

New Registrations

新批註冊

SECURITIES DEALERS 證券交易商

Individual 個人

Date 日期	Individual 個人	Address 地址
Jan 2002	Mr CASSIDY Dennis George AAD293	40/F Two Exchange Square, 8 Connaught Place, Central, Hong Kong
	Mr CHAN Chun Shing, Otto 陳鎮成 AAF999	Level 16 & Level 17, 1 Queen's Road Central, Hong Kong
	Mr CHAN Yau Kit, Eric 陳有傑 AAD840	11/F-13/F Ying Kong Mansion, 2-6 Yee Wo Street, Causeway Bay, Hong Kong
	Mr CHAU Cheuk Wah 周卓華 ABS419	3202, Office Tower, Convention Plaza, 1 Harbour Road, Wanchai, Hong Kong
	Mr FAURE Emmanuel Dominique Martial G. AFC367	901 Henley Building, 5 Queen's Road Central, Hong Kong
	Mr FUNG Chi Chung 馮智冲 ACQ615	15/F Golden Centre, 188 Des Voeux Road Central, Hong Kong
	Mr FYFE William James AFW138	901 Henley Building, 5 Queen's Road Central, Hong Kong
	Mr HANG Kwong Lam, David 幸廣林 AAI986	35/F Bank of China Tower, 1 Garden Road, Central, Hong Kong
	Mr HO Yew Mun AHD038	16/F Man Yee Building, 68 Des Voeux Road Central, Hong Kong
	Mr LAU Siu Ki 劉紹基 AAW465	16/F Man Yee Building, 68 Des Voeux Road Central, Hong Kong
	Ms LO Sing 盧勝 AEM141	Suite 703, 1063 King's Road, Quarry Bay, Hong Kong
	Mr LORD II John Wesley AHA448	2301-6 Asia Pacific Finance Tower, 3 Garden Road, Central, Hong Kong
	Mr MALT Mario AEN381	40/F Cheung Kong Center, 2 Queen's Road Central, Hong Kong
	Mr NG Kam Shing 吳金城 AAA057	Room 2601, Tower I, Admiralty Centre, 18 Harcourt Road, Hong Kong
	Mr SIAO Stanford Tzu Ang 蕭子昂 ACD401	26-31/F Three Exchange Square, 8 Connaught Place, Central, Hong Kong

SECURITIES DEALERS 證券交易商

Individual 個人

Date 日期	Individual 個人	Address 地址
Feb 2002	Mr BARROW Jason Paul AGN135	40/F Cheung Kong Center, 2 Queen's Road Central, Hong Kong
	Mr BROOKHOUSE Simon Douglas ADH078	17/F Asia Pacific Finance Tower, 3 Garden Road, Central, Hong Kong
	Mr CHAN Chung Ping 陳頌平 AAI983	802 Hong Kong Club Building, 8A Chater Road, Central, Hong Kong
	Ms CHAN Yin Tong, Cynthia 陳燕堂 AFA587	Room 2601 Tower I Admiralty Centre, 18 Harcourt Road, Hong Kong
	Ms CHAN Yuk Lin 陳玉蓮 AAF639	Room 5101, The Center, 99 Queen's Road Central, Hong Kong
	Ms CHONG Kally 莊嘉俐 AEX103	1503-5 World Wide House, 19 Des Voeux Road Central, Central, Hong Kong
	Ms DUONG My Hanh, Alice 楊惠敏 ACK600	18/F Gloucester Tower, The Landmark, 11 Pedder Street, Central, Hong Kong
	Mr FUNG Peter Wai Ling 馮偉靈 ACZ784	1601-5 16/F Wing Shan Tower, 173 Des Voeux Road Central, Central, Hong Kong
	Mr HOWARTH Mark AHE134	1508 Two Exchange Square, 8 Connaught Place, Central, Hong Kong
	Ms KOK Siew Chan 郭秀珍 ACI344	Unit 1601, Far East Finance Centre, 16 Harcourt Road, Admiralty, Hong Kong
	Mr LUK Kam 陸金 AAA629	Unit 1601, Far East Finance Centre, 16 Harcourt Road, Admiralty, Hong Kong
	Mr MAGUIRE John Martin ADC364	16/F Hutchison House, 10 Harcourt Road, Central, Hong Kong
	Mr NG Kwok Keung 吳國強 AFH168	45/F Cosco Tower, 183 Queen's Road Central, Hong Kong
	Ms NG Wai Ling, Cecilia 吳慧玲 ADT429	16/F Hutchison House, 10 Harcourt Road, Central, Hong Kong
	Ms SABNANI Krishma Manu ACG238	Room C, 7/F Wing Hang Insurance Building, 11 Wing Kut Street, Central, Hong Kong
	Mr VOON David Hian-Fook 溫賢福 ADT742	68/F Cheung Kong Centre, 2 Queen's Road Central, Hong Kong

