



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
ETF Securities (HK) Limited	AYT243	Licensed Corporation	9
FIG HK (Hong Kong) Limited	BEA084	Licensed Corporation	9
ImPac Asset Management (HK) Limited	AAW457	Licensed Corporation	4, 5, 9
Imperia Investment Group Hong Kong Limited	BBU453	Licensed Corporation	9
Japaninvest (Hong Kong) Limited	AVM449	Licensed Corporation	4
RED Capital Group Limited	BDO335	Licensed Corporation	4, 6
AGARWAL Yash	AUN893	Licensed Representative	1, 2
AGOSTINI John Edward Cobcroft	AGN388	Licensed Representative	1, 2
AHN Hyun Su	BEM364	Licensed Representative	1, 4, 9
ALDEEN Andrew George	AGD988	Licensed Representative	1
AN Ziye	BDI554	Licensed Representative	6
ARAVIND Gayathri	BDW246	Licensed Representative	1
ARMENIO John Paul	ATC548	Licensed Representative	1
AU Chi Wah	BFI051	Licensed Representative	1
AU Jacqueline Wing-kay	ARV613	Licensed Representative	1, 4, 9
AU Kit Ching, Fannie	AOV149	Licensed Representative	1
BAHL Alok	BCH641	Licensed Representative	2, 5
BAO Xiaoxiao	BEC296	Licensed Representative	1
BICKEL EP SUTTON Stephanie Marie Michele	BFA288	Licensed Representative	1
BOW Wai Ling	AEJ366	Licensed Representative	1, 2
CAO Lei	BGD700	Licensed Representative	6
CAO Tai Kwong, Alex	ANE331	Licensed Representative	1
CHAI Tak Loon	AWH859	Licensed Representative	1, 4, 6
CHAN Chi Kit	BEF692	Licensed Representative	1, 4, 7
CHAN Choi Chi	ACR455	Licensed Representative	1, 4, 9
CHAN Hiu Yeung	BBW238	Licensed Representative	1
CHAN Hop Keung	AND455	Licensed Representative	1
CHAN Jun Yu	ARK106	Licensed Representative	4
CHAN Kar Yue, Mark	ABB625	Licensed Representative	1, 2, 4, 5
CHAN Kei Yan	ATY283	Licensed Representative	1
CHAN Kim Piu	BDL418	Licensed Representative	1, 2
CHAN Kwai Meng	BFE815	Licensed Representative	1, 4, 9
CHAN Kwok Tat, Daryl	ACP833	Licensed Representative	1, 2
CHAN Ling Tung, Kristy	BFT513	Licensed Representative	1, 2
CHAN Mei Tak	ABQ011	Licensed Representative	1
CHAN Mui Chun	ABP887	Licensed Representative	1
CHAN Pui Yee, Christine	AFV641	Licensed Representative	1
CHAN San Fai	BIM097	Licensed Representative	1
CHAN Wai Hung	AGS190	Licensed Representative	1
CHAN Wai Ling, Doris	ADB147	Licensed Representative	1, 2
CHAN Wai Yu	AXV582	Licensed Representative	1
CHAN Xin Yi, Cheryl Dawn	AWL069	Licensed Representative	9
CHAN Yau Hei	BDE576	Licensed Representative	1

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017**

CE Name	CE No.	License Type	Types of Regulated Activity
CHANG Byeong Chan	BFX559	Licensed Representative	1, 4, 7
CHANG Koon Chung, Joseph	AHV465	Licensed Representative	4
CHAU Mi Yin	AGU038	Licensed Representative	1
CHAU Ming Yan	AYG462	Licensed Representative	1
CHEN Chengze	APJ996	Licensed Representative	1
CHEN Chester Jenyor	BDJ002	Licensed Representative	1, 2
CHEN Rongbin	BEM062	Licensed Representative	1
CHEN Zeen	AWR548	Licensed Representative	1
CHEN Zhao	AZT162	Licensed Representative	4, 9
CHENG Chi Hung	BGN195	Licensed Representative	1
CHENG Hiu Fung	BHE149	Licensed Representative	4
CHENG Hui Yin	BEY797	Licensed Representative	1
CHENG Ka Yee	BDX497	Licensed Representative	1, 4
CHENG Yu King, Alvin	APQ273	Licensed Representative	1
CHEUNG Chi Kin	AFF123	Licensed Representative	1
CHEUNG King Wai Annie	ABT017	Licensed Representative	1, 4
CHEUNG Lap Wai	BHS392	Licensed Representative	1
CHEUNG Sau Kuen	AFL169	Licensed Representative	1, 4
CHEUNG Tsz Nang	ALZ898	Licensed Representative	1
CHEUNG Wing Hung	APC061	Licensed Representative	1
CHEUNG Ying Ki	BFZ239	Licensed Representative	1, 2
CHIANG Chun-Wei	BGJ391	Licensed Representative	1
CHIANG Yat Ming	AUG232	Licensed Representative	1
CHIN Ke-vin	APL191	Licensed Representative	1
CHOI Lok Yin	BGI419	Licensed Representative	1, 2
CHOI Wing Yi	BEN508	Licensed Representative	1, 4
CHONG Choi Ying	AGS196	Licensed Representative	1
CHOW Chui Shan, Cecilia	AMF112	Licensed Representative	1, 9
CHOY Chi Hai, Calvin	AUA252	Licensed Representative	1, 2
CHU William	AGY914	Licensed Representative	4, 9
CHU Wing Cheong	AAA661	Licensed Representative	1, 2
CHUA Jesus Jr. Go	AXH079	Licensed Representative	1
CHUA Zi Kang	ATO543	Licensed Representative	1, 4, 6
CHUNG Ann Minyung	BEZ486	Licensed Representative	1
CHUNG Chun Kit	AVC102	Licensed Representative	1
CHUNG Raymond	AXO145	Licensed Representative	1
CLAVEL Mathieu Pierre Luc	BGG689	Licensed Representative	9
CORDONNIER Maxime Nicolas Bruno	AOG766	Licensed Representative	1, 2
CORONES Zachary Alexander	BCA233	Licensed Representative	1, 2
CUBELLS Simon James	AJD624	Licensed Representative	1, 4, 9
DANGUBIC Srdjan	BBG421	Licensed Representative	4, 6, 9
DAVIES Paul Stephen	AHF147	Licensed Representative	1, 2
DEVKOTA Milan	AYY061	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
DONG Liang	AOA891	Licensed Representative	4
DU Lijuan	BDG049	Licensed Representative	1, 4
EDWARDS Thomas Alexander	BEZ384	Licensed Representative	1, 2
FAN Chengxing	BEK871	Licensed Representative	1, 4, 9
FAN Wai Chi	AWF275	Licensed Representative	1
FEKETE Georgia Kate	BDV251	Licensed Representative	1, 2, 7
FONG Hoi Ying	BHO844	Licensed Representative	1, 4
FUNG Wing Ho	AXC553	Licensed Representative	1
FURST Dominik Bernhard	AVU713	Licensed Representative	1, 4, 9
GALVIN Simon Stephen	AXY950	Licensed Representative	1
GAN Jian Mei	AMC827	Licensed Representative	1, 6
GAN Lin	BGH325	Licensed Representative	4
GAO Yawen	BDU945	Licensed Representative	1, 4
GAO Chengzhi	BGR138	Licensed Representative	1, 4
GAO Lu	BBK703	Licensed Representative	1, 6
GOH Teck Loon	ALJ910	Licensed Representative	1, 9
GOING Jason Alexander	AJK654	Licensed Representative	1, 4, 9
GU Qiong	BDJ030	Licensed Representative	4
GUO Lin	BFN663	Licensed Representative	1, 4, 9
