



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
Aon Securities (Hong Kong) Limited	BIC537	Licensed Corporation	1, 4, 6
Arete Capital Asia Limited	BHY177	Licensed Corporation	1, 4
Avenue Family Office Limited	BHX735	Licensed Corporation	1, 4, 9
BestEx Asia Limited	BIC424	Licensed Corporation	1
Boardroom Share Registrars (HK) Limited	BHX828	Licensed Corporation	1
Brookfield Advisors (Hong Kong) Limited	BHX724	Licensed Corporation	1, 4
Chiyuan Capital Management Company Limited	BIE095	Licensed Corporation	4, 9
DeepBlue Global Investment Limited	BIK039	Licensed Corporation	4, 9
E-Belt Capital Limited	BHU664	Licensed Corporation	9
EJL Limited	BII497	Licensed Corporation	9
Grand Profit Financial Company Limited	BHK020	Licensed Corporation	4, 9
Hologram Capital Limited	BIM493	Licensed Corporation	6
Hummingbird Partners Limited	BIK042	Licensed Corporation	9
Joyee International Asset Management Co. Limited	BHV354	Licensed Corporation	9
JuniorChina (Hong Kong) Capital Management Co., Limited	BIC649	Licensed Corporation	9
Lego Securities Limited	BIE918	Licensed Corporation	1
Luk Fook Asset Management (HK) Limited	BAE825	Licensed Corporation	9
Precision Focus Asset Management Co. Limited	BIC696	Licensed Corporation	4, 9
Premier Management Limited	BIK036	Licensed Corporation	1, 4, 9
PuSu Capital Limited	BIJ555	Licensed Corporation	9
Quasar Securities Co., Limited	BHV239	Licensed Corporation	1
Red Sun Capital Limited	BHX435	Licensed Corporation	6
Rising Glory Finance Limited	BHZ321	Licensed Corporation	9
Sanston Investment (HK) Limited	BHO310	Licensed Corporation	9
Sino Wealth Asset Management Limited	BII431	Licensed Corporation	4, 9
Solomon JFZ (Asia) Holdings Limited	BIF175	Licensed Corporation	1
SSIF Capital Limited	BIJ642	Licensed Corporation	6
Success Advance Investments Limited	BIE076	Licensed Corporation	9
Sunfund Securities Limited	BHV050	Licensed Corporation	1
TCL Capital (Hong Kong) Limited	BHI134	Licensed Corporation	1, 4, 9
VMI Securities Limited	BIG265	Licensed Corporation	1
WL CAPITAL MANAGEMENT LIMITED	BIN929	Licensed Corporation	4, 9
Wonder Face Fund Management Company Limited	AAG754	Licensed Corporation	1, 4, 9
Wyndham Capital Management Limited	BIC600	Licensed Corporation	1, 4, 9
Yading Asset Management (Hong Kong) Limited	BIH004	Licensed Corporation	9
Zhongda (Hong Kong) Futures Limited	BIG323	Licensed Corporation	2
ALAVI Arash	BIS583	Licensed Representative	1, 6
ASKALANY Nermin	BIS597	Licensed Representative	1, 4
AU Fai Kit, Jeffrey	BIV452	Licensed Representative	1, 2, 3, 4
AU Hoi Lam	BIS875	Licensed Representative	1, 4
AU Lawrence	BIS389	Licensed Representative	4, 9
AU-YOUNG Hin Lap	BEA677	Licensed Representative	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
BANNISTER Richard John	BIS920	Licensed Representative	9
BARARD Joshua Raj	BIU546	Licensed Representative	1
BETSALEL Steven Michael	BIT466	Licensed Representative	9
BI Xiaoxia	BIV961	Licensed Representative	1, 4, 9
CAI Cheng	BIT444	Licensed Representative	1, 4, 9
CHAN Chung Hang Vincent	BAD373	Licensed Representative	1
CHAN Hung Fei	BIS869	Licensed Representative	4, 5, 6, 9
CHAN King	AXH382	Licensed Representative	1, 2
CHAN Lai Shan	BIS306	Licensed Representative	1
CHAN Lap Ming Castor	BIV286	Licensed Representative	1, 4
CHAN Leong Hei	BIV626	Licensed Representative	1
CHAN Shek Hong, Raymond	AEP119	Licensed Representative	1
CHAN Tze Wang	BIV167	Licensed Representative	6
CHAN Yat Wai	BIS579	Licensed Representative	1, 6
CHAN Yue Tak, Andrew	BIO509	Licensed Representative	1, 4
CHAU Tak-hei Timothy	BIR376	Licensed Representative	4
CHEN Kuan-Yu	BIM498	Licensed Representative	9
CHEN Lan	BAC304	Licensed Representative	1, 4, 9
CHEN Philip Chun-fu	BIU972	Licensed Representative	1, 4, 6
CHEN Shen	BIO834	Licensed Representative	4
CHEN Si	BIS449	Licensed Representative	6
CHEN Tzu-ming, Daphne	BIR629	Licensed Representative	1, 4
CHEN Xiao	BFC998	Licensed Representative	4, 9
CHEN Yaoqiang	BIR928	Licensed Representative	1, 2, 7
CHEN Yi Jung	BIS899	Licensed Representative	1, 2, 9
CHEN Yifei	BIU909	Licensed Representative	1, 4, 6
CHEN Ying Chih	BIB859	Licensed Representative	1
CHENG Juyuan	BIQ903	Licensed Representative	1, 7
CHEUK Chung Yan	BIV992	Licensed Representative	9
CHEUNG Cheuk Wing, Michael	ADU701	Licensed Representative	6
CHEUNG Hiu Kam	BIW525	Licensed Representative	2
CHEUNG Hoi Tim	BIT297	Licensed Representative	1, 2
CHEUNG Hoi Ting	BIR755	Licensed Representative	1, 4, 6, 9
CHEUNG Kar Yan Karina	AWD210	Licensed Representative	1
CHEUNG Ngar Tat Eddie	BIT527	Licensed Representative	9
CHIA Mei Teng	BIT290	Licensed Representative	1, 2
CHIA Pock Yuen, Eddie	AYT667	Licensed Representative	4, 9
CHILTON James Edward	BEJ496	Licensed Representative	9
CHIU Kwok Yan	BIW301	Licensed Representative	1, 4, 9
CHIU Man Hon	AAA833	Licensed Representative	1
CHIU Oi Yee, Miranda	BIS572	Licensed Representative	1, 4, 9
CHOI Janice Su Yun	AMN768	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOI Ka Hei, Karis	BIS951	Licensed Representative	6
CHONG Ka Tak	BIS549	Licensed Representative	1
CHOW Yin Ching	BGZ346	Licensed Representative	6
CHU Henry	BIR496	Licensed Representative	4
CHU Wai Kwan Elaine	ADX617	Licensed Representative	1, 4
CHUI Wing Hin	BIV832	Licensed Representative	1
COLLETT Michael James	AUB134	Licensed Representative	1, 4
DAY Donald Kurt	AUN917	Licensed Representative	2
DE DIONISIO Kyle Joseph	BIV145	Licensed Representative	1, 4, 9
DE SANTIS JR Joseph Frank	BIS913	Licensed Representative	9
DENG Xie	BIT809	Licensed Representative	4
DENG Xinhe	BIR278	Licensed Representative	4
DING Mingyuan	BIV620	Licensed Representative	1
DU Jinsong	AMF177	Licensed Representative	9
DU Yun	BIO707	Licensed Representative	1, 6
DVORAK Marketa	BIQ777	Licensed Representative	1, 4
EDELBERG Jeremy Ian	BIQ153	Licensed Representative	1, 2
EFSTATHOPOULOS Georgios	BIR869	Licensed Representative	4, 9
ERENRICH Jordan Scott	BIT138	Licensed Representative	1, 2
ERIKCI Serkan	BIS399	Licensed Representative	1, 4, 6
FENG Qi	AZS302	Licensed Representative	4, 9
FENG Yiyong	BIW362	Licensed Representative	9
FOK Chung Hin, Felix	ATO002	Licensed Representative	2
FOK Kwan Yu	BIR851	Licensed Representative	1, 4, 5, 9
FU Renzhi	BIU611	Licensed Representative	1
FU Ruowei	BIS660	Licensed Representative	1, 4, 6
FUNG Man Yat	BHG940	Licensed Representative	1
FUNG So Ching Jenny	BIV235	Licensed Representative	9
GREENAN Clare Rie	BIX611	Licensed Representative	1
GUO Chenggang	BIV430	Licensed Representative	9
GUO Yiting	BIQ865	Licensed Representative	1, 4, 9
HAN Chin Tang	AVH609	Licensed Representative	1
HATTORI Shoichi	BIR907	Licensed Representative	1
