

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2010**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity Added</b>	<b>Types of Regulated Activity Removed</b>
AMG Global Investment Limited	AMT657	Licensed Corporation	1	
BMI Funds Management Limited	AHX617	Licensed Corporation	1	
Cambridge Strategy (Asset Management) Asia Limited, The	AHJ903	Licensed Corporation	9	
GCIS Limited	AOI914	Licensed Corporation		4
HSBC Global Asset Management (Hong Kong) Limited	AAF684	Licensed Corporation	2	
JS Cresvale Capital Limited	AAC753	Licensed Corporation	9	
JS Cresvale Securities International Limited	ABW380	Licensed Corporation	4	
Pacific Hawk (HK) Limited	AEN696	Licensed Corporation	9	
TTG (HK) Limited	ABT305	Licensed Corporation	1	
Value Partners Limited	ABN759	Licensed Corporation	6	
AU Kwun Mo	AMV052	Licensed Representative	2	
AU Tat Sang	ACW368	Licensed Representative	3	
AU YEUNG Yuk Hang	AHX078	Licensed Representative	2, 4	2, 4, 9
BAO Wenjing	ARU022	Licensed Representative	4	1
BILLINGS Jason Craig	ACT407	Licensed Representative	4	
BOOKOUT Thomas Roger	ADU702	Licensed Representative	4	1
BROWN Bahar	ALF476	Licensed Representative	7	2
CARLONE Thomas	AMJ337	Licensed Representative	7	
CHAI Denise Sara	ADR497	Licensed Representative	4	
CHAN Chi Kin	ALQ452	Licensed Representative	1, 2, 7	4, 5
CHAN Choi Wah, Kanise	AHF667	Licensed Representative	4	
CHAN Ka Kin	AEQ192	Licensed Representative	2	
CHAN Ka Lei	AGH991	Licensed Representative	1	
CHAN Ka Mei	AFN231	Licensed Representative	1	
CHAN Ka Wang Karen	AIH479	Licensed Representative	4	
CHAN Lung, Anthony	AFD303	Licensed Representative	4	
CHAN Mei Mei, Irene	ARE054	Licensed Representative		9
CHAN Sei Yuen	AQQ683	Licensed Representative	4	
CHAN Tsui Tsui, Angel	AMH700	Licensed Representative		4
CHAN Wai Hung	ARA444	Licensed Representative		2
CHAN Wai Kei	ALW372	Licensed Representative		2
CHAN Wai Leung	AUX093	Licensed Representative	2	
CHAN Yik Lun	AOR284	Licensed Representative	2	1
CHAN Yin Kei, Ronald	ACG470	Licensed Representative	4	
CHAN Ying Kit	AFT458	Licensed Representative	1	
CHAU Yee Man	AEO603	Licensed Representative		1, 5
CHEN Pei-Hui, Kathy	ALY540	Licensed Representative	9	
CHENG Jacqueline K	ANX806	Licensed Representative	7	
CHENG Mei Po	ANR507	Licensed Representative		4
CHENG Suet Mei	ART070	Licensed Representative	4	
CHEUK Sze Nga	APJ944	Licensed Representative	2	
CHEUNG Wang	AMF506	Licensed Representative	4, 9	
CHEUNG Wing Hang	AKY901	Licensed Representative	4	2
CHIANG Hsien Chu	ARG713	Licensed Representative		4
CHIEN Jung-chun	ATN539	Licensed Representative	7	4, 6
CHING Chi Wai	AVK943	Licensed Representative		2
CHIU Sheung Yuk	AKU355	Licensed Representative	2	
CHOI Chun Ning	ATS068	Licensed Representative	2	

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity Added</b>	<b>Types of Regulated Activity Removed</b>
CHOI Chung Tin	ALQ216	Licensed Representative	4	
CHOI Heung Shing Frederick	AUR572	Licensed Representative	4	
CHOW Ho Kin, Kelvin	APF802	Licensed Representative	4	
