

New Registrations

新批註冊

| SECURITIES DEALERS 證券交易商 | | |
|-----------------------------|---|---|
| Individual 個人 | | |
| Date 日期 | Individual 個人 | Address 地址 |
| Apr 2002 | Mr BILGORE Bradley Allan AGS080 | 26/F-31/F Three Exchange Square, 8 Connaught Place, Central, Hong Kong |
| | Mr CHAN Hing Wah 陳慶華 AET272 | Suite 1601-07, 16/F Cityplaza One, 1111 King's Road, Taikoo Shing, Hong Kong |
| | Mr CHIU Wai Ho 趙偉豪 AAD871 | 6/F Crocodile House One, 50 Connaught Road Central, Central, Hong Kong |
| | Mr CHU Law Kwong, Jones 朱羅光 ADD805 | Room 4206, 42/F, Gloucester Tower, The Landmark, 11 Pedder Street, Central, Hong Kong |
| | Mr HEYNS Jan Gerrit AAK155 | Suite 3211, Citibank Tower Citibank Plaza, 3 Garden Road, Central, Hong Kong |
| | Mr HO Kai Shing, Alfred 何啓承 ABA906 | 12/F, Three Exchange Square, 8 Connaught Place, Central, Hong Kong |
| | Mr HOWARD Terence Henry AAE838 | Suite 3601, 36/F, Cheung Kong Center, 2 Queen's Road Central, Hong Kong |
| | Ms HUNG Yuen Yee, Flavia 洪琬貽 ABX789 | 16/F, Man Yee Building, 68 Des Voeux Road Central, Hong Kong |
| | Mr LAU Chi Wah, Alex 劉志華 ABT429 | 16/F, Man Yee Building, 68 Des Voeux Road Central, Hong Kong |
| | Mr LEE Seung Kyoan AGO525 | 613-4, One International Finance Centre, 1 Harbour View Street, Central, Hong Kong |
| | Mr LI Kwok Wing, Meocre 李國榮 ABL715 | 17/F, Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong |
| | Mr LI Tak Kwong, Charles 李德光 AFU611 | 40/F, Two Exchange Square, 8 Connaught Place, Central, Hong Kong |
| | Mr LO Ching Yuen, Danny 羅清源 AAF164 | 803-4, Luk Yu Building, 24-26 Stanley Street, Hong Kong |
| | Mr NG Man Hoi 吳文海 AHF984 | Suite 2001, 20/F, Cheung Kong Centre, 2 Queen's Road Central, Hong Kong |
| | Mr ROBERTSON Malcolm John AHD811 | 4301, Citibank Tower, Citibank Plaza, 3 Garden Road, Central, Hong Kong |
| Mr SULTAN Asad Turab ADW848 | 4301, Citibank Tower, Citibank Plaza, 3 Garden Road, Central, Hong Kong | |

