



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
Acer King Capital Hong Kong Limited	BII746	Licensed Corporation	4, 9
Acorn Asset Management Limited	BIJ197	Licensed Corporation	4, 9
Ballas Capital Limited	BIO107	Licensed Corporation	1, 6
China Vision Capital (Hong Kong) Limited	BII154	Licensed Corporation	4, 9
Chung Sun Securities Limited	BIC854	Licensed Corporation	1, 2
Dakin Asset Management Limited	BHF615	Licensed Corporation	9
Dongxing Securities (Hong Kong) Asset Management Company Limited	BII798	Licensed Corporation	4, 9
Fruit Tree Securities Limited	BGX710	Licensed Corporation	1
Gemboom Investment (Hong Kong) Co., Limited	BIK492	Licensed Corporation	9
Genesis Capital Group Limited	BII781	Licensed Corporation	4, 9
Giant Asset Management Limited	BHJ968	Licensed Corporation	1, 4, 9
Giraffe Capital Limited	BIM668	Licensed Corporation	6
GLOBAL MASTERMIND ASSET MANAGEMENT LIMITED	BIH206	Licensed Corporation	9
Golden Rock Asia Capital Limited	BHY690	Licensed Corporation	9
Grand Fund Hong Kong Management Limited	BII094	Licensed Corporation	9
HGC Investment Management (HK) Limited	BHP338	Licensed Corporation	4, 9
Inforesight Investment Limited	BIL921	Licensed Corporation	9
ITF Holdings Co., Limited	BID804	Licensed Corporation	2
Long Asia Securities Limited	BHT984	Licensed Corporation	1
Makto Capital Management Limited	BIJ958	Licensed Corporation	4, 9
Midas Securities Limited	BIK715	Licensed Corporation	1, 2, 4, 9
Orient Profit International Limited	BIH410	Licensed Corporation	4, 9
Ruibang Securities Limited	BIJ312	Licensed Corporation	1
Sino Falcon Limited	BIK254	Licensed Corporation	4, 9
SinoPac Securities (Asia) Limited - SinoPac Securities (Asia) Limited (ACD026), Tung Shing Futures (Brokers) Limited (AHD071) and Tung Shing Securities (Brokers) Limited (ACP065) amalgamated on 13 February 2017, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is SinoPac Securities (Asia) Limited (BIZ502).	BIZ502	Licensed Corporation	1, 2, 3, 4, 5, 9
Straits Financial (Hong Kong) Limited	BHT974	Licensed Corporation	2
Sunfund Fund Management Limited	BHY694	Licensed Corporation	9
Yubo Securities Limited	BIC697	Licensed Corporation	1
AMATANI Satoshi	BIZ190	Licensed Representative	1, 2
AU Ho Cheung	BGB836	Licensed Representative	1, 4, 9
AU Shuk Yan	BIZ311	Licensed Representative	6
AU Wai Hay Ambrose	BIZ164	Licensed Representative	2, 5
BERTOCCI Bruno	AAL326	Licensed Representative	1, 4
BI Jia	BIZ157	Licensed Representative	1, 9
CAPONE Angela	BIZ300	Licensed Representative	1, 4
CHAN Chi Chiu	BIX006	Licensed Representative	2
CHAN Ching Sum	BIY455	Licensed Representative	1, 2
CHAN Christopher Wing-Kai	BIZ872	Licensed Representative	1, 4, 9
CHAN Chun Yin Christopher	ASO895	Licensed Representative	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Chung Wan	AJD779	Licensed Representative	9
CHAN Ho Him	BIY659	Licensed Representative	1, 2
CHAN Hok Man	BJA646	Licensed Representative	1, 4
CHAN Ka Ying, Michelle	BIV558	Licensed Representative	1, 6, 9
CHAN Ki Fung	BIX630	Licensed Representative	1, 6
CHAN Kwok Yin	BIZ550	Licensed Representative	6
CHAN Kwun Shing	BIZ853	Licensed Representative	1
CHAN Man Wai	BIX486	Licensed Representative	1
CHAN Qian Min Jasmine	BIZ572	Licensed Representative	6
CHAN See Lok Stephanie	BJB017	Licensed Representative	1
CHAN Suk Yee	BIZ256	Licensed Representative	1, 4
CHAN Sze Ho	AHO252	Licensed Representative	1, 2
CHAN Tsz Kin	BIY015	Licensed Representative	4, 9
CHAN Wing Sze	BIZ710	Licensed Representative	1, 4
CHAN Yau Kwan	BIY784	Licensed Representative	1, 2, 3, 4
CHAN Yee Wah	BIY457	Licensed Representative	4
CHAN Yu Fan	BIV564	Licensed Representative	1, 2
CHANG Pei Hua	AOX639	Licensed Representative	4, 9
CHAPLIN Vera	BIR904	Licensed Representative	10
CHARK Chi Fai	BIY780	Licensed Representative	1, 4, 9
CHAU Chi Wai	BIY472	Licensed Representative	1, 2
CHAU Siu Hang	BJA643	Licensed Representative	1, 4
CHAU Yau Sing	BCJ620	Licensed Representative	3
CHEN Bingyu	BIX773	Licensed Representative	4
CHEN Chih Che	BIY473	Licensed Representative	1, 4, 9
CHEN Erqian	BIV451	Licensed Representative	6
CHEN Jasper Lee	BIZ015	Licensed Representative	2, 5
CHEN Jiasi	BIT259	Licensed Representative	1, 4
CHEN Jing	ANU777	Licensed Representative	1, 4, 6
CHEN Oceane	BIZ761	Licensed Representative	4, 9
CHEN Xi	BFZ506	Licensed Representative	1, 2
CHEN Xiaonan	BIX816	Licensed Representative	9
CHEN Zeyu Apollo	BIY785	Licensed Representative	4
CHEN Zheng	BIW237	Licensed Representative	1, 4, 6
CHENG Clement Kin Kwan	AQG415	Licensed Representative	1, 2
CHENG Ka Wai	BIX841	Licensed Representative	1
CHENG Ka Yi Kate	BIW227	Licensed Representative	1, 4, 9
CHENG King Hin	BIX473	Licensed Representative	9
CHEUNG Chau Lik	BIW792	Licensed Representative	2
CHEUNG Clive Ho Fung	BIW856	Licensed Representative	1, 6
CHEUNG Colette	BIX231	Licensed Representative	4, 9
CHEUNG Hoi Kit	BIZ340	Licensed Representative	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Maureen	BIY666	Licensed Representative	1, 6
CHEUNG Murray Ka Shun	BEO947	Licensed Representative	2
CHEUNG Ting Yan	BJA730	Licensed Representative	4, 9
CHIANG Hsueh Hui	BIX599	Licensed Representative	1, 4, 9
CHIN Abel Yoon Sern	AXI512	Licensed Representative	1, 4
CHIU Dick Shing	ALO453	Licensed Representative	1
CHOI King Lit	AXA017	Licensed Representative	1
CHONG Yeh Ling	BIW328	Licensed Representative	1, 4, 9
CHOU Hamg Shy	BIX560	Licensed Representative	1, 2, 4, 5
CHOW Chi Wing	BJB869	Licensed Representative	1
CHOW Chun Yin	BIV568	Licensed Representative	1, 2
CHOW Ka Hang	BIY485	Licensed Representative	1, 2
CHOW Kit Fung	BIX025	Licensed Representative	9
CHOW Yiu Chung	BEC579	Licensed Representative	4, 9
CHU Ming Li	BIW568	Licensed Representative	1, 2
COONEY Dion	BIY269	Licensed Representative	1, 7
CORNWALL Ross Douglas	BIX011	Licensed Representative	1
DA CRUZ Sean Nicholas	BJA766	Licensed Representative	1, 4, 9
DACEY Meghan Alice	BIZ383	Licensed Representative	1, 2, 4, 5
DAI Wei	BJA012	Licensed Representative	1, 2
DARGIE Peter Clarke	BEO577	Licensed Representative	1, 2
DENG Yongzhi	BIV293	Licensed Representative	1, 4
DONG Chunchun	BBZ411	Licensed Representative	1, 2, 4
DUAN Bing	BIW571	Licensed Representative	4
FAN Suey-hueng Adam	BIT526	Licensed Representative	1, 2
FEI Yue	BIY641	Licensed Representative	4, 9
FENG Qiyun	BCH639	Licensed Representative	9
FENG Xianjie	BIY695	Licensed Representative	6
FENG Yang	BIX445	Licensed Representative	1, 4, 9
FERNS Stewart Kenneth	AQP587	Licensed Representative	9
FONG Hiu Fai	BIX444	Licensed Representative	1, 4, 6
FRIESE Christopher	BIY942	Licensed Representative	1
FU Huaning	BIY012	Licensed Representative	1, 4, 9
FULWOOD Alice Mary	BIX787	Licensed Representative	4
