

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
Cushman & Wakefield Capital Asia (HK) Limited	APJ878	Licensed Corporation	1, 6
Descartes Global Asset Management Limited	AJO568	Licensed Corporation	9
PaydenPotomac Limited	AMT989	Licensed Corporation	9
AGETHEN George Kian Teik	AQC654	Licensed Representative	1, 6
AHN Chan	APG359	Licensed Representative	1, 2, 7
AOYAMA Hideaki	APJ129	Licensed Representative	1, 4
AU Chui Ying	APO654	Licensed Representative	1, 6
BANSAL Shanu	APJ779	Licensed Representative	1
BREITFUSS Sascha Franz	AQN985	Licensed Representative	1, 4, 9
BROWN James Donald Peter	ASF430	Licensed Representative	4
CAO Zhirong	ANA510	Licensed Representative	1, 1, 6
CHAK Mei Ling, Carol	AGK916	Licensed Representative	1, 4
CHAN Catherine	AGL944	Licensed Representative	1
CHAN Chi Chow	ADY284	Licensed Representative	1, 4, 9
CHAN Hoi Kong, Brian	AUJ313	Licensed Representative	4
CHAN Hoi Sze, Ceci	ARO235	Licensed Representative	4
CHAN Hung Shing	AQM170	Licensed Representative	1, 2
CHAN Johnson Ow	ABQ262	Licensed Representative	1, 2, 4, 9
CHAN Kei Long	ASD882	Licensed Representative	4
CHAN Koon Shing, Dominic	AKY943	Licensed Representative	1, 4
CHAN Lap Shun, Nelson	ATD750	Licensed Representative	1
CHAN Lic Fai	ARO769	Licensed Representative	3
CHAN Pak Cheong, Joe	ANR752	Licensed Representative	4
CHAN Pui Yee	AQN990	Licensed Representative	4
CHAN Sit Kai, Caesar	AQC733	Licensed Representative	1
CHAN Tak Hin, Donald	AFT840	Licensed Representative	1
CHAN Tung Wang, Richard	APC114	Licensed Representative	4
CHAN Yan Yan, Priscilla	AMI853	Licensed Representative	4, 9
CHAN Yiu Fai	AEG477	Licensed Representative	4, 9
CHANG Shuk Ling	ALK018	Licensed Representative	4
CHAU Wa	APJ881	Licensed Representative	1, 6
CHEN Beini	ASG301	Licensed Representative	4
CHEN Joan Chong	AMO534	Licensed Representative	6
CHEN Michael I-chun	ALQ824	Licensed Representative	1, 6
CHEN Po-jen	APN593	Licensed Representative	1, 4
CHENG Kai Ki	AME526	Licensed Representative	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Ping Chiu	AGF473	Licensed Representative	1
CHENG Yat Ping, Gloria	AFM504	Licensed Representative	6
CHEUK Yu Wun	AQF598	Licensed Representative	4
CHEUNG Chuk Wo	AFL112	Licensed Representative	4
CHEUNG Ka Chun, Desmond	ATO779	Licensed Representative	1, 9
CHEUNG Pak Sze, Quincy	AOI872	Licensed Representative	4
CHEUNG Pui Yan, Louise	ASO841	Licensed Representative	1, 2
CHEUNG Virginia Pui Sze	AGN917	Licensed Representative	1
CHEUNG Wan, Aaron	AGB724	Licensed Representative	4, 9
CHIANG Feng Hsu	ARI458	Licensed Representative	1
CHIANG Shih-wei	AKS603	Licensed Representative	1, 4
CHIU Sui Keung	ADZ607	Licensed Representative	1, 4, 4, 6, 9
CHOI Jae Joon	APJ346	Licensed Representative	1, 2
CHOI Wai Man	APK832	Licensed Representative	4
CHOO Chye Hong, Elaine	AUC991	Licensed Representative	1
CHOW Hiu Nam	AEY974	Licensed Representative	1
CHOW Kam Wai, Samson	ARB728	Licensed Representative	4
CHOW Sheung Yi, Christine	AEL271	Licensed Representative	4
CHUI Sze Man	ALK306	Licensed Representative	4, 9
CHUNG Chak Ming, John	AEJ477	Licensed Representative	1, 1, 4
CHUNG Sun Myung	AMO834	Licensed Representative	4
CHUNG Thian Siang	ALU737	Licensed Representative	4
CHUNG Wai Tong	ARF932	Licensed Representative	1, 2
DASWANI Sabrina Mohan	ART650	Licensed Representative	4
DING Zhiyuan	ASK507	Licensed Representative	4
DITLEVSEN Mads Munkholt	ARE171	Licensed Representative	4
DU Hang	ARW541	Licensed Representative	4
FAN Che-hsi, Jason	AOK030	Licensed Representative	1, 1, 2, 2, 4, 5, 9
FANG Cao	APR657	Licensed Representative	1, 4
FUKUCHI Toshimitsu	APY946	Licensed Representative	1
GALAND Bastien, Albert, Laurent	AQX053	Licensed Representative	1, 2, 7
GAN Siok Hong	ALF539	Licensed Representative	1
GAO Fei	ARW865	Licensed Representative	9
GAO Yubing	APR793	Licensed Representative	1, 6
GARCIA PORTEIRO Victor Manuel	AQQ503	Licensed Representative	1, 4, 6
GREEN Sean Antony	APQ583	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
GUO Ying	ARO902	Licensed Representative	1
HADLEY Norman Borradaile	ATH941	Licensed Representative	1
HALIM Steven	APZ209	Licensed Representative	1, 4
HAN Bing	AKY573	Licensed Representative	1, 4
HO Kar Ming	AFU408	Licensed Representative	4
HO Man Ming	ANU489	Licensed Representative	4, 9
HO Ying	AJC169	Licensed Representative	1, 4
HON Haw Siang	AQA683	Licensed Representative	1, 4
HONG Du Ki	APS746	Licensed Representative	1, 4, 6
HOR Kar Ngai	ARK410	Licensed Representative	4
HSU Michael Yuan Jen	AMH277	Licensed Representative	1, 2
HU Junbo	ASY400	Licensed Representative	1, 4
HU Lizhou	ARI970	Licensed Representative	1, 4, 6
HU Yiru	AEL565	Licensed Representative	1
HUNG Chi Hong	AMU862	Licensed Representative	4
INOUE Yukiko	ARQ514	Licensed Representative	1, 4, 9
IU Pok Man	AOO158	Licensed Representative	1, 2, 4
JIANG Hongbo	ANF047	Licensed Representative	1, 2, 7
JULSIRI Supat	ATD994	Licensed Representative	9
KAJITANI Yasuhide	APF536	Licensed Representative	1, 2
KIM So Yeon	AMM348	Licensed Representative	1
KIM Young Jin	ASW547	Licensed Representative	4
KIPPING Bryan Edward	ACR175	Licensed Representative	1
KO Philip	ABS536	Licensed Representative	1, 2, 7
KONG Kwok Wah	APJ171	Licensed Representative	4
