

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ADMIS Hong Kong Limited	ACP509	Licensed Corporation	3	
Mizuho Securities Asia Limited	AEZ953	Licensed Corporation	5	
Polaris Capital (Asia) Limited	AGB139	Licensed Corporation		6
Polaris Securities (Hong Kong) Limited	ABZ023	Licensed Corporation	6	
Redford Asset Management Limited	AGM989	Licensed Corporation	4	
AGARWAL Arvind	ARN300	Licensed Representative	7	
AGGARWAL Mayur	APM135	Licensed Representative	7	
AHN Joong Min	AUJ487	Licensed Representative	2, 7	
AKIBA Tsumugi	ARJ690	Licensed Representative	1	
ALLEGOS Aris Nicholas	APG734	Licensed Representative		2, 6
ARCHER Anthony William	ADA338	Licensed Representative		9
ARMAND Christophe Damien	ARI739	Licensed Representative	2	
ARNAUD Quentin Jean	AST879	Licensed Representative	2	
AU Jacqueline	AGD588	Licensed Representative	1	
AU Ka Yee	ANU162	Licensed Representative	7	
AU Man Kuen	AOV369	Licensed Representative	1	4
BHAIMIA Ismail Omar	ATN703	Licensed Representative	7	
BLIGHT Warren Nicholas	ACL103	Licensed Representative		1
BRADY Mark Joseph	ARQ480	Licensed Representative		7
CAI Hongyu	ATX143	Licensed Representative	4	
CHAN Chi Keung	ATL552	Licensed Representative	2	
CHAN Chun Lung	AQU198	Licensed Representative	2	
CHAN Hoi Pong	ATW931	Licensed Representative	2	
CHAN Kai Yiu	ACJ652	Licensed Representative	4	
CHAN Kin Leung	APC074	Licensed Representative	1	
CHAN Kwun Siu, Frankie	ASD479	Licensed Representative		3
CHAN Lai Ming	AOD290	Licensed Representative	2	
CHAN Lok Tin	AQA322	Licensed Representative	4	
CHAN Man Li	AKJ254	Licensed Representative	4	
CHAN Mong Har	AHT095	Licensed Representative		1
CHAN Ngai	APR221	Licensed Representative	2	
CHAN Ngai Lun, Alan	ARR782	Licensed Representative	4	
CHAN Sik Yan, Icy	AIU417	Licensed Representative	1	
CHAN Siu Man	ALZ722	Licensed Representative	1	
CHAN Wai Kit	ATP151	Licensed Representative	2	
CHAN Yan Yan, Kanas	ASK146	Licensed Representative	2	
CHAN Yiu Wing	AIJ518	Licensed Representative	7	
CHAN Yuen Ting	APV572	Licensed Representative	4	
CHANG Hoi Yan, Vivian	AEE778	Licensed Representative	4	
CHANG Jack Chun-Chieh	ANX862	Licensed Representative	2	
CHANG Ming Fai	ALA485	Licensed Representative		9
CHANG Wai Chung	ATL715	Licensed Representative	2	
CHAU Yueh Jen	ACE585	Licensed Representative		4

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHEN Huilin	APC643	Licensed Representative	1, 9	
CHEN Yi-Chun Jessica	APK399	Licensed Representative	1	
CHENG Hin Chung	ANY131	Licensed Representative	1	
CHENG Hoi Man, Iris	AGP184	Licensed Representative	1	
CHESNEY Steven Kent	ATB731	Licensed Representative	1	
CHEUK Siu Yuen, Ferdinand	ANT280	Licensed Representative	9	1, 2
CHEUNG Chi Kong, Ronald	AEE551	Licensed Representative	1	
CHEUNG Lik Ping	AFJ470	Licensed Representative	9	
CHEUNG Wai Kei	APA697	Licensed Representative	1	
CHEUNG Wai Kwok	ANS088	Licensed Representative	1	
CHI Chung Him	ARO768	Licensed Representative	2	
CHIEN Li-Min	APR338	Licensed Representative	2	