SECURITIES DEALERS 證券交易商

Individual 個人

| Date 日期 | Individual 個人 | Address 地址 |
|----------|--|---|
| Apr 2002 | Ms TSANG Hao Yuk, Gladys 曾巧玉 AAV793 | 1A, Man Hing Building, 79-83 Queen's Road Central, Hong Kong |
| | Mr WAN Ten Lap 溫天納 ACJ279 | 4001-3 Tower 2, Lippo Centre, 89 Queensway, Central, Hong Kong |
| | Mr WAN Yew Chye 范有財 ADF140 | 40/F, Cheung Kong Center, 2 Queen's Road Central, Hong Kong |
| May 2002 | Mr HO Tsun Ting, Alex 何俊霆 AAA749 | Suite 1101, 1120-1122 Two Pacific Place, 88 Queensway, Hong Kong |
| | Mr HU Yebi 胡野碧 ACC115 | Room 1305, 9 Queen's Road Central, Hong Kong |
| | Mr KANUK Alan Robert ACP566 | 39/F, One International Finance Centre, 1 Harbour View Street, Central, Hong Kong |
| | Mr KWAN Yam Hung 關蔭雄 AAZ964 | Room 1305, 9 Queen's Road Central, Hong Kong |
| | Mr LAU Yat Wah 劉日華 AAA310 | 3202 Office Tower Convention Plaza, 1 Harbour Road, Wanchai, Hong Kong |
| | Mr LEE Yiu Sun 李耀新 AAJ472 | Room 2501-3 Wing On Centre, 111 Connaught Road Central, Hong Kong |
| | Mr RATLIFF David Talmadge AHG576 | 20/F Three Exchange Square, 8 Connaught Place, Central, Hong Kong |
| | Mr SETO John Gin Chung 司徒振中 AAA447 | Room 2501-3 Wing On Centre, 111 Connaught Road Central, Hong Kong |
| | Mr TANG Wei, Donald 唐偉 ABT650 | 26/F Citibank Tower, 3 Garden Road, Central, Hong Kong |
| | Mr WAN Tom 尹棠 AAD706 | 3005-6 The Center, 99 Queen's Road Central, Central, Hong Kong |
| | Mr WONG Chung Sze 黃仲士 AEW835 | Top Floor Wing Shun Building, 64-66 Bonham Strand West, Hong Kong |
| | Mr WONG Hok Kam 汪學金 AAK734 | 3005-6 The Center, 99 Queen's Road Central, Central, Hong Kong |
| Jun 2002 | Mr BROWN Craig Conley AGD665 | Unit 4201-4203, Cheung Kong Centre, 2 Queen's Road Central, Hong Kong |
| | Mr BUDIARDJO Wilhelm Soeharsono 沈振偉 ACJ251 | Room 2202, Admiralty Centre, Tower 1, 18 Harcourt Road, Hong Kong |
| | Mr CHAN Yick Tsun 陳億川 AAF489 | 1511-12 The Center, 99 Queen's Road Central, Hong Kong |
| | Mr CHAU Li Hau 周李厚 AAA142 | Room 6501-06 The Centre, 99 Queen's Road Central, Hong Kong |

SECURITIES DEALERS 證券交易商

Individual 個人

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|----------|-------------------------------------|---|
| Jun 2002 | Mr CHIU Chi Kin, Andrew 趙熾堅 AEI648 | 28/F Cosco Tower, Grand Millennium Plaza, 183 Queen's Road Central, Hong Kong |
| | Ms CHIU Wai Han, Maria 招慧嫻 ACP141 | 710 Yu To Sang Building, 37 Queen's Road Central, Hong Kong |
| | Mr FENG Lei Ming 馮雷明 ACN453 | Suite 1101, 1120-1122 Two Pacific Place, 88 Queensway, Hong Kong |
| | Mr HENDEL Mark Joseph AHN633 | 40/F Cheung Kong Center, 2 Queen's Road Central, Hong Kong |
| | Ms HO Sin Ying, Pierra 何倩瑩 AGD016 | 1801-07 NatWest Tower, Time Square, 1 Matheson Street, Causeway Bay, Hong Kong |
| | Mr LAM Man Kwong, David 林文光 ADI854 | 1601 Two Exchange Square, 8 Connaught Place, Central, Hong Kong |
| | Ms MOK Beatrice 莫綺文 ACX849 | 11D On Hing Building, 1-9 On Hing Terrace, Central, Hong Kong |
| | Mr POON Yiu Kin, Samuel 潘耀堅 AEM025 | 17/F Asia Pacific Finance Tower, 3 Garden Road, Central, Hong Kong |
| | Mr SADLER Simon Peter AFJ906 | Level 55, Cheung Kong Center, 2 Queen's Road Central, Hong Kong |
| | Mr SUEN Chun Man 孫俊文 ABT497 | Room 605, Hang Seng Building, 77 Des Voeux Road Central, Hong Kong |
| | Ms THOMAS Delyth Lesley ACN314 | 2/F AIA Building, 1 Stubbs Road, Hong Kong |
| | Mr UMEMORI Masahiro AHM886 | 32/F Citibank Tower, Citibank Plaza, 3 Garden Road, Central, Hong Kong |
| | Mr WONG Kwong Ho 黃光豪 AAE332 | 9/F Malahon Center, 10-12 Stanley Street, Central, Hong Kong |
| | Mr WONG Siu Chow, Johnny 黃少舟 ABY835 | 9/F Malahon Center, 10-12 Stanley Street, Central, Hong Kong |
| | Mr YANG Jian 羊健 AFU682 | Room 1201 & 13/F Guangdong Real Estate, Tower 140-143 Connaught Road Central, Hong Kong |
| | Mr YEUNG Hiu Fung 楊曉風 ADI850 | 15/F World Trust Tower, 50 Stanley Street, Central, Hong Kong |
| | Mr YUEN Kwok Wai 袁國偉 AAV935 | Unit 6503 The Center, 99 Queen's Road Central, Hong Kong |

