



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|--------------------------------|--------|-------------------------|-----------------------------|
| Luen Hing Securities Limited | ABB421 | Licensed Corporation | 1 |
| MIS Services Limited | AFI384 | Licensed Corporation | 9 |
| AGARWAL Aakash | BFC995 | Licensed Representative | 1, 2 |
| AU-YEUNG Tin, Annie | ACR453 | Licensed Representative | 1, 2 |
| BEHRNDT Tapio | BDW540 | Licensed Representative | 1, 2 |
| BENIWAL Saurabh | AHI791 | Licensed Representative | 6 |
| BOURNE Andrew Robert | AOY105 | Licensed Representative | 1, 2, 7 |
| BRADY Mark Joseph | ARQ480 | Licensed Representative | 1 |
| CAI Yulong | AXT416 | Licensed Representative | 1, 4, 6 |
| CAMPBELL-BREEDEN Richard Mark | ARO468 | Licensed Representative | 1, 6 |
| CHAN Andrew Shingfun | AMC389 | Licensed Representative | 4 |
| CHAN Chi Fung | BGW415 | Licensed Representative | 1, 2 |
| CHAN Ka Wai | APP683 | Licensed Representative | 1, 2, 4 |
| CHAN Ka Wang Karen | AIH479 | Licensed Representative | 1, 6 |
| CHAN Kwun Nin | BBP379 | Licensed Representative | 1, 2, 3 |
| CHAN Man Chung | AFB233 | Licensed Representative | 1 |
| CHAN Man Fung | BHC780 | Licensed Representative | 1, 2, 4, 9 |
| CHAN Pak Lam | BDW208 | Licensed Representative | 1, 4 |
| CHAN Pui Ching | AGN555 | Licensed Representative | 1, 4, 9 |
| CHAN So Han | AOJ393 | Licensed Representative | 1, 4, 9 |
| CHAN Tin Fai | BFD867 | Licensed Representative | 1 |
| CHAN Wai Yiu | BEJ049 | Licensed Representative | 1, 6 |
| CHAN Wing Ki, Nicole | BCN236 | Licensed Representative | 1 |
| CHAN Winnie | ADU326 | Licensed Representative | 1, 4, 9 |
| CHAN Yiu Man | ATB477 | Licensed Representative | 1, 4 |
| CHANG Hosun | AIZ595 | Licensed Representative | 1, 4 |
| CHANG Ronald Ming Te | BEJ723 | Licensed Representative | 1, 9 |
| CHEN Aowei | BEN561 | Licensed Representative | 1, 2 |
| CHEN Chih-chieh | ATH471 | Licensed Representative | 1 |
| CHEN Kuang Yu | ANW651 | Licensed Representative | 9 |
| CHENG CHOW Shuk Man, Shermaine | APL671 | Licensed Representative | 1, 4 |
| CHENG Lit Ming | AEC900 | Licensed Representative | 1, 2 |
| CHENG Yuan | BDW192 | Licensed Representative | 1, 4, 9 |
| CHEUNG Heng Wang | AMV044 | Licensed Representative | 1, 2 |
| CHEUNG Kam Wa | BFX195 | Licensed Representative | 1, 2 |
| CHEUNG LO Mo Ching | AEK842 | Licensed Representative | 1 |
| CHEUNG Yeung | ARH242 | Licensed Representative | 1, 6 |
| CHEUNG Yun, Cindy | BGH890 | Licensed Representative | 1, 4 |
| CHIU King Hay, Marek | BEB662 | Licensed Representative | 4, 9 |
| CHIU Sik Wai | BHQ325 | Licensed Representative | 1 |
| CHNG Meng Kai | BCS908 | Licensed Representative | 2, 5 |
| CHOI Hye Jin | APG513 | Licensed Representative | 1, 2 |
| CHOI Pui Lam | BES013 | Licensed Representative | 4, 9 |
| CHOI Sin Ling | BEM556 | Licensed Representative | 1, 2 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

| CE Name | CE No. | License Type | Types of Regulated Activity |
|---|---------------|-------------------------|------------------------------------|
| CHONG Moon Biu, William | AGA249 | Licensed Representative | 1 |
| CHU Jian-Yu | BHR322 | Licensed Representative | 1, 2 |
| CHU Ka Hei | BFS475 | Licensed Representative | 1 |
| CHU Wai Kwan Elaine | ADX617 | Licensed Representative | 1, 4 |
| CHU Wayne Wing Kar | AHO643 | Licensed Representative | 1 |
| CHU Wing Chau | ACK581 | Licensed Representative | 1, 2 |
| CHUANG Yung-Chi | BDO973 | Licensed Representative | 1, 4, 9 |
| CHUNG Tak Yee, Samantha | ANW534 | Licensed Representative | 9 |
| DAI Zuxiang | AJE159 | Licensed Representative | 9 |
| DE SILVA Punnya Niraan | AYH970 | Licensed Representative | 1, 4, 6 |
| DEJEAN Lin | BEJ470 | Licensed Representative | 2, 5 |
| DICKIE Arthur Samuel Galt | AYV993 | Licensed Representative | 9 |
| DUNNE Terry K | AUW515 | Licensed Representative | 4 |
| FEIN Jonathan Daniel | BHP714 | Licensed Representative | 1, 4 |
| FODCHUK Gregory John Thomas | AXC430 | Licensed Representative | 1 |
| FOK Ka Chun | BGW829 | Licensed Representative | 1, 6, 9 |
| FRANKLIN Christopher Blen Stuart | BBR224 | Licensed Representative | 2 |
| FU Chi Kong | BDZ093 | Licensed Representative | 1 |
| GAO Linda | BDR783 | Licensed Representative | 1, 4, 6 |
| GAO Xiaoyan | BBZ374 | Licensed Representative | 2, 5 |
| GUO Jiahua | BHF803 | Licensed Representative | 1, 6 |
| HAN Guannan | AVV239 | Licensed Representative | 4 |
| HARADA Jun | AOR374 | Licensed Representative | 4 |
| HARRIS David Shannon | ARS574 | Licensed Representative | 1 |
| HE Dingding | APR488 | Licensed Representative | 1, 4 |
| HE Wei | BBP078 | Licensed Representative | 9 |
| HOLLIS Vincent Edward James | BCI711 | Licensed Representative | 1, 2 |
| HON Chi Ming | AYR367 | Licensed Representative | 1, 4 |
| HON Chin Wing | BAG058 | Licensed Representative | 1, 4, 9 |
| HON Chui Shan, Tracey | BDI606 | Licensed Representative | 6 |
| HONG Alfred | BCF952 | Licensed Representative | 4 |
| HONG Hui | AZT444 | Licensed Representative | 1, 2 |
| HONG Tao | BBR110 | Licensed Representative | 4, 9 |
| HUANG Sining | BFX849 | Licensed Representative | 4, 9 |
| HUANG Yulin | BET115 | Licensed Representative | 1, 4, 6 |
| HUI Ching Shui | AAB857 | Licensed Representative | 1 |
| HUNG Hin Leung | ANG556 | Licensed Representative | 1, 4 |
| HUNG Janice Fortuna | ASF462 | Licensed Representative | 1, 4 |
| ISSAVERDENS EP. QUINIOU Charlotte Helene Marie Antoinette | BDJ777 | Licensed Representative | 10 |
| J??HNE Andrea | AXI216 | Licensed Representative | 10 |
| JIANG Shan | AZY927 | Licensed Representative | 1 |
| JIAO Yu | BGD957 | Licensed Representative | 2 |
| KEE Po Tung | AWK157 | Licensed Representative | 1, 2 |