HE Minyi	BIS436	Licensed Representative	9
HE Xin	BDA090	Licensed Representative	1, 4
HO Cheuk Kei	BIS728	Licensed Representative	9
HO Sze Long	BAQ979	Licensed Representative	1, 4
HO Wai Tung Nellie	BIT010	Licensed Representative	1, 6
HO Wai Yin ViVian	BIO677	Licensed Representative	1, 4
HONG Yancheng	AVS886	Licensed Representative	1
HOU Yiyun	BIU737	Licensed Representative	1
HU Ting-hui	BIS578	Licensed Representative	1, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Bo	BIS266	Licensed Representative	4
HUANG Hailong	BIV168	Licensed Representative	1
HUANG Hao	BIW198	Licensed Representative	1, 2
HUI Kam Chuen	AQE523	Licensed Representative	1
HUI Wan Yi Winnie	BIR702	Licensed Representative	1
HUNG Yan Ying Alice	BIT668	Licensed Representative	9
HUXLEY Richard Stuart	BIR819	Licensed Representative	1, 2
ILDERTON Howard Graydon Rodney	AVZ288	Licensed Representative	1, 2
IOKIBE Jiro	BIN286	Licensed Representative	4
JAFFE Douglas Alexander	BIW007	Licensed Representative	9
JIANG Chenyao	BIU554	Licensed Representative	1
JIANG Jiali	BAE843	Licensed Representative	1, 6
JIANG Ting	BFL046	Licensed Representative	4, 9
JIANG Yongning	BIV237	Licensed Representative	9
KARAS Bernhard	ASW620	Licensed Representative	1, 4
KEUNG King Man	BIU647	Licensed Representative	1, 4, 9
KIM Boeun	BIQ132	Licensed Representative	1, 2
KOH Columbia	ATY202	Licensed Representative	4, 9
KOH Mun Hoe Terry	BIT498	Licensed Representative	9
KONG Chun Mui	BIV198	Licensed Representative	1, 4
KUO Shu Chen	BIV833	Licensed Representative	1, 2, 4, 5
KWAN Hiu Lam	BIW311	Licensed Representative	6
KWOK Chong Ching	BIR751	Licensed Representative	1
KWOK Man Man Mimi	BIS210	Licensed Representative	1
KWOK Marcus Chun Kue	BIS743	Licensed Representative	1, 4, 9
KWONG Jonas Seth	BIT210	Licensed Representative	6
KWONG Lee Ngar	BIT625	Licensed Representative	1, 2
LAI Kai Po	BIS734	Licensed Representative	1
LAI Kin Chung	AVV107	Licensed Representative	9
LAI Ming Wai	BIV244	Licensed Representative	9
LAM Bun Hei	BIS018	Licensed Representative	1
LAM Ho Suen	BIV222	Licensed Representative	4, 9
LAM Hoi Ying	BIT747	Licensed Representative	1, 4
LAM Kwong Ho	BIS542	Licensed Representative	1
LAM Shing Pong	BIT299	Licensed Representative	1, 4, 9
LAM Sui Hong	BIX243	Licensed Representative	1, 4
LAU Cheung Ka, Daniel	AIB406	Licensed Representative	4, 9
LAU Chi Yue, Tony	BIT150	Licensed Representative	1, 6
LAU Chin Sing	BIT653	Licensed Representative	1
LAU Ka Keung	BIT629	Licensed Representative	1
LAU Kai Fung, Edward	AUW118	Licensed Representative	1, 4
LAU Kam Tim	ABY921	Licensed Representative	1, 2, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Shuk Ting	BIT843	Licensed Representative	1, 4
LEE Ching	BIS552	Licensed Representative	1
LEE Chung-Han	BET671	Licensed Representative	1, 2
LEE Eric Joon Ho	BIV170	Licensed Representative	1
LEE Hill Tot, Teresa	ABE865	Licensed Representative	1
LEE Ka Yee	BIR670	Licensed Representative	1
LEE Kai Chung	BIV157	Licensed Representative	3
LEE Lina	BIR821	Licensed Representative	1
LEE Nim Chi	BIS765	Licensed Representative	1
LEE Siu San Rocky	AWC885	Licensed Representative	1, 4, 6
LEE Wai Kuen	BIT272	Licensed Representative	9
LEE Yin Wa	BEF451	Licensed Representative	1, 4, 9
LEGER Christian	BIQ600	Licensed Representative	1
LEUNG Chun Kit	BIV755	Licensed Representative	3
LEUNG Kelvin Yiu Chung	ASD279	Licensed Representative	4
LEX Christina	BIN831	Licensed Representative	1, 4, 9
LI Cheong Wing	BIS547	Licensed Representative	1
LI Chin	BIS577	Licensed Representative	1, 2
LI Kam Yu	BCS770	Licensed Representative	1, 4, 9
LI Lin	BIO011	Licensed Representative	1
LI Lucy Wen	BIR041	Licensed Representative	1, 4
LI Nok Man	BIR469	Licensed Representative	4
LI Siyi	BIO702	Licensed Representative	1, 6
LI Tsz Yan	BIW294	Licensed Representative	1, 4
LI Wenwen	BIS237	Licensed Representative	1, 4
LI Xiang	BIR374	Licensed Representative	4
LI Xingzhe	BIT236	Licensed Representative	6
LI Xuejiao	BIQ720	Licensed Representative	1, 4, 9
LI Yiming	BIS555	Licensed Representative	9
LI Ziye	BIN824	Licensed Representative	9
LIANG Yingchao	BIU540	Licensed Representative	6
LIAO Yunpeng	BIR872	Licensed Representative	4, 9
LIM Huijing Charlene	BIS127	Licensed Representative	1, 4, 6
LIN Hsi	ARD244	Licensed Representative	1
LIN Ye	BIT314	Licensed Representative	1, 9
LIU Guofei	BIS744	Licensed Representative	9
LIU Ka Lun, Alan	BIT462	Licensed Representative	1, 4
LIU Xiaohang	BIV279	Licensed Representative	1, 4, 9
LIU Yiyi	BIO217	Licensed Representative	6
LIU Yuxin	BIS265	Licensed Representative	1, 4
LIU Zhenyu	BAI289	Licensed Representative	1, 4, 9
LO Chia-wei	BIR666	Licensed Representative	2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LO Sam Shan	BIT275	Licensed Representative	6
LO Wai	BIS537	Licensed Representative	1
LOWE Sze Wai Vivian	AUZ869	Licensed Representative	4, 9
LU Jingjie	BGI267	Licensed Representative	1, 4, 9
LU Qing	BGP173	Licensed Representative	9
LU Xuefei	BIT148	Licensed Representative	1, 6
LU Yishi	BIW366	Licensed Representative	1
LU Yuting	BIO895	Licensed Representative	1, 6
LUK Siu Kay	BIR330	Licensed Representative	3
LUK Chi Kwan	BIS738	Licensed Representative	1, 4
LUK Ka Chi	BIT796	Licensed Representative	1, 4, 9
LUO Weiqing	BIT510	Licensed Representative	1, 4
MA Wenchang	BIT237	Licensed Representative	1, 4, 9
MAK Kwok King Jacky	BIW482	Licensed Representative	9
MAK Siu Chung	BIQ231	Licensed Representative	1, 4, 5, 9
MAK Yun Shum	BIR472	Licensed Representative	1
MAN Kwong Wai	BIR354	Licensed Representative	6
MASCOLO Fabiano Hugues Joseph	ANE267	Licensed Representative	1
MC NICHOLAS Daniel Patrick	ANQ141	Licensed Representative	1, 2
MICHELI Marjorie Estelle	BIS401	Licensed Representative	1
MOK Nga Woon Shirley	AVF163	Licensed Representative	1
MU Qiang	BIR245	Licensed Representative	4, 9
NAGUTHA Mohamed Faiz	BHX162	Licensed Representative	1, 4
NAKADA Leo	BHO425	Licensed Representative	9
NG Chi Chung	AXV289	Licensed Representative	4, 9
NG Hon Kei	BIT798	Licensed Representative	4, 9
NG Ka Ming	BIV393	Licensed Representative	1, 7
NG Michael William	AZD376	Licensed Representative	4, 5, 9
NG Sze Ting	BIW781	Licensed Representative	1, 2
NG Wah Keung Henry	BIW611	Licensed Representative	1, 2
NOBLE Richard Grant	BIU916	Licensed Representative	1, 4, 6
OOI Boon Sing	BBY029	Licensed Representative	1, 6
PAN Chenyue	AWE766	Licensed Representative	4
PANG Kai Fung	BIT662	Licensed Representative	1, 2, 7
PENG Han	BIS046	Licensed Representative	1
POON Chok Kei	BIR704	Licensed Representative	1, 2
POON Hei Yee	BIV824	Licensed Representative	6
POON Ting Ching, George	BIS082	Licensed Representative	1, 2, 9