SECURITIES DEALERS 證券交易商**Corporation 公司**

| Date 日期 | Corporation 公司 | Address 地址 |
|----------|---|--|
| Apr 2002 | CitiseconLine.com Hong Kong Limited 聯勝網上證券有限公司 AHF149 | 803-4 Luk Yu Building, 24-26 Stanley Street, Hong Kong |
| | Evergreen Securities Limited 漢華證券有限公司 AHF685 | Rm 1004, Lap Fai Building, 6-8 Pottinger Street, Central, Hong Kong |
| | ITG Hong Kong Limited 投資科技集團香港有限公司 AHD810 | 4301, Citibank Tower, Citibank Plaza, 3 Garden Road, Central, Hong Kong |
| May 2002 | ITG Securities (HK) Limited AHL007 | 4301 Citibank Tower, 3 Garden Road, Central, Hong Kong |
| | Partners Capital International Limited 博大資本國際有限公司 AHG514 | Room 1305, 9 Queen's Road Central, Hong Kong |
| | Stockmartnet Financial Services Limited AHH123 | Room 2501-3 Wing On Centre, 111 Connaught Road Central, Hong Kong |
| Jun 2002 | AIG Global Securities Lending (Asia) Limited AHK988 | 2/F AIA Building, 1 Stubbs Road, Hong Kong |
| | Bizwiz Limited 智謀投資服務有限公司 AGO033 | 11D On Hing Building, 1-9 On Hing Terrace, Central, Hong Kong |
| | J.P. Morgan Securities (Far East) Limited AAB026 | 34-36 44-45/F Jardine House, 1 Connaught Place, Central, Hong Kong |
| | John & Wong Securities Company Limited 宏昌證券有限公司 AHM793 | 9/F Malahon Center, 10-12 Stanley Street, Central, Hong Kong |
| | Primco International Investments Limited 培基國際投資有限公司 AGF692 | 710 Yu To Sang Building, 37 Queen's Road Central, Hong Kong |
| | Stockwell Online (Securities) Limited 常匯科網(證券)有限公司 AHK059 | 1-3/F, Dragon House, 7-7B Cameron Road, Tsimshatsui, Hong Kong |
| | Tang Kee Securities Limited 騰記證券有限公司 AHK552 | Unit 501 Crocodile House 1, 50 Connaught Road Central, Hong Kong |