GAN Quan	BJB317	Licensed Representative	1, 4, 6
GE Zi	BIX028	Licensed Representative	1, 6
GENG Geng	BEQ928	Licensed Representative	1, 4, 9
GIBB Richard Boyce Massey	ANG128	Licensed Representative	6
GU Yinmin	BIW823	Licensed Representative	1, 4
HAMADA George Go	BIY653	Licensed Representative	1
HAN Carey	BIS571	Licensed Representative	1, 2
HAN XIAO	BIY697	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
HEDTKE Nathan Harrington	ARG770	Licensed Representative	1, 2
HINTERKOERNER Roland	BBE977	Licensed Representative	4, 9
HO Fu Wing	BIY647	Licensed Representative	1, 2
HO Hsin Jung	BIX302	Licensed Representative	4
HO Kai Wei, David	BJA037	Licensed Representative	6
HO See Pui, Christie	BIV192	Licensed Representative	4, 6, 9
HO Sek Yan	BIW569	Licensed Representative	1, 4
HO Siu Po	AEG461	Licensed Representative	1
HO Yui Ki	BIZ857	Licensed Representative	1, 2
HONDA Kazuma	BIY261	Licensed Representative	1, 2
HONG Moon Hee	BIW969	Licensed Representative	1, 4
HONG Sang Jin	BIW989	Licensed Representative	1
HSU Shirley Sui-Wei	AHF797	Licensed Representative	4, 9
HU Zheng	BIY894	Licensed Representative	1, 4
HUANG Nan	BIX778	Licensed Representative	1, 4, 9
HUANG Shi	BIZ350	Licensed Representative	1, 6
HUANG Tingting	BEQ564	Licensed Representative	1, 4
HUI Yau Kui Anthony	BIX398	Licensed Representative	1
HUNG Wing Kwan	BJC382	Licensed Representative	1
IAN Ho On	BIX779	Licensed Representative	1, 2
IP Hak Shiu	BIX995	Licensed Representative	1, 9
JANGBARWALA Mustafa	BIY990	Licensed Representative	9
JIN Hyung Won	BJA014	Licensed Representative	1, 4
JIN Sai	BIY688	Licensed Representative	4, 9
JOSHI Sujeet	BIW779	Licensed Representative	1, 4
KAM Wo Ping	BJB878	Licensed Representative	3
KAN Lai Na	BIZ344	Licensed Representative	1
KAPADIA Amit Damodar	BIW068	Licensed Representative	9
KEITH Robert Michael	BIZ889	Licensed Representative	1, 2
KIM Min Woo	BIY396	Licensed Representative	1, 4, 6
KIM Yong Chan	AIH348	Licensed Representative	1, 2
KIM Young Joo	BIZ377	Licensed Representative	4, 9
KIMIJIMA Satoshi	BHX449	Licensed Representative	1, 2
KO Chi Kin	BJC540	Licensed Representative	4, 9
KO Li An Lyon	BIY683	Licensed Representative	1, 4
KONG Brian	BDZ945	Licensed Representative	1, 2
KONG Ka Yu	BIX481	Licensed Representative	1
KUK Wing Yee	BIZ359	Licensed Representative	1, 4, 9
KULKARNI Amit Chandramohan	BIW790	Licensed Representative	1, 2
KWAN Ching Lai	BJB312	Licensed Representative	1
KWAN Kate	ANQ329	Licensed Representative	1, 4
KWAN Kin Shing	BIX408	Licensed Representative	1, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWOK Wing Ling	BIY245	Licensed Representative	4, 9
KWON Heung Sun	BIZ318	Licensed Representative	1, 4
KWONG Chun Tak	BIX610	Licensed Representative	6
LACAN Maxime Anthony Gregory	BIW478	Licensed Representative	2
LAI Ho Chuen	BIX782	Licensed Representative	1, 2
LAI Sheng Tang	BJA023	Licensed Representative	9
LAI Tianyuan	BIV292	Licensed Representative	1
LAI Zhexing	BIZ864	Licensed Representative	1, 2
LAM Chun Kit	BHW991	Licensed Representative	1, 6
LAM Hay Tak	AXE899	Licensed Representative	1, 4, 9
LAM Hei Yu Beatrice	BIW782	Licensed Representative	4
LAM Kong Wai	BJA028	Licensed Representative	1, 2
LAM Pik Kwan	BIY851	Licensed Representative	4, 9
LAM Wing Kit	BIY838	Licensed Representative	1
LAU Ching Yan Ada	BIY756	Licensed Representative	4, 5
LAU Ka Ki	BIX988	Licensed Representative	4, 9
LAU Sze Man, Geri	BIX784	Licensed Representative	1, 4
LAU Tik Lun	BIY478	Licensed Representative	1
LAU Tsang	AQF574	Licensed Representative	4, 9
LAU Yan Ki	BIY608	Licensed Representative	1, 6
LAU Yau Fong	AEY478	Licensed Representative	1
LAU Yu Wa	BIZ182	Licensed Representative	6
LAW Chui Shan, Clara	AMI503	Licensed Representative	1
LAW Tik Hong	ARE550	Licensed Representative	1
LAW Vanessa	BIY620	Licensed Representative	1, 2
LEE Cheuk Hei	BIW515	Licensed Representative	1
LEE Chun Hin	BIT239	Licensed Representative	2
LEE Jin Young	BFN399	Licensed Representative	1, 2, 7
LEE Jung Il	BIY104	Licensed Representative	1, 4, 6
LEE Ka Hing	BIZ578	Licensed Representative	1, 2, 3, 4
LEE Ka Ho	BIX295	Licensed Representative	1, 2
LEE Ko-Shun	BIZ374	Licensed Representative	1, 2, 4, 9
LEE Man Tai	ASQ204	Licensed Representative	1, 6
LEE On Yi	BIX820	Licensed Representative	1, 4
LEE Sze Long, Sharon	BIX563	Licensed Representative	1, 4
LEE Yik Lun	BIR519	Licensed Representative	1, 2
LEE Yong Suk	BJA739	Licensed Representative	1
LEONG Tzi Ying	BIZ922	Licensed Representative	6
LEUNG Dicksion	BIZ269	Licensed Representative	9
LEUNG Hoi Yuet	BFN834	Licensed Representative	1, 2, 4
LEUNG Ka Man Norman	BIY835	Licensed Representative	1, 4
LEUNG Kai Hin	BIT839	Licensed Representative	1, 2



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CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Mei Shan	BIW785	Licensed Representative	1, 2
LEUNG Ming Hin	BIZ869	Licensed Representative	1
LEUNG Pui Yin	BIY656	Licensed Representative	6
LEUNG SHEUNG BUN	BIW863	Licensed Representative	4
LEUNG Siu Fung	BJA647	Licensed Representative	6
LEUNG Wai Shing Vincent	BIX259	Licensed Representative	10
LEUNG Yat Hang	BIY686	Licensed Representative	1
LI Chun	BJA050	Licensed Representative	1
LI Hiu Lam	BIY475	Licensed Representative	4, 9
LI Jing	BIZ542	Licensed Representative	10
LI Li	BIR520	Licensed Representative	1, 4, 6
LI Man Fai, Raymond	ADT897	Licensed Representative	2
LI Muyun	BIY769	Licensed Representative	1, 6
LI Po Yi	ANP486	Licensed Representative	1
LI Qun	BIW229	Licensed Representative	4
LI Ranran	BIW221	Licensed Representative	1, 6
LI Shangjun	BIW784	Licensed Representative	4
LI Suyu	BGH891	Licensed Representative	4
LI Wai Shun	ATT781	Licensed Representative	9
LI Yee Ki	BJA026	Licensed Representative	1
LI Yifan	BIW783	Licensed Representative	4
LI Yin Fai Hikoteru Terence	BIZ351	Licensed Representative	6
LI Yiyang	BIY431	Licensed Representative	1, 4, 6
LIANG Jieyi	BIZ376	Licensed Representative	1, 2
LIN Chi Hing	BIY468	Licensed Representative	1, 6
LIN An Ming	AEC085	Licensed Representative	4, 9
LIN Lyllette	BIZ583	Licensed Representative	2, 5
LIN Miaorong	BIX433	Licensed Representative	1, 2
LIN Xiaoxiang	BIY895	Licensed Representative	1, 4
LIN Yiu Ho	BIY579	Licensed Representative	9
LIU Hui Lin	BJA020	Licensed Representative	9
LIU Jason Jie Sheng	BIY813	Licensed Representative	4, 9
LIU Kwok Wah, Kenneth	AEG935	Licensed Representative	1, 2
LIU Li	AVR897	Licensed Representative	1
LIU Ming Wang Victor	BJA994	Licensed Representative	4
LIU Muqi	BIY598	Licensed Representative	10
LIU Tianshu	BIZ149	Licensed Representative	4
LIU Ting Chih	BJA732	Licensed Representative	9
LIU Xucheng	BGI072	Licensed Representative	9
LIU Ying Chen	AHP730	Licensed Representative	6