GUO Qun	BDU993	Licensed Representative	6
HARROP Thomas Joseph	BBW239	Licensed Representative	1
HATANAKA Takashi	BFN208	Licensed Representative	1, 2
HAU Kong Ho	BEX237	Licensed Representative	1, 9
HAU Woon Ki	AUR983	Licensed Representative	1, 2, 4
HE Yuheng	BDH019	Licensed Representative	4, 9
HEALY Sean Conrad	BDE817	Licensed Representative	1, 2, 4, 5, 7
HESS Steven Andrew	AXG606	Licensed Representative	10
HO Chun Hing	BGZ071	Licensed Representative	1
HO Ka Ho Jacknis	AYV778	Licensed Representative	1
HO Tung Pak, Victor	AMQ909	Licensed Representative	1
HO Yee Wah	ARM778	Licensed Representative	4
HON Edith	APK761	Licensed Representative	1
HON Wai Ching	AIZ234	Licensed Representative	1
HON Wai Yi	AOT726	Licensed Representative	1
HOWLAND Christopher John	AYI398	Licensed Representative	4, 9
HSIEH Tsung-Yu	BHQ123	Licensed Representative	1, 2
HU Jing	BDJ941	Licensed Representative	1
HU Nyree Nai-wen	AUW511	Licensed Representative	4
HU Yili	BBP330	Licensed Representative	4, 9
HUA Dandan	BFX159	Licensed Representative	4, 9
HUANG Liwen	AWU004	Licensed Representative	1, 4, 6
HUI Aaron Kin Wai	BBO915	Licensed Representative	1
HUI Lap Yin	AVW181	Licensed Representative	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
HUI Timothy Yin Ho	BFC532	Licensed Representative	1, 4
HUNG Gar Mon	ANR259	Licensed Representative	1
HUO Yan	AZE897	Licensed Representative	1, 9
IP Hoi Sang	APC396	Licensed Representative	1
IP Sze Han Sheila	BCN973	Licensed Representative	6
JESSNER Gregory	ATR236	Licensed Representative	1, 2
JIN Ryul	AJH349	Licensed Representative	1, 2
JUGGINS John Albert Neil	AAF295	Licensed Representative	4
KAN Ngai Him	AGG812	Licensed Representative	1
KAN Sin Ting	BFX886	Licensed Representative	1
KATO Takaaki	ATE766	Licensed Representative	1, 2, 7
KIM Eleanor Jungmun	AVR719	Licensed Representative	1, 2, 7
KIM Ethan Sung	AUC281	Licensed Representative	1
KITSON Simon Karl	AYI393	Licensed Representative	4, 9
KONG Jie	BFT889	Licensed Representative	1, 4
KONG Shishi	BID357	Licensed Representative	9
KOO Shuk Ching, Joan	AMI452	Licensed Representative	1
KU Yuen Leung	AEE533	Licensed Representative	1, 2
KUM Wing Ki	AOY011	Licensed Representative	1
KWAN Shing On, Albert	AEO761	Licensed Representative	1, 9
KWAN Wing Kin	ALU751	Licensed Representative	4, 9
KWOK Pui Ying	ABV967	Licensed Representative	9
KWOK Tak Yeung	AMU496	Licensed Representative	1
LAGARDE Arnaud Ludovic	AQM256	Licensed Representative	9
LAM Chi Hang, Leo	ATC925	Licensed Representative	1
LAM Ho Wai Howie	BFA228	Licensed Representative	4, 9
LAM Kwong Lung	AQQ260	Licensed Representative	1
LAM Mi Ni	ABS633	Licensed Representative	1
LAM Oliver O Karr	AZO548	Licensed Representative	4
LAM Phyllis Kay	BGB554	Licensed Representative	6
LAM Sau Kwan	APJ136	Licensed Representative	1, 2
LAM Wing Hang	BEZ541	Licensed Representative	1
LAU Chung Wai	AFU219	Licensed Representative	1
LAU Hon Ki, Alex	AJC203	Licensed Representative	1
LAU Shuk Foon, Antonette	AEI101	Licensed Representative	1, 4
LAU Shun Yan, Dora	ARO293	Licensed Representative	1
LAU Wai Sum	AHU045	Licensed Representative	1
LAW Ho Wan	BGP481	Licensed Representative	1
LAW Ting Wan	AAA251	Licensed Representative	1
LEE Aleen Li Fei	AVS019	Licensed Representative	1, 2, 4
LEE Christy	BHF337	Licensed Representative	1
LEE Diamond	BEO308	Licensed Representative	1, 4
LEE Kenneth	BGW789	Licensed Representative	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LEE Man Kit	BDH074	Licensed Representative	1, 4
LEE Yiu Wah	AWB695	Licensed Representative	1
LEUNG Chi Kin	BHF295	Licensed Representative	1
LEUNG Chun Yin, Edwin	AXW272	Licensed Representative	4, 9
LEUNG Ka Ki, Candice	BCG163	Licensed Representative	1
LEUNG Kam Por Ken	AVV909	Licensed Representative	6
LEUNG Lee Ching Catherine	BFD462	Licensed Representative	1
LEUNG Lok Yee	AVI024	Licensed Representative	1, 2
LEUNG Tat Lung	AJN767	Licensed Representative	1, 2
LEUNG Yat Shing	BEK881	Licensed Representative	1, 4
LI Angel	AVX038	Licensed Representative	1, 4, 9
LI Chi Yung, Jansen	AOY510	Licensed Representative	1
LI David Sijun	BEW621	Licensed Representative	1, 4, 6
LI Ho Yin	AFV642	Licensed Representative	1
LI Lap	BEH807	Licensed Representative	1
LI Wai	AFF372	Licensed Representative	1
LI Wai Kwok Solomon	ARS049	Licensed Representative	1
LI Xueying	BEC599	Licensed Representative	1, 4
LI Yutao	BGB753	Licensed Representative	4, 6
LILLIEHOOEOK Johan Pedersson	AZL212	Licensed Representative	1, 4
LIM Bing Li	BHJ983	Licensed Representative	9
LIM Kin Wah	AAD594	Licensed Representative	1
LIN Hong Chang	APF053	Licensed Representative	1, 2, 7
LIN Huangbin	BEV008	Licensed Representative	1
LIU Chang	ATY176	Licensed Representative	1
LIU Derrick	BGH438	Licensed Representative	1, 2
LIU Kai	BDP944	Licensed Representative	1
LIU Lubatu	BFV920	Licensed Representative	1, 6
LIU Oi Kwan Loretta	BAX318	Licensed Representative	1
LIU Po Wen	ATL504	Licensed Representative	1
LIU She Tin	BAB980	Licensed Representative	1, 4, 6
LIU Xucheng	BGI072	Licensed Representative	9
LIU Yang	BFX388	Licensed Representative	4
LIU Yu	ARR603	Licensed Representative	1, 4, 9
LIU Zhihong	AEC891	Licensed Representative	9
LIXIE Yunan	BBK352	Licensed Representative	6
LO Chi Kin	AZO176	Licensed Representative	1
LO Mo On	BEO294	Licensed Representative	1, 2
LO Siu Lung	BDK089	Licensed Representative	4
LO Wing Ka, Janis	APC206	Licensed Representative	1
LO Yuen Shan	BGH555	Licensed Representative	1
LO Yuk Chu	ALN861	Licensed Representative	1
LOU Wendy	ARG932	Licensed Representative	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LU Peili	AOO566	Licensed Representative	4, 9
LUI Kim Wa	ANW399	Licensed Representative	1