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017**

| CE Name | CE No. | License Type | Types of Regulated Activity |
|------------------------|---------------|-------------------------|------------------------------------|
| KHANOLKAR Rohan Kishor | BEY881 | Licensed Representative | 1, 4, 6 |
| KIM Jason Yong | ARF013 | Licensed Representative | 1, 4 |
| KIRMANI Basel Ali | ARG568 | Licensed Representative | 1, 4 |
| KUK Wing Yee | BIZ359 | Licensed Representative | 1, 4, 9 |
| KWOK Shing Hin | BGT042 | Licensed Representative | 1 |
| KWON Se Young | APP194 | Licensed Representative | 9 |
| KWONG Ho Ting | AZH730 | Licensed Representative | 1, 9 |
| LAI Hin Wai | BIE108 | Licensed Representative | 1, 2 |
| LAM Tak Wai, Derek | BEZ160 | Licensed Representative | 1, 6 |
| LAM Yin | AFQ900 | Licensed Representative | 1, 2 |
| LAU Fu Keung | AVW356 | Licensed Representative | 1, 6 |
| LAU Kin Ting, Timothy | BGL559 | Licensed Representative | 4, 5, 9 |
| LAU Yee Ha | BGY638 | Licensed Representative | 1, 4 |
| LAW Ka Man, Wendy | AOG819 | Licensed Representative | 9 |
| LEE Kwok Tung, Samuel | ADX187 | Licensed Representative | 1, 2 |
| LEE Yuet Ying | ABE657 | Licensed Representative | 1 |
| LEUNG Chun Sing | BHB643 | Licensed Representative | 6 |
| LEUNG Hei Laam | AVR439 | Licensed Representative | 4, 9 |
| LEUNG Ho Kiu | BJB931 | Licensed Representative | 6 |
| LEUNG Wing Cheung | ATX644 | Licensed Representative | 1, 4 |
| LEUNG Wing Sin | AAP888 | Licensed Representative | 1 |
| LEWIS Janet Barbara | ASL090 | Licensed Representative | 4 |
| LI Chiu Man | AGK581 | Licensed Representative | 1 |
| LI Junjie | BFD822 | Licensed Representative | 6 |
| LI Nan | AVE780 | Licensed Representative | 1, 4 |
| LI Te | BFH240 | Licensed Representative | 1, 4 |
| LI Zhao Ying Nora | BFI410 | Licensed Representative | 4 |
| LIANG Pei-Hsin | BHY224 | Licensed Representative | 1, 2, 4, 5 |
| LIAO Mingbing | BDO970 | Licensed Representative | 1, 4 |
| LIM Ching-ee, Dennis | ALK688 | Licensed Representative | 9 |
| LIN Canhui | BHQ345 | Licensed Representative | 1, 4, 9 |
| LIN Qianqian | BBT103 | Licensed Representative | 1, 2 |
| LIU Bo | BEE973 | Licensed Representative | 4 |
| LIU Juncong | BEB632 | Licensed Representative | 1, 6 |
| LIU Sheng | BDQ201 | Licensed Representative | 1 |
| LIU Wai Ping | APO411 | Licensed Representative | 1, 2 |
| LO Tsz On, Chris | ABM814 | Licensed Representative | 1, 2 |
| LOU Lai Sa | BGA896 | Licensed Representative | 1 |
| LU Hsing I | BGD235 | Licensed Representative | 4, 9 |
| LUI On On | BFE491 | Licensed Representative | 1 |
| LUI Ying Yin, Vicky | BGO343 | Licensed Representative | 1, 2 |
| LUO Shan | ARJ350 | Licensed Representative | 1, 4, 9 |
| MA Jingjing | BAF630 | Licensed Representative | 1, 4, 9 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|--------------------------------|---------------|-------------------------|------------------------------------|
| MA Kui Leung | AFP971 | Licensed Representative | 1 |
| MA Kwan Ho | BFO002 | Licensed Representative | 1, 6 |
| MAK Wing Shan, Priscilla | AES313 | Licensed Representative | 1, 2 |
| MAN Guoqiang | BCT446 | Licensed Representative | 1 |
| MARUYAMA Shun | AUV642 | Licensed Representative | 4 |
| MCDONALD Anthony Delano | AVV776 | Licensed Representative | 2 |
| MCKEEVER Stephen James | AFH236 | Licensed Representative | 1, 4 |
| MINARIK David Matthew | AUW513 | Licensed Representative | 9 |
| MOHIUDDIN Suhail | AZL059 | Licensed Representative | 9 |
| MURAKAMI Masayuki | BEJ779 | Licensed Representative | 1, 2, 7 |
| NG Hoi Lun | AZB582 | Licensed Representative | 1, 2, 4 |
| NG Kwok Chun | ACE525 | Licensed Representative | 1 |
| NG Manhoe | AUT769 | Licensed Representative | 1, 2 |
| NG Tsz Yan | BCW161 | Licensed Representative | 1, 4, 9 |
| OLIVER Simon Coutts | APB758 | Licensed Representative | 1, 2 |
| ONIBOKUN Opeyemi Adewumi | BFP374 | Licensed Representative | 1, 6 |
| OUYANG Shirui | BIN775 | Licensed Representative | 4 |
| PARK Ki Tae | AVL837 | Licensed Representative | 1, 2 |
| PETIT-PASQUIER Julien | ANN270 | Licensed Representative | 1, 2 |
| PINOLI Arthur Burton | BEN417 | Licensed Representative | 1, 2, 4 |
| POK Kit Man | BBA873 | Licensed Representative | 1, 2 |
| PRIEST James David | BHX572 | Licensed Representative | 1, 2 |
| QUEVEDO Ma. Carla Valenzuela | AOC699 | Licensed Representative | 1, 7 |
| RAJAONARIVONY Maeva | BIG468 | Licensed Representative | 1, 4 |
| RAJGARHIA Abhishek | BEJ411 | Licensed Representative | 1, 2 |
| RIVERS Thomas Edward | BFB238 | Licensed Representative | 1, 4, 9 |
| RYAN Ross Owen | AQO341 | Licensed Representative | 1, 2 |
| SAAD Fouad Fred | AYY042 | Licensed Representative | 1, 6 |
| SALMAN Makarim Adel | ATD287 | Licensed Representative | 4 |
| SAPRU Rahul | ARR902 | Licensed Representative | 1, 2 |
| SERVANT Remy Jerome | AOY167 | Licensed Representative | 1, 2 |
| SHAH Sagar Jayesh | BBS742 | Licensed Representative | 1, 6 |
| SHEN Lumiao | BCP758 | Licensed Representative | 9 |
| SHEN Zheyi | BFY255 | Licensed Representative | 1, 4, 6 |
| SHIH Ming Wong | BAP126 | Licensed Representative | 1, 2 |
| SIM Hwee Hoon | AKI965 | Licensed Representative | 1, 2 |
| SIU Siu Ham, Jeffrey | BGG199 | Licensed Representative | 1, 2 |
| SIU Wing Man, Vivian | BDX976 | Licensed Representative | 1 |
| SKEVINGTON Philip Simon Rupert | APE836 | Licensed Representative | 9 |
| SO Jessica Tze Kay | BFY858 | Licensed Representative | 1, 6 |
| SUK Min | ANU044 | Licensed Representative | 1 |
| SUN Ning | BHG202 | Licensed Representative | 1 |
| SUN Yingtao | BGA520 | Licensed Representative | 1, 6 |