KONG Yun Lan, Julia	ASU361	Licensed Representative	4
KUNG Sum Yee	ACC256	Licensed Representative	1, 4, 9
KUSHAL Avanish Mira	APF472	Licensed Representative	1, 2
KUWAHARA Akiko	AOB564	Licensed Representative	1
LAI Chun Wing	ANA177	Licensed Representative	1, 2, 3, 4, 9
LAI Siu Wai, Kevin	AGO249	Licensed Representative	4
LAM Chi Kit	AGJ118	Licensed Representative	4
LAM Ching Yee	AGE141	Licensed Representative	1
LAM Heidi Yuen Yu	ARW165	Licensed Representative	4
LAM Hoi Pang	AQU696	Licensed Representative	1
LAM Ka Kang	ASO981	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Kit Man, Adonis	AQL716	Licensed Representative	1, 2, 3
LAM Shing Cheong, Alvin	ARA518	Licensed Representative	1
LANGENKAMP Matthew Dobie	AHE509	Licensed Representative	1, 6
LAU Ho Ming	AQP745	Licensed Representative	1, 2
LAU Lik Alaric	AHK681	Licensed Representative	1
LAU Lun Sang	ANF046	Licensed Representative	1, 4, 9
LAU Ning Fung, Roland	ASZ252	Licensed Representative	1
LAU Pui Yan	ARN978	Licensed Representative	4
LAU Sum Yuen, Emily	ATD409	Licensed Representative	1, 4
LAU Tung Lung	AEM343	Licensed Representative	1
LAW Kai Ming, David	AQK262	Licensed Representative	1
LAW Po Chuen	ARB284	Licensed Representative	1
LEE Din-Hsu	ASX576	Licensed Representative	1
LEE Franky Chi Ho	ASD084	Licensed Representative	1
LEE Ka Fai	ANG973	Licensed Representative	1, 4, 6
LEE Kam Yin	AOA852	Licensed Representative	4
LEE Min Ha	ARN389	Licensed Representative	1, 2, 7
LEE Pang, Louis	APO909	Licensed Representative	1
LEE Shing Yip	AOC976	Licensed Representative	4
LEE Sze Man	AOD440	Licensed Representative	1, 4
LEE Yong Jin	ALW174	Licensed Representative	1, 4, 6
LEUNG Chi Yan	ARI802	Licensed Representative	4
LEUNG Chun Hang	ARQ391	Licensed Representative	6
LEUNG Ho Ming	APP438	Licensed Representative	1, 2
LEUNG Ka Chung, Jessica	APQ631	Licensed Representative	1, 4, 6
LEUNG Ping Nam	AML607	Licensed Representative	1, 4
LEUNG Xiao Feng, Stephen	ANQ405	Licensed Representative	1, 4
LI Chun Yeung	ARI171	Licensed Representative	4
LI Heather	ATH555	Licensed Representative	1, 6
LI Hiu Fai	AIC115	Licensed Representative	1, 2, 3
LI Lai Ling	AMS542	Licensed Representative	1, 2
LI Minyu	APK488	Licensed Representative	1
LI Pak Lin, Sandy	AFF227	Licensed Representative	1, 4
LI Yuen Man	AFN867	Licensed Representative	4
LIANG Mei-Chen	AOU073	Licensed Representative	1
LIM Yat Ting	AML193	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Christine Shui Kwan	AOT760	Licensed Representative	4
LIN Shih-hang	ASP708	Licensed Representative	1, 2, 3
LIP Annie Ka Ling	ARG769	Licensed Representative	1, 4
LIU Katherine Yi Lan	AEL191	Licensed Representative	1, 6
LO Pui Man	AJD641	Licensed Representative	1, 9, 9
LU Xiaojiu	ASD159	Licensed Representative	4
LUI Fei	AMN701	Licensed Representative	1, 2
LUI Ka Fai, Anthony	APV035	Licensed Representative	4
LUI Yin Tak	ALF300	Licensed Representative	4
LUIJKX Adrianus Antonius	ARS080	Licensed Representative	1, 2
MAK Hau Sum	ACA542	Licensed Representative	4, 9
NG Jessica Yuk Mui	AFO826	Licensed Representative	1, 2
NG King Lit	AEM917	Licensed Representative	1, 2
NG Ming Yui	AFT282	Licensed Representative	1, 4, 6
NG Shu Ngar	ASD503	Licensed Representative	4
NG Tin Tung	ALW495	Licensed Representative	4, 9
NG Wing Chu	ALG088	Licensed Representative	1, 4
NG Yuk Fung, Peter	AGV468	Licensed Representative	4, 4, 6, 9, 9
NGAN Ming Tak	APN711	Licensed Representative	4
NGAN Wan Hon, Gary	ASN973	Licensed Representative	1, 2, 4
NICOLAIDES Daniel Steven	AMS256	Licensed Representative	1, 2, 7
NICOLLE Amanda Louise	ASL544	Licensed Representative	1
NIK MAHMOOD Nik Hadi Bin	AMJ211	Licensed Representative	4
PANG Fu	AAX368	Licensed Representative	1
PARK Jee Hoon	ASL108	Licensed Representative	4
PO Christopher Paulus Nicolas Tan	AJF342	Licensed Representative	4, 5, 9
POON Cheuk Nam, Miranda	AFD430	Licensed Representative	1, 9
POON Chun Man	ARV276	Licensed Representative	1
POON Tik Ming	ARG912	Licensed Representative	4
POON Wai Man	AGI925	Licensed Representative	1, 4
POW Shiu Keung, Eddie	AEU615	Licensed Representative	1, 4, 9
QIAN Rachel	AQM276	Licensed Representative	1, 2
RAJCAN Martin	APG200	Licensed Representative	1, 6
REDICK John Arlan	AJH347	Licensed Representative	1
RIOCHE Philippe Jean Louis	AFB864	Licensed Representative	1, 4
RONDOUIN Hugues Louis Gabriel	ARS164	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
SACHS Philippe Eric	AQC895	Licensed Representative	1
SANGER Paul John	ASH952	Licensed Representative	1, 2, 7
SAWLANI Danny Thakurdas	AGO903	Licensed Representative	1, 6
SEHGAL Yuvraj Avinash	ARI686	Licensed Representative	1, 2
SHI Guangyuan	ANK749	Licensed Representative	1, 6
SHIH Hsiao-Cheng	AOA629	Licensed Representative	1
SHIN Dong Kee	AKJ967	Licensed Representative	1, 6
SIM Teck Lok John	AMO573	Licensed Representative	1
SLOW Lian Meng	AQO337	Licensed Representative	1, 2
SIU King Lok	AQF607	Licensed Representative	4
SO Yuen Mei	ARR897	Licensed Representative	4
SOO Ching Ling	ALI571	Licensed Representative	6
STEEN Daniel Lothar	APZ698	Licensed Representative	1
SU Yi-chun	ALZ827	Licensed Representative	1, 2, 7
SUN Gang	ANM676	Licensed Representative	1, 6
SUN Wei	AMX760	Licensed Representative	1