LUI Kun Ting Kevin	BHK458	Licensed Representative	1
LUK Ming Tat	BHR566	Licensed Representative	6
LUK Siu Fung	AYL075	Licensed Representative	1
LUO Wen	BEV955	Licensed Representative	1, 6
LUO Xiaochan	AZK018	Licensed Representative	4
LUO Yunting	APO882	Licensed Representative	1, 2
MA Jennie Jiayin	AZU645	Licensed Representative	6
MA Siu Wai	AXH067	Licensed Representative	1
MACHAYEKHI Emmanuel Dana	AJF241	Licensed Representative	1, 2
MAESTRI Sergio	AUT780	Licensed Representative	1, 4, 9
MAKHIJA Tarun Ram	APK726	Licensed Representative	1, 2
MANTCHOVSKI Ivan Anguelov	AXE054	Licensed Representative	1, 2
MANTLE Stephen Warren	ABN072	Licensed Representative	4, 5, 9
MASON Jordan Thomas	AQU403	Licensed Representative	1, 2
MELO BAZZO Vinicius	BEA357	Licensed Representative	1
MO Man Wai	AMZ223	Licensed Representative	1, 4
MUI Andy Tin Yan	BFZ493	Licensed Representative	3
NA Hongsuk	AQI858	Licensed Representative	4
NG Cheuk Lun	AOC978	Licensed Representative	1
NG Chun Pui	BEB597	Licensed Representative	1, 4
NG Kin Wah	AVI806	Licensed Representative	1, 2, 4
NG Siu Wai	BFV921	Licensed Representative	2
NG Yee Ling	AFO655	Licensed Representative	1
NG Yin Ling Elaine	AYI178	Licensed Representative	1, 4, 6, 9
NGAN Kelvin Shing Wai	AVY760	Licensed Representative	1
NGO Ian	AXX652	Licensed Representative	1, 4, 9
NING Hiu Lun	BDC861	Licensed Representative	1
OIWA Ken	AYW019	Licensed Representative	4
OZORIO Joseph Marian Laurence	AAD498	Licensed Representative	1, 2
PANG Ming	BAH389	Licensed Representative	4
PANG Tsz Fung	BEJ362	Licensed Representative	1, 2
POON Wai Pong	AHO114	Licensed Representative	1
REEVES Melissa	BHL204	Licensed Representative	1
REN Haotian	BCO586	Licensed Representative	1
REN Lingyun	BEN082	Licensed Representative	1, 4, 9
RUTSKOV Anton	BBS462	Licensed Representative	1, 2
SADIGH-MOSTOWFI Safa	AZM709	Licensed Representative	1, 2
SANGHVI Chirag Pravinkant	AQS375	Licensed Representative	1
SARCONA III Joseph John	AQH197	Licensed Representative	1, 2
SHA Xiaochuan	BFE467	Licensed Representative	1, 6
SHAM Shu Ho	AMU494	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
SHAO Zuoyin	BDM007	Licensed Representative	9
SHEN Nan	ARL161	Licensed Representative	1, 4, 9
SHENG Jing	BFS802	Licensed Representative	1, 4
SHI Jiajun	BCO714	Licensed Representative	1
SHI Shaohua	BBL875	Licensed Representative	6
SHIU Chi Man	AXE968	Licensed Representative	1, 2
SHU Chihua	BGB945	Licensed Representative	1
SHUM Wing Chun	BAI858	Licensed Representative	1
SIM Zheng Wei	BHD551	Licensed Representative	6
SIMSEK Cenk	AQM711	Licensed Representative	1
SIU Ching	BBQ216	Licensed Representative	1
SIU Sau Man	AGP751	Licensed Representative	1, 4
SO Kam Chung Kelvin	BEA829	Licensed Representative	1, 6
SO Yan Yi	AYB085	Licensed Representative	1
SONG Yebo	BHC278	Licensed Representative	1, 2, 7
SU Darong	BAQ972	Licensed Representative	1
SUEN Chung Ming	AWI735	Licensed Representative	1
SUN Biyang	BGA587	Licensed Representative	1, 6
SUTTIE Ben Charles	AFW867	Licensed Representative	1, 4
TAI Kwok On	APW260	Licensed Representative	1
TAM Chi Chung	APY784	Licensed Representative	1
TAM Ian Yi-heng	BFE011	Licensed Representative	1
TAM Siu Chung Tony	BHZ322	Licensed Representative	9
TAM Tsz Shing	AJO423	Licensed Representative	1
TAN Wei Hong Julian	BHM581	Licensed Representative	1
TANG Chun Yuen	BFT479	Licensed Representative	1
TANG Chung Hei	BIN853	Licensed Representative	4, 9
TANG Sze Wai	AWL062	Licensed Representative	1
TANG Yanqing	BEI871	Licensed Representative	1, 4
TAO Mei Shan, Lisa	BBT739	Licensed Representative	10
TARQUINI Christopher Mark	AJE987	Licensed Representative	1, 4
TAY Daniel Zhi Yang	BCW253	Licensed Representative	1, 6
TENG Zin Fung	AAA449	Licensed Representative	1
THOMAS Rebecca Judith	AHC210	Licensed Representative	1, 7
THORNER Thomas Stephen	AZJ497	Licensed Representative	1
TIAN Jinyu	BCD350	Licensed Representative	1, 9
TING Chi Chung	AVD329	Licensed Representative	1, 2
TING Hao-wei	AJN350	Licensed Representative	1, 4, 9
TO Yu Wai	BFV208	Licensed Representative	2, 5
TRACY Gaurav Biraj	BBS995	Licensed Representative	1
TSAI Kai-Lun	APV172	Licensed Representative	1
TSANG Kei Wing	AZF951	Licensed Representative	1
TSANG Ting Chi	AGS195	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
TSANG Wai Ming	BFE609	Licensed Representative	1
TSE Kin Wai	APS160	Licensed Representative	1
TSENG Chin-Ling	AFR589	Licensed Representative	1
TSUI Sin Yee	BHA070	Licensed Representative	1
TUNG Ho Cheung	API026	Licensed Representative	1
VAN DER VEN Jeroen Willibrord Erlend	AWC090	Licensed Representative	9
VAN DUREN Tim	BCW818	Licensed Representative	1
WAN Yiu Cheung	BFO132	Licensed Representative	6
WANG Chen	BBL390	Licensed Representative	4
WANG Rui	BFZ379	Licensed Representative	9
WANG Wei	BGP698	Licensed Representative	9
WANG Xiaotian	BBT171	Licensed Representative	1, 4, 6
WANG Yee Ching, Juliana	AUV615	Licensed Representative	1
WEI Chung-Liang	AML182	Licensed Representative	1, 4
WEI Dick	AFU285	Licensed Representative	4
WHIGHT Christopher John	BHO276	Licensed Representative	2
WHITE Tamera Jane	AVQ210	Licensed Representative	1
WONG Ka Chun	AWF569	Licensed Representative	1, 4
WONG Ka Yiu	BDL398	Licensed Representative	1
WONG Kei Man	AGU037	Licensed Representative	1
WONG King Chi	BDO998	Licensed Representative	1
WONG Kit Shun, Jackson	AGN351	Licensed Representative	1
WONG Kwok Wai, William	ADQ175	Licensed Representative	4
WONG Lai Sze	BHE210	Licensed Representative	6
WONG Man Keung	ABN587	Licensed Representative	1, 2
WONG Man Wai	AFN229	Licensed Representative	1
WONG Ming Fuat, Barnard	APR728	Licensed Representative	1
WONG Pui Shan	BFA516	Licensed Representative	1
