



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
Grand Cathay Securities (Hong Kong) Limited - Grand Cathay Securities (Hong Kong) Limited (AFE145), KGI Asia Limited (ADU347), KGI Securities (Hong Kong) Limited (ADT493) and KGI Wealth Management Limited (ABM802) amalgamated on 3 October 2017, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is KGI Asia Limited (BKJ214).	AFE145	Licensed Corporation	1
KGI Asia Limited - KGI Asia Limited (ADU347), Grand Cathay Securities (Hong Kong) Limited (AFE145), KGI Securities (Hong Kong) Limited (ADT493) and KGI Wealth Management Limited (ABM802) amalgamated on 3 October 2017, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is KGI Asia Limited (BKJ214).	ADU347	Licensed Corporation	1, 4
KGI Securities (Hong Kong) Limited - KGI Securities (Hong Kong) Limited (ADT493), Grand Cathay Securities (Hong Kong) Limited (AFE145), KGI Asia Limited (ADU347) and KGI Wealth Management Limited (ABM802) amalgamated on 3 October 2017, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is KGI Asia Limited (BKJ214).	ADT493	Licensed Corporation	1, 4
KGI Wealth Management Limited - KGI Wealth Management Limited (ABM802), Grand Cathay Securities (Hong Kong) Limited (AFE145), KGI Asia Limited (ADU347) and KGI Securities (Hong Kong) Limited (ADT493) amalgamated on 3 October 2017, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is KGI Asia Limited (BKJ214).	ABM802	Licensed Corporation	1, 4
Kiu Hung Capital Company Limited	BFK824	Licensed Corporation	6
Macquarie Corporate (Hong Kong) Limited	AHO161	Licensed Corporation	6
Macquarie Futures & Options (Hong Kong) Limited	AAC523	Licensed Corporation	2
AHN Lytton Joh	BDD736	Licensed Representative	1, 2, 9
BAE Jung Hoon	AMY251	Licensed Representative	4
BENMASSAOUD Mehdi	BCH410	Licensed Representative	1, 2, 4
BO Qiaochu	AZJ491	Licensed Representative	6
BOURMAYAN Vahe Olivier	AJD563	Licensed Representative	1, 2
BOWEN Mark David	BDH261	Licensed Representative	1, 2
BURKE Daniel Louis	APB674	Licensed Representative	1, 2
BUT Yiu Kong Kenneth	BEH794	Licensed Representative	1, 4, 9
BYERS Martin John	AXA009	Licensed Representative	4
BYUN Kara Kyung Wha	APJ708	Licensed Representative	1
CAI Jingyan	BJG895	Licensed Representative	4
CAPECCI Neal Mario	ASQ015	Licensed Representative	1, 4, 5, 9
CHA Je Hoon	BGD831	Licensed Representative	2
CHAN Cheung Kam	ASZ085	Licensed Representative	1, 2
CHAN Chi Kin, Allen	BAA519	Licensed Representative	4
CHAN Chu Hoi	BHW510	Licensed Representative	9
CHAN Chun Kit	BIC447	Licensed Representative	3
CHAN Ka Yee	AJA765	Licensed Representative	1
CHAN Kai Yin	BAC398	Licensed Representative	1, 2
CHAN Kwai Fung	AYI186	Licensed Representative	1

