



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
Augustine Asset Management Limited	BJD727	Licensed Corporation	4, 9
Blackwell Global Asset Management Limited	BJU094	Licensed Corporation	4, 5, 9
China Hanya Securities Limited	BIJ698	Licensed Corporation	1
Flow Traders Hong Kong Limited	BJQ859	Licensed Corporation	1, 2
Fusang Investment Office Limited	BKE639	Licensed Corporation	9
GLOBAL MASTERMIND INVESTMENT LIMITED	BKG776	Licensed Corporation	9
Grande Capital Limited	BJK556	Licensed Corporation	6
GuanHong Securities Limited	BJW079	Licensed Corporation	1
Guardians Asset Management Limited	BKK364	Licensed Corporation	9
Guo Bang (HK) Asset Management Limited	BKV833	Licensed Corporation	9
MetLife Investments Asia Limited	ADY079	Licensed Corporation	1, 4, 9
Prosper Global Asset Management Limited	BKZ166	Licensed Corporation	4, 9
Quanta Financial Group Limited	BHM657	Licensed Corporation	9
Qube Research & Technologies Hong Kong Limited	BJJ249	Licensed Corporation	9
T & F Equities Limited	AMI250	Licensed Corporation	1
Way Fook International Group Limited	AWI430	Licensed Corporation	4, 9
Yong River Capital Limited	BKV382	Licensed Corporation	4, 9
AGGARWAL Saumya	BLU751	Licensed Representative	1, 9
ANWAR Shafi Din	BLV463	Licensed Representative	9
ARLETTAZ Benoit Michel Jose	APP420	Licensed Representative	1, 4, 6
BAI Huizi	BLP020	Licensed Representative	1
BAKER Arthur Thomas	BLV186	Licensed Representative	1, 2
BALL Rickie Graham	BLP740	Licensed Representative	1, 6
BERNARD Arnaud Roland Armand	BLQ450	Licensed Representative	1
BONE Andrew John	BLN439	Licensed Representative	1, 4, 9
BUSSONNIERE Henri Jacques Thibault	BLO100	Licensed Representative	1, 2, 7
CAI Yingchen	BLT552	Licensed Representative	4
CHAMBEAU-BLOC Jacques	BLU088	Licensed Representative	1, 2
CHAN Cheuk Fai Andy	BGI432	Licensed Representative	10
CHAN Hei Tung	BLV840	Licensed Representative	1, 4
CHAN Hiu Kuen	BLR565	Licensed Representative	1
CHAN Ho Yan	BLP038	Licensed Representative	1
CHAN Ka Wai	BLR954	Licensed Representative	1
CHAN Ka Yan	BLU966	Licensed Representative	1
CHAN Kin Ming	AYK590	Licensed Representative	1
CHAN Kwok Lung	BEK821	Licensed Representative	3
CHAN Kwok Man, Frederick	AWA392	Licensed Representative	10
CHAN Suk Ngai, Tiffany	AQB021	Licensed Representative	1, 2
CHAN Tammy	BLP888	Licensed Representative	1, 2
CHAN Tsz Long	BKG153	Licensed Representative	1, 2
CHAN Wai Hung	AGS190	Licensed Representative	1
CHAN Wing Lim	BLG083	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHANG Carmen	AVS344	Licensed Representative	9
CHANG Che-hao	BLO467	Licensed Representative	1, 2, 4
CHAUDHRY Anoop	AGN971	Licensed Representative	1, 4, 6
CHEN Chiung Wen	BLX467	Licensed Representative	1, 4
CHEN Chuanjun	BLT674	Licensed Representative	1, 2
CHEN David Shih-wei	BLP963	Licensed Representative	9
CHEN Hung-Yu	BLU262	Licensed Representative	1, 2, 4, 5
CHEN Jinyuan	BLZ483	Licensed Representative	1
CHEN Kun	BGL674	Licensed Representative	1, 4, 9
CHEN Lufeier	BLM083	Licensed Representative	1, 4, 9
CHEN Luxiao	BLR648	Licensed Representative	1, 4
CHEN Ming Yu	BLT686	Licensed Representative	1
CHEN Peipei	BLO973	Licensed Representative	4, 9
CHEN Ping-Hung	BLT524	Licensed Representative	1, 4
CHEN SHIHHAO	BLR289	Licensed Representative	1
CHEN Su	BLR089	Licensed Representative	4, 9
CHEN Tingting	BKE184	Licensed Representative	1
CHEN Wei Chia	BLU937	Licensed Representative	1, 4
CHEN Wenhao	BLU972	Licensed Representative	1, 2
CHEN Xin	BLO515	Licensed Representative	4
CHEN Yu	BLN446	Licensed Representative	1, 2
CHENG Kai Chung, Garrick	ALW207	Licensed Representative	1, 4, 9
CHENG Wai See, Eunice	BBP484	Licensed Representative	9
CHEUNG Chak Ho	BCY422	Licensed Representative	1
CHEUNG Chi Kwong	BLT496	Licensed Representative	1
CHEUNG Chun Chun Vivian	BLL579	Licensed Representative	1, 4
CHEUNG Kit Ying	AFP600	Licensed Representative	1, 4
CHEUNG Lap Ki David	ARR119	Licensed Representative	1
CHEUNG Pan Chuen	BLO473	Licensed Representative	9
CHIU Chi Fai	BLT158	Licensed Representative	1, 4
CHIU Ding Chung	BLT159	Licensed Representative	1, 4
CHIU Kin Fai	ADC461	Licensed Representative	1, 4, 9
CHIU Pui Yi	BLU763	Licensed Representative	1
CHOI Ka Fong	BLO497	Licensed Representative	9
CHOI Won Suk	AYP113	Licensed Representative	4
CHONG Andrew Chi Ho	BJO773	Licensed Representative	1
CHONG Chak Man	AFT770	Licensed Representative	9
CHONG Dart Yan	BLO388	Licensed Representative	2
CHOW Chi Shing	APJ855	Licensed Representative	1, 4
CHOW Douglas Edward Chun-kuen	BLO805	Licensed Representative	6
CHOW Oi Ting	BLX223	Licensed Representative	3
CHU Hiu Yin	BLT491	Licensed Representative	1, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHU Ka Ho	BFN898	Licensed Representative	1, 9
CHU King Wing	BLR897	Licensed Representative	1
CHU Ping Cheung	BKO648	Licensed Representative	1
CHU Tien	AOX106	Licensed Representative	1, 4, 9
CHU Wai Leung	ADW213	Licensed Representative	1
CHUA GOY Neil Jordan Lao	BLS843	Licensed Representative	1, 6
CHUAH Jiang Shen	BLI905	Licensed Representative	1, 2
Chung Ching Wai Nancy	ALQ458	Licensed Representative	1
CHUNG Jonathan	BLP479	Licensed Representative	9
CHUNG Yim Ling	BEZ882	Licensed Representative	9
COLEMAN Eleanor Marie	BDI772	Licensed Representative	1, 4
CUI Wei	BLW939	Licensed Representative	1, 4
DAI Li	BKA428	Licensed Representative	1
DENG Wei	BLT369	Licensed Representative	1, 4
DING Lin	BLU081	Licensed Representative	1, 4
DING Shen	BLU709	Licensed Representative	4
DING Tianyi	BLR694	Licensed Representative	1, 4, 9
DINH Nhu Thi Thuy	BLO435	Licensed Representative	1, 2
DONG YUE	BLP557	Licensed Representative	1, 4
DU Jialu	BLT526	Licensed Representative	1, 4, 9
DU Mengting	BLW083	Licensed Representative	1, 6
DUNNE Terry K	AUW515	Licensed Representative	4
DY Andre Mikael Lu	BKT170	Licensed Representative	1
FAN Chui Kwan	BLR962	Licensed Representative	1
FANG Fang	BLR664	Licensed Representative	9
FANG Tianxing	BLR540	Licensed Representative	1
FERRIS Andrew Peter	BLF827	Licensed Representative	1
FOK King Fai	BLT371	Licensed Representative	1, 2
FONG Ki Cheung	BLT176	Licensed Representative	1, 4
FONG Ting KWAN	BLP545	Licensed Representative	1
FU Bei	AHF184	Licensed Representative	1, 9
FU Wanying	BLC899	Licensed Representative	1, 4
FUN Yandeng	BLK416	Licensed Representative	1, 4
FUNG Chi Wai	APW679	Licensed Representative	1, 2
FUNG Lai Chun	BLV753	Licensed Representative	1, 2
FUNG Oi Yee	BLP846	Licensed Representative	1, 2
FUNG Po Ying	BLO106	Licensed Representative	1
GAN Sabrina Tsui Lyn	BLK147	Licensed Representative	1
GAO Xiner	BLU942	Licensed Representative	4
GERVAISE Adrien Lilian Bernard	BLR489	Licensed Representative	1, 2, 7
GO Hiromi	BLV187	Licensed Representative	1, 4
GOZUBUYUK Halil Ibrahim	BLV169	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
GROSHENS ROSEMARY LYN	BLO636	Licensed Representative	9
HAN Yuzhu	BLT746	Licensed Representative	1, 4
HANAFUSA Yuka	AWZ796	Licensed Representative	4, 9
HANDA Akashdeep Singh	BLO390	Licensed Representative	9
HAU Jacquelynne	BLQ406	Licensed Representative	1, 2
HAU Pai Lan	BLO679	Licensed Representative	1
HAY William Robert	BLL483	Licensed Representative	1, 2, 7
HE Lanjian	BLT554	Licensed Representative	6
HO Kam Yin	BKS085	Licensed Representative	1, 4
HO Seen Sze, Cecilia	BLU752	Licensed Representative	1, 4
HO Wai Yeung	BLO693	Licensed Representative	1, 4, 9
HO Yuen Kim	ARO425	Licensed Representative	1, 4
HONG Junchan	BLO695	Licensed Representative	6
HSU PO HAN	BLU702	Licensed Representative	1, 4, 9
HU Zeyu	BKI101	Licensed Representative	4
HUANG Anthea Yuying	BLT174	Licensed Representative	1, 2
HUANG Chaoni	BLO982	Licensed Representative	1, 4
HUANG Chin Lun	BLO104	Licensed Representative	1, 4
HUANG Hexiao	BLS753	Licensed Representative	1, 6
HUANG Jiayao	BLR822	Licensed Representative	9
HUANG Jingyuan	BEE296	Licensed Representative	1, 4, 6
HUANG Szu Chieh	BLP507	Licensed Representative	1, 4, 9
HUANG Yajun	BLN440	Licensed Representative	1, 4
HUANG Yao Yao	BLO855	Licensed Representative	1, 4, 9
HUANG Ying-Chau	BLV172	Licensed Representative	1
HUANG Yun	BLQ471	Licensed Representative	4, 9
HUANG Zehang	BLR936	Licensed Representative	1, 4
HUI Hiu Yu	BLR816	Licensed Representative	6
HURSON Eric Pierre Jean	BLS680	Licensed Representative	2
IMAIZUMI Jun	BLX952	Licensed Representative	1
IP Ming Kai	BLT278	Licensed Representative	1, 4
IP Tsz Kin	BLU979	Licensed Representative	1, 2