SUN Xiaojun	ARU688	Licensed Representative	1, 7
TAI Chun Fat	AJX151	Licensed Representative	1
TAI Wing Sze, Charlotte	ADX658	Licensed Representative	1, 2, 4, 5
TAM Chi Ming, George	AIO002	Licensed Representative	6
TAM King Yip, Stephen	AQM646	Licensed Representative	1
TAM Wan Lun, Alan	ALQ689	Licensed Representative	1, 1, 4, 6, 6, 9
TANAKA Jon Tamio	ANU376	Licensed Representative	1, 4, 9
TANG Wai Hung	AJM541	Licensed Representative	1, 4
TANG Wei, Donald	ABT650	Licensed Representative	1, 4, 6, 7, 9
TEH Jocelyn Nga Man	APQ197	Licensed Representative	1, 4, 6
TENG Oi Ee, June	AMZ981	Licensed Representative	4
TEO Su-Yin, Michelle	ANM074	Licensed Representative	1, 4, 6
TEOH Hsin-Yung, Jolyn	AGJ884	Licensed Representative	1
TONG Chi Kwong	ART038	Licensed Representative	1, 4
TREMAINE Katharine Hall	AUC799	Licensed Representative	1
TSANG Ho Yin	AQD958	Licensed Representative	4
TSANG Po Shan	ATI742	Licensed Representative	1, 2
TSANG Wai Kwan	ARO755	Licensed Representative	4
TSE Calvin Kahou	ARE470	Licensed Representative	1, 4
TSE Wing See, Regina	ASI047	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
TU Bing	ASE634	Licensed Representative	1, 4
TU Kang	ARH149	Licensed Representative	1, 2
TULLOCH Gillem Michael	AOX454	Licensed Representative	4, 9
VAN DINE Phillip Sean	ARQ356	Licensed Representative	1
VISHWANATHAN Vimal	ARJ695	Licensed Representative	1, 2, 7
WANG Jianbo	ARE473	Licensed Representative	1, 2, 7
WANG Xunyoung	AFU385	Licensed Representative	1, 4, 6
WANG Yawei	APZ628	Licensed Representative	1, 4, 6
WONG Cheuk Hung	AFC567	Licensed Representative	1
WONG Chim Lei	AOV574	Licensed Representative	4, 9
WONG Chiu Yin	ARD873	Licensed Representative	1
WONG Chun Pong, Albert	AJK954	Licensed Representative	1, 2, 4
WONG Dik Ming	ANI939	Licensed Representative	4
WONG Jing Jing	ARE709	Licensed Representative	4
WONG Kin Fat	AAJ271	Licensed Representative	2, 5
WONG Kwan Kin	ALB073	Licensed Representative	4, 9
WONG Man Sze, Grace	ADX257	Licensed Representative	1, 2
WONG Nga Chun, Carol	AUG326	Licensed Representative	4
WONG Pui Ka	ARL907	Licensed Representative	1
WONG Pui San	ASM104	Licensed Representative	4
WONG Shek Wing	AIC319	Licensed Representative	1, 4
WONG Siu Hong	AGL692	Licensed Representative	1, 2
WONG Siu Yuen, Michael	AEJ850	Licensed Representative	4, 9
WONG Suk Mun	AOT895	Licensed Representative	1, 4
WONG Tak Hung	AMS556	Licensed Representative	1
WONG Tung Na	AMZ014	Licensed Representative	1
WONG Wing Shun, Miranda	ARW205	Licensed Representative	4
WONG Yi Ming	AHE352	Licensed Representative	1
WOO Wing Yin	APC057	Licensed Representative	1, 2
WU Chi Wa	AOL647	Licensed Representative	4
WU Dian Ji	ARB820	Licensed Representative	4
WU Hiu Man	AGO828	Licensed Representative	4
XIA Nan	AQL118	Licensed Representative	1
XIAO Jingjing	ARQ049	Licensed Representative	1, 6
XIAO Zeguang	ALD486	Licensed Representative	4
XU Yan	ANY483	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAN Cleo Sheung Ngar	AKW688	Licensed Representative	1, 4, 9
YANG James Eashuane	ANW535	Licensed Representative	1, 4, 6
YEH Ting-Chun	ASL982	Licensed Representative	9
YEUNG Kwan Chiu	AMX770	Licensed Representative	1, 2
YEUNG Ying Keung	ARB956	Licensed Representative	3
YIM Tao Hop	AOX044	Licensed Representative	1, 4
YIP Ho Yin	ASU230	Licensed Representative	4, 5, 9
YIU Tze Wai	APS748	Licensed Representative	4, 9
YOU Lan	ANP235	Licensed Representative	1, 4, 6
YU Ran	ARH108	Licensed Representative	1, 6
YU Tin Kei, Angelina	ANW638	Licensed Representative	1
YU Wen Yaw	AQG719	Licensed Representative	9
YUE Kwok Hung	ADE818	Licensed Representative	2
ZHANG Li	ANK352	Licensed Representative	1, 9
ZHANG Manman	AOR290	Licensed Representative	1, 6
ZHANG Wendy	ARH502	Licensed Representative	1, 4
ZHOU Jiayi, Lucinda	ASP240	Licensed Representative	1, 4, 9
ZHU Wei	AMU547	Licensed Representative	1, 4, 6, 9
BACCHUS Angel Men	ANB456	Licensed Representative - Responsible Officer	1, 4
BAPTISTA Michael Peter	AHE139	Licensed Representative - Responsible Officer	4
BENNETT Peter William	AMJ806	Licensed Representative - Responsible Officer	9
BROOKS Christopher John	AHF678	Licensed Representative - Responsible Officer	4, 6
BYRNE Robert Michael	AKT402	Licensed Representative - Responsible Officer	4, 9
CHAM Yau Nam, Allen	AAG177	Licensed Representative - Responsible Officer	1, 2
CHANG Yan Wing	ADX897	Licensed Representative - Responsible Officer	1, 4, 9
CHAO Yuan-Cheng	APJ110	Licensed Representative - Responsible Officer	3
CHEUCK John Hin Ming	AQS655	Licensed Representative - Responsible Officer	4
CHIU Chi Man	ABW341	Licensed Representative - Responsible Officer	1, 2
DUFFIELD John Michael Leslie	AIP443	Licensed Representative - Responsible Officer	1
FUJIWARA Shinya	AIS240	Licensed Representative - Responsible Officer	1, 4, 5, 6, 9
GIBSON Richard Lockhart	APZ696	Licensed Representative - Responsible Officer	1
GOODIN Brett Peter	ADR490	Licensed Representative - Responsible Officer	1, 4, 9
HEE John Alexander	ARF268	Licensed Representative - Responsible Officer	4, 9
HILLS Abigail Camaya	ARW471	Licensed Representative - Responsible Officer	4, 9
LIU Xueheng	AFW852	Licensed Representative - Responsible Officer	1, 6
