

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
KGI Capital (Hong Kong) Limited	ALO034	Licensed Corporation	1, 6
MainFirst Securities Hong Kong Limited	AQD719	Licensed Corporation	1, 4
Upbest Assets Management Limited	AFF398	Licensed Corporation (Deemed Licence)	4, 6, 9
Upbest Commodities Company Limited	AEY503	Licensed Corporation (Deemed Licence)	2, 5, 9
Upbest Investment Company Limited	ACR645	Licensed Corporation (Deemed Licence)	8
Upbest Securities Company Limited	ACR387	Licensed Corporation (Deemed Licence)	1, 4, 6, 9
AIRD Simon Thomson	AIV716	Licensed Representative	1, 4, 6
ALFONSI Sebastien	ARQ636	Licensed Representative	1, 2
ALSOP Alexander John	APA740	Licensed Representative	1, 2
ANG Pei Fang, Tracy	APV180	Licensed Representative	4
ARCHIBALD James Maxwell	AQL178	Licensed Representative	1
AU Chun Kit, Paul	AGN721	Licensed Representative	1, 6
AUCHECORNE Julien Daniel Patrick	ANH705	Licensed Representative	1, 2, 7
BALDWIN Christopher Stephen	ASK328	Licensed Representative	9
BENEDIKT Tina	ASQ164	Licensed Representative	1, 4
BERGER Anthony	AOJ226	Licensed Representative	1, 4
BRADSHAW Stephanie	AMB744	Licensed Representative	1, 1, 2, 2, 4
BUS Maartje Leonoor	AJN012	Licensed Representative	1, 4, 6
BYUN Sup Min	ALF208	Licensed Representative	1, 4
CALVINO Guillaume	AOD310	Licensed Representative	1
CAMGUILHEM Jean-Manuel, Thibaut	AOV764	Licensed Representative	1, 2
CAMPBELL Matthew James	AJB813	Licensed Representative	2
CHAFER Amine	AUN334	Licensed Representative	1, 2
CHAI Sze Ming	APJ516	Licensed Representative	4
CHAN Cheuk Mun	AHR326	Licensed Representative	1, 4, 6, 9
CHAN Chin Fei	APC142	Licensed Representative	4, 9
CHAN Hoi Wan	ABA069	Licensed Representative	1, 4
CHAN Hon Chiu	AGS911	Licensed Representative	3
CHAN Hon Keung	AQU731	Licensed Representative	1
CHAN Huen Lam, Susan	AGJ657	Licensed Representative	4
CHAN Ka Wai, Simon	AOR836	Licensed Representative	1
CHAN Kam Ching	ASP310	Licensed Representative	4
CHAN Kwan Chun	ATN439	Licensed Representative	1, 2, 4
CHAN Ling Wah, Edward	AHR464	Licensed Representative	1, 4, 9
CHAN Michael Wai Kit	ATM471	Licensed Representative	4
CHAN Pak Lai, Patti	AEM837	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Sau Kwan	AOM571	Licensed Representative	1, 4
CHAN Sui Ling, Shirby	AGI801	Licensed Representative	4
CHAN Suk Yee, Gloria	AGJ222	Licensed Representative	4
CHAN Wai Koon	AEU545	Licensed Representative	4
CHAN William W L	APZ523	Licensed Representative	1, 2
CHAN Wing Chiu	ATQ962	Licensed Representative	1, 2
CHAN Wing Hong Wilson	AOX682	Licensed Representative	4
CHAN Yat Yuen	AMF310	Licensed Representative	1, 4
CHAN Yau Chuen, Xonex	ARF536	Licensed Representative	1, 2
CHAN Yee Hang	ASE632	Licensed Representative	4, 9
CHAN Yee Man	AQU945	Licensed Representative	4
CHAN Ying Ying	ARA108	Licensed Representative	4
CHAN Yuk Chung	ATE880	Licensed Representative	1, 2
CHANG Hosun	AIZ595	Licensed Representative	1, 2, 4, 5, 7
CHANG Yi Ta	ARM491	Licensed Representative	1
CHANG Yung Fong	ASD146	Licensed Representative	1
CHANG Yung-Ho	ASE360	Licensed Representative	1, 4
CHAO Huang Chun	AMY559	Licensed Representative	1, 2, 4
CHAOUI Karim Mohamed Jean	ATH690	Licensed Representative	1, 2
CHAU King Hong, Claude	AGV370	Licensed Representative	3
CHAU Ming Wa, Dick	AQC577	Licensed Representative	2, 3
CHEN Chenhong	ATI903	Licensed Representative	1, 6
CHEN Wen	ATM301	Licensed Representative	1, 6
CHENG Kei Pong	ANR239	Licensed Representative	1, 4, 9
CHENG Mee Mee, Lina	ASY524	Licensed Representative	1, 2
CHENG Vivinne	ACA475	Licensed Representative	1
CHENG Yu Pun, Ben	AGL138	Licensed Representative	4
CHEONG Kai Tong	AHV865	Licensed Representative	1, 4
CHEUNG Chi Wai, Kenny	AGT423	Licensed Representative	3
CHEUNG Chun Ho	AME069	Licensed Representative	1, 4
CHEUNG Edward Tze Loong	AGM506	Licensed Representative	1, 2, 7
CHEUNG Hin Lung	AGL004	Licensed Representative	1, 2
CHEUNG Kim Fung, Paul	ATR219	Licensed Representative	1, 2
CHEUNG King Wai	AJF454	Licensed Representative	1, 4, 9
CHEUNG Nga Ying	AQI265	Licensed Representative	4
CHEUNG Siu Lam	ALZ374	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Yee Man, Aman	AOY604	Licensed Representative	4
CHEUNG Yuk Nam, Stephen	AGK514	Licensed Representative	4
CHEUNG Yuk Ying	AGE927	Licensed Representative	4
CHI Tien-Wei	AUV422	Licensed Representative	1, 2
CHIN Lok Ki	AIG213	Licensed Representative	1, 4
CHING Chui Wah, Michelle	APJ227	Licensed Representative	1, 2
CHO Pei Ying	ATR463	Licensed Representative	4, 9
CHO Yong Sa	AQF032	Licensed Representative	1
CHOI Chun Fat, Anderson	ANA716	Licensed Representative	1, 2, 4, 9
CHOI Chun Yi	APJ135	Licensed Representative	1, 2, 7
CHOI Wai Lun	AOY503	Licensed Representative	4
CHONG Chung Shing	ATN767	Licensed Representative	9
CHONG Siang Hooi	AOP024	Licensed Representative	4, 9
CHONG Wan Yee	ADC300	Licensed Representative	1, 4, 6
CHOW Man Ho	ATD053	Licensed Representative	1, 4
CHOY Tak Sum, Danson	ACL378	Licensed Representative	1, 4
CHOY Wai Keung	AEJ500	Licensed Representative	1
CHU Hoi	AOZ114	Licensed Representative	1, 2, 4
CHU Yau Lun, Gary	AFA182	Licensed Representative	4
CHU Yau Shun	AGO541	Licensed Representative	4
CHUAH Jon Dee	ATN361	Licensed Representative	1, 2
CHUANG Alan Yik Fung	ARW185	Licensed Representative	1, 4, 9
CHUN Peng Fai	AAE694	Licensed Representative	1
CHUNG Cheuk Man	AIC833	Licensed Representative	1, 4
CHUNG Yan Lam Eunice	ATM818	Licensed Representative	1
CLEARY Lorcan Patrick	AQG417	Licensed Representative	1, 2, 7
DARLET Florent Jean Roland	ASH403	Licensed Representative	1, 2, 7
DARYANANI Anita Gobind	ANK385	Licensed Representative	1
DASH Sabyasachi	AIN597	Licensed Representative	1
DENG Zhaowen	APX268	Licensed Representative	1, 4
DU Fang	APY426	Licensed Representative	1, 6
DUSAD Rohit	ARI454	Licensed Representative	1, 2
EELMAN Robert Garrett	AQA091	Licensed Representative	1
EGUCHI Hiroyuki	AOX208	Licensed Representative	1
FAN Cheng-ming	ANY424	Licensed Representative	1
FAN Ziyi	AQD053	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
FAVEUR Samuel Christian	AIT497	Licensed Representative	1, 7
FEI Chi En, Andy	AGP642	Licensed Representative	1, 2, 3
FENG Ye	AQF657	Licensed Representative	1
FIRTH Carl Aslan Jason Morton	AQE301	Licensed Representative	1, 4, 6
FRANJOU Lionel Claude Pascal	AQE634	Licensed Representative	1
FU Wong	APR826	Licensed Representative	1, 2
FUNG Chun Yin, Joesph	ABA031	Licensed Representative	2
FUNG Ka Kuen, Albert	AGC863	Licensed Representative	1
GAO Charlie	ARD960	Licensed Representative	1, 6
GAO Fucheng Leonard	AVI319	Licensed Representative	1
GEORGE Nicholas	ABF397	Licensed Representative	4
GOH Yeah Lin	ASK028	Licensed Representative	1, 2, 5
GONG Yueyue	AVK929	Licensed Representative	1, 4
GROVER Akashdeep	AFD207	Licensed Representative	4, 9
GUHA Sumit	ANM691	Licensed Representative	1, 6
GUPTA Atin	AVR234	Licensed Representative	1, 2
GVOZDEN Alexander Robin	ADR449	Licensed Representative	4
HAENE Michael Thomas	AQX334	Licensed Representative	1, 2, 7
HARA Masahiro	AQW520	Licensed Representative	1, 4, 6
HO Sau Mei	AOA376	Licensed Representative	1
HO Sau Wan, Sharon	AGO779	Licensed Representative	1
HO Tzu-Chuan	AOB928	Licensed Representative	1
HO Wing Sze, Ada	ACJ281	Licensed Representative	1, 4
HO Yan Chiu	AQF334	Licensed Representative	1, 2
HO Yan Kit	AMR710	Licensed Representative	4
HO Yoon Onn	AUA259	Licensed Representative	1
HONG Xiaobo	AML771	Licensed Representative	1, 4
HONG Yun	AUQ146	Licensed Representative	4, 6, 9
HOU Hongyan	ARR885	Licensed Representative	1
HSU Ka Shin, Lawrence	AKP278	Licensed Representative	1, 2
HSU Wai Ling	AFO515	Licensed Representative	4
HU Ninan	AQX034	Licensed Representative	1, 2
HUANG Dan Qing	ARH066	Licensed Representative	1, 6
HUANG Jaw-yang	ARG744	Licensed Representative	1
HUANG Julie Yu Hsin	ARV795	Licensed Representative	1, 2