IU Wai Hing, Pius	AVC009	Licensed Representative	1
JHUN Jong Un	AXY504	Licensed Representative	9
JIA Chunchi	BLO146	Licensed Representative	4, 9
JIANG Xiaoxu	ASO484	Licensed Representative	1, 4, 6
JOHANSEN Kennie Atle	AXM048	Licensed Representative	9
JONES Matthew Richard	BLP133	Licensed Representative	1, 4, 9
JUNG Eun	BLV431	Licensed Representative	1, 4, 6
KAMAI Takeo	BBA272	Licensed Representative	1
KATTEVILDER Jelmer Maarten	BJU618	Licensed Representative	1, 4, 9
KAWANO Hiroshi	ACW174	Licensed Representative	1, 2, 4, 5



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
KIKUCHI Tomomi	BLL273	Licensed Representative	1, 4
KIM Taehong	AUV123	Licensed Representative	9
KIM YOUNGEUN	BLT549	Licensed Representative	4, 9
KO Fung Yee	AGC775	Licensed Representative	1
KONG Min	BLT542	Licensed Representative	1
KONG Shiu Lun	ADZ541	Licensed Representative	1, 4
KUNG Wang Leong	BLR820	Licensed Representative	1, 6
KUO PUI CHU	BLP012	Licensed Representative	1, 2
KUROKI Akira	BLR875	Licensed Representative	4, 9
KURZ Julian Ogden	BAI394	Licensed Representative	1
KWAN Boon Yee	ARP129	Licensed Representative	1, 4
KWAN Sai Hin	BLX076	Licensed Representative	1, 4
KWOK Chi Ho	BLW750	Licensed Representative	4, 9
KWOK Wing Lok	BLO682	Licensed Representative	1
KWON Jongmin	BLJ195	Licensed Representative	1, 4
LAI Chak Lam	BLV903	Licensed Representative	1, 2
LAI Cheuk Wai, Nathan	BLV465	Licensed Representative	1, 2, 3
LAI Chun Wing	ANA177	Licensed Representative	1, 2, 9
LAI Kin Ho	BAZ546	Licensed Representative	4
LAI KING MAN	BLR824	Licensed Representative	9
LAI Tsz Cheong	BLU760	Licensed Representative	1
LAI Wing Yin	BLP002	Licensed Representative	4
LAI Yuk Fung	BLX403	Licensed Representative	1
LAI Zhiyang	BAU623	Licensed Representative	9
LAM Leung Man Calvin	BLO992	Licensed Representative	6
LAM Lincoln	BLU924	Licensed Representative	1, 2
LAM Oi Yan, Nicole	BLR319	Licensed Representative	1, 4, 6
LAM Tsun Wang	BKQ462	Licensed Representative	9
LAM Yu Fong	BLU744	Licensed Representative	4
LAO Qiyao	BLO509	Licensed Representative	1
LAU Aidan Chak Yin	BLR666	Licensed Representative	6
LAU Chau Wing	BCJ634	Licensed Representative	4, 9
LAU Cin Yu	BLX138	Licensed Representative	6
LAU Kai Yu Puri	BLU769	Licensed Representative	4, 9
LAU Kin Ting, Timothy	BGL559	Licensed Representative	2
LAU Suet Chiu, Frederic	AMN573	Licensed Representative	1, 4, 6
LAU Wing Ki Winnie	BDJ457	Licensed Representative	4, 9
LAURENT Lucca Nevada	BEL622	Licensed Representative	1, 2
LE Xue	ARM838	Licensed Representative	4, 9
LEE Gi Yue, Vivian	ABY975	Licensed Representative	9
LEE Kam Sheung, Karen	BLQ902	Licensed Representative	1, 9
LEE Kwun Ho	BLO670	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Lok Fung Chapman Claude	BLV168	Licensed Representative	9
LEE Mei Ching, Alva	ADV120	Licensed Representative	1, 2, 4
LEE Tsz Hong	BLV467	Licensed Representative	1, 6
LEE Wai Lun	AXY825	Licensed Representative	1
LENG Jiaxuan	BLR368	Licensed Representative	6
LEONG Chin Pang, Lester	ADA406	Licensed Representative	1
LEUNG Chun Yi, Gladys	BLV456	Licensed Representative	1
LEUNG Hong Man	BLR333	Licensed Representative	1
LEUNG Su Lung	BGA561	Licensed Representative	1, 4, 9
LEUNG Wai	BLR821	Licensed Representative	1, 4, 6
LEUNG Yu Hang	AUT166	Licensed Representative	1, 4
LI Chim Fung	BLU333	Licensed Representative	1
LI Dongfang	BFJ635	Licensed Representative	9
LI Lynn Lin	BLW078	Licensed Representative	1, 4
LI Ming	BLR011	Licensed Representative	1, 4, 9
LI Ming Young	BLV430	Licensed Representative	1, 4, 9
LI Pulan	BLU489	Licensed Representative	4
LI Shun Lee	BLX815	Licensed Representative	1
LI Xi	BLP170	Licensed Representative	1
LI Xiang	BLM865	Licensed Representative	1, 9
LI Xiaoxi	BLS716	Licensed Representative	1, 6
LI Yi	BLX206	Licensed Representative	1, 4, 9
LI Zhigang	BLO844	Licensed Representative	4, 9
LIANG XIAO	BLL623	Licensed Representative	1, 4, 6
LIAO Maggie Mei Qi	BLC896	Licensed Representative	1, 4
LIAO Ning	BLP516	Licensed Representative	1, 2
LIN Qimin	BLV211	Licensed Representative	1, 4, 6
LIU Chia-yu	BLR684	Licensed Representative	4
LIU Chin Ting	ANM327	Licensed Representative	2
LIU Gang	BLP547	Licensed Representative	1
LIU Jia	BLT540	Licensed Representative	1, 4, 6
LIU Jie	BLO837	Licensed Representative	4, 9
LIU Ka Chun	AZD255	Licensed Representative	6
LIU Kei Kit	BLP543	Licensed Representative	6
LIU Wen	BLV389	Licensed Representative	1, 4, 9
LIU Xiaoying	BLR337	Licensed Representative	1, 4, 9
LIU Xinhe	BLI492	Licensed Representative	1, 4
LIU Xiuyuan	BLR406	Licensed Representative	1, 4, 6, 9
LO Chi Yin, Patrick	BLS910	Licensed Representative	1, 4, 6
LO Kin Lam	BLU386	Licensed Representative	1, 2
LO Long Pak Rambo	AWA647	Licensed Representative	1, 4
LO Pok Man	BLW918	Licensed Representative	9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LOW Audrey Ying Jun	BFI437	Licensed Representative	1, 4, 9
LU Jing	BLR530	Licensed Representative	1, 4, 9
LU LEI	BLT136	Licensed Representative	9
LUI Wai Shan Debbie	BLO988	Licensed Representative	1, 4
LY Johnny	BLP129	Licensed Representative	1, 4, 9
LYU Bingrong	BLT086	Licensed Representative	1, 4
LYU Ke	BLX084	Licensed Representative	1
MA Man Kuen	BLR386	Licensed Representative	1
MAK Angela Wing Fung	AXK731	Licensed Representative	1, 9
MAN Wai Kit	BLU758	Licensed Representative	1
MAO Yuanchao	BLU912	Licensed Representative	1, 4, 5
MEI Jintian	BLQ901	Licensed Representative	9
MINESHIMA KOTA	BLO098	Licensed Representative	1, 4
MISTRY Priesch	BLR667	Licensed Representative	6
MOK Wah Kit	BLV150	Licensed Representative	6
NAGATA Shunosuke	AQP759	Licensed Representative	1, 4, 6
NAM Yue Kwan	BLO149	Licensed Representative	1, 4
NANAVATI Amit Piyush	BLE751	Licensed Representative	4
NG Chi Kan	BLR538	Licensed Representative	1
NG Chung Kwong	AOD605	Licensed Representative	1
NG Hiu Shan	AEF325	Licensed Representative	1
NG Hung Tai	BLS821	Licensed Representative	1, 2
NG Sze Ming	BLR356	Licensed Representative	1, 4, 9
NG Wing Yin	BLT285	Licensed Representative	1, 4
NGAN Chi Chun Alfee	BLV188	Licensed Representative	1
NIE Zhuofei	BLR344	Licensed Representative	6
NING Pui Yu	BLR304	Licensed Representative	1, 2
NING Qianyang	BLU941	Licensed Representative	1, 6
NIU Anan	BLR397	Licensed Representative	1
O Chi Ho	BLV460	Licensed Representative	6
OUYANG Qingtian	BLV152	Licensed Representative	6
PAN Wen-hsiu	BKP394	Licensed Representative	1, 4, 9
PAN Yinglong	BLH884	Licensed Representative	1, 4
PANG Xinyi	BLQ513	Licensed Representative	1
PARFITT Simon Martin	BGY807	Licensed Representative	4, 9
PENG Xue	BLP964	Licensed Representative	4
PENG Yuhan	BLS882	Licensed Representative	1, 4, 9
PO Lok Yee	BLT520	Licensed Representative	6
POH Jiesheng	BLM101	Licensed Representative	1, 4, 6
POON Kwan Ching, Kent	AGD969	Licensed Representative	1
POON Wing Yan	BLV754	Licensed Representative	1, 2
PU Jingsu	BJO694	Licensed Representative	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
QIN Li	BLV366	Licensed Representative	1, 9
QIN Yang	BLU964	Licensed Representative	1
QUEK Weizhong Adrian	BLW785	Licensed Representative	1
REED Michael Anthony	BLS711	Licensed Representative	4
REEVES Melissa	BHL204	Licensed Representative	1
REGISTRAR Tarit	BLT536	Licensed Representative	1
RICHARDS Stephanie Ryan	BLT160	Licensed Representative	1, 4
ROGERSON Thomas Neil	BLN469	Licensed Representative	4, 9
RUSTAGI Amit	BLD719	Licensed Representative	4
SHAH Ankita	BLW762	Licensed Representative	6
SHAH Chirag Chandrakant	AYT913	Licensed Representative	4
SHANG Zihan	BLW773	Licensed Representative	1, 2
SHI Sheng	BLO840	Licensed Representative	4, 9
SHIRAKAWA Yu	BLU653	Licensed Representative	1, 4
SHU Chun Kong	BKC090	Licensed Representative	4, 9
SIBAL Maria Elisa Andrea Pamandanan	BKT185	Licensed Representative	1
SIT Cheng Po	BAX113	Licensed Representative	1, 2, 4
SIU Kin Wa	AWH334	Licensed Representative	1
SMITH Hans Christopher	AHS866	Licensed Representative	9
SOHN Jung Min	BLN910	Licensed Representative	10
SU Lixuan	BLQ923	Licensed Representative	1, 6
SUEN Wing Sze	BLU951	Licensed Representative	10
SUN Jianwen	BLX560	Licensed Representative	1, 6
SUNG Ka Hong	BLV391	Licensed Representative	1
SURENKOK Inci Irmak	APD602	Licensed Representative	1, 4
SVENSSON Moa Terese	BLK144	Licensed Representative	9
SY Archibald Munoz	BLD571	Licensed Representative	1, 2
TAI Gee Han Andy	BLL347	Licensed Representative	1, 6
TAI Kwan Tat	BBL046	Licensed Representative	1, 4, 9
