



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
AEM Asset Management Limited	BJS912	Licensed Corporation	9
Aneto Capital Limited	BJR935	Licensed Corporation	9
Beijing Shoutong Asset Management Limited	BJM395	Licensed Corporation	9
Bright Minds Capital Limited	BLQ467	Licensed Corporation	1
Central Wealth Asset Management Limited	BJL164	Licensed Corporation	4, 9
China Spring Capital Limited	BLM104	Licensed Corporation	4, 9
China Tian Yuan Capital Limited	BJI172	Licensed Corporation	6
Differ Asset Management Company Limited	BLK849	Licensed Corporation	9
Goldlink Capital (Corporate Finance) Limited	BJV693	Licensed Corporation	6
HMOUNT Asset Management (HK) Limited	BKU365	Licensed Corporation	9
Jeneration Capital Advisors (Hong Kong) Limited	BLO835	Licensed Corporation	9
Jik Kam Asset Management Limited	BKF788	Licensed Corporation	4, 9
Majestic Dragon Financial Services Limited	BLF214	Licensed Corporation	4, 9
N Plus Capital Limited	BLY077	Licensed Corporation	4, 9
Profit Access Investments Limited	BLK493	Licensed Corporation	4, 9
Royal China International Asset Management Limited	BLF650	Licensed Corporation	1, 4, 9
SAPIENTIA CAPITAL LIMITED	BLF192	Licensed Corporation	4, 9
SELENE LIMITED	BLR959	Licensed Corporation	4, 9
YG International Partners Limited	BLH459	Licensed Corporation	1
AGARWAL Nitin	BMM160	Licensed Representative	1
AINA Oluwadamilola Temitayo	BMA176	Licensed Representative	1, 6
AOKI Hidehiko	BAI638	Licensed Representative	4
ASSAD Edward William	BMD018	Licensed Representative	9
AU Tsz Ki	BMM219	Licensed Representative	1
AU YEUNG Kwun Yin	BMI354	Licensed Representative	1
BALDINI Edward Bolles	BJG641	Licensed Representative	1, 4
BELLVER GRANDA Luis	BMB520	Licensed Representative	1, 2
BHATI Anil	BMI555	Licensed Representative	1, 2
BOCK Mathias	BMI028	Licensed Representative	1
BOUDOUX Thomas Matthieu	BML187	Licensed Representative	1, 4
BOUTSIS Sotirios	BME175	Licensed Representative	1
BROADBENT Neil Eric	BMI332	Licensed Representative	1
CAI Shixin	BMH732	Licensed Representative	1, 2, 7
CAMPBELL Lachlan Gordon	ADU213	Licensed Representative	1, 4, 9
CAUCHY-DUBOIS Kevin Paul Emile	BMH473	Licensed Representative	9
CHAKER Sebastien Alexandre	BMB278	Licensed Representative	1, 4
CHAN Chak Man Jacky	BMI053	Licensed Representative	1, 6
CHAN Che Kan	ABO128	Licensed Representative	1, 2, 4, 5
CHAN Ching Man, Mandy	AMF100	Licensed Representative	1, 4
CHAN Cho Leung	AFC450	Licensed Representative	1
CHAN Chun Chiu	BMK654	Licensed Representative	1, 4, 6
CHAN Chun Tung	BGG777	Licensed Representative	1, 4
CHAN Fong Leung	BLZ047	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Haylock, Callum	BMF391	Licensed Representative	1
CHAN Kam Chi	ARG347	Licensed Representative	1
CHAN Kin Cheung	BMG075	Licensed Representative	1, 4
CHAN King Yeung	BCF239	Licensed Representative	1
CHAN Lok Ming	BMJ399	Licensed Representative	1
CHAN Man Fong Rachel	AQA622	Licensed Representative	1, 4
CHAN Mou Sun	BMG150	Licensed Representative	1
CHAN Sai Pan	AKW554	Licensed Representative	9
CHAN Siu Chun	BMK909	Licensed Representative	5
CHAN Tsang	BMD889	Licensed Representative	10
CHAN Wing Kin	BMF469	Licensed Representative	1
CHAN Yee Ying Cinderella	ADP359	Licensed Representative	1, 4
CHAN Yick Keung	BBX848	Licensed Representative	1, 2, 3
CHAN Yuen Shing	BMG894	Licensed Representative	6
CHAN Yuk Shing	ANS528	Licensed Representative	1
CHANG HSIAO HAN	BMF393	Licensed Representative	1, 4
CHANG Xinwen	BMJ975	Licensed Representative	1, 4, 6
CHAO Xueer	BMI596	Licensed Representative	1
CHAU Chau Moon	ANO030	Licensed Representative	1
CHAU Chin Wang	BMG151	Licensed Representative	1
CHAU Chun Yiu	BMI357	Licensed Representative	1
CHEN Biang	BMC603	Licensed Representative	1, 4, 9
CHEN Chaoqin	BMH488	Licensed Representative	1, 4, 6
CHEN Dan	BME967	Licensed Representative	1, 4
CHEN Mei Ju	BMC881	Licensed Representative	1
CHEN Mengxi	BMH475	Licensed Representative	4
CHEN Mingxiao	BMJ700	Licensed Representative	1, 6
CHEN Shijie	BML660	Licensed Representative	1, 2
CHEN Tsou Wei	AOA957	Licensed Representative	1, 4
CHEN Yeung, Michelle	BMG526	Licensed Representative	1
CHEN Yi	BMK649	Licensed Representative	1, 4, 9
CHEN Yi Pei	BMB328	Licensed Representative	1
CHEN Ying	BMG518	Licensed Representative	1, 2
CHENG Sui Ting	BMI093	Licensed Representative	1
CHENG Weiyi	BMJ730	Licensed Representative	1, 4, 9
CHEUNG King Hing	BMH293	Licensed Representative	1, 4
CHEUNG Ngai Tat	BBH785	Licensed Representative	1, 2
CHEUNG Pui Sin	BMI865	Licensed Representative	1
CHEUNG Wai Ka, Frederica	BMF468	Licensed Representative	1
CHEUNG Yik Hang	BMF145	Licensed Representative	6
CHIENG Yu Kean	AJO390	Licensed Representative	4
CHIK Wing Yin	BMG553	Licensed Representative	1
CHIU Chun Yin Edward	BML663	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOI Sheung Wah	BHF331	Licensed Representative	9
CHOI Wang Ngai	BMI595	Licensed Representative	1, 4, 6
CHOI Wing Yi	BEN508	Licensed Representative	1, 4
CHOI Yin Bing	ADM634	Licensed Representative	4
CHONG Ka Man	BMI099	Licensed Representative	1, 2, 4
CHOU Tsz Mei	BAM430	Licensed Representative	4, 9
CHOW Andrew U Yen	BMH843	Licensed Representative	1, 2
CHOW Long Ning	BME755	Licensed Representative	1, 4, 5, 9
CHOW Siu Lun, Ronald	BFT562	Licensed Representative	1, 6
CHU Cheuk Fung	BMG556	Licensed Representative	4, 9
CHU Ching Mo	BMF147	Licensed Representative	1, 2
CHURCHILL Alexander Qiu	BMB928	Licensed Representative	6
DANG Tianchu	BME229	Licensed Representative	4, 9
DE DIEGO YENES Luis Maria	BLX547	Licensed Representative	1, 6
DENG Jie	BMG560	Licensed Representative	1, 4, 9
DING Yixin	BML415	Licensed Representative	1, 2, 4
DOU Yiwon	BMA145	Licensed Representative	9
FAN Chi Yuen, Charles	AYQ546	Licensed Representative	6
FAN Zijun	BMJ408	Licensed Representative	9
FANG Wei	BMG204	Licensed Representative	4
FELDMAN William Donald Morley	BEM068	Licensed Representative	1, 4
FUNG Hong Yiu	BMI521	Licensed Representative	1, 2
GOH Edwin Ee Yew	BMC884	Licensed Representative	1, 6
GRAHAM Michael James Nicholas	BMI557	Licensed Representative	1, 2
HARA Hitoshi	AMV311	Licensed Representative	9
HAYAMA Yota	BMD879	Licensed Representative	1, 4, 6
HE Chaowei	BMD846	Licensed Representative	1, 6
HE Dingding	APR488	Licensed Representative	1, 4
HE Nanyang	BML708	Licensed Representative	4
HERCOT Guillaume Raphael Maximilien	BMH781	Licensed Representative	1, 2
HO Chin Fei	BMI346	Licensed Representative	1, 4, 6
HO Ho Yin	BMK024	Licensed Representative	1, 4
HO Kai Yuen	BMC026	Licensed Representative	1
HO Kwok Wing	BME583	Licensed Representative	1, 2
HO Pik Kwan Kenny	BMI597	Licensed Representative	1
HO Sing Wai Kurtise	BMH373	Licensed Representative	1, 2
HO Suk Man, Betty	ARX005	Licensed Representative	2
HOU Xiaoning	BMB739	Licensed Representative	1, 6
HU Jialu	BME754	Licensed Representative	4
HU Xiangquan	BMG564	Licensed Representative	1, 6
HU Zhichao	BMD543	Licensed Representative	1, 6
HU Zixuan	BMK657	Licensed Representative	1, 4
HUANG Chih Hong	BMJ767	Licensed Representative	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Yating	BML416	Licensed Representative	1, 4
HUANG Yue	BMG581	Licensed Representative	1, 4, 6
HUI Chi Shing	BMH499	Licensed Representative	1
HUI Hei Wo	BMC977	Licensed Representative	1, 4, 9
HUI Ka Fai	BMD891	Licensed Representative	1, 2, 4, 5
HUNG Chin Ho	BMG522	Licensed Representative	1, 2
HUNG Wai Ching	BMA875	Licensed Representative	1, 2, 3
HWANG Chang Hyun	BME268	Licensed Representative	1, 2
IACOMELLA Peter Anthony	BLZ812	Licensed Representative	1, 4
IP Moses Alan	BMB936	Licensed Representative	1, 2
JAIN Shreyans	BMD541	Licensed Representative	1, 2
JI Bo	BLT493	Licensed Representative	9
JI Wencheng	BML976	Licensed Representative	2, 5
JI Xinge	BMC267	Licensed Representative	1
JIA Jianing	BMD873	Licensed Representative	1
JIANG Lei	AON844	Licensed Representative	1, 4, 9
JIANG Wanning	BMH757	Licensed Representative	9
JIANG Wei	AWS208	Licensed Representative	4
