

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
AMP Capital Brookfield (HK) Limited	AUN326	Licensed Corporation	1, 4, 9
Boussard & Gavaudan Asia Limited	AUW790	Licensed Corporation	1, 9
Central Wealth Securities Investment Limited	AVE583	Licensed Corporation	1, 4
Cinda International Research Limited	AUQ313	Licensed Corporation	4
Consolidated Capital Limited	AUL711	Licensed Corporation	1
Creat Capital Company Limited	AUE106	Licensed Corporation	4, 9
De Riva Asia Limited	ATN568	Licensed Corporation	1, 2
Forensic Asia Limited	AVD212	Licensed Corporation	4
Hamilton Lane (Hong Kong) Limited	AUN967	Licensed Corporation	1, 4, 9
Intesa Asset Management Limited	AUL789	Licensed Corporation	4, 9
Jades Capital Management Limited	ASW870	Licensed Corporation	4, 9
Kingsbridge Limited	AOA105	Licensed Corporation	4, 9
London and Capital Asia Limited	AVD961	Licensed Corporation	1, 4, 9
Orient Securities (Hong Kong) Limited	AVD362	Licensed Corporation	1
Phoenixinvest Group (HK) Limited	AUW066	Licensed Corporation	9
Pico Zeman Capital Limited	AUT286	Licensed Corporation	1
SMBC Capital Markets (Asia) Limited	AVA667	Licensed Corporation	1, 2
The Fry Group (H.K.) Limited	ATY965	Licensed Corporation	1, 4
WIM Asset Management (HK) Limited	AVE051	Licensed Corporation	9
ABBAS Asad	AIP440	Licensed Representative	1, 2
ABRAT Katherine Anna	ANB136	Licensed Representative	1, 2
ADLAN Syed Ari Azhar Bin Syed Mohamed	AVE790	Licensed Representative	1, 2
ALEXANDER Andrew Bernard	ABM861	Licensed Representative	4, 9
ANGLIN Thomas William	AVI264	Licensed Representative	4
ASHRA Devesh Bharat	AVI590	Licensed Representative	1, 4, 6
ATKINSON James Anthony Thomas	AVF428	Licensed Representative	1, 2
AU Chung Wing	AVH592	Licensed Representative	1
BACCHUS Timothy Mustapha	ADS696	Licensed Representative	4
BADRE ALAM Ali Abbas	AGN974	Licensed Representative	1, 4, 6
BANNIGAN Julian Paul	AMN747	Licensed Representative	4, 9
BELLAHCENE Nasser	AVI335	Licensed Representative	1, 7
BENNETT Nicholas Jonathon	AVJ137	Licensed Representative	1, 2
BERG Robert Scott	AVD491	Licensed Representative	9
BHATIA Inderjeetsingh	AVI914	Licensed Representative	4
BHUWALKA Sudhanshu Anil	APZ224	Licensed Representative	1
BOUZOUBA Rachid	AVJ283	Licensed Representative	1, 2, 7

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
BRADER Lawrence Henry Burnell	AVI839	Licensed Representative	9
BRESLER Andrew Craig	AVH857	Licensed Representative	4
BROCK Russell	AVI382	Licensed Representative	1, 2
BRUNO Luigi Raphael	AVI336	Licensed Representative	1, 7
BU Haidong	AVI091	Licensed Representative	4
BUCKWORTH Piers Edward Grainger	AUT718	Licensed Representative	1, 4
CASTLE David Timothy	AVG912	Licensed Representative	1
CHAINANI Roshan Kumar	APC773	Licensed Representative	9
CHAKRABORTY Sushruta	AVE041	Licensed Representative	1, 2, 7
CHAN Cham Pong, Cedric	ANF221	Licensed Representative	1
CHAN Cheuk Yiu	AVI421	Licensed Representative	1
CHAN Chu Heng, Roy	AOU239	Licensed Representative	1, 2
CHAN Hiu Wai, Terry	AVH959	Licensed Representative	1
CHAN Ho Ping	AVI833	Licensed Representative	1
CHAN Hoi Leung	ATX909	Licensed Representative	1
CHAN Ka Hei	AVL083	Licensed Representative	1, 4
CHAN Ka Leung, Gary	AOR545	Licensed Representative	9
CHAN Kar Yin, Gabriel	AIB796	Licensed Representative	1, 2
CHAN Kin Wai	AVD399	Licensed Representative	1, 9
CHAN Lam Kai	AVK828	Licensed Representative	1
CHAN May Kuen	AVJ428	Licensed Representative	1, 4
CHAN Po Ki, Tiffany	ASM076	Licensed Representative	5
CHAN Pui Wah	AVH094	Licensed Representative	1
CHAN Shuk Ching	AVK939	Licensed Representative	1
CHAN Wai Chung	AVI340	Licensed Representative	1
CHAN Wai Ming	ALT876	Licensed Representative	1, 4
CHAN Wai Ying	ALA938	Licensed Representative	1
CHAN Wing Luen	AVH884	Licensed Representative	1, 2
CHAN Yee Man	AHX556	Licensed Representative	1
CHAN Ying Yi	AVL067	Licensed Representative	1
CHAN Yuen Hing	AOU720	Licensed Representative	1
CHAN Yuen Yee, Amy	AFM002	Licensed Representative	4
CHAN Yui Pang, Oscar	AVI901	Licensed Representative	2
CHANG Che Chung	AVH886	Licensed Representative	1, 2
CHANG Jung-Jung	AVL146	Licensed Representative	1, 4
CHANG William Wei Han	AVI629	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAU Yat Ki	AVK928	Licensed Representative	1, 4
CHEN Chen	AUZ701	Licensed Representative	1, 4, 6
CHEN Chieh	APJ683	Licensed Representative	1
CHEN Chih-Kuo	AKW443	Licensed Representative	1
CHEN Chung Wei	AVK966	Licensed Representative	1
CHEN Han	AVI643	Licensed Representative	1, 2
CHEN Ji Hao, Tony	AVH878	Licensed Representative	1, 6
CHEN Jianyuan, Edwin	AQQ236	Licensed Representative	4, 6
CHEN Jonathan Yau	AVI579	Licensed Representative	1, 2, 7
CHEN Po-ling	AVG892	Licensed Representative	1, 4
CHEN Si	AVF535	Licensed Representative	1
CHEN Ting	AQA120	Licensed Representative	1, 4, 6
CHEN Wen-Chin	AVD899	Licensed Representative	4
CHEN Wen-Yu Winnie	AVK949	Licensed Representative	1
CHENG Chun Ching	AVH393	Licensed Representative	4, 5, 9
CHENG Hoi Man	ALU124	Licensed Representative	6
CHENG Wai Nam	AVL086	Licensed Representative	4
CHENG Wing Sum, Christina	AOT504	Licensed Representative	4, 9
CHEONG Jihun	AVI322	Licensed Representative	1
CHEUNG Chi Wai	AVH249	Licensed Representative	1, 2, 7
CHEUNG Ching Mei, Queenie	AVH877	Licensed Representative	1, 2
CHEUNG Hiu Tan	AVL364	Licensed Representative	4
CHEUNG Kwan Ying, Rani	ANW444	Licensed Representative	1
CHEUNG Lai Him	AVI824	Licensed Representative	1
CHEUNG Mo Keung, Eric	AEH687	Licensed Representative	1
CHEUNG Shun Lim, Ignatius	AVI083	Licensed Representative	6
CHEUNG Siu Joe	AVH603	Licensed Representative	1, 4, 6
CHEUNG Siu Lun	AVI741	Licensed Representative	1
CHEUNG Tsz Lok, Kurt	AVI170	Licensed Representative	1
CHIAO Hsin	AVH100	Licensed Representative	1
CHIEN Deborah Chin-hui	ARA411	Licensed Representative	1
CHING Chi Wai	AVK943	Licensed Representative	1, 2
CHIU Brian Wei Chiang	AVK590	Licensed Representative	4, 9
CHIU Chiu Ling, Betty	AVH941	Licensed Representative	1
CHIU Lok Kwan	AVH029	Licensed Representative	4, 5, 9
CHIU Seen Yun, Sharon	APH923	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIU Simon Sai Nang	AVH948	Licensed Representative	9
CHOI Jin Yong	AFM576	Licensed Representative	1, 4, 6
CHOI Kar Lam	AVH849	Licensed Representative	1, 4
CHOI Shi Chun	AVJ723	Licensed Representative	1, 4
CHOI Shu Man	AVL368	Licensed Representative	1, 2, 7
CHONG Siu Suet	AVH802	Licensed Representative	1, 2
CHOU Jackson Chi Cheng	AGW057	Licensed Representative	1, 2
CHOU Si Li Bobbie	AMX431	Licensed Representative	1
CHOW Clint	AVJ424	Licensed Representative	4, 5, 9
CHOW Pok Yin	AVI263	Licensed Representative	1
CHOW Woon Tung, Marcella	AVJ724	Licensed Representative	1, 4
CHOY Siu Kwan	AVJ413	Licensed Representative	1, 2
CHU Hok Lam, Wilson	AVI618	Licensed Representative	1, 2
CHU Kin Wai, Benny	AFU010	Licensed Representative	1
CHU Po Lin, Pauline	AVJ709	Licensed Representative	9
CHU Shing Tak	AVH027	Licensed Representative	1
CHU Yiu Ming	AVK659	Licensed Representative	1
CHUI Ming	ALA703	Licensed Representative	1
CHUI Ting On	AVI585	Licensed Representative	1
CHUI Wing Yi	AVK654	Licensed Representative	1, 4, 9
CHUNG Chi Chung	AVI422	Licensed Representative	1, 2
CHUNG Eva Ting Yan	AVH199	Licensed Representative	1, 4
CHUNG Gia, Trien, Litte	AVH946	Licensed Representative	1, 2
CHUNG I Fon	AVI326	Licensed Representative	1
CHUNG Jennet Waiyan	AVK639	Licensed Representative	1, 2
CONWELL Brian	AVL103	Licensed Representative	1
COX Stonington C	AVL780	Licensed Representative	1, 6
CRAIG-WOOD Christopher John	AJL555	Licensed Representative	1, 2
CROCHU Cecile Marie-Noelle	AVF427	Licensed Representative	1, 2
DAI Qin	AVI920	Licensed Representative	4
DE MARCO Gustino Sebastian	AVG898	Licensed Representative	1
DEAKIN Jarrod Michael	AVJ383	Licensed Representative	1
DEMEKHINA Kateryna	AVF431	Licensed Representative	1
DHIR Poonam	AVH305	Licensed Representative	4, 5, 9
DOBASHI Shinobu	AKI539	Licensed Representative	1, 4
DONG Yuan	AVK624	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
DORMER Mark Christopher	AVI169	Licensed Representative	1
DOSHI Sanjay Shirish	AVH800	Licensed Representative	4
DOWN Sally Antonia	AVH950	Licensed Representative	1, 2
DU Mei	AVF556	Licensed Representative	1, 6
DUAN Hong	ADE819	Licensed Representative	1
DUNDON Thomas Patrick	AVE803	Licensed Representative	1, 4, 6
EVANS Daniel Peter	AOE193	Licensed Representative	1
EVANS Jeffrey Phillip	AVJ586	Licensed Representative	4
FAN Kwai Kuen	AOC913	Licensed Representative	1
FAN Lai Kwan	APU298	Licensed Representative	6
FANG Suilan	AVH606	Licensed Representative	1
FARRELL Douglas John	AUD727	Licensed Representative	1, 6
FENG Sihe	AVI627	Licensed Representative	1, 2
FENG Xiaomai	AVF617	Licensed Representative	4
FENG Xin	AUV420	Licensed Representative	6
FENG Yuan	AVH980	Licensed Representative	1, 4, 6
FOONG Chee Peng	AVG781	Licensed Representative	1, 2
FRANKISH Ian Peter	AVJ538	Licensed Representative	1
FUKUNAGA Peter Hideichi	AVL232	Licensed Representative	9
FUNG Ka Lok, Adams	AHN337	Licensed Representative	1, 4
FUNG Wing Yee	AVH672	Licensed Representative	1
GANAPATHY Suresh	AVJ433	Licensed Representative	4
GANGAHAR Anirudh	AOB258	Licensed Representative	4
GAO Fucheng Leonard	AVI319	Licensed Representative	1
GARCIA Cristobal Antonio	ANM682	Licensed Representative	1, 4
GARG Nikhil	AVH861	Licensed Representative	1
GHOSH Surojit	AVI269	Licensed Representative	1, 4, 6
GILES Stuart William	AVH673	Licensed Representative	1, 2, 7
GOLUB Jonathan Neal	AVL140	Licensed Representative	4
GONG Zhen	AVL474	Licensed Representative	1, 6
GOODSTADT Patricia Ann	AVI453	Licensed Representative	1, 4
GRAY Christopher James McIntyre	AQF525	Licensed Representative	1
GRIEVE Jamie Angus	ASE065	Licensed Representative	1, 2
GROTZINGER Adam W	AVI257	Licensed Representative	1
GU Lingwei	AVH529	Licensed Representative	4
GUIDERA Nicholas James	AVK730	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
GUNNERSEN Thomas Peter	AVH034	Licensed Representative	4, 9
HAN Chin-Tang	AVH609	Licensed Representative	1
HAN Fei	AVJ130	Licensed Representative	1, 4
HAN Jiang	AVI792	Licensed Representative	1, 9
HAN William Wei-Lee	AHF798	Licensed Representative	1, 4
HAN Yong	AVD301	Licensed Representative	1, 4
HAO Yun	AVI199	Licensed Representative	1
