

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ETRADE Securities (Hong Kong) Limited	ACT764	Licensed Corporation		3
Louis Capital Markets (Hong Kong) Limited	AOE100	Licensed Corporation	4	
OSK International Investments Hong Kong Limited	AQJ902	Licensed Corporation	1	
Primasia Pacific Asset Management Limited	AAW177	Licensed Corporation	1	
UBP Asset Management Asia Limited	AOB278	Licensed Corporation	9	
ANG Tai Yue, Eric	ADJ380	Licensed Representative		2, 4, 5
AU Chi Lam	AVY115	Licensed Representative	2	
AU Kwok Hoi	AQV792	Licensed Representative		2
AU YEUNG Fai Wa	AMB706	Licensed Representative		5
AU Yiu Fai	AFJ091	Licensed Representative	6	2, 4
BADENHOP Henning	AUR975	Licensed Representative		4
BRESLER Andrew Craig	AVH857	Licensed Representative	9	
CHA Sang Youn	AQM175	Licensed Representative	2	
CHAK Shu Yan	AQU144	Licensed Representative	1	
CHAN Chik Wai, Iris	AOB370	Licensed Representative	1	
CHAN Fung Shan, Linda	ANA238	Licensed Representative	2	
CHAN Kwok Chiu	AOY089	Licensed Representative	9	1
CHAN Ping Kwan	ALZ774	Licensed Representative		2
CHAN Ping Tong	ATE588	Licensed Representative	4	
CHAN Pui Shuen	AQM267	Licensed Representative	4	
CHAN Shek	ANJ137	Licensed Representative	2	
CHAN Yan Yan	AFR461	Licensed Representative		2
CHAN Yu Fung	AQW017	Licensed Representative		2
CHAN Yu Hin	ALL702	Licensed Representative		3, 4
CHAN Yung Chi	AVS190	Licensed Representative	1	
CHANG Lambert Jen Hsiung	AGN715	Licensed Representative		4
CHAU Wa	APJ881	Licensed Representative		6
CHAU Wing Ka	AMT949	Licensed Representative	1, 2	4
CHAUDHARY Ayush	ARI665	Licensed Representative	4	
CHAUDHRY Rajah	AVW307	Licensed Representative	6	
CHEN Yuzhe	ARJ839	Licensed Representative		4
CHENG Hiu Tung	AST652	Licensed Representative		2, 4, 5
CHENG Kai Cheung	AQA098	Licensed Representative	9	
CHEUNG Kin Yip	ANF097	Licensed Representative		1, 9
CHEUNG Kwok Wah	ADW264	Licensed Representative	2	
CHEUNG Lai Ming, Jojo	AQW187	Licensed Representative	2	
CHOI Chun Ming, Norras	AOY471	Licensed Representative	1	
CHOI Nga Chun	ASO286	Licensed Representative	1	
CHOI Sheung Hang	AUP568	Licensed Representative	1, 4	
CHOI Tung Yin, Patrick	AVA754	Licensed Representative	1	
CHOK Ngai Wai, Ivy	ADZ897	Licensed Representative		2
CHOW Chit	ANG566	Licensed Representative	1, 4	
CHOW Sandy Li Chen	ALC926	Licensed Representative	1	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHOY Siu Kwan	AVJ413	Licensed Representative		2
CHOY Tau Man	AAV796	Licensed Representative		6
CHU Kin Wai, Benny	AFU010	Licensed Representative	2	
CHUAH Bee Koon	ARA397	Licensed Representative	1, 4	
CHUI Wai Fong	AUQ988	Licensed Representative	5	3
CHUNG Cheuk Hang	AMP100	Licensed Representative		4
CROMMEN Gregory	APY879	Licensed Representative	7	2
DECHY Gregoire Jacques Charles	AMP200	Licensed Representative	9	1, 2, 7
DERVILLE Guillaume Colin	AMW646	Licensed Representative	7	
DESAI Hital Prakash	ARL105	Licensed Representative	4, 6	
FAN Kei Shun, Lester	AGT998	Licensed Representative		2, 4, 5, 9
FUNG Janet	ANX236	Licensed Representative		2, 4, 5
FUNG King Hong	ATR165	Licensed Representative	2	
GAO Jie	AVT494	Licensed Representative	2	
GUILLEMETTE Eddie Pierre	AFT863	Licensed Representative		2
