

# Statistical Comparisons

The following table shows certain key market data and statistics related to the work of the SFC in the past three years. Figures in brackets are changes from the preceding year.

	2008-09	2007-08	2006-07
<b>Market activities and transactions</b>			
Number of listed companies on the Stock Exchange Main Board	1,092 (+4%)	1,055 (+7%)	983
Market capitalisation (\$ billion)	10,080.8 (-40%)	16,825.3 (+25%)	13,442.2
Average daily market turnover (\$ billion)	58.7 (-41%)	99.0 (+153%)	39.2
Total number of listing applications reviewed under the dual filing regime	87 (-35%)	134 (+41%)	95
Total number of takeovers and share repurchases transactions handled	266 (-25%)	357 (+26%)	284
Total NAV of all compensation funds (\$ million)	1,873 (-2%)	1,916 (+7%)	1,794
<b>Licensees and investment products</b>			
Applications for SFC licences	7,150 (-21%)	9,035 (+36%)	6,628
Applications to conduct new regulated activity	20,450 (-20%)	25,478 (+45%)	17,553
Total number of SFC licensees	37,310 (+9%)	34,384 (+19%)	28,940
Total number of SFC-authorized schemes	2,833 (-2%)	2,881 (+7%)	2,686
NAV of authorised unit trusts and mutual funds (US\$ billion) <sup>1</sup>	628 (-42%)	1,077 (+18%)	910
<b>Enforcement action</b>			
Total number of cases handled	112 (-30%)	161 (+3%)	156
Entities successfully prosecuted	27 (-59%)	66 (+29%)	51
Unsuccessful prosecutions	3 (0%)	3 (0%)	3
Disciplinary inquiries conducted	99 (-32%)	145 (-31%)	211
Actions against licensees	50 (-38%)	81 (+1%)	80



	2008-09	2007-08	2006-07
<b>Reaching out</b>			
Press releases issued	192 (-15%)	227 (-14%)	264
Total average daily hit rate of corporate and InvestEd websites	636,668 (+3%)	615,869 (+15%)	537,186
Public enquiries	12,762 (+56%)	8,179 (+2%)	8,017
Public complaints <sup>2</sup>	10,070 (+614%)	1,410 (+11%)	1,267
Consultation papers issued	3 (-50%)	6 (N/A)	0
Consultation conclusions issued	4 (+33%)	3 (-50%)	6
Codes and guidelines issued	6 (+500%)	1 (-83%)	6
<b>Finances and staff</b>			
Income (\$ million)	1,696.1 (-33%)	2,546.3 (+95%)	1,306.4
Expenses including depreciation (\$ million)	683.8 (+17%)	585.0 (+8%)	541.0
Number of staff <sup>3</sup>	478 (+8.1%)	442 (-0.2%)	443

<sup>1</sup> Net Asset Value (NAV) as at 31 December 2008, 2007 and 2006.

<sup>2</sup> The figures reflect the number of complainants.

<sup>3</sup> The breakdown of the figures is as follows:

	31 March 2009		31 March 2008		31 March 2007	
	Actual	Budget	Actual	Budget	Actual	Budget
Professional	349	383	322	356	319	342
Support	129*	124	120	121	124*	122
	478	507	442	477	443	464

\* A number of junior professional staff headcount vacancies were filled by supporting staff.

Further statistics of the SFC's activities are provided in the chapter on Activity and Market Data starting on page 114.