HUANG Lingzi	AUR855	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUGHES James Richard	ARR132	Licensed Representative	1, 4, 9
HUI Heung Fai	AQX415	Licensed Representative	1
HUI Wai Pan	ART695	Licensed Representative	6
HUNG Ka Fung	APC730	Licensed Representative	1, 2
HUO Ling	AQF572	Licensed Representative	1, 2
Ho Wing Yee	ATW454	Licensed Representative	1
JAMES Fraser Richard	APD532	Licensed Representative	1, 2, 7
KAAIOHELO John Tae-hyun	AQA467	Licensed Representative	4, 5, 9
KEANE Rachel	APL621	Licensed Representative	1
KIM Jung Woo	APZ531	Licensed Representative	1, 4
KIM Kyung Han	AQQ105	Licensed Representative	1
KIM Kyunghoon	AOZ102	Licensed Representative	1
KITAMURA Motoya	APJ728	Licensed Representative	4, 9
KLASSEN Simon Charles	ASC632	Licensed Representative	9
KO Claudia Euna	AFU348	Licensed Representative	4, 6
KO Fung Yee	AGC775	Licensed Representative	9
KO Silvia	APQ588	Licensed Representative	4
KODAGANALLUR NARAYANAN Venkateswaran	ATJ272	Licensed Representative	1
KOO Ian Jo-ye	ARH362	Licensed Representative	4
KOON Yuan Huey	ANT919	Licensed Representative	9
KOROWE Steven Matthew	AOU506	Licensed Representative	1, 2, 7
KUMAR Ajay	ARN358	Licensed Representative	1, 2
KUO Chiung-Hsien	APJ332	Licensed Representative	1
KUO Pei-Yi	AQQ460	Licensed Representative	1, 4
KWOK Chi Wang	ACX686	Licensed Representative	2, 3
KWOK Chun Hei, Kenneth	APH173	Licensed Representative	1, 6
KWOK Kin Tak	ATO152	Licensed Representative	1
KWOK Leong	ATR217	Licensed Representative	4
KWOK Sau Man, Helen	APS504	Licensed Representative	4
LAGERLOW Aaron William	ATC868	Licensed Representative	1
LAI Hoi Yeung	APO557	Licensed Representative	1, 2
LAI Kam Wah	AEY574	Licensed Representative	4
LAI Kwok Leung	AJE162	Licensed Representative	4, 6, 9
LAI Wai Tong	AFN479	Licensed Representative	4
LAI Yin Ping, Cherie	ALV230	Licensed Representative	4
LAM Cheung Ching, Sammy	APJ849	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Jason Kit Keong	ATT386	Licensed Representative	1
LAM Lap	AQE943	Licensed Representative	1, 2
LAM Sai Hou	ASD200	Licensed Representative	9
LAM Tim Yi, Emily	AUS277	Licensed Representative	1
LAM Tin Lok	AOY506	Licensed Representative	1, 2, 5
LAM Wai Shing	AHX164	Licensed Representative	1
LAM Wing Yee	AMU915	Licensed Representative	1, 4
LAMI Guido	AQX346	Licensed Representative	1
LAN Shunxiang	ANW227	Licensed Representative	1, 4
LARKIN David Grady	AQS132	Licensed Representative	1, 2
LAU Kit Ching, Iris	AMP355	Licensed Representative	1
LAU Kwok Wai	ASU345	Licensed Representative	1, 2
LAU Shuk Foon, Antonette	AEI101	Licensed Representative	1, 4
LAU Yee Lok, Glory	AUJ784	Licensed Representative	1
LAU Yee Man, Mandy	ALC103	Licensed Representative	1, 2, 4, 5
LAU Yuen Ling, Pauline	AEY548	Licensed Representative	4, 5, 9
LAU Yuk Kuen	ANG538	Licensed Representative	1, 2, 9
LAW Chi Yuen	ARI745	Licensed Representative	1, 2, 3
LAW Tung Hing	APM131	Licensed Representative	1
LEE Chi Yan	ATN121	Licensed Representative	1, 2, 7
LEE Chia-yu	ARC105	Licensed Representative	1
LEE Dong Jin	ANK447	Licensed Representative	1, 4
LEE Joanna Pui Hua	APN776	Licensed Representative	1
LEE Kwan Kin	ARQ982	Licensed Representative	1, 2
LEE Kwok Chu	ALT779	Licensed Representative	4
LEE Kwok Wai	ASX765	Licensed Representative	3
LEE Kwong Yu	AEC082	Licensed Representative	1
LEE Miang Kear	ASK051	Licensed Representative	1, 6
LEE Michael	ARP397	Licensed Representative	1, 7
LEE Pui Fun	AER328	Licensed Representative	1
LEE Pui Wah	ANE254	Licensed Representative	4
LEE Sai Pak	AMS268	Licensed Representative	1
LEE Tsz Ho, Jack	AGY627	Licensed Representative	1, 4, 9
LEE Wai Fong	AGQ185	Licensed Representative	4
LEE Wai Yin	AFZ879	Licensed Representative	1, 2
LEE Wing Yan	AQA911	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Yiu Kong Rindy	ARM532	Licensed Representative	4
LEI Chi-hua	ARJ348	Licensed Representative	4, 9
LEOW Kerry Su-Lin	ASJ987	Licensed Representative	1, 2, 5
LEUNG Chi Man	ATP159	Licensed Representative	1
LEUNG Esther Yat Chu	AKO770	Licensed Representative	1, 4
LEUNG Kam Fai, Jacky	AFQ261	Licensed Representative	4
LEUNG Kam Sing	AOD220	Licensed Representative	4, 9
LEUNG Kit Ling, Lydia	APF366	Licensed Representative	1
LEUNG Ming Yan	ASU334	Licensed Representative	9
LEUNG Miu Yee	ATQ779	Licensed Representative	4, 9
LEUNG Pui Shan	ATW048	Licensed Representative	4, 9
LEUNG Pui Yee, Iris	AKW763	Licensed Representative	4
LEUNG Suk Yi	AFS295	Licensed Representative	1, 4, 9
LEUNG Tsui San, Sandra	ADG928	Licensed Representative	1, 4, 9
LI Hanyang Chen	ARL734	Licensed Representative	1, 2, 7
LI I Hao, Benetton	AQL793	Licensed Representative	1, 6
LI Kwan Leung	ADT743	Licensed Representative	1
LI Lei	AUA255	Licensed Representative	1, 6
LI Sai Mi	AGQ167	Licensed Representative	4
LI Wai Lim, Lambda	ACZ968	Licensed Representative	1
LI Wing Yi	APL080	Licensed Representative	1, 2, 4, 5
LIM Joann Phaik Gim	AQO517	Licensed Representative	1
LIM Tien Hoe	ARS413	Licensed Representative	2
LIN Ching Yin	ANX688	Licensed Representative	1
LIN DE CHENG Xue Ping, Cristin	AGL053	Licensed Representative	4
LIN I Ching	ARA750	Licensed Representative	1, 2, 9
LIN Robert Yang	ANT461	Licensed Representative	1, 2, 4, 6, 7
LIU Chien-Liang	AOY750	Licensed Representative	1
LIU Diyan	ARJ842	Licensed Representative	1, 6
LIU Jane Yin	AOC803	Licensed Representative	4, 9
LIU Po-Hung	ARG672	Licensed Representative	1, 2, 4
LIU Ruixue	AOA156	Licensed Representative	1, 2
LO Ching Hin	AQD470	Licensed Representative	1, 2
LO Sin Au	AUR649	Licensed Representative	3
LO Yuen Ting, Candy	ANR290	Licensed Representative	1, 2, 3
LO Yuk Wing, Alfred	ATL717	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LOONG Yuen Tung, Cara	AUB981	Licensed Representative	1, 2, 3
LOW Andrew Donald	AKJ197	Licensed Representative	1
LU Qijun	ARU860	Licensed Representative	1
LU Yu-Wen	ANM347	Licensed Representative	1
LUI Hau Nog	ARD674	Licensed Representative	1, 2
LUK Hoi Yee	ANT406	Licensed Representative	1
LUK Wai Leong	AIX159	Licensed Representative	1
LUK Yin Wah, Grace	APD350	Licensed Representative	4, 9
LUN Chi Keung	AFG803	Licensed Representative	4, 6
MA Wai Shun, Patrick	ABF913	Licensed Representative	9
MA Xiaowen	ASG314	Licensed Representative	4
MAK Chi Yeung	ARD705	Licensed Representative	1, 2
MAK Wan Sze, Kathy	AMH853	Licensed Representative	1, 2
MANS William Gerard	AVD313	Licensed Representative	4
MENON Go Ashokh	ARD833	Licensed Representative	1, 4
MING Shu	ASH318	Licensed Representative	4
MIU Ki Wai	ARW795	Licensed Representative	4
MO Po Chu	ASF554	Licensed Representative	1
MOK Hin Ting	AUJ961	Licensed Representative	1
MOORE Jonathan Davy Amon	ANG439	Licensed Representative	1, 4
MURRAY Hugh Mcpherson Lathrop	APU514	Licensed Representative	1, 2
NG Chong Hwa	AEU269	Licensed Representative	1, 6
NG Chun Man	AUM147	Licensed Representative	2
NG Ka Man	ASX090	Licensed Representative	4
NG Kan Ching	AGL645	Licensed Representative	4
NG Tin Yau	AOV374	Licensed Representative	4
NG Wai Hong	AOR973	Licensed Representative	4
NG Wai Man	ARI542	Licensed Representative	1, 6
NG Wai Wah	AHN450	Licensed Representative	1
NG Wing Sum, Vincent	AOS102	Licensed Representative	1, 2, 4
NIP Wai Lin	AMM411	Licensed Representative	1, 2, 3
O'DONNELL Loretta Maura	AUA695	Licensed Representative	4
ONG Shu-ying	ARB166	Licensed Representative	1, 2, 7
ONG Tsui Keng	AJH311	Licensed Representative	1, 4
OOMMAN Amrita Elizabeth	AVA625	Licensed Representative	1, 2
PANG Sui Ting	ATB914	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
PEDLEY Benjamin Ian	AQN579	Licensed Representative	1, 4, 9
PENG Dongmei	AQZ707	Licensed Representative	1
PERIAKARUPPAN Chidambaram	AQS367	Licensed Representative	1, 2
POON Sai	AGQ463	Licensed Representative	4
POON Shek Yam	ANR345	Licensed Representative	1, 4
POON Shun Shing	AMP561	Licensed Representative	1, 2
PORAY-NIZANKOWSKI Andrew Christopher	AQX903	Licensed Representative	1, 2
QI Xuan	ATW424	Licensed Representative	1, 4
QIU Wenqin	AQE450	Licensed Representative	1, 6
