

We Regulate



“Clear rules and regulations help raise quality and standards, hence users’ confidence.”

Regulate

Last year, we

- mapped out measures to strengthen the derivative warrants market
- reviewed the disclosure of interests requirements
- secured public support for securities margin financing reform
- proposed tighter regulation of sponsors
- continued work on statutory backing for important listing requirements



INVESTORS need to be able to rely on markets having strong integrity, on financial products that are sound and clearly explained, and on advisers and intermediaries that have high standards of conduct. We are committed to strong but well-balanced regulation. We will focus on tightening standards of corporate governance and behaviour, on improving the regulation of investment products and on enhancing the standard of intermediaries conduct through vigorous gate keeping and supervision.