MCINTYRE John Stephen	AON571	Licensed Representative - Responsible Officer	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Chris	AGN601	Licensed Representative - Responsible Officer	9
O'DONNELL William Christopher	AOP166	Licensed Representative - Responsible Officer	4
PAN Chao-Hua	APJ109	Licensed Representative - Responsible Officer	3
PEERLESS David Maybury	APG170	Licensed Representative - Responsible Officer	4
PELLERIN Stephane, Eddy	AND099	Licensed Representative - Responsible Officer	1, 2
ROOS Murray Daniel Remarque	AOX773	Licensed Representative - Responsible Officer	1, 2, 4, 5
SMITH George Duncan	ANZ874	Licensed Representative - Responsible Officer	9
SO Hon Wai, Ignatius	AMF449	Licensed Representative - Responsible Officer	4
STANBRIDGE David	ANZ875	Licensed Representative - Responsible Officer	9
TAM Wai Cheong	AAK153	Licensed Representative - Responsible Officer	1, 4
TEASEL Sheridan Anthony Lewis	APD300	Licensed Representative - Responsible Officer	1
TONG Pauline	AFK529	Licensed Representative - Responsible Officer	1, 4
TRAN Chuen Wah, John	ADR230	Licensed Representative - Responsible Officer	1, 6, 9, 9
VAN DRASEK Dean Scott	ADI849	Licensed Representative - Responsible Officer	9
VAPPEREAU F. Jeannine Clementine	ADB161	Licensed Representative - Responsible Officer	4
WANG He	AHS591	Licensed Representative - Responsible Officer	4
WEI Suk Po	AFS484	Licensed Representative - Responsible Officer	1, 4
WILLIAMS Mark Andrew	AGJ168	Licensed Representative - Responsible Officer	4, 9
WONG Tony Tin Wing	ALZ369	Licensed Representative - Responsible Officer	1, 4
XIAO Zeguang	ALD486	Licensed Representative - Responsible Officer	9
YANG Te-Feng	AQY191	Licensed Representative - Responsible Officer	1
SALKIND Louis Ken	AEJ342	Licensed Representative - Responsible Officer (Temporary Licence)	1
AGARWAL Govind	ATN134	Licensed Representative (Provisional Licence)	4
AGARWAL Prasoon	AUN719	Licensed Representative (Provisional Licence)	1
AGARWAL Yash	AUN893	Licensed Representative (Provisional Licence)	1, 2
AKASAKA Naoko	AUI047	Licensed Representative (Provisional Licence)	4, 9
AN Bin	AUN707	Licensed Representative (Provisional Licence)	1, 6
AN Qi	AUM597	Licensed Representative (Provisional Licence)	1
AU Shui Leung	AUI431	Licensed Representative (Provisional Licence)	4
AU-YEUNG Wai Yee	AMB399	Licensed Representative (Provisional Licence)	1
BHADRA Sagar	AUI981	Licensed Representative (Provisional Licence)	1, 4, 6
BLANCHETTE Sean David Hardy	AJO166	Licensed Representative (Provisional Licence)	9
BLUME Christopher Edward	AUM978	Licensed Representative (Provisional Licence)	1, 2
BRIDOUX Christophe Francois	AUK048	Licensed Representative (Provisional Licence)	1, 2
BUCHINSKI Trevor	AQM553	Licensed Representative (Provisional Licence)	4
CHAK Hok Ming	AUM696	Licensed Representative (Provisional Licence)	1, 2

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CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Chun Fai	AUM731	Licensed Representative (Provisional Licence)	1
CHAN Chun Yip	AUO102	Licensed Representative (Provisional Licence)	1, 2, 3
CHAN Edmund Yik Man	AUM705	Licensed Representative (Provisional Licence)	6
CHAN Fai	AUN872	Licensed Representative (Provisional Licence)	1
CHAN Ka Ying	AUM979	Licensed Representative (Provisional Licence)	1
CHAN Kam Fung, Jone	AUP407	Licensed Representative (Provisional Licence)	4
CHAN Kit Hang	AUN620	Licensed Representative (Provisional Licence)	1, 6
CHAN Lai Ying	AUE985	Licensed Representative (Provisional Licence)	4, 5, 9
CHAN Michael Man Tung	AQM110	Licensed Representative (Provisional Licence)	1, 6
CHAN Sau Han	AUM922	Licensed Representative (Provisional Licence)	1, 4
CHAN Sheung Lai	ACE861	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Tam Chuen, George	AUN815	Licensed Representative (Provisional Licence)	1
CHAN Tin Wai	AUR573	Licensed Representative (Provisional Licence)	1
CHAN Tsz For Percy	ATJ184	Licensed Representative (Provisional Licence)	2
CHAN Wan Yan, Rachel	AUP390	Licensed Representative (Provisional Licence)	1
CHAN Yat Tan	AUI433	Licensed Representative (Provisional Licence)	1, 2
CHAN Yuk Ngai	APL733	Licensed Representative (Provisional Licence)	1
CHANG Hui Miao	AUP393	Licensed Representative (Provisional Licence)	1
CHANG Tianjie	ALZ687	Licensed Representative (Provisional Licence)	9
CHANG Wan-Ling	AUP742	Licensed Representative (Provisional Licence)	1
CHAU Kwong Lui	AUI437	Licensed Representative (Provisional Licence)	1, 2
CHE Ming	AUO122	Licensed Representative (Provisional Licence)	1, 9
CHEN Bing	AUN864	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Shih Chieh	AOV514	Licensed Representative (Provisional Licence)	1
CHEN Tak Yeaw, Collin	AUQ035	Licensed Representative (Provisional Licence)	1
CHEN Xi	AUN143	Licensed Representative (Provisional Licence)	1, 2
CHEN Yinhua	ARW892	Licensed Representative (Provisional Licence)	1, 4
CHEN Yufei	AUM509	Licensed Representative (Provisional Licence)	1, 6
CHENG Chien-Nan	AUQ044	Licensed Representative (Provisional Licence)	1
CHENG Chun Hei	ANK163	Licensed Representative (Provisional Licence)	4
CHENG Jazreel Yeun Yee	AHT093	Licensed Representative (Provisional Licence)	1