INVESTMENT ADVISERS 投資顧問

Individual 個人

| Date 日期 | Individual 個人 | Address 地址 |
|------------------------------------|--|--|
| Apr 2002 | Mr ANNAMUNTHODO Terrence Stanislaus AHA979 | Suite 4207-8, Lippo Centre, Tower One, 89 Queensway, Hong Kong |
| | Mr AU-YEUNG Kam Kay 歐陽錦基 ABA474 | Room 3106, Alexandra House, 16-20 Chater Road, Central, Hong Kong |
| | Ms CHING Kerry Kim Wai 程劍慧 AFF128 | 12/F, Three Exchange Square, 8 Connaught Place, Central, Hong Kong |
| | Mr CHOY Peng Wah 蔡秉華 ADI230 | Level 55, Cheung Kong Centre, 2 Queen's Road Central, Hong Kong |
| | Mr HEPPER Roger Anthony AET484 | 1, 45-47/F, Jardine House, 1 Connaught Place, Central, Hong Kong |
| | Mr HO Chi Yin, Kelvin 何自然 AAO487 | 19/F, Wing On House, 71 Des Voeux Road Central, Hong Kong |
| | Mr HONG Bo 洪波 ADH449 | 22/F, The Prince's Building, Central, Hong Kong |
| | Mr KWAN Man Fai, Louis 關文輝 ADG156 | 19/F, Wing On House, 71 Des Voeux Road Central, Hong Kong |
| | Mr KWUN John II 權梭一 ACO049 | 68/F, Cheung Kong Center, 2 Queen's Road Central, Hong Kong |
| | Mr LAU Chi Kit 劉智傑 ABZ611 | 3205A Office Tower, Convention Plaza, 1 Harbour Road, Wanchai, Hong Kong |
| | Ms LAU Tuen Mui 劉端梅 AAZ810 | 5/F, China Chem Century Tower, 178 Gloucester Road, Wanchai, Hong Kong |
| | Mr LEA Barry Francis 李毅 AAG008 | Suites 3901-04, Two Exchange Square, Central, Hong Kong |
| | Ms LEE Chi Sau, Giselle 李之秀 ACA198 | Level 55 Cheung Kong Centre, 2 Queen's Road Central, Hong Kong |
| | Mr LEE Yiu Sun 李耀新 AAJ472 | Room 2501-3, Wing On Centre, 111 Connaught Road Central, Hong Kong |
| | Mr LEUNG Chai On, Michael 梁濟安 AEE545 | Room 505, Wing Shan Tower, 173 Des Voeux Road Central, Hong Kong |
| | Ms LIN Wai Yan 林慧欣 AEU175 | Room 3106, Alexandra House, 16-20 Chater Road, Central, Hong Kong |
| | Mr LO Mun Lam, Raymond 盧敏霖 ABY583 | Suite 3105, Alexandra House, 16 Chater Road, Central, Hong Kong |
| Mr SETO John Gin Chung 司徒振中 AAA447 | Room 2501-3, Wing On Centre, 111 Connaught Road Central, Hong Kong | |

