

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Addec	Types of Regulated Activity Removed
BTG Pactual Asia Limited	ASI051	Licensed Corporation	1	
Environmental Investment Services Asia Limited	ASU465	Licensed Corporation	4	
First Shanghai Securities Limited	ABV488	Licensed Corporation		9
Merrill Lynch Far East Limited	AAC352	Licensed Corporation	2	
Oriental Dynasty Asset Management (HK) Company Limited	AUK449	Licensed Corporation	4	
SG Securities (HK) Limited	AAD941	Licensed Corporation	4	
Yorkville Advisors HK Limited	ASD850	Licensed Corporation	6	
AU Pok Ho, Jeffrey	AOD944	Licensed Representative	7	
AU YANG Jeff Kwok Wai	APZ786	Licensed Representative	1, 9	
BESSON Guillaume Gerard Marie	ALI003	Licensed Representative		7
BRUNGS Ian Heinrich	ARS772	Licensed Representative	6	
BURTON Scot Andrew	AOK963	Licensed Representative		4, 7
CALDERON Jeffrey	ALQ676	Licensed Representative		4
CHAN Cathleen Karen	ADR821	Licensed Representative	9	
CHAN Cham Kwong	AAJ550	Licensed Representative	1	4
CHAN Cheuk Yiu	AVI421	Licensed Representative	2, 3	
CHAN Chun Kit	AVC347	Licensed Representative	2	
CHAN Chun Yau	AHQ101	Licensed Representative	3	
CHAN Chun Yin, Christopher	ASO895	Licensed Representative	3	
CHAN Hau Man	APJ234	Licensed Representative	4	2
CHAN Ho Ling, Fiona	ANJ013	Licensed Representative	1	4
CHAN Ka Ki	AJH032	Licensed Representative		2
CHAN Kei	AEY425	Licensed Representative	3	
CHAN Keng Fai	AMF902	Licensed Representative	2	
CHAN Kwan Yiu, Nelson	AUS154	Licensed Representative	4	2
CHAN Kwok Leung, Dennis	AEO546	Licensed Representative		7
CHAN Man Fai	AVC346	Licensed Representative	3	
CHAN Man Yeuk, Ringo	AEX323	Licensed Representative	4	
CHAN Michael	AHN553	Licensed Representative	2	
CHAN Ngai Ka	AOY222	Licensed Representative		4
CHAN Tak Hong	AUZ339	Licensed Representative	4	
CHAN Tsz Kam	APC672	Licensed Representative	1	
CHAN Wai Kit, Winkins	AQD954	Licensed Representative	2	
CHAN Wai Shan	ANX984	Licensed Representative	1	
CHAN Wing Kin	AOH166	Licensed Representative	4	
CHAN Yan Wing, Andy	ADJ208	Licensed Representative		2, 3, 4, 5
CHAN Yee Man	AEN676	Licensed Representative	1	
CHAN Ying Hung	ANS302	Licensed Representative	4	
CHAU Ching Ha	APF193	Licensed Representative	1	
CHAU Chung Yin, Samiel	AAV692	Licensed Representative		4
CHAU Ming Sze	ANQ735	Licensed Representative	1, 9	
CHAU Yee Wa	AGN622	Licensed Representative	1	
CHEN Kin Shing	ACX640	Licensed Representative	9	
CHEN Pei-Hui, Kathy	ALY540	Licensed Representative		1
CHEN Weiwei	ATY791	Licensed Representative	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Addec	Types of Regulated Activity Removed
CHEN Yu	AQS372	Licensed Representative	9	
CHENG Arthur	AFX946	Licensed Representative		1
CHENG Kam Luen	AGQ976	Licensed Representative	1	
CHENG King Hong	ATD295	Licensed Representative	9	1
CHENG Tze Ying, Veronica	ANH013	Licensed Representative		9
CHENG Yu Man	AQN907	Licensed Representative		1, 4
CHENG Yuen Chiu	AQJ100	Licensed Representative		3
CHEUNG Catherine Ka Man	AMN773	Licensed Representative	1	4, 9
CHEUNG Chi Wing	ADK810	Licensed Representative	1	
CHEUNG Chung Kit	AFS786	Licensed Representative	2	
CHEUNG Ka Hang	AUW248	Licensed Representative	4, 5	
CHEUNG Tomo-hito	AUS139	Licensed Representative	2	
CHEUNG Wai Kit	AES388	Licensed Representative		2