CHENG Yuen Hong	AUP358	Licensed Representative (Provisional Licence)	4
CHEUNG King Hei	AUP907	Licensed Representative (Provisional Licence)	4
CHEUNG Kwok Ting, Adolf	AAV286	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Sze Chung	AUM379	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Wai Ching, Vancy	AFB036	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Wing Lam	AUP820	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Yan To	AUM188	Licensed Representative (Provisional Licence)	1, 6
CHIN Ke-vin	APL191	Licensed Representative (Provisional Licence)	1
CHING Pak To	AJE763	Licensed Representative (Provisional Licence)	4, 9
CHIU Kar Son, Kayson	AUL783	Licensed Representative (Provisional Licence)	4
CHO Soo Ah Ran	AUQ203	Licensed Representative (Provisional Licence)	1, 9
CHOI Heung Shing Frederick	AUR572	Licensed Representative (Provisional Licence)	1, 2
CHOI O Hyun	AUM536	Licensed Representative (Provisional Licence)	1, 2
CHOI Yunna	ANB474	Licensed Representative (Provisional Licence)	1, 6
CHONG Louis Siu Kei	AUQ039	Licensed Representative (Provisional Licence)	1, 4, 6
CHONG Sze Wai	AFV783	Licensed Representative (Provisional Licence)	1, 2
CHOY Yin Nga	ANU415	Licensed Representative (Provisional Licence)	1
CHUANG Christopher Jin-gee	AUM542	Licensed Representative (Provisional Licence)	1, 2
CHUE Man Ying, Connie	AUP392	Licensed Representative (Provisional Licence)	1, 6
CHUE Soon Seng, Kenneth	AUN087	Licensed Representative (Provisional Licence)	1
CHUI Tsan Kit	AMS324	Licensed Representative (Provisional Licence)	1, 2
CHUNG Yi Tung	AUP364	Licensed Representative (Provisional Licence)	1
COHEN Yossi	AUM359	Licensed Representative (Provisional Licence)	1
COWAN Paul Anthony John	AUC914	Licensed Representative (Provisional Licence)	1
CREEDON Tom	APL757	Licensed Representative (Provisional Licence)	1
DAVIES Andrew Michael	AUL682	Licensed Representative (Provisional Licence)	1, 2
DELLOYE Renaud Nicolas Yves	ATW568	Licensed Representative (Provisional Licence)	1
DONNE Jonathan Geraint	AUM976	Licensed Representative (Provisional Licence)	1, 2
DSOUZA Vanessa Agnes	AUJ958	Licensed Representative (Provisional Licence)	1, 4
DU Chao	AUM373	Licensed Representative (Provisional Licence)	1, 6
DU Heng	AUJ843	Licensed Representative (Provisional Licence)	1
EELMAN Robert Garrett	AQA091	Licensed Representative (Provisional Licence)	1
ENG Matthew Hunkee	AOO870	Licensed Representative (Provisional Licence)	1, 4, 6
FERRIERE Pierre-andre Jacques	AUN705	Licensed Representative (Provisional Licence)	9
FLETCHER Elizabeth Jane	AUN566	Licensed Representative (Provisional Licence)	1, 2
FROISSART Julien Sebastien	ATR371	Licensed Representative (Provisional Licence)	1, 2
FU Hsin Chang	AUJ844	Licensed Representative (Provisional Licence)	1
FUJII Ken	ABM992	Licensed Representative (Provisional Licence)	1, 2, 4, 5
FUNG Hin Kit	AUN708	Licensed Representative (Provisional Licence)	1
FUNG Ka Yee	AUL683	Licensed Representative (Provisional Licence)	1, 2, 4, 5
FUNG Kam Yin, Kenneth	AUN817	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNG Man Tak	AUJ066	Licensed Representative (Provisional Licence)	1
GAO Bin	AUJ829	Licensed Representative (Provisional Licence)	1, 2
GAUDOIS Nicolas Junel	AUE035	Licensed Representative (Provisional Licence)	4
GONG Yiyang	AUN894	Licensed Representative (Provisional Licence)	4, 9
GUO Yupeng	AMK101	Licensed Representative (Provisional Licence)	1
HADDEN Todd William	AUL925	Licensed Representative (Provisional Licence)	1
HAIG Alistair Peter	AUH935	Licensed Representative (Provisional Licence)	4
HAN Hanting	ARQ970	Licensed Representative (Provisional Licence)	1
HE Li	AUL729	Licensed Representative (Provisional Licence)	1, 6
HO Chin Chung	AUM525	Licensed Representative (Provisional Licence)	1
HO Garrick Sai Man	AUM347	Licensed Representative (Provisional Licence)	1
HO Leslie Sai Kit	AUM348	Licensed Representative (Provisional Licence)	1
HO May Wai, Judy	AUM594	Licensed Representative (Provisional Licence)	1, 4, 9
HOUGHTON-HARDY Philip James	AUM599	Licensed Representative (Provisional Licence)	1, 2
HSU Min Chieh	AUQ473	Licensed Representative (Provisional Licence)	1
HUANG Ling Kwan	AUQ372	Licensed Representative (Provisional Licence)	1, 2
HUANG Ruohai	AUM544	Licensed Representative (Provisional Licence)	1
HUI Chuen Kei	AUN813	Licensed Representative (Provisional Licence)	1
HUNG Brian Lap Hei	ARA631	Licensed Representative (Provisional Licence)	1, 4, 6
JI Yehong	ALU479	Licensed Representative (Provisional Licence)	1, 6
JIANG Hao	AUP713	Licensed Representative (Provisional Licence)	9
JIN Yan	AUN102	Licensed Representative (Provisional Licence)	1
JOUBERT Pierre Delmar	ATI743	Licensed Representative (Provisional Licence)	1, 6
KANGA Zal Darius	AUN710	Licensed Representative (Provisional Licence)	1, 4
KATO Masayuki	AUK158	Licensed Representative (Provisional Licence)	1, 4, 6
KAWASE Makoto	AUQ289	Licensed Representative (Provisional Licence)	1, 4
KHAN Carina	ACE320	Licensed Representative (Provisional Licence)	9
KHAN Imran	AUJ803	Licensed Representative (Provisional Licence)	1
KIM Hyung Gyun	AUP709	Licensed Representative (Provisional Licence)	1, 6