WONG Sau Lin	AEL998	Licensed Representative	1
WONG Schenken	AQS494	Licensed Representative	1, 2, 7
WONG Sum Yin	AWO457	Licensed Representative	1, 4, 9
WONG Yee Man	APX203	Licensed Representative	1
WORBY Julie Elizabeth	BDJ742	Licensed Representative	1, 2
WU Huichun	AWC278	Licensed Representative	4
WU Richard Tat Kee	ATR617	Licensed Representative	1
WU Yifan	BFA220	Licensed Representative	1
XIAO Tian	AUW610	Licensed Representative	1, 4, 9
YAMAMOTO Yuya	BFW674	Licensed Representative	1, 4, 6
YANG Kwok Cheung, Marcus	AEP560	Licensed Representative	1, 2, 3
YANG Yao Bo	BEJ406	Licensed Representative	4
YAP Chin Loong	AND899	Licensed Representative	1, 6
YAU Chi Tat	ARW495	Licensed Representative	1
YAU Ka Ho	AUI151	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
YAU Kwai King	ACS102	Licensed Representative	1
YAU Tak Wai	API027	Licensed Representative	1
YEN Kwok Cheung	ADI543	Licensed Representative	1, 2
YEUNG Hing Wai	ANH132	Licensed Representative	1
YEUNG Ka Chun	BGY824	Licensed Representative	1, 4, 9
YEUNG Lan Tsz	BDA785	Licensed Representative	1
YEUNG Shun Hong	BDW245	Licensed Representative	1, 4
YEUNG Wing Lung	BBY224	Licensed Representative	9
YIM Sze Wa	BAE813	Licensed Representative	1
YING Hong Ching Ryan	AZK887	Licensed Representative	4, 9
YIP Wan Yi	BFY998	Licensed Representative	1
YOON Chi Won	ACN181	Licensed Representative	1, 2, 4
YU Hongchen	BDV510	Licensed Representative	6
YU Tin Yau, Elvin	AGK719	Licensed Representative	9
YU Yuen Ying	AVI167	Licensed Representative	4, 9
YUAN Shenyi	BFT021	Licensed Representative	1, 4, 9
YUE Zhihao	BGG949	Licensed Representative	6
YUKI Yoichiro	BBO917	Licensed Representative	1, 2
ZHANG Jiajun	BDR531	Licensed Representative	1, 4
ZHANG Jun	BES282	Licensed Representative	10
ZHANG Ting	BDM717	Licensed Representative	1, 4, 9
ZHAO Bozhi	BGV244	Licensed Representative	9
ZHAO Xiao	ASC695	Licensed Representative	4
ZHAO Yutong	BAA884	Licensed Representative	4
ZHONG Li	BAR460	Licensed Representative	6
ZHONG Xian	BFC908	Licensed Representative	1, 6
ZHOU Xu	BEX236	Licensed Representative	1, 4, 6
ZHOU Yan	BEE670	Licensed Representative	1, 4, 6
ZHOU Zheng	BFX289	Licensed Representative	6
ZHUANG Jiu	BGP456	Licensed Representative	10
ASPRUSTEN Rune	ASC837	Licensed Representative - Responsible Officer	9
BACCHUS Angel Men Chan	ANB456	Licensed Representative - Responsible Officer	1, 4
BENNETT Tim	AMI217	Licensed Representative - Responsible Officer	4, 6, 9
CASATI Andrea	AMW488	Licensed Representative - Responsible Officer	1, 2, 4, 5
CHAN Kit Wah, Kazier	ANJ136	Licensed Representative - Responsible Officer	1
CHAN Kwok Hay	ACA610	Licensed Representative - Responsible Officer	1
CHUNG Shu Woon	BDJ116	Licensed Representative - Responsible Officer	1, 4
COLQUHOUN Andrew Douglas John	AXH190	Licensed Representative - Responsible Officer	10
DEATS Warren Edmund	AWD931	Licensed Representative - Responsible Officer	1, 2
EICHACKER Markus Karl Eugen	AGP574	Licensed Representative - Responsible Officer	4, 9
GAASBEEK Arieen Christiaan	ARH982	Licensed Representative - Responsible Officer	1, 2
GOMES James Edwin	ADV195	Licensed Representative - Responsible Officer	1, 2, 4, 5
JONES Lawrence F	AZF246	Licensed Representative - Responsible Officer	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWOK Pui Ying	ABV967	Licensed Representative - Responsible Officer	1, 2, 4, 5
LAI Kin Leung	ALU692	Licensed Representative - Responsible Officer	4, 9
LAU Hoi Yi	AJF305	Licensed Representative - Responsible Officer	1, 4
LEE Diamond	BEO308	Licensed Representative - Responsible Officer	9
LEE Gregory Joseph	APD818	Licensed Representative - Responsible Officer	1
LEUNG Tak Wah	AHR094	Licensed Representative - Responsible Officer	1
LIN Xin	AMJ471	Licensed Representative - Responsible Officer	1, 4, 6
LU Nim Joel	ACG228	Licensed Representative - Responsible Officer	1, 4
MADDING Bruce Wallace	BHF798	Licensed Representative - Responsible Officer	9
MANTLE Stephen Warren	ABN072	Licensed Representative - Responsible Officer	1, 2
MARESCHAL DE CHARENTENAY Ghislain, Baptiste, Marie	AXW260	Licensed Representative - Responsible Officer	1, 4
NGAI Ming Tak	ACS157	Licensed Representative - Responsible Officer	4, 6
OEY Ching Tat	AHS157	Licensed Representative - Responsible Officer	1, 2, 9
PATEL Bimalkant	BAX340	Licensed Representative - Responsible Officer	4, 9
STREETER Charles Henry Roger	ASD370	Licensed Representative - Responsible Officer	1, 4
TAM Timothy Yue Hin	APA397	Licensed Representative - Responsible Officer	9
TAN Khye Hee	AGM447	Licensed Representative - Responsible Officer	1, 2
TANG Kwun Big	AAA474	Licensed Representative - Responsible Officer	1
TAO Dong	AIR661	Licensed Representative - Responsible Officer	4
TOW Terence Michael	BFE450	Licensed Representative - Responsible Officer	9
TUNG George Shiu Yung	ADZ055	Licensed Representative - Responsible Officer	1
WATANABE Masako	ACO624	Licensed Representative - Responsible Officer	1, 4, 9
WEN Hong	ANB174	Licensed Representative - Responsible Officer	4
WONG Yeuk Hang, Terence	BAA163	Licensed Representative - Responsible Officer	1, 4, 5, 9
YU Jing	BDU557	Licensed Representative - Responsible Officer	4, 9
YU Tin Yau, Elvin	AGK719	Licensed Representative - Responsible Officer	1, 4
ZHANG Hui	AOX819	Licensed Representative - Responsible Officer	1, 6
ZHOU Peng	AUS211	Licensed Representative - Responsible Officer	6
ALAVI Arash	BIS583	Licensed Representative (Provisional Licence)	1, 6
ASKALANY Nermin	BIS597	Licensed Representative (Provisional Licence)	1, 4
AU Fai Kit, Jeffrey	BIV452	Licensed Representative (Provisional Licence)	1, 2, 3, 4
AU Hoi Lam	BIS875	Licensed Representative (Provisional Licence)	1, 4
