

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2012				
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CPIC Investment Management (H.K.) Company Limited	AVQ695	Licensed Corporation	4	
Enhanced Investment Products Limited	AHK550	Licensed Corporation	6	
Geomatrix (H.K.) Limited	ADJ583	Licensed Corporation		4
Goldenway Investments (HK) Limited	AUE994	Licensed Corporation	3	
Grandtag IFA Limited	AKO790	Licensed Corporation	1	
Piper Jaffray Asia Securities Limited	ABO154	Licensed Corporation	2	
AHN Junsang	AQT284	Licensed Representative		4
ANG Marie Stephanie Duque	AOR577	Licensed Representative	4	2
AU-YEUNG Wing Yee, Wendy	AFT616	Licensed Representative		2
BRADER Lawrence Henry Burnell	AVI839	Licensed Representative	1	
BUTAVAND Axelle Victoire	AXG759	Licensed Representative		1
CASTAGNE Allan John	AQU899	Licensed Representative	7	
CASWELL NG Anita Hoi Ying	AKX740	Licensed Representative	1	
CHAN Chun Fai	AUR704	Licensed Representative	9	
CHAN Chung Shing	AAZ019	Licensed Representative		5
CHAN Ka Yee	AGK773	Licensed Representative		4
CHAN Kam Ha, Rosanna	AAA564	Licensed Representative		9
CHAN Man Shun	AXN045	Licensed Representative	4	2
CHAN Ming Fung	ASN772	Licensed Representative	2	
CHAN Mo Yin Edna	ACA573	Licensed Representative	1	
CHAN Siu Lun	AXH172	Licensed Representative	1, 4, 6	10
CHAN Tin Wing	AUJ846	Licensed Representative	4	
CHEN Kim Ching	ATC745	Licensed Representative		3
CHEN Peiqu	AYJ795	Licensed Representative	2	
CHEN Shih Chieh	AOV514	Licensed Representative	4	
CHEUNG Kin Wah	ATN564	Licensed Representative	9	
CHEUNG Yu Yuan, Matthew	AMC369	Licensed Representative		1
CHEUNG Chung Yiu	AEF749	Licensed Representative		1
CHEUNG Hok Man	AYK668	Licensed Representative	2	
CHEUNG Kim Ho	AIK988	Licensed Representative	9	
CHEUNG King Tak, Candy	AQX898	Licensed Representative	4	1
CHEUNG Kwok Wah	ADW264	Licensed Representative		2
CHEUNG Man	AWL487	Licensed Representative	2	
CHOI Ka Fai	AFG493	Licensed Representative		2
CHONG Kai Lok	AJC688	Licensed Representative	9	
CHONG Siu Suet	AVH802	Licensed Representative		4
CHONG Szu Fuei	AOA828	Licensed Representative		4
CHOY Ho Yin	ACR173	Licensed Representative	2	
CHU To, Jonathan	AAB571	Licensed Representative		2, 3, 4, 5, 6, 9
COATES Michael Stuart	AQE074	Licensed Representative	2	
DAYAL Prasoon	AEH431	Licensed Representative	4	
DHASMANA Vaibhav	AQM703	Licensed Representative		1
DING Ning	AWY300	Licensed Representative	1	
EME Philippe Jean	AEX901	Licensed Representative		1
FENNELL Desmond Sean	AWA578	Licensed Representative	6	
FITTE Laurent Gerard	AXH378	Licensed Representative		2
FONG Sau Lai	AYF888	Licensed Representative	1	
Fu Wan Hin	ASG129	Licensed Representative	9	
FUNG Hok Kwan, Edmond	ACK376	Licensed Representative	9	
FUNG Kit Sing	AXC105	Licensed Representative	2	
FUNG Yu Wo	APW467	Licensed Representative		2, 3
GUO Yulan	AXD089	Licensed Representative	1	
HALL Jeremy George	ATD521	Licensed Representative	1	9
HAN Xintong	ATT451	Licensed Representative	2	
HARRISON David Martin	AKO500	Licensed Representative		4, 5
HO Siu Man	AFQ330	Licensed Representative	2	
HO Suk Man, Ada	AXB370	Licensed Representative	2	
HO Wai Kin	ADU753	Licensed Representative		1, 4
HO Yui	ATI748	Licensed Representative	3	
HUANG Chao	ASF493	Licensed Representative	9	