KIRST Marcus Wulf Horst	AUO212	Licensed Representative (Provisional Licence)	4, 5, 9
KLAINGUTI Andreas Giorgio	ACJ013	Licensed Representative (Provisional Licence)	1, 4
KO Ling Mang	AUP535	Licensed Representative (Provisional Licence)	1
KONG Jingxian	AUM349	Licensed Representative (Provisional Licence)	1
KONG Ruomeng	AUJ848	Licensed Representative (Provisional Licence)	1
KUMAR Sanjeev	AUN624	Licensed Representative (Provisional Licence)	1, 6
KUNG Hin Shun	AUL624	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWAN Chun Kit	AUM545	Licensed Representative (Provisional Licence)	1
KWAN Yuen On	AUL761	Licensed Representative (Provisional Licence)	1
KWOK Ka Man Carmen	AUL688	Licensed Representative (Provisional Licence)	1, 2
LAI Hau Ming	AUQ291	Licensed Representative (Provisional Licence)	9
LAI Kam Lam	AUP818	Licensed Representative (Provisional Licence)	1
LAI Wai Ming	AUP361	Licensed Representative (Provisional Licence)	1
LAI Yuen Fong	AMD159	Licensed Representative (Provisional Licence)	1
LAM Chun Yim	AUO078	Licensed Representative (Provisional Licence)	1
LAM Jane Tak-Jun	AUK183	Licensed Representative (Provisional Licence)	1
LAM Kuen	ANJ657	Licensed Representative (Provisional Licence)	9
LAM Lap Yan	AUQ929	Licensed Representative (Provisional Licence)	1, 2
LAM Pik Lin	AUM940	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Yu Fung, Derek	AUL625	Licensed Representative (Provisional Licence)	1, 2
LAM Yuen Yee, Doris	AUM216	Licensed Representative (Provisional Licence)	1
LAOSOMBOON Pramote	AUL681	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Chi Kin	AUN078	Licensed Representative (Provisional Licence)	1, 2
LAU Hei Man	AUK451	Licensed Representative (Provisional Licence)	1
LAU Kwan Fai	AOU962	Licensed Representative (Provisional Licence)	1
LAU Tak Wa	AUP819	Licensed Representative (Provisional Licence)	1
LEE Gary	AUP537	Licensed Representative (Provisional Licence)	1
LEE Hong Yee, Kenneth	AUN369	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEE SHYU Mei-Lan	AMJ459	Licensed Representative (Provisional Licence)	1
LEONG Gary Douglas	AFF729	Licensed Representative (Provisional Licence)	1
LEUNG Chun Hung	AUP391	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ho Pong, Ronald	AGN975	Licensed Representative (Provisional Licence)	1, 6
LEUNG Ka Ming	ATN565	Licensed Representative (Provisional Licence)	1
LEUNG Ka Wai	AUN113	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Kam Sing	AOD220	Licensed Representative (Provisional Licence)	4, 9
LEUNG Kin Ling, Karen	APS510	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEUNG King Hei	AQE889	Licensed Representative (Provisional Licence)	1
LEUNG Kwok Kit, Donald	ALF251	Licensed Representative (Provisional Licence)	1, 6
LEUNG Lisa	APZ443	Licensed Representative (Provisional Licence)	1
LEUNG Ngo Sheung	AUI676	Licensed Representative (Provisional Licence)	1, 2
LEUNG Wilson	AUM484	Licensed Representative (Provisional Licence)	1
LI Chi Man	AIR529	Licensed Representative (Provisional Licence)	1, 4
LI Jack C F	AIB172	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Man Wai, Jasmine	AMF470	Licensed Representative (Provisional Licence)	1, 4
LI Sze Wai, Nicholas	AUJ959	Licensed Representative (Provisional Licence)	1, 2
LI Xianlin	AUN096	Licensed Representative (Provisional Licence)	1
LI Yuan	AUN720	Licensed Representative (Provisional Licence)	1, 9
LIANG Liang	AUM947	Licensed Representative (Provisional Licence)	6
LIM Harvey Man Chuen	AUJ979	Licensed Representative (Provisional Licence)	1, 2
LIM Kwee Tee	AUP360	Licensed Representative (Provisional Licence)	1, 2
LIN Yang-ming	ANQ718	Licensed Representative (Provisional Licence)	1
LING Kim Keung	ACS615	Licensed Representative (Provisional Licence)	1, 2
LING Xinyuan	AUM712	Licensed Representative (Provisional Licence)	4, 9
LIU Kit Ling	AUI936	Licensed Representative (Provisional Licence)	1, 2
LIU Man Lai, Manly	ADI698	Licensed Representative (Provisional Licence)	1
LIU Shih-Hun	ASX532	Licensed Representative (Provisional Licence)	1, 2
LIU Yin	AUO116	Licensed Representative (Provisional Licence)	1
LIU Zhihong	AEC891	Licensed Representative (Provisional Licence)	9
LO Kai Tung	AGV227	Licensed Representative (Provisional Licence)	6
LOCK Pik Shan, Ivy	AIU532	Licensed Representative (Provisional Licence)	6
LU Chibin	AUI023	Licensed Representative (Provisional Licence)	9
LU Jessica Zi Jie	AUJ946	Licensed Representative (Provisional Licence)	1, 6
LU Yapeng	AUP546	Licensed Representative (Provisional Licence)	4
LUI Chi Man, Alan	AML882	Licensed Representative (Provisional Licence)	1, 2
LUI Hung Sun	AUQ332	Licensed Representative (Provisional Licence)	3
LUI Man Wah	AQA809	Licensed Representative (Provisional Licence)	1
LUK Wai Tat	AUP822	Licensed Representative (Provisional Licence)	1, 2
MA Siu Yan	AUL974	Licensed Representative (Provisional Licence)	1, 4
MA Sze Ming	AUO208	Licensed Representative (Provisional Licence)	3
MAH Serene	AUP368	Licensed Representative (Provisional Licence)	1, 2
MAK Chi Wah, Aaron	AUP951	Licensed Representative (Provisional Licence)	1
MAK Yuen Man, Marian	AID159	Licensed Representative (Provisional Licence)	1, 2
MAN Hok Leung	AUN664	Licensed Representative (Provisional Licence)	1