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017**

CE Name	CE No.	License Type	Types of Regulated Activity
CHAN Lemuel G	BFY980	Licensed Representative	9
CHAN Man Wai, Mark	ABR486	Licensed Representative	1, 4, 6
CHAN Ming Yin	AFR272	Licensed Representative	1, 4
CHAN Mun Keung, Paul	AOD276	Licensed Representative	1
CHAN Ping Hung	AQN261	Licensed Representative	1
CHAN Sing	AQU091	Licensed Representative	1, 2
CHAN Wayne Holt	AMH139	Licensed Representative	4, 9
CHAN Wing Ho	BFD134	Licensed Representative	1, 2, 4, 5
CHAN Wing Yu	BBT845	Licensed Representative	4, 5
CHAN Yau Kwan	BIY784	Licensed Representative	1, 2, 3, 4
CHANG Yu Kit, Freddie	APY421	Licensed Representative	1, 2, 3, 9
CHEN Arthur Kuan-chung	BDR805	Licensed Representative	9
CHEN Feifan	BDM896	Licensed Representative	1, 6
CHEN Hsiang Ju	ARA546	Licensed Representative	1
CHEN Michael Moon Fee	ADC178	Licensed Representative	1
CHEN Wen-yu Winnie	AVK949	Licensed Representative	1
CHEN Yifei	BIU909	Licensed Representative	1, 4, 6
CHENG Chao	BHL503	Licensed Representative	9
CHENG Curtis H	BEQ323	Licensed Representative	6
CHENG Daitian	BHS477	Licensed Representative	6
CHENG Ka Yee, Angela	ASO946	Licensed Representative	1, 4
CHENG Pui Sze, Priscilla	AQN828	Licensed Representative	1, 2, 3, 4
CHENG Shiu Nang	AAD677	Licensed Representative	1
CHENG Wing Ching	AHM289	Licensed Representative	3
CHENG Yat Ching	AEM946	Licensed Representative	1
CHEUNG Chun Wai	BIE759	Licensed Representative	1, 4
CHEUNG Chung Eu, Cindy	AJE681	Licensed Representative	9
CHEUNG Desmond Clinton	AGN567	Licensed Representative	1, 4, 9
CHEUNG Hiu Kam	BIW525	Licensed Representative	2
CHIEN Li-Min	APR338	Licensed Representative	9
CHING Cheuk Lun	BFR940	Licensed Representative	1
CHIU Chin-Feng	BAV648	Licensed Representative	1, 4
CHO Ichio	AWE957	Licensed Representative	1, 4
CHOI Tak Lai	BFO037	Licensed Representative	1, 9
CHOW Chi Wing	BJB869	Licensed Representative	1
CHOW Liam Hau Lam	BEC371	Licensed Representative	1, 4
CHOW Sandy Li Chen	ALC926	Licensed Representative	1, 4, 9
CHU Hon Ting	BBK694	Licensed Representative	3
CHU Joel Jens	ABZ183	Licensed Representative	4, 9
CHU Kin Fun, John	AEE801	Licensed Representative	9
CHUI Hay	AZE836	Licensed Representative	1, 4
CHUNG Elizabeth Ching Yee	AHC349	Licensed Representative	1, 2
CHUNG King Man, Carmen	ASD321	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
CHUNG Kwong Fai	AAA735	Licensed Representative	1
CHUNG Man Fai	BBX326	Licensed Representative	1
CHUNG Ming Hay	BIA074	Licensed Representative	1, 6
CHUNG Yi Tung	AUP364	Licensed Representative	1
COMAL GOPALAN Mukundh	BEO888	Licensed Representative	1, 4, 6
CUI Chi	BFN384	Licensed Representative	1, 2
CUNNINGHAM Graham	ANU252	Licensed Representative	4
DAI Huiyu	BFN397	Licensed Representative	1, 2
DAI Zi Ru	BDH625	Licensed Representative	1
DALZIELL Rohan John	ACW967	Licensed Representative	4
DAY Laura Luen	ADV674	Licensed Representative	1, 2
DEL ROSARIO David Angelo Tanseco	BAB470	Licensed Representative	1
DEMEULEMEESTER Julien Marcel	BHZ067	Licensed Representative	9
DIAO Shuo	BGC143	Licensed Representative	1, 6
DU Zichao	BGA459	Licensed Representative	1, 4, 6
ELLIS Robert James	ARN745	Licensed Representative	1, 2
FAN Tian Hao	BHV037	Licensed Representative	1, 4, 6
FANG Sean	ADS446	Licensed Representative	1, 4, 9
FENG Zhe	AFW435	Licensed Representative	4
FU Linzhao	BHC760	Licensed Representative	1, 2, 4
FUJIWARA Sari	AUV639	Licensed Representative	1, 2, 4, 5
FUNG Ngok Che	AFM059	Licensed Representative	1, 4
GAO Li	BID420	Licensed Representative	1, 4, 9
GAO Tengzhe	BET638	Licensed Representative	1, 2
GAO Tianyu	AZC155	Licensed Representative	6
GE Chenhao	BFD861	Licensed Representative	1, 6
GRAINGER Morgan Anne	AXG147	Licensed Representative	9
GU Yue	AZI891	Licensed Representative	1, 4
HA Jiming	AKS658	Licensed Representative	1, 2
HATTON John	AXY599	Licensed Representative	10
HAY Sarah Elizabeth	BGW857	Licensed Representative	1
HENG Aik Lee, Jared Aaron	BGD473	Licensed Representative	1
HO Chi Man, Jacky	ACJ901	Licensed Representative	1, 3
HO Lai Ha	APW241	Licensed Representative	1, 4
HO Wai Hung Joannes	ABT745	Licensed Representative	1, 4
HOLLINGSWORTH Mark James	BGP581	Licensed Representative	1, 4
HON Wai Yin	BDW634	Licensed Representative	1
HOU Qi	BGD478	Licensed Representative	1, 6
HSIEH CHIANG Shao-Chien	ANJ737	Licensed Representative	1
HSU Jeffrey	AOX188	Licensed Representative	1
HUANG Andrew Chih-Chun	APQ270	Licensed Representative	1, 6
HUANG Haiming	AWU883	Licensed Representative	1
HUANG Rui	AZO993	Licensed Representative	6