HATHEWAY Lawrence Howell	AVE410	Licensed Representative	4
HAU Chor Fung	AVH616	Licensed Representative	1
HAWINKELS Stephen John	AVJ563	Licensed Representative	1, 2
HE Chuan	AVH597	Licensed Representative	1, 4, 6
HE Dixi	AVH102	Licensed Representative	6
HE Mei	AVJ148	Licensed Representative	1, 4
HE Peng	AVI431	Licensed Representative	1, 4
HE Ping	AVI362	Licensed Representative	1, 6
HENGKY .	AVH676	Licensed Representative	5
HICKSON Peter William	AVJ135	Licensed Representative	4
HO Boon Leng	AQN995	Licensed Representative	1, 4, 9
HO Chi Long, Ronnie	AFU693	Licensed Representative	1, 4, 9
HO Chun Hung	AVH885	Licensed Representative	1, 2
HO Hin Hang	AVH028	Licensed Representative	1, 2
HO Ka Yu Eric	AVI795	Licensed Representative	4, 6, 9
HO Ryan Kai Yan M.	AVE379	Licensed Representative	1
HO Yan Lam	AVJ407	Licensed Representative	1
HON Haw Siang	AQA683	Licensed Representative	1
HONG Hu	AVK947	Licensed Representative	1, 6
HOOGENDIJK Mark Cornelis	AVH979	Licensed Representative	1, 4, 6
HOW Wing Keung	AVI338	Licensed Representative	1
HOWIE Jonathan Adrian	AVJ430	Licensed Representative	1
HOWITT Bryan William	AVL099	Licensed Representative	4
HSU Che Wei	AVJ136	Licensed Representative	9
HSU Hai Yeh	AVI172	Licensed Representative	1, 6
HSU Shirley Wei-li	ANM249	Licensed Representative	1
HSU Wei-Chi	AVL882	Licensed Representative	1
HU Chih Ching	AVH746	Licensed Representative	1, 2
HU Xianwei	AVH602	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
HU Xiaoyan	AVK473	Licensed Representative	1, 4
HU Yunxiang	AVH604	Licensed Representative	1, 2
HUANG Li Shun	AVJ727	Licensed Representative	1
HUANG Mingyan	AVL143	Licensed Representative	1
HUANG Wei	AVF558	Licensed Representative	1, 6
HUANG Wenlong	AVE601	Licensed Representative	1, 4
HUI Ian Wai Heng	AVH801	Licensed Representative	1, 4, 5, 9
HUI Shuk Pik, Janet	AVH786	Licensed Representative	1
HUI Tsan Hing	AUD570	Licensed Representative	1
HUI Tung Yin, Tammy	AVI370	Licensed Representative	1, 6
HUI Tze Kai	ARB848	Licensed Representative	1
HUI Wai Yip	AVI426	Licensed Representative	1
HUNG Elaine	AVJ425	Licensed Representative	9
HUNG Hsiang-Shih	AVL885	Licensed Representative	1
IANNONE Angelo Gerald	AQE834	Licensed Representative	1, 2
IP Hin Fung	AUC867	Licensed Representative	1
IP Wing Man Phoenix	AVD071	Licensed Representative	1
JACKSON Christopher Macdougall	AGO287	Licensed Representative	9
JACSON Philippe William Pierre	AOH049	Licensed Representative	1, 2
JENKINS Gareth William	AVG891	Licensed Representative	4
JIANG Quan	ASI305	Licensed Representative	1
JIANG Xiong Xiao	AVI890	Licensed Representative	1
JIANG Zhengxi	ASL211	Licensed Representative	1, 2, 9
JIN Dan	AVJ262	Licensed Representative	2
JOLLY Rimmo	ATR852	Licensed Representative	1
JUN Woo Seok	AVF217	Licensed Representative	1, 4
KAJIMOTO Kohei	AVH863	Licensed Representative	4
KAM Ying Chuen	ALB882	Licensed Representative	1, 2, 3
KAO Yun	AVI254	Licensed Representative	1
KARP Adam Seth	AVH963	Licensed Representative	1, 2, 7
KARTHIGESU Ganeshan Ramesh	APY695	Licensed Representative	4, 9
KAWAMURA Takahiro	AVI554	Licensed Representative	4, 9
KHERA Natasha Pramodkumar	AVJ290	Licensed Representative	1
KIM Brian Young	AVH121	Licensed Representative	1, 4, 6
KIM Dae Wook	ALC633	Licensed Representative	1, 2
KIM Dong Hyun	AMY253	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
KIM Kang Jae	AVI790	Licensed Representative	1, 4, 6
KIM Seung-Bom	AOI831	Licensed Representative	9
KIM Simon Dae-Oun	AUV167	Licensed Representative	1, 2, 4
KIM Sungryung	AVJ288	Licensed Representative	9
KIM Tae Youl	AMV056	Licensed Representative	1
KO Ching Yuen	AVH983	Licensed Representative	1, 4, 6
KO Philip	ABS536	Licensed Representative	2
KOHLI Nikhil	AVI597	Licensed Representative	1, 4, 6
KONG Wai Ming	AVL225	Licensed Representative	4
KONG Wai Yin Chris	AVK511	Licensed Representative	1
KOO Jahkyoon	AVH967	Licensed Representative	1, 2, 7
KOO Shang-Hsiu	AVK958	Licensed Representative	4
KUK Peter Z	AVI654	Licensed Representative	1, 4, 6
KULVANICHPISIT Yuvadee	AUK285	Licensed Representative	1
KUNAM Naveen Kumar	AVH123	Licensed Representative	1, 4, 9
KUO Chung-Ling	AVD531	Licensed Representative	1
KWAN Kin Shing	AVM791	Licensed Representative	1, 4
KWAN Wai Cheung	AVI742	Licensed Representative	4
KWOK Wai Yee	AVK944	Licensed Representative	1, 4
LABROUSSE Michel Eric	ALB645	Licensed Representative	6
LAFAYEEDNEY Jean-Louis	AVL720	Licensed Representative	4
LAFITTE Gregory Alfred	AVJ138	Licensed Representative	1
LAI Gordon Yat Hung	AQS197	Licensed Representative	1
LAI Nai Wing	AVI253	Licensed Representative	1
LAI Shuk Ching	AVG944	Licensed Representative	1, 6
LAI Siu Yin	AVH972	Licensed Representative	1
LAM Chi Ho	AVK547	Licensed Representative	1
LAM Ching Fai	ANG408	Licensed Representative	1, 2
LAM Chung Ho	AVI619	Licensed Representative	1, 2, 4
LAM Hoi Yan	AUJ986	Licensed Representative	1, 2
LAM Ka Shing	AVI552	Licensed Representative	1, 2
LAM Ka Wing	AVL939	Licensed Representative	1, 2
LAM Kar Wai Alex	AVI085	Licensed Representative	1
LAM Maggie	APV887	Licensed Representative	1
LAM Man Kit, Mathew	AVL280	Licensed Representative	9
LAM Mei Li, Milly	AOK821	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Sze Nga	AVK743	Licensed Representative	1, 2
LAM Tat Shing	AVJ289	Licensed Representative	1
LAM Ting Kin, James	APG202	Licensed Representative	9
LAM Wai Choy	AND856	Licensed Representative	1
LAM Wai Ho	AVJ149	Licensed Representative	4, 5, 9
LAM Wai Kin	ACU739	Licensed Representative	1, 2
LAM Wing Hei	AVI782	Licensed Representative	1, 2
LAM Wing Hong, Ivan	AVH276	Licensed Representative	1, 2
LAM Yau Lun	AVI744	Licensed Representative	6
LAM Yeuk Sheung	AVI796	Licensed Representative	1
LAM Yuk Tsuen	AVK517	Licensed Representative	1
LAU Cheung Fai, Ken	AVI038	Licensed Representative	9
LAU Chi Ho	AJD618	Licensed Representative	1, 2
LAU Chu Hung	AMU853	Licensed Representative	1, 2
LAU Jeff Yat Ping	AVJ557	Licensed Representative	1
LAU Ka Chung	AVL151	Licensed Representative	1
LAU Kwok Kwon	AMH961	Licensed Representative	1, 4
LAU Yun Yu	AVI406	Licensed Representative	1
LAW Lap Kau	AVH515	Licensed Representative	1, 4
LAW Suk Ming	AVK522	Licensed Representative	1
LAW Yan Ni, Freda	AGI603	Licensed Representative	4, 9
LAWSON Mark Edward	AVE038	Licensed Representative	1, 2, 7
LEE Allison Marie	AVH502	Licensed Representative	1
LEE Chi Kin	AMZ336	Licensed Representative	4, 9
LEE Eunkyung	AVI632	Licensed Representative	1, 6
LEE Jaison	AVD395	Licensed Representative	1
LEE Kam Yuen, Sunny	AVH164	Licensed Representative	1, 2
LEE Kar Wai	AVH274	Licensed Representative	1, 2
LEE Kwun Yan	AVK452	Licensed Representative	2
LEE Kyung Min	AVH974	Licensed Representative	1, 6
LEE Lai Ping	AVI433	Licensed Representative	1, 4, 7
LEE Seok Wei	AVK948	Licensed Representative	1, 2, 7
LEE Shirley Kai Ping	APV540	Licensed Representative	1, 2
LEE Tzu-chieh	AVM004	Licensed Representative	1
LEE Yen Ching Karen	AOY393	Licensed Representative	1, 2, 6
LEE Yong Ha	AVJ141	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEI Man Hang	AVI279	Licensed Representative	1, 2
LEUNG Anita	AVI208	Licensed Representative	1, 4, 6
LEUNG CHUNG YI	AUC726	Licensed Representative	1
LEUNG Ka Man	AVK655	Licensed Representative	1
LEUNG Kee Fung, Vincent	AVF705	Licensed Representative	1
LEUNG Kendall	AEM743	Licensed Representative	1
LEUNG Kwok Choi	AVL229	Licensed Representative	1, 2
LEUNG Lok Yee	AVI024	Licensed Representative	1, 2
LEUNG Man Hin	AVH938	Licensed Representative	1, 2
LEUNG Pak Hong, Elton	AVI176	Licensed Representative	1
LEUNG Sing Pong, Alex	AVI140	Licensed Representative	1, 4
LEUNG Yuk Shan	AVL828	Licensed Representative	1
LI Bin	AVH674	Licensed Representative	1, 2
LI Chi Lap	AVG423	Licensed Representative	1
LI Haijian	AVK620	Licensed Representative	1, 2
LI Lai Ha	AVL394	Licensed Representative	1
LI Mingliang	ATL696	Licensed Representative	1, 2
LI Muzhi	AQU539	Licensed Representative	4
LI Nan	AVE780	Licensed Representative	4
LI Sai Cheong	AVH611	Licensed Representative	1
LI Shengping	AVL433	Licensed Representative	1, 2
LI Shuang	ASM260	Licensed Representative	1
LI Wai Ting	AVL112	Licensed Representative	1, 2
LI Wang To, Marcus	AVG376	Licensed Representative	1, 2
LI Ya	AUZ702	Licensed Representative	1, 4, 6
LI Ya Ru	AVH080	Licensed Representative	1, 4, 6
LI Yu	ANL016	Licensed Representative	1
LILLEY Jonathan Gareth	AVI570	Licensed Representative	1, 2, 7
LIM Shao Jun	AVK803	Licensed Representative	1, 2
LIN Jeffrey	AVG380	Licensed Representative	1, 4, 6
LIN Jiaxin	AVH077	Licensed Representative	1
LIN Jiemin	APL747	Licensed Representative	1, 6
LIN Kwai Sze, Kinki	AVI210	Licensed Representative	1
LIN Scott Chen-Chun	AVK926	Licensed Representative	1, 6
LING Zhuowen	AVD911	Licensed Representative	1, 2
LIU Chan Keung	AVH174	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Chris Yang	AVE806	Licensed Representative	1, 4, 6
LIU Chun Man	ASS692	Licensed Representative	1
LIU Hsiang-Wen	AHG443	Licensed Representative	1
LIU Ka Yip, Thomson	AVH099	Licensed Representative	1
LIU Liu	AUY369	Licensed Representative	1
LIU Ning	ANV104	Licensed Representative	1, 4, 6
LIU Onsa	AUH398	Licensed Representative	1, 4
LO Chee Yan	ARW745	Licensed Representative	1
LO Han-Long	AVK641	Licensed Representative	1, 2
LO Ka Ying	AVK938	Licensed Representative	1
LO Sze Wan, Hera	ANT776	Licensed Representative	1, 2, 4, 5
LO Wai Sum	AVJ060	Licensed Representative	1
LOK Remus Chu Kit	AVI054	Licensed Representative	1
LOK Wang Kei	AVJ427	Licensed Representative	1, 4
LUI Florence Hiu Yan	AVG779	Licensed Representative	1, 6
LUI Yin Ting	AVJ387	Licensed Representative	1
LUI Yu Mo, Moses	AGL154	Licensed Representative	1
LUI Yun Wah	AVJ423	Licensed Representative	1, 4
LUO Bing	AVK660	Licensed Representative	1
LUO Rongjin	AVG613	Licensed Representative	4
LUO Yijue	AVJ511	Licensed Representative	1, 4, 6
LUONG Jenny	AVJ293	Licensed Representative	1
LYNCH Philip	ACP539	Licensed Representative	1, 2, 4, 5, 6
Leung Mei Yi	ATT546	Licensed Representative	1
MA Andrea Lo Ling	APL593	Licensed Representative	1
MA Fung Chiu	AVI659	Licensed Representative	1
MA Kevin Chun Wai	AMU916	Licensed Representative	1, 6
MA Tsz Kit	AVJ142	Licensed Representative	1, 4, 9
MA Yu Xiang	AVL434	Licensed Representative	1, 6