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| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|----------------------------------|---------------|-------------------------|------------------------------------|
| TAI Lai Wah | ABC099 | Licensed Representative | 1 |
| TAN Yong Seng | BFF015 | Licensed Representative | 1 |
| TANEDO Corrince Hazel Gan | AVV718 | Licensed Representative | 4 |
| TO Kai Ming | BIX262 | Licensed Representative | 1, 4, 9 |
| TONG Mung Yin | AVD907 | Licensed Representative | 1 |
| TRUPO Alessandro | BCH700 | Licensed Representative | 1, 2, 7 |
| TSANG Kit Mei | AED824 | Licensed Representative | 1, 2 |
| TSANG Tak Fu | AOI861 | Licensed Representative | 4, 9 |
| TSE Samuel Shun Yin | AYQ709 | Licensed Representative | 9 |
| TSOI Lon Ho | BCC468 | Licensed Representative | 6 |
| TSUKAMOTO Kenichi | BBR446 | Licensed Representative | 1, 4, 6 |
| UTTAMCHANDANI Hitesh Chetan | APD109 | Licensed Representative | 9 |
| VAN DER SCHAFT Pieter | ADW623 | Licensed Representative | 9 |
| VAN VLIET Willem Gustaaf Stephan | AMR979 | Licensed Representative | 1, 4 |
| VIJAYARAGHAVAN Nandini | AZI582 | Licensed Representative | 10 |
| WAN Pak Sang, Andy | AFV210 | Licensed Representative | 1, 2 |
| WANG Guangpu | AXX790 | Licensed Representative | 1 |
| WANG Haoran | BGY119 | Licensed Representative | 1 |
| WANG Hui-Lin | AOU777 | Licensed Representative | 1, 2 |
| WANG Jenni | BEG520 | Licensed Representative | 1, 2 |
| WANG Junyi | BBH682 | Licensed Representative | 1, 4, 6 |
| WANG Yichen | BBB859 | Licensed Representative | 1, 6 |
| WANG Zi | BGT104 | Licensed Representative | 6 |
| WEI Chuyang | BGC776 | Licensed Representative | 1 |
| WHALE Patrick Xavier | ARO879 | Licensed Representative | 1, 9 |
| WIJAYA Suryadi | AQO161 | Licensed Representative | 6 |
| WONG Chun Yin | BEI575 | Licensed Representative | 1, 2 |
| WONG Dick Hong | APR439 | Licensed Representative | 1, 4 |
| WONG Jonathan Wai Tun | AYY487 | Licensed Representative | 9 |
| WONG Ka Kim, Karstan | ANY402 | Licensed Representative | 1, 4 |
| WONG Ka Yeung | BEC789 | Licensed Representative | 1 |
| WONG Vivian Chi Wah | ARD205 | Licensed Representative | 1, 4 |
| WU Di | BAY072 | Licensed Representative | 1, 2, 9 |
| XIAO Yuchao | BFE698 | Licensed Representative | 1 |
| XUE Bing | BHZ669 | Licensed Representative | 1, 4, 6 |
| YAN Chengjie | BDZ921 | Licensed Representative | 1, 6 |
| YEOW Shu Chen, Charlotte | APR017 | Licensed Representative | 1 |
| YEUNG Pui Keung | BHV144 | Licensed Representative | 1 |
| YEUNG Simon Sei-Yuen | ANG797 | Licensed Representative | 1, 9 |
| YEUNG Wang Yuk | AVR010 | Licensed Representative | 1 |
| YIP Ho Man, Kirk | AQN832 | Licensed Representative | 1, 4, 9 |
| YU Xiangtian | BEA502 | Licensed Representative | 1, 4, 9 |
| YUAN Chi | ATL424 | Licensed Representative | 1, 2 |



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| CE Name | CE No. | License Type | Types of Regulated Activity |
|---------------------------|--------|---|-----------------------------|
| YUEN Hoi Kar, Carol | AMX052 | Licensed Representative | 1, 6 |
| YUSUP Daniel | AMH579 | Licensed Representative | 1, 2, 9 |
| ZHANG Qiaoran | BDU218 | Licensed Representative | 1, 6 |
| ZHANG Xiaogang | ANC110 | Licensed Representative | 9 |
| ZHANG Xinyu | AQS183 | Licensed Representative | 1 |
| ZHANG Ziran | BFC896 | Licensed Representative | 1, 6 |
| ZHANG Ziyu | BAB675 | Licensed Representative | 4, 9 |
| ZHANG Zizhe | BFA430 | Licensed Representative | 4, 9 |
| ZHAO Danni | BBE751 | Licensed Representative | 1, 6 |
| ZHAO Lei | BIX254 | Licensed Representative | 1, 4, 9 |
| ZHAO Qingming | AYN413 | Licensed Representative | 1, 2 |
| ZHAO Wenjun | BIG086 | Licensed Representative | 1, 4 |
| ZHAO Yongtao | AYM093 | Licensed Representative | 4 |
| ZHONG Xiaoping | BGE892 | Licensed Representative | 1, 2 |
| CHENG Kwai Ping, Margaret | AAJ042 | Licensed Representative - Responsible Officer | 1, 2 |
| CHEUNG Kit Sum | AFM247 | Licensed Representative - Responsible Officer | 4, 9 |
| CHIU Chi Man | ABW341 | Licensed Representative - Responsible Officer | 2 |
| CHOU Chien-Hwa | BHK743 | Licensed Representative - Responsible Officer | 4 |
| COLLARD Jeremy David | AJC639 | Licensed Representative - Responsible Officer | 4, 9 |
| DENNIG Gerald Froemke | ACJ196 | Licensed Representative - Responsible Officer | 4, 9 |
| FAN Chor Ho, Paul | AAC968 | Licensed Representative - Responsible Officer | 1, 4 |
| FANG Nan | BBE280 | Licensed Representative - Responsible Officer | 4, 5, 9 |
| KAPLAN Stephen A | AMV288 | Licensed Representative - Responsible Officer | 4, 9 |
| LEUNG Wing Sin | AAP888 | Licensed Representative - Responsible Officer | 2 |
| LO Ka Yip | AFP566 | Licensed Representative - Responsible Officer | 1 |
| MCCLATCHEY Mark | BHR464 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| MCDONALD Anthony Delano | AVV776 | Licensed Representative - Responsible Officer | 1 |
| MENG Hao | BHZ692 | Licensed Representative - Responsible Officer | 4, 9 |
| NIEMAN Aaron Michael | ARH486 | Licensed Representative - Responsible Officer | 9 |
| SHIRONO Taro | AAA588 | Licensed Representative - Responsible Officer | 1 |
| SIEPMAN Gregg Steven | ATL818 | Licensed Representative - Responsible Officer | 2 |
| SUTRO Lucy Jane | AMR188 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| WONG Kwok Wai | AOY613 | Licensed Representative - Responsible Officer | 9 |
| YUAN Quanwei | ATO052 | Licensed Representative - Responsible Officer | 1, 4, 6 |
| AEPLI Kevin Holliday | BJG432 | Licensed Representative (Provisional Licence) | 1 |
| AU Chun Tung | BJE569 | Licensed Representative (Provisional Licence) | 6 |
| BAI Peishan | BJA013 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| BHANDARI Vishal | BJG129 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| BLAKE Anthony Peter | AFK724 | Licensed Representative (Provisional Licence) | 9 |
| BURNS Leonard Carter | AOX199 | Licensed Representative (Provisional Licence) | 1 |
| CAI Zhipeng | BJF184 | Licensed Representative (Provisional Licence) | 1, 4 |
| CAO Jingmu | AYW195 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHAN Bonnie Chiao Nien | AOQ884 | Licensed Representative (Provisional Licence) | 4, 9 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