HUANG Hai Jack	AVS025	Licensed Representative	1	
HUI Fun	ATL481	Licensed Representative		2
HUSAIN Murtuza Z	ANL930	Licensed Representative		4

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
JAMES Robert Keith	ANR401	Licensed Representative	4, 9	1
JIANG Kai	AVL375	Licensed Representative	4	6
JIANG Wenwen	AXC789	Licensed Representative	1	
JOHNSON Robert Elliott	APV323	Licensed Representative	4	
KAHN Justin Frank	ASD897	Licensed Representative	2	
KAM Chi Shing	ABE384	Licensed Representative		2
KING Evan Wilford	ADP244	Licensed Representative		4
KNIPPER Jonathan Paul	AUN771	Licensed Representative	2	
KONG Siu Tin	AJE115	Licensed Representative	9	
KWAN Chi Wang	AGB717	Licensed Representative		1, 5
KWAN Chun Fai	AQG692	Licensed Representative	3	
KWAN Po Kuen, Joyce	ABC588	Licensed Representative		4
KWAN Wai Man	ACJ105	Licensed Representative	3	
KWOK Kei Chi	AFJ617	Licensed Representative		2, 4, 9
KWOK Viking Wai Kin	AMQ581	Licensed Representative	9	4
LAI Wa Hing	AWD940	Licensed Representative	3	
LAI Yan Chak	APX114	Licensed Representative		4
LAM Chi Wai	AKX862	Licensed Representative		3
LAM Ching Fai	ANG408	Licensed Representative		2
LAM Chun Hung	AHA454	Licensed Representative		2
LAM Kit Wai	ATW157	Licensed Representative	2	
LAM Wai Hong, Edward	AFU656	Licensed Representative	9	
LAM Wai Leung	AFS532	Licensed Representative	1	4, 9
LAM Wai Na	ATT647	Licensed Representative	2	
LAM Yu	AJH185	Licensed Representative		2
LAU Che Wah	AAB300	Licensed Representative	2	
LAU Chi Kin	AUN078	Licensed Representative	5	
LAU Chun Sang	AMG020	Licensed Representative		3
LAU Ning Fung, Roland	ASZ252	Licensed Representative	1, 2	9
LAU See Him, Louis	ABE484	Licensed Representative	2	
LAU Wing Yiu, Jason	APU510	Licensed Representative		7
LAU York Ying, Stella	AHN916	Licensed Representative		4
LAW Ho Yip, Edmond	APM147	Licensed Representative		1
LEE Chi Fung	ALH771	Licensed Representative	9	1
LEE Chor Kwan	AYJ305	Licensed Representative	1	
LEE Da Eun	ARI566	Licensed Representative	9	
LEE Ho Yin	AMS264	Licensed Representative		2
LEE Kwai Shan	ANU243	Licensed Representative	9	
LEE Man Chiu	AVM133	Licensed Representative	3	
LEE Tak Hing	ABN304	Licensed Representative	2	
LEE Yun Sum	AQQ037	Licensed Representative	2	
LEONARD Neil Angus James	ANB385	Licensed Representative	2	
LEUNG Ching	APS302	Licensed Representative	2	
LEUNG Ka Leung	AWJ764	Licensed Representative	1	
LEUNG Kwok Pong	AAF392	Licensed Representative		2, 3
LEUNG Lai Ping	AXC622	Licensed Representative	4	
LEUNG Mei Mui	ABM598	Licensed Representative		9
LEUNG Wing Kei	ABN705	Licensed Representative	3	
LI Wing Yin	AXI384	Licensed Representative	2	
LI Xuan	ARI945	Licensed Representative	2	
LIN Peng	ACP158	Licensed Representative	2	
LIT Yuk Ping	APB748	Licensed Representative		2
LITTKE Vincent Steven	AQL532	Licensed Representative		2, 7
LIU Shih Yuan, Jeff	AQA797	Licensed Representative		4, 5
LO Yun-Hsi	AQU620	Licensed Representative	2	
LOI Tsun	ABZ775	Licensed Representative	2	
LUI Yiu Wah, Eric	ACK550	Licensed Representative		1
MACDONALD Peter Bremner	AMM281	Licensed Representative		4, 6
MAK Raymond	AMF438	Licensed Representative	9	1, 2
MAK Siu Fan	APX177	Licensed Representative		3
MCLENNAN Jennifer Carver	AAE384	Licensed Representative	4	