SECURITIES DEALERS 證券交易商

Individual 個人

Date 日期	Individual 個人	Address 地址
Mar 2002	Mr AHMAD Syed Hyder ADU329	68/F Cheung Kong Centre, 2 Queen' s Road Central, Hong Kong
	Mr APPLEBY Robert Clive AEN870	907 Asia Pacific Finance Tower, 3 Garden Road, Central, Hong Kong
	Mr BOTSFORD Christopher Robert AEN684	907 Asia Pacific Finance Tower, 3 Garden Road, Central, Hong Kong
	Ms CHAN Chung Wai, Christine 陳宗慧 AAA256	Rm 405 New World Tower I, No. 18 Queen's Road Central, Hong Kong
	Mr CHAN Kai Wing 陳啟榮 AAK417	12/F Pearl Oriental House, 60 Stanley Street, Central, Hong Kong
	Mr CHEN Man Fai, Steven 陳文輝 ADS437	17/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong
	Mr CHEUNG Kin Wai 張健偉 ACC185	36/F Asia Pacific Finance Tower, Citibank Plaza, 3 Garden Road, Central, Hong Kong
	Mr CHU Sai Tak 朱世德 ADX572	Suite 1101, 1120-1122 Two Pacific Place, 88 Queensway, Hong Kong
	Mr GRIP Douglas Carl AGP142	68/F Cheung Kong Centre, 2 Queen's Road Central, Hong Kong
	Ms LI Wai Kuen 李惠娟 ADZ551	Level 20 Cheung Kong Centre, 2 Queen's Road Central, Hong Kong
	Mr PANG Ka Kon, Stephen 彭嘉幹 ACW359	Unit 1702, 17/F Vicwood Plaza, 199 Des Voeux Road Central, Hong Kong
	Mr PANG Shu Wan 彭樹穩 ADH558	5/F Hutchison House, 10 Harcourt Road, Central, Hong Kong
	Mr SAUBESTRE Paul Frederic Henri AHD374	43/F & 49/F One Exchange Square, 8 Connaught Place, Central, Hong Kong
	Mr SUMMERBELL Mark AHJ897	2/F Shui On Centre, 6-8 Harbour Road, Wanchai, Hong Kong
	Mr TSOI Wai Ming, Tommy 蔡偉明 AEO131	12/F C.M.A. Building, 66 Connaught Road Central, Hong Kong
	Mr WONG Kwing Kwan 黃炯群 ACJ711	19/F Sino Centre, 582-592 Nathan Road, Hong Kong

SECURITIES DEALERS 證券交易商		
Corporation 公司		
Date 日期	Corporation 公司	Address 地址
Jan 2002	Fimat Futures (Hong Kong) Limited 飛馬期貨(香港)有限公司 ABV662	901 Henley Building, 5 Queen's Road Central, Hong Kong
	Union Asia Cyber Limited 聯亞數碼有限公司 AGK049	15/F Golden Centre, 188 Des Voeux Road Central, Hong Kong
Feb 2002	Ernst & Young Corporate Finance Limited 安永企業融資顧問有限公司 AGC194	16/F Hutchison House, 10 Harcourt Road, Central, Hong Kong
	Sinomax Securities Limited 佳富達證券有限公司 AHC207	Unit 1601, Far East Finance Centre, 16 Harcourt Road, Admiralty, Hong Kong
Mar 2002	Alpha Alliance Securities Limited 冠聯證券有限公司 AHF861	17/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong
	Asia Financial Products (HK) Limited AGZ819	907 Asia Pacific Finance Tower, 3 Garden Road, Central, Hong Kong
	Worldtop Securities Limited 大世界證券有限公司 AHB517	Rm 405 New World Tower I, No. 18 Queen's Road Central, Hong Kong
	Yick Fung Securities & Investment Co. Limited 益豐証券投資有限公司 AHC628	Room 1001-2 Regent Centre, 88 Queen's Road Central, Hong Kong

