

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
Alphadyne Asset Management (HK) Limited	ASC630	Licensed Corporation	9
Ellerston Capital (Hong Kong) Limited	APY623	Licensed Corporation	4, 9
Infiniti Advantage Limited	ATS337	Licensed Corporation	9
Ivy Asset Management (HK) Limited	AQG443	Licensed Corporation	1, 4
MICH Investments (Asia) Limited	AQY659	Licensed Corporation	9
Paradigm Investment Management Limited	AOP027	Licensed Corporation	4, 9
Ramius Asia Ltd.	AND374	Licensed Corporation	9
SUN Partners Investment Management Limited	AOC987	Licensed Corporation	9
Total Securities (HK) Limited	ASD316	Licensed Corporation	1, 2
Sincere Securities Limited	ABH659	Licensed Corporation (Deemed Licence)	1, 4, 6, 9
AN Rong	ATJ195	Licensed Representative	1, 2
ARCULLI Bonnie	AVA760	Licensed Representative	1, 4, 6
AU YEUNG Tat Wing	ASJ761	Licensed Representative	1, 3
AU-YEUNG Chui Hung, Louisa	ALQ188	Licensed Representative	4
AUSTIN Gregory Charles	AFP266	Licensed Representative	1, 4
AYAGUZOV Kanat	ALI749	Licensed Representative	1
BENNETT John Alexander	AIR805	Licensed Representative	1, 2
BEST David Gareth	AKO808	Licensed Representative	1, 2, 7
BHASIN Deepak	APG508	Licensed Representative	1, 2, 4
BLACKBURN Adrian James	AOY546	Licensed Representative	1, 4, 7
BORROMEO Jose Roy Hernandez	AFC969	Licensed Representative	1, 9, 9
BORTS Joshua	ASL632	Licensed Representative	1, 2
CARRETT Paul Andrew	APG910	Licensed Representative	1
CASTILLO Eric Alberto	AMJ780	Licensed Representative	1, 2, 4, 5
CHAK Ting Chi	APR096	Licensed Representative	4
CHAN Chai Mui	ANH566	Licensed Representative	1
CHAN Chi Wing	ATX898	Licensed Representative	1, 2
CHAN Hiu Kwan	APW755	Licensed Representative	4
CHAN Hiu Tung	AUC496	Licensed Representative	1
CHAN Ka Man, Karmen	AVB849	Licensed Representative	1, 4, 6
CHAN Kam Por	AML219	Licensed Representative	4
CHAN Kar Bo	AEE023	Licensed Representative	1, 4
CHAN Kei Chi	ASD490	Licensed Representative	1, 2, 4
CHAN King Chi	ARJ502	Licensed Representative	4
CHAN Ling Man, Anita	AGH228	Licensed Representative	1, 2
CHAN Ming Fai	ACE535	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Pak Shing	ADI079	Licensed Representative	1, 4
CHAN Suk Yi	AFN712	Licensed Representative	1, 4, 9
CHAN Tsui Shan	AGP442	Licensed Representative	4
CHAN Wai Ching	AFP779	Licensed Representative	4, 9, 9
CHAN Yee Lam, Annie	ANE737	Licensed Representative	4
CHAN Yiu Fai	ARI935	Licensed Representative	1
CHANG Chia-Hsiang	ALL561	Licensed Representative	1, 4
CHANG Wei-Ling	ARB700	Licensed Representative	1, 2
CHANG Ya-Ching	AQW511	Licensed Representative	1
CHAU Hok Kan	AMC627	Licensed Representative	1, 2
CHAU Lap Wa	AHA003	Licensed Representative	1, 2, 3
CHEN Chi-Wen	ARI797	Licensed Representative	1
CHEN Jinsong	AMF062	Licensed Representative	1
CHEN Pei-Ling	AOZ140	Licensed Representative	1
CHEN Shuo	AQI812	Licensed Representative	1
CHEN Xiaowen	ATL458	Licensed Representative	1, 2
CHEN Yonghong	APW283	Licensed Representative	4
CHENG Billy Chung Leung	AHY095	Licensed Representative	1, 1, 2, 2, 9
CHENG Chui Yee, Bonnie	ARJ710	Licensed Representative	4
CHENG Qi Yun	AQS586	Licensed Representative	1
CHENG Robin Shiang	ATD727	Licensed Representative	4
CHENG Wai Nam	AVL086	Licensed Representative	4
CHENG Wan Nok	AUC827	Licensed Representative	4
CHEUNG Kam Kit	ATC138	Licensed Representative	1, 4
CHIN Suet Kam	AOE228	Licensed Representative	1
CHIU Suk Yee	ATD407	Licensed Representative	1, 4
CHO Kelvin Shing Wai	ADV325	Licensed Representative	1, 2
CHO Yong Hwan	APR922	Licensed Representative	1, 6
CHOI Hon Wai, Allen	AUH944	Licensed Representative	1, 2
CHOI Max Yin	ARA966	Licensed Representative	4
CHOI Siu Hang	ATX594	Licensed Representative	1
CHOI Yuk Fung, Zoe	AFP621	Licensed Representative	4
CHOW Kai Leung, Sandy	AFV024	Licensed Representative	1
CHOW Thomas	AFM847	Licensed Representative	1, 2
CHOW Ying Kit	AQZ638	Licensed Representative	4
CHU Ka Shun, Herbert	AOH267	Licensed Representative	3

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHU Lap Yin	ARH142	Licensed Representative	1
CHU Siu Bun	ATX629	Licensed Representative	1, 2
CHUANG Chien-Fa	APH425	Licensed Representative	1, 7
CHUI Chi Yip	AUD694	Licensed Representative	1
CHUN Julian Howe	AOB660	Licensed Representative	1, 2
CHUNG Leung Wang	APB388	Licensed Representative	1, 2
CHUNG Mei-Chun	AML641	Licensed Representative	1
CHUNG Tao Ching	AJK595	Licensed Representative	4
COOMBS Jonathan Lee	ATE957	Licensed Representative	1
COTTON Michael John	ASN730	Licensed Representative	1, 6
CREWE Peter James	AFL183	Licensed Representative	4
Chan Man Kwai	AOD855	Licensed Representative	9
DENG Hefei	ATW716	Licensed Representative	1, 4
DENK Christian	AON021	Licensed Representative	1, 2
EDE-GOLIGHTLY Sally Ann	AUP885	Licensed Representative	4, 6
FONG Ching	ARE472	Licensed Representative	1
FU Ha Ping	ARN511	Licensed Representative	4
FUKUSHIMA Yoshihiro	APJ449	Licensed Representative	1, 4
FUNG Hang Kin	APH258	Licensed Representative	1
FUNG Pak Tung	AFV917	Licensed Representative	1, 2, 3
GAO Xing	AUH962	Licensed Representative	1, 4, 6
GRANT Aaron Scott	APF035	Licensed Representative	1
GUO Peng	AUW923	Licensed Representative	1, 4
HADDEN Todd William	AUL925	Licensed Representative	1
HARADA Akiko	AOO818	Licensed Representative	1
HE Yezi	ARV789	Licensed Representative	1
HIRAKO Taisuke	ASP620	Licensed Representative	1
HITSCH Rudolf	AEX704	Licensed Representative	1, 2
HO Boon Leng	AQN995	Licensed Representative	1, 4, 9
HO Pui Chung	ARA199	Licensed Representative	4
HO Shu Fung	ALW327	Licensed Representative	4, 9
HO Suet Lee	AQP757	Licensed Representative	1, 9
HO Yin Yuk, June	AQG723	Licensed Representative	1, 2
HSIEH Wei-Horng	AVC357	Licensed Representative	4, 9
HU En Ray	AON697	Licensed Representative	1, 2, 4, 9
HUANG Min Mynn	ASZ223	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Xiaoye	ASX468	Licensed Representative	4
HUNG Hing Yin Amy	ASD086	Licensed Representative	4, 5, 9
HUNG Mei Heung	ACJ934	Licensed Representative	3
HUNG Wing Chi	ALC809	Licensed Representative	4
HUNG Winnie Ling Yee	AQE326	Licensed Representative	1
IP Wing Shan	AFA844	Licensed Representative	1, 4
JACOBSON Timothy William Francis	ACH233	Licensed Representative	1
JAYARAJ Sandy John Marikili	APL685	Licensed Representative	1, 2, 7
JONG Chung Yin	ANV165	Licensed Representative	4
JOUBERT Pierre Delmar	ATI743	Licensed Representative	1, 6
KHAN Karima	AEP551	Licensed Representative	1, 4, 9
KIM Chanel Siu Wai	ATW319	Licensed Representative	1, 4
KIM Dong Wan	AMU451	Licensed Representative	1
KO Ka Wai	AQO288	Licensed Representative	4
KODA Ritsubun	AGE030	Licensed Representative	1, 2
KONG Kai Pong	AQX967	Licensed Representative	3
KONG Yung To	AOR613	Licensed Representative	4
KOO Kan Choy James	AGP690	Licensed Representative	4
KOU Sao Peng	AGJ365	Licensed Representative	1, 4, 9
KU Hermes Yue Ting	APX207	Licensed Representative	1, 2