TAI Sing Lan	BLT706	Licensed Representative	1, 2
TAKAHASHI Tomohiro	BFH181	Licensed Representative	1
TAM Ophelia Wing Yan	AOP079	Licensed Representative	1, 4
TAN Adin Yan Wei	BLS765	Licensed Representative	1
TAN Zhi Wei	BLL122	Licensed Representative	1, 4, 6
TANG Yeung Tim	BLT162	Licensed Representative	1, 4
TAO Jing	BLU714	Licensed Representative	1
TAY WEIXIONG Samuel	BLN920	Licensed Representative	1, 4, 9
TENG Yushan	BLU947	Licensed Representative	1
TIAN Hao	BBU456	Licensed Representative	1, 4
TIAN Ke	BLV468	Licensed Representative	6
TIAN Yafei	BLR391	Licensed Representative	4
TING May	BLL490	Licensed Representative	1, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
TIPPAYAVAT Sutinee	BLQ460	Licensed Representative	1, 4
TNG Wee Ling	BLT673	Licensed Representative	1, 2
TOMIMATSU Yosuke	BBB708	Licensed Representative	4
TOMISAWA Shotaro	BLV451	Licensed Representative	1
TONG Cheuk Kwan	BLW923	Licensed Representative	4, 9
TONG Tsz Ching	BHT922	Licensed Representative	9
TOO Seng Shen	BLO792	Licensed Representative	1, 4, 6
TORRE Graeme	AJG930	Licensed Representative	4, 9
TSANG Hin Lok	BLO974	Licensed Representative	9
TSANG Man Man	BLP056	Licensed Representative	1
TSANG Ting Chi	AGS195	Licensed Representative	1
TSANG Wai Nam	BEZ756	Licensed Representative	1, 2
TSE Ho Ting	BGO659	Licensed Representative	1
TSOI Lon Ho	BCC468	Licensed Representative	1, 4, 6
TUDOR Harshika Chandrakant	BLG304	Licensed Representative	2, 5
TURLEY Catherine Elizabeth	BLR879	Licensed Representative	1
UEHARA Shiro	BLL278	Licensed Representative	1, 4, 6
UPADRASTA Sivakumar	BLT551	Licensed Representative	1
WADA Mariko	BLU069	Licensed Representative	1, 4, 9
WAI Chi Ho, Bob	APP003	Licensed Representative	1, 4, 9
WANG Chung-hao	ATJ196	Licensed Representative	4, 9
WANG Feng	BLP859	Licensed Representative	1, 4, 9
WANG Guanwen	BLG324	Licensed Representative	4, 9
WANG Hanxu	BMA114	Licensed Representative	1, 4
WANG Hongyin	BLP140	Licensed Representative	1
WANG Hu	BLT077	Licensed Representative	4, 9
WANG Jiayu	BFO084	Licensed Representative	4, 6
WANG Jundi	BLS790	Licensed Representative	1, 2, 4
WANG Mei-Ling	BKJ032	Licensed Representative	4, 9
WANG Qi	BLP469	Licensed Representative	1
WANG Weiqi	BLR647	Licensed Representative	1, 4
WANG Wenwen	BLX148	Licensed Representative	6
WANG Yang	BLX566	Licensed Representative	4
WANG Yawen	BLS719	Licensed Representative	4
WEI Lun	BLO697	Licensed Representative	1
WEI Yangkun	BID176	Licensed Representative	1, 4, 6
WEN Jie	BLM870	Licensed Representative	1, 9
WENG Fang	BLP777	Licensed Representative	4, 9
WINSLEY David Benjamin	AWS593	Licensed Representative	9
WONG Cheuk Hei	BLR669	Licensed Representative	1, 4
WONG Chun Mui	BGI640	Licensed Representative	1, 4
WONG Hoi Yan	APO633	Licensed Representative	1, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Ka Kit	AXI232	Licensed Representative	1, 4
WONG Kai Leung	ANQ639	Licensed Representative	1, 2
WONG Kwok Tung	BLR098	Licensed Representative	1, 2, 4, 9
WONG Man Chun	APQ127	Licensed Representative	1
WONG Mei Wah, Tiffany	ALN165	Licensed Representative	1, 4
WONG Shun King	BLW758	Licensed Representative	1, 2
WONG Sung Yui	BLV226	Licensed Representative	9
WONG Tsang Hung	BLO791	Licensed Representative	9
WONG Tsz Fung	BLO698	Licensed Representative	1
WONG Vivian Helen	AXI360	Licensed Representative	4, 9
WONG WAI CHING	BLI488	Licensed Representative	1
WONG Wai Ping, Esther	AMP022	Licensed Representative	1, 4
WONG Yun Fai	APD419	Licensed Representative	1, 4, 9
WOO Hon Man, Herman	AGN338	Licensed Representative	1
WRIGHT Lindsay Margaret	BLR874	Licensed Representative	1, 4
WU Chun Tat	BLU043	Licensed Representative	4, 9
WU Wei	BLR517	Licensed Representative	9
WU Xiaoning	BLU996	Licensed Representative	9
WU Yuh-Ray	BLX384	Licensed Representative	1, 4
WU Zhuolun	BLS794	Licensed Representative	1, 6
XIA Nan	AQL118	Licensed Representative	1, 4, 9
XIAO Zongheng	BLS682	Licensed Representative	4
XIE Yuhong	BLR085	Licensed Representative	1
XU Bingqing	BLR695	Licensed Representative	1, 4, 9
XU Chenxi	BLO521	Licensed Representative	4, 9
XU Jia Nan	BLV212	Licensed Representative	1, 6
XU Muye	BLW942	Licensed Representative	4, 9
XU Shaoyu	BLU432	Licensed Representative	9
XU Xi	BHK043	Licensed Representative	4, 9
XU xiaolong	BLN675	Licensed Representative	1
XU Zhiran	BLR037	Licensed Representative	1, 9
YAMAGAMI Shinichiro	AYG572	Licensed Representative	1, 2, 4, 5
YANG Chunbai	BLV935	Licensed Representative	9
YANG Chung Ying	BLR665	Licensed Representative	1, 4, 9
YANG Jiakun	BLW963	Licensed Representative	1, 6
YANG Yang	BLN452	Licensed Representative	4, 9
YANG Zeqi	BLR889	Licensed Representative	1, 4, 9
YAU Chiu Tong	AAH690	Licensed Representative	1
YEONG Zhiyong, Brian	BIM901	Licensed Representative	1, 4
YEUNG Hoi To	BLT492	Licensed Representative	1, 2
YEUNG Kee Ming	BLO688	Licensed Representative	4, 9
YEUNG Man Wai	BLR318	Licensed Representative	4, 6, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIN Xiaomin	BLO685	Licensed Representative	1
YIP Ling	BLQ472	Licensed Representative	1
YOUK Su Jeong	BLV881	Licensed Representative	1
YU Adrian Wing Tak	BLW778	Licensed Representative	1
YU Chin Wai Bonny	BLT876	Licensed Representative	1, 4
YU Chun Kuei	ARP310	Licensed Representative	1
YU Ngai Lung Isaac	BLJ229	Licensed Representative	1, 4
YU Yan Pui Charis	BLX443	Licensed Representative	1
YU Yang	BLO801	Licensed Representative	2
YUEN Ka Yan	BLR308	Licensed Representative	1
YUNG Hoi Yi Julia	BLU468	Licensed Representative	6
YUNG Ka Tung	BLT314	Licensed Representative	9
ZHANG Jiaqi	BLT202	Licensed Representative	1, 4, 9
ZHANG Jirong, Joyce	BAV764	Licensed Representative	1, 4
ZHANG Rong	BLX787	Licensed Representative	4
ZHANG Sijia	BLU767	Licensed Representative	1, 4, 9
ZHANG Xiao Long	BLR519	Licensed Representative	9
ZHANG Yangli	BLV173	Licensed Representative	1, 9
ZHANG Yue	BLS909	Licensed Representative	1, 4
ZHAO Dingwei	BLT709	Licensed Representative	4, 5
ZHAO Xiang	BLN130	Licensed Representative	4, 9
ZHAO Xinyue	BLR353	Licensed Representative	4
ZHENG Rujia	BLW084	Licensed Representative	1, 4
ZHONG Li	BLV208	Licensed Representative	4
ZHONG Yuxuan	BLU750	Licensed Representative	1, 4, 6
ZHOU Kunfeng	BLR401	Licensed Representative	1, 4, 9
ZHOU Qianwen	BLT528	Licensed Representative	2, 5
ZHOU Tiantian	BLR398	Licensed Representative	9
ZHOU Xin	BLO642	Licensed Representative	1, 4, 9
ZHU Jike	BLV190	Licensed Representative	6
ZHU Xianan	BLO145	Licensed Representative	1, 4
ZHU Yin	BLO978	Licensed Representative	1, 4
ASTROM Lars Marcus	BKB303	Licensed Representative - Responsible Officer	1
BURNS Duncan Andrew	BLN670	Licensed Representative - Responsible Officer	9
CAI Weisong	BJH161	Licensed Representative - Responsible Officer	1, 4
CARTER Damon	BEO225	Licensed Representative - Responsible Officer	1, 2
CHAN Hiu Yeung	BJZ299	Licensed Representative - Responsible Officer	9
CHEN Weicheng	BHA091	Licensed Representative - Responsible Officer	1
CHIOU How Teng	BCR036	Licensed Representative - Responsible Officer	4, 9
CHONG Henry Heng Li	BKR759	Licensed Representative - Responsible Officer	9
CHUNG Ka Fai Franki	ACW769	Licensed Representative - Responsible Officer	4, 9
DINOVO Kenneth Lee	BKW167	Licensed Representative - Responsible Officer	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
DOUGLAS Paul Sinclair	BJX903	Licensed Representative - Responsible Officer	4, 9
FU Bei	AHF184	Licensed Representative - Responsible Officer	4
FUNG Kin Tung	AKI222	Licensed Representative - Responsible Officer	4, 9
GAASBEEK Arieen Christiaan	ARH982	Licensed Representative - Responsible Officer	1, 2
GAVAGHAN Peter James	AUZ011	Licensed Representative - Responsible Officer	1, 2
HARDING Daryl Jon	BJC544	Licensed Representative - Responsible Officer	2, 5
KONG Yun	BHL738	Licensed Representative - Responsible Officer	1, 4, 6
LAM Juliana Wang Key	AHK937	Licensed Representative - Responsible Officer	9
LEE Jeremy Chai Yuen	BHJ188	Licensed Representative - Responsible Officer	1
LEE Man Kwan	AOH076	Licensed Representative - Responsible Officer	9
LI Jinze	BIJ644	Licensed Representative - Responsible Officer	1, 4
LO Chi Ho, William	ACO135	Licensed Representative - Responsible Officer	9
MA Hui Ming	AYA620	Licensed Representative - Responsible Officer	1, 4
MARK Steven David	BJQ864	Licensed Representative - Responsible Officer	1, 2
MORTON Benjamin Wesley	BKA282	Licensed Representative - Responsible Officer	4