JIANG Xiangyu	BBV023	Licensed Representative	9
JIANG Yannan	BMF173	Licensed Representative	1
JIN Yongbo	BID144	Licensed Representative	1, 4, 6
KALRA Abhimanyu	BMA951	Licensed Representative	1
KANG Lei	BMK459	Licensed Representative	1, 4
KANG Shin Young	BMH296	Licensed Representative	9
KE Weijie	BMH284	Licensed Representative	1, 4, 9
KIM Jee Won	BMH726	Licensed Representative	1, 4
KO Chon Man	BMK670	Licensed Representative	1, 4, 6
KO Kin Ka	BML659	Licensed Representative	1
KUNG Wing San Joseph	BMH146	Licensed Representative	1, 4
KWAN Tin Long	BMB691	Licensed Representative	1
KWAN Wai Ling	BMF477	Licensed Representative	1, 4, 9
KWOK Tsz Kiu	BET772	Licensed Representative	6
KWOK Wan Ying, Vilia	BMH485	Licensed Representative	1
KWOK Yiu Sing	BMH520	Licensed Representative	9
KWONG Wing Yan	BMH500	Licensed Representative	1
LAI Chun Kit	BGH428	Licensed Representative	1, 4
LAI Man Ling	AWX953	Licensed Representative	1, 4
LAM Chi Shing	BMA224	Licensed Representative	1
LAM Hoi Yan	BMD021	Licensed Representative	1, 4
LAM KWOK HIN	BMK018	Licensed Representative	2
LAM Tsz Chung	BJY535	Licensed Representative	4, 6, 9
LAM Yau Lun	AVI744	Licensed Representative	6
LAU Cheuk Lun	BME756	Licensed Representative	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Chi Hang	BMC986	Licensed Representative	1, 4
LAU Chi Wing Cynthia	BME269	Licensed Representative	4
LAU Kai Yin	BMH798	Licensed Representative	1
LAU Wung Koon	ABY860	Licensed Representative	1, 2
LAU Yan Lun	BMI863	Licensed Representative	1, 4
LAW Matthew Shun Kei	BDJ462	Licensed Representative	4
LAW Tsz Ming	BMI035	Licensed Representative	1, 2
LAW Yuen Yi	BMF146	Licensed Representative	1, 4
LEE Chang Soo	BMH280	Licensed Representative	9
LEE Chun Man	AJE100	Licensed Representative	1, 4, 9
LEE Chun Yin	BMD849	Licensed Representative	6
LEE Kin Pong, Tony	BMF148	Licensed Representative	1, 2
LEE Pak Ling	BMG897	Licensed Representative	1
LEE Wen Min Donovan	BME582	Licensed Representative	9
LESCAROUX Frederic Paul	BLX958	Licensed Representative	1, 2
LEUNG Chun Sing	ALN127	Licensed Representative	1, 2, 4
LEUNG Chun Yin	BMB720	Licensed Representative	9
LEUNG Ka Wai	BMG819	Licensed Representative	1
LEUNG Sin Tung	BME590	Licensed Representative	9
LEUNG Tik Kan	BMB944	Licensed Representative	6
LEUNG Tsung Hang	BMH848	Licensed Representative	1, 4, 9
LEUNG Wai Hang	BMG013	Licensed Representative	1
LEUNG Ying Wai, Franky	ACC907	Licensed Representative	1
LEUNG Yuk San	BMB683	Licensed Representative	1, 2
LI Changfeng	BME568	Licensed Representative	9
LI Changyi	BLC081	Licensed Representative	1, 6
LI Keyang	BMD878	Licensed Representative	1, 4, 9
LI Kim Ho, Martin	ACP472	Licensed Representative	1
LI Lingli	BML155	Licensed Representative	1, 6
LI Sek Fu	AAX343	Licensed Representative	4, 9
LI Shuk Fat	ACY281	Licensed Representative	1
LI Sijia	BMF550	Licensed Representative	2, 5
LI Siqi	BLZ748	Licensed Representative	1, 6
LI Taoran	BMG152	Licensed Representative	1, 6
LI Tiangang	BMG815	Licensed Representative	1, 2, 7
LI Tianjiao	BMA449	Licensed Representative	1, 2
LI Xiaohan	BMI921	Licensed Representative	1, 6
LI Yik Ngai	BMF541	Licensed Representative	1, 2
LI Ying Kin	BBE439	Licensed Representative	1, 2
LI Yiting	BMI083	Licensed Representative	1, 2, 4
LIANG Yaoying	BMI334	Licensed Representative	2
LIAO Maojiang	BMI130	Licensed Representative	1, 4
LIM Damien Jia Wei	BMK464	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIM Jimmy	BMD859	Licensed Representative	9
LIU Chang	BGX757	Licensed Representative	1, 6
LIU Gang	BME739	Licensed Representative	9
LIU Jiaqi	BMF539	Licensed Representative	1, 6
LIU Shanshan	BMD868	Licensed Representative	1, 2, 4
LIU Xiaowei	BAS354	Licensed Representative	1, 4, 9
LIU Ye Ting	BMC891	Licensed Representative	1, 6
LIU Yue	BMG014	Licensed Representative	1, 6
LO Lai Kwan, Thebes	ACT431	Licensed Representative	1
LO Wan Ju	BMI350	Licensed Representative	1, 4, 9
LO Yiu Man	BMG156	Licensed Representative	1, 2
LOH Wei Min	BMI076	Licensed Representative	1, 2
LOU YUHAN	BMI892	Licensed Representative	1
LU Limei	BMH783	Licensed Representative	1
LUI Chun Hay Joey	BMF398	Licensed Representative	1, 2, 4
LUI Lung Wai	BMC617	Licensed Representative	6
LUNG Chi Chiu	BMD883	Licensed Representative	1
LUO Hankun	BMH148	Licensed Representative	1, 2
LUO Xun	AUW916	Licensed Representative	1, 4, 9
LUTEREAU Pablo Federico	BJU418	Licensed Representative	10
LYU QI	BMJ920	Licensed Representative	9
MA Hiu Nga, Michelle	AWE190	Licensed Representative	1, 4
MA Ka Chun	BMG170	Licensed Representative	1, 2, 4
MAINGARD De La Ville Es Offran Amedee Cory	BHK684	Licensed Representative	4, 9
MAK Clement Ka Yiu	BMA455	Licensed Representative	1
MATHESON Leighton Carey	AGK143	Licensed Representative	9
MCKENNA ERIC DANIEL	BLX087	Licensed Representative	9
MUI Ka Chiu	BLP788	Licensed Representative	1
NG Chi Fung	BMH483	Licensed Representative	9
NG Pak Kei	APG232	Licensed Representative	1, 2
NG Siu Lung	BMJ747	Licensed Representative	10
NG Sze Ho	AFI898	Licensed Representative	4
NG Yin Kuen	BMH522	Licensed Representative	1, 4
NG Ying Kit, Walter	ADZ360	Licensed Representative	1
OGAWA Ryuzo	BMM183	Licensed Representative	1
OU Yaqian	BDO588	Licensed Representative	1, 4
OUYANG Shirui	BIN775	Licensed Representative	4
PAN Lei	BLX186	Licensed Representative	4, 9
PANG WAI CHUEN EDMOND	BMA226	Licensed Representative	6
PAO Michael Shihao	AVR356	Licensed Representative	4, 9
PAREKH Alok	BBH963	Licensed Representative	1
PARK Kwihwan	BLZ454	Licensed Representative	1, 2
PATKER Sameer Sudhir	BMB521	Licensed Representative	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
PENG Gangfu	BFM414	Licensed Representative	4, 9
PHOON Wing Kit	BME179	Licensed Representative	2
PO Choi Kwai	BMI091	Licensed Representative	1, 4
PU Jingshu	BLS932	Licensed Representative	1
PUN Lai Shan	BMF543	Licensed Representative	1, 2
QI Xuan	ATW424	Licensed Representative	1, 4, 9
QIAN Zixuan	BLX759	Licensed Representative	1, 6
QIN YU	BMF424	Licensed Representative	1
QIU YUN	BMI518	Licensed Representative	1, 6
QIU Zhiwei	BMI936	Licensed Representative	1, 2
QUAN Jiali	BMK450	Licensed Representative	1, 9
RATHORE Rahul	BMG231	Licensed Representative	9
REN Qingwen	BME227	Licensed Representative	1, 2
RISBERG Niklas Johan	BMF013	Licensed Representative	9
ROBERTS Glyn David	BBZ480	Licensed Representative	1, 2
RUMBALL Jacob Patrick	BMJ389	Licensed Representative	9
SAHNI Akshay	AMC661	Licensed Representative	1, 2
SALECHA Mitul	BMG596	Licensed Representative	1, 2
SEKINUMA Joji	BMC988	Licensed Representative	1
SHANG Chuzun	BMH297	Licensed Representative	4
SHENG Lisha	BMH847	Licensed Representative	1, 2
SHI Wenjia	BMG353	Licensed Representative	1, 6
SHI Yue	BMJ774	Licensed Representative	1, 4, 6
SI Meng	BLU921	Licensed Representative	1
SIERADZKI Alexandre Roger	BMH510	Licensed Representative	1, 4, 9
SIN Ka Ying	AOD822	Licensed Representative	1, 4, 9
SIU Man Lok	BMI524	Licensed Representative	1, 2
SIU Wai Ching, Shirley	ABP528	Licensed Representative	1, 4
SIU Wing Chun	BMG846	Licensed Representative	1
SO Bo Keung, Michael	BMD851	Licensed Representative	1
SO Sze Yue	BMM196	Licensed Representative	1, 6
SONG BOHAO	BMG148	Licensed Representative	4, 9
SONG Minmin	BDF036	Licensed Representative	1, 4
SRINIVASAN Pavithra	BLX083	Licensed Representative	9
SU Rongxia	ATB921	Licensed Representative	1, 4
SU Ruzhe	BM1065	Licensed Representative	4
SU Tian	BMK653	Licensed Representative	1, 4
SULLIVAN Thomas Sean	BMH515	Licensed Representative	1
SUN Lan	BMI353	Licensed Representative	1, 4
SUN Miao	BMD847	Licensed Representative	4, 9
SUN Qiang Chang	ACF134	Licensed Representative	1, 4
SUN Yumeng	BME539	Licensed Representative	9
SZE Chi Fan	BMH731	Licensed Representative	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAI Ho Fung	BMI050	Licensed Representative	1, 4, 6
TAM Ka Heng, Carmen	ARL253	Licensed Representative	1, 4, 9
TAM Kam Wah	ABM628	Licensed Representative	1
TAN James Seng Yee	ATN584	Licensed Representative	1, 2