LIU Ying-Hsiao	BIW956	Licensed Representative	1, 4, 9
LIU Yue	BIT642	Licensed Representative	1, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LO Chun Kit	BJB505	Licensed Representative	1
LO Tak Shun, Dixon	ACZ589	Licensed Representative	1, 2, 9
LUI Ngan, Connie	AOO869	Licensed Representative	1
LUI Wing Tsang	BIW830	Licensed Representative	1, 2
LUO Ao	BIZ162	Licensed Representative	1, 2
LUO Tongyue	BIZ509	Licensed Representative	1, 2
MA Sai	BJC214	Licensed Representative	1, 2
MA Wing Cheong	ATC243	Licensed Representative	1, 2
MA Xiaoxuan	BIX808	Licensed Representative	1, 4
MAN LI Se Yu	BIY798	Licensed Representative	1
MIAO Wenyan	BIX740	Licensed Representative	1, 2
MISRA Nityanand	ATO881	Licensed Representative	1, 2, 7
MIU Hon Kit	AFB221	Licensed Representative	4, 9
MOK Siu Hong	BIY801	Licensed Representative	1
MORIYAMA Kasumi	BIZ878	Licensed Representative	9
MOYEN Saajid Ehsan	BIX469	Licensed Representative	1, 2
MUKHERJEE Aniruddha	BIX023	Licensed Representative	1, 2, 7
NAKABAYASHI Yuji	BIX277	Licensed Representative	1, 4, 6
NEO Christopher	BDO841	Licensed Representative	9
NG Chor Sum Sam	AYT391	Licensed Representative	1, 4, 6
NG Hoi Yan	AOJ449	Licensed Representative	1
NG Hon Man	BBX599	Licensed Representative	1, 6
NG Kai Pong	AOB433	Licensed Representative	1, 6
NG Laura Shui Yee	BJA644	Licensed Representative	1, 4, 9
NG Mei Ching	BIX582	Licensed Representative	1
NG Sheuk Sze, Felix	BIW056	Licensed Representative	6
NG Wing Chi	AME752	Licensed Representative	1, 4
NGAN Ying Kit Angus	AOL505	Licensed Representative	1, 4
NISHIZAWA Kiyotada	AAF914	Licensed Representative	1
NOMURA Kan	AIU759	Licensed Representative	1, 4
ON Ka Kin	BIW853	Licensed Representative	1
OUMARY Sophia Isabelle	BJA027	Licensed Representative	1, 4, 6
PAI Arun Madhavaraya	APK590	Licensed Representative	4, 9
PANG Chi Hin	BIY476	Licensed Representative	1
PANG Ka Yin	APP351	Licensed Representative	1, 4, 6
PAU Wing Yan	BIW629	Licensed Representative	1, 2
PONG Ngai	BIX832	Licensed Representative	1
POON Mei Ho	BIV309	Licensed Representative	1, 4
PURI Tarun	BIZ293	Licensed Representative	1, 2, 7
RACCIO Jeremy E	BIV596	Licensed Representative	1, 4
RAMIREZ Carlo Maria	AIS071	Licensed Representative	1, 2
REUTERSWAERD Carl Adrian	BIX053	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
ROMERO LEON Luisa Fernanda	BIY020	Licensed Representative	1, 4
RYAN Genevieve Alice	BIX428	Licensed Representative	1
SANG Zijing	BIX210	Licensed Representative	1, 6
SANGHAVI Maulik Jitendra	BIW816	Licensed Representative	1, 2, 7
SATNURAK Manussavee	BIO697	Licensed Representative	9
SETHI Ishan	BIZ380	Licensed Representative	4, 9
SHE Kelly	BIY493	Licensed Representative	1
SHEK Yeung, Eric	BIY663	Licensed Representative	1, 4
SHEN Liyi	BIZ353	Licensed Representative	1, 4
SHENG Corrian Yang	BJB244	Licensed Representative	9
SHI Hui	BJA032	Licensed Representative	6
SHI Weijie	BIX743	Licensed Representative	1, 2
SHUM Chi Ho, Elton	BIX212	Licensed Representative	1, 2, 4, 5, 9
SHUM Kit	AJD416	Licensed Representative	1, 4
SHUM Yiu Fai	ATW536	Licensed Representative	1
SI Tingting	AYB999	Licensed Representative	4
SING Dominique Ho	BIZ112	Licensed Representative	4, 9
SINGH GILL Karinvir	BIX992	Licensed Representative	1, 2
SIT Hon	BIX237	Licensed Representative	9
SO Sik Yi	AGL773	Licensed Representative	1
SONG Ci	BCT672	Licensed Representative	9
SONG Yuanwei	BJB493	Licensed Representative	1, 2, 4
SU Dan	BIY101	Licensed Representative	9
SU Darong	BIV798	Licensed Representative	1, 2
SU Youjin	BAS834	Licensed Representative	4, 9
SUH Soobene	BIT671	Licensed Representative	9
SUN Kai Man	ASZ383	Licensed Representative	1, 2
SUN Xin	BJB194	Licensed Representative	1, 2, 4
TAM Evon	AQE004	Licensed Representative	1
TAM Hoi Leung	ACK093	Licensed Representative	3
TAM Po Wing	BIZ184	Licensed Representative	1, 4, 6
TAN Keng Sheng	BIZ336	Licensed Representative	6
TAN Yaxin	BIJ326	Licensed Representative	1, 6
TANG Chun Kit	BIX477	Licensed Representative	1, 6
TANG Chung Hei	BIN853	Licensed Representative	4
TANG Claire Jin	BIZ526	Licensed Representative	1, 4
TANG Jurong	BIZ375	Licensed Representative	1
TANG Oliver Cheuk Wai	BJB155	Licensed Representative	4, 9
TANG Shing Pan	BIW840	Licensed Representative	1, 4
TANG Wai Ling, Ada	AVL310	Licensed Representative	1
TANG Yuk Hin Richard	ADC308	Licensed Representative	4, 9
TERADA Motohiko	BIW601	Licensed Representative	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
TING Chak Sum	AOW918	Licensed Representative	1
TO Chak Shun	Biy850	Licensed Representative	1
TO Kai Ming	BIX262	Licensed Representative	9
TO Yuen Ching	BIW630	Licensed Representative	1
TRESSIERES Raphael Georges	BIZ032	Licensed Representative	6
TSANG Chi Kin	BIV415	Licensed Representative	1
TSANG Chi Sheng Jason	BIY954	Licensed Representative	1, 6
TSANG Kin Bun	AXP214	Licensed Representative	1
TSANG Pak Hin	BIZ530	Licensed Representative	1, 2
TSE Hiu Yu	AUG364	Licensed Representative	1, 2
TSE Pui Shan	BIV847	Licensed Representative	1
TSUI Tung Hang	BIY626	Licensed Representative	1, 2
TUNG Ka Sing	BIW978	Licensed Representative	1, 2
WAN Cheuk Pong	BIX392	Licensed Representative	1, 2
WAN Vivian	BBW591	Licensed Representative	9
WAN Yim Ting	BIY857	Licensed Representative	1
WANG Fang	BIY226	Licensed Representative	4, 9
WANG Hang	BGG247	Licensed Representative	1, 4
WANG Meng	BIW988	Licensed Representative	6
WANG Pinyue	BIX930	Licensed Representative	1, 4
WANG Rongpei	BIZ320	Licensed Representative	6
WANG Shijia	BIW585	Licensed Representative	1
WANG Yiren	BIO041	Licensed Representative	1, 2
WANG Yu	BIR339	Licensed Representative	4
WANG Yue	BIY657	Licensed Representative	1, 2
WANG Zichao	BIW223	Licensed Representative	1, 4
WANGH Noah Baxtresser	AOC717	Licensed Representative	1, 4
WILKIN Scott Douglas	BIY698	Licensed Representative	1, 4
WONG Anthony Way-choy	BHV377	Licensed Representative	2
WONG Chun Fai Tony	BIX834	Licensed Representative	1, 2
WONG Chun Lung	BIW983	Licensed Representative	2
WONG Hei Lim	BIX437	Licensed Representative	1
WONG Justin Chinwo	BIY246	Licensed Representative	1, 4, 9
WONG Kit Wing	BIW560	Licensed Representative	1
WONG Lik Lung Janice Erika	BIW590	Licensed Representative	1, 2
WONG Qiao Yi Jephine Tania	BIY972	Licensed Representative	1, 2
WONG Shing Kit	BIU959	Licensed Representative	4
WONG Tin Lok, Stella	BIV289	Licensed Representative	1, 6
WONG Wing Kai	BIZ042	Licensed Representative	6
WONG Yee Wai	AOR454	Licensed Representative	4
WONG Yim Keung	AJE545	Licensed Representative	1, 2, 4, 5
WONG Yuet Yuen	BIY658	Licensed Representative	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
WOO Stephanie Ka Wai	AQR182	Licensed Representative	4