KWAI Ting Kong	AOG999	Licensed Representative	1, 2, 4
KWAN Isabel Yuen Han	APD082	Licensed Representative	4
KWOK Yui Kwong	AFR205	Licensed Representative	1, 2, 2, 5
LAM Chi Ming	ALG063	Licensed Representative	1
LAM Chung Sing	AIN959	Licensed Representative	4, 6
LAM Ka Nok, Kevin	AML396	Licensed Representative	1, 1, 2, 4
LAM Kam Ho, Eric	AFG764	Licensed Representative	4
LAM Kin Man, Sufan	ACS679	Licensed Representative	1
LAM Kwong Yu	AFD849	Licensed Representative	1, 2, 4, 5, 9
LAM Yui Long	AUP540	Licensed Representative	4
LAU Kar Yee, Elaine	AFL236	Licensed Representative	4
LAU Kwan Fai	AOU962	Licensed Representative	1
LAU Shuk Wai	AFO347	Licensed Representative	1
LAU Sin Yee, Margaret	AOA374	Licensed Representative	1
LAU Siu Fung, Lavina	AOA614	Licensed Representative	1, 4
LAU Siu Kuen	AGD098	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAW Karen Yik Ki	AOB715	Licensed Representative	1
LAW Kwong Wah	AGQ228	Licensed Representative	4
LEE Man Chun	ARO949	Licensed Representative	1, 2, 4
LEE Soo Min	ANX803	Licensed Representative	1
LEE Ting Chung	AMI866	Licensed Representative	3
LEE Yuk King, Polly	AFC750	Licensed Representative	1, 4, 9
LEUNG Ada Yuk-Ching	APD587	Licensed Representative	1, 4, 6
LEUNG Chi Loen	AUQ948	Licensed Representative	3
LEUNG Ka Shing	AOU778	Licensed Representative	4
LEUNG Lok Yan	ATX315	Licensed Representative	4
LEUNG Ngai Wan, Jessie	AOL566	Licensed Representative	4
LEUNG Pui Yin	AIO017	Licensed Representative	1
LEUNG Wai Fai, Alex	ATH546	Licensed Representative	4
LEUNG Wai Sum	AFB633	Licensed Representative	4
LEUNG Wai Yee, Sally	ARA499	Licensed Representative	1, 2
LEUNG Wing Kei, Kristi	AQW093	Licensed Representative	1
LI Chi Sum	AQI631	Licensed Representative	4, 9
LI Mei Hing	ALP487	Licensed Representative	1
LI See Tsun, Stephen	ASH731	Licensed Representative	1, 2
LI Xinyue	APD342	Licensed Representative	1, 6
LIAN Chang	ASN683	Licensed Representative	1, 2
LIANG Yibo	ALF257	Licensed Representative	6
LIN Chien Huei	ATR860	Licensed Representative	1, 4
LIN Ka Ho	AMR825	Licensed Representative	1, 4, 9
LIN Lin	AUA267	Licensed Representative	1, 2
LIN Yan Jenny	AUI064	Licensed Representative	1
LING Chi Chuen	AQQ128	Licensed Representative	1
LING Chor Kin, Jeffrey	ATD874	Licensed Representative	1
LIU Sit Lun	ANY108	Licensed Representative	1, 2, 4, 5
LIU Xiangmin	ARO317	Licensed Representative	6
LIU Xue	ASJ679	Licensed Representative	1, 2, 3, 4, 5
LO Chi Ming	AEN388	Licensed Representative	1
LO Chung Yan, Joanne	APV040	Licensed Representative	4
LO Koon Wah	ASO037	Licensed Representative	4
LO Lok Kin	ARV565	Licensed Representative	1, 2, 4
LO Oi Yan, Anina	ANR864	Licensed Representative	1, 2

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LO Samson Lambert	APQ184	Licensed Representative	1, 4, 6
LO Tolson Tin-yam	AQP588	Licensed Representative	1
LOW Chung Weng	AQY033	Licensed Representative	1, 4
LUI Hung Sun	AUQ332	Licensed Representative	3
LUM Keng Yoon Roger	ARA341	Licensed Representative	1
MA Liyu	ARD380	Licensed Representative	1, 6
MA Vicky V.	ASM274	Licensed Representative	4
MA Xiao	ASP710	Licensed Representative	1
MAK Chi Hang	AKI908	Licensed Representative	1, 2
MAK Chi Wai	ASI136	Licensed Representative	1, 2
MASON Neil Lawrence	ARW929	Licensed Representative	1
MATHEW Kim Iype	AQI396	Licensed Representative	1, 2, 4, 5
MOK Hiu Lam	AJE368	Licensed Representative	1
MOK Long Sang	AUL590	Licensed Representative	1, 2
MURAMATSU Yuka	APB204	Licensed Representative	1, 9
NAKAO Hideshi	AQM689	Licensed Representative	9
NG Ho Kiu	AOB149	Licensed Representative	4
NG Hoi Yin	AQC678	Licensed Representative	4
NG Hoi Ying, Karen	AMN767	Licensed Representative	1, 2, 4, 6
NG Kai Piu, Pert	AOS073	Licensed Representative	4
NG Kwai Ying, Ada	ACJ960	Licensed Representative	1, 3
NG Ming Cheung	AUI677	Licensed Representative	4, 9
NG Wai Wah	AGW317	Licensed Representative	1
PAK Quentin	AKP805	Licensed Representative	1, 2
PANG LUNG Ka Tung	AEU173	Licensed Representative	4, 9
PENG Ann Han	AIH975	Licensed Representative	1, 2, 4
PENG David Hoantee	AET830	Licensed Representative	1, 4
PISLER Michael Allieu	AOV611	Licensed Representative	1
POON Tai Cheung	AQY872	Licensed Representative	1, 6
PRICE Simon Francis	ARF266	Licensed Representative	9
ROLLAND Nicolas, Philippe, Marie	ATH924	Licensed Representative	1, 2, 7
ROOKS Robert	APS896	Licensed Representative	1, 4, 7
RUAN Wei	AUP541	Licensed Representative	4
SAINI Parul Joy	AFU577	Licensed Representative	1, 9
SARGEANT Ronald Thomas	ALZ314	Licensed Representative	1
SHARMA Sanjeev	ANQ054	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
SHEN Szu-Chi	AHU948	Licensed Representative	1, 2
SHEPHERD Daniel Themis	ADX267	Licensed Representative	2, 5
SHI Qi	ARP973	Licensed Representative	1, 4
SHIN Hyung-Bum	AOQ595	Licensed Representative	1, 4
SHIU King On	AUM146	Licensed Representative	4
SHUM Jessica Kin Chau	AJI737	Licensed Representative	1
SHUM Lik Keung	ADG576	Licensed Representative	3
SIEGMUND Thomas	ASH486	Licensed Representative	1, 2, 4, 6
SILITONGA Martin John	ASZ687	Licensed Representative	1, 2
SIN Man Shing	ALW310	Licensed Representative	1, 2
SINGHAL Krishna Kumar	ARO802	Licensed Representative	1
SO Bing Kei, Raymond	ADX208	Licensed Representative	1, 2, 2, 7
SO Renee Wan Yee	AFL178	Licensed Representative	4
STEVENTON Michael John	AQP985	Licensed Representative	1, 2, 7
SUEN Frank	AFS686	Licensed Representative	1, 2
SUN Lu	APP349	Licensed Representative	1, 2
SUNG Min-chun	ARQ477	Licensed Representative	1
SUOR Jehanne	ATW753	Licensed Representative	1, 4
TAI Ting Fong	AQE808	Licensed Representative	1
TAN King Aun	ARA421	Licensed Representative	1, 6
TAN Suan Jin	AGP730	Licensed Representative	1, 4, 6, 9
TAN Wee Lee	AQI099	Licensed Representative	4, 5, 9
TAN Yen Ling, Angelia	ASO680	Licensed Representative	1, 2
TANG King Hong	AQU165	Licensed Representative	4
TANG Tak Ming	ALW325	Licensed Representative	1, 4, 4
TAYLOR Suzanne Tracey	AOQ942	Licensed Representative	1, 7
TIAN Fang	ARG530	Licensed Representative	1, 4, 6
TOTKOVA Deliana Gueorguieva	ANW356	Licensed Representative	4, 9
TSANG Choi Sum, Liza	ABT905	Licensed Representative	1, 2, 4, 5
TSANG Chui Ha	AOO003	Licensed Representative	1, 2
TSANG Kwun Kit	AQC647	Licensed Representative	1, 2, 4
TSANG Siu Tung, Tony	AFZ150	Licensed Representative	1, 4
TSANG Wai Sheung, Robin	AGN983	Licensed Representative	4
TSE Tsz Lun	ASI359	Licensed Representative	4
TSE Wai Lok	ATI591	Licensed Representative	1
TSUI Man Wai	AQV906	Licensed Representative	4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
VINCENT George Laurence	AQU059	Licensed Representative	1, 2, 7
VIOL Christopher Robert	ARS238	Licensed Representative	4
WANG Yike	AQF550	Licensed Representative	4
WANG Yu-fen	ASF428	Licensed Representative	1, 2
WANG Zhe	APH929	Licensed Representative	1, 6