AU Lawrence	BIS389	Licensed Representative (Provisional Licence)	4, 9
BANNISTER Richard John	BIS920	Licensed Representative (Provisional Licence)	9
BARARD Joshua Raj	BIU546	Licensed Representative (Provisional Licence)	1
BETSALEL Steven Michael	BIT466	Licensed Representative (Provisional Licence)	9
BI Xiaoxia	BIV961	Licensed Representative (Provisional Licence)	1, 4, 9
CAI Cheng	BIT444	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN King	AXH382	Licensed Representative (Provisional Licence)	1, 2
CHAN Lap Ming Castor	BIV286	Licensed Representative (Provisional Licence)	1, 4
CHAN Leong Hei	BIV626	Licensed Representative (Provisional Licence)	1
CHAN Shek Hong, Raymond	AEP119	Licensed Representative (Provisional Licence)	1
CHAN Yat Wai	BIS579	Licensed Representative (Provisional Licence)	1, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
CHAU Tak-hei Timothy	BIR376	Licensed Representative (Provisional Licence)	4
CHEN Lan	BAC304	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Philip Chun-fu	BIU972	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Shen	BIO834	Licensed Representative (Provisional Licence)	4
CHEN Si	BIS449	Licensed Representative (Provisional Licence)	6
CHEN Tzu-ming, Daphne	BIR629	Licensed Representative (Provisional Licence)	1, 4
CHEN Yaoqiang	BIR928	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Yi Jung	BIS899	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Yifei	BIU909	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Ying Chih	BIB859	Licensed Representative (Provisional Licence)	1
CHENG Juyuan	BIQ903	Licensed Representative (Provisional Licence)	1, 7
CHEUNG Cheuk Wing, Michael	ADU701	Licensed Representative (Provisional Licence)	6
CHEUNG Hoi Ting	BIR755	Licensed Representative (Provisional Licence)	1, 4, 6, 9
CHEUNG Kar Yan Karina	AWD210	Licensed Representative (Provisional Licence)	1
CHIA Mei Teng	BIT290	Licensed Representative (Provisional Licence)	1, 2
CHIA Pock Yuen, Eddie	AYT667	Licensed Representative (Provisional Licence)	4, 9
CHOI Janice Su Yun	AMN768	Licensed Representative (Provisional Licence)	1
CHU Henry	BIR496	Licensed Representative (Provisional Licence)	4
CHU Wai Kwan Elaine	ADX617	Licensed Representative (Provisional Licence)	1, 4
COLLETT Michael James	AUB134	Licensed Representative (Provisional Licence)	1, 4
DE DIONISIO Kyle Joseph	BIV145	Licensed Representative (Provisional Licence)	1, 4, 9
DE SANTIS JR Joseph Frank	BIS913	Licensed Representative (Provisional Licence)	9
DENG Xie	BIT809	Licensed Representative (Provisional Licence)	4
DING Mingyuan	BIV620	Licensed Representative (Provisional Licence)	1
DU Yun	BIO707	Licensed Representative (Provisional Licence)	1, 6
EDELBERG Jeremy Ian	BIQ153	Licensed Representative (Provisional Licence)	1, 2
EFSTATHOPOULOS Georgios	BIR869	Licensed Representative (Provisional Licence)	4, 9
ERENRICH Jordan Scott	BIT138	Licensed Representative (Provisional Licence)	1, 2
ERIKCI Serkan	BIS399	Licensed Representative (Provisional Licence)	1, 4, 6
FENG Qi	AZS302	Licensed Representative (Provisional Licence)	4, 9
FOK Chung Hin, Felix	ATO002	Licensed Representative (Provisional Licence)	2
FOK Kwan Yu	BIR851	Licensed Representative (Provisional Licence)	1, 4, 5, 9
FU Ruowei	BIS660	Licensed Representative (Provisional Licence)	1, 4, 6
FUNG Man Yat	BHG940	Licensed Representative (Provisional Licence)	1
GREENAN Clare Rie	BIX611	Licensed Representative (Provisional Licence)	1
GU Yumeng	BIV321	Licensed Representative (Provisional Licence)	6
GUO Chenggang	BIV430	Licensed Representative (Provisional Licence)	9
GUO Yiting	BIQ865	Licensed Representative (Provisional Licence)	1, 4, 9
HAN Chin Tang	AVH609	Licensed Representative (Provisional Licence)	1
HATTORI Shoichi	BIR907	Licensed Representative (Provisional Licence)	1
HE Xin	BDA090	Licensed Representative (Provisional Licence)	1, 4
HO Sze Long	BAQ979	Licensed Representative (Provisional Licence)	1, 4
HO Wai Tung Nellie	BIT010	Licensed Representative (Provisional Licence)	1, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
HONG Yancheng	AVS886	Licensed Representative (Provisional Licence)	1
HOU Hongyan	ARR885	Licensed Representative (Provisional Licence)	1
HOU Yiyun	BIU737	Licensed Representative (Provisional Licence)	1
HUANG Bo	BIS266	Licensed Representative (Provisional Licence)	4
HUANG Hailong	BIV168	Licensed Representative (Provisional Licence)	1
HUANG Hao	BIW198	Licensed Representative (Provisional Licence)	1, 2
HUI Wan Yi Winnie	BIR702	Licensed Representative (Provisional Licence)	1
HUXLEY Richard Stuart	BIR819	Licensed Representative (Provisional Licence)	1, 2
ILDERTON Howard Graydon Rodney	AVZ288	Licensed Representative (Provisional Licence)	1, 2
IOKIBE Jiro	BIN286	Licensed Representative (Provisional Licence)	4
JIANG Chenyao	BIU554	Licensed Representative (Provisional Licence)	1
JIANG Jiali	BAE843	Licensed Representative (Provisional Licence)	1, 6
JIANG Ting	BFL046	Licensed Representative (Provisional Licence)	4, 9
KARAS Bernhard	ASW620	Licensed Representative (Provisional Licence)	1, 4
KEUNG King Man	BIU647	Licensed Representative (Provisional Licence)	1, 4, 9
KIM Boeun	BIQ132	Licensed Representative (Provisional Licence)	1, 2
KOH Mun Hoe Terry	BIT498	Licensed Representative (Provisional Licence)	9
KONG Chun Mui	BIV198	Licensed Representative (Provisional Licence)	1, 4
KUO Shu Chen	BIV833	Licensed Representative (Provisional Licence)	1, 2, 4, 5
KWONG Jonas Seth	BIT210	Licensed Representative (Provisional Licence)	6
KWONG Lee Ngar	BIT625	Licensed Representative (Provisional Licence)	1, 2
LAI Kai Po	BIS734	Licensed Representative (Provisional Licence)	1