PARK In Cheol	ARM798	Licensed Representative - Responsible Officer	4, 9
POT Roeland Hendrik	BJQ866	Licensed Representative - Responsible Officer	1, 2
PU Jingsu	BJO694	Licensed Representative - Responsible Officer	9
RAJPAL Samir	BKR766	Licensed Representative - Responsible Officer	9
SCULLY Charles Sheridan	BHJ189	Licensed Representative - Responsible Officer	1, 4, 9
SEW HOY Kevin Graeme	AHK082	Licensed Representative - Responsible Officer	1, 2, 4, 6
SHI Shaoqiang	AHE750	Licensed Representative - Responsible Officer	4, 9
SORANI Andrea	BJW752	Licensed Representative - Responsible Officer	9
SUM Pun Yan	ADX895	Licensed Representative - Responsible Officer	1
SUN Tak Sing	ABD496	Licensed Representative - Responsible Officer	1
TIAN Ye	ASX337	Licensed Representative - Responsible Officer	9
TYE Lee Pang	BKR771	Licensed Representative - Responsible Officer	9
VAN ABBEMA Dirk Olivier	BKL728	Licensed Representative - Responsible Officer	1, 2
WANG Quan	BJP307	Licensed Representative - Responsible Officer	4, 9
WEN Wenfeng	BCP997	Licensed Representative - Responsible Officer	1, 4, 9
WILLIAMS Glenn John	BLA804	Licensed Representative - Responsible Officer	9
WONG Chi	AZF867	Licensed Representative - Responsible Officer	4, 9
XIONG Yun	BFJ343	Licensed Representative - Responsible Officer	9
YING Hua	BCF439	Licensed Representative - Responsible Officer	9
AINA Oluwadamilola Temitayo	BMA176	Licensed Representative (Provisional Licence)	1, 6
AIYAPPAN Ashwin	BLZ384	Licensed Representative (Provisional Licence)	1, 2
ANWAR Shafi Din	BLV463	Licensed Representative (Provisional Licence)	9
ASAEDA HIDEYA	BLV794	Licensed Representative (Provisional Licence)	4
ASHFORD-SMITH Daphne Karen	BMA239	Licensed Representative (Provisional Licence)	1, 4
ASSAD Edward William	BMD018	Licensed Representative (Provisional Licence)	9
BAKER Arthur Thomas	BLV186	Licensed Representative (Provisional Licence)	1, 2
BALL Rickie Graham	BLP740	Licensed Representative (Provisional Licence)	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
BANSAL Jagdeep Singh	AYC876	Licensed Representative (Provisional Licence)	4, 9
BARRY Ahmed Wilhelm	BME265	Licensed Representative (Provisional Licence)	1, 2
BERNARD Arnaud Roland Armand	BLQ450	Licensed Representative (Provisional Licence)	1
BERTHET Pierre Maurice Paul	BMA816	Licensed Representative (Provisional Licence)	1, 2
BLAIR James Malcolm	AKT388	Licensed Representative (Provisional Licence)	1
BOUTSIS Sotirios	BME175	Licensed Representative (Provisional Licence)	1
CAI Jing	AXO945	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Chi Wai	BLY761	Licensed Representative (Provisional Licence)	1
CHAN Chun Hei	BMA511	Licensed Representative (Provisional Licence)	4
CHAN Chun Kit	BMC174	Licensed Representative (Provisional Licence)	10
CHAN Kin Ho	BLZ744	Licensed Representative (Provisional Licence)	1
CHAN Kwan Shing	BLV204	Licensed Representative (Provisional Licence)	4, 9
CHAN Pui Yu	BMD854	Licensed Representative (Provisional Licence)	4
CHAN Tak Sum	BLZ737	Licensed Representative (Provisional Licence)	1
CHAN Tsang	BMD889	Licensed Representative (Provisional Licence)	10
CHAN Yick Keung	BBX848	Licensed Representative (Provisional Licence)	1, 2, 3
CHANG Chih Hsuan	BLY946	Licensed Representative (Provisional Licence)	4
CHANG Tien Yin	BMA437	Licensed Representative (Provisional Licence)	1
CHAU Hiu Yan	BAI419	Licensed Representative (Provisional Licence)	1
CHAUDHRY Anoop	AGN971	Licensed Representative (Provisional Licence)	1, 4, 6
CHEGUILLAUME Juliette Charlotte Marie H	BMA112	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHEN Bo	BLZ418	Licensed Representative (Provisional Licence)	1, 2
CHEN Chuanjun	BLT674	Licensed Representative (Provisional Licence)	1, 2
CHEN Donghui	BDM846	Licensed Representative (Provisional Licence)	1, 6
CHEN Hu	BMA461	Licensed Representative (Provisional Licence)	1, 2
CHEN Hui	BMA148	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Jun	BLZ486	Licensed Representative (Provisional Licence)	4, 9
CHEN Junyi	BLC884	Licensed Representative (Provisional Licence)	10
CHEN Kai Yun	AMJ189	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Kun	BGL674	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Liang	BLY021	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Luxiao	BLR648	Licensed Representative (Provisional Licence)	1, 4
CHEN Wentao	BMC509	Licensed Representative (Provisional Licence)	4
CHEN Xing	BLX185	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yi Pei	BMB328	Licensed Representative (Provisional Licence)	1
CHEN Zi Mu	ALA254	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Tai Wai, David	ARO960	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Tao	BLY758	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Cheuk Wing Christie	BMA947	Licensed Representative (Provisional Licence)	10
CHEUNG CHIN HO	BMA917	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Ching Fai, Daniel	ANC002	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHEUNG Kai Ho	BLY773	Licensed Representative (Provisional Licence)	1, 2, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Ngai Tat	BBH785	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Tsz Ho	BHV587	Licensed Representative (Provisional Licence)	1
CHIN Chun Ho	BMA531	Licensed Representative (Provisional Licence)	1
CHO Hing Fung	BLX809	Licensed Representative (Provisional Licence)	1
CHO Jong Hwan	BLZ386	Licensed Representative (Provisional Licence)	2
CHOI Ko Wai	BME262	Licensed Representative (Provisional Licence)	1, 6
CHOI Vanessa Wai Lam	BLZ377	Licensed Representative (Provisional Licence)	9
CHONG Dart Yan	BLO388	Licensed Representative (Provisional Licence)	2
CHOW LAM LAM JANE	BMA935	Licensed Representative (Provisional Licence)	1, 4
CHOW Long Ning	BME755	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHU Hiu Yin	BLT491	Licensed Representative (Provisional Licence)	1, 4, 9
CHUNG Wen Xuan Vincent	BMD867	Licensed Representative (Provisional Licence)	1, 6
CORREA FRAGA DE FREITAS Claudio Luis	BLZ760	Licensed Representative (Provisional Licence)	1, 4, 9
CUI Wei	BLW939	Licensed Representative (Provisional Licence)	1, 4
DA COSTA MONTEIRO Paulo Jorge	BLA832	Licensed Representative (Provisional Licence)	1, 2
DE BRUIN Edgar Joannes	BMB564	Licensed Representative (Provisional Licence)	1, 2
DE DIEGO YENES Luis Maria	BLX547	Licensed Representative (Provisional Licence)	1, 6
DENG Jiatian	BLS747	Licensed Representative (Provisional Licence)	1, 4
DENG Naitian	BMB571	Licensed Representative (Provisional Licence)	4
DING Li	BMB551	Licensed Representative (Provisional Licence)	1, 2
DONG Yu	BLX951	Licensed Representative (Provisional Licence)	1, 6
DUAN Yanlei	BKW772	Licensed Representative (Provisional Licence)	9
EL AYOUBI EL IDRISSEI Ahmed	BLX546	Licensed Representative (Provisional Licence)	1, 2
FENG Yahui	BAA252	Licensed Representative (Provisional Licence)	1, 2
FU Lo Cheong Wendy	BME731	Licensed Representative (Provisional Licence)	1
FUJII Ken	ABM992	Licensed Representative (Provisional Licence)	9
FUNG Gracia Yan Chi	ACA300	Licensed Representative (Provisional Licence)	1, 4, 9
GAO Jinxuan	BMB987	Licensed Representative (Provisional Licence)	4, 9
GAREAUX Romain	BCC523	Licensed Representative (Provisional Licence)	1, 2
GARG Anshul	BME258	Licensed Representative (Provisional Licence)	1, 2
GASANGDUOJIE	BLY484	Licensed Representative (Provisional Licence)	6
GO Hiromi	BLV187	Licensed Representative (Provisional Licence)	1, 4
GOH Edwin Ee Yew	BMC884	Licensed Representative (Provisional Licence)	1, 6
GOH Junyi	BMA435	Licensed Representative (Provisional Licence)	6
GONG Ming	BLV678	Licensed Representative (Provisional Licence)	4, 9
GOZUBUYUK Halil Ibrahim	BLV169	Licensed Representative (Provisional Licence)	1
GRUEN Stewart Paul	BMD848	Licensed Representative (Provisional Licence)	4
GU Xiangyun	BME242	Licensed Representative (Provisional Licence)	1, 2
GUO Zhenxian	BLZ767	Licensed Representative (Provisional Licence)	1, 4, 9
HALL Taylor Amanda	BMC935	Licensed Representative (Provisional Licence)	4, 5, 9
HAN Sangjoon	BLZ749	Licensed Representative (Provisional Licence)	1, 4
HAYAMA Yota	BMD879	Licensed Representative (Provisional Licence)	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
HE Chaowei	BMD846	Licensed Representative (Provisional Licence)	1, 6
HE Fangzhou	BLX819	Licensed Representative (Provisional Licence)	6
HE Xiaoyan	BLO690	Licensed Representative (Provisional Licence)	6