CHOW Man Chi, Joey	AFO294	Licensed Representative	2	
CHOW Yan Kin	ABS401	Licensed Representative	2	
CHOW Yiu Sze	ADX366	Licensed Representative		9
CHOW Yuen Yee	ALV323	Licensed Representative		2, 7
CHOW Yuk Chun	AUD239	Licensed Representative	4	
CHOY Yee Ling, Elina Elaine	AMX707	Licensed Representative	9	1
CHU Chun On, Franco	ANW442	Licensed Representative	1	
CHU Ellis Bih-Hsin	APR576	Licensed Representative		4
CHU Hon Kei	ANA184	Licensed Representative		2
CHU Joseph Sze Ho	AHR629	Licensed Representative		9
CHUNG Sau Ha, Christina	ACP342	Licensed Representative	4	
CHUNG Wai Cheong	AQQ591	Licensed Representative	2	
DERBYSHIRE David Neil	ATF095	Licensed Representative	7	
DEWBERRY Paul Robert	ALI718	Licensed Representative	4	
DOYLE Michael Patrick Mccabe	ALL152	Licensed Representative		2
FLETCHER Jonathon	ARI214	Licensed Representative	7	
FOGLE Mark Bradley	ARP364	Licensed Representative		1, 4
FUNG Chi Ki, Andy	AMI192	Licensed Representative	1	4
GARNER Jonathan Frederick	AUE987	Licensed Representative	4	
GHOZALI Nasrine	ARM921	Licensed Representative		4, 5
GONG Hua	AQB146	Licensed Representative	2	
GRAY Simon Francis	AOB669	Licensed Representative		1
GU Yuyang	AQK964	Licensed Representative		6
HARRIS Richard	AAK411	Licensed Representative	1	
HE Hao	APG277	Licensed Representative		1, 4
HEIJBOER Godefriedus Jelte	ARP512	Licensed Representative		1, 2
HIRAOKA Emiko	ALQ881	Licensed Representative		4
HO Alicia Yuen Yee	ASZ669	Licensed Representative	7	
HO Ka Ho	ARL404	Licensed Representative		7
HO Kenneth	AGD234	Licensed Representative	4	
HO Kwong Kiu	APU675	Licensed Representative		1, 2, 3
HO Pui Kuen	ART068	Licensed Representative	4	
HO Pui San	AQF527	Licensed Representative		4
HO Yat Kwan, Ada	AKO913	Licensed Representative	7	
HO Ying-Chih	AJN374	Licensed Representative		1
HSU Che Wei	AVJ136	Licensed Representative	1, 4	
HUANG Weili	AUM306	Licensed Representative	2	
HUANG Yan	AVD315	Licensed Representative	7	4, 6
HUI Ka Tin, Jennifer	AOB607	Licensed Representative		2
HUI Pui San	AEI285	Licensed Representative	4	
HUI Shun Fuk	AKO684	Licensed Representative	1	4
HUNG Lee	AQM688	Licensed Representative	1	
HUNG Lum, Leon	ADU225	Licensed Representative		2
IP Hon Sum	AAD185	Licensed Representative	7, 9	
IP Pak Cheong	ABF932	Licensed Representative		2

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity Added</b>	<b>Types of Regulated Activity Removed</b>
IP Po San, Joyce	AFF506	Licensed Representative	4, 9	
ISMAIL Michael Mohammed	AFL089	Licensed Representative	1	4
JACOEL Suzi-kay	AMJ557	Licensed Representative	1	4, 9
JAITLEY Shailesh	AMY882	Licensed Representative	9	4
KAO Chi Wang, Ronald	AVD112	Licensed Representative	2	
KARNIK Deven Arvind	AFS152	Licensed Representative	9	
KELLY Scott Adam	AGY598	Licensed Representative	1	4
KIM Sang Won	APA285	Licensed Representative	4	
KO Wai Lam	AOS065	Licensed Representative	1	
KWAN Angelina Agnes	AEH541	Licensed Representative		2
KWAN Wai Cheung	AVI742	Licensed Representative	1, 2	4
KWONG King Lok	ATM472	Licensed Representative	2	