PRATT Gary Richard	BIT767	Licensed Representative	9
PRESNICK Mitchell Adam	BIU559	Licensed Representative	4, 9
PRITCHARD Logan James	BIR395	Licensed Representative	9
PUN Chun Tung	BIT810	Licensed Representative	1, 2, 3, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
QI Hongji	BIS994	Licensed Representative	6
QIU Hui	BIV465	Licensed Representative	1, 2
ROBB Gordon Bjorn	BIQ332	Licensed Representative	1
RYU Soo Bin	BIN734	Licensed Representative	1, 2
SHAH Jennifer Sarah Clark	AUR660	Licensed Representative	1, 4
SHAM Cheuk Wai, Roah	AYW786	Licensed Representative	1
SHEN Xiayu	BIT656	Licensed Representative	9
SHENOI Padubidri Pramod	BIO472	Licensed Representative	1, 4, 6
SHI Lei	BIN298	Licensed Representative	9
SHUM Hiu Tung	BIT011	Licensed Representative	9
SHUM Yu Keung, Harris	BIR552	Licensed Representative	10
SIU King Hang	AGR732	Licensed Representative	1, 2, 4
SKOPOVI Ivan	BIL676	Licensed Representative	9
SO Wai Yee, Betty	AQC501	Licensed Representative	1, 4, 6, 9
SONG Wanhai	ASF632	Licensed Representative	1, 4, 9
SONG Zhengwei	BIR545	Licensed Representative	4
STALEY Todd Alan	BIV621	Licensed Representative	1, 4
SU Ta Chi	ARN517	Licensed Representative	9
SUEN Tsz Ching	BIT861	Licensed Representative	1, 2, 9
SUN Xiaoguang	AIC355	Licensed Representative	1, 4, 9
SUN Yijiang	BAQ096	Licensed Representative	1
SUN Yuen Han	AGN808	Licensed Representative	1, 2, 4, 9
SZE Ka Wo	BIV051	Licensed Representative	1, 4, 9
TAI Kwai Ting	AWZ164	Licensed Representative	1
TAM Ka Chun	BIS737	Licensed Representative	1
TANG Chit Yuen	BIT022	Licensed Representative	1, 6
TANG Choe Mui	AGE488	Licensed Representative	1
TANG Stanley Chak Wai	BIV794	Licensed Representative	2
TANG Yang	ATQ941	Licensed Representative	1
TANUJAYA TJIOENG Johnny	AWN206	Licensed Representative	1
TAO Chutian	BIV241	Licensed Representative	9
TIAN Lijun	BIV622	Licensed Representative	6
TO Wing Tung	BIJ686	Licensed Representative	1, 2
TO Yuen Ting	BIS544	Licensed Representative	1
TSAI John Jeng-Yow	BIV592	Licensed Representative	4, 9
TSANG Hing Lun	AEP115	Licensed Representative	1, 2, 4, 5, 9
TSANG Hoi Lam Anne	BIT411	Licensed Representative	1, 2
TSANG Yin Ping	BIW501	Licensed Representative	1
TSE Ho Fai	BIT531	Licensed Representative	9
TSOI Kuok Fai	BIS042	Licensed Representative	2
TSUI Chi Kuen, Abe	BDD735	Licensed Representative	1, 4
TSUI Lok Hei	BCZ605	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
UMEZAWA Naoto	BIW833	Licensed Representative	9
VIJ Arjun	BIT015	Licensed Representative	4, 5, 9
WAITE Nicholas Andrew	BIV252	Licensed Representative	9
WAN Cheuk Ho	AQQ371	Licensed Representative	1, 2
WANG Jian	BIP990	Licensed Representative	6
WANG Jue	BIS260	Licensed Representative	1, 6
WANG Kewei	BIO273	Licensed Representative	4, 9
WANG Ting	BIR267	Licensed Representative	2
WANG Vanessa	BIS581	Licensed Representative	1, 4, 9
WANG Wing Yee	BIW787	Licensed Representative	1, 2
WANG Xuejun	BIT770	Licensed Representative	1, 2
WANG YANG	BIT765	Licensed Representative	4
WANG Yao	BAE170	Licensed Representative	1, 4, 6
WANG Yerui	BIO296	Licensed Representative	1, 6
WANG Yuchan	BIT774	Licensed Representative	6
WANG Yu-chu	BIT506	Licensed Representative	1
WANG Yuxin	BIN996	Licensed Representative	4
WEI Panwang	BIJ804	Licensed Representative	1
WONG Benny	BIT228	Licensed Representative	4, 9
WONG Cheung Fai	AFK825	Licensed Representative	1, 4, 9
WONG Ho Keung	BIV025	Licensed Representative	1
WONG Hoi Man	BIX227	Licensed Representative	1
WONG Hong Ming	BIN600	Licensed Representative	1, 4, 9
WONG Hung Yuk	AMS721	Licensed Representative	1
WONG Kam Fai, Kelvin	BIV306	Licensed Representative	1
WONG Keith Shing Cheung	BIN826	Licensed Representative	9
WONG Pak Nin	BIR359	Licensed Representative	6
WONG Pearl	BIV625	Licensed Representative	1
WONG Pik Shan	BIT649	Licensed Representative	1
WONG Shing Pok	BIV182	Licensed Representative	4, 9
WONG Tsz Ping, Barbara	AFF229	Licensed Representative	1, 4, 9
WONG Wesley Tze-ho	BIQ523	Licensed Representative	1
WONG Wing Ming	BIW234	Licensed Representative	1, 4, 9
WOO Sai Man, Stephen	BIL894	Licensed Representative	1
WU Dongmei	BIO022	Licensed Representative	1
WU Jieli	BIV239	Licensed Representative	9
WU Yijun	BDA182	Licensed Representative	1, 4
WU Zhicheng	BIT202	Licensed Representative	6
XIANG Yanzhou	BIW345	Licensed Representative	1, 4, 6
XIAO Bin	BFD309	Licensed Representative	1, 4
XIAO Han	BIV459	Licensed Representative	1, 2, 4, 9
XIAO Xiruo	BIW247	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIE Jinchi	BIR549	Licensed Representative	1
XIE Rudong	BIQ227	Licensed Representative	4, 9
XING Hongyuan	BIO301	Licensed Representative	1, 6
XU Wei	AZN499	Licensed Representative	1
XU Yani	BIR465	Licensed Representative	1
YAM Chung Wai	BIV276	Licensed Representative	1, 2, 3
YANG He	BIX772	Licensed Representative	1
YANG Yang	BIV557	Licensed Representative	1, 6
YANG Yi-cheng	BIU604	Licensed Representative	1, 4, 9
YANG Yue	BGW794	Licensed Representative	1
YAO Shaohua	AWK450	Licensed Representative	4
YEE Jun Jie Mervyn	BIS559	Licensed Representative	4
YEUNG Ching Ching	BIT555	Licensed Representative	1
YEUNG Ho Yan	BIU609	Licensed Representative	1
YIN Ge	BIV624	Licensed Representative	1
YIN Qi	BIS959	Licensed Representative	6
YIP Ming Wong	BIS729	Licensed Representative	1, 4
YOU Yaohui	BIR511	Licensed Representative	1
YU Chun Kit	BIV597	Licensed Representative	6
YU Claudia	BIR241	Licensed Representative	1, 2
YU Kai Yin, Kenny	BIR929	Licensed Representative	1
YU Lok Man	BIT260	Licensed Representative	9
YUAN Hao	AUD136	Licensed Representative	1, 4, 6
YUAN Ke	BIV268	Licensed Representative	1, 6
YUAN Ye	BIT667	Licensed Representative	4, 9
YUEN Ming Fai	ABR709	Licensed Representative	1, 4
YUNG Kwok Wah, Clarence	BIR360	Licensed Representative	9
ZENG Shusheng	BGX457	Licensed Representative	1
ZHAI Jia	APH926	Licensed Representative	1, 6
ZHANG Jing	BIT286	Licensed Representative	1
ZHANG Jingwen	BIQ724	Licensed Representative	1, 4
ZHANG Philippe Jianhong	BIS079	Licensed Representative	9
ZHANG Tianze	BIR938	Licensed Representative	2, 5
ZHANG Yifan	BIR398	Licensed Representative	4, 9
ZHANG Yuting	BIV876	Licensed Representative	2
ZHAO Dazhen	BIO846	Licensed Representative	4
ZHAO Shang	BIV189	Licensed Representative	6
ZHAO Ziqing	BIV817	Licensed Representative	1, 4, 9
ZHENG Fang	BIV399	Licensed Representative	1, 2
ZHENG Tao	BIQ896	Licensed Representative	4, 9
ZHOU Xi	BIR892	Licensed Representative	3
ZHU Jiayuan	BIU924	Licensed Representative	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHU Zhenyu	BIN551	Licensed Representative	1, 2