MAHESHWARI Abhinav	AVK737	Licensed Representative	1, 2, 4, 5
MAK Kevin Kiu Tsun	AVH823	Licensed Representative	9
MAK Man Ling	AVK770	Licensed Representative	1
MAK Terence Chung Sing	AVK467	Licensed Representative	1
MAK Wing Yin	AVJ291	Licensed Representative	1, 2
MAN Ka Lai	AVL449	Licensed Representative	1, 2
MAN Yan Lam, Matthew	ADA984	Licensed Representative	1, 4, 5, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
MANN Chi Sam	AJK798	Licensed Representative	1, 2, 4, 5, 9
MAO Junxiang	AVL337	Licensed Representative	1, 6
MASON Jordan Thomas	AQU403	Licensed Representative	1, 9
MC DONALD James Matthew	AVD396	Licensed Representative	4, 9
MC NIVEN Dillon Tate	AVJ371	Licensed Representative	1, 4, 7
MCCOSKER Daniel Owen	AVH590	Licensed Representative	1, 2, 9
MCWILLIAMS Olivia Ann	AVF620	Licensed Representative	1
MEHTA Ruben	AVI919	Licensed Representative	1, 4, 6
MEZRANI Wissam	AVL300	Licensed Representative	1, 2, 7
MISHIMA Shigeru	AVE791	Licensed Representative	4
MOHTA Nitin	AVI913	Licensed Representative	4
MOK Siu Ki	AVK768	Licensed Representative	1
MONARD Thomas Nicolas	AVJ019	Licensed Representative	1, 2, 7
MOOGIMANE Tejas Ramakrishna	AVI748	Licensed Representative	1, 2
MORGAN Daniel Gregory	AVH378	Licensed Representative	4
MORIYA Nozomi	AVI061	Licensed Representative	4
MOSS Simon Philip	AVH101	Licensed Representative	1, 6
MOUAT Andrew Patrick	AVI808	Licensed Representative	1
MUBAYI Piyush	ADQ243	Licensed Representative	1, 4
NERUDA Georgia Stephanie Stevens	APH426	Licensed Representative	1
NG Cardon Hsi Tsun	AVE378	Licensed Representative	1
NG Fuk Hung	AVI423	Licensed Representative	2
NG Hay Ling, Billy	AVJ721	Licensed Representative	1, 4
NG Kin Wah	AVI806	Licensed Representative	1
NG Sau Ting	AVL455	Licensed Representative	1, 4
NG Sem Guan	AVL145	Licensed Representative	4
NG Sing Lui	AVI620	Licensed Representative	1, 2, 4
NG Siu Kwan	AVI216	Licensed Representative	1
NG Siu Lun	AVL457	Licensed Representative	4, 9
NG Tsz Wai	AVK508	Licensed Representative	1
NG Wenxiong Wilson	AVL230	Licensed Representative	1
NG Wing Hung, Emily	AVG748	Licensed Representative	1
NG Yau Kan	ANJ863	Licensed Representative	1
NG Yu Sum, Simon	AVJ221	Licensed Representative	4
NG Yuk Hang, Joey	AVK956	Licensed Representative	1, 4
NG Yuk Ting	AVI452	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
NGAI Sam Yee Margaret	AVG631	Licensed Representative	1
NISHIMURA Mika	AVL139	Licensed Representative	4
O'HARA Bryan Bernard	AJH353	Licensed Representative	4
OR Chun On	AVH745	Licensed Representative	1
OR Felix	ADH585	Licensed Representative	1
OR Kin	AVH692	Licensed Representative	4
ORCHARD Andrew John	AVG755	Licensed Representative	4
ORFORD John Nicholas Leask	AVL141	Licensed Representative	4
OW Ka Jun, Michael	AVJ303	Licensed Representative	4
OWYANG Loong Man, Alvin	ABY912	Licensed Representative	1, 2
PAINULY Mudit	AVJ431	Licensed Representative	4
PAN Xing	ASI306	Licensed Representative	1, 2
PANI Rita	AVI807	Licensed Representative	1
PANUPORNPRAPONG Vipaporn Margaret	AVG599	Licensed Representative	1
PARK Ki Tae	AVL837	Licensed Representative	1, 2
PASSMORE Simon Gareth	AVJ719	Licensed Representative	1
PAVIVAN Pavina	AFO374	Licensed Representative	1, 4, 5, 9
PAYNE Charles Anthony	AVH641	Licensed Representative	1, 9
PAYNTER Robert John	AVJ126	Licensed Representative	1
PENG Shuo	AQX906	Licensed Representative	1
PHAM Ngoc Bich	AEZ564	Licensed Representative	1, 2
PINATO Michelle	AVL152	Licensed Representative	1, 2
POON Suet Ying	AVH697	Licensed Representative	1
PUN Kam Man	AVH862	Licensed Representative	1, 2
QIN Yan	AVH176	Licensed Representative	1
QU Simon Tao	AFN658	Licensed Representative	1, 6
RAJASINGHAM Arjuna	AVG783	Licensed Representative	1, 2
RAJPAL Jai	APJ980	Licensed Representative	1, 2, 4, 6
RAMCHANDANI Rishi Suresh	AVJ720	Licensed Representative	1, 2
RASMUSSEN Sophie Anne	AVI270	Licensed Representative	1, 2, 7
REN Jizhao	AVK967	Licensed Representative	1, 2
REZNIKAS Michael I	AQI811	Licensed Representative	9
ROLFE Roland Christopher	AVI388	Licensed Representative	1, 2
ROPER Ian David	AVI752	Licensed Representative	4
RULE Matthew Charles	AVI071	Licensed Representative	1
RUTKOWSKI Paul William	AVJ086	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SAEED Asim	AVJ385	Licensed Representative	1, 4, 6
SAMUEL Jacob	ANJ557	Licensed Representative	4
SCOTT Julian Bowd	AVF123	Licensed Representative	1, 2, 7
SCULLY James Robert	AVI916	Licensed Representative	1
SHAH Gaurik Atul	AVH084	Licensed Representative	1
SHI Ran	AVC359	Licensed Representative	1, 4
SHI Xiaofeng	AVH848	Licensed Representative	1, 6
SHOJI Reika	AVJ415	Licensed Representative	1
SHUM Bun Chuen, Banny	AVJ022	Licensed Representative	1, 2
SHUM Chin Hong	AVJ063	Licensed Representative	1
SHUM Ho Ming, Bernard	ATR616	Licensed Representative	1
SHUM Sze Chun	AVI255	Licensed Representative	1
SIDANI Rami	AVJ147	Licensed Representative	1
SIM Colin Ching Wern	AGN521	Licensed Representative	1, 2
SIM Hwee Hoon	AKI965	Licensed Representative	1, 2
SIN Oscar Kwok Leung	AVL136	Licensed Representative	4, 5, 9
SINGH Binay	AVL367	Licensed Representative	1
SINGH Varsha	AVI419	Licensed Representative	1, 2
SINGHAL Abhishek	AVJ432	Licensed Representative	4
SIU Hau Yep, Ivan	ALW235	Licensed Representative	1, 4, 6
SIU Hiu Wang	AVJ282	Licensed Representative	6
SIU Wai Leong	AVL369	Licensed Representative	1, 2
SIU Wing Kam	AVI420	Licensed Representative	1
SO Han Chun	AVI013	Licensed Representative	1
SO Hing Lun	AVH272	Licensed Representative	1, 2
SO Hon Kei	AVL124	Licensed Representative	1
SO Hon Wai, Ignatius	AMF449	Licensed Representative	9
SO Kam Ming, William	AVK653	Licensed Representative	1, 4, 9
SO Kevin Chi Heng	AVH854	Licensed Representative	6
SONG Jingjing	ATJ433	Licensed Representative	2
SONG Ling	AVI881	Licensed Representative	1, 2
SONG Xia	ARH870	Licensed Representative	4, 6
SONG Yunbo	AVA408	Licensed Representative	1, 4
SPARROW Nicholas Charles	AHF977	Licensed Representative	1, 7
STREATFIELD David Alan	AVI634	Licensed Representative	1, 2
SU Kaijun	AVI021	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUAREZ David Naguit	AVG597	Licensed Representative	1, 2, 7
SUEN Pik Wang	AVG406	Licensed Representative	1
SUN Ao	AVE409	Licensed Representative	4, 9
SUN Eden	AEP354	Licensed Representative	1, 4
SUN Wei	AVI019	Licensed Representative	1, 6
SZUTU Bradley Scott	AVI023	Licensed Representative	1
TAI Chun Fat	AJX151	Licensed Representative	1
TAI Kah Mun	AVH964	Licensed Representative	4
TAI Long Yeung	AVJ286	Licensed Representative	4
TAM Chi Lok Arnold	AVJ390	Licensed Representative	1, 4
TAM Hoi Wai	ASX253	Licensed Representative	1, 4, 7
TAM Wai Kei	AVH671	Licensed Representative	1
TAN Kai	AVJ582	Licensed Representative	4
TAN Ming Yi	AVH984	Licensed Representative	1, 4
TAN Wei Li	AVI323	Licensed Representative	1
TAN Yi Kai	AVH103	Licensed Representative	4, 9
TANG Chak Ming	AVI639	Licensed Representative	1
TANG Chi Heng, Alvin	AJB048	Licensed Representative	1, 2
TANG Kwun Chung	AAW467	Licensed Representative	1
TANG Pan Shing	AUT592	Licensed Representative	6
TANG Shiu Hin, Andy	ARH728	Licensed Representative	9
TANG Tik Kwan	AVI548	Licensed Representative	1, 4
TANG Wai Kan	AVJ061	Licensed Representative	1
TIAN Ming	AVM290	Licensed Representative	2
TIN Yuk	ANH344	Licensed Representative	1
TIU Beng Gie, Jonathan	AUZ862	Licensed Representative	1
TO Chak Yan, William	AVJ725	Licensed Representative	1
TO Chun Sing	AVI582	Licensed Representative	4
TOPJIAN Nicholas Zachary	AVE762	Licensed Representative	9
TREIER Sandro	AVJ287	Licensed Representative	1
TSAI Pei I	AVI917	Licensed Representative	1, 6
TSAI Sze Wui	AVH108	Licensed Representative	4
TSANG Ka Kan, Edmund	ALG188	Licensed Representative	1, 7
TSE Bun, Benjamin	ACL001	Licensed Representative	9
TSE Ho Kin Kinson	AVI644	Licensed Representative	1
TSE Kay Chung	AVI321	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSE King Bik, Clara	AVH969	Licensed Representative	1, 2, 7
TSE Long Chak, Roger	AVM306	Licensed Representative	4, 9
TSE Wing Lam	AVI794	Licensed Representative	1
TSE Yip Fai	AVI666	Licensed Representative	1
TSEUNG Choi Wing Queenie	AVH555	Licensed Representative	6
TSO Yung Yee, Charlotte	ANK894	Licensed Representative	1, 2
TSOI Kin Ngai	AVI780	Licensed Representative	1
TSOI Yin Fai	AVJ087	Licensed Representative	1
TSUEI Ko-Chin	AVD909	Licensed Representative	4
TSUI Chi Wai, Ivan	ADZ842	Licensed Representative	1, 2
TSUI Man San, Michelle	AVJ446	Licensed Representative	1
TUNG Yat Ngok, Rocky	AVI726	Licensed Representative	4
UCHIYAMA Daisuke	AVJ139	Licensed Representative	4
VANDROT Christophe Louis	AVH275	Licensed Representative	1, 2
VASHISHTHA Vikas	AVH507	Licensed Representative	1
VERMA Abhishek	AVH168	Licensed Representative	1, 7
VERSANDI Alexis Laura Dunham	AOT951	Licensed Representative	9
WALKER Brian Wesley	AVK791	Licensed Representative	1, 4
WAN Chee Lai, Katie	ASX211	Licensed Representative	1
WAN Kwok Fung, Joseph	AVK804	Licensed Representative	1, 4
WAN Tan Nga	AVK738	Licensed Representative	1
WAN Yin Chung	AVK969	Licensed Representative	1, 2, 9
WANG Jerry Gerui	AVH935	Licensed Representative	1, 2
WANG Jin	AVC337	Licensed Representative	4, 9
WANG Jingwen	AVH968	Licensed Representative	4
WANG Jun Ping	ADW638	Licensed Representative	1, 6
WANG Lei	ATV187	Licensed Representative	1, 6
WANG Mengyao	AVL227	Licensed Representative	9
WANG Pei	AVH600	Licensed Representative	1
WANG Ting	AOD025	Licensed Representative	9
WANG Xiao	AVK625	Licensed Representative	1, 2
WANG Yaoyu	AVF026	Licensed Representative	1
WANG Yihua	AVK616	Licensed Representative	1, 4, 6
WEI Po	AVH892	Licensed Representative	1, 4
WEI Wen-hao	AVL239	Licensed Representative	1, 4
WEN Lei	AVH159	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WHITE Adam Craig Tremain	ATF122	Licensed Representative	1, 2
WHITTLE Samuel James Clarendon	AVI360	Licensed Representative	1, 2, 7
WILSON Murray John	ARJ081	Licensed Representative	1, 4, 7
WILSON Scott Leslie	APH297	Licensed Representative	1, 4
WONG Chi Wai, Vincent	AAJ396	Licensed Representative	1, 2
WONG Chiu Chung	AVJ418	Licensed Representative	1
WONG Chong Wei, Runrun	AUT636	Licensed Representative	9
WONG Constance	ABR876	Licensed Representative	1, 2
WONG Hing Pong	AVK661	Licensed Representative	1, 2