KYIM Eugene Eong IL	APA005	Licensed Representative	2	
LAI Kwok Ho	AHC357	Licensed Representative		3
LAI Pei Chung	ANW203	Licensed Representative		4
LAI Tsz Wo, Wanda	AUQ526	Licensed Representative	2	
LAI Yiu Cheung, Dennis	ACS167	Licensed Representative	4	
LAI Yiu Fai	APU411	Licensed Representative	1, 9	
LAI Yuen Yee	AOV189	Licensed Representative		9
LAM Chin Fung, Haywood	AUC761	Licensed Representative	2	
LAM Chun Man	ANX173	Licensed Representative		1
LAM Man Wai, Nancy	ANO998	Licensed Representative		2, 7
LAM Wai Hing, Jeffrey	AEX179	Licensed Representative	2	
LAM Yee Kwan, Helen	AFD037	Licensed Representative	4	
LAU Chun Fai	ANH622	Licensed Representative	9	
LAU Sze Nga	ARD193	Licensed Representative		7
LAU Wai Ping, Cathy	AUX352	Licensed Representative	2	
LAU Wan Sze	ATY768	Licensed Representative	2	
LAW Ching Yee, Carol	AQR042	Licensed Representative	9	
LAW Ka Kin	ABS264	Licensed Representative		1
LEE Chi Wai	AFT213	Licensed Representative	4	
LEE Chun Wai	ASI371	Licensed Representative	2	4
LEE Ka Ho, Ronald	ATX677	Licensed Representative	3	
LEE Kai Ho	AVM533	Licensed Representative	2	
LEE Kin Ping, Christophe	ADV609	Licensed Representative		1, 2, 3, 4
LEE Kwok Keung, Franki	AMV343	Licensed Representative	1	
LEE Man Kit	APM126	Licensed Representative	2, 7	
LEE Man Wai, Mandy	AEN446	Licensed Representative		2
LEE Moo Bong	AQH629	Licensed Representative	1	
LEE Tak Mong, Felix	AHV479	Licensed Representative	4	
LEE Wai Yin, Alice	AAH588	Licensed Representative		4
LEE Wing Kea, Peggy	ADH933	Licensed Representative		2
LEI Chunran	ASP969	Licensed Representative	7	
LEUNG Kin Ping	AFV543	Licensed Representative		1, 4
LEUNG Tak Ming	AQZ854	Licensed Representative	7	
LEUNG Wai Ying	ASC836	Licensed Representative		1
LI Fung Mei	AUD324	Licensed Representative		2
LI Ha Lam	ANQ566	Licensed Representative	2	

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity Added</b>	<b>Types of Regulated Activity Removed</b>
LI Jialu	AQM112	Licensed Representative		4
LI Lian	ARV886	Licensed Representative	9	
LI Lin	ATL730	Licensed Representative	4, 6	
LI Man Hin	AEN397	Licensed Representative	1	
LI Mingjiang	ANG396	Licensed Representative	9	
LI Sisi	ARN059	Licensed Representative	9	1, 2
LIM Cheng Yong	AEJ325	Licensed Representative	2	
LIM Siu Liang, Shaune	AUB441	Licensed Representative		2
LIN Shanshan	ASJ891	Licensed Representative		4
LING Ka Kin	AOM643	Licensed Representative	4, 5	
LIU Wei	ALG199	Licensed Representative	4, 5, 9	1, 2
LIU Yin	AUO116	Licensed Representative	2	
LO Chee Yan	ARW745	Licensed Representative	2	
LO Chia-Chen	ARG670	Licensed Representative		4
LO Shuk Man, Charmaine	ANT856	Licensed Representative	1	
LOK Siu Kee	ACQ301	Licensed Representative	2	
LU Gloria Yi	ADA784	Licensed Representative		1
LU Yen Ying	AQF011	Licensed Representative		4, 6
LU Yin	ALG463	Licensed Representative	1	
LUI Yee Kwun, Eva	ARQ313	Licensed Representative		4
LUNDIA Yogesh	APZ525	Licensed Representative	4	
MA Kui Leung	AFP971	Licensed Representative	1	4
MA Siu Yan	AUL974	Licensed Representative		4