TANG Chiu Fan	BDW212	Licensed Representative	1, 9
TANG Hung Yu	BMI356	Licensed Representative	1
TANG Lian	BLU473	Licensed Representative	1, 2
TANG Li-yu	AWE763	Licensed Representative	9
TANG Mei Kam	BLU324	Licensed Representative	4, 9
TIN siu yan	BMI119	Licensed Representative	1
TIN Wai Man	ARG334	Licensed Representative	1, 4, 9
TONG Heung Yan Donna	BML658	Licensed Representative	1
TONG Ying	BIJ653	Licensed Representative	4
TSANG Pui Ling Polly	BMI929	Licensed Representative	1, 4, 9
TSANG Tak Ki	BMC017	Licensed Representative	1
TSE Christine Cheuk Wing	BLV209	Licensed Representative	1, 2
TSE Kit Ying	BMG845	Licensed Representative	1, 4, 9
TSE Tin Shing	BFC853	Licensed Representative	1, 4, 9
TSE Tsz Kwan	BJO498	Licensed Representative	6
TSE Tung Wai	BMA121	Licensed Representative	1, 6
TSOI Geoffrey Wai Yip	BBJ502	Licensed Representative	1
TSOI Ting Jessica	BLY501	Licensed Representative	9
TSOI Wai Hong	BMB676	Licensed Representative	1, 2
TSOI Wei Yan	BMK463	Licensed Representative	1, 6
TSUI Kin Ling	AVY267	Licensed Representative	1, 4
TURAKHIA Pratik Prakash	BAI093	Licensed Representative	1
TYRTYCHNA Olena	BMB542	Licensed Representative	1, 2
VED Premal Gopaldas	BMM169	Licensed Representative	1
WAN Lap Kei	AML156	Licensed Representative	2, 5
WANG An Chuan	ADX020	Licensed Representative	1, 4
WANG Boshen	BMA941	Licensed Representative	1, 4
WANG Chengchen	BMF149	Licensed Representative	9
WANG Chuan Ying	BMG147	Licensed Representative	1
WANG Dige	BMH216	Licensed Representative	1, 4
WANG Hui	BLN172	Licensed Representative	2
WANG Jun	BMH239	Licensed Representative	1
WANG Li	BMD860	Licensed Representative	9
WANG Lucian	BMG250	Licensed Representative	1, 2
WANG OLIVER Ying Lun	BMG609	Licensed Representative	4, 9
WANG Xiaoming	BMJ757	Licensed Representative	1
WANG Xinyi	BMI322	Licensed Representative	4
WANG Xuegang	BMD008	Licensed Representative	4, 9
WANG Yi	BMA944	Licensed Representative	2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Yilin	BML121	Licensed Representative	1, 2, 4
WANG Yinghui	BMI924	Licensed Representative	4
WANG Yushen	BMH497	Licensed Representative	4
WAY Ling Chui	BMH151	Licensed Representative	1, 4
WEI Wei	BMH195	Licensed Representative	9
WEI XIGUANG	BLT495	Licensed Representative	9
WENG Jinghong	BMG697	Licensed Representative	1, 4
WHANG Keith Sun	BLU969	Licensed Representative	9
WONG Cheuk Fung	BFE380	Licensed Representative	1, 4, 9
WONG Cho Fung Alan	BMF182	Licensed Representative	1, 2
WONG Jun	BMG252	Licensed Representative	1, 2
WONG Ka Hin	BML678	Licensed Representative	1, 2
WONG Mellissa	AKO803	Licensed Representative	1
WONG Nok Hang	BMI361	Licensed Representative	3
WONG Pak Kan	AXK066	Licensed Representative	9
WONG Ting Chun, Justin	BMJ409	Licensed Representative	1
WONG Tony Tin Wing	ALZ369	Licensed Representative	1, 4
WOO Ka Man Sara	BHD691	Licensed Representative	1, 4
WU Chi Him, James	BJK279	Licensed Representative	1
WU Jiajia	BMH821	Licensed Representative	1
WU Ka Ki	BMI572	Licensed Representative	1
WU Kam Tung	BMF548	Licensed Representative	1
WU Peiqin	BMI131	Licensed Representative	1, 2, 4, 5
WU Shuohuan	BMF453	Licensed Representative	1
WU Tin Chi	BMI058	Licensed Representative	1, 6
WU Yang	BMK680	Licensed Representative	1, 4, 9
XIAN Ming	BDV840	Licensed Representative	1
XIN Peng	BMB690	Licensed Representative	1, 2
XING Yuwei	BMK648	Licensed Representative	1
XU Peiyu	BMH493	Licensed Representative	4
XUE Jiayun	BMF180	Licensed Representative	1, 6
YANG Geng	AWW234	Licensed Representative	1, 4, 9
YANG Hongbo	BME752	Licensed Representative	1, 2, 3
YANG Shi Ai	BMJ699	Licensed Representative	1, 2
YAU Sherlock Szelock	BMB657	Licensed Representative	1, 6
YEUNG Chi Pan	BEG177	Licensed Representative	1, 4
YEUNG Chin Kwan, Gilbert	BMI041	Licensed Representative	1, 4
YEUNG Chun Kit, Johnison	BMH779	Licensed Representative	6
YEUNG Wai To	BML382	Licensed Representative	2
YIP Ping Hang	BML889	Licensed Representative	2, 5
YIU Wing Kit	BMF440	Licensed Representative	1
YONG Shao Fung	BMG012	Licensed Representative	1, 4, 9
YU Jiaqiang	BMI887	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Kim Ho, Douglas	ABF198	Licensed Representative	9
YU Surong	BHM107	Licensed Representative	4, 9
YU Wing Yan	BJQ181	Licensed Representative	1
YU Zhou	BMF545	Licensed Representative	1, 4
YUNG Ling Ling, Lilian	ADX189	Licensed Representative	1, 4
ZHANG Bin	BM1551	Licensed Representative	1
ZHANG Hui	BMF480	Licensed Representative	1
ZHANG Jingdan	BMG531	Licensed Representative	4
ZHANG Mingwei	BMF163	Licensed Representative	1, 2, 9
ZHANG Qian	BMG072	Licensed Representative	1, 2, 4
ZHANG XinZhi	BLV455	Licensed Representative	2
ZHANG Yue	BMG550	Licensed Representative	1, 4
ZHANG Zhiwei	BM1925	Licensed Representative	4
ZHAO Feiya	BLY281	Licensed Representative	4
ZHAO Liang	BME560	Licensed Representative	9
ZHAO Yue	BKA711	Licensed Representative	1, 4
ZHENG Puyu	BLU393	Licensed Representative	1, 2, 9
ZHENG Shenghuai	BM1556	Licensed Representative	1, 2, 4
ZHU Jingxuan	BME963	Licensed Representative	1, 4
ZHU Yichen	BEG155	Licensed Representative	1, 4, 9
ZHU Yisi	BML997	Licensed Representative	2, 5
ZOU Yi	BMG901	Licensed Representative	1, 4
CAI Jing	AXO945	Licensed Representative - Responsible Officer	1, 4, 9
CHANG Steven	BKJ800	Licensed Representative - Responsible Officer	1
CHERRINGTON Benjamin James	BKA747	Licensed Representative - Responsible Officer	1
CHEUNG Kai Pong	AMP112	Licensed Representative - Responsible Officer	9
CHIANG Wei-Yuan	AQQ103	Licensed Representative - Responsible Officer	2
CONG Lin	ANN418	Licensed Representative - Responsible Officer	1, 2, 4, 6, 9
DATE Junichiro	BJK612	Licensed Representative - Responsible Officer	4, 9
FENG Yue	AWD853	Licensed Representative - Responsible Officer	4, 9
FOURNIER-BARRAND Francois-Alexis Fabien	BLR943	Licensed Representative - Responsible Officer	4, 9
GALLOIS DE CARBONNEL DE CANISY Alain Bruno	BIN829	Licensed Representative - Responsible Officer	1, 2, 4, 5
GAMET Francois Jean Maurice	BHK370	Licensed Representative - Responsible Officer	1, 4, 6
GAO Ronghua	BJR058	Licensed Representative - Responsible Officer	4, 9
HIBBERD Robin Sidney	AEP759	Licensed Representative - Responsible Officer	9
HU Ming	BLE484	Licensed Representative - Responsible Officer	1, 4
HU Xiaozhong	BKB259	Licensed Representative - Responsible Officer	1, 2
KUBO Seisaku	AKX826	Licensed Representative - Responsible Officer	1, 4, 9
LAM Fu Ming	ADW923	Licensed Representative - Responsible Officer	4, 9
LAN Wen	BHY116	Licensed Representative - Responsible Officer	1, 4, 6, 9
LAU Chi Kin	BMK422	Licensed Representative - Responsible Officer	9
LEE Yang-Shen	BLL629	Licensed Representative - Responsible Officer	4, 9
LEES Robert Andrew	ATR500	Licensed Representative - Responsible Officer	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIM Eng Ping	BLQ899	Licensed Representative - Responsible Officer	1, 4, 6
LO Tsz On, Chris	ABM814	Licensed Representative - Responsible Officer	1
LUK Hoi Yeung	BLQ506	Licensed Representative - Responsible Officer	1
MA Kui	BGH036	Licensed Representative - Responsible Officer	1, 2, 5
MENG Xuefeng	BIM364	Licensed Representative - Responsible Officer	1, 4, 9
MING Albert	AMU061	Licensed Representative - Responsible Officer	1, 4, 9
NG Chi Keung, Jeremy	AEO606	Licensed Representative - Responsible Officer	4, 9
NI Chien-Yuan	ARW806	Licensed Representative - Responsible Officer	4, 9
OEY Ching Tat	AHS157	Licensed Representative - Responsible Officer	9
PAI Husan	ACR544	Licensed Representative - Responsible Officer	4, 5, 9
SCHULTZ Joseph Gerard	BLY723	Licensed Representative - Responsible Officer	1, 4
SHAN Dan	BLB709	Licensed Representative - Responsible Officer	9
TONG Yan	BLB683	Licensed Representative - Responsible Officer	9
WEBSTER Mark	BFR105	Licensed Representative - Responsible Officer	1, 2
WILBERT II Randall Edwin	AXL207	Licensed Representative - Responsible Officer	4, 6, 9
WONG Hoe Choon Reddy	ABR276	Licensed Representative - Responsible Officer	1, 2, 4, 5, 6, 9