CHEUNG Wang	AMF506	Licensed Representative		2
CHEUNG Wing Sing	ATM574	Licensed Representative	2	
CHEUNG Wing Wah, Jeff	AEG141	Licensed Representative	4	
CHIN Yee Man	ANH625	Licensed Representative	9	4
CHING Tsun Lung	AUA954	Licensed Representative	1, 3	
CHIU Chi Yu Jessie	AIY536	Licensed Representative	1	
CHNG Stephanie Pei Pei	AMY781	Licensed Representative		1
CHOI Chi Ho	AUS180	Licensed Representative	3	
CHOI Kar Lam	AVH849	Licensed Representative		1
CHOI Shui Man	APD246	Licensed Representative		4
CHOU Hongsong	APA703	Licensed Representative	1	4
CHOU Si Li Bobbie	AMX431	Licensed Representative	2	
CHOW Kam Hung	ARH180	Licensed Representative		4
CHOW King Ho	AAD577	Licensed Representative	2	
CHU Chun Yi	ARM915	Licensed Representative	3	
CHU Pak Ning, Patrick	AEN687	Licensed Representative	2	
CHU Suk Ling, Irene	AML729	Licensed Representative	9	1
CHU Wai Ling	APG292	Licensed Representative	2	
CHUA Tsz Lan	AAI500	Licensed Representative		1, 2
CHUANG I-Chu	ANW396	Licensed Representative		4
CHUI Wai Hang	AGN446	Licensed Representative	1	
CUI Wei	AEN552	Licensed Representative	4	
DA CRUZ Antonia Maria	AQD991	Licensed Representative	1	
DENG Xi	ARD381	Licensed Representative	1	4
DIALDAS Nitin	AEU196	Licensed Representative	9	
DU Qingwen	ATB497	Licensed Representative	2	
ERSOY Kurt Hakan	AFF902	Licensed Representative		1, 2, 7
FAN Wai Man, Ana	ACQ100	Licensed Representative	9	
FASSO Anthony Gerard	ACR987	Licensed Representative		5
FISK Eliot James Hywel	AFG740	Licensed Representative	1	
FOK Yiu Tung	AHG563	Licensed Representative	4	
FONG Wang Yip, Jeffrey	ANT546	Licensed Representative		2

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Addec	Types of Regulated Activity Removed
FU Hsiang Chuan	ALR024	Licensed Representative	2	4
FUNG Chor Wai	ARN728	Licensed Representative	1	
FUNG Janet	ANX236	Licensed Representative	2, 5	
FUNG Tat Hei	ANL080	Licensed Representative	1	
FUNG Wai Kuen	ALN600	Licensed Representative		2
FUNG Yiu Fai	AFD152	Licensed Representative	3	
GONG Rui	AUX420	Licensed Representative	6	1, 2
GUO Yiqiang	ANN262	Licensed Representative		1
HO Chi Lun	ARR539	Licensed Representative	1, 2	
HO Chiu Keung	AFV799	Licensed Representative		4
HO Hiu Nam	AOH066	Licensed Representative	1	4
HO Kwong Kiu	APU675	Licensed Representative	4, 9	
HO Wai Lap	AGW562	Licensed Representative		4
HO Wai Ping, Regina	AVM917	Licensed Representative	2	
HU Yunxiang	AVH604	Licensed Representative	3	
HU Yuting	AMK006	Licensed Representative	6	
HUANG Ling Kit	AVA555	Licensed Representative	2	
HUI Sum Yuet Barbara	ARH946	Licensed Representative		2, 5
HUI Wan Fai	AGY656	Licensed Representative	1, 4	
HUNG Cheung Wai	AUD695	Licensed Representative	4	
HUNG Chung Ming	AGH023	Licensed Representative	1	4
HUO Ran	AOI875	Licensed Representative		1
IP Kong Fung	AUI998	Licensed Representative	2	
IP Suk Man, Ruthia	AMC201	Licensed Representative	4	
JOHNSON Pekka David	ADL598	Licensed Representative	2	
JURICK Dagmar Claudia	APF024	Licensed Representative	1	
KAM Chi Yan, Norbert	AED823	Licensed Representative	1	
KAN Wing Fai	AJM659	Licensed Representative	2	
KEOY Soo Lik	ACX414	Licensed Representative		4
KERRIDGE Hugh Copland	ALW562	Licensed Representative		4
KING Chang Wei, Geoffrey	AIN737	Licensed Representative		4
