

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2009

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Emperor Capital Limited	ADG809	Licensed Corporation	1	
Guangdong Securities Limited	AAI195	Licensed Corporation	2	
TT International (Hong Kong) Limited	AJO023	Licensed Corporation	1, 2	
ACAR Capan	AJO226	Licensed Representative	2	
AN Zhen	APB220	Licensed Representative		1, 4
BENDALL Mark Adrian	ASI295	Licensed Representative	1	
BURGESS Christopher Todd	AMN755	Licensed Representative		5, 9
CAROL Clayton Root	ANU341	Licensed Representative	4	
CHAN Benjamin Yu-ki	ALW648	Licensed Representative	1, 2	
CHAN Cheuk Yin	ANH195	Licensed Representative	4, 5	
CHAN Hiu Kwan	AHJ994	Licensed Representative	9	1, 2
CHAN Ho Ming	ATS071	Licensed Representative	5	
CHAN Ho Sang	AON737	Licensed Representative	2	
CHAN Hop Mo	ASO653	Licensed Representative	1	
CHAN Ka Lai	ASZ668	Licensed Representative		4
CHAN Ka Lei	AGH991	Licensed Representative		9
CHAN Ka Leung, Kevin	ADW023	Licensed Representative		1
CHAN Ka Wa	AOX337	Licensed Representative	2	
CHAN Kei Chi	ASD490	Licensed Representative		2
CHAN Kin Fung, Helen	AFU171	Licensed Representative	2	
CHAN Kwok Keung	AAC262	Licensed Representative		4, 5, 9
CHAN Lai Sha	AMS612	Licensed Representative	1	
CHAN Ling Man, Anita	AGH228	Licensed Representative	2	
CHAN Ling Wah, Edward	AHR464	Licensed Representative	9	
CHAN Pui Ka	ARR599	Licensed Representative	1	4, 9
CHAN Wing Kei	APZ434	Licensed Representative		4
CHAN Yan	AOG953	Licensed Representative	9	
CHANG Pui Shan, Melissa	ARN180	Licensed Representative	6	
CHANG Randy Chung-Hsia	AFV847	Licensed Representative	4	
CHAU Shannon Ka Yan	AMQ355	Licensed Representative	1	9
CHAU Wai Yip	APL316	Licensed Representative	1	
CHEN Bonnie	ARG447	Licensed Representative	1	
CHEN Su Ling	ANX197	Licensed Representative	9	
CHEN Yen Hwa	AMJ218	Licensed Representative		4
CHEN Yuzhe	ARJ839	Licensed Representative	1	
CHENG Chak Nam	ALZ880	Licensed Representative	1	4
CHENG Chi Ho	AOV370	Licensed Representative	1	4
CHENG Chuk Chung, Tony	AOG228	Licensed Representative	1	
CHENG Hiu Yan, Alan	ANS223	Licensed Representative	2	
CHENG Hung Tat	AUA676	Licensed Representative	9	1
CHENG Man Wai	APV050	Licensed Representative	1	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHENG Tak Cheung	APO541	Licensed Representative		2
CHENG Ye	AQD868	Licensed Representative		2
CHENG Yeung	ATI147	Licensed Representative	4	
CHEUNG Chi Tao, Ernest	ATX298	Licensed Representative	2	
CHEUNG Kar Po, Carol	ANP075	Licensed Representative	1	
CHEUNG Man Ho	ATB803	Licensed Representative	2	
CHEUNG Ming Fung	APH868	Licensed Representative	1	
CHEUNG Tsz Nang	ALZ898	Licensed Representative	1	4
CHEUNG Wai Kit, Andrew	AFR469	Licensed Representative	4	
CHIA An-pei	ARP099	Licensed Representative		9
CHIN Stephen Cho Shun	AGK148	Licensed Representative	2	
CHIU Yu Ting	AMM095	Licensed Representative		4
CHONG Pui Ling	ARH347	Licensed Representative	2	
CHONG Shi-Lin, Corinne	ARJ830	Licensed Representative	1	