RA Hong Bin	ATY482	Licensed Representative	1, 4
RITCH Toby Cameron Robert	ARW401	Licensed Representative	1, 4
ROGIER Bernard Rene Joseph	AIR454	Licensed Representative	1
ROWE Damian Marcus	ASH901	Licensed Representative	1, 2
ROYAL Carol	AUB786	Licensed Representative	4
RYMAN Brett Daniel	ARF995	Licensed Representative	1, 6
SATO Toshihisa	AIH727	Licensed Representative	2
SCOTT John Jason Somerville	AMF471	Licensed Representative	1, 2, 7
SHEA Colin Michael	ARD486	Licensed Representative	1
SHEN Dehua	AMU085	Licensed Representative	1, 4, 6
SHI Shao Hua	AOE809	Licensed Representative	1, 6
SHIGEZAKI Ayako	ASZ506	Licensed Representative	1
SHIH Pei-Yu	AOG092	Licensed Representative	1
SHIM Seung Kyu	AOQ592	Licensed Representative	4
SHOEN Esben Leonard Berg	AOX947	Licensed Representative	1, 2, 4, 5
SHUM Chi Kau, Christ	ACO429	Licensed Representative	1, 4, 9
SINGH Vikas	AOK032	Licensed Representative	1, 2
SIU Lai Ming, Hazel	AGM905	Licensed Representative	4
SO Chun Hung	ACG344	Licensed Representative	1, 2
SO Kit Wai, Susanna	ATS534	Licensed Representative	1, 4
SOH David	AEI247	Licensed Representative	1
SONG Zhenru	ATX916	Licensed Representative	1, 6
SONI Manoj	AUA250	Licensed Representative	1, 4
SOU Chon Hei	ALT765	Licensed Representative	1, 2, 7
STARR Tea	AUA701	Licensed Representative	1
SUEN Woon Ling, Mary	AFR005	Licensed Representative	4
SUN Sai Man	ANQ197	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUN Zhu	ARW217	Licensed Representative	1, 6
SZE Yuk Man	AQE308	Licensed Representative	9
SZETO Kai Man	AAF012	Licensed Representative	1
TAM Chi Fung, Eric	AQJ947	Licensed Representative	4
TAM Kwok Leung	AEE193	Licensed Representative	1, 2
TAM Wing Fai, Johnny	ADN240	Licensed Representative	4, 4
TAN Catherine	ALW569	Licensed Representative	1, 2, 7
TAN Keng Soon	APD107	Licensed Representative	9
TAN Pei Ling, Kristy	AVE261	Licensed Representative	1
TAN Shao Ming	AOH263	Licensed Representative	9
TANG Cho Shing	AGL083	Licensed Representative	4
TANG Hoi Lam	ARO136	Licensed Representative	1, 2, 3
TANG Kwan Yue	AOV744	Licensed Representative	1, 2
TANG Wing Wo, Stanley	AEE789	Licensed Representative	1
TANG Ya Lin	AUW832	Licensed Representative	1, 2
TANG Yuk Fai	ACN828	Licensed Representative	1
TANUDIREDJA Kwandy	AQF506	Licensed Representative	1, 4, 9
TO Sze Wing, Crystal	ATR755	Licensed Representative	1
TONG Alex Chi Kai	ATX897	Licensed Representative	1, 2, 4
TONG Chin Fai	ATQ938	Licensed Representative	1, 2
TONG Ching Yue, Oliver	ARF570	Licensed Representative	1
TRAN Leonard	AUW078	Licensed Representative	1, 2
TSAI Wen Hung	AQW413	Licensed Representative	1, 4, 5, 9
TSANG Hin Cheung	AEE952	Licensed Representative	1, 4, 6
TSANG Shun	ATT402	Licensed Representative	1
TSE Ka Man	AUM718	Licensed Representative	1, 4
TSE Kwok Ting	ASZ213	Licensed Representative	1, 4, 9
TSE Tak Cheng	APZ499	Licensed Representative	1, 2
TSE Yau Ling	AUV604	Licensed Representative	1
TSE Yiu Chun	AMJ521	Licensed Representative	1, 2
TSO Lai Ying	AGI177	Licensed Representative	1, 4, 9
TSOI Ming Chi	ATB504	Licensed Representative	3
TSUI Chi Chung	AGR737	Licensed Representative	1
TSUI Chi Yin	AAF636	Licensed Representative	1, 4
TSUI Man Chung	AOJ332	Licensed Representative	3
VAN COTT Mark Johannesen	AFN168	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
VENKATESAN Surendhaaren	ARD904	Licensed Representative	4
WAN Siu Mui, Susan	AHT202	Licensed Representative	4
WANG Chengxiang	AVD393	Licensed Representative	4
WANG Henan	ASO670	Licensed Representative	1, 6
WANG Sheng	ARQ980	Licensed Representative	1, 2
WANG Shibin	AQT319	Licensed Representative	1
WANG Xi	ATS191	Licensed Representative	4
WANG Xiaobin	ARG381	Licensed Representative	4, 6
WEI Li	ARN032	Licensed Representative	1
WENSLEY Philip Ross	ALK633	Licensed Representative	1
WICKRAMASINGHE Thilan Aloysius	AOY691	Licensed Representative	4
WONG Ching Wah	ASP506	Licensed Representative	1
WONG Ching Wah	ATH364	Licensed Representative	1, 4
WONG Ho Yin	ASD449	Licensed Representative	4
WONG Ki Ling	ANG605	Licensed Representative	1
WONG Kim Fai	AQA235	Licensed Representative	1, 4, 6
WONG Man Jo, Joseph	ANF134	Licensed Representative	1, 2
WONG Pak Chun	AQZ536	Licensed Representative	4
WONG Ping Wai	AUV946	Licensed Representative	1
WONG Poh Fah	AHQ093	Licensed Representative	1, 2, 7
WONG Sheun Fun, Estella	AFK238	Licensed Representative	4
WONG Siu Kee	AAF594	Licensed Representative	1, 2
WONG So Ping, Pecky	AGM856	Licensed Representative	4
WONG Wa Hong	ATO895	Licensed Representative	2
WONG Wai Lap	ASU175	Licensed Representative	1
WONG Wai Ling	ASF490	Licensed Representative	4
WONG Wai Lun	ASO648	Licensed Representative	2, 3
WONG Wai Sum, Red	AGJ665	Licensed Representative	4
WONG Wing Chiu, Nicholas	ABV791	Licensed Representative	4, 6
WONG Wing Yin	AUM442	Licensed Representative	1
WU Sui Hin	ARO400	Licensed Representative	1, 7
WU Tsz Kui	AUI946	Licensed Representative	1, 3
XU Xiaowen	ATC815	Licensed Representative	1, 2
XU Yan	AHX553	Licensed Representative	1, 4, 6, 9
YAM Pak Kun	AFL871	Licensed Representative	4
YAN Ka Shing, Angus	APB253	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAN Ka Yee, Connie	ALF375	Licensed Representative	1, 2
YANG Hsien-Yu	AUC293	Licensed Representative	1
YANG Wenyue	AGP547	Licensed Representative	4
YAU Lai Sum	ANT846	Licensed Representative	1, 2
YEE Woon Hin, Leslie	AVK551	Licensed Representative	9
YEUNG Chi Wai, Rosanna	AJF517	Licensed Representative	1, 2
YEUNG Ga Wai	ACO275	Licensed Representative	2
YEUNG Sin Lai	AGP583	Licensed Representative	4
YEUNG Siu Fung	ARD770	Licensed Representative	1
YEUNG Sum Ho	AOK594	Licensed Representative	1, 2, 2, 3, 3
YIP Lai Chuen	ATX917	Licensed Representative	1, 4, 9
YIP Melody	AUS176	Licensed Representative	3
YIP Wing Man, Emily	AHC687	Licensed Representative	1, 2, 3
YOKOTA Sosuke	APH270	Licensed Representative	4
YU Lu	ARP189	Licensed Representative	1, 2
YUEN Ka Wai	ARA422	Licensed Representative	4
YUEN Wai Sze, Carmen	AQT142	Licensed Representative	1
YUNG Munh A, Flora	AGO950	Licensed Representative	1, 9
YUNG Siu Hang	AHC979	Licensed Representative	1, 2, 4
YUNG Wing Ki, Samuel	ACP495	Licensed Representative	4
ZHAO Zhirong	ATN324	Licensed Representative	1, 6
ZHAO Zongjun	AQJ960	Licensed Representative	4
ZHOU Yu	AGO880	Licensed Representative	9
ZHOU Zhihao	AQR080	Licensed Representative	1, 2
ZIRGER Stephen Daniel	ASS309	Licensed Representative	1
AU King Lun	ABL820	Licensed Representative - Responsible Officer	1, 9
BROADMAN Bart Joseph	ASC634	Licensed Representative - Responsible Officer	9
CHAN Ching Charm	AHA954	Licensed Representative - Responsible Officer	4
CHAN Kwok Keung	AAC262	Licensed Representative - Responsible Officer	2
CHENG Chao-Ming	ALO048	Licensed Representative - Responsible Officer	1
FAVEUR Samuel Christian	AIT497	Licensed Representative - Responsible Officer	9
FONG Hoi Sze, Amy	AGO466	Licensed Representative - Responsible Officer	1, 2
FUNG Chan Man, Alex	ADB168	Licensed Representative - Responsible Officer	6
GAY Pierre Gilbert	AMO576	Licensed Representative - Responsible Officer	1, 2, 3, 7
HO Yiu Hang, Ricky	ARA413	Licensed Representative - Responsible Officer	6
HUI Yung, Chris	ACH256	Licensed Representative - Responsible Officer	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG David T	AJF761	Licensed Representative - Responsible Officer	1, 4, 9
JIANG Ming	ASF483	Licensed Representative - Responsible Officer	4, 9
KAM Kwok Ching	ALY309	Licensed Representative - Responsible Officer	9
KWONG Ho Kei, Emily	AGB307	Licensed Representative - Responsible Officer	1, 4, 6
LAU Ka Po	AJL225	Licensed Representative - Responsible Officer	2
LI CHAN Sien Mun, Marian	AAK519	Licensed Representative - Responsible Officer	1, 4, 9
LI Zongyi	ALB938	Licensed Representative - Responsible Officer	9
LOW Andrew Donald	AKJ197	Licensed Representative - Responsible Officer	6
LU Tzu-Jung	AMU465	Licensed Representative - Responsible Officer	1, 4