HA Hang Leung, Alan	AAK663	Licensed Representative	9	
HO Chi Ho, Aaron	ADS214	Licensed Representative	2, 5	
HO Chun Man	ARJ714	Licensed Representative	2	
HO Ka Ho	ARL404	Licensed Representative	2	
HO Ka Man	ARV887	Licensed Representative		4
HO Kai Yu	AQJ195	Licensed Representative	1	
HO Pui Yan	AVY956	Licensed Representative	2	
HO Ting Fung, Grammie	AQI017	Licensed Representative		4
HO Wai Lun, Alan	AFA202	Licensed Representative		4, 5
HO Wing Kwan	ASW795	Licensed Representative	2	
HO Yan Lam	AVJ407	Licensed Representative	2	
HON Wai Fong	AOY391	Licensed Representative	9	
HONG Kee Wing, Christine	ALO528	Licensed Representative	1	3
HOUDEYE Cedric Abraham Avi	AUN368	Licensed Representative		7
HSU Chun-Yuan	ASD142	Licensed Representative	1	5
HSU Shirley Sui-Wei	AHF797	Licensed Representative	4	
HU Jiangang	ASH755	Licensed Representative	2	
HUI Kar Wai	AFH637	Licensed Representative	1	
HUI Shuk Pik, Janet	AVH786	Licensed Representative	2	
HUNG Kai Wah	AAZ491	Licensed Representative	3	
HUNG Yat	AQW412	Licensed Representative	9	1, 2, 5
IP Anthony	ALJ903	Licensed Representative	4	
IP Hung Kei	ARO056	Licensed Representative	4	1
JIANG Weisong Christian	AQF552	Licensed Representative	1	4
JOHNSON Robert Elliott	APV323	Licensed Representative	4	
KAN Pui Chi	AMS234	Licensed Representative	2	
KAPOOR Kapil	AFM611	Licensed Representative		2
KIM David Dong-wook	AFK696	Licensed Representative	4	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
KIUCHI Yuko	AEX949	Licensed Representative		4
KONG Chun Man, Benjamin	AOI880	Licensed Representative	1	
KWAN Oi Yee	AUK260	Licensed Representative		2
KWOK Chun Kong	APO661	Licensed Representative	4	
KWOK Dik Sze, Daisy	ALJ957	Licensed Representative	4	
KWOK Kin Chi	APA029	Licensed Representative	2, 9	
LAI Hing Lun	AOC910	Licensed Representative	4	
LAI Kam Chuen, Cardi	ACS264	Licensed Representative	3	
LAI Wing Yee	ARF548	Licensed Representative		5
LAM Che Chung, Dennis	ALG475	Licensed Representative	1	
LAM Chi Kan	AFX948	Licensed Representative	1	
LAM Ka Wai	AOU392	Licensed Representative		1
LAM Kwai Wah	AFP678	Licensed Representative	1	
LAM Pan	AQE969	Licensed Representative	2	
LAM Wang Chi	AKX039	Licensed Representative	9	1, 2
LAM Yuen Ching	AUK296	Licensed Representative	4	
LAMONT-BROWN Andrew	APN594	Licensed Representative	2	
LAU Hin Chung	ALL312	Licensed Representative	9	
LAU Nim Kei	AFP825	Licensed Representative	6	
LAU Tak Keung	AVP708	Licensed Representative	2	
LAU Wai Fung, Josephine	ALC128	Licensed Representative	3	
LEE Fung Ming	AJD918	Licensed Representative	1	9
LEE Kim Man Erica	ARG950	Licensed Representative	1	
LEE Melissa	ADK623	Licensed Representative		4
LEE Po Chu	ATD387	Licensed Representative	1, 4	
LEE Tak Mong, Felix	AHV479	Licensed Representative		4
LEE Wai Chong, Mary	ABN350	Licensed Representative		2
LEE Yam Hang	AKO237	Licensed Representative		2
LEUNG Ho Kwun, Billy	ASX954	Licensed Representative		4
LEUNG Ka Chun	ARP464	Licensed Representative		4
LEUNG Ka Man, Carman	ATX910	Licensed Representative	4	
LEUNG Kai Chun	APK360	Licensed Representative	1, 2	
LEUNG Pak Hong, Elton	AVI176	Licensed Representative	2	
LEUNG Tak Ching	ALY146	Licensed Representative	1	