CHIN Hwee Li, Winnie	AJE164	Licensed Representative	7	
CHING Kwan Leung	ARF269	Licensed Representative	2	
CHO John Ilgul	ATB976	Licensed Representative	7	
CHOI Daniel	ALU959	Licensed Representative	1	
CHOI Ho Yi, Phyllis	AHZ637	Licensed Representative		1
CHOU Calvin	AFW616	Licensed Representative	9	
CHOW Chiu Wa, Jane	ABT154	Licensed Representative		4
CHOW Hung Lai	ASL830	Licensed Representative	4	
CHOW Wai Chiu, William	AKY161	Licensed Representative	1	
CHOW Yu Hin	AMF444	Licensed Representative	1, 4	9
CHOY Ming Kwong	AQZ557	Licensed Representative	4	
CHU Ching	AGO224	Licensed Representative	1	
CHU Ming Fai, Joseph	ADZ873	Licensed Representative	2	
CHUEN Fong Leuk	APD919	Licensed Representative	1, 9	
CHUNG Ka Fai, Franki	ACW769	Licensed Representative		1, 5
CHUNG Lai Ping	AQC505	Licensed Representative		3
CHUNG Yi Tung	AUP364	Licensed Representative	2	
DUJARI Vipul	ALC175	Licensed Representative	1, 2, 4, 5	9
FAN Pui Man, Raymond	ANP628	Licensed Representative	2	
FENG Shiran	ARJ059	Licensed Representative	7	
FOK Chi Kin	AMQ925	Licensed Representative	4	
FONG Chuan Jin	ARI939	Licensed Representative	7	
FONG Yu	ATS874	Licensed Representative	1, 2	4, 5, 9
FU Chi Wah, Paul	AKP006	Licensed Representative	4	
FUNG Choi Ming, Adrian	ACO054	Licensed Representative	4	1, 6
FUNG Ka Leung	ABQ007	Licensed Representative		1
GAMMONS Christopher Mc Garr	AFF268	Licensed Representative	4	
GAO Ting	AMW750	Licensed Representative	4	
GLADSTONE David	AQN973	Licensed Representative	7	
GOSWAMI Kaushik	ARP166	Licensed Representative	2	
GOULSTON Peter John	AGL234	Licensed Representative	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
GRAHAM Oliver Frederick	ATY567	Licensed Representative	7	
GREEN John	APF791	Licensed Representative	7	
GUI Hua	AOC915	Licensed Representative	7	
GUNTHER Shane Jayson Daniels	ALB795	Licensed Representative	1	
HAAS Harold, Gregory	ARW500	Licensed Representative	4, 5	7
HASHIMOTO Yukiko	AQX092	Licensed Representative	7	
HE Martin	AFD243	Licensed Representative	6	
HE Yulin	ARG517	Licensed Representative	7	
HEER Jasdeep Singh	AUI675	Licensed Representative	7	
HO Alistair Jor Ting	AQC553	Licensed Representative	2	
HO Ka Ling	AQT308	Licensed Representative	2	
HO Leslie Sai Kit	AUM348	Licensed Representative	3	
HO Man	ACT360	Licensed Representative		6
HO Ngar Yan	AEY921	Licensed Representative	4	
HO Suk Chun	AUT164	Licensed Representative	3	
HO Wai Lun, Alan	AFA202	Licensed Representative		9
HO Wai Wan, Vivien	AUI394	Licensed Representative	2	
HO Wai Yiu	ALC935	Licensed Representative	4, 9	
HO Ying Chiat	ARM151	Licensed Representative	7	
HO Ying Hsin, Angela	AKT416	Licensed Representative	7	
HO Yuan Hang	ARQ499	Licensed Representative		4
HSIEH Tiffany	ATT782	Licensed Representative	1, 9	
HSIEH Ya-Hui	ANN504	Licensed Representative	4, 9	
HUANG Fang	ARL307	Licensed Representative	4	
HUANG Min	ATN837	Licensed Representative	4	
HUANG Ranfei	APL790	Licensed Representative		4
HUANG Yan	AUI679	Licensed Representative	2, 9	