WU Kit Yung	BAC316	Licensed Representative	1
WU Man Yu	BIX431	Licensed Representative	1, 4
WU Rui	BIY113	Licensed Representative	1, 4
WU Wai Ming Jennifer	BIY153	Licensed Representative	10
WU Yifeng	BIR524	Licensed Representative	1, 2, 4
WU Yiwen	BIW812	Licensed Representative	4
XIA Wei	BIX413	Licensed Representative	4, 9
XU Junshuo	BIY458	Licensed Representative	1, 4, 9
XU Peijiu	BIW798	Licensed Representative	4
XU Qing	BIW805	Licensed Representative	4
XU Qing	BIY244	Licensed Representative	4, 9
XU Ting	BIX777	Licensed Representative	1, 2
XUAN Xuan	BIX220	Licensed Representative	1, 6
XUE Yang	AWK548	Licensed Representative	9
YAM Hiu Ping, Bruce	AMQ792	Licensed Representative	3
YAN Hon Ting	BIZ532	Licensed Representative	1
YANG Jing	BIX539	Licensed Representative	4
YANG Yi Sin	BIZ047	Licensed Representative	1, 2
YANG Yutong	BIX047	Licensed Representative	1, 2
YAU Jason Chin Ting	BIW639	Licensed Representative	1, 4
YAU Mang Tung	BIX571	Licensed Representative	1, 2
YE Chenxi	BIX628	Licensed Representative	1
YEE Kar Seng	AVJ477	Licensed Representative	1, 2
YEN Edward	ATJ152	Licensed Representative	4
YEONG Woei Khoon	BIW963	Licensed Representative	1
YEUNG Wai Yu	BIZ611	Licensed Representative	1, 2
YIP Chi Ming	BIX790	Licensed Representative	4, 9
YU An Li	BIT643	Licensed Representative	1, 4
YU Bo	BJC515	Licensed Representative	1, 4
YU Chieh Mean	BIZ753	Licensed Representative	1
YU Henry Hao	BIZ043	Licensed Representative	1, 4, 6
YU Ka Yan Michelle	BJB327	Licensed Representative	1, 4
YU Maggie H W	BIY639	Licensed Representative	1, 4
YUAN Peiyun	BIX564	Licensed Representative	6
YUEN Chor Yiu Jason	BIX076	Licensed Representative	4, 9
YUEN Ka Lun	BIY807	Licensed Representative	1, 4, 9
ZAKIROV Nikolay	BIW854	Licensed Representative	4, 9
ZENG Qinghao	BIW621	Licensed Representative	1, 4
ZENG Shiru	BIX214	Licensed Representative	6
ZENG Zixiao	BJA041	Licensed Representative	9
ZHAN Bailu	BIQ910	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAN Chunli	BIY251	Licensed Representative	4
ZHAN Qi	BIX559	Licensed Representative	1, 4
ZHANG Hongyi	BIZ596	Licensed Representative	4
ZHANG Mengqi	BIX234	Licensed Representative	1, 4, 5, 9
ZHANG Peng	BIX452	Licensed Representative	6
ZHANG Shichen	AOS551	Licensed Representative	4, 9
ZHANG Yahan	BIW815	Licensed Representative	4
ZHANG Yanjia	BIW743	Licensed Representative	1
ZHANG Ying	BIX242	Licensed Representative	9
ZHANG Yueqi	BIZ373	Licensed Representative	1, 4
ZHANG Yuye	BIX980	Licensed Representative	1, 4
ZHANG Zhe	BIY266	Licensed Representative	1, 4, 6
ZHAO Shuqin	BIW564	Licensed Representative	1
ZHENG Junwei	BIX764	Licensed Representative	4
ZHENG Meimei	BEG152	Licensed Representative	4
ZHONG Yunfei	BIZ575	Licensed Representative	6
ZHOU Yiyun	BIV852	Licensed Representative	9
ZHU Cheng	BJB237	Licensed Representative	1, 4
ZHU Yanyin	BIH554	Licensed Representative	1, 4
ZOU Jianke	BIZ748	Licensed Representative	1, 4
ZOU Min	BCQ983	Licensed Representative	4
BAKER Adrian Lee	BIW021	Licensed Representative - Responsible Officer	4, 9
BROWN Anthony George	APU473	Licensed Representative - Responsible Officer	1
CERMAK David Luce	BIV177	Licensed Representative - Responsible Officer	1, 4
CHENG Wai Hong	ALO598	Licensed Representative - Responsible Officer	1, 2
CHENG Yan Man	AQY802	Licensed Representative - Responsible Officer	1
CHU Wing Chun	BBE991	Licensed Representative - Responsible Officer	1, 4, 9
DUNN Jeffrey James	BIS787	Licensed Representative - Responsible Officer	1
DUXFIELD Robin Malcolm	AQQ553	Licensed Representative - Responsible Officer	4, 9
FAN King Yip Felix	AXC707	Licensed Representative - Responsible Officer	1, 4
FENG Tingting	BIP060	Licensed Representative - Responsible Officer	9
FOOTER Cameron Duncan	BIW042	Licensed Representative - Responsible Officer	1, 4
GARON Ross	BIS778	Licensed Representative - Responsible Officer	9
HO King Fai	ANV293	Licensed Representative - Responsible Officer	4, 9
HO Siu Man, Gideon	AOR642	Licensed Representative - Responsible Officer	4, 9
HO Wing Leung	AKS665	Licensed Representative - Responsible Officer	1
HO Yuk Yin, Connie	AMA089	Licensed Representative - Responsible Officer	1, 4
KOGA Shigeru	BIZ035	Licensed Representative - Responsible Officer	1, 2
LEE Hung Choi Chris	BIM092	Licensed Representative - Responsible Officer	9
LEI Yonglang	ACU960	Licensed Representative - Responsible Officer	6
LI Chao Yang	BID975	Licensed Representative - Responsible Officer	2
LI Lei Min	AFS633	Licensed Representative - Responsible Officer	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAH Wei Siang Kenny	BHU656	Licensed Representative - Responsible Officer	2
PARK Myung Joo	BAD701	Licensed Representative - Responsible Officer	4, 9
TAN Ming Kiu, John	AEK216	Licensed Representative - Responsible Officer	1, 2, 4, 5, 6, 9
TORNBERG Martin	AFE172	Licensed Representative - Responsible Officer	4, 9
WONG Kai Kok	ACT922	Licensed Representative - Responsible Officer	9
WONG Tsz Ho	ARQ649	Licensed Representative - Responsible Officer	1
WU Bin	BHL859	Licensed Representative - Responsible Officer	1, 2, 3, 4, 5, 9
YEUNG Fan Yee	AFS631	Licensed Representative - Responsible Officer	9
YEUNG Lai Ling, Iris	BIS561	Licensed Representative - Responsible Officer	9
YUAN Wei	BIP064	Licensed Representative - Responsible Officer	9
ZHANG Ling	BID974	Licensed Representative - Responsible Officer	2
ZHU Xiaojun	APF031	Licensed Representative - Responsible Officer	4, 9
AMATANI Satoshi	BIZ190	Licensed Representative (Provisional Licence)	1, 2
ANDERSON HANNAH JESS	BJB153	Licensed Representative (Provisional Licence)	1, 4, 9
AU Hoi Tung	BDV504	Licensed Representative (Provisional Licence)	1, 2
BACHELIER Bruno Jean Marie	ARE462	Licensed Representative (Provisional Licence)	9
BAI Peishan	BJA013	Licensed Representative (Provisional Licence)	1, 4, 9
BAKKER Jarrod Kane	BJC069	Licensed Representative (Provisional Licence)	1, 2
BOND Matthew James William	BJB297	Licensed Representative (Provisional Licence)	1, 4
CAMPBELL Carolyn Leigh	BIM466	Licensed Representative (Provisional Licence)	1, 2
CAO Sheng	BIZ295	Licensed Representative (Provisional Licence)	9
CAPONE Angela	BIZ300	Licensed Representative (Provisional Licence)	1, 4
CHAN Christopher Wing-Kai	BIZ872	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Chun Yin, Allen	BIZ614	Licensed Representative (Provisional Licence)	1, 4
CHAN Hoi Lik	BJC397	Licensed Representative (Provisional Licence)	1
CHAN Hok Man	BJA646	Licensed Representative (Provisional Licence)	1, 4
CHAN Kwun Shing	BIZ853	Licensed Representative (Provisional Licence)	1
CHAN Man Kit	BIY774	Licensed Representative (Provisional Licence)	1, 2
CHAN Mei Yin	ARV721	Licensed Representative (Provisional Licence)	1, 4