KIR Eddie Jen	AOD040	Licensed Representative		1, 4
KONG Fu Keung	AMH420	Licensed Representative	1	
KWAN Kit Yee	AFN935	Licensed Representative		4
KWAN Leung Chiu, Alvin	AMU582	Licensed Representative	1	
KWAN Man Kit, Edmond	AOR644	Licensed Representative	1	
KWOK Yan Ming	APC521	Licensed Representative		1, 4
KWOK Yik Man, Joyce	ATS268	Licensed Representative		2
KWONG Tony Yu Chun	AKW723	Licensed Representative	6	
KWONG Wai Him	ABJ688	Licensed Representative		4, 5
LAI Kin Chuen	AQE289	Licensed Representative		4
LAI Ping Wa	AKY451	Licensed Representative	1	4
LAM Chi Lap, James	ANJ604	Licensed Representative		1
LAM Ching Yin	AOK261	Licensed Representative		2
LAM Hoi Sing	AUR383	Licensed Representative		2

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Addec	Types of Regulated Activity Removed
LAM Ka Wai	ARL775	Licensed Representative		1, 3, 4, 5
LAM Kit Wai	ATW157	Licensed Representative		2
LAM Simon Yee-Kai	AET081	Licensed Representative	9	
LAM Sui Yan	AMQ506	Licensed Representative		4
LAM Yuk Ming	AOE457	Licensed Representative		3
LAU Cheung Chun	AQM245	Licensed Representative	4	
LAU Chi Chiu, Marcus	AAC292	Licensed Representative	7	
LAU Chi Hung	AVD366	Licensed Representative	4	
LAU Chun Keung	ADR543	Licensed Representative		2
LAU Dorothy Kwok Cheung	AEY067	Licensed Representative	1, 2	9
LAU Ka Chung	AVL151	Licensed Representative	2	
LAU Kam Fai	ANQ965	Licensed Representative	1	4
LAU Kin To, Philip	AUB584	Licensed Representative	2	
LAU Kwok Hung, Eric	AOG200	Licensed Representative	4	
LAU Sau Fung	AVA603	Licensed Representative	1	4
LAU Siu Kau	ATN414	Licensed Representative		4
LAU Wai Han, Lilian	ALB597	Licensed Representative	2	
LAU Wing Tong	AKV006	Licensed Representative	1	4
LAU Yee Man	APA404	Licensed Representative	4, 9	7
LAVIS Adam Geoffrey	AOR778	Licensed Representative	1, 2	9
LAW Ho Wing, Ernest	AGE012	Licensed Representative	1	4
LAW Kam Ho	AFV962	Licensed Representative	2	
LAW Sung Fai, Poly	AMX363	Licensed Representative	2	
LEE Cheuk Ming, Louis	ACK304	Licensed Representative	3	
LEE Chiu Wai	ANZ705	Licensed Representative		4
LEE Hyun Woo	ANH636	Licensed Representative	6	
LEE Jay Hyun	ALF534	Licensed Representative		1
LEE Jeonghee	AMT714	Licensed Representative	9	1
LEE Ka Ho, Ronald	ATX677	Licensed Representative	1, 2	4, 9
LEE Ka Wo	AUX259	Licensed Representative	4	
LEE Kin Chun	ARH236	Licensed Representative	2	4
LEE Man Sa	ASZ376	Licensed Representative		4
LEE May Lun	ASH891	Licensed Representative	3	
LEE Siu Kuen	ACK153	Licensed Representative	4	
LEE Suk Mun	AJD183	Licensed Representative	1	4
LEE Yuet Ming	APZ372	Licensed Representative	4, 5	
LENTCHNER Jesse Hart	ADS586	Licensed Representative		2, 5
LEUNG Alex Kwai Ching	ANX875	Licensed Representative		2
LEUNG Ching Hin	AOC977	Licensed Representative	1	4
LEUNG Chiu Chung	ATW111	Licensed Representative	1	4
LEUNG Chun Keung	AFG667	Licensed Representative	1	4, 9
LEUNG Ho Ching	ARW218	Licensed Representative	2	
LEUNG Ho Yin, Scott	ABK257	Licensed Representative		3
LEUNG Ka Wai	ARH345	Licensed Representative	2	
LEUNG Lilian	ACU528	Licensed Representative	3	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Addec	Types of Regulated Activity Removed
LEUNG Pang	ADY167	Licensed Representative	1	
LEUNG Wai Kin	ARM217	Licensed Representative		2
LEUNG Wing Chi	AOB275	Licensed Representative	9	