CHOW Alice Wai Yin	AMO986	Licensed Representative		4
CHOW Yuen Lam, Sharon	ANE701	Licensed Representative	1	
CHU Ellis Bih-Hsin	APR576	Licensed Representative	1	
CHU Siu Bun	ATX629	Licensed Representative	2	
CHUA Tsz Lan	AAI500	Licensed Representative	1, 2	
CHUA Yu Min	AHN068	Licensed Representative	1	
CHUANG Kuo-Chang, Terry	ALS543	Licensed Representative		9
CHUNG Kwok Wai	AAI578	Licensed Representative	1	4, 9
FAN Pui Man, Raymond	ANP628	Licensed Representative		2
FANG Pei Wei	AGB884	Licensed Representative	2	
FANG Tsai-Ling	AMO144	Licensed Representative	2	
FELDKAMP Geoffrey Frederick	AQJ780	Licensed Representative	4	
FONG Hiu Ming	AHM812	Licensed Representative	4, 6	
FONG Kai Yin Terruce	ATV921	Licensed Representative	2, 5	
FONG Kam Hung	AML472	Licensed Representative	1	
FUNG Kin Leung	APP362	Licensed Representative	2	
FUNG Mei Yan, May	ADI453	Licensed Representative	2	
GAITONDE Ghanashyam Lalji	AHE512	Licensed Representative	1	
GAO Mei	AFS775	Licensed Representative		6
GUPTA Ashutosh	AJE577	Licensed Representative	4, 6	
HO Chi Yan	APB565	Licensed Representative	2	
HOLT Sue Lin	AJK539	Licensed Representative	4	
HONG Patrick	AHA591	Licensed Representative	4	
HORA Neeraj	ADB507	Licensed Representative	4	
HUANG Eddie Chung-chih	AKU882	Licensed Representative	4, 9	1
HUANG Qun	AJD330	Licensed Representative	1	4
HUNG Hing Kit, Jackie	ALU753	Licensed Representative	2	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
IP Wai Lun, William	AEK747	Licensed Representative		4
KEUNG Man Sang	AAD287	Licensed Representative	7	
KIM Chul Young	ANA066	Licensed Representative	1	
KO Pok Man, Brian	AND442	Licensed Representative	1	
KOH Dae Suk	AQT252	Licensed Representative	4	
KONG Ki Fung	AMF054	Licensed Representative		9
KONG Wai Shu	AQZ733	Licensed Representative	2	
KUNG Robert Yi Ming	ASZ686	Licensed Representative		1, 2
KUNG Yu Hang	AME200	Licensed Representative	2	
KWAI Ting Kong	AOG999	Licensed Representative	4	
KWOK Hon Kuen	AER962	Licensed Representative	2	
KWOK Tun Wai	ALB797	Licensed Representative	2	
KWOK Wai Chu, Cindy	AGE351	Licensed Representative		9
KWOK Wai Kit, George	ATJ061	Licensed Representative	2	
KWONG Dak Shing	ABY691	Licensed Representative	1	
LAHIRI Prithvijit	AOV401	Licensed Representative	1	
LAI Chi Wang, Albert	APL326	Licensed Representative	2	
LAI Chung Wing	ABI012	Licensed Representative	2	
LAI Kai Tsun, Johnson	ARG205	Licensed Representative	4	
LAI Kwok Cheung	AFV352	Licensed Representative		1
LAI Pei Chung	ANW203	Licensed Representative	1	
LAI Pei-Chun	ARO383	Licensed Representative	1	
LAM Chi Chung, Michael	AQJ030	Licensed Representative	3	
LAM Chi Yuen	ASQ011	Licensed Representative	2	
LAM Chit Fai, Ashley	AMB071	Licensed Representative	4	
LAM King Kui, Clive	AME106	Licensed Representative		2, 7
LAM Kwong Ming	AGJ135	Licensed Representative	2	
LAM Si Yang, Calvin	AOO266	Licensed Representative	1	9
LAM Sui Fan	AFS495	Licensed Representative	1	