WONG Ho Fan, Priscilla	AVJ285	Licensed Representative	1
WONG Hoi	AVF534	Licensed Representative	1
WONG Ka Chun	AVJ211	Licensed Representative	1
WONG Ka Hei	AVL307	Licensed Representative	1
WONG Lai Wo	AAJ643	Licensed Representative	1
WONG Lung Kong	AVE047	Licensed Representative	1
WONG Man Wai	AFN229	Licensed Representative	4, 9
WONG Shui Yan Matthew	AVK549	Licensed Representative	9
WONG Shuk Kwan	AOU619	Licensed Representative	1, 2
WONG Sing Chi	AVI617	Licensed Representative	1, 4
WONG Siu Wai	AAY168	Licensed Representative	1, 4, 6
WONG Sze Fei	AVJ260	Licensed Representative	1
WONG Tai Wai, David	AVI550	Licensed Representative	1, 4
WONG Wai Cheong, Fred	ALI545	Licensed Representative	1, 4
WONG Wai Kuen, Kinny	AVI259	Licensed Representative	1
WONG Wai Tak	AFH252	Licensed Representative	1
WONG Wing	ASK570	Licensed Representative	1, 4, 6
WONG Yan Suet	ASF777	Licensed Representative	1, 2
WONG Yiu Kong	AVH547	Licensed Representative	1
WONG Yu Cheong, Christopher	ALV249	Licensed Representative	9
WOO Hyun Joo	AVJ304	Licensed Representative	1, 4, 6
WU Hiu Man	AGO828	Licensed Representative	9
WU Kai Yip	AVL881	Licensed Representative	1
WU Kwok Yan, Eric	AVL147	Licensed Representative	1
WU Meng Chan	AVG756	Licensed Representative	1
WU Qifeng	AVL370	Licensed Representative	1, 6
WU Renjie	AVJ119	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Ting	AMF592	Licensed Representative	1, 6
WU Yuen Yan Jacqueline	AVH894	Licensed Representative	1, 4, 9
WU Zhenyu	AVH552	Licensed Representative	1
Wu Chun Ho	AMZ332	Licensed Representative	1
XIAO Lu	AVL428	Licensed Representative	1, 6
XU Gongmei	AVL226	Licensed Representative	9
XU Xu	AVL306	Licensed Representative	1, 4, 6
YAN Qi	AVK792	Licensed Representative	1
YAN Tsz Kei	AVL366	Licensed Representative	1, 2, 9
YANG Ah Sung	AVI803	Licensed Representative	1, 6
YANG Aston	AVI899	Licensed Representative	4, 9
YANG Hua	ASM262	Licensed Representative	1, 2
YANG Yang	AVK963	Licensed Representative	1, 6
YANO Tomoko	AQN971	Licensed Representative	4, 9
YAO You	ARF793	Licensed Representative	1
YAP Chih Yee	AJB201	Licensed Representative	1
YAU Chi Hang	AVD349	Licensed Representative	1
YAU Tze Kit	AVL228	Licensed Representative	1
YAU Yuet Fong	ANG124	Licensed Representative	1, 4, 9
YE Jing	AVI633	Licensed Representative	1, 6
YE Ping	AUV359	Licensed Representative	6
YE Weidong	AVG895	Licensed Representative	1
YEE Woon Hin Leslie	AVK551	Licensed Representative	9
YEH Megan Doreen C Y	AVG762	Licensed Representative	1
YEN Anna	ANG466	Licensed Representative	1, 2
YEO Wee Yang	AVI927	Licensed Representative	1, 2
YEUNG Chi Man	AVI546	Licensed Representative	1
YEUNG Ka Kit	AVE380	Licensed Representative	1
YEUNG Siu Fung	AVJ073	Licensed Representative	1
YEUNG Tsz Wai	AVI258	Licensed Representative	1, 2
YEUNG Wai Fai	AVI751	Licensed Representative	1
YEUNG Wing Yi	AVJ301	Licensed Representative	1, 4
YEUNG Ying Ho	AVI661	Licensed Representative	1, 2
YIP Heng	AUD022	Licensed Representative	4, 6
YU Chi Tsing, Chloe	AVL444	Licensed Representative	9
YU Heather	AVI027	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Tsun Yan, Joanna	AVL432	Licensed Representative	1, 6
YU Wei Sung, Vivien	AVN406	Licensed Representative	1, 4
YU Yan	AVI832	Licensed Representative	1, 2
YU Yang	AUZ703	Licensed Representative	1, 4, 6
YU Yuen Ying	AVI167	Licensed Representative	4, 9
YUE Kin Wai	AVI215	Licensed Representative	1
YUE Tai Ching Lincoln	AVJ732	Licensed Representative	1
YUE Wei	ANY425	Licensed Representative	1, 4, 6
YUEN Chun Hei	AVK514	Licensed Representative	1
YUEN Jeremy Man Wai	APS524	Licensed Representative	1
ZENG Zhijie	AVG595	Licensed Representative	1, 4, 9
ZHANG Anna Yuan-Yuan	AVL435	Licensed Representative	1, 6
ZHANG Feng	AVE823	Licensed Representative	1, 4, 6
ZHANG Na	AVJ731	Licensed Representative	4
ZHANG Wei	AVI825	Licensed Representative	1, 6
ZHANG Wei	AVL358	Licensed Representative	1, 4
ZHANG Xinxiong	ARO301	Licensed Representative	1
ZHANG Xueman	ATY807	Licensed Representative	2
ZHANG Yu	AVI265	Licensed Representative	4
ZHANG Zhifan	AVG893	Licensed Representative	1, 6
ZHAO Chunhua	ALF611	Licensed Representative	1, 2, 4, 5
ZHAO Jack Jialin	AVA752	Licensed Representative	1, 2
ZHAO Yingxiang	AVK731	Licensed Representative	4
ZHAO Yuekai	AVK510	Licensed Representative	1, 4, 6
ZHENG Yingying	AVK954	Licensed Representative	4
ZHONG Lan	ATJ434	Licensed Representative	1, 2
ZHONG Qi	ATY808	Licensed Representative	1
ZHOU Chenggang	AVJ131	Licensed Representative	1
ZHOU Francis Bingrong	AVJ543	Licensed Representative	9
ZHOU Jichuan	AVG751	Licensed Representative	1, 4, 6
ZHU Guanhua	AVG924	Licensed Representative	1, 4
ZHU Qing	AUZ700	Licensed Representative	1, 4, 6
ZHU Shirong	AVI743	Licensed Representative	6
ZU SAYN-WITTGENSTEIN BERLEBURG Sebastian Fredrik	AMJ963	Licensed Representative	6
BHANDARI Sundeep	ATB566	Licensed Representative - Responsible Officer	1, 4, 6
BOUSSARD Emmanuel Bertrand Marie	AUW793	Licensed Representative - Responsible Officer	1, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Ka Leung, Gary	AOR545	Licensed Representative - Responsible Officer	4
CHOU Yeh-Hung	AQQ077	Licensed Representative - Responsible Officer	2
COOKE Trevor Clifford	AUN339	Licensed Representative - Responsible Officer	1, 4, 9
FERGUSON Mark Andrew	AUN426	Licensed Representative - Responsible Officer	4, 9
FREEDMAN Daniel Keith	AVD962	Licensed Representative - Responsible Officer	1, 4, 9
GAVAUDAN Emmanuel	AUW792	Licensed Representative - Responsible Officer	1, 9
HAN Seung Woo	AEC204	Licensed Representative - Responsible Officer	1, 4, 6
HARVEY Gary	AIU632	Licensed Representative - Responsible Officer	1, 4
HELFER JR Ray Eugene	AVD288	Licensed Representative - Responsible Officer	1, 4
HO Wai Yi, Mary	AVA359	Licensed Representative - Responsible Officer	9
JOSEPH Charles Edouard	AUW794	Licensed Representative - Responsible Officer	1, 9
KAHN Joshua Eliot	AUO009	Licensed Representative - Responsible Officer	1, 4, 9
KAO Cheng Yung	AUW023	Licensed Representative - Responsible Officer	2
LABROUSSE Michel Eric	ALB645	Licensed Representative - Responsible Officer	1, 4
LEE Kin Chung, Simon	AAF803	Licensed Representative - Responsible Officer	4, 9
LEE Wai Fun, Patra	ADU032	Licensed Representative - Responsible Officer	6
LEGRAND Philippe Herve Marc	AEK110	Licensed Representative - Responsible Officer	1, 4, 9
LI Xuan	AIX361	Licensed Representative - Responsible Officer	9
LIM Yew Seng	AUE782	Licensed Representative - Responsible Officer	4, 9
LO Bing Kuen	ADT691	Licensed Representative - Responsible Officer	6
LUCEY Kevin Joseph	AUO011	Licensed Representative - Responsible Officer	1, 4, 9
MANGKORNKARN Tanita	AVD230	Licensed Representative - Responsible Officer	4
MATSUMURA Akihiko	AVA669	Licensed Representative - Responsible Officer	1, 2
NG Simon	AFU692	Licensed Representative - Responsible Officer	6
OH Clement Boon Cheong	AOE139	Licensed Representative - Responsible Officer	1, 4
RONDINELLA Joseph	AIY535	Licensed Representative - Responsible Officer	1, 2
SANDEFORD Mark Charles	ACY421	Licensed Representative - Responsible Officer	1
SCHROEDER Scott Harvey	AQN992	Licensed Representative - Responsible Officer	9
SEWELL Anthony John	AIY534	Licensed Representative - Responsible Officer	1, 2
STEVENS Kenneth Marshall	ARP897	Licensed Representative - Responsible Officer	4, 9
TONG Lai Chun, Josephine	AAJ609	Licensed Representative - Responsible Officer	1
TSAI Wei-Lien	AHQ354	Licensed Representative - Responsible Officer	4, 9
TSANG Wing Keung	ACO979	Licensed Representative - Responsible Officer	4, 9
TULLOCH Gillem Michael	AOX454	Licensed Representative - Responsible Officer	4
VERMAN Genevieve	AFR305	Licensed Representative - Responsible Officer	4, 9
WAN Hongchun	AUE776	Licensed Representative - Responsible Officer	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Charles Yau Kung	AUN422	Licensed Representative - Responsible Officer	1, 4, 9
WU Jun	AVE052	Licensed Representative - Responsible Officer	9
YAO Hua	AVD363	Licensed Representative - Responsible Officer	1
YUEN Mark Hsien-Chin	ATB865	Licensed Representative - Responsible Officer	1, 4
ZHANG Lei	AUW918	Licensed Representative - Responsible Officer	9
ADVANI Alokik Indru	AVL308	Licensed Representative (Provisional Licence)	1, 2
AGARWAL Somesh Kumar	AVM201	Licensed Representative (Provisional Licence)	4
ALDER Campbell Robert	AVN517	Licensed Representative (Provisional Licence)	1
ALEXANDER Andrew Bernard	ABM861	Licensed Representative (Provisional Licence)	4, 9
ALTENBURGER Mario	AVN168	Licensed Representative (Provisional Licence)	1, 4, 6
ANDERSSON Paul Jonathan	AVL477	Licensed Representative (Provisional Licence)	1, 2
ARSLANIAN Henri-Kevork	AVM312	Licensed Representative (Provisional Licence)	1
ATKINSON Neil David	AVL149	Licensed Representative (Provisional Licence)	1
AU Cheuk Hei	AVP020	Licensed Representative (Provisional Licence)	1, 2
AU Yu Hang, Johannes	AOP023	Licensed Representative (Provisional Licence)	4
BADDLEY Daniel	AVO475	Licensed Representative (Provisional Licence)	1, 2, 3
BANSAL Arun Kumar	AVM095	Licensed Representative (Provisional Licence)	1, 6
BARROWCLIFF Peter Nathaniel David	AVM359	Licensed Representative (Provisional Licence)	2
BARTELL Lydia Mynko	AVN169	Licensed Representative (Provisional Licence)	1, 6
BASTIT Arnaud Marie Daniel	ARL443	Licensed Representative (Provisional Licence)	1, 2
BELLAHCENE Nasser	AVI335	Licensed Representative (Provisional Licence)	1, 7
BERG Robert Scott	AVD491	Licensed Representative (Provisional Licence)	9
BIRD Raymond Andrew	AVL467	Licensed Representative (Provisional Licence)	1
BOLLINGER Stefan Rolf	AVO601	Licensed Representative (Provisional Licence)	1
BOUTLAND Richard Anthony William	AIR290	Licensed Representative (Provisional Licence)	4, 9
BURCKGARD Dominik Rene	AVM701	Licensed Representative (Provisional Licence)	1, 2
CALDERWOOD Christopher John Robertson	AVL142	Licensed Representative (Provisional Licence)	4, 5
CANT Nicholas James	AUG885	Licensed Representative (Provisional Licence)	1
CARNEY Stephen Joseph	AVM220	Licensed Representative (Provisional Licence)	1, 2, 7
CHAN Adrian Tsang Kwong	AVM931	Licensed Representative (Provisional Licence)	4
CHAN Chi Chow	ADY284	Licensed Representative (Provisional Licence)	4, 9