MA Wai Kiu	AEZ703	Licensed Representative	1, 9	
MAK Chun Fai, Frankie	AEC363	Licensed Representative		9
MAK Kai Yin	ARE627	Licensed Representative	9	1
MCCREIGHT Edward Robert	AQE995	Licensed Representative		4, 5
MIU Hung Lucy	ADQ868	Licensed Representative	4, 9	
MOK Wai Yin	AHX029	Licensed Representative		9
MUI Wing Hang	ABF512	Licensed Representative		2
NERUDA Georgia Stephanie Stevens	APH426	Licensed Representative	7	
NG Cardon Hsi Tsun	AVE378	Licensed Representative	2	
NG Ho Wai	AUS555	Licensed Representative		2
NG Hon Keung, Jeremy	ACO692	Licensed Representative	3	
NG Kin Ying	AFU582	Licensed Representative	2	
NG Siok Leng	AFM257	Licensed Representative	1	
NG Tsz Ching	AVJ374	Licensed Representative	4, 5	
NG Wai Ho	AVL488	Licensed Representative	2	
NGAI Chi Man, Jeffrey	API203	Licensed Representative	2	
O'SHEA Stephen Gerard	ABM948	Licensed Representative	2	
PAN Yuming	AOQ623	Licensed Representative	4	
PARK Jang Ho	ALF284	Licensed Representative		6
PAULINA Elly	AVD547	Licensed Representative	6	
PEREIRA Kevin Edmond	AML699	Licensed Representative	4	
PRESCOTT Lewis Edward	AOQ772	Licensed Representative	2	
QIN Yan	AVH176	Licensed Representative	7	
RAMACHANDRAN Anand	AFE061	Licensed Representative	1, 6	
REILLY Eugene T	ANH725	Licensed Representative	2, 7	

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ROU Lei	ATX914	Licensed Representative	3	
SCONYERS Melissa Beth	AUD887	Licensed Representative	2	
SHER Kwan Wai	ATN772	Licensed Representative	1	
SHIN Seung Jun	AMY283	Licensed Representative	1	
SHIU Shu Ming	ADS601	Licensed Representative		1
SHUM Kam Chi, Eric	ADF451	Licensed Representative		1
SHUM Man Sau	AAE587	Licensed Representative	2	
SIM Emily Shao Hua	AUM601	Licensed Representative	7	
SIN Hong Yee, Connie	AGJ915	Licensed Representative	4, 9	
SINGH Sandeep	AGA112	Licensed Representative	4	
SIU Lap Kuen, Philip	ANF048	Licensed Representative	2	
SIU Wing Yi	AUW773	Licensed Representative	2	
SODHA Vishal Pravin	APX201	Licensed Representative		2
SOHN So Yon	AEY371	Licensed Representative	1, 2	
SPENSLEY Oliver Giles	ASW652	Licensed Representative	2	
STEPHENS John William	AFT822	Licensed Representative	1	
SUN Li	AQQ614	Licensed Representative	6	
SZE Mei Kei	AUG796	Licensed Representative		9
TAI Luen Kam	AMF607	Licensed Representative	4	
TAKENO Keiko	APP831	Licensed Representative	2	
TAM Ka Keung	AOV854	Licensed Representative		2, 3, 4, 5
TAM Raymond Hin Tat	ADT748	Licensed Representative	6	
TAM Yin Kong, Econ	AKS772	Licensed Representative	1	
TAMURA Koshiro	ALN187	Licensed Representative	2	
TAN Meng Teik	AST781	Licensed Representative	7	
TANG Lai Kwan	AAB274	Licensed Representative		2
Tang Sau Lai	ANF069	Licensed Representative	9	
TANG Shuangqing	AMY058	Licensed Representative	2	
TANG Wai Kee	ACG544	Licensed Representative	9	2, 3
TERREBROOD JR Henry Lee	AJL563	Licensed Representative		4
TO Wing Fai, Raymond	AIF602	Licensed Representative	7	
TOKIZAWA Hayata	ATV564	Licensed Representative		1, 4
TONG Min	AUE442	Licensed Representative	2	
TRIVEDI Anshul	ASK045	Licensed Representative	2, 7	