HUNG Ka Fung	APC730	Licensed Representative	2	
HUNG Kwok Chuen	APW348	Licensed Representative	2	
HUNG Yat On	AUS182	Licensed Representative	3	
HUNSAKER Brian Worthen	AEW810	Licensed Representative		1
IP Yu Chak	AGR408	Licensed Representative		4
JIANG Jun	AQI866	Licensed Representative		1
KAM Chi Leung	ACK007	Licensed Representative		3
KEUNG Hoi Ling, Helen	AQP995	Licensed Representative		9
KHAN Imran	AUJ803	Licensed Representative	2	
KONG Jingxian	AUM349	Licensed Representative	3	
KONG Qingying	ALV398	Licensed Representative	4	
KOO Shuk Ching, Joan	AMI452	Licensed Representative	1	
KOO Tak Sang	ATM810	Licensed Representative	2	
KUO-TSING-JEN Nicolas, Guillaume, Stephane	ARN751	Licensed Representative	7	
KWAN Wing Yu, Sinda	AMU909	Licensed Representative	1	
KWOK Hang Tat	ASU352	Licensed Representative	5	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
KWOK Lai Kwan	ADW137	Licensed Representative	2	
KWOK Sze Ho	ALO530	Licensed Representative	1, 4	
KWOK Wai Leung, Stanley	AFT305	Licensed Representative		5
LAI Ming Wai	ANU954	Licensed Representative	1	
LAI Patrick Pak Hung	AQU258	Licensed Representative	4	
LAI Ying Xi, Nicola	ALY576	Licensed Representative	4	
LALGUDI GURUMURTHY Madhu Subramanian	APK589	Licensed Representative	4, 6	
LAM Chi Chung, Michael	AQJ030	Licensed Representative		3
LAM Chi Hin, Stanley	AHD635	Licensed Representative	9	
LAM Chi Man	AMH717	Licensed Representative	1	
LAM Chor Ha	AGI128	Licensed Representative	1	
LAM Chun Fat	AOU531	Licensed Representative	2	
LAM Chun Hung	ATL665	Licensed Representative	1	
LAM Chun Yue	AMT662	Licensed Representative	1	
LAM Francois Henri	AON976	Licensed Representative		2, 7
LAM Ka Ho, Michael	ADA499	Licensed Representative	7	
LAM Kar Leung	AAD541	Licensed Representative		2
LAM Lai Na, Lina	APS436	Licensed Representative	1	
LAM Lap Fai	APJ190	Licensed Representative	9	
LAM Simon Yee-Kai	AET081	Licensed Representative	4	1
LAM Wai Ching	AEN025	Licensed Representative		2
LAM Wing Chak, Victor	ABE785	Licensed Representative	2	
LAM Wing Yee	AMU915	Licensed Representative	1	
LAM Yin Ming	AOY203	Licensed Representative	4	
LAM Yuen Ngar, Ginie	AEG451	Licensed Representative	9	4
LAO Fong I	ANR921	Licensed Representative	2	
LAU Cheuk Hang, Samuel	AJB217	Licensed Representative	4	
LAU Chi Man	ANL056	Licensed Representative	2, 5	
LAU Chun Keung, Stephen	ALB231	Licensed Representative	7	
LAU Hiu Hoi	ATP179	Licensed Representative	3	
LAU Hoi Yue, Jeffrey	AOT665	Licensed Representative	4	1, 6
LAU Ming Yeung, Stephen	ANB243	Licensed Representative	4	
LAU Siu Cheong	AUI274	Licensed Representative	4	
LAU Wai Fong, Joanna	AJC668	Licensed Representative		9
LAU Yee Ho, Roger	ASS671	Licensed Representative	1, 9	
LAU Ying Tung	ATD665	Licensed Representative	4	
LAU Yuen Yee, Maria	ANS034	Licensed Representative	1	
LAW Chung Wai	ASM066	Licensed Representative	4	
LAW Ka On, Andy	ARR601	Licensed Representative	7	
LAW Koon Hung	ALN199	Licensed Representative		2
LAW Wai Chung	ANC005	Licensed Representative		4