LAI Ming Wai	BIV244	Licensed Representative (Provisional Licence)	9
LAM Hoi Ying	BIT747	Licensed Representative (Provisional Licence)	1, 4
LAM Shing Pong	BIT299	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Cheung Ka, Daniel	AIB406	Licensed Representative (Provisional Licence)	4, 9
LAU Chi Yue, Tony	BIT150	Licensed Representative (Provisional Licence)	1, 6
LAU Ka Keung	BIT629	Licensed Representative (Provisional Licence)	1
LAU Kai Fung, Edward	AUW118	Licensed Representative (Provisional Licence)	1, 4
LAU Kam Tim	ABY921	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LAU Shuk Ting	BIT843	Licensed Representative (Provisional Licence)	1, 4
LEE Ching	BIS552	Licensed Representative (Provisional Licence)	1
LEE Chung-Han	BET671	Licensed Representative (Provisional Licence)	1, 2
LEE Eric Joon Ho	BIV170	Licensed Representative (Provisional Licence)	1
LEE Ho Yui	BHU246	Licensed Representative (Provisional Licence)	1, 2
LEE Kai Chung	BIV157	Licensed Representative (Provisional Licence)	3
LEE Lina	BIR821	Licensed Representative (Provisional Licence)	1
LEE Siu San Rocky	AWC885	Licensed Representative (Provisional Licence)	1, 4, 6
LEGER Christian	BIQ600	Licensed Representative (Provisional Licence)	1
LEUNG Kelvin Yiu Chung	ASD279	Licensed Representative (Provisional Licence)	4
LI Chin	BIS577	Licensed Representative (Provisional Licence)	1, 2
LI Kam Yu	BCS770	Licensed Representative (Provisional Licence)	1, 4, 9
LI Lin	BIO011	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LI Lucy Wen	BIR041	Licensed Representative (Provisional Licence)	1, 4
LI Patrick	ALI101	Licensed Representative (Provisional Licence)	1, 4, 9
LI Siyi	BIO702	Licensed Representative (Provisional Licence)	1, 6
LI Wenwen	BIS237	Licensed Representative (Provisional Licence)	1, 4
LI Xiang	BIR374	Licensed Representative (Provisional Licence)	4
LI Xingzhe	BIT236	Licensed Representative (Provisional Licence)	6
LI Xuejiao	BIQ720	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Huijing Charlene	BIS127	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Ye	BIT314	Licensed Representative (Provisional Licence)	1, 9
LIU Guofei	BIS744	Licensed Representative (Provisional Licence)	9
LIU Ka Lun, Alan	BIT462	Licensed Representative (Provisional Licence)	1, 4
LIU Yiyi	BIO217	Licensed Representative (Provisional Licence)	6
LIU Yuxin	BIS265	Licensed Representative (Provisional Licence)	1, 4
LIU Zhenyu	BAI289	Licensed Representative (Provisional Licence)	1, 4, 9
LO Chia-wei	BIR666	Licensed Representative (Provisional Licence)	2
LU Qing	BGP173	Licensed Representative (Provisional Licence)	9
LU Xuefei	BIT148	Licensed Representative (Provisional Licence)	1, 6
LU Yuting	BIO895	Licensed Representative (Provisional Licence)	1, 6
LUK Ka Chi	BIT796	Licensed Representative (Provisional Licence)	1, 4, 9
LUO Weiqing	BIT510	Licensed Representative (Provisional Licence)	1, 4
MA Wenchang	BIT237	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Siu Chung	BIQ231	Licensed Representative (Provisional Licence)	1, 4, 5, 9
MC NICHOLAS Daniel Patrick	ANQ141	Licensed Representative (Provisional Licence)	1, 2
MICHELI Marjorie Estelle	BIS401	Licensed Representative (Provisional Licence)	1
MOK Nga Woon Shirley	AVF163	Licensed Representative (Provisional Licence)	1
MU Qiang	BIR245	Licensed Representative (Provisional Licence)	4, 9
NAGUTHA Mohamed Faiz	BHX162	Licensed Representative (Provisional Licence)	1, 4
NAKADA Leo	BHO425	Licensed Representative (Provisional Licence)	9
NG Chi Chung	AXV289	Licensed Representative (Provisional Licence)	4, 9
NG Hon Kei	BIT798	Licensed Representative (Provisional Licence)	4, 9
NG Ka Ming	BIV393	Licensed Representative (Provisional Licence)	1, 7
NG Wah Keung Henry	BIW611	Licensed Representative (Provisional Licence)	1, 2
NOBLE Richard Grant	BIU916	Licensed Representative (Provisional Licence)	1, 4, 6
OOI Boon Sing	BBY029	Licensed Representative (Provisional Licence)	1, 6
PAN Chenyue	AWE766	Licensed Representative (Provisional Licence)	4
PANG Kai Fung	BIT662	Licensed Representative (Provisional Licence)	1, 2, 7
PENG Han	BIS046	Licensed Representative (Provisional Licence)	1
POON Chok Kei	BIR704	Licensed Representative (Provisional Licence)	1, 2
PRITCHARD Logan James	BIR395	Licensed Representative (Provisional Licence)	9
PUN Chun Tung	BIT810	Licensed Representative (Provisional Licence)	1, 2, 3, 4
ROBB Gordon Bjorn	BIQ332	Licensed Representative (Provisional Licence)	1
RYU Soo Bin	BIN734	Licensed Representative (Provisional Licence)	1, 2
SERVAIS Gautier J.D.	BFO128	Licensed Representative (Provisional Licence)	2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
SHAH Jennifer Sarah Clark	AUR660	Licensed Representative (Provisional Licence)	1, 4
SHENOI Padubidri Pramod	BIO472	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Lei	BIN298	Licensed Representative (Provisional Licence)	9
SHUM Hiu Tung	BIT011	Licensed Representative (Provisional Licence)	9
SHUM Yu Keung, Harris	BIR552	Licensed Representative (Provisional Licence)	10
SIU King Hang	AGR732	Licensed Representative (Provisional Licence)	1, 2, 4
SO Wai Yee, Betty	AQC501	Licensed Representative (Provisional Licence)	1, 4, 6, 9
SONG Wanhai	ASF632	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Zhengwei	BIR545	Licensed Representative (Provisional Licence)	4
STALEY Todd Alan	BIV621	Licensed Representative (Provisional Licence)	1, 4
SUN Xiaoguang	AIC355	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Yuen Han	AGN808	Licensed Representative (Provisional Licence)	1, 2, 4, 9
SZE Ka Wo	BIV051	Licensed Representative (Provisional Licence)	1, 4, 9
TAM Ka Chun	BIS737	Licensed Representative (Provisional Licence)	1
