

Committees of the SFC

Advisory Committee

Members

Andrew L T Sheng, SBS, JP
Laura M Cha, JP
Andrew Procter
David Carse, JP
Linus Cheung, JP

Peter Clarke
Stephen Hui
Leong Ka Chai, JP
Dannis Lee Jor Hung
(from 01.06.99)
Sydney Leong, JP

Liang Xiao Ting
Andrew Lo
Henry Cheong (to 31.05.99)
Alex Wu Shu-chih, JP
(to 31.05.99)

Securities and Futures Appeals Panel

Chairman

Robert C Tang, SC, JP
(to 30.04.99)
Alan Hoo, SC (from 13.10.99)

Geoffrey T L Ma, SC
(from 13.10.99)

Members

Nicholas Allen
Iain Ferguson Bruce
Marvin Cheung, JP
Peter Clarke

Tim Freshwater
Patrick P T Fung, SC
Prof. Betty M F Ho
Dudley Leslie Harding
Rajat K Jindal
Roderick Woo, JP
Hon James P C Tien, JP
(to 30.04.99)

Deputy Chairman

Ronny K W Tong, SC
(to 30.04.99)

Takeovers and Mergers Panel

Chairman

Kevin Westley

Representatives of the Stock Exchange of Hong Kong

Alfred Li (from 01.04.99)
Frederick Ma

David Carse, JP
V-nee Yeh
David Blackett
Roger Moss (from 01.04.99)
Patrick Sun (from 01.04.99)

Deputy Chairman

Clifton Chiu

Executive Directors and Staff of SFC

Laura M Cha, JP
Mark Dickens
Andrew Procter

Representatives of Financial Institutions

Kevin Westley
Clifton Chiu
Stephen Clark
Ian Boyce
Liang Xiao Ting
Liu Chee Ming
Anthony Lo

Representatives of Other Constituencies

Henry H L Fan, JP
Edward Cheng
Angelina Lee
Teresa Ko
Clement Kwok
David Halperin
Nicholas Allen
David Lui (from 01.04.99)

Takeovers Appeal Committee

Chairman

Robert Tang, SC, JP

Members

Kevin Westley
Clifton Chiu
Alfred Li
Frederick Ma
Stephen Clark
Ian Boyce
Liang Xiao Ting
Liu Chee Ming
Anthony Lo
V-nee Yeh

Angelina Lee
David Carse, JP
Edward Cheng
Teresa Ko
Clement Kwok
David Halperin
Nicholas Allen
David Blackett
Roger Moss
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David Lui

Deputy Chairman

Ronny K W Tong, SC

Committee on Unit Trusts

Chairman

Andrew Procter

Alastair Murray
Stuart Leckie, JP
Prof. Edward K Y Chen, JP
David Lui

Murray Simpson (full member
from 01.09.99 to 15.01.00;
alternate member to 31.08.99)

Full Members

Paul Chow
(full member from 16. 01.00;
alternate member to 01.00)
Jonathan Hubbard

Andrew Lo
Joan Wong
Anna H Y Wu, JP
Bryan P K Chan
Desmond Chan (to 31.08.99)

Alternate Members

Roger Hepper (from 29.09.99)
Lin Yoke Seetoh
Paul Smith
Andrew Alexander

Investment-Linked Assurance and Pooled Retirement Funds Committee

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Anna H Y Wu, JP
Jonathan Hubbard
Nancy Chien (to 31.08.99)
Raymond Tam
Desmond Chan
Ros K T Lam (from 01.09.99)
Edward Lau (to 18.05.99)
Joan Wong

Alternate Members

Nicholas P E Scarlett
Rex Auyeung
Simon Ng (from 19.05.99)
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Full Members

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(from 19.05.99)
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Roderick Chalmers

Alec Tsui
David M Roberts

Futures Compensation Fund Committee

Chairman

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Members

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Roderick Chalmers

William D Grossman
(from 17.09.99)
Mark Ho
Robert B Gilmore (to 16.09.99)

Arbitration Panel under the Leveraged Foreign Exchange Trading Ordinance

Chairman

Anthony K T Yuen

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Davy Kwan Kwok Ki
Lam Yim Nam
Tommy Lee Cheuk Shun
Joseph Y W Pang

T H Suen
Ronald Tam Po Chiu
Wong Fook Yuen
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Deputy Chairman

P S Tam

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(Alternate: Laura M Cha, JP)

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Anthony Yeung
Stewart Shing
Hon James To

Peter Wong
Prof. Edward K Y Chen, JP
Cho Chi Ming
P M Kam
Anthony Neoh, SC, JP
Anna H Y Wu, JP
(to 31.07.99)
Desmond Chan
(to 31.07.99)

Alternate Members

Henry Law
Regina Ho
Alan Lee
K M Li
Murray Simpson
(to 31.12.99)

Academic Advisory Committee

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Prof. Stephen Y L Cheung

Prof. Tsang Shu Ki
Prof. Betty M F Ho
Prof. Chan Yuk Shee
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Management Committee

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Andrew Procter
David Stannard

Paul Bailey
Alexa Lam
Gerald Greiner
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Andrew L T Sheng, SBS, JP

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Henry H L Fan, JP

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Members

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Remuneration Committee

Chairman

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Andrew L T Sheng, SBS, JP
Laura M Cha, JP