ZHUANG Hanxiang	BIS015	Licensed Representative	1, 4, 9
AU Yiu Fai	AFJ091	Licensed Representative - Responsible Officer	4, 9
BAI Shaonan	AWV318	Licensed Representative - Responsible Officer	2
CHAN Cliff Wai Kin	ADZ606	Licensed Representative - Responsible Officer	1
CHAN Justin Sano	AFY829	Licensed Representative - Responsible Officer	1, 6
CHAN Kin Wai	AOS331	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Lai Yin	BIV615	Licensed Representative - Responsible Officer	4, 9
CHAN Siu Mui, Ada	ATT238	Licensed Representative - Responsible Officer	1
CHAU Kwan Kit	ARB165	Licensed Representative - Responsible Officer	1, 6
CHAU Shing Yip Colin	BHP289	Licensed Representative - Responsible Officer	4, 9
CHEN Chung Nin, Rock	AIE121	Licensed Representative - Responsible Officer	1, 4, 9
CHEN Xueqian	AWP698	Licensed Representative - Responsible Officer	9
CHEUNG Chor Yu	AJE761	Licensed Representative - Responsible Officer	1, 2
CHEUNG Tommy Tin Lok	AFE195	Licensed Representative - Responsible Officer	4, 9
CHOI Sijin	BIQ117	Licensed Representative - Responsible Officer	9
CHOI Sungjin	BIM463	Licensed Representative - Responsible Officer	4, 9
DAY Donald Kurt	AUN917	Licensed Representative - Responsible Officer	1
DU Hequn	AOJ863	Licensed Representative - Responsible Officer	1
FU Ming Hon	APH718	Licensed Representative - Responsible Officer	1
HAU Bun Ho	ASL913	Licensed Representative - Responsible Officer	9
HO Chit Ting	BIP998	Licensed Representative - Responsible Officer	1, 4, 9
HONG Xiaoping	AQJ449	Licensed Representative - Responsible Officer	6
HU Yao Yuan	AZV962	Licensed Representative - Responsible Officer	1, 4, 9
HUANG Rui	AOA886	Licensed Representative - Responsible Officer	1, 4, 6
HUNG Wing Sze, Cecilia	ARS096	Licensed Representative - Responsible Officer	9
LAI Koon Ho	ALB158	Licensed Representative - Responsible Officer	1
LASHINSKI Edward William	BHZ325	Licensed Representative - Responsible Officer	9
LAU Pui Kit	AMN578	Licensed Representative - Responsible Officer	1, 9
LEE Chak Man Edward	BHO953	Licensed Representative - Responsible Officer	1, 4, 9
LEEWORTHY Terence Vincent	BIJ386	Licensed Representative - Responsible Officer	1, 4
LEI Ming	BII078	Licensed Representative - Responsible Officer	2
LEUNG Wai Kee	ANF250	Licensed Representative - Responsible Officer	1
LI Minghuang	BIC836	Licensed Representative - Responsible Officer	9
LI Patrick	ALI101	Licensed Representative - Responsible Officer	1, 4, 9
LI Sau Han, Ester Joyse	AAV369	Licensed Representative - Responsible Officer	9
LI Xiaoting	AVS858	Licensed Representative - Responsible Officer	1, 6
LI Yu	BIJ023	Licensed Representative - Responsible Officer	9
LIANG Fei	BIL390	Licensed Representative - Responsible Officer	9
LIN Ka Ho	AMR825	Licensed Representative - Responsible Officer	9
LIU Xin	BFZ385	Licensed Representative - Responsible Officer	4, 9
LUNG Ada Lai Qing	AEV354	Licensed Representative - Responsible Officer	1, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
MA Hin Lap	BGH888	Licensed Representative - Responsible Officer	1
PIETERSZ Sriyan Mario De Zoysa	BIS587	Licensed Representative - Responsible Officer	1
POON Leung Man	AAC300	Licensed Representative - Responsible Officer	1, 4, 9
QU Dan	BIC626	Licensed Representative - Responsible Officer	1, 4, 6
SCHULTZ Paul Thomas	BIC627	Licensed Representative - Responsible Officer	1, 4, 6
SERVAIS Gautier J.D.	BFO128	Licensed Representative - Responsible Officer	2
SHEN Hua	BII093	Licensed Representative - Responsible Officer	2
SHEN Weifen	BHX436	Licensed Representative - Responsible Officer	9
SIU Chun Man	AWH255	Licensed Representative - Responsible Officer	1, 4
SO Hon Wai, Ignatius	AMF449	Licensed Representative - Responsible Officer	1, 4, 9
SONG Bingshan	BIC660	Licensed Representative - Responsible Officer	9
SUH Eugene Won	BIK665	Licensed Representative - Responsible Officer	4
SUN Fei	BHK948	Licensed Representative - Responsible Officer	1, 4, 9
SUTCH Jeremy Rupert Peter	ADB510	Licensed Representative - Responsible Officer	1
WALSH Ashley Paul Jeffery James	BDX251	Licensed Representative - Responsible Officer	1, 2
WANG Weidong	BHX438	Licensed Representative - Responsible Officer	9
WEINBERG Phillip Aaron	BIC624	Licensed Representative - Responsible Officer	1
WONG Chi Wai, Vincent	AAJ396	Licensed Representative - Responsible Officer	1
WONG Ka Lok	AQX394	Licensed Representative - Responsible Officer	1, 4, 9
WONG Yeuk Wing, Cindy	AMU318	Licensed Representative - Responsible Officer	1, 4, 9
Wong Yu Lung	ARG279	Licensed Representative - Responsible Officer	9
WU Qiang	ADT384	Licensed Representative - Responsible Officer	6
YANG Tsu-wei	AEB508	Licensed Representative - Responsible Officer	1
YAO Sheng	ALL051	Licensed Representative - Responsible Officer	9
ZHANG Lixin	AZX671	Licensed Representative - Responsible Officer	1, 4, 9
ZHANG Xiaohan	BHF541	Licensed Representative - Responsible Officer	2
ANUGERAH Billy	BIY120	Licensed Representative (Provisional Licence)	1
AU Fai Kit, Jeffrey	BIV452	Licensed Representative (Provisional Licence)	1, 2, 4
AU Wai Hay Ambrose	BIZ164	Licensed Representative (Provisional Licence)	2, 5
BAI Xiaolan	BIY887	Licensed Representative (Provisional Licence)	4, 9
BARARD Joshua Raj	BIU546	Licensed Representative (Provisional Licence)	1
BERTOCCI Bruno	AAL326	Licensed Representative (Provisional Licence)	1, 4
BI Jia	BIZ157	Licensed Representative (Provisional Licence)	1, 9
BI Xiaoxia	BIV961	Licensed Representative (Provisional Licence)	1, 4, 9
CAO John	AKW734	Licensed Representative (Provisional Licence)	6
CHAN Chi Chiu	BIX006	Licensed Representative (Provisional Licence)	2
CHAN Ching Sum	BIY455	Licensed Representative (Provisional Licence)	1, 2
CHAN Chun Yin Christopher	ASO895	Licensed Representative (Provisional Licence)	1, 4
CHAN Chung Wan	AJD779	Licensed Representative (Provisional Licence)	9
CHAN Ki Fung	BIX630	Licensed Representative (Provisional Licence)	1, 6
CHAN Tsz Kin	BIY015	Licensed Representative (Provisional Licence)	4, 9
CHAN Yat Wai	BIZ508	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Yau Kwan	BIY784	Licensed Representative (Provisional Licence)	1, 2, 3, 4
CHAN Yu Fan	BIV564	Licensed Representative (Provisional Licence)	1, 2
CHANG Yun-Wei	BIZ266	Licensed Representative (Provisional Licence)	1
CHARK Chi Fai	BIY780	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Bingyu	BIX773	Licensed Representative (Provisional Licence)	4
CHEN Philip Chun-fu	BIU972	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Sibo	BIY890	Licensed Representative (Provisional Licence)	1
CHEN Xi	BFZ506	Licensed Representative (Provisional Licence)	1, 2