LEUNG Yee Kwan, Carmen	AGN140	Licensed Representative	1	
LEUNG Yu Hua, Catherine	ALG187	Licensed Representative	1	
LEUNG Yu San	AVD097	Licensed Representative	2	
LI Ming Kwok	ADS635	Licensed Representative	2, 7	
LI Shing Yum	ACF077	Licensed Representative		2
LIM Pak Fu	AAV508	Licensed Representative		1
LIN Kit Mei	AOU196	Licensed Representative	1	
LING Tsz King	AOA405	Licensed Representative	1	4
LING Yuet Ming, Milly	AKW615	Licensed Representative		4
LIU Hsing Wei	ADW849	Licensed Representative		1
LIU Qian	ARV609	Licensed Representative		1, 2
LIU Wan Hoi	ASK547	Licensed Representative	2	
LIU Xiaolu	ANZ784	Licensed Representative	4	
LIU Youhua	ATW985	Licensed Representative	2	
LIU Zhihong	AEC891	Licensed Representative	1	9
LO Wai Kwok Belinda	AUC170	Licensed Representative	7	2
LU Ning	APU507	Licensed Representative	1	
LU Qinghua	ARM848	Licensed Representative	1	
LUI Tsz Fong	AUK119	Licensed Representative	3	
LUO Chen	ALI695	Licensed Representative	4	
LUO Shan	ARJ350	Licensed Representative		1
MA Pui Ka	ATB632	Licensed Representative	2	
MAK Ka Sing	AFA762	Licensed Representative	4	
MAK Terence Chung Sing	AVK467	Licensed Representative	2, 3	
MAK To Man	ALL491	Licensed Representative		4
MAN Peter Kum-Chung	AFD980	Licensed Representative		4, 7
MAN Tat Pan	ARH997	Licensed Representative		5
MANN Chi Tat	ALN531	Licensed Representative		4, 7
MAQSOOD Omer	AUQ290	Licensed Representative		1
MARKOSOV Suren Gueorgui	AMI359	Licensed Representative	1	4
MARNICK Martin Brendan	AEF576	Licensed Representative		9
MCCANN Neil Anthony	ACR495	Licensed Representative	4	7
MCQUEEN Lee Michael	ACR744	Licensed Representative		2
MIYAMOTO Kenji	APU512	Licensed Representative		1, 2
MURPHY Donough Thomas	AOX162	Licensed Representative	2	
NAM Dong Soo	AOY486	Licensed Representative		2
NG Chi Hang	AJ1713	Licensed Representative	1	
NG Chung Kwong	AOD605	Licensed Representative		2
NG Hong Wing	ADU475	Licensed Representative	3	
NG Siu Kit	ASZ359	Licensed Representative		2
NG Wai Chung, Anthony	APJ170	Licensed Representative		4
NG Wai Yin, Ray	AVA352	Licensed Representative	3	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Addec	Types of Regulated Activity Removed
NG Wing Cheong	AVE043	Licensed Representative	9	
NG Yuet Ha	AHZ723	Licensed Representative		2
NGAI Chi Man, Jeffrey	API203	Licensed Representative		2
NGAI Hoi Chun	AIH608	Licensed Representative	2	
NIHALANI Rajesh Ghansham	AFU573	Licensed Representative	1	9
PABLO Gerardo Gonzalez	AFZ873	Licensed Representative		2, 7
PAK Chi Wing	AGM852	Licensed Representative	2	
PANG Chun Fai	AQJ528	Licensed Representative	1, 4	2, 3
PANG Veronica Lai Mei	AIC338	Licensed Representative	4, 6	
PARRY Gavin Kim	AGJ240	Licensed Representative		2
PIDGEON Andrew Geoffrey	APZ788	Licensed Representative	2, 7	
POON Lai Fan, Fanny	AQE171	Licensed Representative	3	
POON Po Lan	ABV535	Licensed Representative	4	
POULIOT Bernard	AAC651	Licensed Representative	1	
PUN Hong Hai	AHS951	Licensed Representative	4	
ROY Karine	AMJ983	Licensed Representative	7	
SEM Hector	APC670	Licensed Representative		4, 7
SHAN Juan	ARS452	Licensed Representative		2
SHI Gaoyang	AUS417	Licensed Representative	1	4
SHIU Mun Yee	AGB305	Licensed Representative	1	4
SHIU Siu Tao	AEL258	Licensed Representative		6