WIDDICOMBE Daniel	AAV700	Licensed Representative	1
WONG Brenda Sze Man	AUS090	Licensed Representative	4, 9
WONG Chi Ho	ANZ779	Licensed Representative	1, 4
WONG Chi San, Allan	AAI951	Licensed Representative	1, 5
WONG Kai Shun	ADD587	Licensed Representative	1
WONG Leung Man	AEB941	Licensed Representative	4, 9
WONG Man To, Johnson	AFZ239	Licensed Representative	1
WONG Man Wa	AMF336	Licensed Representative	1, 4
WONG Nga Lai	APG257	Licensed Representative	1, 4, 9
WONG Sai Lung	AQJ770	Licensed Representative	2
WONG Shun On	APP208	Licensed Representative	1
WONG Si Man	ARS172	Licensed Representative	4
WONG Tai Kuen	ATL459	Licensed Representative	1
WONG Wai Kwong	ATJ098	Licensed Representative	4, 9
WONG Yuet Ling	ART233	Licensed Representative	1, 2
WU Hao	AQL244	Licensed Representative	6
WU Wing Sze	AMZ333	Licensed Representative	1
XIAO Zhiyue	AQM085	Licensed Representative	1, 4, 6
XU Shunan	ATN698	Licensed Representative	1, 2
XU Ying	ANK771	Licensed Representative	1, 4, 6
YAM Yuen Man, Apple	ARQ270	Licensed Representative	4
YANG Sam Shung-Chaung	AMM347	Licensed Representative	1, 2
YAP Tuck Choy	AAJ841	Licensed Representative	4, 9
YAU Hok Wai, Henry Clement	ACH182	Licensed Representative	1, 4
YAU Kwun Fung	AUG224	Licensed Representative	1
YEUNG Bailey	ATN558	Licensed Representative	1, 2, 7
YEUNG Kai Sun	ANY480	Licensed Representative	4
YEUNG Lik, David	AMN761	Licensed Representative	1
YEUNG Wing Kwan	ASU516	Licensed Representative	6
YIM Chun Ling	ATT716	Licensed Representative	1
YIM Wai Kit	ARN606	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Adrienne Jia Yan	ARQ478	Licensed Representative	1, 4, 6
YU Hongfei	ANM618	Licensed Representative	1, 6
YU Sai Mo	ASD194	Licensed Representative	4
YU Tat Keung, Tommy	AMO547	Licensed Representative	4
YU Wai Ming, Debby	ART871	Licensed Representative	1
YUEN King Leung	APY465	Licensed Representative	4
YUEN Yuet Har	AEP992	Licensed Representative	4
ZHANG Jing	ANV028	Licensed Representative	1
ZHANG Jing	AOL061	Licensed Representative	1, 4, 6
ZHANG Jiyang	APB449	Licensed Representative	1, 4, 6
ZHAO Yiming	ARO439	Licensed Representative	1
ZOU Hao	ASM068	Licensed Representative	6
BANUS Rodney Ramon	AKV065	Licensed Representative - Responsible Officer	1, 2
BORROMEO Jose Roy Hernandez	AFC969	Licensed Representative - Responsible Officer	4, 6
CHANG Chia-Hsiang	ALL561	Licensed Representative - Responsible Officer	9
CHENG Wyman Paul	AAI831	Licensed Representative - Responsible Officer	6
CHUANG Eugene Yue-chien	AAA132	Licensed Representative - Responsible Officer	6
CHUMAN Daniel Christopher	ADS174	Licensed Representative - Responsible Officer	9
Chan Miu Yin	ALH896	Licensed Representative - Responsible Officer	1
KIERNAN Peter Anthony	ANW435	Licensed Representative - Responsible Officer	1, 4, 6
LAM Kin Man, Sufan	ACS679	Licensed Representative - Responsible Officer	2
LAU Jin Tin, Don	AMP629	Licensed Representative - Responsible Officer	9
LEE Joseph B	ACJ181	Licensed Representative - Responsible Officer	4
LIANG Yum Shing, Howard	ADN983	Licensed Representative - Responsible Officer	1
LINSEY Matthew Alan	ALY481	Licensed Representative - Responsible Officer	9
MC NELIS Patrick James	ATN126	Licensed Representative - Responsible Officer	1
NG Yum Fai	AFC598	Licensed Representative - Responsible Officer	4, 9
NORMAN Alan John	AIR149	Licensed Representative - Responsible Officer	4
RINGENSON Kai Ole	ALC341	Licensed Representative - Responsible Officer	9
SARANTIS Valentino Caesar Viriato	AMW673	Licensed Representative - Responsible Officer	4, 9
SIN Yvonne Yue Fan	ADO749	Licensed Representative - Responsible Officer	9
STASTNEY Shadron Lee	ARR979	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
TAN Suat Wah	AEZ709	Licensed Representative - Responsible Officer	9
TSAI Tsu Ming	ALU919	Licensed Representative - Responsible Officer	1, 4
WHITE Anthony Keith Venner	AQA786	Licensed Representative - Responsible Officer	1
WONG Chi San, Allan	AAI951	Licensed Representative - Responsible Officer	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIA Huashi	ARR978	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
YAU Hok Wai, Henry Clement	ACH182	Licensed Representative - Responsible Officer	6
ADVANI Alokik Indru	AVL308	Licensed Representative (Provisional Licence)	1, 2
AGARWAL Somesh Kumar	AVM201	Licensed Representative (Provisional Licence)	4
ALDER Campbell Robert	AVN517	Licensed Representative (Provisional Licence)	1
ALTENBURGER Mario	AVN168	Licensed Representative (Provisional Licence)	1, 4, 6
ANDERSSON Paul Jonathan	AVL477	Licensed Representative (Provisional Licence)	1, 2
ARSLANIAN Henri-Kevork	AVM312	Licensed Representative (Provisional Licence)	1
AU Cheuk Hei	AVP020	Licensed Representative (Provisional Licence)	1, 2
AU Yu Hang, Johannes	AOP023	Licensed Representative (Provisional Licence)	4
BADDLEY Daniel	AVO475	Licensed Representative (Provisional Licence)	1, 2, 3
BAKER Neil George	AVN241	Licensed Representative (Provisional Licence)	1
BAN Sui Lyn, Lena	AUE036	Licensed Representative (Provisional Licence)	6
BANSAL Arun Kumar	AVM095	Licensed Representative (Provisional Licence)	1, 6
BARROWCLIFF Peter Nathaniel David	AVM359	Licensed Representative (Provisional Licence)	2
BARTELL Lydia Mynko	AVN169	Licensed Representative (Provisional Licence)	1, 6
BASTIT Arnaud Marie Daniel	ARL443	Licensed Representative (Provisional Licence)	1, 2
BHARADWAJ Amrit	AVO481	Licensed Representative (Provisional Licence)	1
BIRD Raymond Andrew	AVL467	Licensed Representative (Provisional Licence)	1
BOLLINGER Stefan Rolf	AVO601	Licensed Representative (Provisional Licence)	1
BOUTLAND Richard Anthony William	AIR290	Licensed Representative (Provisional Licence)	4, 9
BRADSHAW Oliver Robert	AVN427	Licensed Representative (Provisional Licence)	1
BURCKGARD Dominik Rene	AVM701	Licensed Representative (Provisional Licence)	1, 2
CALDERWOOD Christopher John Robertson	AVL142	Licensed Representative (Provisional Licence)	4, 5
CANT Nicholas James	AUG885	Licensed Representative (Provisional Licence)	1
CARNEY Stephen Joseph	AVM220	Licensed Representative (Provisional Licence)	1, 2, 7
CHAN Adrian Tsang Kwong	AVM931	Licensed Representative (Provisional Licence)	4
CHAN Chi Chow	ADY284	Licensed Representative (Provisional Licence)	4, 9
CHAN Chin Kiu	AVM792	Licensed Representative (Provisional Licence)	4
CHAN Eng Chien	AVN297	Licensed Representative (Provisional Licence)	1
CHAN Hing	AVO463	Licensed Representative (Provisional Licence)	4
CHAN Kai Chuen, Kim	ABX322	Licensed Representative (Provisional Licence)	1
CHAN Kai Yun	AVO918	Licensed Representative (Provisional Licence)	1
CHAN Keung Kwok	AAC244	Licensed Representative (Provisional Licence)	1
CHAN Nicholas Ling Hang	AVL135	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Shiu Yee	AVM135	Licensed Representative (Provisional Licence)	1, 2