INVESTMENT ADVISERS 投資顧問

Individual 個人

Date 日期	Individual 個人	Address 地址
Jan 2002	Mr BAPTISTA Michael Peter AHE139	40/F Cheung Kong Center, 2 Queen's Road Central, Hong Kong
	Mr BOGGIS Alexander Colin AFT717	2201-2 Wheelock House, 20 Pedder Street, Central, Hong Kong
	Mr CHAU Cheuk Wah 周卓華 ABS419	3202, Office Tower, Convention Plaza, 1 Harbour Road, Wanchai, Hong Kong
	Mr HO Yew Mun AHD038	16/F Man Yee Building, 68 Des Voeux Road Central, Hong Kong
	Mr LAM Siu Yeung 林少陽 AFU193	3208 The Center, 99 Queen's Road Central, Hong Kong
	Mr LORD II John Wesley AHA448	2301-6 Asia Pacific Finance Tower, 3 Garden Road, Central, Hong Kong
	Mr NG Lak Chuan 黃立川 AFZ485	20/F-25/F One Exchange Square, 8 Connaught Place, Central, Hong Kong
	Mr SHAW Roman Jun 邵俊 ACB061	23/F, Chekiang First Bank Centre, 1 Duddell Street, Central, Hong Kong
	Ms TSANG Sze Man, Carol 曾詩敏 AET868	1010 Tower 2, Lippo Centre, 89 Queensway, Hong Kong
Mr YANG Changbo 楊昌伯 AGZ997	2307 One International Finance Centre, 1 Harbour View Street, Central, Hong Kong	
Feb 2002	Mr BROWN James Arnold Graham AAK539	Suite 15A Cindic Tower, 128 Gloucester Road, Hong Kong
	Mr CHENG Ka Lok, Michael 鄭家樂 ACB656	Suite E, 7/F CNT Tower, 338 Hennessy Road, Wanchai, Hong Kong
	Mr CONSING Cezar Peralta ADM366	48/F One Exchange Square, 8 Connaught Place, Central, Hong Kong
	Ms DUONG My Hanh, Alice 楊惠敏 ACK600	18/F Gloucester Tower, The Landmark, 11 Pedder Street, Central, Hong Kong
	Mr HIMMELSTEIN Christoph AHE193	18/F CITIC Tower, 1 Tim Mei Avenue, Central, Hong Kong
	Ms HSU Ru Mei 許如玫 AEU949	Suite 1218, Central Building, 1 Pedder Street, Central, Hong Kong
	Mr MASON Stuart Grietens ABM900	2103-4 Lyndhurst Tower, 1 Lyndhurst Terrace, Central, Hong Kong
	Mr PYNE Jonathan Charles ABM537	22/F Prince's Building, Central, Hong Kong
	Mr TARGET Charles Gerard Roy ACC998	1008A Shui On Centre, 6-8 Harbour Road, Wanchai, Hong Kong