INVESTMENT ADVISERS 投資顧問

Individual 個人

| Date 日期 | Individual 個人 | Address 地址 |
|--------------------------------|--|---|
| Apr 2002 | Ms TAM Yuet Ping 譚月冰 AAF711 | Room 3106, Alexandra House, 16-20 Chater Road, Central, Hong Kong |
| | Mr TSANG Kam Ching 曾錦清 AHF473 | 3205A, Office Tower, Convention Plaza, 1 Harbour Road, Wanchai, Hong Kong |
| | Mr YIP Kin Kong 葉建剛 ADS751 | 8/F, Hong Kong Diamond Exchange Building, 8 Duddell Street, Central, Hong Kong |
| May 2002 | Mr BLAND Tobias Christopher James ABZ428 | Room 2911 One International Finance Center, Central, Hong Kong |
| | Mr CAMPBELL Gregory John AHL279 | 9/F MLC Tower, 248 Queen's Road East, Wan Chai, Hong Kong |
| | Mr CHAN David Tsz Wing 陳子榮 ACA315 | 24A Entertainment Building, 30 Queen's Road Central, Hong Kong |
| | Mr CHEUNG Sum, Sam 張森 ACH178 | 14/F One Pacific Place, 88 Queensway, Admiralty, Hong Kong |
| | Mr CHIOU Jerry ADV931 | 2906 Bank of America Tower, 12 Harcourt Road, Central, Hong Kong |
| | Mr CHU Kin Chung, Alexander 朱建忠 AAF885 | Room 446, 4/F New Henry House, 10 Ice House Street, Central, Hong Kong |
| | Mr DAVIDSON Russell ABV703 | Room 2911 One International Finance Center, Central, Hong Kong |
| | Mr EVAIN Christophe Armel AHF468 | 16/F Cheung Kong Centre, 2 Queen's Road Central, Hong Kong |
| | Mr HO Chin Hung, David 何展鴻 ABB452 | 19/F Tower II, The Gateway, 25 Canton Road, Tsimshatsui, Hong Kong |
| | Mr HOWLES Beric Thomas Hazlehurst AAG700 | 1801 Winway Building, 50 Wellington Street, Central, Hong Kong |
| | Mr HU Yebi 胡野碧 ACC115 | Room 1305, 9 Queen's Road Central, Hong Kong |
| | Mr JE Kin Ming 余建明 ACZ554 | 43/F One Exchange Square, 8 Connaught Place, Central, Hong Kong |
| | Mr KUO Ming-Jian 郭明鑑 AHJ590 | 48/F One Exchange Square, 8 Connaught Place, Central, Hong Kong |
| | Mr KWAN Yam Hung 關蔭雄 AAZ964 | Room 1305, 9 Queen's Road Central, Hong Kong |
| | Mr LEE Shu Kwan, Raymond AFV511 | 26 & 27/F Guangdong Financial Building, 88 Connaught Road West, Sheung Wan, Hong Kong |
| Mr LEUNG Heung Ying 梁享英 ACG472 | 2801 One International Finance Centre, 1 Harbour View Street, Central, Hong Kong | |

INVESTMENT ADVISERS 投資顧問

Individual 個人

| Date 日期 | Individual 個人 | Address 地址 |
|----------|---------------------------------------|--|
| May 2002 | Mr LOO Sin Kwan 盧善君 ADB471 | Level 25 Bank of China Tower, 1 Garden Road, Hong Kong |
| | Ms MOK Beatrice 莫綺文 ACX849 | 11D On Hing Building, 1-9 On Hing Terrace, Central, Hong Kong |
| | Mr SISOWATH Chakara ADI526 | 1102, 11/F, Hing Wai Building, 36 Queen's Road Central, Central, Hong Kong |
| | Mr SLEVIN Francis Joseph ACJ594 | 20/F Three Exchange Square, 8 Connaught Place, Central, Hong Kong |
| Jun 2002 | Mr CHAN Sau Shan, Gary 陳秀山 ACH777 | 36/F, Far East Finance Centre, 16 Harcourt Road, Hong Kong |
| | Mr CHENG Chung Ho, Jeremy 鄭鍾豪 AER907 | 52/F, Sun Hung Kai Centre, 30 Harbour Road, Hong Kong |
| | Ms CHONG Chin Huei, Violet 常勤慧 AAY400 | 1308 One International Finance Centre, 1 Harbour View Street, Central, Hong Kong |
| | Mr DJEN Wing Yiu 鄭榮曜 ACU930 | 21/F The Broadway, 54-62 Lockhart Road, Wanchai, Hong Kong |
| | Mr FENG Lei Ming 馮雷明 ACN453 | Suite 1101, 1120-1122 Two Pacific Place, 88 Queensway, Hong Kong |
| | Mr HIDALGO Erwin Rudolf C. ABT582 | 1308 One International Finance Centre, 1 Harbour View Street, Central, Hong Kong |
| | Mr LAM Man Kwong, David 林文光 ADI854 | 1601 Two Exchange Square, 8 Connaught Place, Central, Hong Kong |
| | Mr LI Chak Yan, Francis 李澤恩 AGB228 | 16/F Man Yee Building, 68 Des Voeux Road Central, Hong Kong |
| | Mr LIM Chi Yeung, Richard 林志揚 ACA948 | 2601-4, 9 Queen's Road Central, Hong Kong |
| | Ms LIU Lan, Nancy 劉嵐 ADU901 | 2906 West Tower, Shun Tak Centre, 168-200 Connaught Road Central, Hong Kong |
| | Mr NEILSON Philip James AHN636 | Room 1106-07, 11/F ING Tower, 308 Des Voeux Road Central, Hong Kong |
| | Mr NG Tai Chiu 吳大釗 AAV210 | 2302 Lippo Centre Tower 1, 89 Queensway, Hong Kong |
| | Mr PANG Shuen Wai, Nichols 彭宣衛 AAV790 | 31/F China United Centre, 28 Marble Road, North Point, Hong Kong |
| | Mr RATTIWAT Samson 范頌才 AFW108 | 31/F China United Centre, 28 Marble Road, North Point, Hong Kong |