HEUNG Chun Kit	AUY929	Licensed Representative (Provisional Licence)	1, 4
HO Kai Yuen	BMC026	Licensed Representative (Provisional Licence)	1
HO Kevin Kin-hung	ATM434	Licensed Representative (Provisional Licence)	1, 4, 9
HO Pui Ki	BLT181	Licensed Representative (Provisional Licence)	1, 4, 9
HO Yat Hei	BCK251	Licensed Representative (Provisional Licence)	1, 4
HO Yuen Kim	ARO425	Licensed Representative (Provisional Licence)	1, 4
HOU Xiaoning	BMB739	Licensed Representative (Provisional Licence)	1, 6
HU CHAO	BLZ388	Licensed Representative (Provisional Licence)	9
HU Yangzhao	BMB622	Licensed Representative (Provisional Licence)	4
HU Zhichao	BMD543	Licensed Representative (Provisional Licence)	1, 6
HUANG Anthony	BLY755	Licensed Representative (Provisional Licence)	4, 9
HUANG Yujun	BMB621	Licensed Representative (Provisional Licence)	1, 2
HUI Yan Hoo Eric	BMB524	Licensed Representative (Provisional Licence)	1, 6
HUNG Wai Ching	BMA875	Licensed Representative (Provisional Licence)	1, 2, 3
HUO Ran	AOI875	Licensed Representative (Provisional Licence)	9
HWANG Chang Hyun	BME268	Licensed Representative (Provisional Licence)	1, 2
IENO Eiji Alex	AXB793	Licensed Representative (Provisional Licence)	1, 2
IMAIZUMI Jun	BLX952	Licensed Representative (Provisional Licence)	1
IP Chin Chung	BAK884	Licensed Representative (Provisional Licence)	2
IP Moses Alan	BMB936	Licensed Representative (Provisional Licence)	1, 2
JAIN Shreyans	BMD541	Licensed Representative (Provisional Licence)	1, 2
JI Jun	BLX770	Licensed Representative (Provisional Licence)	1
JIA Chunchi	BLO146	Licensed Representative (Provisional Licence)	4, 9
JIANG Cuicui	BMA474	Licensed Representative (Provisional Licence)	1, 6
JIANG Limin	BMA493	Licensed Representative (Provisional Licence)	1, 6
JING Ran	BMD835	Licensed Representative (Provisional Licence)	1, 4, 9
JOHNSON Geddes Roswell	BMB538	Licensed Representative (Provisional Licence)	1, 6
JOUANIN Jean-frederic Paul Stephane	BLV469	Licensed Representative (Provisional Licence)	1, 2, 7
KAM Chun Lai	BMC230	Licensed Representative (Provisional Licence)	1, 4
KARTHA Harish Ramachandran	BFG315	Licensed Representative (Provisional Licence)	4, 9
KIM Dongcheol	BMA794	Licensed Representative (Provisional Licence)	1, 4, 9
KIM Young Rin	BLZ368	Licensed Representative (Provisional Licence)	4
KOBA Hiroshi	BLX816	Licensed Representative (Provisional Licence)	1
KOIKE Yukihiko	BAT249	Licensed Representative (Provisional Licence)	4
KONG Xiangyu	BLX688	Licensed Representative (Provisional Licence)	4, 9
KUMAR Amit	BME712	Licensed Representative (Provisional Licence)	1, 2, 7
KWAN Tin Long	BMB691	Licensed Representative (Provisional Licence)	1
KWAN Wai Ling	BMF477	Licensed Representative (Provisional Licence)	1, 4, 9
KWOK Hoi Fung	BMF511	Licensed Representative (Provisional Licence)	2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWOK Ka Chun	BMA877	Licensed Representative (Provisional Licence)	1
KWOK Kelvin	AHT200	Licensed Representative (Provisional Licence)	9
LAI Seng Long	BLZ829	Licensed Representative (Provisional Licence)	1, 4, 6
LAI Wing Yi, Adda	AQX410	Licensed Representative (Provisional Licence)	1
LAM Ka Toi	BMA528	Licensed Representative (Provisional Licence)	1
LAM Ngar Lee, Ally	BLX536	Licensed Representative (Provisional Licence)	1
LAU Chi Hang	BMC986	Licensed Representative (Provisional Licence)	1, 4
LAU CHUN KIT	BLN150	Licensed Representative (Provisional Licence)	1
LAU Cin Yu	BLX138	Licensed Representative (Provisional Licence)	6
LAU Yin Lun	BME248	Licensed Representative (Provisional Licence)	4
LAW Kai Tak	BLV846	Licensed Representative (Provisional Licence)	1
LAW Matthew Shun Kei	BDJ462	Licensed Representative (Provisional Licence)	4
LEE Chun Yin	BMD849	Licensed Representative (Provisional Licence)	6
LEE Gi Yue, Vivian	ABY975	Licensed Representative (Provisional Licence)	9
LEE Ji Heon	BMA113	Licensed Representative (Provisional Licence)	1, 4
LEE Kin Lok	BLY488	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEE Li-Chen	BMF154	Licensed Representative (Provisional Licence)	1
LEE Mei Ching, Alva	ADV120	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Tsz Hong	BLV467	Licensed Representative (Provisional Licence)	1, 6
LEE Yik Long	ARG942	Licensed Representative (Provisional Licence)	1, 2, 4
LESCAROUX Frederic Paul	BLX958	Licensed Representative (Provisional Licence)	1, 2
LEUNG Chun Yi, Gladys	BLV456	Licensed Representative (Provisional Licence)	1
LEUNG Enrica Mankay	APY817	Licensed Representative (Provisional Licence)	1, 7
LEUNG Ho Tsin	BLY770	Licensed Representative (Provisional Licence)	1, 6
LEUNG hon man	BMC232	Licensed Representative (Provisional Licence)	2
LEUNG Ka Ying	BMB325	Licensed Representative (Provisional Licence)	1, 4
LEUNG Tik Kan	BMB944	Licensed Representative (Provisional Licence)	6
LEUNG Tze Wai	BLW763	Licensed Representative (Provisional Licence)	2
LEUNG Wing Hei	BMB612	Licensed Representative (Provisional Licence)	2
LEUNG Yuk San	BMB683	Licensed Representative (Provisional Licence)	1, 2
LEVIN Ross Douglas	BLZ728	Licensed Representative (Provisional Licence)	1
LI Changyi	BLC081	Licensed Representative (Provisional Licence)	1, 6
LI Keyang	BMD878	Licensed Representative (Provisional Licence)	1, 4, 9
LI Ming	BET762	Licensed Representative (Provisional Licence)	9
LI Pulan	BLU489	Licensed Representative (Provisional Licence)	4
LI Qihui	BLY966	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shun Lee	BLX815	Licensed Representative (Provisional Licence)	1
LI Siqi	BLZ748	Licensed Representative (Provisional Licence)	1, 6
LI YANAN	BLY502	Licensed Representative (Provisional Licence)	9
LI Ying Kin	BBE439	Licensed Representative (Provisional Licence)	1, 2
LI Yixia	BGB573	Licensed Representative (Provisional Licence)	9
LI Yunfei	BMA470	Licensed Representative (Provisional Licence)	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIAN ZHE	BMC489	Licensed Representative (Provisional Licence)	1, 4
LIAO Maggie Mei Qi	BLC896	Licensed Representative (Provisional Licence)	1, 4
LIAO Zhike	BLZ441	Licensed Representative (Provisional Licence)	1, 2
LILLIBRIDGE Robert Maarten	BLY721	Licensed Representative (Provisional Licence)	1, 4, 9
LIM You Jie	BJJ423	Licensed Representative (Provisional Licence)	1, 2, 7
LIN Nana	BLW079	Licensed Representative (Provisional Licence)	1
LIN Shan	BLY362	Licensed Representative (Provisional Licence)	9
LIN WenLin	BLO803	Licensed Representative (Provisional Licence)	1
LIN Xinxin	BLT350	Licensed Representative (Provisional Licence)	1, 6
LIU Chang	BMD839	Licensed Representative (Provisional Licence)	4
LIU Chin Ting	ANM327	Licensed Representative (Provisional Licence)	2
LIU Chin Ting, Billy	AZE659	Licensed Representative (Provisional Licence)	1, 6
LIU Fangfei	BMC910	Licensed Representative (Provisional Licence)	1
LIU Hanghang	BLY367	Licensed Representative (Provisional Licence)	1
LIU Jingjing	BMA279	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Mengning	BMC635	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Peinan	BLQ400	Licensed Representative (Provisional Licence)	10
LIU Renxuan	BMA124	Licensed Representative (Provisional Licence)	1, 2
LIU Shanshan	BMD868	Licensed Representative (Provisional Licence)	1, 2, 4
LIU shuhan	BMA959	Licensed Representative (Provisional Licence)	1, 2
LIU Sitong	BMA553	Licensed Representative (Provisional Licence)	4
LIU TENG	BLZ823	Licensed Representative (Provisional Licence)	1, 2
LIU Yingxin	BLV191	Licensed Representative (Provisional Licence)	1
LO Kin Lam	BLU386	Licensed Representative (Provisional Licence)	1, 2
LO Lai Kwan, Thebes	ACT431	Licensed Representative (Provisional Licence)	1
LO Tsz Leung, Felix	BMA549	Licensed Representative (Provisional Licence)	1
LOW Audrey Ying Jun	BF1437	Licensed Representative (Provisional Licence)	1, 4, 9
LU Ping	BLY772	Licensed Representative (Provisional Licence)	1, 4
LU Zhicheng	BJR690	Licensed Representative (Provisional Licence)	4
LUI Lung Wai	BMC617	Licensed Representative (Provisional Licence)	6
LUI Michael Hou Ming	AZB648	Licensed Representative (Provisional Licence)	1
LUK Pan Lung	BMB210	Licensed Representative (Provisional Licence)	6
LUNG Chi Chiu	BMD883	Licensed Representative (Provisional Licence)	1
LUO Xiong	BLW784	Licensed Representative (Provisional Licence)	1
LUO Xun	AUW916	Licensed Representative (Provisional Licence)	1, 4, 9
LUTEREAU Pablo Federico	BJU418	Licensed Representative (Provisional Licence)	10
