

Who We Are and What We Do

The Securities and Futures Commission (SFC) is an independent statutory body established by the Securities and Futures Commission Ordinance (SFCO). The SFCO and nine other securities and futures related ordinances were consolidated into the Securities and Futures Ordinance (SFO), which came into operation on 1 April 2003.

We are responsible for administering the laws governing the securities and futures markets in Hong Kong and facilitating and encouraging the development of these markets.

Our statutory regulatory objectives as set out in the SFO are:

- >> to maintain and promote the fairness, efficiency, competitiveness, transparency and orderliness of the securities and futures industry;
- >> to promote understanding by the public of the operation and functioning of the securities and futures industry;
- >> to provide protection for members of the public investing in or holding financial products;
- >> to minimise crime and misconduct in the securities and futures industry;
- >> to reduce systemic risks in the securities and futures industry; and
- >> to assist the Financial Secretary in maintaining the financial stability of Hong Kong by taking appropriate steps in relation to the securities and futures industry.

In carrying out our mission, we aim to ensure Hong Kong's continued success and development as an international financial centre.

The SFC is divided into four operational divisions: Corporate Finance, Intermediaries and Investment Products, Enforcement, and Supervision of Markets. The Commission is supported by the Legal Services Division and Corporate Affairs Division. Each division has distinct responsibilities.