SHU Shan	ATY750	Licensed Representative	3, 4	
SHUM Anthony Chi-chung	AFR216	Licensed Representative	4	
SHUM Lap Chun, Annie	ALN110	Licensed Representative	9	
SHUM Si Ki	AAJ530	Licensed Representative	1	
SIT Chee Hin, Max	AJB407	Licensed Representative	1	4
SO Cho Ho	ALZ561	Licensed Representative		2
SO Hing Lun	AVH272	Licensed Representative	3	
SO Lap Hang, Alan	AFY193	Licensed Representative	2	
SO Regina Ka Ying	ATT109	Licensed Representative	4	
SO Wai Nin, William	ATB506	Licensed Representative	1, 4, 9	3
SO Wing Shan, Kennie	AAH046	Licensed Representative	4	
SONG Peng	APP441	Licensed Representative		4
STRONG Stewart David	APB640	Licensed Representative		4, 7
SUEN Pik Wang	AVG406	Licensed Representative	7	
SUEN Yi Nga	AQO247	Licensed Representative	2, 4	
TAI Kwong Wah	ACH271	Licensed Representative		2
TAM Yuk Yin, Denise	AQW022	Licensed Representative	2	
TAN Siow Hong, Terrance	ANP351	Licensed Representative	1	
TAN Wie Hon, Derrick	AMP203	Licensed Representative		4
TAN Ye Hin, David	AGG766	Licensed Representative	1, 4	
TANG Mingzhu	AQQ678	Licensed Representative		1
TANG Siu Kwan, Joan	ANN279	Licensed Representative	4	
TANG Wai Yin	AUD893	Licensed Representative	3	
TANG Yinan	ARH247	Licensed Representative	2	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Addec	Types of Regulated Activity Removed
TEH Chou Yuen	ATY066	Licensed Representative	2	
TIAN Yuan	APU609	Licensed Representative	4	
TIN Yeung Yeung, Annie	AOA111	Licensed Representative	7	4, 6
TONG Hin Jo	ALA312	Licensed Representative	1	
TSE Albert Yeuk Kuk	AUQ329	Licensed Representative	1	
TSE Suk Yee, Bonnie	AHF975	Licensed Representative		4
TSENG Tzu Wen, Maryann	AKI550	Licensed Representative	2, 7	
TSUI Hon Man, Herman	ANB116	Licensed Representative	7	
TSUI Yee Him	AQM133	Licensed Representative	3	
VALENTINE Benjamin Neil	ATQ905	Licensed Representative	7	
VISWANATH Ramesh	AUZ373	Licensed Representative	1	4
WANG Chao	ATO947	Licensed Representative	1	
WANG Yifei	ATE786	Licensed Representative	4	
WANG Zhihua	ARI402	Licensed Representative	4	
WATANABE Hiroaki	AEJ688	Licensed Representative		4, 7
WEN Danielle	ATS171	Licensed Representative	7	4, 6
WONG Fai Wong	ASF489	Licensed Representative	1	4
WONG Ho Fan, Priscilla	AVJ285	Licensed Representative	2	
WONG Ka Man	AOG858	Licensed Representative		4
WONG Kok Hung	AMP461	Licensed Representative	4, 6	
WONG Kwok On	AOY767	Licensed Representative	3	
WONG Lo Suen	AQE310	Licensed Representative		4
WONG Po Yee	AJE274	Licensed Representative	1, 9	
WONG Siu Ching	AOX654	Licensed Representative	4	
WONG Tak Yee, Theresa	AAI332	Licensed Representative	7	4, 6
WONG Tsui Fa	ANR003	Licensed Representative		2
WONG Wai Ching, Kenman	ADJ032	Licensed Representative	4	
WONG William	AQQ330	Licensed Representative	1	4
WONG Wing Shing	ATY477	Licensed Representative	4	
WOO King Tin, Jacky	AFY196	Licensed Representative		4
WOO Man Yip, Justin	AFZ875	Licensed Representative		1, 2
WU Kong Hoi	AND165	Licensed Representative	2	
WU Tak Lung	AIR119	Licensed Representative	9	
XIA Xiaosui	AQA110	Licensed Representative	9	2, 5
XIONG Tao	AMI069	Licensed Representative		9
XU Enyu	ARI896	Licensed Representative	9	1, 6
XU Jinlong	ART863	Licensed Representative	2	
XU Ting Li	APH128	Licensed Representative	4, 6	
YAO Yao	ALK994	Licensed Representative	1	