WONG King Man	ADV639	Licensed Representative - Responsible Officer	1, 4, 9
XU Tiantian	BJR785	Licensed Representative - Responsible Officer	4, 9
YAU Arthur	BLZ370	Licensed Representative - Responsible Officer	1
YEUNG Kwok Ki, Keith	ADT376	Licensed Representative - Responsible Officer	4
YIN Jia Lu	ADW757	Licensed Representative - Responsible Officer	1
ZENG Xiangshun	BLI486	Licensed Representative - Responsible Officer	4, 9
ZHANG Sean	AMB133	Licensed Representative - Responsible Officer	3
ZHANG Xinnian	AWZ901	Licensed Representative - Responsible Officer	1, 2, 4, 5
ZHANG Yibin	AWT443	Licensed Representative - Responsible Officer	1, 4
ZHANG Ziquan	BLP942	Licensed Representative - Responsible Officer	9
ZHU Jun	BDI407	Licensed Representative - Responsible Officer	9
AGARWAL Nitin	BMM160	Licensed Representative (Provisional Licence)	1
ALLEN Stewart William	BMN654	Licensed Representative (Provisional Licence)	4, 9
AN Kexin	BMO638	Licensed Representative (Provisional Licence)	1
ANUVATNUJOTIKUL Predee	AVP871	Licensed Representative (Provisional Licence)	1, 2
AOKI Hidehiko	BAI638	Licensed Representative (Provisional Licence)	4
ATKINSON Kristian Mark	BMK417	Licensed Representative (Provisional Licence)	1
AU Tsz Ki	BMM219	Licensed Representative (Provisional Licence)	1
BAO Qinghe	BMM250	Licensed Representative (Provisional Licence)	1, 2
BARRINEAU James William	BAR106	Licensed Representative (Provisional Licence)	1
BERTONE Yannick Anthony Pierre	BMM181	Licensed Representative (Provisional Licence)	1, 2
BHATI Anil	BMI555	Licensed Representative (Provisional Licence)	1, 2
BI Tao	BMP342	Licensed Representative (Provisional Licence)	1, 6
BIAN Tingyun	BMG578	Licensed Representative (Provisional Licence)	1, 6
BISCOE Samuel Charles Richard	BMK906	Licensed Representative (Provisional Licence)	1
BOCK Mathias	BMI028	Licensed Representative (Provisional Licence)	1
BOTHA Darren Phillip	BMM574	Licensed Representative (Provisional Licence)	2, 5



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
BOUDOUX Thomas Matthieu	BML187	Licensed Representative (Provisional Licence)	1, 4
BUFFHAM Laura Jayne	BBL851	Licensed Representative (Provisional Licence)	2, 5
CAI Yirun	BMJ709	Licensed Representative (Provisional Licence)	4
CARTWRIGHT Edward Ian	BDC766	Licensed Representative (Provisional Licence)	1, 2
CERNIK Jaromir	BMK891	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Chi Kwan	BMJ367	Licensed Representative (Provisional Licence)	6
CHAN Henry	AVZ599	Licensed Representative (Provisional Licence)	1, 2, 9
CHAN Ka Him	BML433	Licensed Representative (Provisional Licence)	1
CHAN Sai Hong, Jonathan	BMM577	Licensed Representative (Provisional Licence)	9
CHAN Sai Pan	AKW554	Licensed Representative (Provisional Licence)	9
CHANANI Shiv Kumar	BMN672	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Yu Tzu	BMR330	Licensed Representative (Provisional Licence)	1, 4
CHEN Cheng	BMO985	Licensed Representative (Provisional Licence)	1, 4
CHEN Kaiqi	BMN448	Licensed Representative (Provisional Licence)	4
CHEN Pinzhu	BMN240	Licensed Representative (Provisional Licence)	1, 6
CHEN Qingya	BMN877	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Shijie	BML660	Licensed Representative (Provisional Licence)	1, 2
CHEN Tianxing	BMN686	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Xiaowei	BMQ531	Licensed Representative (Provisional Licence)	1, 2
CHEN Yingjuan	BMQ934	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Fu Shing	BMJ973	Licensed Representative (Provisional Licence)	9
CHENG Hok Kan	BMQ754	Licensed Representative (Provisional Licence)	1
CHEUNG Ho Wu	BMP071	Licensed Representative (Provisional Licence)	1
CHOI Sheung Wah	BHF331	Licensed Representative (Provisional Licence)	9
CHONG Ka Hei	BCU758	Licensed Representative (Provisional Licence)	1, 2
CHOUILLET Laurent	AUI381	Licensed Representative (Provisional Licence)	2, 5
CHOW Andrew U Yen	BMH843	Licensed Representative (Provisional Licence)	1, 2
CHOW Che Wai	BMJ696	Licensed Representative (Provisional Licence)	1, 4, 9
CHOW Chi Ho Anson	BMO307	Licensed Representative (Provisional Licence)	1, 2
CHOW Hin Ting	BMG814	Licensed Representative (Provisional Licence)	9
CHOW Lewis Cheuk Hei	BMJ344	Licensed Representative (Provisional Licence)	1, 2, 3, 4
CHU Chun Hin, Steven	AMS312	Licensed Representative (Provisional Licence)	1, 4
CHUAH Chia Ern	BMQ946	Licensed Representative (Provisional Licence)	1, 4, 6
CHUI Kiu Hing	BMQ908	Licensed Representative (Provisional Licence)	1, 4
CHUNG Barry Joseph	BMM247	Licensed Representative (Provisional Licence)	1, 4
CHUNG Chulsung	BML947	Licensed Representative (Provisional Licence)	2, 5
CI Yuan	BMP515	Licensed Representative (Provisional Licence)	1, 2
COOTE Matthew Christopher	BMP230	Licensed Representative (Provisional Licence)	1
DING Yixin	BML415	Licensed Representative (Provisional Licence)	1, 2, 4
DOH Eunuk	BMK467	Licensed Representative (Provisional Licence)	1, 6
DONG Jixuan	BMQ566	Licensed Representative (Provisional Licence)	4
DUVERNAY Alexis Charles Antoine	BBT702	Licensed Representative (Provisional Licence)	1, 4, 9
EBELING Alexander James	BMO929	Licensed Representative (Provisional Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
EDWARDS Matthew John	BMJ965	Licensed Representative (Provisional Licence)	1, 4
EL ASMAR Philippe	AWW451	Licensed Representative (Provisional Licence)	1, 4, 9
FAN Lai Ying	BMQ362	Licensed Representative (Provisional Licence)	1, 4
FANG Weiwei	BML869	Licensed Representative (Provisional Licence)	4, 9
FISCHER Richard Eric Marshall	BMK642	Licensed Representative (Provisional Licence)	1, 4, 6
FUNG Ka Kay Veronica	BMQ384	Licensed Representative (Provisional Licence)	1, 4
FUNG Lai Shan	BML683	Licensed Representative (Provisional Licence)	1
FURUKAWA Makoto	APA389	Licensed Representative (Provisional Licence)	1
GALTIER Pierre	AYD605	Licensed Representative (Provisional Licence)	1, 4
GAMET Francois Jean Maurice	BHK370	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Han	BCF244	Licensed Representative (Provisional Licence)	9
GE Pei Kun	BMO308	Licensed Representative (Provisional Licence)	4, 9
GOH Zhi Qiang	BMO079	Licensed Representative (Provisional Licence)	6
GRUNEBaum Julien, David, Edgar	BMK915	Licensed Representative (Provisional Licence)	1, 4
GU Yilei	BMP299	Licensed Representative (Provisional Licence)	4, 9
HAN Bing	BMM392	Licensed Representative (Provisional Licence)	1, 2, 4
HAN Dong Ok	BMP067	Licensed Representative (Provisional Licence)	1, 4
HAN Jennifer Koun	AMY266	Licensed Representative (Provisional Licence)	4
HAN Ji Hyun	BMQ058	Licensed Representative (Provisional Licence)	1, 2, 4, 9
HARVIE Andrew Charles	BMH512	Licensed Representative (Provisional Licence)	1
HE Chang	BMO313	Licensed Representative (Provisional Licence)	1, 2, 4
HE Dingding	APR488	Licensed Representative (Provisional Licence)	1, 4
HE Nanyang	BML708	Licensed Representative (Provisional Licence)	4
HE Wenqing	BML595	Licensed Representative (Provisional Licence)	1
HE Yibin	BMN665	Licensed Representative (Provisional Licence)	4
HENNESSY Kevin David	BLN404	Licensed Representative (Provisional Licence)	1, 2
HO Chung Him	BGI415	Licensed Representative (Provisional Licence)	1, 2, 4
HO Man Chun	AZL144	Licensed Representative (Provisional Licence)	1, 4, 9
HO Shuk Man, Gale	AGB356	Licensed Representative (Provisional Licence)	1, 2, 4
HON Wan Man	AXC912	Licensed Representative (Provisional Licence)	1, 4
HORN Jason Christopher John	BMQ392	Licensed Representative (Provisional Licence)	1, 4
HOU Hongyan	ARR885	Licensed Representative (Provisional Licence)	1, 2, 4
HSU Yi-Chi	BMN636	Licensed Representative (Provisional Licence)	1
HU Roger	BMO973	Licensed Representative (Provisional Licence)	1, 4
HU Sha	BMO300	Licensed Representative (Provisional Licence)	4
HU Yujing	BMN486	Licensed Representative (Provisional Licence)	1, 4
HUA Changqing	BMR093	Licensed Representative (Provisional Licence)	1, 4