MARTIN Hubert Charles	AUM955	Licensed Representative (Provisional Licence)	2
MAU Jason Ka Hang	AUK137	Licensed Representative (Provisional Licence)	1, 2
MC LAUGHLIN Timothy John	AUN327	Licensed Representative (Provisional Licence)	1
MCALINDEN Barry	APF630	Licensed Representative (Provisional Licence)	1, 4
MENG Jincong	AUN915	Licensed Representative (Provisional Licence)	4, 9
MENG Mingyi	AUJ849	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
MENG Po	AUQ325	Licensed Representative (Provisional Licence)	1, 6
MENG Song	AUP792	Licensed Representative (Provisional Licence)	1, 6
MOK Hin Ting	AUJ961	Licensed Representative (Provisional Licence)	1
MU Er	ASL540	Licensed Representative (Provisional Licence)	1, 4, 6
MUIR Thibault Jean Paul	AMR678	Licensed Representative (Provisional Licence)	1, 2
MUNDIGIAN Vatche Vartan	AUP689	Licensed Representative (Provisional Licence)	1, 7
NG Chun Man	AUM147	Licensed Representative (Provisional Licence)	2
NG Hin Yeung	AEY930	Licensed Representative (Provisional Licence)	1
NG Ka Ying	AFU399	Licensed Representative (Provisional Licence)	1
NG Kit Mo	ALY615	Licensed Representative (Provisional Licence)	1
NG Kwan Shing	ALZ730	Licensed Representative (Provisional Licence)	1
NG Shun, Shara	AUM730	Licensed Representative (Provisional Licence)	1, 4
NG Sin Kwan	ATM479	Licensed Representative (Provisional Licence)	1
NG Sui Wa, Alyssa	AEE670	Licensed Representative (Provisional Licence)	1, 4, 6
NGA Shang Jie Dorcas	AUM928	Licensed Representative (Provisional Licence)	1, 2
NGAI Ting Huen	ACI584	Licensed Representative (Provisional Licence)	1, 2
O'SHEA Matthew Paul	AUL623	Licensed Representative (Provisional Licence)	1, 7
O'SULLIVAN James Finbar	ATI586	Licensed Representative (Provisional Licence)	4
OH Moon-Suk	AUO123	Licensed Representative (Provisional Licence)	1, 4
PAN Bingqing	AUL784	Licensed Representative (Provisional Licence)	4
PANG See Kit, Alwyn	ACJ317	Licensed Representative (Provisional Licence)	4
PARK Sung Wan	ADQ242	Licensed Representative (Provisional Licence)	1
PAROT Matthieu William Christian Henri	AUM827	Licensed Representative (Provisional Licence)	1, 2
PELHAM Miles Christian	APL696	Licensed Representative (Provisional Licence)	1, 2
PERRETT David Michael	AFF191	Licensed Representative (Provisional Licence)	1, 2
PODDAR Prashant Kumar	AUN819	Licensed Representative (Provisional Licence)	9
POON Chin Hung	ATB613	Licensed Representative (Provisional Licence)	1, 4
POON Ka Yee, Joanna	AGL868	Licensed Representative (Provisional Licence)	1, 4, 4, 9
POON Tsz Yuen	AUM378	Licensed Representative (Provisional Licence)	1, 6
PRICE Thomas Martin	AUN888	Licensed Representative (Provisional Licence)	4
PRITCHARD Callum Gary	AOD054	Licensed Representative (Provisional Licence)	1
QIAN Junwei	AUJ847	Licensed Representative (Provisional Licence)	1, 4, 6
RAUTUREAU Jean-claude Michel	ASL076	Licensed Representative (Provisional Licence)	1
REN Jia	ASF520	Licensed Representative (Provisional Licence)	1, 6
ROBERTS Matthew Vaughan	AUJ980	Licensed Representative (Provisional Licence)	1, 2, 7
SADHWANI Rashmi Ramesh	AUM930	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
SARGEANT Ronald Thomas	ALZ314	Licensed Representative (Provisional Licence)	1
SCHWINGER Andrew	AUM190	Licensed Representative (Provisional Licence)	9
SEARSON Sarah Margaret	AUM920	Licensed Representative (Provisional Licence)	1
SEE Ching, Jennifer	AEQ054	Licensed Representative (Provisional Licence)	1
SEET Eng Khan	AUN897	Licensed Representative (Provisional Licence)	4
SHANG Xiaoting	AUL942	Licensed Representative (Provisional Licence)	1
SHEPHERD Daniel Themis	ADX267	Licensed Representative (Provisional Licence)	2, 5
SHIM Chi Wing	AUQ930	Licensed Representative (Provisional Licence)	1, 2
SHIMADA Shunsuke	AUH908	Licensed Representative (Provisional Licence)	1, 4
SHIU King On	AUM146	Licensed Representative (Provisional Licence)	4
SIEW Chen Yei	AUL981	Licensed Representative (Provisional Licence)	1, 4, 6
SINGH Aditya	AUP889	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SIT Kin Ho	AUN899	Licensed Representative (Provisional Licence)	1
SIU Shui Lin	AUM189	Licensed Representative (Provisional Licence)	1, 9
SONNENBERG Nicholas Matthew	AUI264	Licensed Representative (Provisional Licence)	1, 2
STAMBULICH Aaron John	AUQ301	Licensed Representative (Provisional Licence)	1
SUNG Siu Hang, Sennen	AOR113	Licensed Representative (Provisional Licence)	1, 2
TADELIS Jamie Hal	ASO236	Licensed Representative (Provisional Licence)	1
TAI Ching	AUK182	Licensed Representative (Provisional Licence)	4
TAI Sheung Lam	AUN904	Licensed Representative (Provisional Licence)	1, 2
TAM Chun Leung	AUP883	Licensed Representative (Provisional Licence)	1, 2
TAM Hing Man	AUL943	Licensed Representative (Provisional Licence)	1
TAM Lai Chung	AUM932	Licensed Representative (Provisional Licence)	1, 9
TAN Teng Yee	AUN079	Licensed Representative (Provisional Licence)	4
TAN Tze Huay	AUL628	Licensed Representative (Provisional Licence)	1
TANG Stephen Man Yiu	AUN660	Licensed Representative (Provisional Licence)	1, 6
TANG Ye	ANQ032	Licensed Representative (Provisional Licence)	1, 6
TEO Kwee Theng	AUM015	Licensed Representative (Provisional Licence)	1