INVESTMENT ADVISERS 投資顧問**Individual 個人**

| Date 日期 | Individual 個人 | Address 地址 |
|-----------|------------------------------------|--|
| June 2002 | Mr ROSS Peter Duncan Munro AEU973 | 34-36, 44-45/F Jardine House, 1 Connaught Place, Central, Hong Kong |
| | Mr SALATA Jean Eric AEG150 | 39/F One International Finance Centre, 1 Harbour View Street, Central, Hong Kong |
| | Mr SHINER Brendan Niall AAW676 | Suite 1703 Century Square, 1-13 D'Aguilar Street, Central, Hong Kong |
| | Mr SHUM Wai Chun 沈偉俊 AHJ589 | 32/F, NatWest Tower, Times Square, 1 Matheson Street, Causeway Bay, Hong Kong |
| | Ms TSUI Mei Ling, May 徐美玲 AEE546 | 5/F Hutchison House, 10 Harcourt Road, Central, Hong Kong |
| | Mr UMEMORI Masahiro AHM886 | 32/F Citibank Tower, Citibank Plaza, 3 Garden Road, Central, Hong Kong |
| | Ms WONG Karen 黃嘉倫 AEI412 | Room 3308 Gloucester Tower, 11 Pedder Street, Central, Hong Kong |
| | Mr YICK Wing Fat, Simon 易永發 AEE544 | 5/F Hutchison House, 10 Harcourt Road, Central, Hong Kong |
| | Ms YU Alice 郁筱倫 ABM853 | Room 1911-12 Shui On Centre, 6-8 Harbour Road, Wanchai, Hong Kong |