CHEN Yue	BAA910	Licensed Representative (Provisional Licence)	1, 6
CHEN Zheng	BIW237	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Clement Kin Kwan	AQG415	Licensed Representative (Provisional Licence)	1, 2
CHENG Haiwen	BIN077	Licensed Representative (Provisional Licence)	1, 4
CHENG Ka Wai	BIX841	Licensed Representative (Provisional Licence)	1
CHENG Ka Yi Kate	BIW227	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG King Hin	BIX473	Licensed Representative (Provisional Licence)	9
CHEUNG Kar Yan Karina	AWD210	Licensed Representative (Provisional Licence)	1
CHEUNG Maureen	BIY666	Licensed Representative (Provisional Licence)	1, 6
CHONG Yeh Ling	BIW328	Licensed Representative (Provisional Licence)	1, 4, 9
CHOU Harnng Shy	BIX560	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHOW Chun Yin	BIV568	Licensed Representative (Provisional Licence)	1, 2
CHOW Kit Fung	BIX025	Licensed Representative (Provisional Licence)	9
CHU Ming Li	BIW568	Licensed Representative (Provisional Licence)	1, 2
CHU Wai Kwan Elaine	ADX617	Licensed Representative (Provisional Licence)	1, 4
COONEY Dion	BIY269	Licensed Representative (Provisional Licence)	1, 7
CORNWALL Ross Douglas	BIX011	Licensed Representative (Provisional Licence)	1
CURRAN Louis John	BIV874	Licensed Representative (Provisional Licence)	9
DACEY Meghan Alice	BIZ383	Licensed Representative (Provisional Licence)	1, 2, 4, 5
DARGIE Peter Clarke	BEO577	Licensed Representative (Provisional Licence)	1, 2
DE WAARD Ferdinand	ASM100	Licensed Representative (Provisional Licence)	2
DENG Yuanyuan	BIZ022	Licensed Representative (Provisional Licence)	1, 6
DING Mingyuan	BIV620	Licensed Representative (Provisional Licence)	1
DONG Chunchun	BBZ411	Licensed Representative (Provisional Licence)	1, 2, 4
DU Wenzhuo	BHB625	Licensed Representative (Provisional Licence)	4
DUAN Bing	BIW571	Licensed Representative (Provisional Licence)	4
ERENRICH Jordan Scott	BIT138	Licensed Representative (Provisional Licence)	1, 2
ERIKCI Serkan	BIS399	Licensed Representative (Provisional Licence)	1, 4, 6
FAN Suey-hueng Adam	BIT526	Licensed Representative (Provisional Licence)	1, 2
FENG Qi	AZS302	Licensed Representative (Provisional Licence)	4, 9
FENG Qiyun	BCH639	Licensed Representative (Provisional Licence)	9
FENG Xianjie	BIY695	Licensed Representative (Provisional Licence)	6
FENG Yang	BIX445	Licensed Representative (Provisional Licence)	1, 4, 9
FERNS Stewart Kenneth	AQP587	Licensed Representative (Provisional Licence)	9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
FOK Chung Hin, Felix	ATO002	Licensed Representative (Provisional Licence)	2
FULWOOD Alice Mary	BIX787	Licensed Representative (Provisional Licence)	4
GE Zi	BIX028	Licensed Representative (Provisional Licence)	1, 6
GIBB Richard Boyce Massey	ANG128	Licensed Representative (Provisional Licence)	6
GREENAN Clare Rie	BIX611	Licensed Representative (Provisional Licence)	1
GU Yinmin	BIW823	Licensed Representative (Provisional Licence)	1, 4
GU Yumeng	BIV321	Licensed Representative (Provisional Licence)	6
GUO Jianfang	BIY948	Licensed Representative (Provisional Licence)	1, 6
HAMADA George Go	BIY653	Licensed Representative (Provisional Licence)	1
HAN Carey	BIS571	Licensed Representative (Provisional Licence)	1, 2
HEDTKE Nathan Harrington	ARG770	Licensed Representative (Provisional Licence)	1, 2
HO Hsin Jung	BIX302	Licensed Representative (Provisional Licence)	4
HO See Pui, Christie	BIV192	Licensed Representative (Provisional Licence)	4, 6, 9
HO Sek Yan	BIW569	Licensed Representative (Provisional Licence)	1, 4
HONDA Kazuma	BIY261	Licensed Representative (Provisional Licence)	1, 2
HONG Moon Hee	BIW969	Licensed Representative (Provisional Licence)	1, 4
HONG Sang Jin	BIW989	Licensed Representative (Provisional Licence)	1
HU Bin	BHF818	Licensed Representative (Provisional Licence)	1, 6
HU Zheng	BIY894	Licensed Representative (Provisional Licence)	1, 4
HUANG Hao	BIW198	Licensed Representative (Provisional Licence)	1, 2
IAN Ho On	BIX779	Licensed Representative (Provisional Licence)	1, 2
JOSHI Sujeet	BIW779	Licensed Representative (Provisional Licence)	1, 4
KAPADIA Amit Damodar	BIW068	Licensed Representative (Provisional Licence)	9
KERRIGAN Daniel Burke	BIZ540	Licensed Representative (Provisional Licence)	1, 2
KEUNG King Man	BIU647	Licensed Representative (Provisional Licence)	1, 4, 9
KIM Boeun	BIQ132	Licensed Representative (Provisional Licence)	1, 2
KIM Yong Chan	AIH348	Licensed Representative (Provisional Licence)	1, 2
KIMIJIMA Satoshi	BHX449	Licensed Representative (Provisional Licence)	1, 2
KOGA Shigeru	BIZ035	Licensed Representative (Provisional Licence)	1, 2
KOH Mun Hoe Terry	BIT498	Licensed Representative (Provisional Licence)	9
KONG Brian	BDZ945	Licensed Representative (Provisional Licence)	1, 2
KUK Wing Yee	BIZ359	Licensed Representative (Provisional Licence)	9
KULKARNI Amit Chandramohan	BIW790	Licensed Representative (Provisional Licence)	1, 2
KUO Shu Chen	BIV833	Licensed Representative (Provisional Licence)	1, 2, 4, 5
KWAN Kin Shing	BIX408	Licensed Representative (Provisional Licence)	1, 4, 9
KWONG Chun Tak	BIX610	Licensed Representative (Provisional Licence)	6
LACAN Maxime Anthony Gregory	BIW478	Licensed Representative (Provisional Licence)	2
LAI Ho Chuen	BIX782	Licensed Representative (Provisional Licence)	1, 2
LAM Chun Kit	BHW991	Licensed Representative (Provisional Licence)	1, 6
LAM Hay Tak	AXE899	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Hei Yu Beatrice	BIW782	Licensed Representative (Provisional Licence)	4
LAM Wing Kit	BIY838	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Ching Yan Ada	BIY756	Licensed Representative (Provisional Licence)	4, 5
LAU Ka Ki	BIX988	Licensed Representative (Provisional Licence)	4, 9
LAU Tik Lun	BIY478	Licensed Representative (Provisional Licence)	1
LAU Tsang	AQF574	Licensed Representative (Provisional Licence)	4, 9
LAU Yau Fong	AEY478	Licensed Representative (Provisional Licence)	1
LAU Yu Wa	BIZ182	Licensed Representative (Provisional Licence)	6
LAW Chui Shan, Clara	AMI503	Licensed Representative (Provisional Licence)	1
LAW Tik Hong	ARE550	Licensed Representative (Provisional Licence)	1
LAW Vanessa	BIY620	Licensed Representative (Provisional Licence)	1, 2
LEE Cheuk Hei	BIW515	Licensed Representative (Provisional Licence)	1
LEE Chung-Han	BET671	Licensed Representative (Provisional Licence)	1, 2
LEE Jin Young	BFN399	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Jung Il	BIY104	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Ka Hing	BIZ578	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LEE Ko-Shun	BIZ374	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LEE On Yi	BIX820	Licensed Representative (Provisional Licence)	1, 4
