

Our Management



Left to right:
Mark Steward; Alexa Lam; Paul Kennedy; Keith Lui;
Martin Wheatley; Brian Ho



Executive Directors

Martin Wheatley, JP

Chief Executive Officer

(From 23 June 2006. Current appointment expires on 30 September 2011.)

SFC: Chairman (from 1 October 2005 to 22 June 2006) and Executive Director, Supervision of Markets Division (from 6 June 2005 to 30 September 2005); Chairman, IOSCO Technical Committee Task Force on Short Selling; Member of Deposit-taking Companies Advisory Committee, Council of the Treasury Markets Association and HKEx Listing Nominating Committee. Deputy Chief Executive, London Stock Exchange (LSE) (2001 – 2004); Board Member, LSE (1998 – 2004); Chairman, FTSE International (2000, 2002, 2004); Member, Listing Authority Advisory Committee of the Financial Services Authority of England (2003 – 2004).

Chairs Executive Committee. Member of Advisory Committee, Budget Committee and SFC (HKEC Listing) Committee.

Brian Ho

Executive Director, Corporate Finance Division

(From 28 August 2006. Current appointment expires on 27 August 2009.)

SFC: 1992 – 1994 and 1995 – present; Senior Director, 2000 – 2006. Solicitor admitted in Hong Kong in 1988. Member of HKEx Listing Nominating Committee.

Chairs Public Shareholders Group. Member of Executive Committee.

Paul Kennedy

Executive Director and Chief Operating Officer

(From 16 October 2006. Current appointment expires on 15 October 2009)

Chartered Accountant; Certified Public Accountant; KPMG Hong Kong 1983 – 2005; Partner 1990 – 2005; Member, Advisory Committee on Human Resources Development in the Financial Services Sector.

Chairs Investor Education Advisory Committee. Member of Advisory Committee, Budget Committee, Executive Committee and SFC (HKEC Listing) Committee. Director of the Investor Compensation Co, Ltd.

Alexa Lam

Executive Director, Policy, China and Investment Products Division and Deputy Chief Executive Officer

(From 1 December 2001. Current appointment expires on 28 February 2011.)

SFC: Executive Director and Chief Counsel (March – November 2001), Chief Counsel (1999 – 2001), Senior Adviser-Chairman's Office (1998 – 1999); Visiting Lecturer, Faculty of Law, University of Hong Kong (1997 – 1998); Private law practice in Hong Kong, New York and Chicago (1981 – 1997); Member, Hong Kong Trade Development Council Financial Services Advisory Committee; Member, Professional Advisory Board – The University of Hong Kong Faculty of Law's Asian Institute of International Financial Law.

Chairs Committee on Unit Trusts, Committee on Investment-Linked Assurance and Pooled Retirement Funds, Committee on Real Estate Investment Trusts and Academic and Accreditation Advisory Committee. Member of Advisory Committee, Budget Committee, Executive Committee, Securities Compensation Fund Committee, Investor Compensation Fund Committee and SFC (HKEC Listing) Committee.

Keith Lui

Executive Director, Supervision of Markets Division

(From 28 August 2006. Current appointment expires on 27 August 2009.)

SFC: 1991 – 1994 and 1995 – present; Commission Secretary, 2001 – 2004; Senior Director, 2002 – 2006. Member of HKEx Risk Management Committee.

Chairs Securities Compensation Fund Committee and Investor Compensation Fund Committee. Member of Executive Committee and SFC (HKEC Listing) Committee. Director of the Investor Compensation Co, Ltd.

Mark Steward

Executive Director, Enforcement Division

(From 25 September 2006. Current appointment expires on 24 September 2009.)

Deputy Executive Director, Enforcement, Australian Securities and Investments Commission (ASIC) (2004 – 2006); Director, Enforcement, ASIC (2003 – 2004); Solicitor admitted in Australia in 1990.

Member of SFC (HKEC Listing) Committee and Executive Committee.