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CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Sze Man	AIC279	Licensed Representative (Provisional Licence)	4
CHAN Tak Ho	AVM930	Licensed Representative (Provisional Licence)	1, 2
CHAN Tung Wa	AVP005	Licensed Representative (Provisional Licence)	1, 2
CHAN Wai Por	AAF318	Licensed Representative (Provisional Licence)	1
CHAN Yi	APG474	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Yick Tsz	AVM117	Licensed Representative (Provisional Licence)	1, 2
CHAN Yin May, Queenie	AVL276	Licensed Representative (Provisional Licence)	1
CHAN Ying Kuk, Joyce	AVL275	Licensed Representative (Provisional Licence)	1
CHANG Jennifer Ting	AVN307	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Shiwei	AVM305	Licensed Representative (Provisional Licence)	1
CHAU King Chun, Kyric	AVM126	Licensed Representative (Provisional Licence)	1
CHAU Man Fai, Ricky	AVM774	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHEN Andy	AVM125	Licensed Representative (Provisional Licence)	1, 6
CHEN Bing Ming	AVL921	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Cheen Shing, Wilson	AVM294	Licensed Representative (Provisional Licence)	1, 2
CHEN Lijuan	AVL498	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Luqing	AVP846	Licensed Representative (Provisional Licence)	1, 2
CHEN Ruibin	AVQ216	Licensed Representative (Provisional Licence)	6
CHEN Shaohui	AVP019	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Xiangyi	AVM726	Licensed Representative (Provisional Licence)	1, 4
CHEN Xiao	AVO557	Licensed Representative (Provisional Licence)	1, 6
CHEN Yuanfei	AVM793	Licensed Representative (Provisional Licence)	4
CHEN Zhiping	AVL398	Licensed Representative (Provisional Licence)	1, 4
CHENG Chi Ho	AVL287	Licensed Representative (Provisional Licence)	4
CHENG Chung Ki	AVL487	Licensed Representative (Provisional Licence)	1, 2
CHENG Ning Hin, Eric	AVM591	Licensed Representative (Provisional Licence)	1
CHENG Shing	AVN164	Licensed Representative (Provisional Licence)	1
CHENG Tsun Kai	AVO661	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Yi Ting	AVM413	Licensed Representative (Provisional Licence)	1
CHENG Yin Pan	AVL547	Licensed Representative (Provisional Licence)	1, 4, 6
CHEUNG Chi Chung	AVM423	Licensed Representative (Provisional Licence)	1
CHEUNG Chui Shan	AVM059	Licensed Representative (Provisional Licence)	1
CHEUNG Chun Man	AVP022	Licensed Representative (Provisional Licence)	1
CHEUNG Rhoda Sze Yan	AVL305	Licensed Representative (Provisional Licence)	1
CHEUNG Shi Hai	AVL697	Licensed Representative (Provisional Licence)	1, 4, 6
CHEUNG Wai Lik	AEK034	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Yin Man, Monica	AVN236	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Yuk Mui	ANR304	Licensed Representative (Provisional Licence)	1, 2
CHIA Jun Yi, Daniel	AVP919	Licensed Representative (Provisional Licence)	9
CHING Norman Siu Yong	AVM440	Licensed Representative (Provisional Licence)	1
CHIU Heung Chin	AVO464	Licensed Representative (Provisional Licence)	1
CHOI Jae Joon	APJ346	Licensed Representative (Provisional Licence)	1
CHOI Koon Yu	AVJ373	Licensed Representative (Provisional Licence)	1, 2
CHOVATIA Kaushal Bharat	AVO474	Licensed Representative (Provisional Licence)	1
CHOW Man Yee	AMY313	Licensed Representative (Provisional Licence)	1
CHOW Po Sang	AUQ373	Licensed Representative (Provisional Licence)	1, 2
CHOW Sharon Estella	AVN086	Licensed Representative (Provisional Licence)	1, 4, 9
CHOW Shuk Yi	AVP865	Licensed Representative (Provisional Licence)	1
CHOW Stefanie Kee Ya	AVR011	Licensed Representative (Provisional Licence)	1, 4, 9
CHOY Tsun Kit, Jackie	ANK724	Licensed Representative (Provisional Licence)	4
CHU Wan Hang	AVM422	Licensed Representative (Provisional Licence)	1, 4, 9
CHUA Jason Jia Xuan	AVK952	Licensed Representative (Provisional Licence)	1, 7
CHUA Wei Wei	AVP431	Licensed Representative (Provisional Licence)	4, 9
CHUN Jungil	AVL144	Licensed Representative (Provisional Licence)	1
CHUNG Kam Wah, Alden	ABH271	Licensed Representative (Provisional Licence)	4, 9
CHUNG Wing Sum	AVM998	Licensed Representative (Provisional Licence)	1, 2
CHUNG Yue Man Iman	AVM450	Licensed Representative (Provisional Licence)	4
CLAUDIO Rodel Manlapid	AVP268	Licensed Representative (Provisional Licence)	1, 4
COOPER Lachlan Ian	AVN102	Licensed Representative (Provisional Licence)	1, 2
CROSBIE-WALSH Gordon Michael	ACD934	Licensed Representative (Provisional Licence)	1, 4, 6
DANG Liang	AVN511	Licensed Representative (Provisional Licence)	1, 4
DE LYSTER Nathan Joshua	AVO460	Licensed Representative (Provisional Licence)	1, 4
DELTERME Antoine Pierre Marie	AVM691	Licensed Representative (Provisional Licence)	1, 2
DINAKAR Saurabh	AGD985	Licensed Representative (Provisional Licence)	1, 6
DING Jessie Qian	AVQ400	Licensed Representative (Provisional Licence)	4, 9
DING Yang	AVO478	Licensed Representative (Provisional Licence)	4
DRIESSEN Sven Jorgen	AUI033	Licensed Representative (Provisional Licence)	9
DUPUIS Alexandre Colin Guillaume	AVM760	Licensed Representative (Provisional Licence)	1, 2, 7
ER Cherie	AVM300	Licensed Representative (Provisional Licence)	1
FAN Wing Yan, Winnie	AQW052	Licensed Representative (Provisional Licence)	1
FAN Yu Hin, Edwin	AMU321	Licensed Representative (Provisional Licence)	1, 2, 4
FANG Benjamin Xu	APR171	Licensed Representative (Provisional Licence)	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
FISHMAN Daniel	AVM302	Licensed Representative (Provisional Licence)	1, 2
FOK Wai Man, Louisa	AEZ139	Licensed Representative (Provisional Licence)	4, 9
FONG Chun Fai	AJS508	Licensed Representative (Provisional Licence)	6
FONG Ka Kiu	AVM519	Licensed Representative (Provisional Licence)	1, 2
FOO Tze Min Gerald	AVM342	Licensed Representative (Provisional Licence)	1
FU Fai Wong	AVO462	Licensed Representative (Provisional Licence)	1
FU Si Hui	AVO634	Licensed Representative (Provisional Licence)	1, 2, 7
FU Wang Kit	AVM406	Licensed Representative (Provisional Licence)	1
FUKUSHIMA Soh	AVN014	Licensed Representative (Provisional Licence)	1, 4
GAN Zhenyu	AVQ396	Licensed Representative (Provisional Licence)	3
GAO Hui	AKP556	Licensed Representative (Provisional Licence)	1, 2
GARG Ketaki	AUM120	Licensed Representative (Provisional Licence)	4
G HARDE Atul Devendra	AVI316	Licensed Representative (Provisional Licence)	4
GILL Zachary Charles	AVL713	Licensed Representative (Provisional Licence)	1
GIM Susan Hyunah	AVL304	Licensed Representative (Provisional Licence)	9
GIN Hoey Kwan Hoong, Kevin	AVL241	Licensed Representative (Provisional Licence)	4
GISBOURNE Ian Mark	ACS236	Licensed Representative (Provisional Licence)	4
GOGATE Amol Subhash	AVM421	Licensed Representative (Provisional Licence)	9
GOTO Yukihiko	ASS287	Licensed Representative (Provisional Licence)	4