MARKOVICH Boris	ASG330	Licensed Representative - Responsible Officer	4
MITCHELL Darron Bruce	AND464	Licensed Representative - Responsible Officer	9
MOON Seung Hyun	AOB631	Licensed Representative - Responsible Officer	9
MOORE Jonathan Davy Amon	ANG439	Licensed Representative - Responsible Officer	9
OTSU Yasuyuki	AOE050	Licensed Representative - Responsible Officer	1, 4
PANG Yuk Wing, Joseph	AAE826	Licensed Representative - Responsible Officer	1
SENEQUE Jean George Louis Steve	AOU494	Licensed Representative - Responsible Officer	4, 9
SHIH Huei-Ping	AQG773	Licensed Representative - Responsible Officer	1, 2
SONNEVELD Ewoud Arie Jan	AOK044	Licensed Representative - Responsible Officer	4, 9
TAM Wing Fai, Johnny	ADN240	Licensed Representative - Responsible Officer	6
WU Shun-Hsuan	ASL406	Licensed Representative - Responsible Officer	1
YEOW Chin Wee	ADN012	Licensed Representative - Responsible Officer	1
YUIHAMA Hirokazu	ALK263	Licensed Representative - Responsible Officer	4
ZHANG Yu	AQS656	Licensed Representative - Responsible Officer	1, 2, 5
YANG Feng	AVP528	Licensed Representative - Responsible Officer (Provisional Licence)	9
ABBOTT Giles David Cameron	AVQ399	Licensed Representative (Provisional Licence)	1, 4
AHMAD Ciro Jalal	AEY339	Licensed Representative (Provisional Licence)	1, 4, 9
AI Xihong	AVW302	Licensed Representative (Provisional Licence)	6
ALBANESE II Louis Francais	AUC929	Licensed Representative (Provisional Licence)	1, 4, 6
ALLAN Geoffrey Dallinger	AVX704	Licensed Representative (Provisional Licence)	1, 2, 4, 7
AN Tae Jin	AHK971	Licensed Representative (Provisional Licence)	1
AU Chi Lam	AVY115	Licensed Representative (Provisional Licence)	1
AU Wing Suen	AVT462	Licensed Representative (Provisional Licence)	9
AU Wing Tung	AVX940	Licensed Representative (Provisional Licence)	9
BAARS Andrew Paul	APW807	Licensed Representative (Provisional Licence)	1
BAIK Saeyoon	ARJ096	Licensed Representative (Provisional Licence)	1, 4, 6
BARG Steven Kass	ARH482	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
BEN NATHAN Orr	AVI631	Licensed Representative (Provisional Licence)	1, 7
BHAMMER Vishal Mahendra Kumar	AHF765	Licensed Representative (Provisional Licence)	1, 4
BHUNDIA Ashok Jayantilal	AVX029	Licensed Representative (Provisional Licence)	1, 2, 4
BIN Bin	AVY492	Licensed Representative (Provisional Licence)	1, 6
BLANQUET Ludovic, Marcel, Michel	AVV559	Licensed Representative (Provisional Licence)	1
BLOM Andries Cornelis	AVV334	Licensed Representative (Provisional Licence)	1, 2
BLOOM Jason John	AVV765	Licensed Representative (Provisional Licence)	4
BUCKS Tobias James	AVZ694	Licensed Representative (Provisional Licence)	4
BUGIA Nina Frances Therese Viajar	AVY764	Licensed Representative (Provisional Licence)	4
BURANAKUL Pakorn	AVT095	Licensed Representative (Provisional Licence)	1
CABBABE Raif	ATE586	Licensed Representative (Provisional Licence)	1, 2
CAI Yu	AUW213	Licensed Representative (Provisional Licence)	1
CHAN Adam Brian	AVZ113	Licensed Representative (Provisional Licence)	4
CHAN Ching On, Rico	AVW193	Licensed Representative (Provisional Licence)	4
CHAN Choon Wei Clarence	AVW223	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Dennis Pai Lun	AVS591	Licensed Representative (Provisional Licence)	1, 2
CHAN Kar Yue, Mark	ABB625	Licensed Representative (Provisional Licence)	4, 9
CHAN Ming Queenie	AVV075	Licensed Representative (Provisional Licence)	1
CHAN Po Yi	AVV835	Licensed Representative (Provisional Licence)	1
CHAN Shing Ho	AVX420	Licensed Representative (Provisional Licence)	1, 2
CHAN So Yim, Cindy	AVW004	Licensed Representative (Provisional Licence)	1
CHAN Sze Ting	AVW227	Licensed Representative (Provisional Licence)	1, 4
CHAN Tsui Shing, Brian	AVW722	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wing Yan	AVS581	Licensed Representative (Provisional Licence)	1, 2
CHAN Yau Hin	AVY759	Licensed Representative (Provisional Licence)	1, 6
CHAN Yee Tak	AVT458	Licensed Representative (Provisional Licence)	1, 2, 4, 7
CHAN Yu Chung	AVY023	Licensed Representative (Provisional Licence)	1
CHANG Dong Liang	AVW551	Licensed Representative (Provisional Licence)	4
CHAO Jeffrey Min-Je	AVX417	Licensed Representative (Provisional Licence)	1
CHAUDHRY Rajah	AVW307	Licensed Representative (Provisional Licence)	1, 4
CHEN Chen	AVZ038	Licensed Representative (Provisional Licence)	4
CHEN Cheng-Kai	AVV704	Licensed Representative (Provisional Licence)	1
CHEN Chien-Yao	AEO696	Licensed Representative (Provisional Licence)	1, 2
CHEN Hui-ming	ALW538	Licensed Representative (Provisional Licence)	4
CHEN Long	AVT720	Licensed Representative (Provisional Licence)	1, 4
CHEN Tzung-Chi	AVV547	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Xiaoling	AVW059	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Xiaoyan	AVY039	Licensed Representative (Provisional Licence)	4
CHENG Cheuk Fung Clinton	AVX206	Licensed Representative (Provisional Licence)	1, 2
CHENG Ka Ki	AVX422	Licensed Representative (Provisional Licence)	1
CHENG Tung Lung	AVT078	Licensed Representative (Provisional Licence)	1, 2
CHENG Victor Shing Chung	AVY498	Licensed Representative (Provisional Licence)	1, 2, 7
CHEUNG Hing Ying Jessica	AVV774	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Kam Chau, Patrick	AVY587	Licensed Representative (Provisional Licence)	1
CHEUNG Kam Hong	AVT081	Licensed Representative (Provisional Licence)	6
CHEUNG Kwong Yan	AVY400	Licensed Representative (Provisional Licence)	1
CHEUNG Man Yee	AVY583	Licensed Representative (Provisional Licence)	1
CHEUNG Yee Yan Elaine	AVV775	Licensed Representative (Provisional Licence)	4, 5, 9
CHILEWICZ Rodolphe Francois	AVY610	Licensed Representative (Provisional Licence)	1, 2
CHIU Lon, Ronald	AVY720	Licensed Representative (Provisional Licence)	4, 6, 9
CHIU Wing Lam	AVY558	Licensed Representative (Provisional Licence)	1
CHO Kunho	AVZ037	Licensed Representative (Provisional Licence)	9
CHOI Hok Sum	ATQ936	Licensed Representative (Provisional Licence)	1
CHOI Oi Yee, Iris	ANI875	Licensed Representative (Provisional Licence)	1
CHOI Raymond Yat-hong	AVY109	Licensed Representative (Provisional Licence)	4
CHOI Woo Sung	AVY699	Licensed Representative (Provisional Licence)	1, 2
CHONG Karen Kam-Yee	AVY701	Licensed Representative (Provisional Licence)	1, 6
CHONG Susie Suen Sien	AFJ411	Licensed Representative (Provisional Licence)	1, 4, 9
CHOUDHURY Avijit	AVT529	Licensed Representative (Provisional Licence)	1
CHOW Man Ho	AVY758	Licensed Representative (Provisional Licence)	1
CHOW Suk Ching, Irene	ANJ244	Licensed Representative (Provisional Licence)	1, 4
CHOW Wing Hong	AVY338	Licensed Representative (Provisional Licence)	1, 2
CHOY Wing Fai	AVS172	Licensed Representative (Provisional Licence)	1, 2
CHU Gang	AVM425	Licensed Representative (Provisional Licence)	1, 4
CHU Michael	AVV245	Licensed Representative (Provisional Licence)	1, 2
CHU Ming Chih	AVW089	Licensed Representative (Provisional Licence)	1
CHU Ming Ki	AVU654	Licensed Representative (Provisional Licence)	1, 2
CHU Nihong	AVL926	Licensed Representative (Provisional Licence)	1, 4, 6
CHU Tat Chee	AMI346	Licensed Representative (Provisional Licence)	1, 4, 6
CHU Tianli	AVY302	Licensed Representative (Provisional Licence)	1, 2
CHUA Kim Leng	AND877	Licensed Representative (Provisional Licence)	1
CHUA Mingxun	AVX859	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	License Type	Types of Regulated Activity
CHUA Su Tye	AUW974	Licensed Representative (Provisional Licence)	4
CHUNG Chak Wah	AVY114	Licensed Representative (Provisional Licence)	1
CHUNG Lam Lap	ASW428	Licensed Representative (Provisional Licence)	1
CHUNG Sung-min	AVS891	Licensed Representative (Provisional Licence)	1, 4, 6
CHUNG Yi-Ling	AVV549	Licensed Representative (Provisional Licence)	4
CLYNE Christopher Courtenay	AVU801	Licensed Representative (Provisional Licence)	1