YAU Man Li	AVE046	Licensed Representative	2	
YAU Nga Sze, Chris	APL969	Licensed Representative	9	
YEE Chun Fank	ALU230	Licensed Representative	2	
YEUNG Lu	ANT771	Licensed Representative		1
YEUNG Pak Keung	ANX912	Licensed Representative	3	
YEUNG Shiu Bong, Jazz	APY599	Licensed Representative	1	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Addec	Types of Regulated Activity Removed
YIM Kai Chung	AAQ181	Licensed Representative		1
YIP Kin Kwong	AQH955	Licensed Representative	2	
YIP Man Wai	AUM750	Licensed Representative	4	
YIP Yiu Kwok, Alex	AGQ118	Licensed Representative		4
YU Ching	AMJ514	Licensed Representative		3
YU Hau Hung	AFW370	Licensed Representative	1	
YU Ka Fan	AUR829	Licensed Representative	5	
YUEN Chi Kin	AOG863	Licensed Representative		4
YUEN Sze Ling	APR853	Licensed Representative		6
YUEN Wai Man	AQG916	Licensed Representative		1, 3, 5
ZHOU Cheng	AUC405	Licensed Representative	1	
ZHOU Chunhua	ARO145	Licensed Representative		4
ZHU Kun	ATT128	Licensed Representative	1	4
ZHU Xuejun	ANQ582	Licensed Representative	1	
AZIZ Abid	APR931	Licensed Representative - Responsible Officer	4	
BANNO Katsukuni	ATR464	Licensed Representative - Responsible Officer	2	
CHAN Anthony Jen Haw	AGN913	Licensed Representative - Responsible Officer	6	
CHAN Chung Keung, Jackson	AEH616	Licensed Representative - Responsible Officer	4	
CHAN Henry	AMR204	Licensed Representative - Responsible Officer	2	
CHAN Wai-Shin	AUA469	Licensed Representative - Responsible Officer	4	
CHOU Hsin-Chung	APL089	Licensed Representative - Responsible Officer	2	
DROUET Frank Gerard Maurice	AED766	Licensed Representative - Responsible Officer	4	
EICKE Gerald Charles	ASD851	Licensed Representative - Responsible Officer	6	
ERSOY Kurt Hakan	AFF902	Licensed Representative - Responsible Officer	9	
FOO Yin Pheng	AUQ510	Licensed Representative - Responsible Officer	1	
GARNIER Yann, Arthur, David, Pierre	ASE643	Licensed Representative - Responsible Officer	4	
HAAGEN Anders	ASI052	Licensed Representative - Responsible Officer	1	
HIGGS Jeremy James	AAA576	Licensed Representative - Responsible Officer	4	
HO Wai Yip, Philip	AST027	Licensed Representative - Responsible Officer	6	
HUANG Songbo	ARS092	Licensed Representative - Responsible Officer	5	
HUI Chi Yuet	ACL362	Licensed Representative - Responsible Officer		6
KWAN Angelina Agnes	AEH541	Licensed Representative - Responsible Officer		3
LEE Wai Nam	ACI717	Licensed Representative - Responsible Officer	2	
LO Tin Po	ADN296	Licensed Representative - Responsible Officer	2	
MARNICK Martin Brendan	AEF576	Licensed Representative - Responsible Officer	1	
MIYAMOTO Kenji	APU512	Licensed Representative - Responsible Officer	9	
MOLINA George H	AHO444	Licensed Representative - Responsible Officer	1	
PRESS Matthew	ATI127	Licensed Representative - Responsible Officer	7	
SHUM Chun Ying	ABE445	Licensed Representative - Responsible Officer	9	
WU Arthur	AAM302	Licensed Representative - Responsible Officer	9	
YIM Kai Chung	AAQ181	Licensed Representative - Responsible Officer	9	
YIP James	ADY915	Licensed Representative - Responsible Officer	9	
ZHANG Yu	AQS656	Licensed Representative - Responsible Officer	5	
HO King Sun, Simon	AUB083	Licensed Representative (Provisional Licence)	1	9
National Australia Bank Limited	AAO169	Registered Institution		6