TSANG Chiu Tai	AVL412	Licensed Representative	2	
TSANG On Yee	ALI325	Licensed Representative	6	
TSANG Shuk Kam	AVM341	Licensed Representative	2	
TSE Ching	AUZ921	Licensed Representative	4	
TSE Ming Fun	AJC830	Licensed Representative		1, 5, 6
TYE Beng Lee	AEJ269	Licensed Representative	4, 6	2
WAN Yuk Kiu, Casemen	AOC783	Licensed Representative	1	
WANG Jian	AVM361	Licensed Representative	7	
WANG Pei	AVH600	Licensed Representative	7	
WANG Xiaobing	ASK683	Licensed Representative	1	
WANG Xue	ARM171	Licensed Representative	7	4, 6
WEBB Richard Norman	AMI997	Licensed Representative	2, 7	
WEI Weiqi	ARE228	Licensed Representative	2	
WEN Lei	AVH159	Licensed Representative	7	

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WENG Ming-jeng	ASO490	Licensed Representative		1
WERNO Alexandre Maurice Louis	APP898	Licensed Representative	4	
WONG Chi Chung	ANS286	Licensed Representative		1, 4
WONG Chung Hang, Warren	APF009	Licensed Representative	1	
WONG Ka Man	AQQ507	Licensed Representative		2
WONG Ka Wing	ASS969	Licensed Representative		2
WONG Kit Ying, Cecilria	AFH044	Licensed Representative		9
WONG Mender	AUT548	Licensed Representative	2	
WONG Ngai Shing	AMI263	Licensed Representative	2	
WONG Sek Ming, Paul	AAV864	Licensed Representative	7	
WONG Shun Wah	ARN141	Licensed Representative	6	
WONG Tak Hing	ARC134	Licensed Representative	5	
WONG Wai Cheung, Frederick	AAC897	Licensed Representative	2	
WONG Wai Ho	AUQ931	Licensed Representative	4	
WONG Wai Ki, Wicky	AQQ579	Licensed Representative	2	
WONG Wing Man, Esther	ACR446	Licensed Representative	4	
WONG Yat Lam	AHY120	Licensed Representative		4
WOO Chi Lai	AUZ634	Licensed Representative	1	9
WU Cheng-Yu	ARG739	Licensed Representative		4
WU Chunlei	AUE411	Licensed Representative	7	
WU Jiaxin	ARD174	Licensed Representative	1	
WU Meng Chan	AVG756	Licensed Representative	7	
XING Ziqiang	ART864	Licensed Representative	9	1, 4
XU Jing	AVP675	Licensed Representative	6	4
XU Ping	ALI016	Licensed Representative	1	4
YAN Ka Lok	AJK630	Licensed Representative	1	
YANG Peng	AUG860	Licensed Representative	1	
YAO Chun Yu	AVB802	Licensed Representative	2	
YAO Lan Mai, Margaret	ACG637	Licensed Representative	2	
YAU Nga Sze, Chris	APL969	Licensed Representative	2	
YAU Tak Lung, Simon	AQS425	Licensed Representative	1	4, 6, 9
YE Xiao	AUM476	Licensed Representative	4	
YEUNG Chung Lung	AQX406	Licensed Representative	2	
YEUNG Ka Kit	AVE380	Licensed Representative	2	
YEUNG Kam Yan	ASY649	Licensed Representative	1	
YEUNG Kin Yeung, Jason	AEO674	Licensed Representative		4
YEUNG Tung	AFL872	Licensed Representative	2	
YEUNG Wan Yiu	ADY321	Licensed Representative	3	
YIP Ching Man Ivy	AVE594	Licensed Representative	7	
YIP Chiu Yee	ARW744	Licensed Representative		4
YIP Ka Lung	ADZ376	Licensed Representative		2, 5
YIP Ka Wah	AUZ919	Licensed Representative	2	
YU Chiung-yin	ARQ097	Licensed Representative	7	4, 6
YU Ngao Shuet	ALW566	Licensed Representative	9	
YU Pak Hang	AOA850	Licensed Representative	4, 9	1
YU Wei Sung, Vivien	AVN406	Licensed Representative	9	