COLON Sylvain Xavier	AVW304	Licensed Representative (Provisional Licence)	1, 2
CONDE Ma. Cristina Menez	AVV761	Licensed Representative (Provisional Licence)	1
COOPER Aaron Michael	AVY422	Licensed Representative (Provisional Licence)	1
COOPER Richard David	AVU997	Licensed Representative (Provisional Licence)	1
DE BONT Sebastiaan	AVW433	Licensed Representative (Provisional Licence)	9
DIVEKAR Raghavendra Rajiv	AVV683	Licensed Representative (Provisional Licence)	4
DIYACHKINA Polina	APH589	Licensed Representative (Provisional Licence)	4
DO Albert	AVY415	Licensed Representative (Provisional Licence)	1, 2
DOBSON Jason David	AVV850	Licensed Representative (Provisional Licence)	2
DYMOND Nicholas James	AVV851	Licensed Representative (Provisional Licence)	1
ENG Shu Ling Amanda	AVW617	Licensed Representative (Provisional Licence)	4
ETCHEVERRY Iker	AVY674	Licensed Representative (Provisional Licence)	1, 2
FAN Chin Cheung	AVS362	Licensed Representative (Provisional Licence)	1, 2
FENG Anna Hua	AVX426	Licensed Representative (Provisional Licence)	1
FENG Dawei	AUZ783	Licensed Representative (Provisional Licence)	2
FOXALL Christopher Michael	AVW184	Licensed Representative (Provisional Licence)	1
FRASIER Hamilton Reid	AQJ467	Licensed Representative (Provisional Licence)	1, 4
FU Nga Chui	AVW069	Licensed Representative (Provisional Licence)	1
FUNG Man Yee, Joyce	AHR789	Licensed Representative (Provisional Licence)	1
FURST Dominik Bernhard	AVU713	Licensed Representative (Provisional Licence)	1, 4, 9
GARDINER Wade Patrick	AVV241	Licensed Representative (Provisional Licence)	4
GARG Amit	AVV363	Licensed Representative (Provisional Licence)	4
GARG Vinod	AVV846	Licensed Representative (Provisional Licence)	1, 2
GIAN Kim Wen	AVZ279	Licensed Representative (Provisional Licence)	1, 2
GIERHAKE Ortwin	AVT234	Licensed Representative (Provisional Licence)	1, 4
GOH Chee Liang	AAF242	Licensed Representative (Provisional Licence)	1
GRADY Timothy Joseph	AJC076	Licensed Representative (Provisional Licence)	9
GRAHAM William James Angus	ALA252	Licensed Representative (Provisional Licence)	4
GREEN Lachlan John	AUD675	Licensed Representative (Provisional Licence)	3
GU Xinyu	AVW548	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
GUINARD Thierry Aymard Paul	AMS072	Licensed Representative (Provisional Licence)	1, 4
GUO Jie	AVW222	Licensed Representative (Provisional Licence)	1, 2
GUPTA Manish	AVU992	Licensed Representative (Provisional Licence)	1
HA Tae Il	AVW319	Licensed Representative (Provisional Licence)	1, 6
HAGE Julien Gerald	AVV903	Licensed Representative (Provisional Licence)	1, 2, 7
HAN Guannan	AVV239	Licensed Representative (Provisional Licence)	4
HAO Wenli Williams	AVX211	Licensed Representative (Provisional Licence)	1, 4, 9
HARA Hidetaka	AVY025	Licensed Representative (Provisional Licence)	1, 4, 6
HE Ning	AVW434	Licensed Representative (Provisional Licence)	1, 4
HENGEVELD Emily Carlotta	AVY271	Licensed Representative (Provisional Licence)	1
HO George Chenghua	ANM619	Licensed Representative (Provisional Licence)	4, 9
HO Mavis	AVZ224	Licensed Representative (Provisional Licence)	1, 6
HO Pui Yan	AVY956	Licensed Representative (Provisional Licence)	1
HO Purdy Pin Pin	ASI353	Licensed Representative (Provisional Licence)	1
HO Shuk Man, Gale	AGB356	Licensed Representative (Provisional Licence)	1, 4
HO Wing Bong, Benjamin	AVX918	Licensed Representative (Provisional Licence)	1, 6
HO Yu, Stephanie	AVW495	Licensed Representative (Provisional Licence)	1, 4, 9
HOLDGATE Murray Edward James	AVJ112	Licensed Representative (Provisional Licence)	1, 2
HOLGATE Benjamin David	ARW891	Licensed Representative (Provisional Licence)	1
HOSANGADY Ranjit Rammohan	AVW682	Licensed Representative (Provisional Licence)	1
HOU David Jun Ping	AVU718	Licensed Representative (Provisional Licence)	1
HOYAU Eric Jean-Pierre Maurice	AVW218	Licensed Representative (Provisional Licence)	1
HOYAU Yann Daniel Leon-Pierre	AVJ409	Licensed Representative (Provisional Licence)	1
HOYER David	AVY068	Licensed Representative (Provisional Licence)	1, 4, 6
HSIANG Jeffrey Chih	AVW685	Licensed Representative (Provisional Licence)	1, 4
HUANG Yan	AVT473	Licensed Representative (Provisional Licence)	6
HUANG Yifeng	AVW001	Licensed Representative (Provisional Licence)	1, 6
HUANG Yuqiao	AVY579	Licensed Representative (Provisional Licence)	1, 4
HUI Lap Yin	AVW181	Licensed Representative (Provisional Licence)	4
HUI Nga Man	AFQ048	Licensed Representative (Provisional Licence)	1, 4
HUI Roy	ATE232	Licensed Representative (Provisional Licence)	1
HUI Wai Man	AVV838	Licensed Representative (Provisional Licence)	1, 4
HUI Wang Leung	AVY698	Licensed Representative (Provisional Licence)	1, 4
HULKUNTE NAGARAJ Ayush	AVV794	Licensed Representative (Provisional Licence)	1, 4
HUNG Shun Ching	AVV535	Licensed Representative (Provisional Licence)	1
IMAZU Nanako	AVU642	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ISHII Yuki	AVS729	Licensed Representative (Provisional Licence)	1
JAIN Abhishek Shantilal	AVR370	Licensed Representative (Provisional Licence)	9
JAMES Todd Howard	AVX425	Licensed Representative (Provisional Licence)	1, 4
JI Wei	AVT475	Licensed Representative (Provisional Licence)	6
JIA Chelsea Yue	AVX461	Licensed Representative (Provisional Licence)	1, 7
JIA Xiaofang	AVY494	Licensed Representative (Provisional Licence)	1, 6
JIN Zhong	AVT110	Licensed Representative (Provisional Licence)	6
JONES Edward Harry James	AVV550	Licensed Representative (Provisional Licence)	1, 2
KAM Ka Ka	AVY419	Licensed Representative (Provisional Licence)	1, 6
KAN Pui Chi	AMS234	Licensed Representative (Provisional Licence)	1
KANEKO Satsuki	ANT209	Licensed Representative (Provisional Licence)	1
KANG Minja	AGJ645	Licensed Representative (Provisional Licence)	1
KANG Zhe	AVZ707	Licensed Representative (Provisional Licence)	1, 4
KANNA Ninus	AVW489	Licensed Representative (Provisional Licence)	4
KHANNA Rushil	AVX381	Licensed Representative (Provisional Licence)	4
KIANG Yat Cheong Joseph	AVJ092	Licensed Representative (Provisional Licence)	1, 2
KIM Dongsup	AVW692	Licensed Representative (Provisional Licence)	4
KIM Irene Yang	AUG652	Licensed Representative (Provisional Licence)	4
KIM So Youn	AVY431	Licensed Representative (Provisional Licence)	1
KONG Yin Ling	AVX956	Licensed Representative (Provisional Licence)	6
KONG Ying Er May	AVX015	Licensed Representative (Provisional Licence)	1, 2
KORACH Philipp Patrick	AVZ290	Licensed Representative (Provisional Licence)	4
KOTEWALL Neville Charles	AQM591	Licensed Representative (Provisional Licence)	1
KWAN David King Tong	ADU222	Licensed Representative (Provisional Licence)	1, 4
KWAN Ka Wai	AVV610	Licensed Representative (Provisional Licence)	1
KWOK Ka Wing	AVV836	Licensed Representative (Provisional Licence)	1
KWOK Sin Hung	AFS186	Licensed Representative (Provisional Licence)	4
KWONG Chi Lun	AVV844	Licensed Representative (Provisional Licence)	1
LAI Jerry Chi Yuen	AVX291	Licensed Representative (Provisional Licence)	1, 4, 6
LAI Kam Chiu	AQD798	Licensed Representative (Provisional Licence)	1
LAI Min-Min	AVV545	Licensed Representative (Provisional Licence)	4
LAI Tung Ming	AVW547	Licensed Representative (Provisional Licence)	1, 6
LAI Voon San	ACQ503	Licensed Representative (Provisional Licence)	4
LAI Wayne	AVW626	Licensed Representative (Provisional Licence)	1
LAM Ching Ping, Blanche	AVW306	Licensed Representative (Provisional Licence)	1, 4
LAM Sau Wai, Sabrina	ACS240	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	License Type	Types of Regulated Activity
LAM Tun Lan	AVY031	Licensed Representative (Provisional Licence)	1
LAM Wai Yin	APZ464	Licensed Representative (Provisional Licence)	1, 2
LASCAR-TRONQUOIS Julien David	AVW496	Licensed Representative (Provisional Licence)	1
LAU Chi Kan	AVY337	Licensed Representative (Provisional Licence)	1, 2