LEE Axel Syng	ASI354	Licensed Representative		2
LEE Bing Kuen, Eric	AEG821	Licensed Representative	2	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEE Kar Shin, Grace	AJO165	Licensed Representative	4	
LEE Kwan Tung, Jeanette	AOJ316	Licensed Representative	5	
LEE Man Sa	ASZ376	Licensed Representative	4	
LEE Man Yi, Jessica	AIU268	Licensed Representative	2	
LEE Mei Yee	AKT431	Licensed Representative		1
LEE Ming-min	AUE713	Licensed Representative	1	
LEONG Chi Wai	AEM496	Licensed Representative		1
LEUNG Chik Lun	ARS045	Licensed Representative	4	
LEUNG Chor Wun	APF012	Licensed Representative	1	4
LEUNG Chun Sing	ALN127	Licensed Representative	4	
LEUNG King Chung	AHG579	Licensed Representative	2	
LEUNG Kwok Ming	AIZ701	Licensed Representative	2	
LEUNG Mei Fung	AGM440	Licensed Representative	1	
LEUNG Siu Yung	ARA500	Licensed Representative	2	
LEUNG Sui Chung	ASX746	Licensed Representative	2	4
LEUNG Tik Wai	ASM047	Licensed Representative	1	
LEUNG Wai Kin	ANP306	Licensed Representative	9	
LEUNG Wai Sing	ARN686	Licensed Representative		3
LEUNG Wing Cheung	ATX644	Licensed Representative	9	
LEUNG Wing Yan	ANS135	Licensed Representative	1	
LEUNG Yun Kwai	AAA612	Licensed Representative	2	
LI Hon Leung	AJC118	Licensed Representative		4, 5
LI Lok Man	AQE947	Licensed Representative	3	
LI Man Wui	ATM468	Licensed Representative		2
LI Sai Yiu, Charles	AFN641	Licensed Representative	9	
LI Wai Yin	ANR354	Licensed Representative		1
LI Xu	AOX949	Licensed Representative	4	
LI Xuan	ARI945	Licensed Representative	7	
LI Yuen Kwan	AQC173	Licensed Representative	1	
LIAW Irene Milin	ANG854	Licensed Representative	4	
LIM Siok Cheng, Irene	AOH674	Licensed Representative	4, 6	2
LIN Shuk Yee	APD244	Licensed Representative		1
LING Ka Kin	AOM643	Licensed Representative	9	
LIU Bo	AQU179	Licensed Representative	7	
LIU Jiang Brandon	AOY180	Licensed Representative	7	
LIU Man Lai, Manly	ADI698	Licensed Representative	2, 9	
LIU Sai Yat	AGO500	Licensed Representative	1	
LIU Shih-Hun	ASX532	Licensed Representative	9	
LIU Yiting	AQT256	Licensed Representative		1, 6
LO Kai Ngai	ABZ222	Licensed Representative		3
LO Man	ARZ122	Licensed Representative	2, 7	
LO Tin Po	ADN296	Licensed Representative		2
LO Yu Chung	AEO273	Licensed Representative		3

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LOU loi Leong	AUI991	Licensed Representative	2	
LU Gloria Yi	ADA784	Licensed Representative	1, 9	
LU Qinglai	AQO248	Licensed Representative	7	
LUI Man Chu Mandy	ATH203	Licensed Representative	1, 9	
LUK Shui Fan	ACA169	Licensed Representative	2	
MA Adrian Hin Chun	AOT355	Licensed Representative	7	
MA Chi Ming	ACS639	Licensed Representative	2, 4, 9	
MA Tak Wah	AUD467	Licensed Representative	2	
MAK Chi Wah, Aaron	AUP951	Licensed Representative	2	
MAK Siu Kee, Michael	ACA178	Licensed Representative	9	
MAK Tsz Hang	APW355	Licensed Representative		1
MAK Yin Fo	AQW554	Licensed Representative		4
MILLET Matthieu Louis Fumiyochi	ARH193	Licensed Representative	1	9
MOK Chi Kin	AEE733	Licensed Representative	2	