MOK Tak Ming	AAD913	Licensed Representative	4	
MOUFTI Omar Kadri	APV922	Licensed Representative	9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
MUI Malcolm Hin-Ling	APB926	Licensed Representative	4, 6	
NAVILLE David Maurice Pyrame	AML918	Licensed Representative		6
NG Chung Ming	ACK075	Licensed Representative		2
NG Fung Nga	AHE261	Licensed Representative	4	
NG Hoi Dick	AOS243	Licensed Representative	2	
NG John Wah	AEG183	Licensed Representative		2, 4
NG Kong	ALC867	Licensed Representative		2
NG Tung Mui	AFF848	Licensed Representative	2	
NI Kwok Kuen, Alex	AOA109	Licensed Representative	3	
NISHI Katsuhiko	ATW712	Licensed Representative	9	1, 2
PANG Jason Richard	AXO296	Licensed Representative	9	
PENG Chia-chun	APC787	Licensed Representative	4	
PENG Yu Yu	ATV436	Licensed Representative	1	6
PEQUIGNOT Jean-david	AWC880	Licensed Representative		6
POON Kwok Ping	AVM128	Licensed Representative	2	
POON Ngar Lam	AFA729	Licensed Representative		9
PULLING Edward Leffingwell	AAJ868	Licensed Representative	1, 2	
QIAO Lei	AVQ698	Licensed Representative	4	
RECCO Salvatore Paul	AWC694	Licensed Representative	3	
RHEE Vincent Suk Kyu	AWJ997	Licensed Representative	2	7
RHODES Graham Frederick	AYB040	Licensed Representative	4	
SEET Wei Wen, Jordan	AWQ103	Licensed Representative	2	
SEHGAL Anuj	AHA901	Licensed Representative	9	1
SHAW Allan	AGI956	Licensed Representative	1	
SIU Cheuk Hung, Michael	AQL552	Licensed Representative		2
SIU Tsz Kin	AGL173	Licensed Representative	2	
SIU Yik Chong	AMQ697	Licensed Representative		1
SO Ferdinand	AFO880	Licensed Representative	1	
STACKLER Martin-Gilles, Jean, Marie, Denis	APZ385	Licensed Representative	2	
SYQUIA Juan Martin Lagdameo	AEZ571	Licensed Representative	4, 6	2
SZE Cho Man, Colin	AAE164	Licensed Representative		4, 6, 9
SZE Yin Cheung	AJC709	Licensed Representative	2	
TAHENY Kenneth John	AXS067	Licensed Representative		2, 4, 5
TAJPURIYA Archish Gopal	ATJ274	Licensed Representative	2	
TAM Ching Kei, Jackie	AVP039	Licensed Representative	9	
TAM Joy Yee, Joyce	AOY796	Licensed Representative	9	
TANG Annie Yue Wai	APL963	Licensed Representative	1	9
TANG Shiu Lun, Jeffrey	APY773	Licensed Representative	3	
TANG Yu Hin, Tenny	AWL868	Licensed Representative		3
TING Carter Richard	ADK867	Licensed Representative	2	
TONG Ngar Shun	AQP756	Licensed Representative	1	4
TSAI Richard	ALW309	Licensed Representative	4	9
TSANG Chung Him	ATW156	Licensed Representative	2	9
TSANG Hin Yi, Hubert	AFK683	Licensed Representative		3
TSANG Tin Wai	AEE403	Licensed Representative		2
TSE Ming Lun	AJH258	Licensed Representative	9	1, 2
TSE Tsz Kit	AUD977	Licensed Representative	9	
TSE Wan, Joyce	AGN360	Licensed Representative	2	
TSE Wing Keung	ACJ320	Licensed Representative		4
TSO Hoi Yun, Bettina	AUF148	Licensed Representative	9	
WANG Bo	AGD229	Licensed Representative	9	
WANG Cynthia Naijing	AVT096	Licensed Representative	1	
WANG Jianguo	ART708	Licensed Representative		9
WILDMAN Christopher James	ANP707	Licensed Representative		5
WILDMAN Richard John	AJB354	Licensed Representative		5
WONG Chi Ho, Eric	AML322	Licensed Representative		4
WONG Eric Shung Kay	AMV299	Licensed Representative	9	
WONG Lap Chun	AXX954	Licensed Representative	1	
WONG Man Sze, Grace	ADX257	Licensed Representative	4, 6	