LEUNG Wing Kai	AQU970	Licensed Representative		7
LI Kam Fong, Vivian	ACJ965	Licensed Representative	2	
LI Kwok Tung	ABZ281	Licensed Representative		2, 9
LI Zheng	AJB035	Licensed Representative		2, 4, 5
LIANG Ying	AQY868	Licensed Representative	9	
LIN Jiaxin	AVH077	Licensed Representative	1, 4, 5	
LIN Liwei	AVY762	Licensed Representative	2	
LIU Chieh-Hsin	ANU776	Licensed Representative	4	
LIU Jiang Brandon	AOY180	Licensed Representative		7

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2010

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Jun	AVM464	Licensed Representative		1
LIU Lai Hing	APU672	Licensed Representative		2
LO Chi Pang	ANB383	Licensed Representative		1, 2
LO Kai, Lawrence	ADK809	Licensed Representative		2, 5
LU Lu	AVE792	Licensed Representative		4
LUI Chi Hang	ARU560	Licensed Representative	2	
LUI Ngan, Connie	AOO869	Licensed Representative	4	2
LUO Jinming	ASF461	Licensed Representative	9	
MA Chi Wing, Sidney	AQY192	Licensed Representative		4
MA Wai Kiu	AEZ703	Licensed Representative	2	
MAK Chi Ho	ATN700	Licensed Representative	2	
MAN Chi Ho	AQO169	Licensed Representative		1, 4, 5
MOK Kin Foon	ACB889	Licensed Representative	4	
NG Hoi Yan, Heidi	AFE820	Licensed Representative		2
NG Hui Heong	ASL101	Licensed Representative		2
NG Jun Peng, Gabriel	AQN278	Licensed Representative		6
NG Ka Fung	AGL379	Licensed Representative		2
NG Michael Chiu Ho	ADA727	Licensed Representative	1	
NG Siu Kit	ASZ359	Licensed Representative	2	
NG Yvonne Pui Shan	AHY080	Licensed Representative	6	
NGAI Chun Yu	ARP385	Licensed Representative	2	
NGAN Chi Po	ADY908	Licensed Representative		4, 5
NGAN Wan Cheong, Richard	AJG188	Licensed Representative	1	
NISHITANI Tsubasa	AVS658	Licensed Representative	2	
OU Hung Chieh	AQE456	Licensed Representative	9	
PADMASOLA Srinivas	ALY619	Licensed Representative		2, 7
PAN Candice	ALU600	Licensed Representative		4
POON Ming Bor, Pat	AAC611	Licensed Representative		2
RECCO Salvatore Paul	AWC694	Licensed Representative	3	
REN Zhong	APJ703	Licensed Representative	4	
RHODES Paul Simon	AJD741	Licensed Representative		2, 4, 5
RUI Yuanqing	AVA397	Licensed Representative	9	
SETHURAMAN Anuradha	ATH917	Licensed Representative	7	
SHEK Shuk Fan	AQA954	Licensed Representative	4	
SNELGROVE Paul Rodger	AEE375	Licensed Representative	4	9
SO Hon Wai, Ignatius	AMF449	Licensed Representative	1	
SO Lai Ping	AMG891	Licensed Representative	1	
SO Man Yan	ASS700	Licensed Representative		4
SO Sin Yi, Cecilia	AQS716	Licensed Representative	4	
SUN Na	AOY607	Licensed Representative	9	
SUNG Yee Man	AFS977	Licensed Representative	4	
SURESH Adarsh	ATH913	Licensed Representative	2, 7	
SZE Chun Wai	AKX617	Licensed Representative	9	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TAN Choon Lang	APL235	Licensed Representative	2	
TAN James Seng Yee	ATN584	Licensed Representative	4, 5	
TANG Han Yin	ACG084	Licensed Representative		1, 2
TANG Peony	ATO917	Licensed Representative	4	
TEI Miranda Mei Lun	ALV256	Licensed Representative	7	
THONG Chung Mao	AVB803	Licensed Representative	4	
TING Wai Wan	ABS171	Licensed Representative		1, 2
TO Ming Fan	ANY147	Licensed Representative		3, 4, 5
TONG Yin Nam, Tina	APF337	Licensed Representative	1	