LAU Fu Keung	AVW356	Licensed Representative (Provisional Licence)	1, 6
LAU Man Chor	AVR361	Licensed Representative (Provisional Licence)	1, 2
LAU Pei Man Hidy	AVZ457	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Xin Yu, Cynthia	AVW689	Licensed Representative (Provisional Licence)	4
LAW Hiu Ying	AVY395	Licensed Representative (Provisional Licence)	1
LEAN Chun Chung	AVT075	Licensed Representative (Provisional Licence)	1, 2
LEE Beom Jun	AVV713	Licensed Representative (Provisional Licence)	1
LEE Calvin Wai Kien	AVV099	Licensed Representative (Provisional Licence)	1, 6
LEE Chi Hwa, Joshua	AGL509	Licensed Representative (Provisional Licence)	6
LEE Hyun-Jae	AHN694	Licensed Representative (Provisional Licence)	1, 2
LEE Man Lok	AVX919	Licensed Representative (Provisional Licence)	1, 6
LEE May Lin	AVT527	Licensed Representative (Provisional Licence)	1
LEE Melisa Gaik Lin	AGT677	Licensed Representative (Provisional Licence)	1, 2
LEE Wan Kin	AGG670	Licensed Representative (Provisional Licence)	1, 4
LEE Yin Wai Eudora	AVX470	Licensed Representative (Provisional Licence)	1
LEE Ying Kei	AER770	Licensed Representative (Provisional Licence)	1, 2
LEE Yuna	AVV760	Licensed Representative (Provisional Licence)	1
LEEMING Marsali Mary	AVU842	Licensed Representative (Provisional Licence)	1
LEI Lai Lai	AVW067	Licensed Representative (Provisional Licence)	1, 4
LEM Elliot Justin	AVW511	Licensed Representative (Provisional Licence)	1
LESMINA Sarina	AOU971	Licensed Representative (Provisional Licence)	4
LEUNG Chung Fai	AVV345	Licensed Representative (Provisional Licence)	1, 2
LEUNG Kam Por, Ken	AVV909	Licensed Representative (Provisional Licence)	1
LEUNG Man Wai, Matthew	ALQ771	Licensed Representative (Provisional Licence)	1, 2
LEUNG Siu Pong, James	AVT468	Licensed Representative (Provisional Licence)	1, 2
LEUNG Tsz Shan, Monica	AVX105	Licensed Representative (Provisional Licence)	2
LEUNG Wai Yeung	AQV396	Licensed Representative (Provisional Licence)	1, 4
LEUNG Yee Tak	AVY560	Licensed Representative (Provisional Licence)	1, 2
LEWIS Janet Barbara	ASL090	Licensed Representative (Provisional Licence)	4
LI Angel	AVX038	Licensed Representative (Provisional Licence)	1, 4
LI Dan	AVT112	Licensed Representative (Provisional Licence)	6
LI Ji	AVW854	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Jun Qiao, Kelman	ASL017	Licensed Representative (Provisional Licence)	1, 6
LI Ke	AVW618	Licensed Representative (Provisional Licence)	1, 4
LI Shuo	AVT310	Licensed Representative (Provisional Licence)	1, 4, 6
LI Sze Hang	AVY336	Licensed Representative (Provisional Licence)	1, 2
LI Wai Han	AVX424	Licensed Representative (Provisional Licence)	1, 4
LI Wenlong	AVV138	Licensed Representative (Provisional Licence)	1, 4, 9
LI Wing Chung	AVV403	Licensed Representative (Provisional Licence)	1
LI Xiaoting	AVS858	Licensed Representative (Provisional Licence)	1, 6
LI Yang	AVY584	Licensed Representative (Provisional Licence)	1
LI Yongxi	AVN266	Licensed Representative (Provisional Licence)	1, 4
LI Zhiyong	AVR401	Licensed Representative (Provisional Licence)	1, 4
LIM Ren Hui, Kevin	AVM353	Licensed Representative (Provisional Licence)	1, 6
LIN Haoxiang	AVT525	Licensed Representative (Provisional Licence)	4
LIN Junjie	AVU628	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Wan Man, Vivian	AGP566	Licensed Representative (Provisional Licence)	1
LIN Zhixuan	AVU633	Licensed Representative (Provisional Licence)	4
LISAHAPANYA Narongpand	AVU843	Licensed Representative (Provisional Licence)	4
LIU Gang	AVH867	Licensed Representative (Provisional Licence)	4
LIU Lan-Shin	AVS373	Licensed Representative (Provisional Licence)	1
LIU Man Tai Andy	AVZ225	Licensed Representative (Provisional Licence)	1, 6
LIU Marcus Chun Hao	AVY718	Licensed Representative (Provisional Licence)	4
LIU Mei Yu, Dorothy	AVY123	Licensed Representative (Provisional Licence)	1, 4
LIU Wai Ping	AGC459	Licensed Representative (Provisional Licence)	1, 4
LIU Yang	AVY424	Licensed Representative (Provisional Licence)	1, 6
LIU Yawen	AVY117	Licensed Representative (Provisional Licence)	4, 9
LO Chi Hang	ARU729	Licensed Representative (Provisional Licence)	1, 4
LO Wai Tak, Michael	AFT404	Licensed Representative (Provisional Licence)	1, 4
LOR Victor	AVV112	Licensed Representative (Provisional Licence)	4, 9
LOU Ying	AVV243	Licensed Representative (Provisional Licence)	4
LOUSTALOT Henri Louis Rene Andre	AVU845	Licensed Representative (Provisional Licence)	1, 2
LU Junhua	AVX214	Licensed Representative (Provisional Licence)	1, 4, 9
LU Song	AVV703	Licensed Representative (Provisional Licence)	1, 4
LU Tom Jin	AVV404	Licensed Representative (Provisional Licence)	1
LU Wei	AVT107	Licensed Representative (Provisional Licence)	6
LUO Xia	AVV941	Licensed Representative (Provisional Licence)	1, 4, 9
LUONG Sieu Quang	AVW501	Licensed Representative (Provisional Licence)	1, 2, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	License Type	Types of Regulated Activity
MACDONALD Peter Bremner	AMM281	Licensed Representative (Provisional Licence)	1, 2, 4, 6, 7
MAK Kam Ling	ADJ395	Licensed Representative (Provisional Licence)	1
MAK Shu Fan	AVT651	Licensed Representative (Provisional Licence)	1
MAK Wai Lun	AVY964	Licensed Representative (Provisional Licence)	9
MAK Wing Lun	AVV976	Licensed Representative (Provisional Licence)	4
MAN Yick Yeung	AVX727	Licensed Representative (Provisional Licence)	1, 2
MATSUDA Akiko	AVY029	Licensed Representative (Provisional Licence)	1, 2
MAYO Herbert James Seton	AVY291	Licensed Representative (Provisional Licence)	4, 5, 9
MCCARTHY Andrew Thomas	AVY497	Licensed Representative (Provisional Licence)	1, 2, 7
MCDONALD Anthony Delano	AVV776	Licensed Representative (Provisional Licence)	1
MEI Jingping	AVV764	Licensed Representative (Provisional Licence)	4
MENG Hailing	AVT460	Licensed Representative (Provisional Licence)	4
MIN Sang Won	AEB935	Licensed Representative (Provisional Licence)	1
MITCHELL Rupert Douglas Alexander	AFE722	Licensed Representative (Provisional Licence)	1, 6
MOK Kai Yuen	AVX957	Licensed Representative (Provisional Licence)	1
MOORE Baden James	AVX128	Licensed Representative (Provisional Licence)	4
MUELLERS Sean Christopher	AVW699	Licensed Representative (Provisional Licence)	1, 4
MUI Kin Wai	AVY719	Licensed Representative (Provisional Licence)	4
MUKSCH Roland	AVV662	Licensed Representative (Provisional Licence)	1
NADIG Preetham Dharmarao	AVR736	Licensed Representative (Provisional Licence)	1, 2
NAKAUCHI Kozue	AVY319	Licensed Representative (Provisional Licence)	1
NANWANI Sanjiv Manohar	AVV405	Licensed Representative (Provisional Licence)	1, 2
NEUKIRCH Bruno Emmanuel Alexandre	AVY572	Licensed Representative (Provisional Licence)	1
NG Kai Him	AVY121	Licensed Representative (Provisional Licence)	1, 4, 6
NG Kit Yan	AVX695	Licensed Representative (Provisional Licence)	1
NG Pan	AVV145	Licensed Representative (Provisional Licence)	1, 2
NG Sui Mui	AVY611	Licensed Representative (Provisional Licence)	1
NG Wing Sang	AVT530	Licensed Representative (Provisional Licence)	1, 6
NG Yiu Chung	AVW170	Licensed Representative (Provisional Licence)	1, 2
NGAI Chun Man, Edward	AVV324	Licensed Representative (Provisional Licence)	1
NGAN Kelvin Shing Wai	AVY760	Licensed Representative (Provisional Licence)	1
NHAN Ngoc Le	AVY677	Licensed Representative (Provisional Licence)	1
NI David Kang-Wen	AVW544	Licensed Representative (Provisional Licence)	1, 6
NIE Wei	AVT477	Licensed Representative (Provisional Licence)	6
NING Jingbian	AVT719	Licensed Representative (Provisional Licence)	1, 4
O'HARE Susan Julia	AVV685	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ONG Kim Boon, Patrick Lawrence	AVZ226	Licensed Representative (Provisional Licence)	1, 4
OVINGTON Derek Ramsay	AVV998	Licensed Representative (Provisional Licence)	4
PAK Sze Chai, Stephen	AEL321	Licensed Representative (Provisional Licence)	1