INVESTMENT ADVISERS 投資顧問		
Individual 個人		
Date 日期	Individual 個人	Address 地址
Feb 2002	Mr WALLACE Sean Robert AHH632	48/F One Exchange Square, 8 Connaught Place, Central, Hong Kong
	Mr YAP Kah On AAV894	3A World Trust Tower, 50 Stanley Street, Central, Hong Kong
Mar 2002	Mr AU Ka Leung 區家良 ABZ887	701 Beverley Commercial Centre, 87-105 Chatham Road, Tsimshatsui, Hong Kong
	Mr CHAN Pak Kui, Paul 陳柏鉅 ACJ742	12/F Three Exchange Square, 8 Connaught Place, Central, Hong Kong
	Mr CHEUNG Wang Ngai 張宏毅 AHL728	Room 1804 18/F Wing On Central Building, No. 26 Des Voeux Road Central, Hong Kong
	Mr GODFRAY John Verden ABW773	40/F Cheung Kong Center, 2 Queen's Road Central, Hong Kong
	Mr GOLDSMITH Philip Mark AAK537	Unit 1602, Dina House, Ruttonjee Centre, 11 Duddell Street, Central, Hong Kong
	Mr GRAY Philip John Scott AAG974	Unit 1602, Dina House, Ruttonjee Centre, 11 Duddell Street, Central, Hong Kong
	Mr HO Kwong Chak 何光澤 AAB154	28/F, Citibank Tower, Citibank Plaza, 3 Garden Road, Central, Hong Kong
	Mr HO Robert Kwok Ting 何國挺 AFO417	21/F, 111 Leighton Road, Causeway Bay, Hong Kong
	Mr HONG CHOY Man On, George 王文安 ABJ722	48/F One Exchange Square, 8 Connaught Place, Central, Hong Kong
	Mr LEVEN Hans Johan AGR742	68/F Cheung Kong Center, 2 Queen's Road Central, Hong Kong
	Ms MCLENNAN Jennifer Carver AAE384	6/F Landmark East, 12 Ice House Street, Central, Hong Kong
	Mr NAM Kwok Lun 藍國倫 AAA205	Room 801, Tower One, Lippo Centre, 89 Queensway, Hong Kong
	Mr NGAN Chak Keung 顏澤強 ACZ334	Room 801, Tower One, Lippo Centre, 89 Queensway, Hong Kong
	Ms SOON Yan Seen 孫瑩心 AAQ324	Unit Nos. 5301-5302, 53/F, The Centre, 99 Queen's Road Central, Hong Kong
	Mr SUMMERBELL Mark AHJ897	2/F Shui On Centre, 6-8 Harbour Road, Wanchai, Hong Kong
	Mr TSANG Yiu Fat, Peter 曾耀發 ACD840	23/F Wheelock House, 20 Pedder Street, Central, Hong Kong

INVESTMENT ADVISERS 投資顧問

Individual 個人

Date 日期	Individual 個人	Address 地址
Mar 2002	Mr WU Choi Sun, William 吳在樂 AER325	1112 Cosco Tower, Grand Millennium Plaza, 183 Queen's Road Central, Hong Kong
	Ms WU Mang Ching, Agnes 胡孟青 AFR298	Room 801, Tower One, Lippo Centre, 89 Queensway, Hong Kong
	Mr WU Tse Wai, Frederick 吳子惠 AAC563	6/F Landmark East, 12 Ice House Street, Central, Hong Kong
	Mr YAO Yuen-jen, Michael 姚允仁 ACL978	68/F Cheung Kong Center, 2 Queen's Road Central, Hong Kong

INVESTMENT ADVISERS 投資顧問**Corporation 公司**

Date 日期	Corporation 公司	Address 地址
Feb 2002	DGV Capital Partners Limited 中財社有限公司 AHD803	Suite E, 7/F, CNT Tower, 338 Hennessy Road, Wanchai, Hong Kong
	Eton Advisory Services Limited 貽安顧問有限公司 AHF189	3A World Trust Tower, 50 Stanley Street, Central, Hong Kong
	Mason LaRoche (HK) Limited AGW218	2103-4 Lyndhurst Tower, 1 Lyndhurst Terrace, Central, Hong Kong
Mar 2002	Karl Thomson Investment Consultants Limited 高信投資顧問有限公司 AHF764	Room 801, Tower One, Lippo Centre, 89 Queensway, Hong Kong
	New Star International Investment Products (Asia) Limited AHF148	Unit 1602, Dina House, Ruttonjee Centre, 11 Duddell Street, Central, Hong Kong
	Oasis Investment Management Limited 好利時投資管理有限公司 AHD908	Room 1804, 18/F, Wing On Central Building, No. 26 Des Voeux Road Central, Hong Kong
	Success Power Investment Limited 成峰投資有限公司 AGT134	701 Beverley Commercial Centre, 87-105 Chatham Road, Tsimshatsui, Hong Kong