| CE Name | CE No. | License Type | Types of Regulated Activity |
|-------------------------|--------|---|-----------------------------|
| CHAN Cheuk Yin | AXG304 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHAN Jacqueline Bernabe | BJH123 | Licensed Representative (Provisional Licence) | 1 |
| CHAN Ka Fung | BJG131 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHAN Kwun Yin | BJH176 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHAN Micky Conita | BJH452 | Licensed Representative (Provisional Licence) | 6 |
| CHAN Ngar Ling | BJH318 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHANG Ta-chi, Cathy | ACH584 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHAU Hoi Ting | BJI240 | Licensed Representative (Provisional Licence) | 4, 9 |
| CHEN Hsing-Yu | BJH672 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEN Ji | BJI734 | Licensed Representative (Provisional Licence) | 1 |
| CHEN Mengke | BIB120 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEUNG Ka Chun | BJF078 | Licensed Representative (Provisional Licence) | 4, 9 |
| CHEUNG Kenneth Kai-hoi | AVA660 | Licensed Representative (Provisional Licence) | 1 |
| CHOI Ching Wan, Janet | AUZ165 | Licensed Representative (Provisional Licence) | 1 |
| CHOW Gwen Heng | BJI785 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHOW Tsun Ho, Anson | AQG809 | Licensed Representative (Provisional Licence) | 1, 4 |
| CO Chun Wai | ADU467 | Licensed Representative (Provisional Licence) | 1 |
| DICKGIESSER Sophie Ying | BJE540 | Licensed Representative (Provisional Licence) | 1, 6 |
| DONG Chang | AXN155 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| DONG Xuan | BJG736 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| DU Jingjing | BJE166 | Licensed Representative (Provisional Licence) | 1, 4 |
| DUPONT Charles Frederic | BIJ516 | Licensed Representative (Provisional Licence) | 1 |
| ELLIOT Graham | BJG894 | Licensed Representative (Provisional Licence) | 1, 4 |
| FALLON Shaun Patrick | BJG675 | Licensed Representative (Provisional Licence) | 1 |
| FAN Yingjie | BJE201 | Licensed Representative (Provisional Licence) | 1, 6 |
| FENG Changli | BJI357 | Licensed Representative (Provisional Licence) | 1, 4 |
| FENG Xiao | BJG437 | Licensed Representative (Provisional Licence) | 1, 6 |
| FENG Yanjun | BJE704 | Licensed Representative (Provisional Licence) | 1, 4 |
| FONG Ka Man | ARW392 | Licensed Representative (Provisional Licence) | 1, 2 |
| FOSTER Duncan John | BCN540 | Licensed Representative (Provisional Licence) | 1, 2 |
| FU Tianzi | BJC691 | Licensed Representative (Provisional Licence) | 4 |
| FUNG Ka Yan | BHO409 | Licensed Representative (Provisional Licence) | 1 |
| FUNG Kai Hang, John | BJE909 | Licensed Representative (Provisional Licence) | 1 |
| FUNG Kin Leung | APP362 | Licensed Representative (Provisional Licence) | 1, 2 |
| GAMHARTER Benedikt | BJH395 | Licensed Representative (Provisional Licence) | 1, 2 |
| GOH Kah Peng | AFY707 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| HAK Stephanie Chung Man | BJH420 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| HARRIS John Patrick | BJH243 | Licensed Representative (Provisional Licence) | 1 |
| HARRIS Jonathan Howe | ACA158 | Licensed Representative (Provisional Licence) | 1 |
| HINDMARSH George Edward | BJE013 | Licensed Representative (Provisional Licence) | 1 |
| HO Ho Ling | BJD641 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| HO Lai Man | BJF252 | Licensed Representative (Provisional Licence) | 1 |
| HO Oi Yee, Margaret | ALP937 | Licensed Representative (Provisional Licence) | 1, 2, 4 |



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| CE Name | CE No. | License Type | Types of Regulated Activity |
|------------------------|--------|---|-----------------------------|
| HO Sau Ping | BJH020 | Licensed Representative (Provisional Licence) | 6 |
| HO Sung Chau | BJE605 | Licensed Representative (Provisional Licence) | 1 |
| HSIEH Tsung-Yu | BHQ123 | Licensed Representative (Provisional Licence) | 1, 2 |
| HUANG Gan | BJI675 | Licensed Representative (Provisional Licence) | 1 |
| HUANG Rui Lai | BJG655 | Licensed Representative (Provisional Licence) | 1, 6 |
| HUI Lap Yin | AVW181 | Licensed Representative (Provisional Licence) | 4 |
| HUMPLEBY Charles David | BHS486 | Licensed Representative (Provisional Licence) | 1 |
| IP Yee Ching | BJG875 | Licensed Representative (Provisional Licence) | 1 |
| ITO Yuka | BJC229 | Licensed Representative (Provisional Licence) | 1, 4 |
| JHA Harshwardhan | BGR022 | Licensed Representative (Provisional Licence) | 1 |
| KAWASAKI Satsuki | BJE606 | Licensed Representative (Provisional Licence) | 4 |
| KIM Byung Joo | BJE661 | Licensed Representative (Provisional Licence) | 1 |
| KIM Hae Ryong | ANE830 | Licensed Representative (Provisional Licence) | 1 |
| KIM So Yeon | AMM348 | Licensed Representative (Provisional Licence) | 1, 4 |
| KIM Yoon Ki | BJC341 | Licensed Representative (Provisional Licence) | 4 |
| KO Bryan Kar-Hung | AGJ203 | Licensed Representative (Provisional Licence) | 1, 2 |
| KO Siu Fai | BJD954 | Licensed Representative (Provisional Licence) | 1 |
| KOH Boon Wee | BJD909 | Licensed Representative (Provisional Licence) | 1, 2 |
| KONG Ching Hong, Alan | BJE159 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| KWOK Denise Jocelyn | AEG896 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| KWOK Ka Yi, Emily | ADY821 | Licensed Representative (Provisional Licence) | 1 |
| KWONG Chi Hang | BEF242 | Licensed Representative (Provisional Licence) | 1, 2 |
| KWONG Ho Pang | BJF035 | Licensed Representative (Provisional Licence) | 1, 2 |
| KWONG Tsz Ting | BJG798 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LAI Hoi Shan Elsa | BJE207 | Licensed Representative (Provisional Licence) | 1, 4 |
| LAI Tsun Kit | AYJ279 | Licensed Representative (Provisional Licence) | 1 |
| LAM Chui Fan | BJE216 | Licensed Representative (Provisional Licence) | 4 |
| LAM Chun Shing | BJE610 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LAM Kam Ho | BJG132 | Licensed Representative (Provisional Licence) | 1 |
| LAM Sze Wai | BJG780 | Licensed Representative (Provisional Licence) | 1 |
| LAM Tsz Kit | BJE766 | Licensed Representative (Provisional Licence) | 6 |
| LARSEN Michael Jeremy | BJG677 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LAU Ci Ci | BJG877 | Licensed Representative (Provisional Licence) | 1 |
| LAU Man Tat | BJE753 | Licensed Representative (Provisional Licence) | 6 |
| LAU Pak Tak | BJE603 | Licensed Representative (Provisional Licence) | 3 |
| LAW Yuk Yin | BJF032 | Licensed Representative (Provisional Licence) | 1, 2 |
| LEE Aleen Li Fei | AVS019 | Licensed Representative (Provisional Licence) | 4 |
| LEE Chung Wing Alfred | ATT542 | Licensed Representative (Provisional Licence) | 1 |
| LEE Hee Won | AYV602 | Licensed Representative (Provisional Licence) | 1 |
| LEE Ho Kit | BBP201 | Licensed Representative (Provisional Licence) | 2 |
| LEE Hoan Soo | BJG438 | Licensed Representative (Provisional Licence) | 4, 9 |
| LEE Ka Kit | BEW197 | Licensed Representative (Provisional Licence) | 6 |
| LEE Kam Kuen | BJD608 | Licensed Representative (Provisional Licence) | 1 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