LAM Wing Hei	ATB985	Licensed Representative		2, 4
LAU Fu Wing	ANH674	Licensed Representative	1, 2	9
LAU Hiu Hoi	ATP179	Licensed Representative	1	
LAU Ka Chun	AMM078	Licensed Representative	2	
LAU Tak Leung	ARO884	Licensed Representative	2	
LAU Wing Sze	ALW556	Licensed Representative	1	
LAU Ying Kit	AFA706	Licensed Representative	1	4, 6
LEE Chia-Ling	ARN822	Licensed Representative	1	
LEE Fung Ming	AJD918	Licensed Representative	9	
LEE James Sungho	AMF524	Licensed Representative	4	
LEE Mei Ha, Ida	AIG166	Licensed Representative	9	
LEE Theodore Frederick	AFT555	Licensed Representative	1	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEE Wai Keung	ACK897	Licensed Representative	1	
LEONG Kai Soi	ATI419	Licensed Representative	1	
LEUNG Curtis Yan Wing	ANT253	Licensed Representative	1	
LEUNG Ho Yan, Catherine	AOD612	Licensed Representative	1	
LEUNG Hon Ki	AGN288	Licensed Representative	1	
LEUNG Kin Wah, Kelvin	AOQ573	Licensed Representative	1	
LI Chun Kei	AKJ732	Licensed Representative		3
LI Kim Ho	ATT901	Licensed Representative	2	
LI Ying Hung	ACP023	Licensed Representative	2	
LI Yirong	APL208	Licensed Representative	4	
LIM Edmund Kim San	AJE583	Licensed Representative	1	
Lim Sau Fat	ANJ682	Licensed Representative		4
LIN Ka Chun	ANR976	Licensed Representative		1
LO Chin Cheung	APC270	Licensed Representative	1	
LO Ka Chiu	AEQ415	Licensed Representative		4
LO Mun Keung	AFV442	Licensed Representative	4	
LOK Wan Wai, Sam	AAK140	Licensed Representative		4
LUN Carrie	AIR700	Licensed Representative	2	
MA Suet Hung	AAB801	Licensed Representative	2	
MAGLIOCCO Matthew Thomas	ARJ092	Licensed Representative	1	
MALOY Arthur Chong Wah	ARJ093	Licensed Representative	1	
MASON Matthew James	ANZ767	Licensed Representative	1	
MCKEEVER Stephen James	AFH236	Licensed Representative		2
MITTAL Raghav	ANG800	Licensed Representative	2, 7	
MO Chung Han, Priscilla	AGI235	Licensed Representative		9
MOK Ka Kit	ASL405	Licensed Representative	5	
MOORE Ashley Spencer	ASD881	Licensed Representative	2	1
MUI Chok Wah	ABX544	Licensed Representative		5, 9
NAI Te-Ling	AML731	Licensed Representative	1	
NG Fan Ching	ASM302	Licensed Representative	9	
NG Kit Yee, Kitty	ARH868	Licensed Representative		4
NGAN Miu Kam	AMJ571	Licensed Representative		1
NI Kwok Kuen, Alex	AOA109	Licensed Representative	5	
OH Jae Chang	ARO384	Licensed Representative	1	
ORDERS Richard Wallace d'Arcy	AAI719	Licensed Representative		1
PANG Chi Fung	APL741	Licensed Representative		1
PHAN Nhac Gia	ASY646	Licensed Representative	1, 2	1, 2
POON Chun Wing	ATR652	Licensed Representative	2	
POON Hui Ling	ARP007	Licensed Representative		6
POON Lai Man	AUA680	Licensed Representative	2	
PUN Hong Hai	AHS951	Licensed Representative		3

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ROBERTS Christopher Fenton	AAD528	Licensed Representative		5, 9
SEN Tung Ning	AFI668	Licensed Representative	1	
SHEN Dehua	AMU085	Licensed Representative	4	
SHEN Tsien Wen, Charmaine	AEN546	Licensed Representative	4	1