LYU Bingrong	BLT086	Licensed Representative (Provisional Licence)	1, 4
MA Hiu Nga, Michelle	AWE190	Licensed Representative (Provisional Licence)	1, 4
MA Muye	BMA234	Licensed Representative (Provisional Licence)	4
MA Sirui	BMC573	Licensed Representative (Provisional Licence)	1, 4, 6
MA Yuxiu	BMA501	Licensed Representative (Provisional Licence)	1
MANGAL Nitij	BLY756	Licensed Representative (Provisional Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAO Xiaoliang	APQ231	Licensed Representative (Provisional Licence)	1, 4, 9
MCCOSKER Daniel Owen	AVH590	Licensed Representative (Provisional Licence)	9
MENG Chen	BMB955	Licensed Representative (Provisional Licence)	1, 2
MENG Xianjun	BMA943	Licensed Representative (Provisional Licence)	1, 6
MESNARD-SENSE Jean-Francois, Rene, Philippe, Marie	BME253	Licensed Representative (Provisional Licence)	1, 4
MIAO Yiqiao	BLZ394	Licensed Representative (Provisional Licence)	1, 2, 4
MOK Ka Fai	BMC622	Licensed Representative (Provisional Licence)	1
NARAYAN Naresh	BLW958	Licensed Representative (Provisional Licence)	1, 2, 4
NARAYAN Santhosh	BMA503	Licensed Representative (Provisional Licence)	9
NG Chen Xuan	BLU938	Licensed Representative (Provisional Licence)	1, 6
NG Choo Sian	BLZ751	Licensed Representative (Provisional Licence)	1, 6
NG Mei Yee	BLU471	Licensed Representative (Provisional Licence)	6
NGUYEN Thomas	BMA222	Licensed Representative (Provisional Licence)	1
NGUYEN Victor	BMB575	Licensed Representative (Provisional Licence)	1, 2
NI Liang	BLV189	Licensed Representative (Provisional Licence)	1, 6
NI Ruiyang	BMB609	Licensed Representative (Provisional Licence)	2
NING Qianyang	BLU941	Licensed Representative (Provisional Licence)	1, 6
OKUBO Takao	BBK700	Licensed Representative (Provisional Licence)	1, 4, 6
OON Wei Hong	BLU698	Licensed Representative (Provisional Licence)	1, 2
PAN Jiazhou	BLX081	Licensed Representative (Provisional Licence)	4
PAN Lei	BLX186	Licensed Representative (Provisional Licence)	4, 9
PAN Xiao	BLR938	Licensed Representative (Provisional Licence)	10
PANG WAI CHUEN EDMOND	BMA226	Licensed Representative (Provisional Licence)	6
PARK Kwihwan	BLZ454	Licensed Representative (Provisional Licence)	1, 2
PARWATE Chetan	BMC490	Licensed Representative (Provisional Licence)	1, 2
PATEL Rajin	BMB578	Licensed Representative (Provisional Licence)	1, 2
PENG Weifeng	AYJ720	Licensed Representative (Provisional Licence)	9
PENG Zhiyao	BMB307	Licensed Representative (Provisional Licence)	1, 4, 6
POON Chung Yeung	BGW429	Licensed Representative (Provisional Licence)	1
POON Ronald Lok Hang	BLW074	Licensed Representative (Provisional Licence)	6
QI Xuan	ATW424	Licensed Representative (Provisional Licence)	1, 4, 9
QIAN Chenhan	BMD830	Licensed Representative (Provisional Licence)	1, 4, 6
QIAN Zixuan	BLX759	Licensed Representative (Provisional Licence)	1, 6
QIN Li	BLV366	Licensed Representative (Provisional Licence)	1, 9
QIU Suofu	BMA277	Licensed Representative (Provisional Licence)	1, 4, 6
QUEK Weizhong Adrian	BLW785	Licensed Representative (Provisional Licence)	1
SHA Xiaoting	BLZ407	Licensed Representative (Provisional Licence)	9
SHARMA Arunabh Anand Vardhan	BLY335	Licensed Representative (Provisional Licence)	9
SHEN Tingting	BLZ746	Licensed Representative (Provisional Licence)	1, 2, 3
SHEN Yuan	BMC484	Licensed Representative (Provisional Licence)	1, 2
SHI Hanbei	BMC485	Licensed Representative (Provisional Licence)	1, 2
SHIOHARA Kunihiko	BLX817	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHISHIKURA Takehiko	BLY530	Licensed Representative (Provisional Licence)	4
SIN Chi Ho	BLW771	Licensed Representative (Provisional Licence)	1
SINGH Kieran Jeet	BMB522	Licensed Representative (Provisional Licence)	1, 2
SIU Kai Yun	BIB329	Licensed Representative (Provisional Licence)	9
SIU Kin Wa	AWH334	Licensed Representative (Provisional Licence)	1
SMITH Guy William	AJO483	Licensed Representative (Provisional Licence)	1, 4, 6
SO Kwok Kwan	BMF167	Licensed Representative (Provisional Licence)	1, 2, 4
SONG Qi	BMC486	Licensed Representative (Provisional Licence)	4, 9
SOO Olivia Yu Min	BMA272	Licensed Representative (Provisional Licence)	1
SUEN Ting Fong Boris	BIS100	Licensed Representative (Provisional Licence)	1
SUI Zhenhuan	BLX563	Licensed Representative (Provisional Licence)	1, 7
SUN Jian	BME193	Licensed Representative (Provisional Licence)	1, 6
SUN Tianshu	BLX804	Licensed Representative (Provisional Licence)	1
SUN Yang	BAL785	Licensed Representative (Provisional Licence)	9
SUN Yang	BME215	Licensed Representative (Provisional Licence)	1, 4
SUN Yu	BMD863	Licensed Representative (Provisional Licence)	6
TAI Kevin	BEL952	Licensed Representative (Provisional Licence)	4, 9
TAM King Yip, Stephen	AQM646	Licensed Representative (Provisional Licence)	1, 4
TAN Boon Hua Douglas	BLY263	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Mabelyn	BLV158	Licensed Representative (Provisional Licence)	1, 2
TAN Yan	AXV124	Licensed Representative (Provisional Licence)	1, 2
TAN Yi Ming	BLZ387	Licensed Representative (Provisional Licence)	1, 2
TANAKA Hideaki	BLX818	Licensed Representative (Provisional Licence)	1
TANG Jiheng	BLZ432	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Li-yu	AWE763	Licensed Representative (Provisional Licence)	9
TANG Nan	BLY757	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Su See	AFU064	Licensed Representative (Provisional Licence)	1
TAY SHARON LIN LI	BMA248	Licensed Representative (Provisional Licence)	1, 4
TIAN Yafei	BLR391	Licensed Representative (Provisional Licence)	4
TIOJAYA Wirawan	BLY722	Licensed Representative (Provisional Licence)	1, 4
TNG Wee Ling	BLT673	Licensed Representative (Provisional Licence)	1, 2
TOMISAWA Shotaro	BLV451	Licensed Representative (Provisional Licence)	1
TORNKVIST Tomas Gunnar	BMB185	Licensed Representative (Provisional Licence)	4, 5, 9
TSANG Tak Ki	BMC017	Licensed Representative (Provisional Licence)	1
TSE Christine Cheuk Wing	BLV209	Licensed Representative (Provisional Licence)	1, 2
TSOI Lon Ho	BCC468	Licensed Representative (Provisional Licence)	1, 4, 6
TSOI Wai Hong	BMB676	Licensed Representative (Provisional Licence)	1, 2
TSUI Chun Hin	BDM849	Licensed Representative (Provisional Licence)	1, 2
TSUI Shek Hung	BGK704	Licensed Representative (Provisional Licence)	1
TSUI Yeuk Ting, Maggie	AVV093	Licensed Representative (Provisional Licence)	1, 4
TYRZYCHNA Olena	BMB542	Licensed Representative (Provisional Licence)	1, 2
UEDA Kitaru	BLY466	Licensed Representative (Provisional Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WADA Mariko	BLU069	Licensed Representative (Provisional Licence)	1, 4, 9
WAN Chun Man	ATY752	Licensed Representative (Provisional Licence)	1, 2
WAN Yiu Fai	BLZ364	Licensed Representative (Provisional Licence)	1
WANG Boshen	BMA941	Licensed Representative (Provisional Licence)	1, 4
WANG Hanxu	BMA114	Licensed Representative (Provisional Licence)	1, 4
WANG JIAXIANG	BLX113	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Jin	AVY040	Licensed Representative (Provisional Licence)	4
WANG Tianhang	BMA564	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Ting	BLT890	Licensed Representative (Provisional Licence)	4
WANG Wenbin	BMD020	Licensed Representative (Provisional Licence)	1, 6
WANG Wenjia	BMA142	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Wenwen	BLX148	Licensed Representative (Provisional Licence)	6
WANG Yi	BMA944	Licensed Representative (Provisional Licence)	2
WANG Zhonghe	ADI605	Licensed Representative (Provisional Licence)	1, 4, 6
WATANABE Takuma	BLX207	Licensed Representative (Provisional Licence)	9
WEI Xiang	BMB952	Licensed Representative (Provisional Licence)	1, 4
WEI Xin	BLK382	Licensed Representative (Provisional Licence)	6
WEN Hao	BLV715	Licensed Representative (Provisional Licence)	4
WILKINS Trent Stephen	BMB937	Licensed Representative (Provisional Licence)	1, 6
WONG De Rui	BLZ494	Licensed Representative (Provisional Licence)	9
WONG Kwok Wai	BCU328	Licensed Representative (Provisional Licence)	1, 4
WONG Man Hin	BKI722	Licensed Representative (Provisional Licence)	1
WONG Ngai Cheung	BME661	Licensed Representative (Provisional Licence)	1, 4, 6
WONG On Nga Ada	BMA505	Licensed Representative (Provisional Licence)	1, 4
WONG Stanley Car-Lai	AXM488	Licensed Representative (Provisional Licence)	1
WONG Vivien	ARR811	Licensed Representative (Provisional Licence)	4, 9