WONG Pun Lam	APH992	Licensed Representative	2	
WONG Siu Man, Simon	APC513	Licensed Representative	1	
WONG Sung Chun	AVD101	Licensed Representative		3
WONG Wai Kwan	AWA381	Licensed Representative	5	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Wai Kwan, Anna	AT1146	Licensed Representative	4	2, 3
WONG Yee Ching	AOR114	Licensed Representative		6
WOO Chi Wai	AFC530	Licensed Representative	4, 9	
WOO Yuk Fu	ALW510	Licensed Representative		2
WU Man Ching	AGL043	Licensed Representative	2	
WU Wing Chi	AXI660	Licensed Representative	2	
XU Qing	ARE944	Licensed Representative	1	4
XU Tao	AMF173	Licensed Representative	2	
YAN Chi Kwan	AGL147	Licensed Representative	1	
YANG Jing	AVQ714	Licensed Representative	4	
YAO Alex Krystal	ACS438	Licensed Representative	1, 9	10
YE Jingni	AXI361	Licensed Representative	2	
YEN Chanan	ARM750	Licensed Representative	1, 4	
YEUNG Ching	ALW845	Licensed Representative		4
YICK Kit Sum, Winsome	AOB610	Licensed Representative		1
YIM Kam Ting	AUL591	Licensed Representative	3	
YIP Hin Chiu	AVY582	Licensed Representative	6	1
YIP Ho Yin	AOW964	Licensed Representative	2	
YIU Wing Shan	AQU332	Licensed Representative	2	
YU Chi Sing	AFU289	Licensed Representative		4, 9
YUEN Che Hay	AWA517	Licensed Representative	4	
YUEN Ming Lan, Maggie	AAD596	Licensed Representative	2	
ZHANG Lin	AWA216	Licensed Representative	1	
ZHU Xingtong	AYC355	Licensed Representative	2	
BAI Youge	ALP809	Licensed Representative - Responsible Officer	9	
BLAND Tobias Christopher James	ABZ428	Licensed Representative - Responsible Officer	6	
CHAN Ka Fai, Stephen	AOS673	Licensed Representative - Responsible Officer	1	
CHANG Jack P	AKR038	Licensed Representative - Responsible Officer	5	
CHENG Yu Yuan, Matthew	AMC369	Licensed Representative - Responsible Officer	9	
FU Mingxia	ABR919	Licensed Representative - Responsible Officer	7	
HOWE Robert Collins	ACB904	Licensed Representative - Responsible Officer		4
HU Mei	AXV217	Licensed Representative - Responsible Officer	9	
HU Xiaodong	AVQ696	Licensed Representative - Responsible Officer	4	
KAM Chi Leung	ACK007	Licensed Representative - Responsible Officer	3	
KING Evan Wilford	ADP244	Licensed Representative - Responsible Officer	1, 6	
LAI Vincent Wai Shun	ALU159	Licensed Representative - Responsible Officer		1
LEE Man Sik	ABZ888	Licensed Representative - Responsible Officer	5	
LEUNG Pak Lam	AOV373	Licensed Representative - Responsible Officer	1	
LEUNG Tai Lin, Jameson	AFV515	Licensed Representative - Responsible Officer	1	
LI Ting	AOX306	Licensed Representative - Responsible Officer	4, 5, 6	
OMATA Osamu	AXV437	Licensed Representative - Responsible Officer	2	
SO Ho Ching, Paul	AQD146	Licensed Representative - Responsible Officer	6	
TAI Chi Ching	ADM909	Licensed Representative - Responsible Officer	2	
TENG Wei	AMC295	Licensed Representative - Responsible Officer	4	
TSAI Chien-Chih	ARH480	Licensed Representative - Responsible Officer	5	
TSANG Hon Yee, David	ACH258	Licensed Representative - Responsible Officer	1	
WONG Siu Chung, Anthony	AQP643	Licensed Representative - Responsible Officer	1	
WONG Sze Man	AQS231	Licensed Representative - Responsible Officer	1	
LAM Wai Lun	AXL945	Licensed Representative (Provisional Licence)	2	
Bank of China Limited	AAK801	Registered Institution	1, 4, 6	