CHAN Chin Kiu	AVM792	Licensed Representative (Provisional Licence)	4
CHAN Eng Chien	AVN297	Licensed Representative (Provisional Licence)	1
CHAN Hing	AVO463	Licensed Representative (Provisional Licence)	4
CHAN Ka Hei	AVL083	Licensed Representative (Provisional Licence)	1, 4
CHAN Kai Chuen, Kim	ABX322	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Kai Yun	AVO918	Licensed Representative (Provisional Licence)	1
CHAN Keung Kwok	AAC244	Licensed Representative (Provisional Licence)	1
CHAN Nicholas Ling Hang	AVL135	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Por Ling	AVN208	Licensed Representative (Provisional Licence)	1, 3
CHAN Shiu Yee	AVM135	Licensed Representative (Provisional Licence)	1, 2
CHAN Sze Man	AIC279	Licensed Representative (Provisional Licence)	4
CHAN Tak Ho	AVM930	Licensed Representative (Provisional Licence)	1, 2
CHAN Tung Wa	AVP005	Licensed Representative (Provisional Licence)	1, 2
CHAN Wai Por	AAF318	Licensed Representative (Provisional Licence)	1
CHAN Yick Tsz	AVM117	Licensed Representative (Provisional Licence)	1, 2
CHAN Yin May, Queenie	AVL276	Licensed Representative (Provisional Licence)	1
CHAN Ying Kuk, Joyce	AVL275	Licensed Representative (Provisional Licence)	1
CHANG Jennifer Ting	AVN307	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Jung-Jung	AVL146	Licensed Representative (Provisional Licence)	1, 4
CHANG Qing	AVM700	Licensed Representative (Provisional Licence)	4
CHANG Shiwei	AVM305	Licensed Representative (Provisional Licence)	1
CHANG William Wei Han	AVI629	Licensed Representative (Provisional Licence)	1, 6
CHAU King Chun, Kyric	AVM126	Licensed Representative (Provisional Licence)	1
CHAU Man Fai, Ricky	AVM774	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHEN Andy	AVM125	Licensed Representative (Provisional Licence)	1, 6
CHEN Bing Ming	AVL921	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Chao	AQD781	Licensed Representative (Provisional Licence)	1
CHEN Cheen Shing, Wilson	AVM294	Licensed Representative (Provisional Licence)	1, 2
CHEN Chen	AVO586	Licensed Representative (Provisional Licence)	1, 2
CHEN Han	AVI643	Licensed Representative (Provisional Licence)	1, 2
CHEN Lijuan	AVL498	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Po-Chen	AVM131	Licensed Representative (Provisional Licence)	1, 2
CHEN Shuyu	AVO592	Licensed Representative (Provisional Licence)	1, 2
CHEN Ting	AQA120	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Wen-Yu Winnie	AVK949	Licensed Representative (Provisional Licence)	1
CHEN Xiangyi	AVM726	Licensed Representative (Provisional Licence)	1, 4
CHEN Xiao	AVO557	Licensed Representative (Provisional Licence)	1, 6
CHEN Yuanfei	AVM793	Licensed Representative (Provisional Licence)	4
CHEN Zhiping	AVL398	Licensed Representative (Provisional Licence)	1, 4
CHENG Chi Ho	AVL287	Licensed Representative (Provisional Licence)	4
CHENG Chi Wai	AVO632	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Chung Ki	AVL487	Licensed Representative (Provisional Licence)	1, 2
CHENG Ning Hin, Eric	AVM591	Licensed Representative (Provisional Licence)	1
CHENG Shing	AVN164	Licensed Representative (Provisional Licence)	1
CHENG Tsun Kai	AVO661	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Yi Ting	AVM413	Licensed Representative (Provisional Licence)	1
CHENG Yin Pan	AVL547	Licensed Representative (Provisional Licence)	1, 4, 6
CHEUNG Chi Chung	AVM423	Licensed Representative (Provisional Licence)	1
CHEUNG Chui Shan	AVM059	Licensed Representative (Provisional Licence)	1
CHEUNG Chun Man	AVP022	Licensed Representative (Provisional Licence)	1
CHEUNG Hiu Tan	AVL364	Licensed Representative (Provisional Licence)	4
CHEUNG Rhoda Sze Yan	AVL305	Licensed Representative (Provisional Licence)	1
CHEUNG Shi Hai	AVL697	Licensed Representative (Provisional Licence)	1, 4, 6
CHEUNG Wai Lik	AEK034	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Yuk Mui	ANR304	Licensed Representative (Provisional Licence)	1, 2
CHING Chi Wai	AVK943	Licensed Representative (Provisional Licence)	1, 2
CHING Norman Siu Yong	AVM440	Licensed Representative (Provisional Licence)	1
CHIU Heung Chin	AVO464	Licensed Representative (Provisional Licence)	1
CHOI Jae Joon	APJ346	Licensed Representative (Provisional Licence)	1
CHOI Shu Man	AVL368	Licensed Representative (Provisional Licence)	1, 2, 7
CHOU Jackson Chi Cheng	AGW057	Licensed Representative (Provisional Licence)	1, 2
CHOW Sharon Estella	AVN086	Licensed Representative (Provisional Licence)	1, 4, 9
CHOW Yun Chuen	AVO560	Licensed Representative (Provisional Licence)	1, 2
CHOY Tsun Kit, Jackie	ANK724	Licensed Representative (Provisional Licence)	4
CHU Gang	AVM425	Licensed Representative (Provisional Licence)	1, 4
CHU Nihong	AVL926	Licensed Representative (Provisional Licence)	1, 4, 6
CHU Wan Hang	AVM422	Licensed Representative (Provisional Licence)	1, 4, 9
CHUN Jungil	AVL144	Licensed Representative (Provisional Licence)	1
CHUNG Kam Wah, Alden	ABH271	Licensed Representative (Provisional Licence)	4, 9
CHUNG Shun Ho	AVM902	Licensed Representative (Provisional Licence)	1, 2
CHUNG Wing Sum	AVM998	Licensed Representative (Provisional Licence)	1, 2
CHUNG Yue Man Iman	AVM450	Licensed Representative (Provisional Licence)	4
CONWELL Brian	AVL103	Licensed Representative (Provisional Licence)	1
COOPER Lachlan Ian	AVN102	Licensed Representative (Provisional Licence)	1, 2
COX Stonington C	AVL780	Licensed Representative (Provisional Licence)	1, 6
DANG Liang	AVN511	Licensed Representative (Provisional Licence)	1, 4
DE LYSTER Nathan Joshua	AVO460	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
DELTERME Antoine Pierre Marie	AVM691	Licensed Representative (Provisional Licence)	1, 2
DINAKAR Saurabh	AGD985	Licensed Representative (Provisional Licence)	1, 6
DING Yang	AVO478	Licensed Representative (Provisional Licence)	4
DRIESSEN Sven Jorgen	AUI033	Licensed Representative (Provisional Licence)	9
ER Cherie	AVM300	Licensed Representative (Provisional Licence)	1
FAJARDO John Kennard Tuazon	AVO761	Licensed Representative (Provisional Licence)	1
FAN Wing Yan, Winnie	AQW052	Licensed Representative (Provisional Licence)	1
FAN Yu Hin, Edwin	AMU321	Licensed Representative (Provisional Licence)	1, 2, 4
FANG Benjamin Xu	APR171	Licensed Representative (Provisional Licence)	6
FEATHERLY James Patrick	AVL458	Licensed Representative (Provisional Licence)	1, 2, 7
FENG Sihe	AVI627	Licensed Representative (Provisional Licence)	1, 2
FISHMAN Daniel	AVM302	Licensed Representative (Provisional Licence)	1, 2
FOK Wai Man, Louisa	AEZ139	Licensed Representative (Provisional Licence)	4, 9
FONG Chun Fai	AJS508	Licensed Representative (Provisional Licence)	6
FONG Ka Kiu	AVM519	Licensed Representative (Provisional Licence)	1, 2
FOO Tze Min Gerald	AVM342	Licensed Representative (Provisional Licence)	1
FRANCK Graeme Frederick	AVL397	Licensed Representative (Provisional Licence)	1
FU Fai Wong	AVO462	Licensed Representative (Provisional Licence)	1
FU Liang	AVO628	Licensed Representative (Provisional Licence)	2
FU Si Hui	AVO634	Licensed Representative (Provisional Licence)	1, 2, 7
FU Wang Kit	AVM406	Licensed Representative (Provisional Licence)	1
FUKUNAGA Peter Hideichi	AVL232	Licensed Representative (Provisional Licence)	9
FUNG Ho Ling	AVO588	Licensed Representative (Provisional Licence)	1, 2
GANAPATHY Suresh	AVJ433	Licensed Representative (Provisional Licence)	4
GAO Hui	AKP556	Licensed Representative (Provisional Licence)	1, 2
GILL Zachary Charles	AVL713	Licensed Representative (Provisional Licence)	1
GIM Susan Hyunah	AVL304	Licensed Representative (Provisional Licence)	9
GIN Hoey Kwan Hoong, Kevin	AVL241	Licensed Representative (Provisional Licence)	4
GISBOURNE Ian Mark	ACS236	Licensed Representative (Provisional Licence)	4
GOGATE Amol Subhash	AVM421	Licensed Representative (Provisional Licence)	9
GOLUB Jonathan Neal	AVL140	Licensed Representative (Provisional Licence)	4
GONG YueYue	AVK929	Licensed Representative (Provisional Licence)	1, 4
GONG Zhen	AVL474	Licensed Representative (Provisional Licence)	1, 6
GOTO Yukihiro	ASS287	Licensed Representative (Provisional Licence)	4
GOULBURN Robert James	AFP789	Licensed Representative (Provisional Licence)	1
GREEN Jonathan Robert	AVM428	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
GRESKO Jason Peter	AVN513	Licensed Representative (Provisional Licence)	1
GU Chuan	AVL443	Licensed Representative (Provisional Licence)	1, 4
GUAN Ling	AVM304	Licensed Representative (Provisional Licence)	1
GUERVILLE Jerome Christian	AVM345	Licensed Representative (Provisional Licence)	1, 2
GUIDERA Nicholas James	AVK730	Licensed Representative (Provisional Licence)	1
GUO Qiu Lin	AVM882	Licensed Representative (Provisional Licence)	1
GUPTA Aman	AVM429	Licensed Representative (Provisional Licence)	1, 2, 7
HAN Allen Jing Choung	AVM313	Licensed Representative (Provisional Licence)	4, 6, 9
HAN William Wei-Lee	AHF798	Licensed Representative (Provisional Licence)	1, 4
HAO Yuanyuan	AQH382	Licensed Representative (Provisional Licence)	4
HARRIS Robin	AHV178	Licensed Representative (Provisional Licence)	1
HE Qian	AVP106	Licensed Representative (Provisional Licence)	6
HEE Huan Wei	AVD514	Licensed Representative (Provisional Licence)	4
HERMAN Neal Thomson	AVO978	Licensed Representative (Provisional Licence)	1, 4
HILL Liam Patrick	AKI975	Licensed Representative (Provisional Licence)	1, 4
HO Ka Wai	AKV099	Licensed Representative (Provisional Licence)	4
HO Kam Wa	AVM928	Licensed Representative (Provisional Licence)	1, 2
HO Kwok Fai	AVP646	Licensed Representative (Provisional Licence)	1
HO Perdo Wang Kei	AVN057	Licensed Representative (Provisional Licence)	1, 6
HO Shing Him	AVM417	Licensed Representative (Provisional Licence)	1
HO Suk Kwan, Emma	AVN227	Licensed Representative (Provisional Licence)	1
HO Wai Ping, Regina	AVM917	Licensed Representative (Provisional Licence)	1, 4
HO Yan Lam	AVJ407	Licensed Representative (Provisional Licence)	1
HODGE Craig	AVM799	Licensed Representative (Provisional Licence)	1
HOLT Antony William	AVN044	Licensed Representative (Provisional Licence)	1, 6
HONG Hu	AVK947	Licensed Representative (Provisional Licence)	1, 6
HOOPER Jeremy Whitney	AVJ284	Licensed Representative (Provisional Licence)	1, 2, 7
HOWITT Bryan William	AVL099	Licensed Representative (Provisional Licence)	4