| INVESTMENT ADVISERS 投資顧問 | | |
|--|---|--|
| Corporation 公司 | | |
| Date 日期 | Corporation 公司 | Address 地址 |
| Apr 2002 | Baron Asset Management Limited 建勤資產管理有限公司 AGY047 | Room 3106, Alexandra House, 16-20 Chater Road, Central, Hong Kong |
| | Deutsche Asset Management (Hong Kong) Limited 德意志資產管理(香港)有限公司 ACJ870 | Level 55, Cheung Kong Centre, 2 Queen's Road Central, Hong Kong |
| | First Shanghai Investment Management Limited 第一上海投資管理有限公司 AGX454 | 19/F, Wing On House, 71 Des Voeux Road Central, Hong Kong |
| | Hooray Capital Limited AHF470 | 3205A, Office Tower, Convention Plaza, 1 Harbour Road, Wanchai, Hong Kong |
| | Horizon Capital Management and Research Limited AHE514 | 22/F, The Prince's Building, Central, Hong Kong |
| | Menlo Capital Limited 文略融資有限公司 AHH635 | Room 505, Wing Shan Tower, 173 Des Voeux Road Central, Hong Kong |
| | Stockmartnet Capital Limited AHH120 | Room 2501-3, Wing On Centre, 111 Connaught Road Central, Hong Kong |
| May 2002 | Bizwiz Limited 智謀投資服務有限公司 AGO033 | 11D On Hing Building, 1-9 On Hing Terrace, Central, Hong Kong |
| | Canterbury Capital Limited AHD688 | 24A Entertainment Building, 30 Queen's Road Central, Hong Kong |
| | Continuity Capital (Hong Kong) Limited 康譽資本有限公司 AHJ438 | Level 25 Bank of China Tower, 1 Garden Road, Hong Kong |
| | Enhanced Investment Products Limited AHK550 | Room 2911 One International Finance Center, Central, Hong Kong |
| | Gladstone Morgan Portfolio Services Limited AHL730 | 1801 Winway Building, 50 Wellington Street, Central, Hong Kong |
| | Hong Kong Wealth Management Limited 港雋理財策劃有限公司 AHL275 | 9/F MLC Tower, 248 Queen's Road East, Wan Chai, Hong Kong |
| | Intermediate Capital Asia Limited AHF467 | 16/F Cheung Kong Centre, 2 Queen's Road Central, Hong Kong |
| | Partners Capital International Limited 博大資本國際有限公司 AHG514 | Room 1305, 9 Queen's Road Central, Hong Kong |
| | Stockmartnet Technology Limited AHH125 | Room 2501-3 Wing On Centre, 111 Connaught Road Central, Hong Kong |
| WeCare Management Limited 至盛富才有限公司 AHF353 | Room 446, 4/F New Henry House, 10 Ice House Street, Central, Hong Kong | |

INVESTMENT ADVISERS 投資顧問**Corporation 公司**

| Date 日期 | Corporation 公司 | Address 地址 |
|----------|---|--|
| Jun 2002 | Baring Private Equity Partners (Hong Kong) Limited AGY555 | 39/F One International Finance Centre, 1 Harbour View Street, Central, Hong Kong |
| | CU Investment Management Limited 富聯投資管理有限公司 AHG512 | 31/F China United Centre, 28 Marble Road, North Point, Hong Kong |
| | Eureka Hedge Advisors (Hong Kong) Limited AHM445 | Suite 1703 Century Square, 1-13 D'Aguilar Street, Central, Hong Kong |
| | ING Financial Planning Limited AFG549 | Room 1106-07 11/F ING Tower, 308 Des Voeux Road Central, Hong Kong |
| | Ludgate Hill Investment Management Limited AHL305 | 21/F The Broadway, 54-62 Lockhart Road, Wanchai, Hong Kong |

COMMODITIES DEALERS 商品交易商**Individual 個人**

| Date 日期 | Individual 個人 | Address 地址 |
|----------|---|---|
| Apr 2002 | Mr CHAN Kee Shek 陳基石 AAJ312 | 10/F, Lin Fook House, 3 Jardine's Crescent, Hong Kong |
| | Mr CHU Law Kwong, Jones 朱羅光 ADD805 | Room 4205-6, 42/F, Gloucester Tower, The Landmark, 11 Pedder Street, Central, Hong Kong |
| | Mr HOWARD Terence Henry AAE838 | 3601 Cheung Kong Center, 2 Queen's Road Central, Hong Kong |
| | Mr LEUNG Chi Wai 梁志偉 ACB019 | Suite 2001, 20/F Cheung Kong Centre, 2 Queen's Road Central, Hong Kong |
| May 2002 | Mr LUK Kam Yuen 陸錦源 AAB493 | 3202 Office Tower Convention Plaza, 1 Harbour Road, Wanchai, Hong Kong |
| | Mr PANG Tung Choi 彭棟材 AAA455 | 3202 Office Tower Convention Plaza, 1 Harbour Road, Wanchai, Hong Kong |
| | Mr RATLIFF David Talmadge AHG576 | 20/F Three Exchange Square, 8 Connaught Place, Central, Hong Kong |
| | Mr SAUBESTRE Paul Frederic Henri AHD374 | 43/F One Exchange Square, 8 Connaught Place, Central, Hong Kong |