| CE Name | CE No. | License Type | Types of Regulated Activity |
|-------------------------------|--------|---|-----------------------------|
| LEE Ting Wang Raymond | BJH328 | Licensed Representative (Provisional Licence) | 1 |
| LEE Tsi Bun, Benjamin | AQM594 | Licensed Representative (Provisional Licence) | 1, 2 |
| LEI I Na, Ella | BJH776 | Licensed Representative (Provisional Licence) | 1, 4 |
| LENG Xiaomao | BJA623 | Licensed Representative (Provisional Licence) | 1, 6 |
| LEUNG Chau Ying Marlica | BJF047 | Licensed Representative (Provisional Licence) | 1, 4 |
| LEUNG Yvonne Tsang Yin | BJG375 | Licensed Representative (Provisional Licence) | 1 |
| LI Jing | AOV618 | Licensed Representative (Provisional Licence) | 1, 2 |
| LI Kaiyan | BJE837 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LI Ngai Shing | BJH999 | Licensed Representative (Provisional Licence) | 1, 2 |
| LI Wang Fung Raymond | ALD642 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LI Xiao | BEH577 | Licensed Representative (Provisional Licence) | 1, 6 |
| LI Xiyun | BJB382 | Licensed Representative (Provisional Licence) | 1 |
| LI Yi | BJF245 | Licensed Representative (Provisional Licence) | 1 |
| LI Yuen Fung, Gary | BEC909 | Licensed Representative (Provisional Licence) | 1, 4 |
| LI Yun | BIT463 | Licensed Representative (Provisional Licence) | 4 |
| LIANG Shuang | BJG128 | Licensed Representative (Provisional Licence) | 4, 9 |
| LIANG Zhen Yu | BJG450 | Licensed Representative (Provisional Licence) | 1 |
| LIN Fong Ki | BJH331 | Licensed Representative (Provisional Licence) | 2 |
| LIN Ka Man | BJE651 | Licensed Representative (Provisional Licence) | 1, 6 |
| LIN Peiyuan | BJD780 | Licensed Representative (Provisional Licence) | 1 |
| LIN Sen | BJB730 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LIU Lingming | BDO989 | Licensed Representative (Provisional Licence) | 2, 5 |
| LIU Po Fan | BJE596 | Licensed Representative (Provisional Licence) | 1 |
| LIU Qunmin | BJG608 | Licensed Representative (Provisional Licence) | 1, 6 |
| LIU Suyang | BJH292 | Licensed Representative (Provisional Licence) | 9 |
| LIU Wenjiao | BJE604 | Licensed Representative (Provisional Licence) | 1 |
| LIU Wenqi | BIU684 | Licensed Representative (Provisional Licence) | 1, 4 |
| LIU Yat Sin | BJG879 | Licensed Representative (Provisional Licence) | 1 |
| LIU Yihui | BJD794 | Licensed Representative (Provisional Licence) | 6 |
| LIU Yiqun | BJG291 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LIU Yixing | BIG093 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LIXIE Yunan | BBK352 | Licensed Representative (Provisional Licence) | 1, 6 |
| LU Junyi | BJA751 | Licensed Representative (Provisional Licence) | 1, 6 |
| LU Manyi | BJH113 | Licensed Representative (Provisional Licence) | 4 |
| MA Cheng | BGT831 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| MA Jiaxin | BJD660 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| MA Junyi | BJG302 | Licensed Representative (Provisional Licence) | 4, 9 |
| MA Xinyu | BJG363 | Licensed Representative (Provisional Licence) | 1 |
| MAI Siwei | AUJ127 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| MALTER Hannah Rebecca | AYQ635 | Licensed Representative (Provisional Licence) | 6 |
| MAN Kin Fun | AWN380 | Licensed Representative (Provisional Licence) | 1 |
| MARTYR Andrew Dudley Pentland | BJE202 | Licensed Representative (Provisional Licence) | 2 |
| MASEK Scott Axel Alan | BJE193 | Licensed Representative (Provisional Licence) | 9 |