LEE Sze Long, Sharon	BIX563	Licensed Representative (Provisional Licence)	1, 4
LEE Ying Yee	BIY684	Licensed Representative (Provisional Licence)	1, 6
LEUNG Dicksion	BIZ269	Licensed Representative (Provisional Licence)	9
LEUNG Hoi Yuet	BFN834	Licensed Representative (Provisional Licence)	1, 2, 4
LEUNG Ka Man Norman	BIY835	Licensed Representative (Provisional Licence)	1, 4
LEUNG Mei Shan	BIW785	Licensed Representative (Provisional Licence)	1, 2
LEUNG Pui Yin	BIY656	Licensed Representative (Provisional Licence)	6
LEUNG Sandy So Kam	AHC209	Licensed Representative (Provisional Licence)	9
LEUNG SHEUNG BUN	BIW863	Licensed Representative (Provisional Licence)	4
LEUNG Wai Shing Vincent	BIX259	Licensed Representative (Provisional Licence)	10
LI Hiu Lam	BIY475	Licensed Representative (Provisional Licence)	4, 9
LI Jing	BIZ542	Licensed Representative (Provisional Licence)	10
LI Longjin	BIY462	Licensed Representative (Provisional Licence)	1, 4
LI Muyun	BIY769	Licensed Representative (Provisional Licence)	1, 6
LI Na	BIY841	Licensed Representative (Provisional Licence)	4, 9
LI Ran	BIZ040	Licensed Representative (Provisional Licence)	1, 4
LI Ranran	BIW221	Licensed Representative (Provisional Licence)	1, 6
LI Runnan	BIZ046	Licensed Representative (Provisional Licence)	1, 6
LI Shangjun	BIW784	Licensed Representative (Provisional Licence)	4
LI Wai Shun	ATT781	Licensed Representative (Provisional Licence)	9
LI Yifan	BIW783	Licensed Representative (Provisional Licence)	4
LIAN Bo	BBM345	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Jieyi	BIZ376	Licensed Representative (Provisional Licence)	1, 2
LIN Chi Hing	BIY468	Licensed Representative (Provisional Licence)	1, 6
LIN Lylette	BIZ583	Licensed Representative (Provisional Licence)	2, 5
LIN Miaorong	BIX433	Licensed Representative (Provisional Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Xiaoxiang	BIY895	Licensed Representative (Provisional Licence)	1, 4
LIN Xiaying	BIX840	Licensed Representative (Provisional Licence)	4
LIU Zhiyang	BIZ274	Licensed Representative (Provisional Licence)	1, 6
LIU Muqi	BIY598	Licensed Representative (Provisional Licence)	10
LIU Tianshu	BIZ149	Licensed Representative (Provisional Licence)	4
LIU Ying-Hsiao	BIW956	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Zhenyu	BAI289	Licensed Representative (Provisional Licence)	1, 4, 9
LO Tak Shun, Dixon	ACZ589	Licensed Representative (Provisional Licence)	1, 2, 9
LU Yiwen	BIY787	Licensed Representative (Provisional Licence)	1, 2
LUI Wing Tsang	BIW830	Licensed Representative (Provisional Licence)	1, 2
LUNDY Travis Stuart	AOH253	Licensed Representative (Provisional Licence)	4, 9
MA Jinxuan	BIU772	Licensed Representative (Provisional Licence)	1, 4, 6
MA Xiaoxuan	BIX808	Licensed Representative (Provisional Licence)	1, 4
MATHUR Sanjay	ANX754	Licensed Representative (Provisional Licence)	10
MIAO Wenyan	BIX740	Licensed Representative (Provisional Licence)	1, 2
MIHAJLOVSKI Martin	BIX026	Licensed Representative (Provisional Licence)	2
MISRA Nityanand	ATO881	Licensed Representative (Provisional Licence)	1, 2, 7
MOK Siu Hong	BIY801	Licensed Representative (Provisional Licence)	1
MUKHERJEE Aniruddha	BIX023	Licensed Representative (Provisional Licence)	1, 2, 7
NAGUTHA Mohamed Faiz	BHX162	Licensed Representative (Provisional Licence)	1, 4
NAKABAYASHI Yuji	BIX277	Licensed Representative (Provisional Licence)	1, 4, 6
NG Chor Sum Sam	AYT391	Licensed Representative (Provisional Licence)	1, 4, 6
NG Hoi Yan	AOJ449	Licensed Representative (Provisional Licence)	1
NG Hon Man	BBX599	Licensed Representative (Provisional Licence)	1, 6
NG Ka Ming	BIV393	Licensed Representative (Provisional Licence)	1, 7
NG Kai Pong	AOB433	Licensed Representative (Provisional Licence)	1, 6
NG Mei Ching	BIX582	Licensed Representative (Provisional Licence)	1
NG Sheuk Sze, Felix	BIW056	Licensed Representative (Provisional Licence)	6
NG Wah Keung Henry	BIW611	Licensed Representative (Provisional Licence)	1, 2
NG Wing Chi	AME752	Licensed Representative (Provisional Licence)	1, 4
NING Bo	BIZ024	Licensed Representative (Provisional Licence)	1, 6
NOBLE Richard Grant	BIU916	Licensed Representative (Provisional Licence)	1, 4, 6
NOMURA Kan	AIU759	Licensed Representative (Provisional Licence)	1, 4
ON Ka Kin	BIW853	Licensed Representative (Provisional Licence)	1
OOI Pei Yi	BGQ919	Licensed Representative (Provisional Licence)	2, 5
PANG Chi Hin	BIY476	Licensed Representative (Provisional Licence)	1
PANG Ka Yin	APP351	Licensed Representative (Provisional Licence)	1, 4, 6
PAU Wing Yan	BIW629	Licensed Representative (Provisional Licence)	1, 2
QIN Bo	BIW606	Licensed Representative (Provisional Licence)	1, 4, 6
RACCIO Jeremy E	BIV596	Licensed Representative (Provisional Licence)	1, 4
RAMIREZ Carlo Maria	AIS071	Licensed Representative (Provisional Licence)	1, 2
REUTERSWAERD Carl Adrian	BIX053	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
ROMERO LEON Luisa Fernanda	BIY020	Licensed Representative (Provisional Licence)	1, 4
RUAN Baigang	BIZ378	Licensed Representative (Provisional Licence)	1, 4, 9
SANG Zijing	BIX210	Licensed Representative (Provisional Licence)	1, 6
SANGHAVI Maulik Jitendra	BIW816	Licensed Representative (Provisional Licence)	1, 2, 7
SHEK Yeung, Eric	BIY663	Licensed Representative (Provisional Licence)	1, 4
SHEN Liyi	BIZ353	Licensed Representative (Provisional Licence)	1, 4
SHI Lei	BIN298	Licensed Representative (Provisional Licence)	9
SHUM Chi Ho, Elton	BIX212	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
SI Tingting	AYB999	Licensed Representative (Provisional Licence)	4
SING Dominique Ho	BIZ112	Licensed Representative (Provisional Licence)	4, 9
SIT Hon	BIX237	Licensed Representative (Provisional Licence)	9
SIU King Hang	AGR732	Licensed Representative (Provisional Licence)	1, 2, 4
SO Sik Yi	AGL773	Licensed Representative (Provisional Licence)	1
SO Suet Lam	BDH015	Licensed Representative (Provisional Licence)	1, 4, 6
SONG Sijia	BIY897	Licensed Representative (Provisional Licence)	1, 6
SONG Ting	BIV964	Licensed Representative (Provisional Licence)	1, 4
SONG Wanhai	ASF632	Licensed Representative (Provisional Licence)	1, 4, 9
SU Dan	BIY101	Licensed Representative (Provisional Licence)	9
SU Darong	BIV798	Licensed Representative (Provisional Licence)	1, 2
SZOT Jeffrey Michael	BIZ192	Licensed Representative (Provisional Licence)	1, 2
TAM Evon	AQE004	Licensed Representative (Provisional Licence)	1
TAM Po Wing	BIZ184	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Chung Hei	BIN853	Licensed Representative (Provisional Licence)	4