GOULBURN Robert James	AFP789	Licensed Representative (Provisional Licence)	1
GREEN Jonathan Robert	AVM428	Licensed Representative (Provisional Licence)	1, 2
GRESKO Jason Peter	AVN513	Licensed Representative (Provisional Licence)	1
GRIDEL Geoffroy Marie Robert	AVN017	Licensed Representative (Provisional Licence)	1, 9
GU Chuan	AVL443	Licensed Representative (Provisional Licence)	1, 4
GUAN Ling	AVM304	Licensed Representative (Provisional Licence)	1
GUERVILLE Jerome Christian	AVM345	Licensed Representative (Provisional Licence)	1, 2
GUO Qiu Lin	AVM882	Licensed Representative (Provisional Licence)	1
GUPTA Aman	AVM429	Licensed Representative (Provisional Licence)	1, 2, 7
GUPTA Priya	AVQ452	Licensed Representative (Provisional Licence)	1, 6
HAN Allen Jing Choung	AVM313	Licensed Representative (Provisional Licence)	4, 6, 9
HAO Yuanyuan	AQH382	Licensed Representative (Provisional Licence)	4
HE Chang	AVK953	Licensed Representative (Provisional Licence)	1, 4
HE Qian	AVP106	Licensed Representative (Provisional Licence)	6
HEE Huan Wei	AVD514	Licensed Representative (Provisional Licence)	4
HERMAN Neal Thomson	AVO978	Licensed Representative (Provisional Licence)	1, 4
HILL Liam Patrick	AKI975	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Ka Wai	AKV099	Licensed Representative (Provisional Licence)	4
HO King Sun, Simon	AUB083	Licensed Representative (Provisional Licence)	1, 4, 9
HO Kwok Fai	AVP646	Licensed Representative (Provisional Licence)	1
HO Perdo Wang Kei	AVN057	Licensed Representative (Provisional Licence)	1, 6
HO Shing Him	AVM417	Licensed Representative (Provisional Licence)	1
HO Suk Kwan, Emma	AVN227	Licensed Representative (Provisional Licence)	1
HO Wai Ping, Regina	AVM917	Licensed Representative (Provisional Licence)	1, 4
HODGE Craig	AVM799	Licensed Representative (Provisional Licence)	1
HONG Yu Sik	ADU274	Licensed Representative (Provisional Licence)	1, 2
HOOPER Jeremy Whitney	AVJ284	Licensed Representative (Provisional Licence)	1, 2, 7
HSU Jonathan Shawn	APV584	Licensed Representative (Provisional Licence)	4
HU Christine	AVM218	Licensed Representative (Provisional Licence)	1, 6
HU Frank	AAA320	Licensed Representative (Provisional Licence)	1
HU Qinghua	AVM426	Licensed Representative (Provisional Licence)	1, 2
HU Youjue	AVO900	Licensed Representative (Provisional Licence)	1, 4
HUANG Jen-Liang	AVP159	Licensed Representative (Provisional Licence)	1, 4
HUANG Junwei	AVM453	Licensed Representative (Provisional Licence)	4
HUI Arthur Chi Hin	AVN093	Licensed Representative (Provisional Licence)	4
HUNG Franky Chun Chung	AVQ217	Licensed Representative (Provisional Licence)	4
HURST Nicholas Robert	AVO755	Licensed Representative (Provisional Licence)	1, 2
JAIN Amit	AVM344	Licensed Representative (Provisional Licence)	1, 6
JAMIESON Shaun	AVN238	Licensed Representative (Provisional Licence)	1, 4
JIANG Hao	AVM739	Licensed Representative (Provisional Licence)	1, 2
JIANG Kai	AVL375	Licensed Representative (Provisional Licence)	1, 6
JIANG Wenhan	AVL924	Licensed Representative (Provisional Licence)	1, 6
JIANG Xiaoyan	AVP036	Licensed Representative (Provisional Licence)	1, 2
JIN Sisi	AVP861	Licensed Representative (Provisional Licence)	1
JIN Zhao	AVK739	Licensed Representative (Provisional Licence)	1, 7
JOHNSON James Samuel	AVQ816	Licensed Representative (Provisional Licence)	1
JOSHI Sumeet Sharad	AVF234	Licensed Representative (Provisional Licence)	1, 2
KAM Kwok Hung	AOL528	Licensed Representative (Provisional Licence)	1, 6
KAN Sherman Tsz Yuet	AVM872	Licensed Representative (Provisional Licence)	1, 6
KAWAMURA Hitoshi	AVL494	Licensed Representative (Provisional Licence)	1, 4, 6
KELLER Christine Denise Hedwig	AVL497	Licensed Representative (Provisional Licence)	4
KEUM Howard Chang-Hwan	AVO961	Licensed Representative (Provisional Licence)	1, 4
KIM Jae Keun	AVP736	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
KIM Sungryul	AVL431	Licensed Representative (Provisional Licence)	1, 7
KIM Young Chan	AGW611	Licensed Representative (Provisional Licence)	1
KIM Young Kyong	ARI670	Licensed Representative (Provisional Licence)	1
KINAK Mehmet Sinan	ASF711	Licensed Representative (Provisional Licence)	4, 9
KING Wei Yean	APB661	Licensed Representative (Provisional Licence)	9
KITAZAWA Tomohiro	ANU570	Licensed Representative (Provisional Licence)	1, 2
KO Fai	AHR098	Licensed Representative (Provisional Licence)	1, 4, 9
KOHLI Sneha Shah	AVP148	Licensed Representative (Provisional Licence)	1, 2
KONG Jennifer Sohyoen	AMQ699	Licensed Representative (Provisional Licence)	1
KONGKALAI Varutch	ARE770	Licensed Representative (Provisional Licence)	1, 2
KU Ka Shun	AVM937	Licensed Representative (Provisional Licence)	1, 2, 3
KU Mei Yee	AOH535	Licensed Representative (Provisional Licence)	1, 2
KUO Yi-Chih	AGX674	Licensed Representative (Provisional Licence)	1
KWAN Chin Pong, Milton	AVL493	Licensed Representative (Provisional Licence)	1
KWOK Ping Yam	AFO424	Licensed Representative (Provisional Licence)	1
KWOK Samuel Ming Sum	AVL823	Licensed Representative (Provisional Licence)	4
KWONG Yuen Yan, Stephanie	AVM741	Licensed Representative (Provisional Licence)	1
LAI Fu Ming	AVM520	Licensed Representative (Provisional Licence)	1
LAI Hoi Ki	AVP642	Licensed Representative (Provisional Licence)	1, 4
LAI Kwai Wing	AVM129	Licensed Representative (Provisional Licence)	1
LAI Ting	AVQ604	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Kim Pang	ALV417	Licensed Representative (Provisional Licence)	1
LAM Lok Yee, Ada	ACG599	Licensed Representative (Provisional Licence)	1, 7
LAM Sai Wing	AVL286	Licensed Representative (Provisional Licence)	4
LAM Tak Yee	AEM497	Licensed Representative (Provisional Licence)	4, 9
LASKOWSKI Christopher Anthony	AFG847	Licensed Representative (Provisional Licence)	1, 6
LAU Chit Yue, Cheryl	AJF372	Licensed Representative (Provisional Licence)	1
LAU Chung Ho	AVN510	Licensed Representative (Provisional Licence)	1
LAU Chung Wai	AEC906	Licensed Representative (Provisional Licence)	1, 4
LAU Ka Chun	AVM124	Licensed Representative (Provisional Licence)	1
LAU Keith Koon Wai	APP568	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAU Kin Chi Gilbert	AVO880	Licensed Representative (Provisional Licence)	1
LAU Kwok Hei, David	AVN397	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Shek Wing	AAC254	Licensed Representative (Provisional Licence)	4
LAU Wing	AVH858	Licensed Representative (Provisional Licence)	1
LAW Bertrand Japhet	AVL486	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAW Wai Yu	AVQ914	Licensed Representative (Provisional Licence)	1, 2
LAWSON Emma Kate	AVL233	Licensed Representative (Provisional Licence)	1, 4
LE Cheng-chiang	AUC989	Licensed Representative (Provisional Licence)	1
LEE Ah Lung	AVO663	Licensed Representative (Provisional Licence)	1
LEE Chi Yan, Joanna	AFR857	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Hye-na	AVH110	Licensed Representative (Provisional Licence)	1, 2