HUA Shitian	BMQ575	Licensed Representative (Provisional Licence)	9
HUANG He	BMG861	Licensed Representative (Provisional Licence)	9
HUANG Lifeng	BMO964	Licensed Representative (Provisional Licence)	1
HUANG Tiancheng	BMQ053	Licensed Representative (Provisional Licence)	2, 5
HUANG Yating	BML416	Licensed Representative (Provisional Licence)	1, 4
HUANG Yin	AOJ769	Licensed Representative (Provisional Licence)	1, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUI Fei	BMQ599	Licensed Representative (Provisional Licence)	1, 4, 6
HUI Kiu Chun Saxon	BMO749	Licensed Representative (Provisional Licence)	1
HUNG Chin Chi	BML862	Licensed Representative (Provisional Licence)	1
HUR Jin Sung	AZW432	Licensed Representative (Provisional Licence)	4, 9
JACOB Philip Theverkattil	BMO077	Licensed Representative (Provisional Licence)	1, 2
JADROSICH Rafe Jason	BLG300	Licensed Representative (Provisional Licence)	1, 4
JI Bo	BLT493	Licensed Representative (Provisional Licence)	9
JI Wencheng	BML976	Licensed Representative (Provisional Licence)	2, 5
JI Xinge	BMC267	Licensed Representative (Provisional Licence)	1
JIANG Qizhou	BMQ399	Licensed Representative (Provisional Licence)	6
JIANG Xin	BMO304	Licensed Representative (Provisional Licence)	1, 2
JIANG Yongjin	BLW086	Licensed Representative (Provisional Licence)	9
JIANG Zeng	BMM176	Licensed Representative (Provisional Licence)	1, 2
JIN Liangzhu	BHY759	Licensed Representative (Provisional Licence)	9
JIN Soo Yun	BMM376	Licensed Representative (Provisional Licence)	1, 2
JIN Yongbo	BID144	Licensed Representative (Provisional Licence)	1, 4, 6
JONES Barnaby Leonard	BMN484	Licensed Representative (Provisional Licence)	1
JU Tong	BMM186	Licensed Representative (Provisional Licence)	4, 9
KANG Shin Young	BMH296	Licensed Representative (Provisional Licence)	9
KANG Yoonsung	BMP251	Licensed Representative (Provisional Licence)	1, 4, 9
KLINGER Daniel	BMN305	Licensed Representative (Provisional Licence)	1, 4
KO Hon Man	BMG600	Licensed Representative (Provisional Licence)	1, 2
KU Wai Yu	BMN404	Licensed Representative (Provisional Licence)	4
KUNG Wing San Joseph	BMH146	Licensed Representative (Provisional Licence)	1, 4
KWONG Sin Yee Florence	BMP307	Licensed Representative (Provisional Licence)	1
LAFEMINA Christopher Michael	BMN894	Licensed Representative (Provisional Licence)	4
LAI Shuk Yi	BMP679	Licensed Representative (Provisional Licence)	1
LAM Andrew Robert	BFT298	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Chak Sin	BJC098	Licensed Representative (Provisional Licence)	1
LAM Chi Kit Edward	BMO974	Licensed Representative (Provisional Licence)	10
LAM Ho Kan	BMQ110	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LAM Wai Ho	BMJ752	Licensed Representative (Provisional Licence)	1
LANGBERG Richard Martin	BMK886	Licensed Representative (Provisional Licence)	10
LAU Ho Yin	BML619	Licensed Representative (Provisional Licence)	1
LAU Kok Hong	BMN426	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Shuk Ying	BMQ156	Licensed Representative (Provisional Licence)	1, 4
LAU Sin Yu	BAF288	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Tak Lam	AJC203	Licensed Representative (Provisional Licence)	1
LAU Tsz Wa	BMO692	Licensed Representative (Provisional Licence)	1
LAU Yan Lun	BMI863	Licensed Representative (Provisional Licence)	1, 4
LAW Pat Sun	BML990	Licensed Representative (Provisional Licence)	1, 4
LEE Geoffrey	BMM416	Licensed Representative (Provisional Licence)	1
LEE Pak Ling	BMG897	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Sin Tung	BMP346	Licensed Representative (Provisional Licence)	1
LEE Soyong	BMI073	Licensed Representative (Provisional Licence)	1, 4
LEE Suet Yee	BMM428	Licensed Representative (Provisional Licence)	1, 6
LENG Ning	BMO298	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Tak Yin	BMP034	Licensed Representative (Provisional Licence)	1
LEUNG Yin Fai	BML959	Licensed Representative (Provisional Licence)	1, 6
LEUNG Yiu Fung	BMM572	Licensed Representative (Provisional Licence)	6
LEUNG Yuk Kuen	BMQ379	Licensed Representative (Provisional Licence)	1, 4
LI Dong	BMI891	Licensed Representative (Provisional Licence)	1
LI Hok To	BMP242	Licensed Representative (Provisional Licence)	4
LI Jichen	BLU396	Licensed Representative (Provisional Licence)	1, 2
LI Ka Fai	BMM593	Licensed Representative (Provisional Licence)	1, 2
LI Qingwen	BMM433	Licensed Representative (Provisional Licence)	1
LI Wai Kin	BMM248	Licensed Representative (Provisional Licence)	1, 6
LI Wan Chau	BDR015	Licensed Representative (Provisional Licence)	4, 9
LI Wei	AVE819	Licensed Representative (Provisional Licence)	1, 4
LI Weirong	AVA233	Licensed Representative (Provisional Licence)	1
LI Yuansheng	BMN481	Licensed Representative (Provisional Licence)	1, 4, 6
LIAO Ying Chi	BMG848	Licensed Representative (Provisional Licence)	4
LIAO Zezhou	BMO987	Licensed Representative (Provisional Licence)	1
LIN Jiahong	BMN462	Licensed Representative (Provisional Licence)	4
LIN Mao-Young	BMM425	Licensed Representative (Provisional Licence)	4
LIN Saisai	BMO984	Licensed Representative (Provisional Licence)	4, 5, 9
LIU Liang	BBS596	Licensed Representative (Provisional Licence)	1, 4
LIU Li-Chung	BMM426	Licensed Representative (Provisional Licence)	4
LIU Yitong	BML888	Licensed Representative (Provisional Licence)	1, 6
LIU Yixi	BML636	Licensed Representative (Provisional Licence)	1, 2
LIU Yufei	BMP535	Licensed Representative (Provisional Licence)	1, 2
LO Man Hon Bryan	BMO103	Licensed Representative (Provisional Licence)	1
LO Ryan	BMP305	Licensed Representative (Provisional Licence)	1, 4
LO Yin Ping	BFG802	Licensed Representative (Provisional Licence)	1, 4, 6
LONG Lydia Soong Leng	BMM364	Licensed Representative (Provisional Licence)	1, 4
LU Boyi	BMM613	Licensed Representative (Provisional Licence)	1
LU Ming Xian	BHJ470	Licensed Representative (Provisional Licence)	1, 6
LU Shali	BMM422	Licensed Representative (Provisional Licence)	4
LUCIANI Laurent Pierre Henri	AYW620	Licensed Representative (Provisional Licence)	1, 2, 7
LUI Kai	BMP018	Licensed Representative (Provisional Licence)	6
LUI Kam Wah	BMO744	Licensed Representative (Provisional Licence)	1, 4
LUI Lok Yan	BFK984	Licensed Representative (Provisional Licence)	1, 4, 9
LUI Wai Ching	BMO772	Licensed Representative (Provisional Licence)	1, 2
LUK Ching Laam	BMO276	Licensed Representative (Provisional Licence)	1, 4, 9
LUK Man Tat	BMQ091	Licensed Representative (Provisional Licence)	4, 9
LUK Michael Wai Hoe	AFS485	Licensed Representative (Provisional Licence)	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUO Ruizhe	BMQ322	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Xiongwei	BEN581	Licensed Representative (Provisional Licence)	2
LYU Zhirou	BMN434	Licensed Representative (Provisional Licence)	1, 6
MA Congyi	BMM389	Licensed Representative (Provisional Licence)	1, 2, 4
MA Xiaoling	BMI893	Licensed Representative (Provisional Licence)	1, 2
MAKPOLO Marleeka Keyala Brodie	BMN881	Licensed Representative (Provisional Licence)	1
MANGIN Charles	AQI139	Licensed Representative (Provisional Licence)	1, 2
MARGITAN Steven John	BMQ590	Licensed Representative (Provisional Licence)	1, 4, 9
MATSUO (SAKIMA) Scott	BML110	Licensed Representative (Provisional Licence)	1
MIAO Jie	BML160	Licensed Representative (Provisional Licence)	1, 4, 6
MIAO Li	BMM547	Licensed Representative (Provisional Licence)	1, 4, 9
MINERS - JONES Tristram David	BMN427	Licensed Representative (Provisional Licence)	9
MO Siu Yun	BMQ378	Licensed Representative (Provisional Licence)	1, 4
MOHAMMAD Sajjad	BDM517	Licensed Representative (Provisional Licence)	1
MORAN Miranda Elvira	AQM743	Licensed Representative (Provisional Licence)	4
MORI Masashi	BMN428	Licensed Representative (Provisional Licence)	9
MUI Ka Chiu	BLP788	Licensed Representative (Provisional Licence)	1
MURAKAMI Hirotooshi	BMK025	Licensed Representative (Provisional Licence)	1