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017**

| CE Name | CE No. | License Type | Types of Regulated Activity |
|---------------------------|---------------|---|------------------------------------|
| MEI Weiyi | BIR750 | Licensed Representative (Provisional Licence) | 4, 9 |
| MIAO Shulin | BJH269 | Licensed Representative (Provisional Licence) | 4, 9 |
| MIU Pepita Yinki | AEN898 | Licensed Representative (Provisional Licence) | 9 |
| MIYAGI HIROKAZU | BJE607 | Licensed Representative (Provisional Licence) | 4 |
| MO Zhiyu | BJE373 | Licensed Representative (Provisional Licence) | 4, 9 |
| MOK Chi Kuan | BJE134 | Licensed Representative (Provisional Licence) | 10 |
| MORIYAMA Keisuke | AQP609 | Licensed Representative (Provisional Licence) | 4 |
| NG Ho Wah | BBE969 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| NG Hung Leung | BJH317 | Licensed Representative (Provisional Licence) | 1 |
| NG Jun Jie | BJG157 | Licensed Representative (Provisional Licence) | 2, 5 |
| NG Kim Wai | BJD610 | Licensed Representative (Provisional Licence) | 1, 4 |
| NG Li Sheng Jason | BGG975 | Licensed Representative (Provisional Licence) | 9 |
| NG Sek Yin | BJE681 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| NGAN Che Fung | BJH248 | Licensed Representative (Provisional Licence) | 1, 2 |
| NIU Qianru | BJE845 | Licensed Representative (Provisional Licence) | 1 |
| OR Alicia She Ying | BJE929 | Licensed Representative (Provisional Licence) | 1, 4 |
| PAGE Guillaume Boris | BJB179 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| PAMPAPATHI Vinay Kumar | BJG449 | Licensed Representative (Provisional Licence) | 1 |
| PANDE CHANCHANI GAURI | BJG431 | Licensed Representative (Provisional Licence) | 1 |
| PARK Ji Eun | BJG148 | Licensed Representative (Provisional Licence) | 4 |
| PENG Jian | BJH329 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| PHUA Si Hui Nicole Faith | BJH534 | Licensed Representative (Provisional Licence) | 4 |
| POTTS Robert James | BJG312 | Licensed Representative (Provisional Licence) | 4 |
| PU Xiaoxi | BJG185 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| QI Zhengtao | BCP497 | Licensed Representative (Provisional Licence) | 1, 4 |
| QIN Yunshan | BJG589 | Licensed Representative (Provisional Licence) | 1, 4 |
| QIU Xiaole | BJG442 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| RATLIFF David Talmadge | AHG576 | Licensed Representative (Provisional Licence) | 1, 2 |
| REEDER Benjamin Lee | BJE418 | Licensed Representative (Provisional Licence) | 1 |
| RHOADES Wing Man, Vivien | AIR404 | Licensed Representative (Provisional Licence) | 1, 7 |
| RYBINSKI III Francis Paul | BJF040 | Licensed Representative (Provisional Licence) | 1, 4 |
| SAKAIDA Kunio | BJH321 | Licensed Representative (Provisional Licence) | 4 |
| SATO KOKI | BJC095 | Licensed Representative (Provisional Licence) | 4 |
| SEOW Derek Yong Way | BJE602 | Licensed Representative (Provisional Licence) | 1, 7 |
| SHE Cheuk Man | BJE739 | Licensed Representative (Provisional Licence) | 6 |
| SHEN Shirley Xiaoying | BJH252 | Licensed Representative (Provisional Licence) | 4 |
| SHEN Yin | BIZ777 | Licensed Representative (Provisional Licence) | 1, 6 |
| SHENG Shiwei | BJE927 | Licensed Representative (Provisional Licence) | 1, 6 |
| SHI Yu | AOX368 | Licensed Representative (Provisional Licence) | 1, 4 |
| SHIM WOO SEOK | BJE379 | Licensed Representative (Provisional Licence) | 9 |
| SHU Hoi Ming, Karman | AQB106 | Licensed Representative (Provisional Licence) | 1, 2 |
| SO Hoi Yan | BJB934 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| SO Leung Yee, Sabrina | ACI077 | Licensed Representative (Provisional Licence) | 1 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

| CE Name | CE No. | License Type | Types of Regulated Activity |
|--------------------------|--------|---|-----------------------------|
| SONG Chen | BJE539 | Licensed Representative (Provisional Licence) | 1 |
| SONG Ting | BIV964 | Licensed Representative (Provisional Licence) | 1, 4 |
| SOON Shu-Min Amanda | AYI983 | Licensed Representative (Provisional Licence) | 1, 6 |
| SUN Huang | BJB920 | Licensed Representative (Provisional Licence) | 1 |
| SUN Yat Chun Andy | BJH204 | Licensed Representative (Provisional Licence) | 1, 4 |
| SZE YING YING | BJI404 | Licensed Representative (Provisional Licence) | 1 |
| TAI Yuen Fai, Patricia | BJE911 | Licensed Representative (Provisional Licence) | 1 |
| TAM Chris Sze On | BJD946 | Licensed Representative (Provisional Licence) | 1, 6 |
| TAM Kin Kei | AZW907 | Licensed Representative (Provisional Licence) | 1 |
| TAM Wing Yee | AEC589 | Licensed Representative (Provisional Licence) | 1, 4 |
| TAMAKI Yasuhiro | BJF254 | Licensed Representative (Provisional Licence) | 9 |
| TANG Hon Wing | BJE956 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| TANG Kai Wing | BAB779 | Licensed Representative (Provisional Licence) | 4 |
| TANG Mo Wai Raymond | BJG784 | Licensed Representative (Provisional Licence) | 1 |
| TANG Tsun Kit George | ASW346 | Licensed Representative (Provisional Licence) | 1, 2 |
| TIAN Jinyu | BCD350 | Licensed Representative (Provisional Licence) | 9 |
| TIAN Qianyi | BJD584 | Licensed Representative (Provisional Licence) | 10 |
| TOM Edward | ANF158 | Licensed Representative (Provisional Licence) | 2, 5 |
| TSAI Wan Chun | BJG465 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| TSANG SIU YU | BJG901 | Licensed Representative (Provisional Licence) | 1, 4 |
| TSE Ka Yan | BJG606 | Licensed Representative (Provisional Licence) | 1 |
| TSUKAMOTO Terumasa | BJF249 | Licensed Representative (Provisional Licence) | 9 |
| VAN VLIET NICOLAS RUDOLF | BJH418 | Licensed Representative (Provisional Licence) | 1 |
| WAN Chung Yuen | AXF966 | Licensed Representative (Provisional Licence) | 1 |
| WAN Tze Bun Gilbert | BJE734 | Licensed Representative (Provisional Licence) | 1 |
| WANG Chen | BJC199 | Licensed Representative (Provisional Licence) | 6 |
| WANG Diming | BIZ246 | Licensed Representative (Provisional Licence) | 1, 6 |
| WANG Shaohua | BJE214 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WANG Tengting | BJD824 | Licensed Representative (Provisional Licence) | 1 |
| WANG Yunying | BJG612 | Licensed Representative (Provisional Licence) | 1, 2 |
| WEATHERILL Scott James | BJH173 | Licensed Representative (Provisional Licence) | 1, 2 |
| WEHN Michael Travis | BJE208 | Licensed Representative (Provisional Licence) | 1, 9 |
| WELCH Peter Joseph | AEU528 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| WENGER Stephan Patrick | AXC823 | Licensed Representative (Provisional Licence) | 9 |
| WISEMAN Mark Andrew | BJE311 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Ho Ching | AQJ842 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WONG Hui Yun | AUZ064 | Licensed Representative (Provisional Licence) | 1, 6 |
| WONG Jee Chung | BIW480 | Licensed Representative (Provisional Licence) | 4 |
| WONG Ka Ho | BJG194 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Kenneth Sze-ho | AWT852 | Licensed Representative (Provisional Licence) | 1, 7 |
| WONG Kit Yu | BJG874 | Licensed Representative (Provisional Licence) | 1 |
| WONG Ngan, Jacqueline | ADX528 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Si Si Connie | BIZ557 | Licensed Representative (Provisional Licence) | 1 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