HSU Jonathan Shawn	APV584	Licensed Representative (Provisional Licence)	4
HSU Pei-Yun	AOU393	Licensed Representative (Provisional Licence)	1, 2
HSU Wei-Chi	AVL882	Licensed Representative (Provisional Licence)	1
HU Christine	AVM218	Licensed Representative (Provisional Licence)	1, 6
HU Qinghua	AVM426	Licensed Representative (Provisional Licence)	1, 2
HUANG Junwei	AVM453	Licensed Representative (Provisional Licence)	4
HUANG Li Shun	AVJ727	Licensed Representative (Provisional Licence)	1
HUANG Linna	AVM457	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Mingyan	AVL143	Licensed Representative (Provisional Licence)	1
HUANG Yan	AVO683	Licensed Representative (Provisional Licence)	1, 4, 6
HUI Arthur Chi Hin	AVN093	Licensed Representative (Provisional Licence)	4
HUNG Hsiang-Shih	AVL885	Licensed Representative (Provisional Licence)	1
HURST Nicholas Robert	AVO755	Licensed Representative (Provisional Licence)	1, 2
IP Wing Man Phoenix	AVD071	Licensed Representative (Provisional Licence)	1
JACSON Philippe William Pierre	AOH049	Licensed Representative (Provisional Licence)	1, 2
JAIN Ajay	AVN405	Licensed Representative (Provisional Licence)	1, 2
JAIN Amit	AVM344	Licensed Representative (Provisional Licence)	1, 6
JAMIESON Shaun	AVN238	Licensed Representative (Provisional Licence)	1, 4
JIANG Kai	AVL375	Licensed Representative (Provisional Licence)	1, 6
JIANG Wenhan	AVL924	Licensed Representative (Provisional Licence)	1, 6
JIANG Xiaoyan	AVP036	Licensed Representative (Provisional Licence)	1, 2
KAN Sherman Tsz Yuet	AVM872	Licensed Representative (Provisional Licence)	1, 6
KAWAMURA Hitoshi	AVL494	Licensed Representative (Provisional Licence)	1, 4, 6
KELLER Christine Denise Hedwig	AVL497	Licensed Representative (Provisional Licence)	4
KEUM Howard Chang-Hwan	AVO961	Licensed Representative (Provisional Licence)	1, 4
KIM Seung-Bom	AOI831	Licensed Representative (Provisional Licence)	9
KIM Sungryul	AVL431	Licensed Representative (Provisional Licence)	1, 7
KIM Sungryung	AVJ288	Licensed Representative (Provisional Licence)	9
KIM Young Kyong	ARI670	Licensed Representative (Provisional Licence)	1
KINAK Mehmet Sinan	ASF711	Licensed Representative (Provisional Licence)	4, 9
KING Wei Yean	APB661	Licensed Representative (Provisional Licence)	9
KO Fai	AHR098	Licensed Representative (Provisional Licence)	1, 4, 9
KOH Hui-Jian	AVM932	Licensed Representative (Provisional Licence)	1, 4
KONG Jennifer Sohyoen	AMQ699	Licensed Representative (Provisional Licence)	1
KONG Wai Ming	AVL225	Licensed Representative (Provisional Licence)	4
KU Ka Shun	AVM937	Licensed Representative (Provisional Licence)	1, 2, 3
KUO Yi-Chih	AGX674	Licensed Representative (Provisional Licence)	1
KWAN Chin Pong, Milton	AVL493	Licensed Representative (Provisional Licence)	1
KWOK Samuel Ming Sum	AVL823	Licensed Representative (Provisional Licence)	4
LAFITTE Gregory Alfred	AVJ138	Licensed Representative (Provisional Licence)	1
LAI Fu Ming	AVM520	Licensed Representative (Provisional Licence)	1
LAI Kwai Wing	AVM129	Licensed Representative (Provisional Licence)	1
LAM Ka Wing	AVL939	Licensed Representative (Provisional Licence)	1, 2
LAM Kim Pang	ALV417	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Lok Yee, Ada	ACG599	Licensed Representative (Provisional Licence)	1, 7
LAM Maggie	APV887	Licensed Representative (Provisional Licence)	1
LAM Man Kit, Mathew	AVL280	Licensed Representative (Provisional Licence)	9
LAM Sai Wing	AVL286	Licensed Representative (Provisional Licence)	4
LAM Tak Yee	AEM497	Licensed Representative (Provisional Licence)	4, 9
LASKOWSKI Christopher Anthony	AFG847	Licensed Representative (Provisional Licence)	1, 6
LAU Chung Ho	AVN510	Licensed Representative (Provisional Licence)	1
LAU Chung Wai	AEC906	Licensed Representative (Provisional Licence)	1, 4
LAU Ka Chun	AVM124	Licensed Representative (Provisional Licence)	1
LAU Ka Chung	AVL151	Licensed Representative (Provisional Licence)	1
LAU Keith Koon Wai	APP568	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAU Kin Chi Gilbert	AVO880	Licensed Representative (Provisional Licence)	1
LAU Shek Wing	AAC254	Licensed Representative (Provisional Licence)	4
LAW Bertrand Japhet	AVL486	Licensed Representative (Provisional Licence)	4
LAWSON Emma Kate	AVL233	Licensed Representative (Provisional Licence)	1, 4
LAWSON Mark Edward	AVE038	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Ah Lung	AVO663	Licensed Representative (Provisional Licence)	1
LEE Chi Yan, Joanna	AFR857	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Eunkyung	AVI632	Licensed Representative (Provisional Licence)	1, 6
LEE Ion Chi	AVN224	Licensed Representative (Provisional Licence)	1
LEE Kai Ho	AVM533	Licensed Representative (Provisional Licence)	1
LEE Lo-Tzu	AVN430	Licensed Representative (Provisional Licence)	1
LEE Man Chiu	AVM133	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Ping Tak	AVO465	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Sang Ho	AVL299	Licensed Representative (Provisional Licence)	1, 6
LEE Seok Wei	AVK948	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Sung Min	AVL430	Licensed Representative (Provisional Licence)	1
LEE Tzu-chieh	AVM004	Licensed Representative (Provisional Licence)	1
LEONG Eng Kiat	AVO758	Licensed Representative (Provisional Licence)	1, 6
LEONG Jonathan Raymond	AVM644	Licensed Representative (Provisional Licence)	9
LEUNG Kong Fai	AVM522	Licensed Representative (Provisional Licence)	1, 3
LEUNG Lok Yee	AVI024	Licensed Representative (Provisional Licence)	1, 2
LEUNG Tak Shing	ASE091	Licensed Representative (Provisional Licence)	1, 2
LEUNG Wai Hing	AVM641	Licensed Representative (Provisional Licence)	1
LEUNG Yuk Shan	AVL828	Licensed Representative (Provisional Licence)	1
LHERT Christian Julien Claude	AVJ292	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Ching Yau	AVM352	Licensed Representative (Provisional Licence)	1
LI Haoyi	ASF604	Licensed Representative (Provisional Licence)	1, 2
LI Hsinlin	AVK469	Licensed Representative (Provisional Licence)	1, 4
LI Kai Wai	AVO604	Licensed Representative (Provisional Licence)	1, 2
LI Mingliang	ATL696	Licensed Representative (Provisional Licence)	1, 2
LI Pik Na	AOE454	Licensed Representative (Provisional Licence)	1, 4, 9
LI Ru	AVP093	Licensed Representative (Provisional Licence)	4
LI Shengping	AVL433	Licensed Representative (Provisional Licence)	1, 2
LI Sheung Lam	AOU509	Licensed Representative (Provisional Licence)	6
LI Shuang	ASM260	Licensed Representative (Provisional Licence)	1
LI Tsz Tung	AVM767	Licensed Representative (Provisional Licence)	1
LI Wai Ting	AVL112	Licensed Representative (Provisional Licence)	1, 2
LI Wei	AVO553	Licensed Representative (Provisional Licence)	1, 4
LI Wenbing	AVN469	Licensed Representative (Provisional Licence)	4
LI Yao	AVM943	Licensed Representative (Provisional Licence)	1
LI Yongxi	AVN266	Licensed Representative (Provisional Licence)	1, 4
LIAN Hailun	AVL923	Licensed Representative (Provisional Licence)	1, 6
LIANG Kaisheng, Dennis	AVM356	Licensed Representative (Provisional Licence)	9
LIM Choon Sern	AVM301	Licensed Representative (Provisional Licence)	1, 2
LIM Ren Hui, Kevin	AVM353	Licensed Representative (Provisional Licence)	1, 6
LIM Shao Jun	AVK803	Licensed Representative (Provisional Licence)	1, 2
LIN Chun Fu	AVM248	Licensed Representative (Provisional Licence)	1, 6
LIN Hiu Yin, Tammy	AVM400	Licensed Representative (Provisional Licence)	1
LIN Sally	ALV422	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Scott Chen-Chun	AVK926	Licensed Representative (Provisional Licence)	1, 6
LIN Stella	ALQ960	Licensed Representative (Provisional Licence)	1, 4
LIN Yi-hsun	AVP645	Licensed Representative (Provisional Licence)	1, 4
LIN Yonghan, Lionel	AVK959	Licensed Representative (Provisional Licence)	4
LIN Yu Ting	AVL238	Licensed Representative (Provisional Licence)	1, 7
LIU Chao	AVM996	Licensed Representative (Provisional Licence)	1, 2
LIU Gang	AVH867	Licensed Representative (Provisional Licence)	4
LIU Kai Yip, Wallace	ARA317	Licensed Representative (Provisional Licence)	1, 2
LIU Ning	ANV104	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Shuo	AVL058	Licensed Representative (Provisional Licence)	2
LIU Si	AVM307	Licensed Representative (Provisional Licence)	1, 2
LIU Xinming	AVN003	Licensed Representative (Provisional Licence)	1, 7

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Xuanxuan	AVK950	Licensed Representative (Provisional Licence)	1, 2
LIU Ying	AVL565	Licensed Representative (Provisional Licence)	1, 4, 6
LO Chee Yan	ARW745	Licensed Representative (Provisional Licence)	1
LO Chi Yin	AVM939	Licensed Representative (Provisional Licence)	1, 2, 3
LO Dickson Tat San	AVM658	Licensed Representative (Provisional Licence)	1, 2, 3
LO Han-Long	AVK641	Licensed Representative (Provisional Licence)	1, 2
LO Ka Lai	AVP174	Licensed Representative (Provisional Licence)	1
LO Ka Ying	AVK938	Licensed Representative (Provisional Licence)	1
LO Wai Lun	AVM934	Licensed Representative (Provisional Licence)	1, 2
LU Szu-Ying	AVL134	Licensed Representative (Provisional Licence)	1, 4
LU Tian	AVK924	Licensed Representative (Provisional Licence)	4
LU Xiaowei	AVL539	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Weihai	AVM727	Licensed Representative (Provisional Licence)	1, 4
MA Adeline	AEM553	Licensed Representative (Provisional Licence)	1, 4
MA Chau Ho	AVL489	Licensed Representative (Provisional Licence)	1, 2
MA Kei Lok	ALQ112	Licensed Representative (Provisional Licence)	1
MA Siu Hong	AVM590	Licensed Representative (Provisional Licence)	1
MA Yu Xiang	AVL434	Licensed Representative (Provisional Licence)	1, 6
MACDONALD Peter Bremner	AMM281	Licensed Representative (Provisional Licence)	1, 2, 4, 6, 7
MAK Janson Chun Ho	AVN019	Licensed Representative (Provisional Licence)	1, 2, 7
MAN Ka Lai	AVL449	Licensed Representative (Provisional Licence)	1, 2
MAN Ting Fai	AVN461	Licensed Representative (Provisional Licence)	1, 2
MAO Junxiang	AVL337	Licensed Representative (Provisional Licence)	1, 6
MARCHIO Steven Santo Peter	AVP004	Licensed Representative (Provisional Licence)	1
MATSUSHITA Masahiro	AVN515	Licensed Representative (Provisional Licence)	1
MC AULIFFE John Joseph	AVM431	Licensed Representative (Provisional Licence)	1, 2