PANGALI Pawandeeep	AVU841	Licensed Representative (Provisional Licence)	1
PARK Eun Young	AVT464	Licensed Representative (Provisional Licence)	1
PEACH Nicholas Xavier Aidan	AVV327	Licensed Representative (Provisional Licence)	1, 2
PERRY Michael David	AVW688	Licensed Representative (Provisional Licence)	1, 6
PHILLIPS Duncan Edward	AVN547	Licensed Representative (Provisional Licence)	1, 6
PLOOSTER Jeroen Poul	ARR905	Licensed Representative (Provisional Licence)	1, 2
POTLURI Lakshmi Naga Jyothi	AVY119	Licensed Representative (Provisional Licence)	1
POTTER Alexander Eugene	AVV904	Licensed Representative (Provisional Licence)	4
POWERS Timothy Mitchel	AVV561	Licensed Representative (Provisional Licence)	4
PUN Tsz Sang	AVM927	Licensed Representative (Provisional Licence)	1, 2
QIAN Keyang	AVY845	Licensed Representative (Provisional Licence)	1, 2
QIU Wangshu	AVW563	Licensed Representative (Provisional Licence)	1, 4
RAINA Nikhil	AVT528	Licensed Representative (Provisional Licence)	1
RASTOGI Gaurav	AVW436	Licensed Representative (Provisional Licence)	1, 2, 4, 5
REDBOURN James Robert	AVV777	Licensed Representative (Provisional Licence)	1
REID Jonathan Paul	AVU983	Licensed Representative (Provisional Licence)	1
RIGOUX Charles-Edouard Paul Guy	AVW305	Licensed Representative (Provisional Licence)	6
RITCHIE Christine Lenore	AVW557	Licensed Representative (Provisional Licence)	1, 2
SANKETH Ashwin Kumar Kumaraswamy	APA409	Licensed Representative (Provisional Licence)	4
SAU Miu Cheung	AVV612	Licensed Representative (Provisional Licence)	1
SCHMID Michael	AVY685	Licensed Representative (Provisional Licence)	1, 4
SEYBERT Audrey Francis	AVV139	Licensed Representative (Provisional Licence)	1, 4, 9
SHAH Purvesh Pankaj	AVT526	Licensed Representative (Provisional Licence)	1
SHAM Sharon Cylong	AVV406	Licensed Representative (Provisional Licence)	1, 2
SHAN Weijian	AEF366	Licensed Representative (Provisional Licence)	9
SHEA Man Kwan	AVZ313	Licensed Representative (Provisional Licence)	1
SHEN Xiaojing	AVX684	Licensed Representative (Provisional Licence)	4, 9
SIDDIQUI Nameer Ahmad	AVY120	Licensed Representative (Provisional Licence)	1, 6
SIN Wing Ngor	AVW560	Licensed Representative (Provisional Licence)	1, 2
SINHA Anjali	AVV577	Licensed Representative (Provisional Licence)	1
SIU Cheuk Hung	AVX952	Licensed Representative (Provisional Licence)	1, 4
SIU Chun Shing	AVS179	Licensed Representative (Provisional Licence)	1, 2
SIU Jason	AVV237	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SMITH Paul Savile	AVN296	Licensed Representative (Provisional Licence)	1, 4, 7
SMITHIE Nicholas Dearden	AVY578	Licensed Representative (Provisional Licence)	4
SO Nga Ting, Bernadette	AVY580	Licensed Representative (Provisional Licence)	1
SUCHARITKUL Arddanai Marco	AVY225	Licensed Representative (Provisional Licence)	1, 4
SUEN Ka Po	AVY432	Licensed Representative (Provisional Licence)	1, 2
SUNG Yin Ling	AVY585	Licensed Representative (Provisional Licence)	1
SUZUKI Takayuki	AVR826	Licensed Representative (Provisional Licence)	1, 2
SWEIJS Marcel	AVW224	Licensed Representative (Provisional Licence)	1, 2, 7
SZE Loi Yan	ATI831	Licensed Representative (Provisional Licence)	1
SZETO Tung, Danny	ALL188	Licensed Representative (Provisional Licence)	1, 2
TAI Ka Lai	AVX905	Licensed Representative (Provisional Licence)	1
TAKAO Kentaro	AVW704	Licensed Representative (Provisional Licence)	1
TAKIKAWA Shoji	AVW681	Licensed Representative (Provisional Licence)	1, 2
TAM Kwong Lau, Larry	AAC093	Licensed Representative (Provisional Licence)	1
TAM Owen	AAF773	Licensed Representative (Provisional Licence)	1
TAM Pak Ho	AVX958	Licensed Representative (Provisional Licence)	1
TAM Ronald Hon Sum	AVV845	Licensed Representative (Provisional Licence)	1
TANEDO Corrince Hazel Gan	AVV718	Licensed Representative (Provisional Licence)	4
TANG Chun Man	AVX572	Licensed Representative (Provisional Licence)	1
TANG Him, John	ALU515	Licensed Representative (Provisional Licence)	4
TANG Sau Wah	AVV150	Licensed Representative (Provisional Licence)	1
TANG Suet Yi	AVZ610	Licensed Representative (Provisional Licence)	4, 9
TANG Wing Chung	AVU664	Licensed Representative (Provisional Licence)	1, 2
TARLEVE Samuel Jose	AVW217	Licensed Representative (Provisional Licence)	1
TAY Chien Thuan, Francis	APB099	Licensed Representative (Provisional Licence)	1, 4
TEE Charles Nicholas	AVZ559	Licensed Representative (Provisional Licence)	1
TEW Yue Ying	AVW179	Licensed Representative (Provisional Licence)	1, 4, 9
THOMSON Stuart Douglas Peter	AUZ195	Licensed Representative (Provisional Licence)	1
TINGA Dante Jr. Rodriguez	APG027	Licensed Representative (Provisional Licence)	4
TO Tsz Yan Jacqueline	AVZ455	Licensed Representative (Provisional Licence)	1
TONG Lai Kuan	AVV554	Licensed Representative (Provisional Licence)	4, 6
TORRES Juan Miguel	AVM102	Licensed Representative (Provisional Licence)	1
TOUMI Pawel Nadim	AVS731	Licensed Representative (Provisional Licence)	1, 2, 7
TRENT James Lee	AVV613	Licensed Representative (Provisional Licence)	1, 2, 7
TSANG Tat Kong	AVW359	Licensed Representative (Provisional Licence)	1
TSE Wai Man	AJL009	Licensed Representative (Provisional Licence)	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSE Yu Lung	AVU827	Licensed Representative (Provisional Licence)	1, 2
TSOI Chi Ming	AVX934	Licensed Representative (Provisional Licence)	1
TSUI Kin Ling	AVY267	Licensed Representative (Provisional Licence)	1, 2
TSUI Yat Yin	AVQ975	Licensed Representative (Provisional Licence)	1
VALLABHANENI Navin Roy	AVV583	Licensed Representative (Provisional Licence)	1
VAN VEEN Arjan Francis	AUX492	Licensed Representative (Provisional Licence)	4
VESPERINI Jean-Jacques	AMB585	Licensed Representative (Provisional Licence)	1, 2
WAINWRIGHT Lee Spencer	AVU977	Licensed Representative (Provisional Licence)	1
WALKER William	AVX195	Licensed Representative (Provisional Licence)	1, 6
WANG Chun-kai	AVY700	Licensed Representative (Provisional Licence)	1, 4
WANG Cynthia Naijing	AVT096	Licensed Representative (Provisional Licence)	6
WANG Fei	AVW545	Licensed Representative (Provisional Licence)	1
WANG Hsiang-Chih	AOY182	Licensed Representative (Provisional Licence)	6
WANG Jin	AVY040	Licensed Representative (Provisional Licence)	4
WANG Min-hsiang	AVU583	Licensed Representative (Provisional Licence)	1
WANG Xun Christopher	AIL178	Licensed Representative (Provisional Licence)	1, 6
WANG Yinxiao	AVL554	Licensed Representative (Provisional Licence)	1, 9
WATERHOUSE Julian Brian	ALB052	Licensed Representative (Provisional Licence)	4
WEEHUIZEN Maarten Pieter	AVU622	Licensed Representative (Provisional Licence)	1, 6
WESTON Simon Michael	AVU839	Licensed Representative (Provisional Licence)	4, 9
WHISKER Timothy James Robin	AVP794	Licensed Representative (Provisional Licence)	1, 2
WHITE Toby Felix Peter Harvey	AVZ350	Licensed Representative (Provisional Licence)	1, 2
WHITECROSS Stuart James	AVX037	Licensed Representative (Provisional Licence)	9
WILSON Edward Paul	AVV362	Licensed Representative (Provisional Licence)	1
WONG Arthur Siu On	AFP365	Licensed Representative (Provisional Licence)	4
WONG Chao Keng	AVU790	Licensed Representative (Provisional Licence)	1
WONG Chi Hang	AVW142	Licensed Representative (Provisional Licence)	1
WONG Ching Yee	AVP181	Licensed Representative (Provisional Licence)	1, 2
WONG Ching Yee	AVW456	Licensed Representative (Provisional Licence)	9
WONG Edwin Man Hoe	AVY118	Licensed Representative (Provisional Licence)	1
WONG Hoi Lam	AVZ280	Licensed Representative (Provisional Licence)	1
WONG Jie Lun Gerald	AVZ291	Licensed Representative (Provisional Licence)	4
WONG Jovi Chi Wing	AEF778	Licensed Representative (Provisional Licence)	6
WONG Kin Yi	AVX933	Licensed Representative (Provisional Licence)	1
WONG Kit Yee	ADF731	Licensed Representative (Provisional Licence)	1, 4