SHIU Shu Ming	ADS601	Licensed Representative		4
SILLAM Jonathan Charles Marc	AOM654	Licensed Representative	2	
SIT Kam Yin	AGS164	Licensed Representative		2
SIU Yin Shan	AMU223	Licensed Representative	4, 5	
SO Hon Leung, Alvin	ACY049	Licensed Representative	4	
SO Lai Shan, Jennifer	AHA295	Licensed Representative	1	
STEEL Murray Joseph	AUA735	Licensed Representative	3	
SU Yazhong	AEU950	Licensed Representative		6
SUNG Wai Yin	AEZ943	Licensed Representative	2	
SWARBRICK Andrew Jeremy	AFF234	Licensed Representative	1	4, 9
SZE Hoi Yan, Selina	AQD955	Licensed Representative		4
SZE Hung Kwok	ATN823	Licensed Representative	1	
TAM Kenney	ANH001	Licensed Representative	2	
TAM Wing Kin	ATL985	Licensed Representative	1	
TANG Ming Wai	AIH670	Licensed Representative	4	
TANG Ping Sum	AEL276	Licensed Representative		1, 2, 3
TANG Wai Fung	ATN569	Licensed Representative	4	
TANUDIREDJA Kwandy	AQF506	Licensed Representative		4
TEO Thye Peng, Bernard	AFB019	Licensed Representative	1	
TING Fifi	AFG722	Licensed Representative		4, 9
TIWARI Abhishek	AOR602	Licensed Representative	4	
TO Kwok Pun	APW217	Licensed Representative	3	4, 9
TO Yung	ANT600	Licensed Representative	2	
TSANG Kong Kit	AIW318	Licensed Representative	4	
TSANG Ling Kay, Rodney	ACO194	Licensed Representative		4
TSANG Siu Wan	ASN685	Licensed Representative	2	
TSANG Wing Yiu	AME242	Licensed Representative		2
TSAUR En-hua	AMJ234	Licensed Representative		2
TSE Man Po, Andy	AMD101	Licensed Representative	1	
TSE Nga Man	ATX507	Licensed Representative	2	
TSE Perry	ATE080	Licensed Representative	2	
TSE Shing Heng	AIW306	Licensed Representative	4	
TSEUNG Yuk Hei, Kenneth	ACI557	Licensed Representative	4	
TSOI Sui Ho	ACJ816	Licensed Representative	2	
TSUI Hui-Wen	AGI191	Licensed Representative	1	
TSUI Kwok Fan	AIE447	Licensed Representative	1, 2	
TSUI Shun Lin	ANA490	Licensed Representative	4, 6	

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TUNG Chi Wai	AAD702	Licensed Representative	2	
TUNG Sharon Waimui	APG518	Licensed Representative	1	
WAN Nga Yee, Joyce	AOH920	Licensed Representative	4	
WAN Yin Lam	AOJ253	Licensed Representative		4
WANG Jiyu	ASH808	Licensed Representative		3
WANG Min-di	AOW814	Licensed Representative	2	
WANG Yiye	ASX941	Licensed Representative	2	
WONG Chi Yik	AGC171	Licensed Representative	2	
WONG Hing Nam	AOK592	Licensed Representative		4
WONG Ka Lai, Kylie	AQC859	Licensed Representative	4, 9	1, 2
WONG Ka Man, Carman	ADY730	Licensed Representative	4	
WONG Kin Sun	APA699	Licensed Representative	2	
WONG Kwun Sing	AMN791	Licensed Representative		2
WONG Man Yin	ARD527	Licensed Representative	1, 2	
WONG Marco Chi Duen	AFQ741	Licensed Representative	1	
WONG Muk Yiu	ADO970	Licensed Representative	2	
WONG Pui Lun, Alan	ALN588	Licensed Representative		4, 5
WONG Shui Lun, William	AHR091	Licensed Representative		9
WONG Shy Kuo	ARJ304	Licensed Representative		4
WONG Siu Fai	AFA120	Licensed Representative	1, 2	
WONG Wah	AFD156	Licensed Representative		4