MC GILL Charles Carlin Haynes	AVO651	Licensed Representative (Provisional Licence)	1
MC NIVEN Dillon Tate	AVJ371	Licensed Representative (Provisional Licence)	1, 4, 7
MCWILLIAMS Olivia Ann	AVF620	Licensed Representative (Provisional Licence)	1
MENG Jiawei	AVM919	Licensed Representative (Provisional Licence)	6
MEZRANI Wissam	AVL300	Licensed Representative (Provisional Licence)	1, 2, 7
MINIOR Michael William	AVM446	Licensed Representative (Provisional Licence)	1
MIRPURI Dimple	AVL278	Licensed Representative (Provisional Licence)	1, 2
MISHRA Amit	AVL303	Licensed Representative (Provisional Licence)	4
MIX Bryan Peter	AVN103	Licensed Representative (Provisional Licence)	1, 2
MO Feng	AVN533	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
MOK Chi Ming, Charles Bruce	AVO564	Licensed Representative (Provisional Licence)	1
MONARD Thomas Nicolas	AVJ019	Licensed Representative (Provisional Licence)	1, 2, 7
MOORE Simon Michael	AVK497	Licensed Representative (Provisional Licence)	4
MORRISON Justin David Christopher	AVO760	Licensed Representative (Provisional Licence)	1
MUI Yu Yuk	AVM402	Licensed Representative (Provisional Licence)	1, 2
NAHA Aniruddha	AVO627	Licensed Representative (Provisional Licence)	4, 9
NAIR Girish Ravindran	AVI811	Licensed Representative (Provisional Licence)	1, 4
NASSER Jonathan Colby	AVO954	Licensed Representative (Provisional Licence)	1, 4
NATHWANI Neil Kewal	AVN109	Licensed Representative (Provisional Licence)	1
NEWMAN Mark Christopher	AVK470	Licensed Representative (Provisional Licence)	1, 4
NEWMAN Michael Charles	ATI884	Licensed Representative (Provisional Licence)	1
NG Chun Nam	AVM217	Licensed Representative (Provisional Licence)	1, 6
NG Sau Ting	AVL455	Licensed Representative (Provisional Licence)	1, 4
NG Sem Guan	AVL145	Licensed Representative (Provisional Licence)	4
NG Siu Lun	AVL457	Licensed Representative (Provisional Licence)	4, 9
NG Wai Ho	AVL488	Licensed Representative (Provisional Licence)	1
NG Wai Lap	AVO631	Licensed Representative (Provisional Licence)	1
NG Warren Brian Ka Hei	AVN286	Licensed Representative (Provisional Licence)	1, 6
NG Wenxiong Wilson	AVL230	Licensed Representative (Provisional Licence)	1
NG Yuen Ting	ALS549	Licensed Representative (Provisional Licence)	1, 2
NIE Shengshen	AVL824	Licensed Representative (Provisional Licence)	4
NING Chun Kit, Jack	AVN462	Licensed Representative (Provisional Licence)	1, 2
NISHIMURA Mika	AVL139	Licensed Representative (Provisional Licence)	4
ODEN John Evans	AOU898	Licensed Representative (Provisional Licence)	4
ORCHARD Timothy John	AVJ690	Licensed Representative (Provisional Licence)	4, 5, 9
ORFORD John Nicholas Leask	AVL141	Licensed Representative (Provisional Licence)	4
OWYANG Loong Man, Alvin	ABY912	Licensed Representative (Provisional Licence)	1, 2
PAINULY Mudit	AVJ431	Licensed Representative (Provisional Licence)	4
PAN Chun-Yun	AHW198	Licensed Representative (Provisional Licence)	1
PAN Jingjing	AVN220	Licensed Representative (Provisional Licence)	1, 2
PARK Ki Tae	AVL837	Licensed Representative (Provisional Licence)	1, 2
PASSMORE Simon Gareth	AVJ719	Licensed Representative (Provisional Licence)	1
PENG Shuo	AQX906	Licensed Representative (Provisional Licence)	1
PETSCHKE Frank Christian	AVK802	Licensed Representative (Provisional Licence)	1
PHAM Ngoc Bich	AEZ564	Licensed Representative (Provisional Licence)	1, 2
PINATO Michelle	AVL152	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
PONG Shiu Yan	AVM935	Licensed Representative (Provisional Licence)	1, 4, 9
POON Kwok Ping	AVM128	Licensed Representative (Provisional Licence)	1
POON Lok Sang	AVM942	Licensed Representative (Provisional Licence)	1
POON Wai Joon, Jason	AMC709	Licensed Representative (Provisional Licence)	1, 4, 6
PRAKASH Tapan	AVL496	Licensed Representative (Provisional Licence)	1
PUN Tsz Sang	AVM927	Licensed Representative (Provisional Licence)	1, 2
QI Lei	AVN173	Licensed Representative (Provisional Licence)	1, 6
QIAN Jiarong	AVO619	Licensed Representative (Provisional Licence)	1, 4
RAEBSAMEN Urs	AVN544	Licensed Representative (Provisional Licence)	4, 9
RAINA Sumit	AVL495	Licensed Representative (Provisional Licence)	1, 2
REZNIKAS Michael I	AQI811	Licensed Representative (Provisional Licence)	9
RUSLI Felix Stephen	AUW898	Licensed Representative (Provisional Licence)	4
SALVEN Max Oscar	AVL231	Licensed Representative (Provisional Licence)	1, 2, 7
SATO Takehiro	ALI696	Licensed Representative (Provisional Licence)	1
SCOTT Julian Bowd	AVF123	Licensed Representative (Provisional Licence)	1, 2, 7
SHAH Amil Kirit	AVL277	Licensed Representative (Provisional Licence)	1
SHAHROKHI SADR Ali Reza	ANF133	Licensed Representative (Provisional Licence)	1, 2
SHANG Ning	AVL540	Licensed Representative (Provisional Licence)	1, 2
SHANG Wei	AVM526	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Wei	AVM127	Licensed Representative (Provisional Licence)	1, 6
SHEN Wei Ling, Janet	AMA937	Licensed Representative (Provisional Licence)	1, 6
SHEW Hing Lai, Hadley	AVM415	Licensed Representative (Provisional Licence)	1
SIM Hwee Hoon	AKI965	Licensed Representative (Provisional Licence)	1, 2
SIN Oscar Kwok Leung	AVL136	Licensed Representative (Provisional Licence)	4, 5, 9
SINGH Binay	AVL367	Licensed Representative (Provisional Licence)	1
SINGH Rohit	AVJ143	Licensed Representative (Provisional Licence)	1, 2
SIU Wai Leong	AVL369	Licensed Representative (Provisional Licence)	1, 2
SIVASUBRAMANIAM Sivasakthi D/O	AUW890	Licensed Representative (Provisional Licence)	4
SMITH Dominic Anthony	AVO477	Licensed Representative (Provisional Licence)	1
SO Hon Kei	AVL124	Licensed Representative (Provisional Licence)	1
SO Wai Yin, Irene	AFY986	Licensed Representative (Provisional Licence)	4, 5, 9
SOFIANOS Daniel John	ASO671	Licensed Representative (Provisional Licence)	1
SONG Jingjing	ATJ433	Licensed Representative (Provisional Licence)	2
SONG Mengjie	AVM348	Licensed Representative (Provisional Licence)	4, 9
SONG Ziyi	ANT825	Licensed Representative (Provisional Licence)	1, 4, 6
SPARROW Nicholas Charles	AHF977	Licensed Representative (Provisional Licence)	1, 7

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
STONE Mark Geoffrey	ADX213	Licensed Representative (Provisional Licence)	1, 2, 7
STONE Richard Neil	ACJ526	Licensed Representative (Provisional Licence)	1, 4
STUART Campbell Mackenzie	AVN428	Licensed Representative (Provisional Licence)	1
SUEN Kin Dick	AVM103	Licensed Representative (Provisional Licence)	1, 2
SUN Yuanyuan	AVN233	Licensed Representative (Provisional Licence)	9
SZE Wing	AFS562	Licensed Representative (Provisional Licence)	9
SZETO Chun Kit	ASM272	Licensed Representative (Provisional Licence)	1, 2
TAM Ching Kei, Jackie	AVP039	Licensed Representative (Provisional Licence)	1, 2
TAM Hoi Wai	ASX253	Licensed Representative (Provisional Licence)	1, 4, 7
TAM Yuk Hang	AVP027	Licensed Representative (Provisional Licence)	1
TAN Kit Wai, Derek	AVJ532	Licensed Representative (Provisional Licence)	4, 5, 9
TANG Kuan Mo	AVM303	Licensed Representative (Provisional Licence)	1, 2
TANG Marvin Chak Yuen	AVM525	Licensed Representative (Provisional Licence)	9
TANG Min	AVM772	Licensed Representative (Provisional Licence)	4
TANG Pui Lam, Tiffany	AVL925	Licensed Representative (Provisional Licence)	1, 6
TANG Wing Lun	AVL490	Licensed Representative (Provisional Licence)	1, 2
TEOH Richard James	AVO650	Licensed Representative (Provisional Licence)	1, 2
TIAN Ming	AVM290	Licensed Representative (Provisional Licence)	2
TIANO Joseph Salvatore	AVM432	Licensed Representative (Provisional Licence)	1, 4
TIKHOMIROFF Cyril	ASD769	Licensed Representative (Provisional Licence)	1
TIN Yuk	ANH344	Licensed Representative (Provisional Licence)	1
TO Chak Yan, William	AVJ725	Licensed Representative (Provisional Licence)	1
TONG Ying Kit, Robin	AVO633	Licensed Representative (Provisional Licence)	2
TORRES Juan Miguel	AVM102	Licensed Representative (Provisional Licence)	1
TORRES MERCADO Hector	AVO862	Licensed Representative (Provisional Licence)	4
TSANG Chiu Tai	AVL412	Licensed Representative (Provisional Licence)	1
TSANG Ka Kan, Edmund	ALG188	Licensed Representative (Provisional Licence)	1, 7
TSANG Shuk Kam	AVM341	Licensed Representative (Provisional Licence)	1, 4
TSE Benjamin Andrew Jonathan	ANQ422	Licensed Representative (Provisional Licence)	1, 2, 3
TSE King Bik, Clara	AVH969	Licensed Representative (Provisional Licence)	1, 2, 7
TSE Man Fai, David	AVM745	Licensed Representative (Provisional Licence)	1
TSE Tak Yee, Livia	AIQ483	Licensed Representative (Provisional Licence)	1, 4, 9
VAN DER VELDT Matthieu Pieter Cornelis	AVM130	Licensed Representative (Provisional Licence)	1
VERSANDI Alexis Laura Dunham	AOT951	Licensed Representative (Provisional Licence)	9
VERSTRAETE Jeroen Jerome Denise	ATX640	Licensed Representative (Provisional Licence)	4, 9
VONGVIVAT Pongsupak	AVM101	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WAGG Sarah Jane	AVL396	Licensed Representative (Provisional Licence)	1
WAI Tak Cheung, John	AVO599	Licensed Representative (Provisional Licence)	1, 2
WALKER Brian Wesley	AVK791	Licensed Representative (Provisional Licence)	1, 4
WALLIS David Andrew	AVL896	Licensed Representative (Provisional Licence)	1, 2, 7
WAN Tsz Fung	ARN434	Licensed Representative (Provisional Licence)	1, 2, 3
WANG Beiliang	AVL499	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Hui	AMQ357	Licensed Representative (Provisional Licence)	1, 6
WANG Jerry Gerui	AVH935	Licensed Representative (Provisional Licence)	1, 2
WANG Jian	AVM361	Licensed Representative (Provisional Licence)	1
WANG Jun Ping	ADW638	Licensed Representative (Provisional Licence)	1, 6
WANG Lei	ATV187	Licensed Representative (Provisional Licence)	1, 6
WANG Mengyao	AVL227	Licensed Representative (Provisional Licence)	9
WANG Qian	AVN420	Licensed Representative (Provisional Licence)	4
WANG Rui	AVP105	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yan	AVM924	Licensed Representative (Provisional Licence)	1, 6
WANG Yinxiao	AVL554	Licensed Representative (Provisional Licence)	1, 9
WANG Yue	APL764	Licensed Representative (Provisional Licence)	1, 9
WEN Yong	AVO566	Licensed Representative (Provisional Licence)	1, 6