COMMODITIES DEALERS 商品交易商**Individual 個人**

Date 日期	Individual 個人	Address 地址
Jan 2002	Mr CHENG Wai Chung 鄭惠忠 AAJ186	31/F, China United Centre, 28 Marble Road, North Point, Hong Kong
	Mr WAN Kai Leung, Paul 尹啟良 ACI925	Unit 2505-2506 China Online Centre, 333 Lockhart Road, Wanchai, Hong Kong
	Mr WAN Siu Shun 溫紹迅 AEA214	2401-2, 24/F, Admiralty Centre, Tower II, 18 Harcourt Road, Hong Kong
	Mr YAN King Tang 甄景騰 ACD374	Unit 2505-2506 China Online Centre, 333 Lockhart Road, Wanchai, Hong Kong
Feb 2002	Mr LAI Kam Wo 黎錦和 ABQ024	Unit 1511-12 The Center, 99 Queen's Road Central, Hong Kong
	Mr LEE Wai Yin 李偉賢 AFW154	Unit 1511-12 The Center, 99 Queen's Road Central, Hong Kong
	Mr LO Ka Lok, Steve 盧家樂 AAB828	26/F Worldwide House, 19 Des Voeux Road Central, Hong Kong
	Mr VOON David Hian-Fook 溫賢福 ADT742	68/F Cheung Kong Centre, 2 Queen's Road Central, Hong Kong
Mar 2002	Mr CHAN Andrew Wai Men 陳偉文 AAV478	Room 905 Shui On Centre, 6-8 Harbour Road, Wanchai, Hong Kong
	Mr CHEUNG Kin Wai 張健偉 ACC185	36/F Asia Pacific Finance Tower, Citibank Plaza, 3 Garden Road, Central, Hong Kong
	Mr FONG Siu Yat 方少逸 AFA176	15/F World Trust Tower, 50 Stanley Street, Central, Hong Kong
	Mr HANG Kwong Lam, David 幸廣林 AAI986	35/F Bank of China Tower, 1 Garden Road, Central, Hong Kong
	Mr LEE Kwok Ning, Lobo 李國寧 ABR565	28/F, Bank of China Tower, 1 Garden Road, Central, Hong Kong

COMMODITIES DEALERS 商品交易商		
Corporation 公司		
Date 日期	Corporation 公司	Address 地址
Jan 2002	Glory Sky Futures Limited 灝天期貨有限公司 AGK862	Unit 2505-2506 China Online Centre, 333 Lockhart Road, Wanchai, Hong Kong
Feb 2002	Asia-Pac Futures Limited 中亞期貨有限公司 AHA296	Unit 1511-12 The Center, 99 Queen's Road Central, Hong Kong
	CM-CCS Futures Limited 招商國通期貨有限公司 AGO928	26/F Worldwide House, 19 Des Voeux Road Central, Hong Kong
Mar 2002	Fortis Clearing (Options) Hong Kong Limited 富通期權結算香港有限公司 ACU526	33-34/F Asia Pacific Finance Tower, Citibank Plaza, 3 Garden Road, Hong Kong
COMMODITIES TRADING ADVISERS 商品交易顧問		
Individual 個人		
Date 日期	Individual 個人	Address 地址
Jan 2002	Mr TURNER Stuart Brian AGZ773	17/F Citic Tower, 1 Tim Mei Avenue, Central, Hong Kong
Mar 2002	Mr LEE Kwok Ning, Lobo 李國寧 ABR565	28/F, Bank of China Tower, 1 Garden Road, Central, Hong Kong
COMMODITIES TRADING ADVISERS 商品交易顧問		
Corporation 公司		
Date 日期	Corporation 公司	Address 地址
Jan 2002	Macquarie Funds Management Hong Kong Limited 麥格理基金管理(香港)有限公司 AGZ772	17/F Citic Tower, 1 Tim Mei Avenue, Central, Hong Kong