TO Wing Fai, Raymond	AIF602	Licensed Representative (Provisional Licence)	1
TSAI Meng-chen	AUM660	Licensed Representative (Provisional Licence)	1
TSANG Chun Wai	ATL969	Licensed Representative (Provisional Licence)	1
TSANG Heng Cheong	AUP884	Licensed Representative (Provisional Licence)	1, 2
TSE Ka Man	AUM718	Licensed Representative (Provisional Licence)	1, 4
TSE Sheung Jit	AUQ508	Licensed Representative (Provisional Licence)	4
TSE Si Wai	AUP890	Licensed Representative (Provisional Licence)	1, 2
TSO Ka Sing	AUR286	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
TUNG Chai Chor	AUL634	Licensed Representative (Provisional Licence)	1
VERMA Shirish Ramesh	AUL983	Licensed Representative (Provisional Licence)	1, 4, 6
WADHERA Karan Dharam	ANH727	Licensed Representative (Provisional Licence)	1, 2
WAN Ching Pong	APP030	Licensed Representative (Provisional Licence)	1
WANG Benjamin	AUP570	Licensed Representative (Provisional Licence)	4
WANG Qian	AUN076	Licensed Representative (Provisional Licence)	1, 2
WIDDICOMBE Daniel	AAY700	Licensed Representative (Provisional Licence)	1
WISWELL William Fitzgerald	AUK115	Licensed Representative (Provisional Licence)	1
WONG Chi Lok, Eric	AUJ960	Licensed Representative (Provisional Licence)	1
WONG Chun Wah	AUP359	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Hiu Sing	AUJ155	Licensed Representative (Provisional Licence)	1
WONG Man Fai	AUN668	Licensed Representative (Provisional Licence)	1
WONG Shih Wei	ANQ621	Licensed Representative (Provisional Licence)	1, 2
WONG Shirley Yee Men	AUM931	Licensed Representative (Provisional Licence)	1, 2
WONG Wai Ho	AUQ931	Licensed Representative (Provisional Licence)	1, 2
WOO Sum Ling	ALQ474	Licensed Representative (Provisional Licence)	1, 2
WOO Ying, Elaine	AFT765	Licensed Representative (Provisional Licence)	1, 2
WOOD Hugo Henry	AUI775	Licensed Representative (Provisional Licence)	1, 2, 7
WOODS John Joseph	AUN839	Licensed Representative (Provisional Licence)	4
YAM Ying	ARK577	Licensed Representative (Provisional Licence)	1, 2
YAN Sin Hang	AUL971	Licensed Representative (Provisional Licence)	6
YAU Yuk Kuen	AUM480	Licensed Representative (Provisional Licence)	1
YE Xiao	AUM476	Licensed Representative (Provisional Licence)	1, 6
YEE Suk Ping	AUN579	Licensed Representative (Provisional Licence)	1, 2
YEUNG Hiu Chit	AML649	Licensed Representative (Provisional Licence)	9
YEUNG Wing Yi	AUM518	Licensed Representative (Provisional Licence)	1
YIP Man Wai	AUM750	Licensed Representative (Provisional Licence)	1
YU Amy Hangshin	AUJ850	Licensed Representative (Provisional Licence)	1, 2
YU Baijing	AUL598	Licensed Representative (Provisional Licence)	1, 4, 6
YUEN Chi Kwong	ART642	Licensed Representative (Provisional Licence)	1
YUEN Queenie Miu Ling	AUN328	Licensed Representative (Provisional Licence)	1, 2
YUEN Wai Kin	AUL965	Licensed Representative (Provisional Licence)	1, 2
YUNG Munh A, Flora	AGO950	Licensed Representative (Provisional Licence)	1
ZENG Keke	ARJ832	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Wei	APK769	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ying	AUM577	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Tian	ANR067	Licensed Representative (Provisional Licence)	1, 6
ZHENG Wen	AUH934	Licensed Representative (Provisional Licence)	1, 2
ZHU Xuhua	AUK284	Licensed Representative (Provisional Licence)	1
ZLOT Andrew Edward	AHK662	Licensed Representative (Provisional Licence)	9
ZONENSHINE Moshe Mark	AUP714	Licensed Representative (Provisional Licence)	9
ANTONELLI Christopher George	AQJ320	Licensed Representative (Temporary Licence)	1
BALDWIN Tristan James	AUL980	Licensed Representative (Temporary Licence)	1, 4
BECK John William	AUM482	Licensed Representative (Temporary Licence)	1
BOULAY Nicolas Philippe	AQI395	Licensed Representative (Temporary Licence)	1
CHIN Szu Yi	ASE564	Licensed Representative (Temporary Licence)	1
DAVIS Richard James Patrick	AUM733	Licensed Representative (Temporary Licence)	4
GRIFFITHS Graeme Derek Victor	AOA583	Licensed Representative (Temporary Licence)	4
HAGEMEIER Jan Patric	AUB442	Licensed Representative (Temporary Licence)	4
KOO Ginam	ARB440	Licensed Representative (Temporary Licence)	1, 2
KRISHNAN Ashwin	AUB346	Licensed Representative (Temporary Licence)	1
MOTOMURA Masaki	AUP543	Licensed Representative (Temporary Licence)	4
NOMURA Yoshihiro	ACI615	Licensed Representative (Temporary Licence)	4
ODEN John Evans	AOU898	Licensed Representative (Temporary Licence)	4
OKIHIRA Yoshiyasu	AOE022	Licensed Representative (Temporary Licence)	4
PARK Dojoon	AQS874	Licensed Representative (Temporary Licence)	1, 2
PARK Se Hyun	AUR862	Licensed Representative (Temporary Licence)	4
ROEDER Sabine Monika	ATN822	Licensed Representative (Temporary Licence)	1, 6
SALMAN Makarim Adel	ATD287	Licensed Representative (Temporary Licence)	4
SIMON Denise Sarah	AUQ758	Licensed Representative (Temporary Licence)	1
TOTH Gina Marie	ARV876	Licensed Representative (Temporary Licence)	4
TRIGO Sergio, Carlos	AUK283	Licensed Representative (Temporary Licence)	4
TSUPROV Vladimir	ARB749	Licensed Representative (Temporary Licence)	4
United Commerical Bank	AEQ533	Registered Institution	1, 4