COMMODITIES DEALERS 商品交易商

Individual 個人

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|----------|-------------------------------------|---|
| Jun 2002 | Mr CHAN Pui Kwong, Simon 陳沛光 AAA421 | 3202 Office Tower Convention Plaza, 1 Harbour Road, Wanchai, Hong Kong |
| | Mr CHAN Yick Tsun 陳億川 AAF489 | Unit 1511-12 The Center, 99 Queen's Road Central, Hong Kong |
| | Mr KASTEN Olaf AGG322 | 4/F-14/F Central Tower, 28 Queen's Road Central, Hong Kong |
| | Mr MAK Tat Cho 麥達祖 AAJ601 | Room 1605 Wing On Centre, 111 Connaught Road Central, Hong Kong |
| | Mr NG Man Hoi 吳文海 AHF984 | Suite 2001, 20/F Cheung Kong Centre, 2 Queen's Road Central, Hong Kong |
| | Ms SAY Chi Ming, Deana 謝智明 AAE090 | 12/F Asia Financial Centre, 120 Des Voeux Road Central, Hong Kong |

COMMODITIES DEALERS 商品交易商

Corporation 公司

| Date 日期 | Corporation 公司 | Address 地址 |
|----------|--|---|
| Apr 2002 | Fordjoy Futures Limited 福財期貨有限公司 AGQ863 | 10/F, Lin Fook House, 3 Jardine's Crescent, Hong Kong |
| May 2002 | Hooray Futures Limited 好盈期貨有限公司 AHC345 | 3202 Office Tower Convention Plaza, 1 Harbour Road, Wanchai, Hong Kong |
| Jun 2002 | New Universe Futures (HK) Limited 新宇期貨(香港)有限公司 AHC601 | Room 1605 Wing On Centre, 111 Connaught Road Central, Hong Kong |

COMMODITIES TRADING ADVISERS 商品交易顧問**Individual 個人**

| Date 日期 | Individual 個人 | Address 地址 |
|----------|---|--|
| Apr 2002 | Mr CHEUNG Kai Yuen 張啓源 AAX685 Mr YING Yuming 應玉明 ACI900 | 60-66, Wing Lok Street, Sheung Wan, Hong Kong 36/F, Far East Finance Centre, 16 Harcourt Road, Hong Kong |
| May 2002 | Mr BLAND Tobias Christopher James ABZ428 Mr DAVIDSON Russell ABV703 | Room 2911 One International Finance Center, Central, Hong Kong Room 2911 One International Finance Center, Central, Hong Kong |
| Jun 2002 | Ms HO Sin Ying, Pierra 何倩瑩 AGD016 Mr LAM Man Kwong, David 林文光 ADI854 Mr LIM Chi Yeung, Richard 林志揚 ACA948 Mr UMEMORI Masahiro AHM886 | 1801-07 NatWest Tower, Time Square, 1 Matheson Street, Causeway Bay, Hong Kong 1601 Two Exchange Square, 8 Connaught Place, Central, Hong Kong 2601-4, 9 Queen's Road Central, Hong Kong 32/F Citibank Tower, Citibank Plaza, 3 Garden Road, Central, Hong Kong |

COMMODITIES TRADING ADVISERS 商品交易顧問**Corporation 公司**

| Date 日期 | Corporation 公司 | Address 地址 |
|----------|--|--|
| Apr 2002 | China Everbright Assets Management Limited 中國光大資產管理有限公司 AGC028 DragonInvest.com Limited AGN287 | 36/F, Far East Finance Centre, 16 Harcourt Road, Hong Kong 60-66 Wing Lok Street, Sheung Wan, Hong Kong |
| May 2002 | Enhanced Investment Products Limited AHK550 | Room 2911 One International Finance Center, Central, Hong Kong |