WONG Wai Kar	AJE109	Licensed Representative	9	
WONG Wing Keung	ATL879	Licensed Representative	4	
WONG Yat Hung	AQE529	Licensed Representative	2	
WOO Hon Man, Herman	AGN338	Licensed Representative	7	
WU Jin	ATW234	Licensed Representative	2	
WU Yi Shun, Josephine	ATT236	Licensed Representative	4, 6, 9	
XU Ce	ARG809	Licensed Representative	1	
XU Lingyan	ARI518	Licensed Representative	4	
YANG Ning	AMS438	Licensed Representative	6	
YANG Pai Ling	APZ159	Licensed Representative		1, 2, 5
YANG Qing	ARO431	Licensed Representative	4	
YE Hanying	ASK326	Licensed Representative		2
YEUNG Chi Yiu, Thomas	ATB857	Licensed Representative	2	
YEUNG Tsun Yin	AOH101	Licensed Representative	1, 4	
YEUNG Tze Fung	ACS539	Licensed Representative		2, 5
YOON Andrew Young	AME441	Licensed Representative		6
YU Ka Ho	AQO196	Licensed Representative	2	
YU Man Sang, Mason	ACK351	Licensed Representative	1	
YUEN King Ping	AOE308	Licensed Representative	2	
YUEN Shu Chi Alvin	ATX895	Licensed Representative	2	

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YUNG Siu Hang	AHC979	Licensed Representative		2
ZHANG Raymond Yue	ALS050	Licensed Representative		4
ZHENG Bei Di	AQO001	Licensed Representative	1	
ZHENG Yangjie	ATB833	Licensed Representative	4	
ZHOU Chunhua	ARO145	Licensed Representative	1	
BURROWS Quentin Charles	ACE375	Licensed Representative - Responsible Officer	1, 2	
CHAN Kwok Keung	AAC262	Licensed Representative - Responsible Officer	2	
CHAN Yiu Kwong	AED596	Licensed Representative - Responsible Officer		2
CHANG Hsun Fang	ANP491	Licensed Representative - Responsible Officer		1
CHOI Suk Hing, Louisa	AHJ599	Licensed Representative - Responsible Officer	1	
CHOW Wing Kit, Dennis	ABN739	Licensed Representative - Responsible Officer	9	
GOYAL Ashish Chandrakumar	AGX309	Licensed Representative - Responsible Officer	1	
HEGGLIN Daniel Robert	ALB975	Licensed Representative - Responsible Officer	1, 2	
JENKINS Benjamin Johnson	ARH485	Licensed Representative - Responsible Officer	1	
LAW Chor Yam	ABY396	Licensed Representative - Responsible Officer	1	
LUO Shan	ARJ350	Licensed Representative - Responsible Officer	4, 9	
MA Kin Kan	AIG559	Licensed Representative - Responsible Officer	4, 9	
MOK Fung Lin, Ivy	ACZ601	Licensed Representative - Responsible Officer	1	
NG Chung Wai	ADQ486	Licensed Representative - Responsible Officer	9	
REILLY Eugene T	ANH725	Licensed Representative - Responsible Officer		4
REN Qian	AOR834	Licensed Representative - Responsible Officer		1
SINGARAM Anand	ALG282	Licensed Representative - Responsible Officer	1, 2	
TANG Ping Sum	AEL276	Licensed Representative - Responsible Officer	9	
WONG Wai Leung	AFW562	Licensed Representative - Responsible Officer	9	
WU Tse Wai, Frederick	AAC563	Licensed Representative - Responsible Officer	1, 4, 6	
YEUNG Yuk Lun	ACZ660	Licensed Representative - Responsible Officer	4, 9	
YIP Ka Lung	ADZ376	Licensed Representative - Responsible Officer	4, 5	
National Australia Bank Limited	AAO169	Registered Institution	6	