CHAN Qian Min Jasmine	BIZ572	Licensed Representative (Provisional Licence)	6
CHAN Wan Yan, Rachel	AUP390	Licensed Representative (Provisional Licence)	1
CHAN WING HIM	BJB921	Licensed Representative (Provisional Licence)	2
CHAN Yik Kin	BIZ917	Licensed Representative (Provisional Licence)	1
CHAN YUE HON	BJB031	Licensed Representative (Provisional Licence)	1, 7
CHANG Huili	BJC906	Licensed Representative (Provisional Licence)	1, 4
CHAO Zi Hoe Alvin	BIZ755	Licensed Representative (Provisional Licence)	4
CHAU Siu Hang	BJA643	Licensed Representative (Provisional Licence)	1, 4
CHEANG Yee Chung	AHV027	Licensed Representative (Provisional Licence)	6
CHEN Jasper Lee	BIZ015	Licensed Representative (Provisional Licence)	2, 5
CHEN Jing	ANU777	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Zhihu	BAH710	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Chak Yan	BJA024	Licensed Representative (Provisional Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Jen-Chieh	BIY917	Licensed Representative (Provisional Licence)	1, 6
CHEONG Wei Yue	BDU950	Licensed Representative (Provisional Licence)	1
CHEUNG Clive Ho Fung	BIW856	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Kam San	BJC186	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Ting Yan	BJA730	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Wai Yi	BCV713	Licensed Representative (Provisional Licence)	1, 4
CHIA Xiang, Benny	BIZ752	Licensed Representative (Provisional Licence)	1, 2
CHIN Abel Yoon Sern	AXI512	Licensed Representative (Provisional Licence)	1, 4
CHING Wing Sze	AXG550	Licensed Representative (Provisional Licence)	1, 4
CHIU Chee Keung, Benjamin	AMS034	Licensed Representative (Provisional Licence)	1, 2
CHIU Krystal Yu Pui	ALN581	Licensed Representative (Provisional Licence)	1
CHIU Man Wai Janice	BJD985	Licensed Representative (Provisional Licence)	6
CHOI Lai Ling	AFW854	Licensed Representative (Provisional Licence)	1, 4
CHOU Pei Shen	BJB366	Licensed Representative (Provisional Licence)	6
CHU Wai Hei	BJC071	Licensed Representative (Provisional Licence)	1, 4, 6
DAI Wei	BJA012	Licensed Representative (Provisional Licence)	1, 2
DE POY Erik Dean	BJB190	Licensed Representative (Provisional Licence)	4
DE SEQUERA-UGARTE Juan Andres	BJC175	Licensed Representative (Provisional Licence)	6
DENTANANAN Peerawat	BIZ969	Licensed Representative (Provisional Licence)	4
DING Ling	BJB899	Licensed Representative (Provisional Licence)	1, 2
DOKANIA Gunjan	BJB452	Licensed Representative (Provisional Licence)	1, 2
DONG Shi	BIZ711	Licensed Representative (Provisional Licence)	1, 4, 6
EU Kum Wing, Douglas	BIZ304	Licensed Representative (Provisional Licence)	1, 4
EVANS Stuart	BJC221	Licensed Representative (Provisional Licence)	2, 5
FOK Kam Kong	BJD167	Licensed Representative (Provisional Licence)	1, 2
FONG Shin Tsing, Bonnie	AIY237	Licensed Representative (Provisional Licence)	1
FONTANILLA Jose-Paolo Cuyegkeng	BJA058	Licensed Representative (Provisional Licence)	4
FORSYTH David Spence	BJB689	Licensed Representative (Provisional Licence)	1
FRIESE Christopher	BIY942	Licensed Representative (Provisional Licence)	1
FU Hoi Yeung	BJC220	Licensed Representative (Provisional Licence)	1
FUNG Wing Wa	BJC188	Licensed Representative (Provisional Licence)	1, 2, 4
GUO Xingyi	BEM537	Licensed Representative (Provisional Licence)	1, 2, 4
HAN XIAO	BIY697	Licensed Representative (Provisional Licence)	1, 6
HIRIART Mark Francis Richard	APO666	Licensed Representative (Provisional Licence)	1, 2, 7
HO Ching To	BIZ763	Licensed Representative (Provisional Licence)	1
HO Ho	BJC947	Licensed Representative (Provisional Licence)	9
HO Hoi Lam Rachel	BJB410	Licensed Representative (Provisional Licence)	4, 9
HO Pei Hwa	BJA061	Licensed Representative (Provisional Licence)	4
HO Yui Ki	BIZ857	Licensed Representative (Provisional Licence)	1, 2
HONG Ru	BJC336	Licensed Representative (Provisional Licence)	4
HSIEH Tsung-Yu	BHQ123	Licensed Representative (Provisional Licence)	1, 2
HUA Wen	APK749	Licensed Representative (Provisional Licence)	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Shi	BIZ350	Licensed Representative (Provisional Licence)	1, 6
HUANG Wenying	BJB195	Licensed Representative (Provisional Licence)	10
HUNG Lee	AQM688	Licensed Representative (Provisional Licence)	1, 4, 5
JACQUOT Thomas	BJA992	Licensed Representative (Provisional Licence)	10
JI Hong	BJC548	Licensed Representative (Provisional Licence)	1
JIANG Wenxian	BIZ758	Licensed Representative (Provisional Licence)	1, 4
JIN Hyung Won	BJA014	Licensed Representative (Provisional Licence)	1, 4
KAM Fung Man	BJC938	Licensed Representative (Provisional Licence)	1, 2
KANG Di	BIY770	Licensed Representative (Provisional Licence)	9
KAUTZKY Christina Marie	AXT655	Licensed Representative (Provisional Licence)	1, 4
KEITH Bryan Wilson	BIY574	Licensed Representative (Provisional Licence)	1
KEITH Robert Michael	BIZ889	Licensed Representative (Provisional Licence)	1, 2
KIM Min Woo	BIY396	Licensed Representative (Provisional Licence)	1, 4, 6
KONG Yong Jun	BJB662	Licensed Representative (Provisional Licence)	1, 2
KUROIWA Motohiro	BJC782	Licensed Representative (Provisional Licence)	1, 4, 6
KWAN Kate	ANQ329	Licensed Representative (Provisional Licence)	1, 4
KWAN Ling Yi	AHI298	Licensed Representative (Provisional Licence)	1, 2
KWOK Wai Tung	BJB193	Licensed Representative (Provisional Licence)	6
KWON Heung Sun	BIZ318	Licensed Representative (Provisional Licence)	1, 4
KWONG Wai Man	ALU750	Licensed Representative (Provisional Licence)	1
LAI Ching Man	AET937	Licensed Representative (Provisional Licence)	1, 4
LAI Chun Hei	BIV412	Licensed Representative (Provisional Licence)	1, 9
LAI Sheng Tang	BJA023	Licensed Representative (Provisional Licence)	9
LAI Zhexing	BIZ864	Licensed Representative (Provisional Licence)	1, 2
LAU Ka Bo Gilbert	BJB668	Licensed Representative (Provisional Licence)	6
LAU WING CHUNG	BJC513	Licensed Representative (Provisional Licence)	1, 4
LEE Benjamin Yi-Hung	BJC087	Licensed Representative (Provisional Licence)	9
LEE Christy	BHF337	Licensed Representative (Provisional Licence)	1
LEE Chun Hoi	AJD777	Licensed Representative (Provisional Licence)	3
LEE Kun Tai Steven	BJA762	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Shun Hang	BGD494	Licensed Representative (Provisional Licence)	1
LEE Yong Suk	BJA739	Licensed Representative (Provisional Licence)	1
LENG Xiangyu	BJB293	Licensed Representative (Provisional Licence)	9
LENG Xiaomao	BJA623	Licensed Representative (Provisional Licence)	1, 6
LEONG Tzi Ying	BIZ922	Licensed Representative (Provisional Licence)	6
LEUNG Derek Ying Wai	ACX247	Licensed Representative (Provisional Licence)	1, 4