LEE Ion Chi	AVN224	Licensed Representative (Provisional Licence)	1
LEE Jae Hyun	AVQ512	Licensed Representative (Provisional Licence)	1
LEE Kai Ho	AVM533	Licensed Representative (Provisional Licence)	1
LEE Lo-Tzu	AVN430	Licensed Representative (Provisional Licence)	1
LEE Man Chiu	AVM133	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Ping Tak	AVO465	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Sang Ho	AVL299	Licensed Representative (Provisional Licence)	1, 6
LEE Sung Min	AVL430	Licensed Representative (Provisional Licence)	1
LEE Wing Sang	AVQ393	Licensed Representative (Provisional Licence)	3
LEONG Eng Kiat	AVO758	Licensed Representative (Provisional Licence)	1, 6
LEONG Jonathan Raymond	AVM644	Licensed Representative (Provisional Licence)	9
LEONG June Lai Ling	AOL357	Licensed Representative (Provisional Licence)	1
LEUNG Chi Chung	AVQ077	Licensed Representative (Provisional Licence)	1
LEUNG Fei Chun	AVQ214	Licensed Representative (Provisional Licence)	1
LEUNG Ka Ho	AVP641	Licensed Representative (Provisional Licence)	1
LEUNG Kong Fai	AVM522	Licensed Representative (Provisional Licence)	1, 3
LEUNG Tak Shing	ASE091	Licensed Representative (Provisional Licence)	1, 2
LEUNG Wai Hing	AVM641	Licensed Representative (Provisional Licence)	1
LHERT Christian Julien Claude	AVJ292	Licensed Representative (Provisional Licence)	1, 6
LI Ching Yau	AVM352	Licensed Representative (Provisional Licence)	1
LI Haoyi	ASF604	Licensed Representative (Provisional Licence)	1, 2
LI Hsinlin	AVK469	Licensed Representative (Provisional Licence)	1, 4
LI Jingxia	AVO966	Licensed Representative (Provisional Licence)	1, 9
LI Kun	AUN392	Licensed Representative (Provisional Licence)	4
LI Pik Na	AOE454	Licensed Representative (Provisional Licence)	1, 4, 9
LI Pui Man, Patricia	AVI628	Licensed Representative (Provisional Licence)	1, 6
LI Ru	AVP093	Licensed Representative (Provisional Licence)	4
LI Simin	AVL235	Licensed Representative (Provisional Licence)	1, 2, 7
LI Tsz Tung	AVM767	Licensed Representative (Provisional Licence)	1
LI Wei	AVO553	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Wenbing	AVN469	Licensed Representative (Provisional Licence)	4
LI Yao	AVM943	Licensed Representative (Provisional Licence)	1
LI Yat Yu	AVJ372	Licensed Representative (Provisional Licence)	1, 2
LIAN Hailun	AVL923	Licensed Representative (Provisional Licence)	1, 6
LIANG Kaisheng, Dennis	AVM356	Licensed Representative (Provisional Licence)	9
LIEW Wai Ngan	AVQ288	Licensed Representative (Provisional Licence)	1
LIM Choon Sern	AVM301	Licensed Representative (Provisional Licence)	1, 2
LIN Chun Fu	AVM248	Licensed Representative (Provisional Licence)	1, 6
LIN Hiu Yin, Tammy	AVM400	Licensed Representative (Provisional Licence)	1
LIN Pui Kwan, Eric	AVP184	Licensed Representative (Provisional Licence)	4
LIN Songqi	AVQ548	Licensed Representative (Provisional Licence)	1
LIN Stella	ALQ960	Licensed Representative (Provisional Licence)	1, 4
LIN Yi-hsun	AVP645	Licensed Representative (Provisional Licence)	1, 4
LIN Yu Ting	AVL238	Licensed Representative (Provisional Licence)	1, 7
LIU Chao	AVM996	Licensed Representative (Provisional Licence)	1, 2
LIU Fung Chun	AVQ915	Licensed Representative (Provisional Licence)	1
LIU Kai Yip, Wallace	ARA317	Licensed Representative (Provisional Licence)	1, 2
LIU Si	AVM307	Licensed Representative (Provisional Licence)	1, 2
LIU Xinming	AVN003	Licensed Representative (Provisional Licence)	1, 7
LIU Xuanxuan	AVK950	Licensed Representative (Provisional Licence)	1, 2
LLOYD Rachel Freda	ALY320	Licensed Representative (Provisional Licence)	1
LO Chi Yin	AVM939	Licensed Representative (Provisional Licence)	1, 2, 3
LO Dickson Tat San	AVM658	Licensed Representative (Provisional Licence)	1, 2, 3
LO Kai Fung	AVQ822	Licensed Representative (Provisional Licence)	1
LO Sze Ying	AUQ205	Licensed Representative (Provisional Licence)	1, 2
LOH Jenn Yeh, Adrian	ANE752	Licensed Representative (Provisional Licence)	1, 2, 7
LOH Juin Huan, Sherwin	AVJ434	Licensed Representative (Provisional Licence)	1
LU Szu-Ying	AVL134	Licensed Representative (Provisional Licence)	1, 4
LU Tian	AVK924	Licensed Representative (Provisional Licence)	4
LUO Weihai	AVM727	Licensed Representative (Provisional Licence)	1, 4
MA Adeline	AEM553	Licensed Representative (Provisional Licence)	1, 4
MA Chau Ho	AVL489	Licensed Representative (Provisional Licence)	1, 2
MA Hing Yan	AUQ493	Licensed Representative (Provisional Licence)	2
MA Kei Lok	ALQ112	Licensed Representative (Provisional Licence)	1
MAHANSARIA Sunayana Pradeep	AVI630	Licensed Representative (Provisional Licence)	1, 7
MAK Janson Chun Ho	AVN019	Licensed Representative (Provisional Licence)	1, 2, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAN Ting Fai	AVN461	Licensed Representative (Provisional Licence)	1, 2
MAN Yuen Ting	AVP643	Licensed Representative (Provisional Licence)	1
MARCHIO Steven Santo Peter	AVP004	Licensed Representative (Provisional Licence)	1
MATSUSHITA Masahiro	AVN515	Licensed Representative (Provisional Licence)	1
MC GILL Charles Carlin Haynes	AVO651	Licensed Representative (Provisional Licence)	1
MCAULIFFE James Anthony	ANL063	Licensed Representative (Provisional Licence)	1, 2
MCAULIFFE John Joseph	AVM431	Licensed Representative (Provisional Licence)	1, 2
MEIKLEJOHN Tara Leone	AVK798	Licensed Representative (Provisional Licence)	1, 4
MENG Jiawei	AVM919	Licensed Representative (Provisional Licence)	6
MINIOR Michael William	AVM446	Licensed Representative (Provisional Licence)	1
MIRANDA Vivek Mario	AVA349	Licensed Representative (Provisional Licence)	1, 4, 6
MIRPURI Dimple	AVL278	Licensed Representative (Provisional Licence)	1, 2
MISHRA Amit	AVL303	Licensed Representative (Provisional Licence)	4
MISRA Arup Ashim	AVP714	Licensed Representative (Provisional Licence)	1
MIX Bryan Peter	AVN103	Licensed Representative (Provisional Licence)	1, 2
MO Feng	AVN533	Licensed Representative (Provisional Licence)	1
MOK Chi Ming, Charles Bruce	AVO564	Licensed Representative (Provisional Licence)	1
MOORE Simon Michael	AVK497	Licensed Representative (Provisional Licence)	4
MUI Yu Yuk	AVM402	Licensed Representative (Provisional Licence)	1, 2
NAHA Aniruddha	AVO627	Licensed Representative (Provisional Licence)	4, 9
NASSER Jonathan Colby	AVO954	Licensed Representative (Provisional Licence)	1, 4
NATHWANI Neil Kewal	AVN109	Licensed Representative (Provisional Licence)	1
NEWMAN Mark Christopher	AVK470	Licensed Representative (Provisional Licence)	1, 4
NEWMAN Michael Charles	ATI884	Licensed Representative (Provisional Licence)	1
NEWTON Christopher John	AVJ280	Licensed Representative (Provisional Licence)	1
NG Chun Nam	AVM217	Licensed Representative (Provisional Licence)	1, 6
NG Hongs	AFU543	Licensed Representative (Provisional Licence)	1, 2