TANG Chit Yuen	BIT022	Licensed Representative (Provisional Licence)	1, 6
TANG Choe Mui	AGE488	Licensed Representative (Provisional Licence)	1
TANG Stanley Chak Wai	BIV794	Licensed Representative (Provisional Licence)	2
TANUJAYA TJIOENG Johnny	AWN206	Licensed Representative (Provisional Licence)	1
TIAN Lijun	BIV622	Licensed Representative (Provisional Licence)	6
TO Wing Tung	BIJ686	Licensed Representative (Provisional Licence)	1, 2
TSAI John Jeng-Yow	BIV592	Licensed Representative (Provisional Licence)	4, 9
TSANG Hoi Lam Anne	BIT411	Licensed Representative (Provisional Licence)	1, 2
TSANG Yin Ping	BIW501	Licensed Representative (Provisional Licence)	1
TSE Ho Fai	BIT531	Licensed Representative (Provisional Licence)	9
UMEZAWA Naoto	BIW833	Licensed Representative (Provisional Licence)	9
VIJ Arjun	BIT015	Licensed Representative (Provisional Licence)	4, 5, 9
WAITE Nicholas Andrew	BIV252	Licensed Representative (Provisional Licence)	9
WANG Jian	BIP990	Licensed Representative (Provisional Licence)	6
WANG Jue	BIS260	Licensed Representative (Provisional Licence)	1, 6
WANG Vanessa	BIS581	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Yao	BAE170	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yerui	BIO296	Licensed Representative (Provisional Licence)	1, 6
WANG Yuchan	BIT774	Licensed Representative (Provisional Licence)	6
WANG Yu-chu	BIT506	Licensed Representative (Provisional Licence)	1
WONG Ho Keung	BIV025	Licensed Representative (Provisional Licence)	1
WONG Hoi Man	BIX227	Licensed Representative (Provisional Licence)	1
WONG Pearl	BIV625	Licensed Representative (Provisional Licence)	1
WONG Tsz Ping, Barbara	AFF229	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Wesley Tze-ho	BIQ523	Licensed Representative (Provisional Licence)	1
WU Dongmei	BIO022	Licensed Representative (Provisional Licence)	1
WU Yijun	BDA182	Licensed Representative (Provisional Licence)	1, 4
XIANG Yanzhou	BIW345	Licensed Representative (Provisional Licence)	1, 4, 6
XIAO Bin	BFD309	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
XIAO Han	BIV459	Licensed Representative (Provisional Licence)	1, 2, 4, 9
XIAO Xiruo	BIW247	Licensed Representative (Provisional Licence)	1, 6
XIE Jinchi	BIR549	Licensed Representative (Provisional Licence)	1
XIE Rudong	BIQ227	Licensed Representative (Provisional Licence)	4, 9
XING Hongyuan	BIO301	Licensed Representative (Provisional Licence)	1, 6
XU Wei	AZN499	Licensed Representative (Provisional Licence)	1
XU Yani	BIR465	Licensed Representative (Provisional Licence)	1
YAM Chung Wai	BIV276	Licensed Representative (Provisional Licence)	1, 2, 3
YANG Hao	BIG704	Licensed Representative (Provisional Licence)	6
YANG Yang	BIV557	Licensed Representative (Provisional Licence)	1, 6
YANG Yi-cheng	BIU604	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Yue	BGW794	Licensed Representative (Provisional Licence)	1
YAO Shaohua	AWK450	Licensed Representative (Provisional Licence)	4
YEE Jun Jie Mervyn	BIS559	Licensed Representative (Provisional Licence)	4
YEUNG Ching Ching	BIT555	Licensed Representative (Provisional Licence)	1
YEUNG Ho Yan	BIU609	Licensed Representative (Provisional Licence)	1
YIN Ge	BIV624	Licensed Representative (Provisional Licence)	1
YIP Ming Wong	BIS729	Licensed Representative (Provisional Licence)	1, 4
YU Chun Kit	BIV597	Licensed Representative (Provisional Licence)	6
YU Claudia	BIR241	Licensed Representative (Provisional Licence)	1, 2
YUAN Hao	AUD136	Licensed Representative (Provisional Licence)	1, 4, 6
YUAN Ke	BIV268	Licensed Representative (Provisional Licence)	1, 6
YUAN Ye	BIT667	Licensed Representative (Provisional Licence)	4, 9
YUEN Ming Fai	ABR709	Licensed Representative (Provisional Licence)	1, 4
ZHAI Jia	APH926	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jing	BIT286	Licensed Representative (Provisional Licence)	1
ZHANG Philippe Jianhong	BIS079	Licensed Representative (Provisional Licence)	9
ZHANG Tianze	BIR938	Licensed Representative (Provisional Licence)	2, 5
ZHANG Yifan	BIR398	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yuting	BIV876	Licensed Representative (Provisional Licence)	2
ZHAO Dazhen	BIO846	Licensed Representative (Provisional Licence)	4
ZHAO Shang	BIV189	Licensed Representative (Provisional Licence)	6
ZHAO Ziqing	BIV817	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Fang	BIV399	Licensed Representative (Provisional Licence)	1, 2
ZHENG Tao	BIQ896	Licensed Representative (Provisional Licence)	4, 9
ZHOU Xi	BIR892	Licensed Representative (Provisional Licence)	3
ZHU Jiayuan	BIU924	Licensed Representative (Provisional Licence)	1, 4
ZHUANG Hanxiang	BIS015	Licensed Representative (Provisional Licence)	1, 4, 9
BABA Taichi	BAZ165	Licensed Representative (Temporary Licence)	1, 2
BAE Christopher Thomas	AQI792	Licensed Representative (Temporary Licence)	1, 4
BALASUBRAMANIAM Venkatesh	BDT263	Licensed Representative (Temporary Licence)	4
BARRON Jason	BF1936	Licensed Representative (Temporary Licence)	1
BARTHEL Alain Henri Martin	BIW648	Licensed Representative (Temporary Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
BEZALEL Ariel Meir	BCA040	Licensed Representative (Temporary Licence)	1
BRACKETT Robert Alan	BBA483	Licensed Representative (Temporary Licence)	4
BRUNTON Jamie Robert	BCE784	Licensed Representative (Temporary Licence)	1
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CARLE Gregor	AHM442	Licensed Representative (Temporary Licence)	1, 4
CARMEN Michael Tyler	BIW774	Licensed Representative (Temporary Licence)	1, 4
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAN Dennis	BEE283	Licensed Representative (Temporary Licence)	4
CHANDREN Edi	BIX619	Licensed Representative (Temporary Licence)	4