| CE Name | CE No. | License Type | Types of Regulated Activity |
|--------------------------|--------|---|-----------------------------|
| WONG TSZ SING | BJH135 | Licensed Representative (Provisional Licence) | 6 |
| WONG Wai Yin | BJF034 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Yan | AMG970 | Licensed Representative (Provisional Licence) | 1 |
| WU Hoi Kit | BJH727 | Licensed Representative (Provisional Licence) | 4, 9 |
| WU Hon Hei Henry | BJH273 | Licensed Representative (Provisional Licence) | 1, 4 |
| XIONG Wei | BJC489 | Licensed Representative (Provisional Licence) | 4 |
| XU Dan | BJC231 | Licensed Representative (Provisional Licence) | 2 |
| XU Huihui | BIY213 | Licensed Representative (Provisional Licence) | 1, 4 |
| XU Wen | BJA056 | Licensed Representative (Provisional Licence) | 1, 6 |
| YAMASHITA Tamisuke | BJF117 | Licensed Representative (Provisional Licence) | 9 |
| YANG Jiaying | BJE212 | Licensed Representative (Provisional Licence) | 1, 6 |
| YAO Xue | AWK470 | Licensed Representative (Provisional Licence) | 4 |
| YAU Yuen Wah | BJH336 | Licensed Representative (Provisional Licence) | 1, 2 |
| YEH MAN HSUAN | BJD212 | Licensed Representative (Provisional Licence) | 1 |
| YEUNG Chun Pui | BJE036 | Licensed Representative (Provisional Licence) | 1 |
| YEUNG HEY WAI | BJG207 | Licensed Representative (Provisional Licence) | 1, 4 |
| YEUNG Man Lok | AUZ880 | Licensed Representative (Provisional Licence) | 2 |
| YOU Luya | BJG607 | Licensed Representative (Provisional Licence) | 4 |
| YOU Yang | BJB904 | Licensed Representative (Provisional Licence) | 4 |
| YU Shuyao | BJH798 | Licensed Representative (Provisional Licence) | 1, 6 |
| YUE RACHEL ANNE SZE MING | BJG220 | Licensed Representative (Provisional Licence) | 1, 4 |
| YUE Yi | BJI225 | Licensed Representative (Provisional Licence) | 1 |
| YUEN Ka Chi | ANG307 | Licensed Representative (Provisional Licence) | 1, 2 |
| YUEN Kin Long | BJH245 | Licensed Representative (Provisional Licence) | 1 |
| ZHANG Bin | BJF189 | Licensed Representative (Provisional Licence) | 1, 2 |
| ZHANG Shengqiao | BDM737 | Licensed Representative (Provisional Licence) | 4, 9 |
| ZHANG Siyu | BJB844 | Licensed Representative (Provisional Licence) | 2 |
| ZHANG Xiaoge | BIS768 | Licensed Representative (Provisional Licence) | 1 |
| ZHANG Xiaoying | BJH315 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| ZHANG Yueyi | BJC230 | Licensed Representative (Provisional Licence) | 2 |
| ZHANG Zhuowei | BJF190 | Licensed Representative (Provisional Licence) | 1, 2 |
| ZHAO Chen | BJG454 | Licensed Representative (Provisional Licence) | 4 |
| ZHENG Heyuan | BJE227 | Licensed Representative (Provisional Licence) | 1, 4 |
| ZHENG Lizi | BJG616 | Licensed Representative (Provisional Licence) | 4, 9 |
| ZHOU Allen | BJA650 | Licensed Representative (Provisional Licence) | 1, 2 |
| ZHOU Ji | BJE003 | Licensed Representative (Provisional Licence) | 6 |
| ZHOU LIYANG | BJG897 | Licensed Representative (Provisional Licence) | 1 |
| ZHOU Xiaohan | BJE946 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHOU ZHOU | BJD160 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| ZHU Qianlan | BJH270 | Licensed Representative (Provisional Licence) | 4 |
| ZHU Yahui | AYO940 | Licensed Representative (Provisional Licence) | 1, 4 |
| AGRAWAL Ajit Kumar | BIJ369 | Licensed Representative (Temporary Licence) | 4 |
| AHUJA Vivek Manohar | ARI512 | Licensed Representative (Temporary Licence) | 1 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

| CE Name | CE No. | License Type | Types of Regulated Activity |
|---------------------------------|---------------|---|------------------------------------|
| BARROWS Ross Christopher | BFC529 | Licensed Representative (Temporary Licence) | 4 |
| BISHOP Alastair Timothy | BFE366 | Licensed Representative (Temporary Licence) | 1, 4 |
| BOULAN Frederic Emile Alfred | BGE038 | Licensed Representative (Temporary Licence) | 1, 4 |
| CAI Minhao | BJK339 | Licensed Representative (Temporary Licence) | 4 |
| CARUSO Frank Vincent | BHH755 | Licensed Representative (Temporary Licence) | 1 |
| CASTLE Jarrod | AWA482 | Licensed Representative (Temporary Licence) | 4 |
| CHAN Simon Yuk Kwan | BCT212 | Licensed Representative (Temporary Licence) | 1, 4 |
| CHAUVET Thomas Vincent | BJE499 | Licensed Representative (Temporary Licence) | 4 |
| CHEN Rong | BJK421 | Licensed Representative (Temporary Licence) | 4 |
| CHEN Timothy C K | BEC091 | Licensed Representative (Temporary Licence) | 4 |
| CHEN Wei | BJK426 | Licensed Representative (Temporary Licence) | 4 |
| CHOI MoonSun | BFX737 | Licensed Representative (Temporary Licence) | 4 |
| CHOPRA Raashi | BEE682 | Licensed Representative (Temporary Licence) | 4 |
| CHOPRA Sameer | BAU420 | Licensed Representative (Temporary Licence) | 1, 4 |
| CHOPRA Swati | BAW702 | Licensed Representative (Temporary Licence) | 1, 4 |
| CURTIS Jonathan Tourtellotte | BHP706 | Licensed Representative (Temporary Licence) | 1 |
| DARMONO Permadawani | BJH031 | Licensed Representative (Temporary Licence) | 4 |
| DE KONING Henrik Arie | BJK856 | Licensed Representative (Temporary Licence) | 1 |
| DE LA FUENTE Rafael | BJK252 | Licensed Representative (Temporary Licence) | 4 |
| DENHAM Philip John | BBY783 | Licensed Representative (Temporary Licence) | 1 |
| EGUCHI Hiroyasu | BBL849 | Licensed Representative (Temporary Licence) | 1, 4 |
| FELIX Philip Albert Porcioncula | BFR470 | Licensed Representative (Temporary Licence) | 1, 4 |
| FILICETTI Guido Anthony | BGI314 | Licensed Representative (Temporary Licence) | 1 |
| FLEMING William Alexander | BJJ035 | Licensed Representative (Temporary Licence) | 1, 2 |
| FROST Daniel Richard | BJH017 | Licensed Representative (Temporary Licence) | 4 |
| FUJII Kenei | BJI610 | Licensed Representative (Temporary Licence) | 1, 2 |
| FUKUSHIMA Yoshihiro | APJ449 | Licensed Representative (Temporary Licence) | 1, 2 |
| GARRE Venugopal | AML716 | Licensed Representative (Temporary Licence) | 4 |
| HARADA Jun | AOR374 | Licensed Representative (Temporary Licence) | 4 |
| HARTING Morgan Clothier | AXT012 | Licensed Representative (Temporary Licence) | 1 |
| HEFTI Christoph Peter | BJK588 | Licensed Representative (Temporary Licence) | 1, 4 |
| HEPPELER Michael Thomas | BJJ252 | Licensed Representative (Temporary Licence) | 1, 2 |
| HIRAKAWA Mikio | BBT553 | Licensed Representative (Temporary Licence) | 1, 4 |
| HODES Alexander Eli | BJH251 | Licensed Representative (Temporary Licence) | 1 |
| HORT Marco Bruno | BJK595 | Licensed Representative (Temporary Licence) | 1, 4 |
| HOSANGADY Ranjit Rammohan | AVW682 | Licensed Representative (Temporary Licence) | 1 |
| HOTTA Kenjin Tom | AZV542 | Licensed Representative (Temporary Licence) | 1, 4 |
| HSIA Kenneth Yesom | BFK493 | Licensed Representative (Temporary Licence) | 4 |
| HSU Li-Te | BJI915 | Licensed Representative (Temporary Licence) | 10 |
| HUREWITZ Barry Scott | BIN563 | Licensed Representative (Temporary Licence) | 4 |
| HWANG In Bok | BJC325 | Licensed Representative (Temporary Licence) | 1 |
| IKEDA Atsushi | BEM322 | Licensed Representative (Temporary Licence) | 4 |
| INVERNIZZI Susanna | BJH666 | Licensed Representative (Temporary Licence) | 1 |



