

Event highlights

2007

April

10 The SFC signs an MOU with the CBRC to share information and boost regulatory co-operation for QDII business.



June

6 A press conference is held to coincide with the publication of Annual Report 2006-07. The report receives high accolades, winning awards from the HKICPA and Hong Kong Management Association.



23 The series of investor education seminars jointly organised with the Hong Kong Society of Financial Analysts and the Li Ka Shing Institute of Professional and Continuing Education (LiPACE) of the Open University of Hong Kong (OUHK) kicks off. The seminars cover more advanced investment topics targeted at experienced investors.



September

11 Chairman Mr Eddy Fong welcomes Mr Gui Minjie, Vice Chairman of the CSRC, who leads a 40-strong delegation of Mainland fund managers on an exchange tour to Hong Kong. ED Mrs Alexa Lam addresses the delegation at a seminar hosted by the SFC.



11 Chairman Mr Eddy Fong speaks at the Hong Kong Investment Funds Association Annual Conference.



2008

October

- 4 ED Mr Brian Ho gives a talk on policy and takeovers issues organised by the Hong Kong Securities Institute (HKSI). Senior SFC executives regularly speak to market participants at HKSI seminars.



November

- 7 – 9 CEO Mr Martin Wheatley participates in an IOSCO Technical Committee Conference in Tokyo.



- 13 An MOU is signed with the Financial Reporting Council to help co-ordinate efforts and exchange information.



- 22 The SFC's Annual Report 2006-07 becomes the Platinum winner in the HKICPA Best Corporate Governance Awards 2007. Chairman Mr Eddy Fong, guest of honour at the presentation ceremony, presents the trophy to COO Mr Paul Kennedy.



January

- 26 The winners emerge at the championship of 'Investment Triathlon', the SFC's first cross-media financial quiz. CEO Mr Martin Wheatley congratulates the winners on their outstanding performance.



March

- 27 An Agreement of Understanding is signed with the CIRC in Beijing to set up a system of regulatory co-operation and information sharing.