CHAVALI Ramakrishna	BIB421	Licensed Representative (Temporary Licence)	1, 2
CHEN Timothy C K	BEC091	Licensed Representative (Temporary Licence)	4
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHUA Kah Meng, Adrian	AWD992	Licensed Representative (Temporary Licence)	4
CHUNG Jeehyun	BEX928	Licensed Representative (Temporary Licence)	1
CLUSE Reinhard Paul Johannes	AXK265	Licensed Representative (Temporary Licence)	4
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DI MAGGIO Scott A	BIV434	Licensed Representative (Temporary Licence)	4
DUNLOP William James Napier	BFP531	Licensed Representative (Temporary Licence)	1, 4
EDWARDS Shane Grant	AJG195	Licensed Representative (Temporary Licence)	1
FALZON SANT MANDUCA Mark Andrew	BIK048	Licensed Representative (Temporary Licence)	1, 4
FRANTA Christopher Steven	BFE591	Licensed Representative (Temporary Licence)	1
GANDHI Veekesh Suresh	BBA377	Licensed Representative (Temporary Licence)	1, 4
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GARUDE Alankar	BIY256	Licensed Representative (Temporary Licence)	4
GYSENS Bart	BAP664	Licensed Representative (Temporary Licence)	1
HAGIWARA Koichiro	BHH064	Licensed Representative (Temporary Licence)	4
HAN Jennifer Koun	AMY266	Licensed Representative (Temporary Licence)	4
HARRIS Matthew Scott	BAA849	Licensed Representative (Temporary Licence)	1, 2
HARTONO David Arie	BIX625	Licensed Representative (Temporary Licence)	4
HILL Andrew Duncan	BCK617	Licensed Representative (Temporary Licence)	1, 4
HIROSE KUWAHARA Akiko	AOB564	Licensed Representative (Temporary Licence)	1, 4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HUDSON Sarah Henrietta Elizabeth	BIT630	Licensed Representative (Temporary Licence)	1, 4
HYND John Sandford	BFE391	Licensed Representative (Temporary Licence)	1, 4
IIZUKA Naoki	BFK467	Licensed Representative (Temporary Licence)	4
KAPTEIJN Arie Jacobus	BIV026	Licensed Representative (Temporary Licence)	4
KAROFISKY Robert B	ASX340	Licensed Representative (Temporary Licence)	1
KLINGENBERG-TIMM Benno	BGD845	Licensed Representative (Temporary Licence)	1, 4
KOJIMA Nobuko	BAJ425	Licensed Representative (Temporary Licence)	1
KURZ Peter Michael	ACN979	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LEISURE Charles Porter	BAE417	Licensed Representative (Temporary Licence)	1, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LI Mingrong	BII162	Licensed Representative (Temporary Licence)	1, 6
LIEW Wei-Ling	AOD519	Licensed Representative (Temporary Licence)	1
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LINGEN Peter	BIW578	Licensed Representative (Temporary Licence)	1
MALIK Amna	BIL577	Licensed Representative (Temporary Licence)	1, 6
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MAYNARD Ian Robert	BGR018	Licensed Representative (Temporary Licence)	1
MEUNIER Francois Auguste Roger	BAM072	Licensed Representative (Temporary Licence)	1
MILLER Elizabeth Kathleen	BGH558	Licensed Representative (Temporary Licence)	1
MINAKI Tomoya	BIW810	Licensed Representative (Temporary Licence)	1
MIYAZAKI Takashi	BDQ458	Licensed Representative (Temporary Licence)	4
MOOKIM Sanjay Kumar	AUW976	Licensed Representative (Temporary Licence)	1, 4
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
NAGUTHA Mohamed Faiz	BHX162	Licensed Representative (Temporary Licence)	1, 4
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
OHDAIRA Mitsuyuki	BGS887	Licensed Representative (Temporary Licence)	4
PAARMANN Thorsten Sven Eric	BES150	Licensed Representative (Temporary Licence)	1, 4
PARKS Stuart George	BIW610	Licensed Representative (Temporary Licence)	1, 4
POLLACKOV Eric Mark	BIW001	Licensed Representative (Temporary Licence)	1, 4
POLOVY Charles Michel Guillaume Edouard	BHO026	Licensed Representative (Temporary Licence)	1, 2
POOLE Gregory Hauston	BIW523	Licensed Representative (Temporary Licence)	1
RODRIGUEZ REGORDOSA Gerardo	BIW471	Licensed Representative (Temporary Licence)	1, 4
ROE Simon Mark	BGO826	Licensed Representative (Temporary Licence)	1
RUSSELL Kevin Gary	BHG762	Licensed Representative (Temporary Licence)	1, 4
SAKAMAKI Yuko	BHH238	Licensed Representative (Temporary Licence)	4
SASAKI Futoshi	BEZ608	Licensed Representative (Temporary Licence)	1, 4
SAUNDERS Philip Gordon Strode	ADB492	Licensed Representative (Temporary Licence)	4
SLIMMON Andrew Hovey	AMC940	Licensed Representative (Temporary Licence)	1
SPENCE Matthew James	BAT875	Licensed Representative (Temporary Licence)	1, 4
STOPFORD Patrick John Richard	AQE998	Licensed Representative (Temporary Licence)	4
STOTT Andrew Gregory	BDR228	Licensed Representative (Temporary Licence)	4
STRACKE Thibault Christian	BGT906	Licensed Representative (Temporary Licence)	1, 4
SUN MATTISON Linda Wu	BBF677	Licensed Representative (Temporary Licence)	4
TAKAKU Hirofumi	AXI172	Licensed Representative (Temporary Licence)	1, 2
TEEGER Sam Thomas	BHK845	Licensed Representative (Temporary Licence)	4
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
UPADHYAY Nishant	BIS763	Licensed Representative (Temporary Licence)	1
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WONG Ferry Wijaya	ARB231	Licensed Representative (Temporary Licence)	4
WOOLLEY Mark Robert	AOL210	Licensed Representative (Temporary Licence)	1, 4
WU Hsin-Lun	BEJ785	Licensed Representative (Temporary Licence)	1
YAMAGUCHI Hidemaru	BGD668	Licensed Representative (Temporary Licence)	4
YANG Wei-Lun	AXZ658	Licensed Representative (Temporary Licence)	4

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YOO Jae Hyuk	BBS475	Licensed Representative (Temporary Licence)	1, 4
Kookmin Bank Hong Kong Limited	ACU986	Registered Institution	1, 4