NAGANO Masayuki	BMK026	Licensed Representative (Provisional Licence)	1
NAKADA Yasuhiro	BMK883	Licensed Representative (Provisional Licence)	4
NAYAK Sovan	BMK394	Licensed Representative (Provisional Licence)	1, 6
NEE Mary Yuet So	ABF513	Licensed Representative (Provisional Licence)	9
NG Chun Hei	BMO281	Licensed Representative (Provisional Licence)	4
NG Ka Po, Phyllis	BMO674	Licensed Representative (Provisional Licence)	1
NG Wai Kit	AZD315	Licensed Representative (Provisional Licence)	1, 2
NG Wai Wah	AGW317	Licensed Representative (Provisional Licence)	1
NG Wing Tong	BMO766	Licensed Representative (Provisional Licence)	1
NURYANTI Yuyun Aisyah	BMK647	Licensed Representative (Provisional Licence)	1, 4, 6
O'BRIEN Sean Emmet	BMN653	Licensed Representative (Provisional Licence)	1
OGAWA Ryuzo	BMM183	Licensed Representative (Provisional Licence)	1
ONUMA Kazuhiko	BHA489	Licensed Representative (Provisional Licence)	1
ORMEROD Myles Weihao	BMM213	Licensed Representative (Provisional Licence)	9
PAN Renyi	BMP234	Licensed Representative (Provisional Licence)	4
PANG Mei Yan, Amina	ADS752	Licensed Representative (Provisional Licence)	1, 4
PEGUM Richard Anthony	ACR067	Licensed Representative (Provisional Licence)	9
PENG Cheng	BMO784	Licensed Representative (Provisional Licence)	6
POON King Fat	BHW676	Licensed Representative (Provisional Licence)	1, 4
POON Kit Ngar	BMQ748	Licensed Representative (Provisional Licence)	1, 2, 7
QIAN Chao	BMI037	Licensed Representative (Provisional Licence)	1, 4, 6
REILLY Nathan John	BML864	Licensed Representative (Provisional Licence)	4
RUI Wendong	BLL486	Licensed Representative (Provisional Licence)	1, 4, 6
RYAN Jonathan David	AGX020	Licensed Representative (Provisional Licence)	1
SAKAMOTO Ryoh	BMK020	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHARMA Prakhar	BMO253	Licensed Representative (Provisional Licence)	4
SHEN Yuhao	BMO303	Licensed Representative (Provisional Licence)	1, 6
SHENG Lisha	BMH847	Licensed Representative (Provisional Licence)	1, 2
SHI Jing	BMO306	Licensed Representative (Provisional Licence)	4
SHI Qi	BMN445	Licensed Representative (Provisional Licence)	9
SHI Yahui	BMN480	Licensed Representative (Provisional Licence)	1, 4, 9
SILLAM Jonathan Charles Marc	AOM654	Licensed Representative (Provisional Licence)	1, 2
SILVANO Randa Bangun	BMK646	Licensed Representative (Provisional Licence)	1, 4, 6
SINHA Abhinav	BMM580	Licensed Representative (Provisional Licence)	4
SIU Wing Chun	BMG846	Licensed Representative (Provisional Licence)	1
SO Sze Yue	BMM196	Licensed Representative (Provisional Licence)	1, 6
SO WAI YU	BME650	Licensed Representative (Provisional Licence)	1, 2
SONG Jingyi	BMP026	Licensed Representative (Provisional Licence)	1
SU Wenqiu	BMO285	Licensed Representative (Provisional Licence)	1, 6
SUGIMOTO Koichi	AYI537	Licensed Representative (Provisional Licence)	1
SUN Xichen	BMO238	Licensed Representative (Provisional Licence)	1, 4
SURIE Radhika	BMO983	Licensed Representative (Provisional Licence)	1
TAKAKURA Kimihiro	BMM146	Licensed Representative (Provisional Licence)	1
TAMARI Yuya	BMN416	Licensed Representative (Provisional Licence)	1
TAN Choon Ming	AMA932	Licensed Representative (Provisional Licence)	4
TANG Fu Chung	BML143	Licensed Representative (Provisional Licence)	1
TANG Pak Kee	AVD968	Licensed Representative (Provisional Licence)	1, 4, 9
TAO Tao	BMP526	Licensed Representative (Provisional Licence)	1, 4, 9
TING Kin Yung, Frankie	AIE800	Licensed Representative (Provisional Licence)	1, 4
TRINCA Giancarlo	BML132	Licensed Representative (Provisional Licence)	1, 4, 6
TSANG Cheuk Yiu	BMO718	Licensed Representative (Provisional Licence)	1, 4
TSANG Simon Sai Cheong	AUW602	Licensed Representative (Provisional Licence)	1, 7
TSE Bun, Benjamin	ACL001	Licensed Representative (Provisional Licence)	9
TSUNOYAMA Tomonobu	BMH728	Licensed Representative (Provisional Licence)	1
UCHINO Akihiko	BMH729	Licensed Representative (Provisional Licence)	1
UNG Vincent Remi Jean	BMP530	Licensed Representative (Provisional Licence)	1, 2
VED Premal Gopaldas	BMM169	Licensed Representative (Provisional Licence)	1
VINE Michael James	BHW670	Licensed Representative (Provisional Licence)	10
VON Chung Hua	BMO954	Licensed Representative (Provisional Licence)	1, 4, 6
WAN Cheuk Hei	BMF465	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WAN Cheuk Kit	BMO084	Licensed Representative (Provisional Licence)	1, 4
WAN Lap Kei	AML156	Licensed Representative (Provisional Licence)	2, 5
WANG Chengjie	BMP504	Licensed Representative (Provisional Licence)	1, 2
WANG Hao	BMQ376	Licensed Representative (Provisional Licence)	1, 4
WANG Jingchen	BMO980	Licensed Representative (Provisional Licence)	4, 9
WANG Liting	BHF601	Licensed Representative (Provisional Licence)	4
WANG Pingyuan	BMO698	Licensed Representative (Provisional Licence)	1, 2
WANG Rui	BFZ379	Licensed Representative (Provisional Licence)	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Shali	BMP755	Licensed Representative (Provisional Licence)	4, 9
WANG Yi	BMQ373	Licensed Representative (Provisional Licence)	4
WANG Yilin	BML121	Licensed Representative (Provisional Licence)	1, 2, 4
WATSON James Robert	ADB915	Licensed Representative (Provisional Licence)	1, 4
WEI Jiarui	BML418	Licensed Representative (Provisional Licence)	1, 4, 6
WENG Hongbo	BMP537	Licensed Representative (Provisional Licence)	1, 6
WONG Fung Lung	AMN581	Licensed Representative (Provisional Licence)	1, 2
WONG Ka Hin	BML678	Licensed Representative (Provisional Licence)	1, 2
WONG Ka Yi	BGM619	Licensed Representative (Provisional Licence)	1
WONG Tsz Kin	ALA499	Licensed Representative (Provisional Licence)	1
WONG Tsz Wai	BMM233	Licensed Representative (Provisional Licence)	1, 2
WU Lei	BMO309	Licensed Representative (Provisional Licence)	9
WU Ling	BMP332	Licensed Representative (Provisional Licence)	1, 4
WU Maojiang	BGY984	Licensed Representative (Provisional Licence)	1
WU Ping	BML609	Licensed Representative (Provisional Licence)	1, 6
XI Mengmeng	BMP021	Licensed Representative (Provisional Licence)	4
XIA Qian	BML167	Licensed Representative (Provisional Licence)	1, 2
XIAO Yinlai	BMP512	Licensed Representative (Provisional Licence)	1, 6
XING Chuan	BML873	Licensed Representative (Provisional Licence)	1, 2
XIONG Li	AQE822	Licensed Representative (Provisional Licence)	1, 4
XU Jingqi	BMP538	Licensed Representative (Provisional Licence)	4, 9
XU Jingying	BMK885	Licensed Representative (Provisional Licence)	1
XU Zhewen	BMP072	Licensed Representative (Provisional Licence)	1
XUAN He	BMP104	Licensed Representative (Provisional Licence)	1, 2
YANG Geng	AWW234	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Jian	BML607	Licensed Representative (Provisional Licence)	1, 6
YANG Xu	BMP319	Licensed Representative (Provisional Licence)	1, 6
YANG Yang	ARH481	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Yang	BMN688	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Yi	BMP248	Licensed Representative (Provisional Licence)	1, 9
YANG Zesi	BMP756	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Ziwei	BMO679	Licensed Representative (Provisional Licence)	1
YAU Stephanie Carmen	BMM421	Licensed Representative (Provisional Licence)	4, 9
YEK Jia Jin Justin	ATS711	Licensed Representative (Provisional Licence)	1, 6
YEOH Chye Chuan Eric	BMM148	Licensed Representative (Provisional Licence)	1, 4
YEUNG Siu Wa	BMQ597	Licensed Representative (Provisional Licence)	1, 4
YIM Kam Ting	AUL591	Licensed Representative (Provisional Licence)	1, 2, 3, 4
YIM Kwok Keung	BMQ381	Licensed Representative (Provisional Licence)	1, 4
YIP Hiu Ting	BKL221	Licensed Representative (Provisional Licence)	1
YIP Ping Hang	BML889	Licensed Representative (Provisional Licence)	2, 5
YOO Yong Jae	BMQ117	Licensed Representative (Provisional Licence)	1, 4
YOSHIDA GENKI	BJJ183	Licensed Representative (Provisional Licence)	1, 4, 6
YOSHIDA Jumpei	BLA977	Licensed Representative (Provisional Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