TSANG Chun Man	AMU470	Licensed Representative	2	
TSANG Kam Wa	ASD601	Licensed Representative	2	
TSE Koon Nang, Colin	ATR121	Licensed Representative	4	
TSE Ming Lun	AJH258	Licensed Representative	2	
TSE Siu Yuen	AQA082	Licensed Representative	2	
TSENG Pei Fen	ALP951	Licensed Representative	1	6
TSOI Kap	ADN448	Licensed Representative	2	
TSOI Kwok Keung	AAD694	Licensed Representative		4, 6, 9
TUNG Lai Kwan	AOM604	Licensed Representative	1	
UENG Yih-Ling	AQS915	Licensed Representative	4	
WANG Jia-Shu	AQW908	Licensed Representative	4	
WANG Jiawei	APF036	Licensed Representative	1	4
WANG Junhua	APM092	Licensed Representative	9	1, 2
WILDER Benjamin Linley	AOV923	Licensed Representative		2
WONG Hoi Yuet	AOL365	Licensed Representative	1	
WONG Kim Hung	AUY918	Licensed Representative	2, 4, 9	
WONG Kin Chun, Gilbert	AAI303	Licensed Representative		4, 9
WONG Kin Shing	APX205	Licensed Representative	5	
WONG Lap Kuen, Ricky	ADH915	Licensed Representative		2
WONG Man Hin	AMY306	Licensed Representative	1	
WONG Pui Sze	AOJ349	Licensed Representative		4
WONG Vivian Chi Wah	ARD205	Licensed Representative	4	
WONG Wing Cho	ATR473	Licensed Representative		2
WONG Wing To, Alex	ANH516	Licensed Representative		4
WOO Lai Ling, Louise	ANK154	Licensed Representative	1	
WOO Wai Man, Wyman Karen	AQU150	Licensed Representative	7	
WU Hiu Man	AGO828	Licensed Representative	1	
WU Lap Yee, Letty	ANO984	Licensed Representative		1
XIANG Yi	AUZ451	Licensed Representative	5	
XU Qingfei	ARO773	Licensed Representative		6
XU Zhenzhong	ARJ353	Licensed Representative		4
YEH Yau Shing, Johnny	ANP549	Licensed Representative	9	4
YIP Wing Hang	AKO635	Licensed Representative	4, 9	
YOON Jerry Chan	AHA576	Licensed Representative		2, 4, 5

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ZHANG Xinxiang	ARO301	Licensed Representative	2	
ZHAO Tianyi	ATM651	Licensed Representative	4	
ZHOU Cheng	AMR944	Licensed Representative	1	
BECZAK Thaddeus Thomas	ABB731	Licensed Representative - Responsible Officer		6
CHAN Chun Wai	AFY152	Licensed Representative - Responsible Officer	4	
CHAN Lee Yeung	AAH761	Licensed Representative - Responsible Officer	9	
CHIU Chi Kin, Andrew	AEI648	Licensed Representative - Responsible Officer	4	
CHIU Yung	AMR824	Licensed Representative - Responsible Officer	9	
COLIN-JONES Alexander Mark	AOE138	Licensed Representative - Responsible Officer	4	
HO Yaun, Stanley	AGA624	Licensed Representative - Responsible Officer	4	
KAAN Saik Kong Thomas	AOE140	Licensed Representative - Responsible Officer	4	
KEOY Soo Lik	ACX414	Licensed Representative - Responsible Officer	4	
LAM Che Chung, Dennis	ALG475	Licensed Representative - Responsible Officer	4	
LIM Pak Fu	AAV508	Licensed Representative - Responsible Officer	1	
LIP Siu Ming, Nelson	AAB179	Licensed Representative - Responsible Officer	4	
SUCCOSO Peter James	AOH257	Licensed Representative - Responsible Officer		4
TANG Han Yin	ACG084	Licensed Representative - Responsible Officer	9	
TING Wai Wan	ABS171	Licensed Representative - Responsible Officer	9	
TRAN Tri-luong	AAK013	Licensed Representative - Responsible Officer	1	
WINCHESTER Nigel Stuart	ACC753	Licensed Representative - Responsible Officer	4	