MOK Ka Keung	ARC079	Licensed Representative		4
MOSES Todd Michael	AQE599	Licensed Representative	7	
MOSKEY Matthew Love	ARK735	Licensed Representative		4
MOU Man Ling, Karen	AGP167	Licensed Representative	1	
NEWMAN Neil Lionel	ABF170	Licensed Representative	9	1, 4
NG Ka Wing	ARB120	Licensed Representative		3
NG Kai Pong	AOB433	Licensed Representative		4, 9
NG Kit Mo	ALY615	Licensed Representative	2	
NG Man Hung	ARB437	Licensed Representative	1	
NG Mei Mui, Cindy	ATC148	Licensed Representative	4	
Ng Wai	ANI759	Licensed Representative		2
NGAN Chi Po	ADY908	Licensed Representative		9
NGAN Wai Wing	AEJ476	Licensed Representative		2
NICOLAY Sylvain Henri Pierre	ASQ142	Licensed Representative	2	
O'MARA Conor John	AOV704	Licensed Representative	1, 2, 7	9
ONG Simon Wui Shiang	AOV039	Licensed Representative		4, 6
OR Wai Ping	ARW182	Licensed Representative	1	4
PADMA-PRIYA-NAGARAJ .	AOO180	Licensed Representative	4	1
PAK Tsz Hin	ARO895	Licensed Representative	2	
PAK Yuen Mei, Emma	AFU418	Licensed Representative	6	
PATEL Vijaykumar Thakorbbhai	AUE790	Licensed Representative	1	
PAU Ho Yin, Alexander	ANH677	Licensed Representative		2
PENG Ying-Hui	ANY357	Licensed Representative		6
PHILLIP Michael Gustav	AQC189	Licensed Representative	7	
PHILLIPS Sam Johnathon	AGT128	Licensed Representative	2	
POON Hui Ling	ARP007	Licensed Representative	7	
POUTEAUX Sylvain, Marcel, Lucien	APJ713	Licensed Representative	2	
PUN Ching Han	ARG499	Licensed Representative	1	4
RAJASOORIA Mohan Rajan	AQD489	Licensed Representative	2	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
RICHARDS Kylie-Anne Louise	APL013	Licensed Representative	4	
RIEBE Andrew James	AFH017	Licensed Representative	1	9
RIMKUS Andreas Ernst	APD374	Licensed Representative	7	
ROSENBERG Zachry David	ALP666	Licensed Representative		4
SAM Kwok Hong	ANA178	Licensed Representative	1	4
SEET Oon Hui, Eleanor	ASZ666	Licensed Representative	1, 9	
SENKO Erik Andrew	ARK736	Licensed Representative		4
SHEN Jian Guang	APV792	Licensed Representative	4	
SHEN Jiawen	ANQ097	Licensed Representative	7	
SHEN Song	AGS749	Licensed Representative	5, 9	1
SHER Kwan Wai	ATN772	Licensed Representative	4, 9	1, 2
SHIU Lai Man	AKQ640	Licensed Representative	1	
SHUM Kwong Wai, Edmond	AOU146	Licensed Representative	1	
SIU Yik Chong	AMQ697	Licensed Representative	1	
SO Hing Nin, Henry	ATR442	Licensed Representative	2	
SO Pang Tat	AQS633	Licensed Representative		1, 3
STANTON III Walter Xavier	AEA621	Licensed Representative	4	
SU Shouchun	APO580	Licensed Representative	7	
SU Wei-chia	ALN246	Licensed Representative	1	
SUN Patrick	AAI271	Licensed Representative		1, 4
SUN Yanan	APP205	Licensed Representative	7	
SUNG Hilda	ABN455	Licensed Representative		1
SZE Mei Kei	AUG796	Licensed Representative	1, 9	
SZE Yan	AQE201	Licensed Representative		1, 4
TAM Ping Cheung	AQH517	Licensed Representative	2	
TAM Sze Chun	AQP747	Licensed Representative	2	
TAN Khye Hee	AGM447	Licensed Representative	7	