YOSHIHARA Tomoko	BMO654	Licensed Representative (Provisional Licence)	1
YOSHIOKA Masahiko	BHJ396	Licensed Representative (Provisional Licence)	1
YOUNG Brandon Shung Shuen	BMN651	Licensed Representative (Provisional Licence)	1
YU Jia	BMO320	Licensed Representative (Provisional Licence)	1, 4, 9
YU Ka Wai	AIC879	Licensed Representative (Provisional Licence)	1, 2
YU Mujun	BIO227	Licensed Representative (Provisional Licence)	4, 9
YU Wing Yan	BJQ181	Licensed Representative (Provisional Licence)	1
YUAN Ling	BLW081	Licensed Representative (Provisional Licence)	1
YUEN Tung Pui	BMO078	Licensed Representative (Provisional Licence)	1
ZENG Zhuo	BMM402	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Chi	AXK997	Licensed Representative (Provisional Licence)	4
ZHANG Chu	BMM596	Licensed Representative (Provisional Licence)	9
ZHANG Fan	AZE638	Licensed Representative (Provisional Licence)	4, 9
ZHANG Hao	BMK619	Licensed Representative (Provisional Licence)	1, 6
ZHANG Patrick Zehua	BMH465	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xue	BMO743	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xuyan	BMM382	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yan	BML709	Licensed Representative (Provisional Licence)	4, 9
ZHENG Liang	BMO294	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
ZHONG Li	BAR460	Licensed Representative (Provisional Licence)	1, 6
ZHOU Marcia	BMO099	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Mi	BMM223	Licensed Representative (Provisional Licence)	4
ZHOU Shaolong	BMP334	Licensed Representative (Provisional Licence)	1, 6
ZHOU Ying	BMO659	Licensed Representative (Provisional Licence)	1
ZHOU Yusi	BML174	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Jintao	BMP057	Licensed Representative (Provisional Licence)	1, 4
ZHU Jun	BDI407	Licensed Representative (Provisional Licence)	9
ZHU Yisi	BML997	Licensed Representative (Provisional Licence)	2, 5
ZONG Yue	BMQ324	Licensed Representative (Provisional Licence)	1, 4, 6
AGRAWAL Anurag	BHN025	Licensed Representative (Temporary Licence)	1, 2
AHUJA Vivek Manohar	ARI512	Licensed Representative (Temporary Licence)	1
ALLEN Thomas Glassbrooke	BHD188	Licensed Representative (Temporary Licence)	1, 4
ANDERSON Gregory Paul	BMO234	Licensed Representative (Temporary Licence)	4
ANDREWS Christopher John	BMJ765	Licensed Representative (Temporary Licence)	1
ARCHIBALD Stuart James	BIJ836	Licensed Representative (Temporary Licence)	1
AREVALO Alejandro	BME537	Licensed Representative (Temporary Licence)	1
ARYA Vivek	BEN904	Licensed Representative (Temporary Licence)	1, 4
AUREL-SMITH Timothy Charles	BGO735	Licensed Representative (Temporary Licence)	1
AYABE Shunsuke	BEC905	Licensed Representative (Temporary Licence)	1
BABA Taichi	BAZ165	Licensed Representative (Temporary Licence)	1, 2
BAGREE Madhusudan Prasad	BJC492	Licensed Representative (Temporary Licence)	1
BARATON Xavier Francois	AVC110	Licensed Representative (Temporary Licence)	1
BATHIJA Alok Anil	BGE896	Licensed Representative (Temporary Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
BHUTANI Ashish	ARA361	Licensed Representative (Temporary Licence)	1, 4
BISHOP Alastair Timothy	BFE366	Licensed Representative (Temporary Licence)	1, 4
BLASS Max Sunny	BMM138	Licensed Representative (Temporary Licence)	1, 4, 5
BRACKETT Robert Alan	BBA483	Licensed Representative (Temporary Licence)	4
BRENNAN Anthony Paul	BDU992	Licensed Representative (Temporary Licence)	4
BUCKLAND James Stephen	BGV232	Licensed Representative (Temporary Licence)	1
BUCKLEY Daniel Martin Megumu	BML435	Licensed Representative (Temporary Licence)	1, 2
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
CARTER JEREMY	BMM387	Licensed Representative (Temporary Licence)	10
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAKRABORTY Samiran	BGU585	Licensed Representative (Temporary Licence)	4
CHAN Simon Yuk Kwan	BCT212	Licensed Representative (Temporary Licence)	1, 4
CHEN Liyi	BMO259	Licensed Representative (Temporary Licence)	1, 2
CHEN Wen-Chin	AVD899	Licensed Representative (Temporary Licence)	4
CHENG Jiaqi	BIE148	Licensed Representative (Temporary Licence)	1
CHEONG Rebecca Ka Wing	BIQ011	Licensed Representative (Temporary Licence)	1
CHEUNG Kok Wah Edgar	BMO092	Licensed Representative (Temporary Licence)	1
CHIA Joseph Kuok Lit	BLS724	Licensed Representative (Temporary Licence)	1
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHOPRA Sameer	BAU420	Licensed Representative (Temporary Licence)	1, 4
COLANTUONI John Robert	BML112	Licensed Representative (Temporary Licence)	1, 4
CONSTABLE Anthony Joseph Peregrine	BFY119	Licensed Representative (Temporary Licence)	2
CORK Jenna Louise	AVS375	Licensed Representative (Temporary Licence)	1
COUGHLAN Thomas Jonathan	BMM126	Licensed Representative (Temporary Licence)	1, 2
CRAFT Paul Lindsay	BML368	Licensed Representative (Temporary Licence)	1, 2
CROSS Sebastien Andrew	BML626	Licensed Representative (Temporary Licence)	1, 4
CURTIS Jonathan Tourtellotte	BHP706	Licensed Representative (Temporary Licence)	1
DAI Min	BHQ112	Licensed Representative (Temporary Licence)	1, 4, 5
DAVIES Robert John	BMM340	Licensed Representative (Temporary Licence)	1, 4
DENOISEUX Vincent Yves Elisabeth Marie	BLF676	Licensed Representative (Temporary Licence)	1, 4
DEPAEPE Alexis Rene Christian	APF813	Licensed Representative (Temporary Licence)	1
DIETZ Martin Dietrich	BMJ748	Licensed Representative (Temporary Licence)	1
DIVERS Alan Richard	BIZ926	Licensed Representative (Temporary Licence)	1, 2
DONALD James Maitland	BFS964	Licensed Representative (Temporary Licence)	1, 4
DRAPER Daniel Eugene	ACP012	Licensed Representative (Temporary Licence)	1, 4
EDWARDS Shane Grant	AJG195	Licensed Representative (Temporary Licence)	1
EL-HAKIM Faisal Ali	BLF129	Licensed Representative (Temporary Licence)	1, 4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FAYVILEVICH GREGORY	BMN656	Licensed Representative (Temporary Licence)	10
FERGUSON Graeme Aladar	BMO914	Licensed Representative (Temporary Licence)	10
FOSTER Derek Patrick Jack	ARU732	Licensed Representative (Temporary Licence)	1, 2
GANDHI Veekesh Suresh	BBA377	Licensed Representative (Temporary Licence)	1, 4
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
GILBERT Benjamin Joseph William	AVB999	Licensed Representative (Temporary Licence)	4
GILLIS Ryan James	BMK620	Licensed Representative (Temporary Licence)	1, 2
GLAZER Bruce Lawrence	BMQ169	Licensed Representative (Temporary Licence)	1, 4
GLOVER Anthony Stuart	BBL723	Licensed Representative (Temporary Licence)	4
GOSALIA Manan Ajay	BMM123	Licensed Representative (Temporary Licence)	1, 4
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
HALL Andrew Mark	BMO623	Licensed Representative (Temporary Licence)	1, 4
HARADA Jun	AOR374	Licensed Representative (Temporary Licence)	4
HARGIS Kent W	BAG066	Licensed Representative (Temporary Licence)	4
HARRISON Matthew Kelsey	BEZ680	Licensed Representative (Temporary Licence)	1, 4
HARTING Morgan Clothier	AXT012	Licensed Representative (Temporary Licence)	1
HATADA Makoto	BML436	Licensed Representative (Temporary Licence)	4
HAYASHI Akifumi	BAL556	Licensed Representative (Temporary Licence)	4
HELPER Paul Douglas	ARF416	Licensed Representative (Temporary Licence)	1
HENCH William Anthony	AWF128	Licensed Representative (Temporary Licence)	1
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	1, 4
HO Timothy	BMM135	Licensed Representative (Temporary Licence)	1, 4
HOCHFELD Kim	BKV728	Licensed Representative (Temporary Licence)	1
HOTTA Kenjin Tom	AZV542	Licensed Representative (Temporary Licence)	1, 4
HUCKER Brett Andrew	BHH564	Licensed Representative (Temporary Licence)	1
IBERG Roland Peter	BME580	Licensed Representative (Temporary Licence)	1
IKEDA Atsushi	BEM322	Licensed Representative (Temporary Licence)	4
IKEDA Yusuke	BHB831	Licensed Representative (Temporary Licence)	1, 4
IRVING Victoria Lucy	BML108	Licensed Representative (Temporary Licence)	1, 4