LEUNG Ka Chun Sunny	AQN302	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Ming Hin	BIZ869	Licensed Representative (Provisional Licence)	1
LEWIS Gareth Rhys	AOX202	Licensed Representative (Provisional Licence)	4, 9
LI Christopher Ho-shing	BJB842	Licensed Representative (Provisional Licence)	1
LI Chun	BJA050	Licensed Representative (Provisional Licence)	1
LI Huili	BJB492	Licensed Representative (Provisional Licence)	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Jiexin	BJC190	Licensed Representative (Provisional Licence)	1, 4, 7
LI Jing	AOV618	Licensed Representative (Provisional Licence)	1, 2
LI Mei Hing	ALP487	Licensed Representative (Provisional Licence)	1
LI Na	BJB579	Licensed Representative (Provisional Licence)	1, 4
LI Suyu	BGH891	Licensed Representative (Provisional Licence)	4
LI Xiao	BEH577	Licensed Representative (Provisional Licence)	1, 6
LI Xiyun	BJB382	Licensed Representative (Provisional Licence)	1
LI Yilin	BJD375	Licensed Representative (Provisional Licence)	1, 6
LI Yiyang	BIY431	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhiying	BJD149	Licensed Representative (Provisional Licence)	1, 6
LIN Jingjun	ANR626	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Min	BJB497	Licensed Representative (Provisional Licence)	6
LIN Sen	BJB730	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Yiu Ho	BIY579	Licensed Representative (Provisional Licence)	9
LING Yu Hin	BJC896	Licensed Representative (Provisional Licence)	6
LIU Dudu	BJC942	Licensed Representative (Provisional Licence)	1
LIU Hui Lin	BJA020	Licensed Representative (Provisional Licence)	9
LIU Jisheng	BJC784	Licensed Representative (Provisional Licence)	4
LIU Kwok Wah, Kenneth	AEG935	Licensed Representative (Provisional Licence)	1, 2
LIU Wai Ho	AWA607	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Wen	BJB902	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Zhihong	AEC891	Licensed Representative (Provisional Licence)	9
LU Junhua	AVX214	Licensed Representative (Provisional Licence)	1, 4, 9
LU Junyi	BJA751	Licensed Representative (Provisional Licence)	1, 6
MA Wing Cheong	ATC243	Licensed Representative (Provisional Licence)	1, 2
MARCHAND Xavier	BJC977	Licensed Representative (Provisional Licence)	1, 2, 7
MIYAKE Hiroyuki	BJC697	Licensed Representative (Provisional Licence)	9
MO Mei Ling	AZY143	Licensed Representative (Provisional Licence)	1
MORIYAMA Kasumi	BIZ878	Licensed Representative (Provisional Licence)	9
MURATA Takuya	BJC703	Licensed Representative (Provisional Licence)	1, 4
NG Laura Shui Yee	BJA644	Licensed Representative (Provisional Licence)	1, 4, 9
NG Wai Man	AXV193	Licensed Representative (Provisional Licence)	1
OUMARY Sophia Isabelle	BJA027	Licensed Representative (Provisional Licence)	1, 4, 6
PAGE Guillaume Boris	BJB179	Licensed Representative (Provisional Licence)	1, 4, 9
PANDA Angkit	ARG689	Licensed Representative (Provisional Licence)	1, 7
PANDHI Rahul	BJA015	Licensed Representative (Provisional Licence)	1, 2
PEH Chong Ghee	ADV635	Licensed Representative (Provisional Licence)	4
POON Hau Tin	BJB394	Licensed Representative (Provisional Licence)	1, 2
PUN Yung Yin	BJC895	Licensed Representative (Provisional Licence)	1, 2
PURI Tarun	BIZ293	Licensed Representative (Provisional Licence)	1, 2, 7
QI Zhengtao	BCP497	Licensed Representative (Provisional Licence)	1, 4
QIN Ai Jun	BJC383	Licensed Representative (Provisional Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
RACCAH Saul George	APD694	Licensed Representative (Provisional Licence)	6
ROBLES MONSALVE Nicolas	BIY675	Licensed Representative (Provisional Licence)	1, 6
SANSONI Sebastien Pierre Valery S.	BJA010	Licensed Representative (Provisional Licence)	1
SETHI Ishan	BIZ380	Licensed Representative (Provisional Licence)	4, 9
SHE Kelly	BIY493	Licensed Representative (Provisional Licence)	1
SHEN Yin	BIZ777	Licensed Representative (Provisional Licence)	1, 6
SHIH Keng-Yu	BAC090	Licensed Representative (Provisional Licence)	4
SIN Kam Kei Susan	BIZ620	Licensed Representative (Provisional Licence)	1, 2
SIT Kin Fung	BJC893	Licensed Representative (Provisional Licence)	1, 2, 4
SNOWDON Andrew Mark	BJB152	Licensed Representative (Provisional Licence)	1
SO Wai Pui Herbert	BJB543	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Frank	AXK328	Licensed Representative (Provisional Licence)	1, 4
SOTNEVA Yulia	BIZ107	Licensed Representative (Provisional Licence)	1, 6
STEEN Jeremy David	BHR493	Licensed Representative (Provisional Licence)	2
SU Youjin	BAS834	Licensed Representative (Provisional Licence)	4, 9
SUN Huang	BJB920	Licensed Representative (Provisional Licence)	1
SUN Shu I	BDR559	Licensed Representative (Provisional Licence)	1, 4
SUN Xin	BJB194	Licensed Representative (Provisional Licence)	1, 2, 4
TAM Howard	BJA785	Licensed Representative (Provisional Licence)	1, 6
TAN Yaxin	BIJ326	Licensed Representative (Provisional Licence)	1, 6
TAN You Khai	BFE580	Licensed Representative (Provisional Licence)	1, 2
TANG Claire Jin	BIZ526	Licensed Representative (Provisional Licence)	1, 4
TORNBERG Martin	AFE172	Licensed Representative (Provisional Licence)	4, 9
TRESSIERES Raphael Georges	BIZ032	Licensed Representative (Provisional Licence)	6
TSANG Pak Hin	BIZ530	Licensed Representative (Provisional Licence)	1, 2
TSE Hiu Yu	AUG364	Licensed Representative (Provisional Licence)	1, 2
TSOI Ha Chun Roman	BJB404	Licensed Representative (Provisional Licence)	1, 4
TU Yung Cheng	BJD975	Licensed Representative (Provisional Licence)	9
TUNG Ka Sing	BIW978	Licensed Representative (Provisional Licence)	1, 2
URQUHART Nathan Kyle	BJB189	Licensed Representative (Provisional Licence)	1, 9
WAN KAMARUZAMAN Wan Ahmad Shukri	BJC172	Licensed Representative (Provisional Licence)	4, 9
WANG Chen	BJC199	Licensed Representative (Provisional Licence)	6
WANG Diming	BIZ246	Licensed Representative (Provisional Licence)	1, 6
WANG Hang	BGG247	Licensed Representative (Provisional Licence)	1, 4
WANG Pinyue	BIX930	Licensed Representative (Provisional Licence)	1, 4
WANG Shuai	AOH868	Licensed Representative (Provisional Licence)	4
WANG Shuhua	BJC950	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Siyuan	BJC337	Licensed Representative (Provisional Licence)	1, 4
WANG Xingjie	BIZ041	Licensed Representative (Provisional Licence)	1, 6
WANG Yifu	BJB198	Licensed Representative (Provisional Licence)	1, 4
WILKIN Scott Douglas	BIY698	Licensed Representative (Provisional Licence)	1, 4
WONG Hiu Wa	BJB491	Licensed Representative (Provisional Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Chi Hung	BJB187	Licensed Representative (Provisional Licence)	1, 4
WONG Chi Kong	BJC707	Licensed Representative (Provisional Licence)	6