TANG Wai Fung	ATN569	Licensed Representative	5	
TANG Yuk Sang	ASO687	Licensed Representative	2	
TAO Kwok King	ARS312	Licensed Representative		9
THO Lye Keong	AJF938	Licensed Representative		4
TO Hang Ming	ADK169	Licensed Representative	1, 4	
TO Sam Hung	APJ479	Licensed Representative		2
TONG Alex Chi Kai	ATX897	Licensed Representative	2	
TONG Pok Wai	ARR372	Licensed Representative		3, 5
TONG Wai Chiu, Philip	ARO584	Licensed Representative	1, 6	
TONG Wing Sze, Mimi	ABE943	Licensed Representative	9	
TSANG Kan Yee	AFN128	Licensed Representative	7	
TSANG Man Chun	ASJ867	Licensed Representative		4
TSANG Man Sang	AFC688	Licensed Representative	1, 6	
TSANG Wai Ching	AHO170	Licensed Representative	9	
TSE Yu Hung	AGE558	Licensed Representative	2	3
TSOI Wan Kam	AOV567	Licensed Representative	1	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TSUI Chak Sum	ATT780	Licensed Representative	1, 9	
WAN Cheuk Ho	AQQ371	Licensed Representative	2	
WANG Bo	ANE602	Licensed Representative		3
WANG Ling	ATC057	Licensed Representative	4	
WANG Nathan	ADJ636	Licensed Representative		4
WANG Ruiqi	ASP497	Licensed Representative		6
WANG Xiaofan	ATR687	Licensed Representative	2	
WANG Yifu	AML205	Licensed Representative		9
WARHURST Paul Simon	APN838	Licensed Representative		2
WEI Weiqi	ARE228	Licensed Representative	7	
WEY Meirong	ATC520	Licensed Representative	2	
WONG Cheung Chung	ASF622	Licensed Representative	4	
WONG Chi Hui	APD168	Licensed Representative	2	
WONG Chi Ming	AMK406	Licensed Representative		4, 9
WONG Ching Han	APS467	Licensed Representative	1	
WONG Chun Wa	ACC227	Licensed Representative		2
WONG Chun Wing	AUD220	Licensed Representative	2	
WONG Chung Fat	AQU168	Licensed Representative	7	
WONG Hing Nam	AOK592	Licensed Representative	4	
WONG Hoi Ting	AQB046	Licensed Representative	2	
WONG Kam Kin	AJI597	Licensed Representative	2	
WONG Kar Yee, Andrew	APJ242	Licensed Representative	6	
WONG Kim Sing	ALF360	Licensed Representative	2	9
WONG Kwok Cheung	APS176	Licensed Representative	4	
WONG Rita	AFV463	Licensed Representative	4	
WONG Thomas Chun Kwan	AGW285	Licensed Representative	9	6
WONG Victor Wei Duk	ACV997	Licensed Representative	6	
WONG Wai Chow, Daren	ADM896	Licensed Representative	7	
WONG Wan Nei, Winny	ART301	Licensed Representative	4	
WONG Wing Yee	ALW376	Licensed Representative		3
WONG Yiu Wan	AQA101	Licensed Representative	4	
WU Lap Yan	AUB549	Licensed Representative	5	
XU Luyan	AOO806	Licensed Representative	9	
YANG Jichuan	AOY296	Licensed Representative		1
YAU Ching Man	ANJ164	Licensed Representative	1	
YAU Yung Wa	ADZ532	Licensed Representative		2
YEUNG Ho Yip	AGN028	Licensed Representative	1, 9	
YEUNG Shun Hei	AMJ481	Licensed Representative	4	
YEUNG Yat Chuen	AOJ604	Licensed Representative	1	
YEUNG Yui	APR028	Licensed Representative	2	
YICK Wai Sze, Vicky	AKX961	Licensed Representative	4, 9	
YIM Wan Ming	AMY840	Licensed Representative		3
YIP Man Wai	AUM750	Licensed Representative	3	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YIP Wing Hang	AKO635	Licensed Representative	1	