JANSEN Michael	BEI461	Licensed Representative (Temporary Licence)	1, 2
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1
JUSMAN Selvie	BMR057	Licensed Representative (Temporary Licence)	1, 4
KAROFSKY Robert B	ASX340	Licensed Representative (Temporary Licence)	1
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	1, 4
KEUPPENS Jan Aloysius A.	BMG149	Licensed Representative (Temporary Licence)	1
KIKKO John	BFP833	Licensed Representative (Temporary Licence)	1, 2
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	1, 4
KIRK Natalie Marie	BMP052	Licensed Representative (Temporary Licence)	1
KOCH Jeremy Raine	BML137	Licensed Representative (Temporary Licence)	1
KOCH Trenton Godfried	BCT904	Licensed Representative (Temporary Licence)	1
KUMAR Neel	BMM341	Licensed Representative (Temporary Licence)	1, 4
KUSNADI Veronica	BCN530	Licensed Representative (Temporary Licence)	1
LANGLEY Toby Rowan	AUY970	Licensed Representative (Temporary Licence)	1, 4
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LEE Sangyup	BJD730	Licensed Representative (Temporary Licence)	1
LEE Su Sie	BFU417	Licensed Representative (Temporary Licence)	1, 4
LEE Tien Soon	BJT065	Licensed Representative (Temporary Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEGGATE Douglas George Blyth	BCZ763	Licensed Representative (Temporary Licence)	1, 4
LESMANA Yulius	BHG072	Licensed Representative (Temporary Licence)	1, 2
LONG Peck Hui Christine	BBJ037	Licensed Representative (Temporary Licence)	1, 2
LORD James Kenji	BAX712	Licensed Representative (Temporary Licence)	1, 4, 5
LUND Angus John	BJE671	Licensed Representative (Temporary Licence)	1, 4
LUO Yuan	BEZ807	Licensed Representative (Temporary Licence)	1
MA Beijia	BID097	Licensed Representative (Temporary Licence)	1, 4
MACDONALD Robert Cumming	ACF190	Licensed Representative (Temporary Licence)	1
MAJIMA Tatsuo	BHH066	Licensed Representative (Temporary Licence)	4
MAJOR Daniel Edward	BMP227	Licensed Representative (Temporary Licence)	4
MALCOLM James David	BJL213	Licensed Representative (Temporary Licence)	4
MARSHALL Anita Shaw	BMK466	Licensed Representative (Temporary Licence)	1, 2
MARTIN Kristofer Aaron Terrell	BMN374	Licensed Representative (Temporary Licence)	1, 2
MAZOY SANCHEZ Jose Manuel	BIN599	Licensed Representative (Temporary Licence)	1
MC CLOSKEY Kevin Richard	BCU815	Licensed Representative (Temporary Licence)	4
MC NULTY JR Christopher Charles	BHX236	Licensed Representative (Temporary Licence)	1, 2
MCLEISH Neil Alan	BAJ429	Licensed Representative (Temporary Licence)	1, 4, 5
MCNAY David John	BLV672	Licensed Representative (Temporary Licence)	1
MEIER Andreas Peter	BLI878	Licensed Representative (Temporary Licence)	1, 2
MIURA Nobuyoshi	BDU297	Licensed Representative (Temporary Licence)	4
MOBLEY Amina Erica	BJG670	Licensed Representative (Temporary Licence)	1, 2
MOHAN Wamsi	BHD332	Licensed Representative (Temporary Licence)	1, 4
MOORE Kyle Jowett	BMP979	Licensed Representative (Temporary Licence)	1
MORAN Seth James Edward	BBP361	Licensed Representative (Temporary Licence)	1
MORAN Seth James Edward	BBP361	Licensed Representative (Temporary Licence)	1
MORRISON Thomas James	AZT479	Licensed Representative (Temporary Licence)	1
MOUTHON Alexandre Remy	BCT481	Licensed Representative (Temporary Licence)	1
MULHOLLAND David Terence	BMJ692	Licensed Representative (Temporary Licence)	4
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4
NAITO Takayuki	BMJ733	Licensed Representative (Temporary Licence)	4
NASH Mark Roderick	BMM195	Licensed Representative (Temporary Licence)	1
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1
NELESEN Joseph James	BFP351	Licensed Representative (Temporary Licence)	1, 4
NELSON Thomas Michael	BEG498	Licensed Representative (Temporary Licence)	4
OLOFSSON Johan Thomas Leonard	BMP980	Licensed Representative (Temporary Licence)	1
PARSONS David Richard	BMO311	Licensed Representative (Temporary Licence)	1
PAUKER Andrew Brown	BMM541	Licensed Representative (Temporary Licence)	1, 4
PERVEZ Ahsan	AJO361	Licensed Representative (Temporary Licence)	1, 4
PHELPS Mark Ivor	AZE639	Licensed Representative (Temporary Licence)	1
PODRUG Frane	BDH801	Licensed Representative (Temporary Licence)	1, 4
POLLACKOV Eric Mark	BIW001	Licensed Representative (Temporary Licence)	1, 4
PRIMEAU Daniel	BMP229	Licensed Representative (Temporary Licence)	1, 4
PURI Ruchit	BJG651	Licensed Representative (Temporary Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
RAMJEE Shaniel	BFS335	Licensed Representative (Temporary Licence)	1
RASTOMJEE Cyrus Russi	ATS154	Licensed Representative (Temporary Licence)	1
RAYMOND Bryan Andrew	BGD474	Licensed Representative (Temporary Licence)	4
REDBOURN Paul Robert	AFQ906	Licensed Representative (Temporary Licence)	1
RICCI Jason Steven	BJE668	Licensed Representative (Temporary Licence)	1, 2
RICE Eric Marshall	BJU005	Licensed Representative (Temporary Licence)	1, 4
ROUX Andre David	BMK460	Licensed Representative (Temporary Licence)	4
RUANGRIT Kraingkrai	ATI757	Licensed Representative (Temporary Licence)	1
RUDLAND Michael James	BJD156	Licensed Representative (Temporary Licence)	1
SALES Michael James	BEZ878	Licensed Representative (Temporary Licence)	1
SCHINDLER Nathaniel Holmes	BIG463	Licensed Representative (Temporary Licence)	1, 4
SEKI Atsushi	BIB389	Licensed Representative (Temporary Licence)	4
SHETTY Nathan Sunil	BKM662	Licensed Representative (Temporary Licence)	1, 4
SHIBANO Nozomi	AVI061	Licensed Representative (Temporary Licence)	4
SILVIA Dawn Sarni	BML380	Licensed Representative (Temporary Licence)	1, 4
SINGER David Antony	AWS936	Licensed Representative (Temporary Licence)	1
SOOD Piyush	BMM546	Licensed Representative (Temporary Licence)	1, 4
STAFFORD Craig Martin	ATC363	Licensed Representative (Temporary Licence)	4
STAVLIOTIS John	BFL347	Licensed Representative (Temporary Licence)	1, 4
SUGIMURA Yasuharu	BCB789	Licensed Representative (Temporary Licence)	1
SUZUKI Takayuki	AXL566	Licensed Representative (Temporary Licence)	1, 2
SZABO Jenő	BMP295	Licensed Representative (Temporary Licence)	1, 4
TAKADA Junko	BMN433	Licensed Representative (Temporary Licence)	1
TAKAYA Masanori	BHK208	Licensed Representative (Temporary Licence)	1
TAKEUCHI Kazufumi	AYA032	Licensed Representative (Temporary Licence)	4
TAKIKAWA Shoji	AVW681	Licensed Representative (Temporary Licence)	1
TOMLIN Owen	ALI374	Licensed Representative (Temporary Licence)	1
TROMAN Mark Antony	BAJ469	Licensed Representative (Temporary Licence)	1, 4
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
VINCENT Timothy Francis	BMN425	Licensed Representative (Temporary Licence)	1, 4
WANG Junxiu	BMN378	Licensed Representative (Temporary Licence)	1
WANG Peng Yu	BKO879	Licensed Representative (Temporary Licence)	1, 4
WATANABE Hideharu	BHC546	Licensed Representative (Temporary Licence)	1
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	1, 4
WELCH Michael Godfrey	BGN066	Licensed Representative (Temporary Licence)	4
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WEN Chung-Yee	AXL994	Licensed Representative (Temporary Licence)	1
WU You	BMO958	Licensed Representative (Temporary Licence)	1, 4
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1
YAKAVONIS Courtney Ann	BMO936	Licensed Representative (Temporary Licence)	1, 4
YAMADA Shusuke	BJG468	Licensed Representative (Temporary Licence)	1, 4
YAMAMURA Junko	BES915	Licensed Representative (Temporary Licence)	4
YANG Xiaoxi	BLU778	Licensed Representative (Temporary Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIN Jun	BFN301	Licensed Representative (Temporary Licence)	1
YIN Jun	BFN301	Licensed Representative (Temporary Licence)	1
YUEN Yan Chi	BIG336	Licensed Representative (Temporary Licence)	5
ZHANG Fengzhan	BJR677	Licensed Representative (Temporary Licence)	4
ZHU Yirong	BMQ764	Licensed Representative (Temporary Licence)	4
HSBC Bank plc	AFJ824	Registered Institution	1, 4