WHITTAKER David Richard	AVM702	Licensed Representative (Provisional Licence)	1
WIDJAJA Fordyanto	AOY786	Licensed Representative (Provisional Licence)	1
WONG Cheuk Ki	AMF445	Licensed Representative (Provisional Licence)	4
WONG Chi Cheung	AVM941	Licensed Representative (Provisional Licence)	1
WONG Chi Ho	AVN455	Licensed Representative (Provisional Licence)	1, 2
WONG Chi Leung	AVM521	Licensed Representative (Provisional Licence)	4
WONG Chong Wei, Runrun	AUT636	Licensed Representative (Provisional Licence)	9
WONG Chun Ming	AEZ885	Licensed Representative (Provisional Licence)	1
WONG Chun Yin, Edwin	ATX997	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Gary, Kar Ho	AVM360	Licensed Representative (Provisional Licence)	1
WONG Hiu King	AVM233	Licensed Representative (Provisional Licence)	4
WONG Hui Yun	AUZ064	Licensed Representative (Provisional Licence)	1, 6
WONG Ka Hei	AVL307	Licensed Representative (Provisional Licence)	1
WONG Ka Lai	AVN028	Licensed Representative (Provisional Licence)	1
WONG Lai Loi, Connie	AOY325	Licensed Representative (Provisional Licence)	1, 2
WONG Lai Wo	AAJ643	Licensed Representative (Provisional Licence)	1
WONG Matthew Chi Ho	AVN200	Licensed Representative (Provisional Licence)	9
WONG Oi Ling, Irene	ADS873	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Shing Hang	AVM747	Licensed Representative (Provisional Licence)	1
WONG Shuk Kwan	AOU619	Licensed Representative (Provisional Licence)	1, 2
WONG Siu Wai	AAY168	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Tsz Wa	AVO555	Licensed Representative (Provisional Licence)	6
WONG Vivien	ARR811	Licensed Representative (Provisional Licence)	9
WONG Wai In	AVM769	Licensed Representative (Provisional Licence)	1
WONG Wai Nga, Amanda	AVP025	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Wai Sum	AVM751	Licensed Representative (Provisional Licence)	4
WONG Wan Kin	AVM904	Licensed Representative (Provisional Licence)	1, 2
WONG Wing Chi	AVL395	Licensed Representative (Provisional Licence)	1, 4
WONG Wing Sum, Vincent	AVN287	Licensed Representative (Provisional Licence)	1
WONG Yik Hong, Anthony	AVM746	Licensed Representative (Provisional Licence)	4
WOO Kai Wing Ray	AVM639	Licensed Representative (Provisional Licence)	1
WOO Tak Ming	AVM675	Licensed Representative (Provisional Licence)	1
WOOD John Zachary	AVD394	Licensed Representative (Provisional Licence)	4, 9
WRIGHT Richard	APW591	Licensed Representative (Provisional Licence)	1, 2
WU Hong	AVM922	Licensed Representative (Provisional Licence)	9
WU Juan	AVI835	Licensed Representative (Provisional Licence)	4
WU Kai Yip	AVL881	Licensed Representative (Provisional Licence)	1
WU Li-ping	AVI651	Licensed Representative (Provisional Licence)	1
WU Qifeng	AVL370	Licensed Representative (Provisional Licence)	1, 6
WYAND Raymond Patrick	AVM523	Licensed Representative (Provisional Licence)	1, 4
Wu Chun Ho	AMZ332	Licensed Representative (Provisional Licence)	1
XIAO Kai	AVM758	Licensed Representative (Provisional Licence)	1
XIAO Lu	AVL428	Licensed Representative (Provisional Licence)	1, 6
XIAO Miao	AVO461	Licensed Representative (Provisional Licence)	4
XIONG Lang	AVM879	Licensed Representative (Provisional Licence)	9
XU Jin	AVO585	Licensed Representative (Provisional Licence)	4, 9
XU Sheng	AVL544	Licensed Representative (Provisional Licence)	1, 4, 6
XU Xu	AVL306	Licensed Representative (Provisional Licence)	1, 4, 6
YAM Wing Nam	AQA231	Licensed Representative (Provisional Licence)	1
YAN Qi	AVK792	Licensed Representative (Provisional Licence)	1
YAN Tsz Kei	AVL366	Licensed Representative (Provisional Licence)	1, 2, 9
YANG Ah Sung	AVI803	Licensed Representative (Provisional Licence)	1, 6
YANG Hua	ASM262	Licensed Representative (Provisional Licence)	1, 2
YANG Jian	AVN518	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Ning	AVM735	Licensed Representative (Provisional Licence)	1, 4
YANG Yang	AVK963	Licensed Representative (Provisional Licence)	1, 6
YAO Junwen	AVM524	Licensed Representative (Provisional Licence)	1, 6
YAO Man Yi	AVK799	Licensed Representative (Provisional Licence)	1
YAO You	ARF793	Licensed Representative (Provisional Licence)	1
YAP Chih Yee	AJB201	Licensed Representative (Provisional Licence)	1
YAU Tze Kit	AVL228	Licensed Representative (Provisional Licence)	1
YAU Yin Ki	AOQ747	Licensed Representative (Provisional Licence)	1
YE Jing	AVI633	Licensed Representative (Provisional Licence)	1, 6
YEUNG Pui Yi	AVM090	Licensed Representative (Provisional Licence)	1, 2
YEUNG Tsz Kit, Jacky	ASZ509	Licensed Representative (Provisional Licence)	1, 4, 9
YIN Kin Bong, Joseph	AVO479	Licensed Representative (Provisional Licence)	1, 4, 9
YING Yulu	AVP033	Licensed Representative (Provisional Licence)	1, 4, 6
YIP Kam Kwan	AVM926	Licensed Representative (Provisional Licence)	1
YIP Wai Hung	AVO655	Licensed Representative (Provisional Licence)	4, 5
YONEYAMA Ikuyo	AVN009	Licensed Representative (Provisional Licence)	1, 2
YU Chi Tsing, Chloe	AVL444	Licensed Representative (Provisional Licence)	9
YU Huiling	AVL564	Licensed Representative (Provisional Licence)	1, 4, 6
YU Shijia	AVO662	Licensed Representative (Provisional Licence)	1, 2
YU Tsun Yan, Joanna	AVL432	Licensed Representative (Provisional Licence)	1, 6
YUEN Pui Lan	AMU552	Licensed Representative (Provisional Licence)	1
YUEN Wai Kin	AVM568	Licensed Representative (Provisional Licence)	1
YUEN Wing Yi	AVM670	Licensed Representative (Provisional Licence)	1, 2
ZHANG Anna Yuan-Yuan	AVL435	Licensed Representative (Provisional Licence)	1, 6
ZHANG Hao	AVM134	Licensed Representative (Provisional Licence)	4
ZHANG Jeff Wei	AKV344	Licensed Representative (Provisional Licence)	1
ZHANG Jing Wen	AVO664	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Ning	ANE665	Licensed Representative (Provisional Licence)	1, 2
ZHANG Qiaozhe	AVL500	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Wei	AVL358	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xueman	ATY807	Licensed Representative (Provisional Licence)	2
ZHANG Yichi	AVO741	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yixiang	AVO768	Licensed Representative (Provisional Licence)	4
ZHANG Yun	AVL567	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Kainan	AVN246	Licensed Representative (Provisional Licence)	1, 6
ZHAO Qing Nan	AVL446	Licensed Representative (Provisional Licence)	1, 7

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Yingxiang	AVK731	Licensed Representative (Provisional Licence)	4
ZHAO Yuekai	AVK510	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Julia Rui	AVN104	Licensed Representative (Provisional Licence)	1, 6
ZHENG Xiaolin	AVL538	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Zhongqing	AVP034	Licensed Representative (Provisional Licence)	4
ZHONG Kaitao	AVL148	Licensed Representative (Provisional Licence)	4
ZHONG Lan	ATJ434	Licensed Representative (Provisional Licence)	1, 2
ZHONG Qi	ATY808	Licensed Representative (Provisional Licence)	1
ZHOU Brooke Bingru	AVM929	Licensed Representative (Provisional Licence)	4
ZHU Jun	AVQ110	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Yann	AVL484	Licensed Representative (Provisional Licence)	1
ZUBER Zarko	AVA150	Licensed Representative (Provisional Licence)	1, 2
BAO Richard	APY712	Licensed Representative (Temporary Licence)	1
CASTELLAN Steven	ARV908	Licensed Representative (Temporary Licence)	1
CHOI Ho Cheung Edward	AVM576	Licensed Representative (Temporary Licence)	1
CORNELL James Campbell	ART873	Licensed Representative (Temporary Licence)	1
CRAMER Brahm Stuart	AUP814	Licensed Representative (Temporary Licence)	4
CRAWFORD James Duncan Laing	AVG867	Licensed Representative (Temporary Licence)	4
DIYACHKINA Polina	APH589	Licensed Representative (Temporary Licence)	4
FUJIKAWA Daisaku	AVK945	Licensed Representative (Temporary Licence)	1, 6
FUKUDA Tadashi	AVM311	Licensed Representative (Temporary Licence)	1
GARDINER Sean	AVL437	Licensed Representative (Temporary Licence)	1
GRIFFITHS Graeme Derek Victor	AOA583	Licensed Representative (Temporary Licence)	4
HADLEY Norman Borradaile	ATH941	Licensed Representative (Temporary Licence)	1
HAYASHI Koji	AVL891	Licensed Representative (Temporary Licence)	1, 2
HUBBARD James Richard	AVM011	Licensed Representative (Temporary Licence)	1
HUGUENIN-VIRCHAUX Steve Pierre	ATN574	Licensed Representative (Temporary Licence)	4
IP Angela Sin-Yue	ANN456	Licensed Representative (Temporary Licence)	2
JOHNSON Matthew James	AUV898	Licensed Representative (Temporary Licence)	1
KANG Younghwa	AVM546	Licensed Representative (Temporary Licence)	1
KOLOMYTSYN Dmitry	AVM034	Licensed Representative (Temporary Licence)	1
KONO Takaomi	AVL920	Licensed Representative (Temporary Licence)	4
KUNIMOTO (ALAWDEEN) Reza	AUO037	Licensed Representative (Temporary Licence)	1, 4
LEE Scott Jun-Yong	ARJ302	Licensed Representative (Temporary Licence)	1, 2
LIANG Man Wei, Jonathan	AFR655	Licensed Representative (Temporary Licence)	4
LIINAMAA Mark Arnold	AVL879	Licensed Representative (Temporary Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUCAS Ken Lawrence	AVM645	Licensed Representative (Temporary Licence)	1, 4
MOTOMURA Masaki	AUP543	Licensed Representative (Temporary Licence)	4
NAGAO Yoshitaka	AVM354	Licensed Representative (Temporary Licence)	4
NIAMATALI Gordon Yerxis	ATO809	Licensed Representative (Temporary Licence)	1
NYDICK Jay Scott	AFK637	Licensed Representative (Temporary Licence)	4
OKUDA Naka	AVK946	Licensed Representative (Temporary Licence)	1, 6
PACEY Matthew James	AVL301	Licensed Representative (Temporary Licence)	1
PALATIELLO Jason Matthew	AVL438	Licensed Representative (Temporary Licence)	1, 2
RAVI Ephrem	AVL880	Licensed Representative (Temporary Licence)	1
RAW Catherine Philippa	ATR488	Licensed Representative (Temporary Licence)	4
RICHARDSON Peter George Lister	AUD567	Licensed Representative (Temporary Licence)	1
SATO Masahiko	AVM642	Licensed Representative (Temporary Licence)	4
SCHMITT Jean-Francois Andre	AVN304	Licensed Representative (Temporary Licence)	1
THOMAS Matthew James	AVL871	Licensed Representative (Temporary Licence)	1
VOSPER Paul Andrew	ARU913	Licensed Representative (Temporary Licence)	4
WRIGHT Thomas Rowe	AUA323	Licensed Representative (Temporary Licence)	4
YOKOYAMA Chiemi	AVM100	Licensed Representative (Temporary Licence)	1, 2