YIP Wing Man, Emily	AHC687	Licensed Representative		3
YIU Ho Hung	APF025	Licensed Representative	1	
YIU Pok Man	ATW867	Licensed Representative	1, 4	
YONG Chi Sing	AFT272	Licensed Representative	1	
YU Hing Chun	ARP333	Licensed Representative	4, 5	
YU Hon Cheung	AFZ821	Licensed Representative		3
YU Hon Pan	ADV342	Licensed Representative		3
YU Yat Lun	ANR310	Licensed Representative	1	
YUAN Jun	ALI308	Licensed Representative	7	
YUEN Kai Hang	AML234	Licensed Representative	7	
YUNG Munh A, Flora	AGO950	Licensed Representative	9	
ZAHEER Sajjad Ashish	ATO193	Licensed Representative	7	
ZHANG Jun	AJB857	Licensed Representative	7	
ZHANG Ying	AQY465	Licensed Representative	4	6
ZHU Chen	AOG232	Licensed Representative	4	
ARCHER Anthony William	ADA338	Licensed Representative - Responsible Officer	4	
ARMENIO John Paul	ATC548	Licensed Representative - Responsible Officer	5	
CHAN Lap Kwan	ABO665	Licensed Representative - Responsible Officer	9	
CHAN Mong Har	AHT095	Licensed Representative - Responsible Officer	4, 9	
CHANG Jack P	AKR038	Licensed Representative - Responsible Officer		4
CHANG Yan Wing	ADX897	Licensed Representative - Responsible Officer		9
CHEN Miao-Ju	ALJ946	Licensed Representative - Responsible Officer		1, 4
CHENG Ngai Fai	AFP620	Licensed Representative - Responsible Officer	1, 4, 5	
CHEUNG Yui Kai, Warren	ACX305	Licensed Representative - Responsible Officer		6
CHU Sung Hei	AAV119	Licensed Representative - Responsible Officer	4	
CHUI Yik Chiu, Vincent	AAD601	Licensed Representative - Responsible Officer	5	
DE GUZMAN Manolo Michael	AEW404	Licensed Representative - Responsible Officer		4, 9
EBRAHIM Aadil Cumer	AJH028	Licensed Representative - Responsible Officer	4	
HUI Yok Wing, Stephen	AAH642	Licensed Representative - Responsible Officer	4	
KIUCHI Yasuhiro	APB389	Licensed Representative - Responsible Officer	5	
LAM Kar Leung	AAD541	Licensed Representative - Responsible Officer	1, 4	
LEE Soo Cheon	ATI488	Licensed Representative - Responsible Officer	9	
LOWY Michel	ATI459	Licensed Representative - Responsible Officer	9	
MACDONALD Matthew Douglas	ALK219	Licensed Representative - Responsible Officer		2, 5
ONG Tiang Lock	AIR281	Licensed Representative - Responsible Officer	2, 4	
ROBERTS Christopher Fenton	AAD528	Licensed Representative - Responsible Officer	5	
RUSSELL David Andrew	ARN189	Licensed Representative - Responsible Officer	4	
TANG Sing Hing, Kenny	AAC176	Licensed Representative - Responsible Officer	4	
TONG Wing Sze, Mimi	ABE943	Licensed Representative - Responsible Officer	1	
WONG Tang Chung	ADQ176	Licensed Representative - Responsible Officer	4	
WONG Wai Kwong	ACG192	Licensed Representative - Responsible Officer	3	
WOO Kai Hang, Felix	AEF308	Licensed Representative - Responsible Officer	3	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
XIONG Xiangdong	ANI717	Licensed Representative - Responsible Officer	4	
CHEUNG Wang	AMF506	Licensed Representative (Provisional Licence)	2	