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017

| CE Name | CE No. | License Type | Types of Regulated Activity |
|--------------------------------|--------|---|-----------------------------|
| KIMURA Gen | AVR981 | Licensed Representative (Temporary Licence) | 1, 2 |
| KING Matthew Allen | BGL485 | Licensed Representative (Temporary Licence) | 1 |
| KURIBARA Hideaki | BHW526 | Licensed Representative (Temporary Licence) | 4 |
| KWEK Kevin Siew Beng | AZN097 | Licensed Representative (Temporary Licence) | 4 |
| LEISURE Charles Porter | BAE417 | Licensed Representative (Temporary Licence) | 1, 6 |
| LI Shaojun | BJK351 | Licensed Representative (Temporary Licence) | 4 |
| LIEW Xun | BJI674 | Licensed Representative (Temporary Licence) | 1 |
| LIM Shan-Jia, Alvin | AZW886 | Licensed Representative (Temporary Licence) | 1 |
| LIU Yaokun | BJI665 | Licensed Representative (Temporary Licence) | 4 |
| LUO Nan | BJK298 | Licensed Representative (Temporary Licence) | 4 |
| MACDONALD Alistair John | AVW485 | Licensed Representative (Temporary Licence) | 1 |
| MACPHILLAMY Douglas John | BDJ799 | Licensed Representative (Temporary Licence) | 4 |
| MALHOTRA Gaurav | BEB422 | Licensed Representative (Temporary Licence) | 4 |
| MATITIA Edouard Albert | BHG614 | Licensed Representative (Temporary Licence) | 1, 2 |
| MIYAZAKI Takashi | BDQ458 | Licensed Representative (Temporary Licence) | 4 |
| MULLER-WEGNER Andre Bruno | BJK485 | Licensed Representative (Temporary Licence) | 1, 4 |
| NAGPAL Arjun | BII675 | Licensed Representative (Temporary Licence) | 1, 2 |
| NGUYEN Laurent Huu-Duc | BJI667 | Licensed Representative (Temporary Licence) | 1 |
| NOGAWA Chieko | BBA268 | Licensed Representative (Temporary Licence) | 1 |
| OKUBO Ayaki | BJG598 | Licensed Representative (Temporary Licence) | 1 |
| OU Shaoping | BJK417 | Licensed Representative (Temporary Licence) | 4 |
| PAKENHAM Benjamin John | BJE025 | Licensed Representative (Temporary Licence) | 1 |
| PATEL Krupa | BJE678 | Licensed Representative (Temporary Licence) | 1 |
| PATWARI Priyank | BHK693 | Licensed Representative (Temporary Licence) | 1 |
| PETROVCIK Kevin Patrick | AZL215 | Licensed Representative (Temporary Licence) | 1, 4 |
| QI Shuyang | BJK350 | Licensed Representative (Temporary Licence) | 4 |
| QIN Han | BJK353 | Licensed Representative (Temporary Licence) | 4 |
| RAMAKRISHNAN VINOTH | BJI660 | Licensed Representative (Temporary Licence) | 1 |
| REDEKER Hans Gunter Wilhelm | BFF385 | Licensed Representative (Temporary Licence) | 1 |
| ROLFE Roland Christopher | AVI388 | Licensed Representative (Temporary Licence) | 1 |
| RUDLAND Michael James | BJD156 | Licensed Representative (Temporary Licence) | 1, 2 |
| SANDERSE Menno Gerard Cornelis | AUX424 | Licensed Representative (Temporary Licence) | 1 |
| SEKI Atsushi | BIB389 | Licensed Representative (Temporary Licence) | 4 |
| SHLEMOV Mikhail | BEI587 | Licensed Representative (Temporary Licence) | 4 |
| SIMMONDS Stuart Duncan | BCP839 | Licensed Representative (Temporary Licence) | 1, 4 |
| SLOW Jia Keen | BCC461 | Licensed Representative (Temporary Licence) | 4 |
| SKOTNICKI Anthony Andrew | BJE664 | Licensed Representative (Temporary Licence) | 1, 2 |
| SUGIURA Seiji | ALN453 | Licensed Representative (Temporary Licence) | 4 |
| SWITZER James Edmund | BJK392 | Licensed Representative (Temporary Licence) | 4 |
| TAKAKU Hirofumi | AXI172 | Licensed Representative (Temporary Licence) | 1, 2 |
| TEVES Joni Mae | BFA936 | Licensed Representative (Temporary Licence) | 4 |
| THACKRAY Simon George | AWU696 | Licensed Representative (Temporary Licence) | 4 |
| TIWARI Atul Kumar | BDT253 | Licensed Representative (Temporary Licence) | 4 |

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2017**

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|--------------------------------|---------------|---|------------------------------------|
| TROMAN Mark Antony | BAJ469 | Licensed Representative (Temporary Licence) | 1, 4 |
| VENOIT Matthew John | BIW953 | Licensed Representative (Temporary Licence) | 1 |
| VON RIEKHOFF Andre Christopher | BHO553 | Licensed Representative (Temporary Licence) | 1, 2 |
| WANG Cong | BJK343 | Licensed Representative (Temporary Licence) | 4 |
| WANG Zhengzhi | BJK433 | Licensed Representative (Temporary Licence) | 4 |
| WASSON Baxter Ward | BJK482 | Licensed Representative (Temporary Licence) | 1, 4 |
| WATANABE Shiro | BBP190 | Licensed Representative (Temporary Licence) | 1 |
| WILLIAMS Craig Anthony | BDU133 | Licensed Representative (Temporary Licence) | 4 |
| WOOLLEY Mark Robert | AOL210 | Licensed Representative (Temporary Licence) | 1, 4 |
| XIE Dan | BHT121 | Licensed Representative (Temporary Licence) | 1 |
| YAMAKAWA Hiroki | BGI791 | Licensed Representative (Temporary Licence) | 1, 2 |
| YOO Jae Hyuk | BBS475 | Licensed Representative (Temporary Licence) | 1, 4 |
| YU Suyang | BJK430 | Licensed Representative (Temporary Licence) | 4 |
| YUNG-HOK Peter Stephen | BDL617 | Licensed Representative (Temporary Licence) | 2, 5 |
| ZELLER Hubert Leon Maurice | BJL212 | Licensed Representative (Temporary Licence) | 1, 4 |
| ZHOU Yan | BJK432 | Licensed Representative (Temporary Licence) | 4 |
| Land Bank of Taiwan Co., Ltd. | AOJ319 | Registered Institution | 1 |