



We Regulate

We regulate through consultation and consensus with the market. Our regulations balance investor interest with market development. We balance the costs and benefits of regulation. We consider the possible impact of regulations on those whom we regulate, including the indirect costs. We focus our regulatory resources on matters that are important to the well-functioning of the market. Last year we issued nine consultation papers to formally seek the public's views on proposed regulatory enhancements and other matters. Many of them were preceded by informal soft consultations with market practitioners. We issued three codes and guidelines. In the following two pages, we set out more details on whom and how we regulate.



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Regulate 規管,
Rules 規則