WONG Lok Shan	AVV333	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Man Tak	AVY339	Licensed Representative (Provisional Licence)	1, 2
WONG Ming Yeung	AVY052	Licensed Representative (Provisional Licence)	1
WONG Pui Man, Keith	AVW856	Licensed Representative (Provisional Licence)	1
WONG Sheung Hung	AVV325	Licensed Representative (Provisional Licence)	1
WONG Sze Yan	AFO839	Licensed Representative (Provisional Licence)	1, 2
WONG Ting Pong	AVV939	Licensed Representative (Provisional Licence)	1, 6
WONG Tony Tin Wing	ALZ369	Licensed Representative (Provisional Licence)	1, 4
WONG Tze Hin	AUW788	Licensed Representative (Provisional Licence)	2
WONG WAI LUN	ALQ942	Licensed Representative (Provisional Licence)	1
WONG Wan To	AVX499	Licensed Representative (Provisional Licence)	1
WONG Ying Keung	AVT453	Licensed Representative (Provisional Licence)	1
WONG Yuet Ming, Elaine	AVV611	Licensed Representative (Provisional Licence)	1, 4
WOO Yun Shing, Vincent	AQA799	Licensed Representative (Provisional Licence)	4, 9
WU Bin	AVM881	Licensed Representative (Provisional Licence)	4
WU Bun Lerd Bernard	APL242	Licensed Representative (Provisional Licence)	1, 2
WU Jie	AVV121	Licensed Representative (Provisional Licence)	1, 4, 6
WU Ka Ho, Stanley	ALQ855	Licensed Representative (Provisional Licence)	1, 4
Wong Yu Lung	ARG279	Licensed Representative (Provisional Licence)	1
XIA Tian	AVT108	Licensed Representative (Provisional Licence)	6
XIA Ziyang	AVU587	Licensed Representative (Provisional Licence)	4
XING Ke	AVT476	Licensed Representative (Provisional Licence)	6
XU Nuo	APJ126	Licensed Representative (Provisional Licence)	1, 6
XU Sheng	AVL544	Licensed Representative (Provisional Licence)	1, 4, 6
XUE Yuxing	AVY702	Licensed Representative (Provisional Licence)	1, 2
YAM Yim Ling, Camila	ATH214	Licensed Representative (Provisional Licence)	1
YAN Hao	AVV975	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Bei	AVV546	Licensed Representative (Provisional Licence)	1, 6
YANG Yingqiu	AKI594	Licensed Representative (Provisional Licence)	1, 2
YAO Wei	AVW558	Licensed Representative (Provisional Licence)	4
YAU Vincent Wing Tsuen	AVV901	Licensed Representative (Provisional Licence)	1, 2, 7
YAU Yuk Lai	AVU828	Licensed Representative (Provisional Licence)	1, 2
YE Lin	AVT190	Licensed Representative (Provisional Licence)	1, 6
YEUNG Chung Chiu	AVX303	Licensed Representative (Provisional Licence)	1, 2, 4
YEUNG King Fai	ASX278	Licensed Representative (Provisional Licence)	1
YEUNG Kwun Po	AVW215	Licensed Representative (Provisional Licence)	1, 4
YEUNG Mun Kin	AVY247	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	License Type	Types of Regulated Activity
YEUNG Tung Leong	AMH962	Licensed Representative (Provisional Licence)	3
YEUNG Wai Man	AVV140	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Wing Sim	AVW463	Licensed Representative (Provisional Licence)	1
YEUNG Yi Ting, Eunice	AVX421	Licensed Representative (Provisional Licence)	1
YEUNG Yiu Hong	AVY335	Licensed Representative (Provisional Licence)	4
YIN Li	AVU623	Licensed Representative (Provisional Licence)	1, 4, 6
YING Kok Fu, Dennis	AVW561	Licensed Representative (Provisional Licence)	1
YIP Hin Chiu	AVY582	Licensed Representative (Provisional Licence)	1
YIP Man Ching	AVX198	Licensed Representative (Provisional Licence)	1, 4
YOUK Won Seok	AVV762	Licensed Representative (Provisional Licence)	1
YU Huiling	AVL564	Licensed Representative (Provisional Licence)	1, 4, 6
YU Shanhu	AVW213	Licensed Representative (Provisional Licence)	4
YU So Man	AFG723	Licensed Representative (Provisional Licence)	1, 2
YU Wong Ho	AST697	Licensed Representative (Provisional Licence)	1
YU Yue	AVW185	Licensed Representative (Provisional Licence)	1, 4, 6
YUE Xiaowei	AVZ069	Licensed Representative (Provisional Licence)	1, 4, 6
YUEN Nathan Tin-Long	AVW177	Licensed Representative (Provisional Licence)	1
YUEN SUEN Ip Lan, Monita	AVY570	Licensed Representative (Provisional Licence)	1
YUNG Ka Mun, Karen	AVW500	Licensed Representative (Provisional Licence)	1, 2
YUNG Man Ho, Alfred	AVH937	Licensed Representative (Provisional Licence)	4, 9
YUSUI (BOURLET) Edward Kai	AVV364	Licensed Representative (Provisional Licence)	4
ZAU Chwan Yeu, Stanley	AEO870	Licensed Representative (Provisional Licence)	9
ZENG Yu	AVY463	Licensed Representative (Provisional Licence)	1, 6
ZHANG Lu Yang	AVT237	Licensed Representative (Provisional Licence)	6
ZHANG Lucy Xiyuan	AVW644	Licensed Representative (Provisional Licence)	1, 6
ZHANG Tian	AVX132	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yin	AVS035	Licensed Representative (Provisional Licence)	4
ZHANG Yini	AVT726	Licensed Representative (Provisional Licence)	1
ZHANG Yu	AVL084	Licensed Representative (Provisional Licence)	1, 4
ZHANG Zhen	AVV329	Licensed Representative (Provisional Licence)	1
ZHANG Zhi Hua	AVD057	Licensed Representative (Provisional Licence)	1
ZHAO Lunyu	AVX108	Licensed Representative (Provisional Licence)	1, 6
ZHAO Yanlin	AVY037	Licensed Representative (Provisional Licence)	4
ZHENG Yiwu	AVV326	Licensed Representative (Provisional Licence)	1, 2
ZHOU Kai	AVT474	Licensed Representative (Provisional Licence)	6
ZHOU Tian	AVZ801	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2010

CE Name	CE No.	License Type	Types of Regulated Activity
ZHOU Xiaoyin	ALK243	Licensed Representative (Provisional Licence)	1, 4
ZHU Jia	AVX806	Licensed Representative (Provisional Licence)	4
ZOLKIEWICZ Kevin John	AVX106	Licensed Representative (Provisional Licence)	1, 2
ADCOCK Richard Paul	AVW987	Licensed Representative (Temporary Licence)	4
BARNARD David	AVD117	Licensed Representative (Temporary Licence)	4
BETTONI-TRAUBE Ernesto	AQO506	Licensed Representative (Temporary Licence)	4
CHAPUT Nicolas, Philippe, Claude	AVX155	Licensed Representative (Temporary Licence)	4
CORNELL James Campbell	ART873	Licensed Representative (Temporary Licence)	1
DIAO Roy Wei-Chien	ATI404	Licensed Representative (Temporary Licence)	4
FAY Sharon Ellen	AMC652	Licensed Representative (Temporary Licence)	4
FITZGERALD Jonas Michael Sidlauskas	AVA153	Licensed Representative (Temporary Licence)	1
GOLDSMITH Christian Guy	ANL061	Licensed Representative (Temporary Licence)	4
GRAY Nicholas	AVZ123	Licensed Representative (Temporary Licence)	1
HAMURO Masayo	AVW066	Licensed Representative (Temporary Licence)	1, 2
IWASAWA Seiichiro	ARO888	Licensed Representative (Temporary Licence)	4
KIRILLOV Vladimir	AVX157	Licensed Representative (Temporary Licence)	4
LEE LI Elizabeth Shu	AUV482	Licensed Representative (Temporary Licence)	1
LIM Julian Frederick Yu	AVW353	Licensed Representative (Temporary Licence)	1
LIPPENS Bruno Andre Joseph	ARP187	Licensed Representative (Temporary Licence)	4
MC MAHON Neil Andrew	AWA194	Licensed Representative (Temporary Licence)	4
MISHRA Neelkanth	ALW780	Licensed Representative (Temporary Licence)	4
NAGAI Gilberto Hideo	ARH865	Licensed Representative (Temporary Licence)	4
OOI Boon Peng	AWA047	Licensed Representative (Temporary Licence)	1
OTSUKA Wataru	AUS196	Licensed Representative (Temporary Licence)	4
PALATIELLO Jason Matthew	AVL438	Licensed Representative (Temporary Licence)	1, 2
PARK Se Hyun	AUR862	Licensed Representative (Temporary Licence)	4
PSIOLA Zinaida	AWC166	Licensed Representative (Temporary Licence)	4
RIVALDI Marcus Patrick	AVY078	Licensed Representative (Temporary Licence)	1
SAKAGUCHI Hiroaki	AVH395	Licensed Representative (Temporary Licence)	1, 2
SHIGEMURA Kyoichiro	AQT050	Licensed Representative (Temporary Licence)	4
STREET Lee Alexander	AUE090	Licensed Representative (Temporary Licence)	1
THOMPSON Alistair James	AUV608	Licensed Representative (Temporary Licence)	4
TOY Anna Doi Shan	AWA210	Licensed Representative (Temporary Licence)	1
VOSPER Paul Andrew	ARU913	Licensed Representative (Temporary Licence)	4
WAKAO Seiji	AVY283	Licensed Representative (Temporary Licence)	4
YANAGISAWA Masakazu	ASL355	Licensed Representative (Temporary Licence)	1