

## Statistical Comparisons

The following table shows certain key market data and statistics of the work of the SFC in the past three years. Figures in brackets are changes from the preceding year. More statistics are available in the Divisional Reports.

	2005-06	2004-05	2003-04
<b>Market activities and transactions</b>			
Number of listed companies on the Stock Exchange Main Board*	<b>940 (+5%)</b>	891 (+4%)	856
Market capitalisation (\$ billion)*	<b>9,331.8 (+43%)</b>	6,506.1 (+14%)	5,690.5
Average daily market turnover (\$ billion)	<b>21.3 (+37%)</b>	15.5 (+14%)	13.6
Total number of listing applications reviewed under Dual Filing	<b>86 (-30%)</b>	122 (+4%)	117
Total number of takeovers and shares repurchases transactions handled <sup>1</sup>	<b>295 (+18%)</b>	251 (-36%)	393
Total NAV of all compensation funds (\$ million)* <sup>2</sup>	<b>1,726 (+15%)</b>	1,506 (+17%)	1,286
<b>Licensees and investment products</b>			
Applications for SFC licence	<b>5,704 (+16%)</b>	4,915 (+32%)	3,732
Applications to conduct new regulated activity	<b>13,809 (+12%)</b>	12,275 (+61%)	7,634
Total number of SFC licensees*	<b>25,691 (+13%)</b>	22,726 (+11%)	20,510
Total number of SFC-authorized schemes*	<b>2,667 (+4%)</b>	2,553 (+6%)	2,414
NAV of authorised unit trusts and mutual funds (US\$ billion) <sup>#</sup>	<b>668 (+21%)</b>	551 (+3%)	534

	2005-06	2004-05	2003-04
<b>Enforcement action</b>			
New cases	<b>524 (+5%)</b>	501 (-59%)	1,223
Actions against licensees	<b>98 (+11%)</b>	88 (+13%)	78
Entities successfully prosecuted	<b>72 (-5%)</b>	76 (+27%)	60
Unsuccessful prosecutions	<b>1 (-83%)</b>	6 (N/A)	0
<b>Reaching out</b>			
Press releases issued	<b>279 (-3%)</b>	289 (+7%)	270
Total average daily hit rate of corporate and InvestEd websites	<b>414,099 (+8%)</b>	385,154 (+2%)	377,173
Investor enquiries	<b>4,811 (-6%)</b>	4,523 (-16%)	5,382
Investor complaints	<b>1,091 (-10%)</b>	1,214 (-3%)	1,252
Consultation papers issued	<b>5 (-44%)</b>	9 (+50%)	6
Consultation conclusions issued	<b>8 (+60%)</b>	5 (-38%)	8
Codes and guidelines issued	<b>3 (0%)</b>	3 (-40%)	5
<b>Finances and staff</b>			
Income (\$ million)	<b>851.5 (+36%)</b>	625.4 (+13%)	551.1
Expenses including depreciation (\$ million)	<b>496.9 (+9%)</b>	456.3 (+7%)	425.7
Permanent established posts*	<b>413 (+2%)</b>	406 (+4%)	392

\* Figures as at financial year-end.

# Net asset value (NAV) as at 31 December 2005, 2004 and 2003.

<sup>1</sup> Including general and partial offers, whitewashes, off-market and general offer repurchases, and other applications.

<sup>2</sup> Including Investor Compensation Fund, Unified Exchange Compensation Fund and Commodity Exchange Compensation Fund.