TANG Jurong	BIZ375	Licensed Representative (Provisional Licence)	1
TANG Shing Pan	BIW840	Licensed Representative (Provisional Licence)	1, 4
TANG Stanley Chak Wai	BIV794	Licensed Representative (Provisional Licence)	2
TERADA Motohiko	BIW601	Licensed Representative (Provisional Licence)	6
TING Chak Sum	AOW918	Licensed Representative (Provisional Licence)	1
TJON Hui Ya Janice	BIY425	Licensed Representative (Provisional Licence)	1
TO Chak Shun	BIY850	Licensed Representative (Provisional Licence)	1
TO Jun For	BIZ551	Licensed Representative (Provisional Licence)	1, 4
TO Kai Ming	BIX262	Licensed Representative (Provisional Licence)	9
TO Yuen Ching	BIW630	Licensed Representative (Provisional Licence)	1
TSAI John Jeng-Yow	BIV592	Licensed Representative (Provisional Licence)	4, 9
TSANG Chi Sheng Jason	BIY954	Licensed Representative (Provisional Licence)	1, 6
TSANG Kin Bun	AXP214	Licensed Representative (Provisional Licence)	1
TSANG Yin Ping	BIW501	Licensed Representative (Provisional Licence)	1
TSUI Tung Hang	BIY626	Licensed Representative (Provisional Licence)	1, 2
UMEZAWA Naoto	BIW833	Licensed Representative (Provisional Licence)	9
VINCELLI Stefano	BDH346	Licensed Representative (Provisional Licence)	1
WAITE Nicholas Andrew	BIV252	Licensed Representative (Provisional Licence)	9
WAN Cheuk Pong	BIX392	Licensed Representative (Provisional Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
WAN Yim Ting	BIY857	Licensed Representative (Provisional Licence)	1
WANG Chuan	BIW808	Licensed Representative (Provisional Licence)	1, 6
WANG Fang	BIY226	Licensed Representative (Provisional Licence)	4, 9
WANG Meng	BIW988	Licensed Representative (Provisional Licence)	6
WANG Shuaifan	BIW766	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yiren	BIO041	Licensed Representative (Provisional Licence)	1, 2
WEI Xiao	BIX272	Licensed Representative (Provisional Licence)	9
WONG Chun Lung	BIW983	Licensed Representative (Provisional Licence)	2
WONG Hoi Man	BIX227	Licensed Representative (Provisional Licence)	1
WONG Jee Chung	BIW480	Licensed Representative (Provisional Licence)	4
WONG Justin Chinwo	BIY246	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Kit Wing	BIW560	Licensed Representative (Provisional Licence)	1
WONG Lik Lung Janice Erika	BIW590	Licensed Representative (Provisional Licence)	1, 2
WONG Qiao Yi Jephine Tania	BIY972	Licensed Representative (Provisional Licence)	1, 2
WONG Tin Lok, Stella	BIV289	Licensed Representative (Provisional Licence)	1, 6
WONG Wing Kai	BIZ042	Licensed Representative (Provisional Licence)	6
WONG Yee Wai	AOR454	Licensed Representative (Provisional Licence)	4
WU Qingyan	BIW801	Licensed Representative (Provisional Licence)	1, 6
WU Rui	BIY113	Licensed Representative (Provisional Licence)	1, 4
WU Xiaoran	BIW733	Licensed Representative (Provisional Licence)	1, 4, 6
WU Yiwen	BIW812	Licensed Representative (Provisional Licence)	4
XIA Wei	BIX413	Licensed Representative (Provisional Licence)	4, 9
XIANG Yanzhou	BIW345	Licensed Representative (Provisional Licence)	1, 4, 6
XIAO Xiruo	BIW247	Licensed Representative (Provisional Licence)	1, 6
XU Peijiu	BIW798	Licensed Representative (Provisional Licence)	4
XU Qing	BIW805	Licensed Representative (Provisional Licence)	4
XU Qing	BIY244	Licensed Representative (Provisional Licence)	4, 9
XU Ting	BIX777	Licensed Representative (Provisional Licence)	1, 2
XUAN Xuan	BIX220	Licensed Representative (Provisional Licence)	1, 6
YAM Hiu Ping, Bruce	AMQ792	Licensed Representative (Provisional Licence)	3
YANG Han	BIX762	Licensed Representative (Provisional Licence)	4
YANG Jing	BIX539	Licensed Representative (Provisional Licence)	4
YANG Yi-cheng	BIU604	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Yiting	BIZ379	Licensed Representative (Provisional Licence)	1, 4
YAO Shaohua	AWK450	Licensed Representative (Provisional Licence)	4
YAU Jason Chin Ting	BIW639	Licensed Representative (Provisional Licence)	1, 4
YE Fangxin	BIY958	Licensed Representative (Provisional Licence)	1, 6
YEN Edward	ATJ152	Licensed Representative (Provisional Licence)	4
YEONG Woei Khoon	BIW963	Licensed Representative (Provisional Licence)	1
YEUNG Ho Yan	BIU609	Licensed Representative (Provisional Licence)	1
YIN Ge	BIV624	Licensed Representative (Provisional Licence)	1
YIP Chi Ming	BIX790	Licensed Representative (Provisional Licence)	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Liping	BIX637	Licensed Representative (Provisional Licence)	1, 2
YU Maggie H W	BIY639	Licensed Representative (Provisional Licence)	1, 4
YU Wenrui	BIZ616	Licensed Representative (Provisional Licence)	4
YUAN Ke	BIV268	Licensed Representative (Provisional Licence)	1, 6
YUAN Peiyun	BIX564	Licensed Representative (Provisional Licence)	6
YUEN Chor Yiu Jason	BIX076	Licensed Representative (Provisional Licence)	4, 9
ZENG Qinghao	BIW621	Licensed Representative (Provisional Licence)	1, 4
ZENG Shiru	BIX214	Licensed Representative (Provisional Licence)	6
ZHAN Bailu	BIQ910	Licensed Representative (Provisional Licence)	1, 6
ZHAN Chunli	BIY251	Licensed Representative (Provisional Licence)	4
ZHAN Qi	BIX559	Licensed Representative (Provisional Licence)	1, 4
ZHANG Peng	BIX452	Licensed Representative (Provisional Licence)	6
ZHANG RUIZHI	BIY029	Licensed Representative (Provisional Licence)	1, 2
ZHANG Shichen	AOS551	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yahan	BIW815	Licensed Representative (Provisional Licence)	4
ZHANG Yan	BIX275	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yanjia	BIW743	Licensed Representative (Provisional Licence)	1
ZHANG Ying	BIX242	Licensed Representative (Provisional Licence)	9
ZHANG Yueqi	BIZ373	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yuting	BIV876	Licensed Representative (Provisional Licence)	2
ZHANG Yuye	BIX980	Licensed Representative (Provisional Licence)	1, 4
ZHANG Zhe	BIY266	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Dan	BIW809	Licensed Representative (Provisional Licence)	4
ZHAO Lei	BIX254	Licensed Representative (Provisional Licence)	9
ZHAO Shang	BIV189	Licensed Representative (Provisional Licence)	6
ZHAO Ziqing	BIV817	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Fang	BIV399	Licensed Representative (Provisional Licence)	1, 2
ZHOU Yiyun	BIV852	Licensed Representative (Provisional Licence)	9
ZHU Feng	BIW999	Licensed Representative (Provisional Licence)	2
ZHUANG Yuan	BIU781	Licensed Representative (Provisional Licence)	1, 4, 6
ASANO Wataru	BIY435	Licensed Representative (Temporary Licence)	1, 4
BABA Taichi	BAZ165	Licensed Representative (Temporary Licence)	1, 2