YUEN Hing Kin	AFF290	Licensed Representative	2	
ZHANG Fan	ALA702	Licensed Representative	7	4, 6

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity Added</b>	<b>Types of Regulated Activity Removed</b>
ZHANG Lily Xun	AIR660	Licensed Representative	7	
ZHAO Yuhong	AGO215	Licensed Representative	1	4
ZHENG Lei	ATR472	Licensed Representative	1	
ZHOU Zhuo Hui	ATL987	Licensed Representative		2
ZHU Pingping	ATO774	Licensed Representative	7	
CHAN Kam Kwan	AEX608	Licensed Representative - Responsible Officer	4	
CHAN Sheung Lai	ACE861	Licensed Representative - Responsible Officer	6	
CHENG Ju-Muh	ACO172	Licensed Representative - Responsible Officer	4	
CHOI Lan Fong	AAI809	Licensed Representative - Responsible Officer	4	
CHOU Chien-Chung	AOG772	Licensed Representative - Responsible Officer	9	
CHOW Kwun Hung	AEV862	Licensed Representative - Responsible Officer	4	
CHOW Wai Chiu, William	AKY161	Licensed Representative - Responsible Officer	6	
CHUANG I-Chu	ANW396	Licensed Representative - Responsible Officer	4	
CLAYTON Andrew Crimmins	ATS621	Licensed Representative - Responsible Officer	1	
CONXICOEUR Patrice Pierre Henri	AIY478	Licensed Representative - Responsible Officer	2	
COOLEY Ann Marlowe	AEN698	Licensed Representative - Responsible Officer	9	
DINGLEY Jon Patrick	AEK225	Licensed Representative - Responsible Officer	1	
EDSTEIN Alexandra	ASZ221	Licensed Representative - Responsible Officer	9	
HEIJBOER Godefriedus Jelte	ARP512	Licensed Representative - Responsible Officer	9	
HO Ying-Chih	AJN374	Licensed Representative - Responsible Officer	9	
HUANG Doron	ADY238	Licensed Representative - Responsible Officer	1	
HUGGER Thomas Eugen	ACR505	Licensed Representative - Responsible Officer	1	
HUNG Jung-Tsung	AJD359	Licensed Representative - Responsible Officer	9	
INAIDA Yosuke	ANT239	Licensed Representative - Responsible Officer		1, 2
JONES Richard Evan	AEC527	Licensed Representative - Responsible Officer	1	
JOOK Chun Kui, Raymond	ACQ095	Licensed Representative - Responsible Officer	4	
KIHM Lutz Hans Michael	ADC898	Licensed Representative - Responsible Officer		4
KOH Choy	ACF185	Licensed Representative - Responsible Officer	9	
KWOK Kin Chung	ADZ802	Licensed Representative - Responsible Officer	4, 5	
LAM Man Wai	AMU241	Licensed Representative - Responsible Officer	4	
LAW Suk King	AIF550	Licensed Representative - Responsible Officer		4
LIM Cheng Yong	AEJ325	Licensed Representative - Responsible Officer		2
LIN Wai Yan	AEU175	Licensed Representative - Responsible Officer		4, 9
LLOYD Alistair Howard	AES280	Licensed Representative - Responsible Officer	9	
MOU Duen Ting	AOH534	Licensed Representative - Responsible Officer	4	
NGAN Chak Keung	ACZ334	Licensed Representative - Responsible Officer	1	
SHUM Man Sau	AAE587	Licensed Representative - Responsible Officer		2, 4, 5
WONG Foelan	ADQ592	Licensed Representative - Responsible Officer	1, 2	
WONG Wa	AOA104	Licensed Representative - Responsible Officer	4	
YU Man Sang, Mason	ACK351	Licensed Representative - Responsible Officer		3