NG Lung, George	AHC740	Licensed Representative (Provisional Licence)	1
NG Tsz Ching	AVJ374	Licensed Representative (Provisional Licence)	1, 2
NG Wai Ho	AVL488	Licensed Representative (Provisional Licence)	1
NG Warren Brian Ka Hei	AVN286	Licensed Representative (Provisional Licence)	1, 6
NG Yuen Ting	ALS549	Licensed Representative (Provisional Licence)	1, 2
NIE Shengshen	AVL824	Licensed Representative (Provisional Licence)	4
NING Chun Kit, Jack	AVN462	Licensed Representative (Provisional Licence)	1, 2
NISHI Yoshihiro	AVJ140	Licensed Representative (Provisional Licence)	1
ODEN John Evans	AOU898	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ORCHARD Timothy John	AVJ690	Licensed Representative (Provisional Licence)	4, 5, 9
PAN Chun-Yun	AHW198	Licensed Representative (Provisional Licence)	1
PAN Weijian	AVK683	Licensed Representative (Provisional Licence)	1, 2
PANIKKAR Kesava Rajat	AVQ218	Licensed Representative (Provisional Licence)	1, 6
PETSCHKE Frank Christian	AVK802	Licensed Representative (Provisional Licence)	1
PHAN Siang Fu, Cowan	AVO745	Licensed Representative (Provisional Licence)	1, 6
PONG Shiu Yan	AVM935	Licensed Representative (Provisional Licence)	1, 4, 9
POON Kwok Ping	AVM128	Licensed Representative (Provisional Licence)	1
POON Lok Sang	AVM942	Licensed Representative (Provisional Licence)	1
POON Wai Joon, Jason	AMC709	Licensed Representative (Provisional Licence)	1, 4, 6
PRAKASH Tapan	AVL496	Licensed Representative (Provisional Licence)	1
PROMPAT Panich	AVN007	Licensed Representative (Provisional Licence)	1, 2, 7
PUN Ka Yau	AUQ953	Licensed Representative (Provisional Licence)	1, 2, 3
QI Lei	AVN173	Licensed Representative (Provisional Licence)	1, 6
QIAN Jiarong	AVO619	Licensed Representative (Provisional Licence)	1, 4
QIAO Lijia	AVO746	Licensed Representative (Provisional Licence)	1, 6
QIU Hong	AVO743	Licensed Representative (Provisional Licence)	1, 6
RAEBSAMEN Urs	AVN544	Licensed Representative (Provisional Licence)	4, 9
RAINA Sumit	AVL495	Licensed Representative (Provisional Licence)	1, 2
RUSLI Felix Stephen	AUW898	Licensed Representative (Provisional Licence)	4
RYAN Keith Maxwell	AVL543	Licensed Representative (Provisional Licence)	1, 2
SALVEN Max Oscar	AVL231	Licensed Representative (Provisional Licence)	1, 2, 7
SATO Takehiro	ALI696	Licensed Representative (Provisional Licence)	1
SHAH Amil Kirit	AVL277	Licensed Representative (Provisional Licence)	1
SHAHROKHI SADR Ali Reza	ANF133	Licensed Representative (Provisional Licence)	1, 2
SHEN Si	AVP155	Licensed Representative (Provisional Licence)	1, 6
SHEN Wei	AVM127	Licensed Representative (Provisional Licence)	1, 6
SHEN Wei Ling, Janet	AMA937	Licensed Representative (Provisional Licence)	1, 6
SHEW Hing Lai, Hadley	AVM415	Licensed Representative (Provisional Licence)	1
SHIMADA Masaomi	AVI320	Licensed Representative (Provisional Licence)	4, 5, 9
SINGH Bhuvnesh	AUX279	Licensed Representative (Provisional Licence)	4
SINGH Rohit	AVJ143	Licensed Representative (Provisional Licence)	1, 2
SIT Yiu Cho, Jeffrey	AVP014	Licensed Representative (Provisional Licence)	1, 4
SIU Chi Yiu, Kenny	ANA382	Licensed Representative (Provisional Licence)	1, 2
SIVASUBRAMANIAM Sivasakthi D/O	AUW890	Licensed Representative (Provisional Licence)	4
SMITH Dominic Anthony	AVO477	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SMITH Neil	AVM853	Licensed Representative (Provisional Licence)	4, 9
SO Wai Lap Frank	AVI016	Licensed Representative (Provisional Licence)	1
SO Wai Yin, Irene	AFY986	Licensed Representative (Provisional Licence)	4, 5, 9
SOFIANOS Daniel John	ASO671	Licensed Representative (Provisional Licence)	1
SONG Mengjie	AVM348	Licensed Representative (Provisional Licence)	4, 9
STONE Mark Geoffrey	ADX213	Licensed Representative (Provisional Licence)	1, 2, 7
STONE Richard Neil	ACJ526	Licensed Representative (Provisional Licence)	1, 4
STUART Campbell Mackenzie	AVN428	Licensed Representative (Provisional Licence)	1
SU Yuan-hui	AVP793	Licensed Representative (Provisional Licence)	1, 2
SUEN Kin Dick	AVM103	Licensed Representative (Provisional Licence)	1, 2
SUN Yuanyuan	AVN233	Licensed Representative (Provisional Licence)	9
SZE Wing	AFS562	Licensed Representative (Provisional Licence)	9
TAKEHANA Reiko	ADR498	Licensed Representative (Provisional Licence)	1
TAM Yuk Hang	AVP027	Licensed Representative (Provisional Licence)	1
TAN Kit Wai, Derek	AVJ532	Licensed Representative (Provisional Licence)	4, 5, 9
TAN Ting Jie Grace	AVP172	Licensed Representative (Provisional Licence)	1, 6
TANG Kuan Mo	AVM303	Licensed Representative (Provisional Licence)	1, 2
TANG Marvin Chak Yuen	AVM525	Licensed Representative (Provisional Licence)	9
TANG Min	AVM772	Licensed Representative (Provisional Licence)	4
TANG Pui Lam, Tiffany	AVL925	Licensed Representative (Provisional Licence)	1, 6
TANG Sui	AVE804	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Wing Lun	AVL490	Licensed Representative (Provisional Licence)	1, 2
TANTRI Ami	AUR746	Licensed Representative (Provisional Licence)	4
TENG Wan Chi	AVP899	Licensed Representative (Provisional Licence)	1, 2, 6
TEOH Richard James	AVO650	Licensed Representative (Provisional Licence)	1, 2
TIANO Joseph Salvatore	AVM432	Licensed Representative (Provisional Licence)	1, 4
TJUNG Kwong Fung	AVO649	Licensed Representative (Provisional Licence)	4
TONG Ying Kit, Robin	AVO633	Licensed Representative (Provisional Licence)	2
TSANG Chiu Tai	AVL412	Licensed Representative (Provisional Licence)	1
TSANG Kin Hung	AFT414	Licensed Representative (Provisional Licence)	6
TSANG Shuk Kam	AVM341	Licensed Representative (Provisional Licence)	1, 4
TSE Benjamin Andrew Jonathan	ANQ422	Licensed Representative (Provisional Licence)	1, 2, 3
TSE Man Fai, David	AVM745	Licensed Representative (Provisional Licence)	1
TSE Pui Kwan	AFF231	Licensed Representative (Provisional Licence)	1, 4, 9
TSE Tak Yee, Livia	AIQ483	Licensed Representative (Provisional Licence)	1, 4, 9
VERSTRAETE Jeroen Jerome Denise	ATX640	Licensed Representative (Provisional Licence)	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
VINES Gregory Joseph	AVQ203	Licensed Representative (Provisional Licence)	1, 2
VONGVIVAT Pongsupak	AVM101	Licensed Representative (Provisional Licence)	1, 2
WAGG Sarah Jane	AVL396	Licensed Representative (Provisional Licence)	1
WALLIS David Andrew	AVL896	Licensed Representative (Provisional Licence)	1, 2, 7
WAN Tsz Fung	ARN434	Licensed Representative (Provisional Licence)	1, 2, 3
WANG Beiliang	AVL499	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Hui	AMQ357	Licensed Representative (Provisional Licence)	1, 6
WANG Qian	AVN420	Licensed Representative (Provisional Licence)	4
WANG Xiaopeng	AVR070	Licensed Representative (Provisional Licence)	1
WANG Yan	AVM924	Licensed Representative (Provisional Licence)	1, 6
WANG Yong	AVO740	Licensed Representative (Provisional Licence)	1, 2
