative (Temporary Licence)	1
SUMMERFIELD David Angus	AEP603	Licensed Representative (Temporary Licence)	1
SURPLICE John Michael Edward	AWI813	Licensed Representative (Temporary Licence)	1
TAN Ting Min	AUX128	Licensed Representative (Temporary Licence)	4
TEEPLÉ Louise	AUV927	Licensed Representative (Temporary Licence)	1
TIRUPATTUR Viswanath	AWE653	Licensed Representative (Temporary Licence)	1
TRIGO Sergio, Carlos	AUK283	Licensed Representative (Temporary Licence)	4
VAN DEN HEILIGENBERG Emilius Paulus Antonius Maria	AWC463	Licensed Representative (Temporary Licence)	4
VAN DOMMELEN Wilhelmus Johannes Gerardus	AVS896	Licensed Representative (Temporary Licence)	1
VERZUU Dirk-Jan	AVS789	Licensed Representative (Temporary Licence)	1
VOSPER Paul Andrew	ARU913	Licensed Representative (Temporary Licence)	4
WAGHORN Jonathan Charles	AWG082	Licensed Representative (Temporary Licence)	4
WENZEL Michael Bruce	APU308	Licensed Representative (Temporary Licence)	1
WILLIAMS Toby Lee	AEO751	Licensed Representative (Temporary Licence)	4
WILTSHIRE David Graham	AVR016	Licensed Representative (Temporary Licence)	1, 2
WRIGHT Thomas Rowe	AUA323	Licensed Representative (Temporary Licence)	4
YANAGISAWA Masakazu	ASL355	Licensed Representative (Temporary Licence)	1