WONG Wa Fung	BMA205	Licensed Representative (Provisional Licence)	1
WONG Wai Yan	AWY281	Licensed Representative (Provisional Licence)	9
WONG Yanling, Jesalyn	BMF019	Licensed Representative (Provisional Licence)	4
WONG Yuen Yan	BBX597	Licensed Representative (Provisional Licence)	1, 4, 9
WOO Ka Man Sara	BHD691	Licensed Representative (Provisional Licence)	1, 4
WU Man Yu	BIX431	Licensed Representative (Provisional Licence)	1, 4
WU Wei	BLR517	Licensed Representative (Provisional Licence)	9
WU Xiaoning	BLU996	Licensed Representative (Provisional Licence)	9
WU Xuexiao	BLV472	Licensed Representative (Provisional Licence)	1
WU Youping	BMC025	Licensed Representative (Provisional Licence)	1, 2, 4
WU Yuh-Ray	BLX384	Licensed Representative (Provisional Licence)	1, 4
WU YULIN	BLY776	Licensed Representative (Provisional Licence)	4
XIAN Ming	BDV840	Licensed Representative (Provisional Licence)	1
XIAO Yuan	AZB291	Licensed Representative (Provisional Licence)	1, 2, 4
XIE Yunqi	BLY481	Licensed Representative (Provisional Licence)	1, 4
XIN Peng	BMB690	Licensed Representative (Provisional Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
XING Ziyang	BLY552	Licensed Representative (Provisional Licence)	1, 4, 6
XU CHEN	BLY571	Licensed Representative (Provisional Licence)	10
XU Lei	BLX417	Licensed Representative (Provisional Licence)	1, 2
XU Muye	BLW942	Licensed Representative (Provisional Licence)	4, 9
XU Wanlu	AXX543	Licensed Representative (Provisional Licence)	1
XUE Rui	BLX378	Licensed Representative (Provisional Licence)	1, 6
YAFFE Alexander David	BLX553	Licensed Representative (Provisional Licence)	1, 2
YAMAGAMI Shinichiro	AYG572	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YAMANAKA Satoko	BLY531	Licensed Representative (Provisional Licence)	4
YAN Peide	BIC436	Licensed Representative (Provisional Licence)	9
YAN Wujuyi	BLZ741	Licensed Representative (Provisional Licence)	1, 4, 9
YAN XUE	BMA928	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Hongbo	BME752	Licensed Representative (Provisional Licence)	1, 2, 3
YANG Xiaotong	BMC628	Licensed Representative (Provisional Licence)	1, 4
YANG Zhen	BKY631	Licensed Representative (Provisional Licence)	4
YAO Jingwan	BLW968	Licensed Representative (Provisional Licence)	10
YAO Renzhi	BMA278	Licensed Representative (Provisional Licence)	1
YAU Arthur	BLZ370	Licensed Representative (Provisional Licence)	1
YEONG Yee Lam	BMB326	Licensed Representative (Provisional Licence)	1, 4
YEUNG Chun Kit	BLZ012	Licensed Representative (Provisional Licence)	3
YIN Shi	APC594	Licensed Representative (Provisional Licence)	6
YIP Fai	BMB666	Licensed Representative (Provisional Licence)	1
YIP Yuen Ching Shirley	BLV197	Licensed Representative (Provisional Licence)	4, 9
YOO Keon Ho	BMB635	Licensed Representative (Provisional Licence)	1, 2
YOON Hae Sol	BLZ747	Licensed Representative (Provisional Licence)	1, 4
YU Hui	BMA475	Licensed Representative (Provisional Licence)	1, 6
YU Jintong	BLZ710	Licensed Representative (Provisional Licence)	4
YU Wai Tak	BLV461	Licensed Representative (Provisional Licence)	1, 4
YUAN Nelson Chien	AKY987	Licensed Representative (Provisional Licence)	1, 4, 9
YUEN Hu Tone, Leslie	AUJ677	Licensed Representative (Provisional Licence)	1, 4, 6
YUEN Wing Tung	BLX127	Licensed Representative (Provisional Licence)	3
YUNG Huen Ling	BMA136	Licensed Representative (Provisional Licence)	1
YUNG Ling Ling, Lilian	ADX189	Licensed Representative (Provisional Licence)	1, 4
ZHAN Aobo	BLZ827	Licensed Representative (Provisional Licence)	1, 4
ZHANG Heyin	BLZ385	Licensed Representative (Provisional Licence)	10
ZHANG Hui	BMF480	Licensed Representative (Provisional Licence)	1
ZHANG Lulu	BMA547	Licensed Representative (Provisional Licence)	1
ZHANG Qian	BMG072	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Yizhou	BLZ750	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yuanjie	BGC446	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Feiya	BLY281	Licensed Representative (Provisional Licence)	4
ZHAO Siqi	BEH967	Licensed Representative (Provisional Licence)	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Zongming	BLZ818	Licensed Representative (Provisional Licence)	1
ZHENG Puyu	BLU393	Licensed Representative (Provisional Licence)	1, 2, 9
ZHONG Junyi	BLU919	Licensed Representative (Provisional Licence)	1, 6
ZHOU Jason Xiaoyu	BJK785	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Wenjie	BLW072	Licensed Representative (Provisional Licence)	6
ZHOU Yang	BMB556	Licensed Representative (Provisional Licence)	4, 9
ZHU Xiaochen	BMA483	Licensed Representative (Provisional Licence)	1, 4
ZIZI Adil	BLY478	Licensed Representative (Provisional Licence)	1, 2, 4
AHUJA Vivek Manohar	ARI512	Licensed Representative (Temporary Licence)	1
ALI Salman	BDY341	Licensed Representative (Temporary Licence)	4
ARORA Rohit	ART681	Licensed Representative (Temporary Licence)	4
AUBIN Edouard Jean Laurent	BLT655	Licensed Representative (Temporary Licence)	1, 4
BAKER Brian Stephen	BJK848	Licensed Representative (Temporary Licence)	1, 2
BALASUBRAMANIAM Venkatesh	BDT263	Licensed Representative (Temporary Licence)	4
BEHERA Roshan Raj	ARP091	Licensed Representative (Temporary Licence)	1, 4
BEVAN Richard	BFK559	Licensed Representative (Temporary Licence)	1, 2
BEZALEL Ariel Meir	BCA040	Licensed Representative (Temporary Licence)	1
BLATZ Christopher Joseph	BMA282	Licensed Representative (Temporary Licence)	1
BOKKENHEUSER Andreas Christian	ANX689	Licensed Representative (Temporary Licence)	4
BORBORA Jason Chandra	BLY026	Licensed Representative (Temporary Licence)	4
BROWNE Luke Richard Tyrill	BLY989	Licensed Representative (Temporary Licence)	1, 4
BURNS Nikolas Peter Charles	BAW620	Licensed Representative (Temporary Licence)	4
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CARUSO Frank Vincent	BHH755	Licensed Representative (Temporary Licence)	4
CAVALIER Jean-Damien Romain Philippe	BMB304	Licensed Representative (Temporary Licence)	1
CHANDRAN Prashant	BEQ178	Licensed Representative (Temporary Licence)	1
CHEN Lan	BIQ901	Licensed Representative (Temporary Licence)	4
CHEN Wen-Chin	AVD899	Licensed Representative (Temporary Licence)	4
CHIA Joseph Kuok Lit	BLS724	Licensed Representative (Temporary Licence)	1
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHOPRA Swati	BAW702	Licensed Representative (Temporary Licence)	1, 4
CHUA Kah Meng, Adrian	AWD992	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	1, 4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	1, 4
CHUNG Jeehyun	BEX928	Licensed Representative (Temporary Licence)	1
CLARK III Schuyler Harold	BKJ145	Licensed Representative (Temporary Licence)	1, 4
CLUSE Reinhard Paul Johannes	AXK265	Licensed Representative (Temporary Licence)	4
COGHILL James Arthur Lawrence	AWC079	Licensed Representative (Temporary Licence)	4
DARK Adrian John	BFA326	Licensed Representative (Temporary Licence)	4
DE MOOR Joannes Wilhelmus	BLS911	Licensed Representative (Temporary Licence)	1, 4
DE NOON Paul Joseph	ALY371	Licensed Representative (Temporary Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
DERESIEWICZ Robert Leslie	BME186	Licensed Representative (Temporary Licence)	1, 4
ELLINGER Craig Garret	BIZ244	Licensed Representative (Temporary Licence)	1, 4
ENDERLEIN Carl Dirk	BIN855	Licensed Representative (Temporary Licence)	1, 4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FELIX Philip Albert Porcioncula	BFR470	Licensed Representative (Temporary Licence)	1, 4
FINCH Philip Julian Magnus	ATI170	Licensed Representative (Temporary Licence)	4
FISHER Steven Michael	BER961	Licensed Representative (Temporary Licence)	4
FLEMING Tom Nathan	BMA856	Licensed Representative (Temporary Licence)	1
FORSYTH Douglas Gorman	BAL992	Licensed Representative (Temporary Licence)	1
FREMDER Dean Jason	BKE230	Licensed Representative (Temporary Licence)	1
FUKUSHIMA Yoshihiro	APJ449	Licensed Representative (Temporary Licence)	1, 2
GANDHI Veekesh Suresh	BBA377	Licensed Representative (Temporary Licence)	1, 4
GHANDOUR TALAL	BLW964	Licensed Representative (Temporary Licence)	1
GLEESON Jeremy Gerard	BLW073	Licensed Representative (Temporary Licence)	1, 4
GOH Brandon Qing Ryung	BLY952	Licensed Representative (Temporary Licence)	1, 4
GOLDSMITH Andrew Coval	BMC602	Licensed Representative (Temporary Licence)	1, 4
GONZALES Emeterio III David	AAI265	Licensed Representative (Temporary Licence)	1, 4