WANG Yue	APL764	Licensed Representative (Provisional Licence)	1, 9
WEN Yong	AVO566	Licensed Representative (Provisional Licence)	1, 6
WHITTAKER David Richard	AVM702	Licensed Representative (Provisional Licence)	1
WIDJAJA Fordyanto	AOY786	Licensed Representative (Provisional Licence)	1
WILLIAMS Mark Fraser	AGJ433	Licensed Representative (Provisional Licence)	1, 4, 6
WITTENBURG Fabrizio Martin	AVJ440	Licensed Representative (Provisional Licence)	1
WONG Benson	ACU389	Licensed Representative (Provisional Licence)	1, 6
WONG Cheuk Ki	AMF445	Licensed Representative (Provisional Licence)	4
WONG Chi Ho	AVN455	Licensed Representative (Provisional Licence)	1, 2
WONG Chi Leung	AVM521	Licensed Representative (Provisional Licence)	4
WONG Chun Yin, Edwin	ATX997	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Gary, Kar Ho	AVM360	Licensed Representative (Provisional Licence)	1
WONG Hiu King	AVM233	Licensed Representative (Provisional Licence)	4
WONG Hui Yun	AUZ064	Licensed Representative (Provisional Licence)	1, 6
WONG Ka Lai	AVN028	Licensed Representative (Provisional Licence)	1
WONG Lai Loi, Connie	AOY325	Licensed Representative (Provisional Licence)	1, 2
WONG Oi Ling, Irene	ADS873	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
WONG Shing Hang	AVM747	Licensed Representative (Provisional Licence)	1
WONG Tsz Wa	AVO555	Licensed Representative (Provisional Licence)	6
WONG Vivien	ARR811	Licensed Representative (Provisional Licence)	9
WONG Wai In	AVM769	Licensed Representative (Provisional Licence)	1
WONG Wai Nga, Amanda	AVP025	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Wai Sum	AVM751	Licensed Representative (Provisional Licence)	4
WONG Wan Kin	AVM904	Licensed Representative (Provisional Licence)	1, 2
WONG Wing Chi	AVL395	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Wing Sum, Vincent	AVN287	Licensed Representative (Provisional Licence)	1
WONG Yik Hong, Anthony	AVM746	Licensed Representative (Provisional Licence)	4
WOO Kai Wing Ray	AVM639	Licensed Representative (Provisional Licence)	1
WOO Tak Ming	AVM675	Licensed Representative (Provisional Licence)	1
WOOD John Zachary	AVD394	Licensed Representative (Provisional Licence)	4, 9
WRIGHT Richard	APW591	Licensed Representative (Provisional Licence)	1, 2
WU Cheng-Ta	AVN006	Licensed Representative (Provisional Licence)	1, 2
WU Li-ping	AVI651	Licensed Representative (Provisional Licence)	1
WYAND Raymond Patrick	AVM523	Licensed Representative (Provisional Licence)	1, 4
XIAO Kai	AVM758	Licensed Representative (Provisional Licence)	1
XIAO Miao	AVO461	Licensed Representative (Provisional Licence)	4
XIONG Lang	AVM879	Licensed Representative (Provisional Licence)	9
XU Jing	AVP675	Licensed Representative (Provisional Licence)	1, 4
YAM Wing Nam	AQA231	Licensed Representative (Provisional Licence)	1
YANG Hao	AVP173	Licensed Representative (Provisional Licence)	1, 6
YANG Jian	AVN518	Licensed Representative (Provisional Licence)	1, 2
YANG Minzhi	AVP720	Licensed Representative (Provisional Licence)	1, 4
YANG Ning	AVM735	Licensed Representative (Provisional Licence)	1, 4
YAO Man Yi	AVK799	Licensed Representative (Provisional Licence)	1
YAU Yin Ki	AOQ747	Licensed Representative (Provisional Licence)	1
YEE Kar Seng	AVJ477	Licensed Representative (Provisional Licence)	1, 7
YEUNG Li Kwan, Loretta	AMT951	Licensed Representative (Provisional Licence)	1, 2
YEUNG Pui Yi	AVM090	Licensed Representative (Provisional Licence)	1, 2
YIN Kin Bong, Joseph	AVO479	Licensed Representative (Provisional Licence)	1, 4, 9
YIP Wai Hung	AVO655	Licensed Representative (Provisional Licence)	4, 5
YU Shengping	AVO747	Licensed Representative (Provisional Licence)	1, 6
YU Shijia	AVO662	Licensed Representative (Provisional Licence)	1, 2
YUEN Ka Cheong	AVQ566	Licensed Representative (Provisional Licence)	1
YUEN Kei Yan Steffanie	AVP161	Licensed Representative (Provisional Licence)	1, 6
YUEN Pui Lan	AMU552	Licensed Representative (Provisional Licence)	1
YUEN Wai Kin	AVM568	Licensed Representative (Provisional Licence)	1
YUEN Wing Tack	AVQ685	Licensed Representative (Provisional Licence)	1, 2
YUEN Wing Yi	AVM670	Licensed Representative (Provisional Licence)	1, 2
ZENG Zhongqing	AVP034	Licensed Representative (Provisional Licence)	4
ZHANG Hao	AVM134	Licensed Representative (Provisional Licence)	4
ZHANG Jeff Wei	AKV344	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Jing Wen	AVO664	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Ning	ANE665	Licensed Representative (Provisional Licence)	1, 2
ZHANG Qiaozhe	AVL500	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Xiaohan	AVQ603	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yichi	AVO741	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yixiang	AVO768	Licensed Representative (Provisional Licence)	4
ZHAO Kainan	AVN246	Licensed Representative (Provisional Licence)	1, 6
ZHAO Qing Nan	AVL446	Licensed Representative (Provisional Licence)	1, 7
ZHENG Julia Rui	AVN104	Licensed Representative (Provisional Licence)	1, 6
ZHONG Kaitao	AVL148	Licensed Representative (Provisional Licence)	4
ZHOU Brooke Bingru	AVM929	Licensed Representative (Provisional Licence)	4
ZHOU Yizhao	AVH940	Licensed Representative (Provisional Licence)	1, 2, 7
ZHU Jun	AVQ110	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Yanni	AVL484	Licensed Representative (Provisional Licence)	1
ABDEEN Yazan Mustafa I.	AVF010	Licensed Representative (Temporary Licence)	1
CRAWFORD James Duncan Laing	AVG867	Licensed Representative (Temporary Licence)	4
DIYACHKINA Polina	APH589	Licensed Representative (Temporary Licence)	4
JEON Eun Jeong	AVF426	Licensed Representative (Temporary Licence)	1
JO Keisuke	AMH313	Licensed Representative (Temporary Licence)	1
JOHNSON Matthew James	AUV898	Licensed Representative (Temporary Licence)	1
KOHTANI Motoya	ARF535	Licensed Representative (Temporary Licence)	4
KUNIMOTO (ALAWDEEN) Reza	AUO037	Licensed Representative (Temporary Licence)	1, 4
LEE Scott Jun-Yong	ARJ302	Licensed Representative (Temporary Licence)	1, 2
LIANG Man Wei, Jonathan	AFR655	Licensed Representative (Temporary Licence)	4
LIM Yoo Min	AQQ499	Licensed Representative (Temporary Licence)	1, 2
LUCAS Ken Lawrence	AVM645	Licensed Representative (Temporary Licence)	1, 4
MOTOMURA Masaki	AUP543	Licensed Representative (Temporary Licence)	4
PACEY Matthew James	AVL301	Licensed Representative (Temporary Licence)	1
RAW Catherine Philippa	ATR488	Licensed Representative (Temporary Licence)	4
SAKURAI Yuta	AQU371	Licensed Representative (Temporary Licence)	4
SCHMITT Jean-Francois Andre	AVN304	Licensed Representative (Temporary Licence)	1
SHODA Masafumi	ANE306	Licensed Representative (Temporary Licence)	4
TOTH Gina Marie	ARV876	Licensed Representative (Temporary Licence)	4
VOSPER Paul Andrew	ARU913	Licensed Representative (Temporary Licence)	4