WONG Chun Kit	BJC702	Licensed Representative (Provisional Licence)	6
WONG Si Si Connie	BIZ557	Licensed Representative (Provisional Licence)	1
WONG Tsz Ying, Phoebe	BJC370	Licensed Representative (Provisional Licence)	1, 6
WOO Stephanie Ka Wai	AQR182	Licensed Representative (Provisional Licence)	4
WU Nga Kam, Anna	AFP897	Licensed Representative (Provisional Licence)	1
WU Tzu Chun	BJA729	Licensed Representative (Provisional Licence)	1, 6
WU Wai Ming Jennifer	BIY153	Licensed Representative (Provisional Licence)	10
XIA Jiajie	BJB584	Licensed Representative (Provisional Licence)	1, 4
XIA Yun	BJA039	Licensed Representative (Provisional Licence)	9
XIONG Wei	BJC489	Licensed Representative (Provisional Licence)	4
XU Dan	BJC231	Licensed Representative (Provisional Licence)	2
XU Huihui	BIY213	Licensed Representative (Provisional Licence)	1, 4
XU Lily	BJB451	Licensed Representative (Provisional Licence)	1, 6
XU Lu	BJC558	Licensed Representative (Provisional Licence)	1, 4, 6
XU Wen	BJA056	Licensed Representative (Provisional Licence)	1, 6
XU Ye	BIZ034	Licensed Representative (Provisional Licence)	4
YAM Mei Yan	BJB499	Licensed Representative (Provisional Licence)	6
YAM Ping Hung	BJC340	Licensed Representative (Provisional Licence)	1
YANG Shijing	AUU963	Licensed Representative (Provisional Licence)	2, 5
YANG Tung Han	BJD657	Licensed Representative (Provisional Licence)	1, 6
YANG Yi Sin	BIZ047	Licensed Representative (Provisional Licence)	1, 2
YANG Yuanhao	BJB251	Licensed Representative (Provisional Licence)	1, 6
YAO Alex Krystal	ACS438	Licensed Representative (Provisional Licence)	4, 9
YE Dui	BJC092	Licensed Representative (Provisional Licence)	1, 6
YEUNG Choi Fung, Amy	ANN663	Licensed Representative (Provisional Licence)	1, 4
YEUNG Ka Chun	BGY824	Licensed Representative (Provisional Licence)	4, 9
YEUNG Tsz Fung	BJC398	Licensed Representative (Provisional Licence)	1, 6
YIP Chi Yin	AUS286	Licensed Representative (Provisional Licence)	1, 2
YIP Gloria Sze Wan	BJC786	Licensed Representative (Provisional Licence)	1
YIP Timothy Churk Yue	APF351	Licensed Representative (Provisional Licence)	1, 4
YOU Yang	BJB904	Licensed Representative (Provisional Licence)	4
YU Bo	BJC515	Licensed Representative (Provisional Licence)	1, 4
YUE Yuan	BJB569	Licensed Representative (Provisional Licence)	4, 9
YUEN Ka Lun	BIY807	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAI Mo	BIX847	Licensed Representative (Provisional Licence)	1, 6
ZHANG Hongyi	BIZ596	Licensed Representative (Provisional Licence)	4
ZHANG Muyun	BJD658	Licensed Representative (Provisional Licence)	1, 6
ZHANG SHUANGYI	BIY856	Licensed Representative (Provisional Licence)	4, 9
ZHANG Siyu	BJB844	Licensed Representative (Provisional Licence)	2
ZHANG Tingfeng	BJB494	Licensed Representative (Provisional Licence)	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Yiping	BJB708	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yuanyun	BJD173	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yueyi	BJC230	Licensed Representative (Provisional Licence)	2
ZHANG ZICHEN	BJB888	Licensed Representative (Provisional Licence)	2, 5
ZHOU Weiguang	BIZ585	Licensed Representative (Provisional Licence)	1, 6
ZHOU ZHOU	BJD160	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Cheng	BJB237	Licensed Representative (Provisional Licence)	1, 4
ZOU Jianke	BIZ748	Licensed Representative (Provisional Licence)	1, 4
ALI Salman	BDY341	Licensed Representative (Temporary Licence)	4
AOKI Hidehiko	BAI638	Licensed Representative (Temporary Licence)	1, 4
ARORA Rohit	ART681	Licensed Representative (Temporary Licence)	4
BABA Taichi	BAZ165	Licensed Representative (Temporary Licence)	1, 2
BARTON Edson George	BIZ982	Licensed Representative (Temporary Licence)	1
BARUA Chirantan	AZT869	Licensed Representative (Temporary Licence)	4
BARUS Felicia Asrinanda	AUT955	Licensed Representative (Temporary Licence)	4
BHATTACHARYA Soumyanshu	BJA016	Licensed Representative (Temporary Licence)	1, 4
BUCKLAND James Stephen	BGV232	Licensed Representative (Temporary Licence)	1
BURNS Nikolas Peter Charles	BAW620	Licensed Representative (Temporary Licence)	4
CASSIDY Gerard Sean	BHB962	Licensed Representative (Temporary Licence)	4
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAINANI Suveer	BHV136	Licensed Representative (Temporary Licence)	1
CHAKRABORTY Samiran	BGU585	Licensed Representative (Temporary Licence)	4
CHENG Robin Shiang	ATD727	Licensed Representative (Temporary Licence)	1, 4
CHEONG Taeyong	BGC155	Licensed Representative (Temporary Licence)	1
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHO Helen Camille	BIZ981	Licensed Representative (Temporary Licence)	1
CHOI Jaehoon	BIZ562	Licensed Representative (Temporary Licence)	4
CHOI Minha	BFC667	Licensed Representative (Temporary Licence)	4
CHOONNAWAT Kaseedit	AOY790	Licensed Representative (Temporary Licence)	1, 4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	1, 4
CHUNG Bo Ra	BHH387	Licensed Representative (Temporary Licence)	4
COX Ashley James	AQS487	Licensed Representative (Temporary Licence)	1, 2
DELMAS Guillaume Gerard Vincent	BJC224	Licensed Representative (Temporary Licence)	1, 4
DESHMUKH Prasad Gulabrao	BAR266	Licensed Representative (Temporary Licence)	1, 4
DIVERS Alan Richard	BIZ926	Licensed Representative (Temporary Licence)	1, 2
DOWNING Christopher Thomas	BJC238	Licensed Representative (Temporary Licence)	1, 4
EGUCHI Hiroyasu	BBL849	Licensed Representative (Temporary Licence)	1, 4
ELLINGER Craig Garret	BIZ244	Licensed Representative (Temporary Licence)	1, 4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FOX Glenn Michael	BIZ968	Licensed Representative (Temporary Licence)	1
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GISBOURNE Ian Mark	ACS236	Licensed Representative (Temporary Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
GOLDSWORTHY Peter James	BJC944	Licensed Representative (Temporary Licence)	1, 4
GONZALES Emeterio III David	AAI265	Licensed Representative (Temporary Licence)	1, 4
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GRENYER Leon	BJC246	Licensed Representative (Temporary Licence)	1
GRIJNS Andrew Arnold	BAM236	Licensed Representative (Temporary Licence)	1
HARDING Daryl Jon	BJC544	Licensed Representative (Temporary Licence)	2, 5
HARRISON Matthew Kelsey	BEZ680	Licensed Representative (Temporary Licence)	1
HE Yue	BIZ760	Licensed Representative (Temporary Licence)	4
HELSEBY Michael Francis	BAY305	Licensed Representative (Temporary Licence)	1, 4
HERR Kathryn Jane	BHK246	Licensed Representative (Temporary Licence)	4
HESSE Oliver Marc	BGK627	Licensed Representative (Temporary Licence)	1