GOONAN Anthony John	BMF399	Licensed Representative (Temporary Licence)	1
HAGIWARA Koichiro	BHH064	Licensed Representative (Temporary Licence)	4
HAIRE Geoffrey Robert	BLX953	Licensed Representative (Temporary Licence)	4
HAN Jennifer Koun	AMY266	Licensed Representative (Temporary Licence)	4
HARFORD Suni Pedersen	BLH426	Licensed Representative (Temporary Licence)	1, 4
HAYDON David	BMA123	Licensed Representative (Temporary Licence)	1
HEYES Richard James	BIJ198	Licensed Representative (Temporary Licence)	1
HILTABRAND DAVID BLAKE	BLV357	Licensed Representative (Temporary Licence)	1, 4
HONG Serena	BLY358	Licensed Representative (Temporary Licence)	1, 4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HWANG Paul	AMO577	Licensed Representative (Temporary Licence)	4
HYMAN Daniel	BLW089	Licensed Representative (Temporary Licence)	1, 4
IVASCYN Daniel John	AZS735	Licensed Representative (Temporary Licence)	1, 4
JANSEN Michael	BEI461	Licensed Representative (Temporary Licence)	1, 2
KALBREIER Malte Manuel	BIL892	Licensed Representative (Temporary Licence)	1, 4
KATO Takaya	AXC437	Licensed Representative (Temporary Licence)	1
KIM Nara	BHO992	Licensed Representative (Temporary Licence)	1
KIM Sang Soo	BDR263	Licensed Representative (Temporary Licence)	4
KINGSMILL Stuart Guy	BFA604	Licensed Representative (Temporary Licence)	1
KINGSTON James Patrick	BMC967	Licensed Representative (Temporary Licence)	1, 4
KISHIDA Naoaki	BBG395	Licensed Representative (Temporary Licence)	2, 5
KIT Wei Zheng	BEB420	Licensed Representative (Temporary Licence)	4
KOJIMA Nobuko	BAJ425	Licensed Representative (Temporary Licence)	1
KOK Wai Fai	BJQ184	Licensed Representative (Temporary Licence)	4
KONTOPOULOS Ioannis	BGX211	Licensed Representative (Temporary Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
KRAMER Yves Dominique	BGV290	Licensed Representative (Temporary Licence)	1
KUHNERT Gregory John	AST321	Licensed Representative (Temporary Licence)	4
KUMAR Ishank	BGP169	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LANKA NAGA SASHANK VENKATRAHANA	BLX562	Licensed Representative (Temporary Licence)	1, 4
LEE Sei Cheol	BLY339	Licensed Representative (Temporary Licence)	4
LEE Seung Hyun	AMA939	Licensed Representative (Temporary Licence)	4
LEMMENS Patrick Johannes Anna Franciscus	BLS913	Licensed Representative (Temporary Licence)	1, 4
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LIU Wai Kin Ricky	BJD903	Licensed Representative (Temporary Licence)	1
LLOYD David	BEF285	Licensed Representative (Temporary Licence)	4
MACDONALD Alistair John	AVW485	Licensed Representative (Temporary Licence)	1
MACHU Christophe Eric Henri	BLZ835	Licensed Representative (Temporary Licence)	1
MARIANI Elena	BFU721	Licensed Representative (Temporary Licence)	1, 4
MCKENNA Mark Ronald	BLY350	Licensed Representative (Temporary Licence)	1, 4
MCKINLEY Charles Joseph	BME214	Licensed Representative (Temporary Licence)	1
MCNAY David John	BLV672	Licensed Representative (Temporary Licence)	1
MENESES Fatima	BLV363	Licensed Representative (Temporary Licence)	1, 4
MITTERMAIER Markus Manfred Helmut	BLX368	Licensed Representative (Temporary Licence)	4
MIVELAZ Gregoire Bernard	ASD683	Licensed Representative (Temporary Licence)	1
MIYAZAKI Takashi	BDQ458	Licensed Representative (Temporary Licence)	4
MOOKIM Sanjay Kumar	AUW976	Licensed Representative (Temporary Licence)	1, 4
MORGAN Daniel Gregory	AVH378	Licensed Representative (Temporary Licence)	4
MORGAN Glenn David	AWK540	Licensed Representative (Temporary Licence)	1
MUKHLIS Helmi Arman	BDY347	Licensed Representative (Temporary Licence)	4
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
NELSKYLA Andrei Michael	BLU776	Licensed Representative (Temporary Licence)	1
NELSON Ryan Wesley	AQX958	Licensed Representative (Temporary Licence)	1
NOWAK Brian Thomas	BAW836	Licensed Representative (Temporary Licence)	1, 4
O'HARA Timothy Patrick	BLJ146	Licensed Representative (Temporary Licence)	1, 4
OHDAIRA Mitsuyuki	BGS887	Licensed Representative (Temporary Licence)	4
ONG Li Ting Clarinda	BMD904	Licensed Representative (Temporary Licence)	1
ORA Avo Erik	BAD558	Licensed Representative (Temporary Licence)	1
PEIGNEUX LOJO Guillermo	BER729	Licensed Representative (Temporary Licence)	4
PEREZ FERNANDEZ Juan Luis	BGE895	Licensed Representative (Temporary Licence)	1, 4
PIDDEN Andrew Frederick Peter	ABR702	Licensed Representative (Temporary Licence)	1, 4
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
POOLE Gregory Hauston	BIW523	Licensed Representative (Temporary Licence)	1
POSSENTI Carlo	BMC568	Licensed Representative (Temporary Licence)	1, 2
PREUSSER Ralf Alexander	BGJ102	Licensed Representative (Temporary Licence)	1, 4
PUTRA Igor Nyoman	BDR834	Licensed Representative (Temporary Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
REDEKER Hans Gunter Wilhelm	BFF385	Licensed Representative (Temporary Licence)	1, 4, 5
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	1, 4
ROBERTS Glyn David	BBZ480	Licensed Representative (Temporary Licence)	1, 2
RYAN Matthew Hugh-Alfred	BLW777	Licensed Representative (Temporary Licence)	4
SABBAH Belal Rajihs	BLW965	Licensed Representative (Temporary Licence)	1, 4
SAKAMAKI Yuko	BHH238	Licensed Representative (Temporary Licence)	4
SAMBOR Jean-Charles Pierre	BIQ355	Licensed Representative (Temporary Licence)	4
SATHAWORAWONG Pimolrat	BEM318	Licensed Representative (Temporary Licence)	4
SAUNDERS Philip Gordon Strode	ADB492	Licensed Representative (Temporary Licence)	4
SESHASAYEE Aadit	AQZ618	Licensed Representative (Temporary Licence)	1
SHAH Aarti Pramod	BLU258	Licensed Representative (Temporary Licence)	1, 4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	1, 4
SHAPIRO Glenn Kromer	BLX089	Licensed Representative (Temporary Licence)	1, 4
SHOOKUS Jeffrey David	BFA606	Licensed Representative (Temporary Licence)	1
SIMMONDS Stuart Duncan	BCP839	Licensed Representative (Temporary Licence)	1, 4
SINGER Darian Aaron	BLN157	Licensed Representative (Temporary Licence)	1, 4, 6
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	1, 4
SKANBERG Goran Martin	BLW756	Licensed Representative (Temporary Licence)	1
SMITH William John Harris	BMA125	Licensed Representative (Temporary Licence)	1
STRACKE Thibault Christian	BGT906	Licensed Representative (Temporary Licence)	1, 4
SUN MATTISON Linda Wu	BBF677	Licensed Representative (Temporary Licence)	4
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	1, 4
TAKAHASHI Tetsuaki	BAI982	Licensed Representative (Temporary Licence)	1
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	1, 4
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
TSUCHIMOTO Takehiro	AWF625	Licensed Representative (Temporary Licence)	1
TSUI Terence Mun-sion	BDD866	Licensed Representative (Temporary Licence)	1, 4
VACHIRAPONG Therapong	BGV252	Licensed Representative (Temporary Licence)	1, 4
VAIGHT Matthew Guy Ruthven	BMA209	Licensed Representative (Temporary Licence)	1
VANDERPUMP William James	BEG371	Licensed Representative (Temporary Licence)	4
VARGHESE Shinyo	BGU248	Licensed Representative (Temporary Licence)	10
VERSTAPPEN Theodorus Mechtildis Franciscus	BEW818	Licensed Representative (Temporary Licence)	1, 4
VIRGO Alexander Stewart	BLI749	Licensed Representative (Temporary Licence)	1, 4
WANG Shiyan	BDP424	Licensed Representative (Temporary Licence)	4
WEE Wilfred Zhi Zhong	BIL934	Licensed Representative (Temporary Licence)	4
WINARDI Ronald	AXL931	Licensed Representative (Temporary Licence)	4
WOLF Ryuji Rafael	BLN156	Licensed Representative (Temporary Licence)	1, 4, 6
XIE Dan	BHT121	Licensed Representative (Temporary Licence)	1
XIONG YANGLI	BLY016	Licensed Representative (Temporary Licence)	10
YAMAGUCHI Hidemaru	BGD668	Licensed Representative (Temporary Licence)	4
YANG Xiaoxi	BLU778	Licensed Representative (Temporary Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAU Hoe Kiat, Patrick	AML197	Licensed Representative (Temporary Licence)	4
YAZHARI Hootan	BLV945	Licensed Representative (Temporary Licence)	1, 4
YOO Jae Hyuk	BBS475	Licensed Representative (Temporary Licence)	1, 4
YU In Cheol	BLU691	Licensed Representative (Temporary Licence)	4
YU Jia	BJQ980	Licensed Representative (Temporary Licence)	4
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5
ZHANG Ying	BJR686	Licensed Representative (Temporary Licence)	4
ZHAO Rui	BIB608	Licensed Representative (Temporary Licence)	1, 4