BAE Christopher Thomas	AQI792	Licensed Representative (Temporary Licence)	1, 4
BALASUBRAMANIAM Venkatesh	BDT263	Licensed Representative (Temporary Licence)	4
BARRON Jason	BF1936	Licensed Representative (Temporary Licence)	1
BARTHEL Alain Henri Martin	BIW648	Licensed Representative (Temporary Licence)	1, 4
BEZALEL Ariel Meir	BCA040	Licensed Representative (Temporary Licence)	1
BRACKETT Robert Alan	BBA483	Licensed Representative (Temporary Licence)	4
BRUNTON Jamie Robert	BCE784	Licensed Representative (Temporary Licence)	1
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CARLE Gregor	AHM442	Licensed Representative (Temporary Licence)	1, 4
CARMEN Michael Tyler	BIW774	Licensed Representative (Temporary Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHANDREN Edi	BIX619	Licensed Representative (Temporary Licence)	4
CHAVALI Ramakrishna	BIB421	Licensed Representative (Temporary Licence)	1, 2
CHEN Timothy C K	BEC091	Licensed Representative (Temporary Licence)	4
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHUA Kah Meng, Adrian	AWD992	Licensed Representative (Temporary Licence)	4
CHUKIAT Nicholas Wynn	BGA519	Licensed Representative (Temporary Licence)	1, 4
CHUNG Jeehyun	BEX928	Licensed Representative (Temporary Licence)	1
CLUSE Reinhard Paul Johannes	AXK265	Licensed Representative (Temporary Licence)	4
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DI MAGGIO Scott A	BIV434	Licensed Representative (Temporary Licence)	4
DUNLOP William James Napier	BFP531	Licensed Representative (Temporary Licence)	1, 4
EDWARDS Shane Grant	AJG195	Licensed Representative (Temporary Licence)	1
FALZON SANT MANDUCA Mark Andrew	BIK048	Licensed Representative (Temporary Licence)	1, 4
FRANTA Christopher Steven	BFE591	Licensed Representative (Temporary Licence)	1
GANDHI Veekesh Suresh	BBA377	Licensed Representative (Temporary Licence)	1, 4
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GARUDE Alankar	BIY256	Licensed Representative (Temporary Licence)	4
GYSENS Bart	BAP664	Licensed Representative (Temporary Licence)	1
HAGIWARA Koichiro	BHH064	Licensed Representative (Temporary Licence)	4
HAN Jennifer Koun	AMY266	Licensed Representative (Temporary Licence)	4
HARTONO David Arie	BIX625	Licensed Representative (Temporary Licence)	4
HILL Andrew Duncan	BCK617	Licensed Representative (Temporary Licence)	1, 4
HIROSE KUWAHARA Akiko	AOB564	Licensed Representative (Temporary Licence)	1, 4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HUDSON Sarah Henrietta Elizabeth	BIT630	Licensed Representative (Temporary Licence)	1, 4
HYND John Sandford	BFE391	Licensed Representative (Temporary Licence)	1, 4
IIZUKA Naoki	BFK467	Licensed Representative (Temporary Licence)	4
IWAO Yohei	BIZ237	Licensed Representative (Temporary Licence)	1, 2
KAPTEIJN Arie Jacobus	BIV026	Licensed Representative (Temporary Licence)	4
KAROFISKY Robert B	ASX340	Licensed Representative (Temporary Licence)	1
KLINGENBERG-TIMM Benno	BGD845	Licensed Representative (Temporary Licence)	1, 4
KOJIMA Nobuko	BAJ425	Licensed Representative (Temporary Licence)	1
KURZ Peter Michael	ACN979	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LEISURE Charles Porter	BAE417	Licensed Representative (Temporary Licence)	1, 6
LI Jing	AOV618	Licensed Representative (Temporary Licence)	1, 2
LI Mingrong	BII162	Licensed Representative (Temporary Licence)	1, 6
LIEW Wei-Ling	AOD519	Licensed Representative (Temporary Licence)	1
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LINGEN Peter	BIW578	Licensed Representative (Temporary Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MAYNARD Ian Robert	BGR018	Licensed Representative (Temporary Licence)	1
MEUNIER Francois Auguste Roger	BAM072	Licensed Representative (Temporary Licence)	1
MILLER Elizabeth Kathleen	BGH558	Licensed Representative (Temporary Licence)	1
MINAKI Tomoya	BIW810	Licensed Representative (Temporary Licence)	1
MIYAZAKI Takashi	BDQ458	Licensed Representative (Temporary Licence)	4
MOOKIM Sanjay Kumar	AUW976	Licensed Representative (Temporary Licence)	1, 4
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
NAGPAL Arjun	BII675	Licensed Representative (Temporary Licence)	1, 2
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
OHDAIRA Mitsuyuki	BGS887	Licensed Representative (Temporary Licence)	4
PAARMANN Thorsten Sven Eric	BES150	Licensed Representative (Temporary Licence)	1, 4
PARKS Stuart George	BIW610	Licensed Representative (Temporary Licence)	1, 4
POLLACKOV Eric Mark	BIW001	Licensed Representative (Temporary Licence)	1, 4
POOLE Gregory Houston	BIW523	Licensed Representative (Temporary Licence)	1
POSCH Paul	BIY899	Licensed Representative (Temporary Licence)	1, 2
RODRIGUEZ REGORDOSA Gerardo	BIW471	Licensed Representative (Temporary Licence)	1, 4
ROE Simon Mark	BGO826	Licensed Representative (Temporary Licence)	1
RUSSELL Kevin Gary	BHG762	Licensed Representative (Temporary Licence)	1, 4
SAKAMAKI Yuko	BHH238	Licensed Representative (Temporary Licence)	4
SARAGOSTI Yoni Sauveur Ichoua	BIX748	Licensed Representative (Temporary Licence)	1, 2
SASAKI Futoshi	BEZ608	Licensed Representative (Temporary Licence)	1, 4
SAUNDERS Philip Gordon Strode	ADB492	Licensed Representative (Temporary Licence)	4
SLIMMON Andrew Hovey	AMC940	Licensed Representative (Temporary Licence)	1
SONNEVELD Ewoud Arie Jan	AOK044	Licensed Representative (Temporary Licence)	1
SPENCE Matthew James	BAT875	Licensed Representative (Temporary Licence)	1, 4
STOPFORD Patrick John Richard	AQE998	Licensed Representative (Temporary Licence)	4
STOTT Andrew Gregory	BDR228	Licensed Representative (Temporary Licence)	4
STRACKE Thibault Christian	BGT906	Licensed Representative (Temporary Licence)	1, 4
SUN MATTISON Linda Wu	BBF677	Licensed Representative (Temporary Licence)	4
TAKAKU Hirofumi	AXI172	Licensed Representative (Temporary Licence)	1, 2
TEEGER Sam Thomas	BHK845	Licensed Representative (Temporary Licence)	4
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
VENOIT Matthew John	BIW953	Licensed Representative (Temporary Licence)	1
WALKER Adam David Michael	BHU831	Licensed Representative (Temporary Licence)	1, 2
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WONG Ferry Wijaya	ARB231	Licensed Representative (Temporary Licence)	4
WOOLLEY Mark Robert	AOL210	Licensed Representative (Temporary Licence)	1, 4
WU Hsin-Lun	BEJ785	Licensed Representative (Temporary Licence)	1
YAMAGUCHI Hidemaru	BGD668	Licensed Representative (Temporary Licence)	4
YANG Wei-Lun	AXZ658	Licensed Representative (Temporary Licence)	4
YOO Jae Hyuk	BBS475	Licensed Representative (Temporary Licence)	1, 4