HOLIAN James Simon	BJA888	Licensed Representative (Temporary Licence)	1
HUANG Jinzheng Corwin	BJC167	Licensed Representative (Temporary Licence)	1, 4
HWANG In Bok	BJC325	Licensed Representative (Temporary Licence)	1, 2
IENO Eiji Alex	AXB793	Licensed Representative (Temporary Licence)	1, 2
IMAZU Nanako	AVU642	Licensed Representative (Temporary Licence)	1
JANG Young Woo	AGW609	Licensed Representative (Temporary Licence)	4
JANSEN Michael	BEI461	Licensed Representative (Temporary Licence)	1, 2
KAMIMURA Takeshi	BGN197	Licensed Representative (Temporary Licence)	1
KAMIMURA Taro	ARE945	Licensed Representative (Temporary Licence)	1
KANG Puay Ju	BJB545	Licensed Representative (Temporary Licence)	1
KIESEL Mark Richard	BFN437	Licensed Representative (Temporary Licence)	1, 4
KIM Hyo Jun	AOH894	Licensed Representative (Temporary Licence)	4
KIPPING Bryan Edward	ACR175	Licensed Representative (Temporary Licence)	1
KIT Wei Zheng	BEB420	Licensed Representative (Temporary Licence)	4
KLINGENBERG-TIMM Benno	BGD845	Licensed Representative (Temporary Licence)	1, 4
LARKIN Adam David	BJC096	Licensed Representative (Temporary Licence)	1
LASSANSKE Aaron John	BDN955	Licensed Representative (Temporary Licence)	1, 4
LATHAM Daniel Vincent	BDL300	Licensed Representative (Temporary Licence)	1
LAZAR Ramoun	AXC435	Licensed Representative (Temporary Licence)	4
LEE Doyeon	BFO638	Licensed Representative (Temporary Licence)	4
LEE Kyung Ja	BEX973	Licensed Representative (Temporary Licence)	4
LEE Ming-Hsun	BAO744	Licensed Representative (Temporary Licence)	1, 4
LEE Moses	BDE482	Licensed Representative (Temporary Licence)	2, 5
LEE Seung Hyun	AMA939	Licensed Representative (Temporary Licence)	4
LEE Su Sie	BFU417	Licensed Representative (Temporary Licence)	1, 4
LIE Vivi Handoyo	BEN583	Licensed Representative (Temporary Licence)	4
LIM Junjie	BIY586	Licensed Representative (Temporary Licence)	1, 4, 6
LIN Chin-Yu	BJC342	Licensed Representative (Temporary Licence)	1
LIN Maximillian George	BHY284	Licensed Representative (Temporary Licence)	4
LINDQUIST Justin Myles	BJA817	Licensed Representative (Temporary Licence)	1
LOH Sin Niew	BJC093	Licensed Representative (Temporary Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LORD James Kenji	BAX712	Licensed Representative (Temporary Licence)	1
MA Kunpeng	BIZ759	Licensed Representative (Temporary Licence)	4
MALHOTRA Gaurav	BEB422	Licensed Representative (Temporary Licence)	4
MANSELL Steven Robert	BEI891	Licensed Representative (Temporary Licence)	4
MAR Warren John	BAJ312	Licensed Representative (Temporary Licence)	1
MARX Christopher William	AXS989	Licensed Representative (Temporary Licence)	1
MEERS William Neame	BID388	Licensed Representative (Temporary Licence)	1
MUKHIJA Tanuj	BJA905	Licensed Representative (Temporary Licence)	1, 4
MUKHLIS Helmi Arman	BDY347	Licensed Representative (Temporary Licence)	4
MURAKAMI Shuhei	BGZ147	Licensed Representative (Temporary Licence)	1, 4
MURPHY Jeremy	BJB696	Licensed Representative (Temporary Licence)	1, 4, 6
NA Eun Chae	BGC163	Licensed Representative (Temporary Licence)	4
NAGATOMI Nobuto	BGV077	Licensed Representative (Temporary Licence)	1, 2
NAJARIAN Leanne Erika	BDH956	Licensed Representative (Temporary Licence)	1, 4
NELSON Nicholas Scott	AXI169	Licensed Representative (Temporary Licence)	4
NG JINGHUA, EUGENE	BJC723	Licensed Representative (Temporary Licence)	1, 2
NISHIYAMA Kengo	BCE750	Licensed Representative (Temporary Licence)	4
NOLAN Michael Timothy	BDZ929	Licensed Representative (Temporary Licence)	1
OHSAKI Shuichi	BJC170	Licensed Representative (Temporary Licence)	1, 4
OLNEY Karen Michele	AZV673	Licensed Representative (Temporary Licence)	4
ONG Choon Keong	BEV987	Licensed Representative (Temporary Licence)	1, 4
ONUMA Kazuhiko	BHA489	Licensed Representative (Temporary Licence)	1
PANGILINAN Ron Andrew Rosario	BFR071	Licensed Representative (Temporary Licence)	1, 2
PARK Ki Heung	BEX962	Licensed Representative (Temporary Licence)	4
PARK Sung Mee	BFT696	Licensed Representative (Temporary Licence)	4
PERRIN Yael Marie	BIZ976	Licensed Representative (Temporary Licence)	1, 2
PHELPS Mark Ivor	AZE639	Licensed Representative (Temporary Licence)	1
PHRAWPHRAIKUL Charti	BGX420	Licensed Representative (Temporary Licence)	1, 4
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
PORTELLI Josef	BJA908	Licensed Representative (Temporary Licence)	1, 4
PRANOTO Henry Sulisty	BHO644	Licensed Representative (Temporary Licence)	1
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	1, 4
ROBINSON Geoffrey Robert Anthony	BHX552	Licensed Representative (Temporary Licence)	4
SANGHRAJKA Satyan Arvind	BGB565	Licensed Representative (Temporary Licence)	1, 4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	1, 4
SHAW Lachlan John Rayner	BGL492	Licensed Representative (Temporary Licence)	4
SIMMONDS Stuart Duncan	BCP839	Licensed Representative (Temporary Licence)	1, 4
SIMS David Michael	BGV073	Licensed Representative (Temporary Licence)	1, 2
SIRIPASSORN Kritapas	BDQ319	Licensed Representative (Temporary Licence)	4
SON Youngchan	ARD485	Licensed Representative (Temporary Licence)	1
SPENCE Magnus Andrew Eric	AXT334	Licensed Representative (Temporary Licence)	1
STOPFORD Patrick John Richard	AQE998	Licensed Representative (Temporary Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	1, 4
TAKAKU Hirofumi	AXI172	Licensed Representative (Temporary Licence)	1, 2
TAKEDA Marina	BHJ816	Licensed Representative (Temporary Licence)	1
TAN Toon Leng	BDM837	Licensed Representative (Temporary Licence)	4
TANNERS Timna Beth	BEJ877	Licensed Representative (Temporary Licence)	1, 4
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	1, 4
TE John Victor Cabarles	BJC545	Licensed Representative (Temporary Licence)	1, 4
TEMPLE Ronald Scott	AFA186	Licensed Representative (Temporary Licence)	1, 4
TODD David Robert	BJA909	Licensed Representative (Temporary Licence)	1, 4
UHER Judith	BIY690	Licensed Representative (Temporary Licence)	1, 2
VACHIRAPONG Therapong	BGV252	Licensed Representative (Temporary Licence)	1, 4
VADIVELOO Premkumar Li	BIZ270	Licensed Representative (Temporary Licence)	1
WEINSTEIN Ellen	BJA818	Licensed Representative (Temporary Licence)	1, 2
WILLIAMS Matthew James	BCV078	Licensed Representative (Temporary Licence)	1, 4
WILSON Ralph	BCJ291	Licensed Representative (Temporary Licence)	1, 2
YANG Jong In	BEX912	Licensed Representative (Temporary Licence)	4
YAU Hoe Kiat, Patrick	AML197	Licensed Representative (Temporary Licence)	4
YOSHIOKA Masahiko	BHJ396	Licensed Representative (Temporary Licence)	1
YU Carlos Jr Ranchez	BGT941	Licensed Representative (Temporary Licence)	1, 4
YUN Hee Do	BGY982	Licensed Representative (Temporary Licence)	4