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017**

CE Name	CE No.	License Type	Types of Regulated Activity
HUANG Yike	BDA373	Licensed Representative	6
HUANG Yu	ATI173	Licensed Representative	1, 2
HUI Cheuk Wai	BEE820	Licensed Representative	1, 4
JALAN Nikhil Pradeep	BJK998	Licensed Representative	1, 4
JEERADIT Jackerin	BCS789	Licensed Representative	10
JEFFERYS Paul Edward	BBG408	Licensed Representative	1, 2
Jl Yen Si	ASD456	Licensed Representative	1, 6
JIA Jian	AWP432	Licensed Representative	1, 4, 9
JIN Bing	AWA168	Licensed Representative	1, 4, 6
JIN Jun	AUG273	Licensed Representative	1, 4
KAMINER Guillaume Romain	APK937	Licensed Representative	1, 2
KATSUBA Tomoaki	AZH635	Licensed Representative	6
KES Jacob Leonard	BDI054	Licensed Representative	1, 2
KHETAN Rajani	BGL024	Licensed Representative	4
KIM Nam Sun	BGW435	Licensed Representative	1, 6
KIYONO Masashi	BAW609	Licensed Representative	1, 4
KOFOPOULOS Dimitrios	BFB169	Licensed Representative	1
KON Wai Tak, Island	BHM580	Licensed Representative	4, 9
KONG Chi Wing	ALB827	Licensed Representative	3
KONG Xiangming	AZW193	Licensed Representative	4, 9
KONOIKE Chika	AXH801	Licensed Representative	1
KWOK Ho Nam	BAE873	Licensed Representative	1
KWOK Man Yu	ALY348	Licensed Representative	1, 2
KWONG Tse San, Toby	APD289	Licensed Representative	4
KWONG Wing Man	AFC448	Licensed Representative	1, 4, 9
LAI Pui Wah	BHC994	Licensed Representative	1, 2
LAI Ka Wing	AVR831	Licensed Representative	1, 4
LAI Kevin	BGQ548	Licensed Representative	4, 9
LAM Chak Sin	BJC098	Licensed Representative	1
LAM Lok Hin	BHC808	Licensed Representative	1, 9
LAM Siu Wai	BHC790	Licensed Representative	1
LAM Yee Ching, Sharon	BHM003	Licensed Representative	1, 4
LANGLOIS Michael Owen	AMM135	Licensed Representative	1
LAO Helen Hon Lam	BGN421	Licensed Representative	1, 2, 9
LAU Hoi Chi	BGN354	Licensed Representative	3
LAU Chun Hung	BJB485	Licensed Representative	6
LAU Kam Yiu	AGC977	Licensed Representative	1, 2
LAU Kwan Ho	ALB117	Licensed Representative	4, 9
LAU Kwun Him	AVC248	Licensed Representative	1, 2
LAU La	BFK951	Licensed Representative	4, 9
LAU Mee Yuk, Thisby	BAB122	Licensed Representative	1
LAU Ming Chung	AFL162	Licensed Representative	1, 4
LAU Ming Hoi	BBB472	Licensed Representative	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LAU Pui Yam, Nikki	BHK045	Licensed Representative	1
LAU Wing Ki Winnie	BDJ457	Licensed Representative	1, 4, 6
LAU Wing Kon, Lincoln	AAF548	Licensed Representative	1, 2
LAU Wung Koon	ABY860	Licensed Representative	1, 2
LAU Yee Ping	APS249	Licensed Representative	1
LAW Wing Hang, Wiggham	AOB727	Licensed Representative	1, 2
LEE Chaeun	AXC794	Licensed Representative	1, 2
LEE Chai Sin	AJA483	Licensed Representative	1, 2
LEE Henry	BGN037	Licensed Representative	1, 4
LEE King Sing	AXT263	Licensed Representative	1, 4
LEE Pui Yin, Andrew	BIC442	Licensed Representative	3
LEE Sze Yui, Winner	AFJ239	Licensed Representative	1, 4
LEE Wai Yee	BCC760	Licensed Representative	1
LEE Yiu Keung	AGO806	Licensed Representative	1, 4
LEE Yuk Wah, Eppie	AMU456	Licensed Representative	1, 4
LEUNG Alex Kwai Ching	ANX875	Licensed Representative	1
LEUNG Lo Ming	AOD258	Licensed Representative	1, 2, 4
LEUNG Sarah Shue Ying	AQN520	Licensed Representative	1, 2
LEUNG Su Lung	BGA561	Licensed Representative	1
LEUNG Wing Chuen	ACK172	Licensed Representative	3
LEUNG Wing Kai	AQU970	Licensed Representative	4, 9
LEUNG Yiu Tai	BBA741	Licensed Representative	2
LI Cecilia Lok-yee	BBQ230	Licensed Representative	1
LI Chen	BHF473	Licensed Representative	1
LI Chendi	BGB023	Licensed Representative	1, 2
LI Ching Ming, Alfred	AJM287	Licensed Representative	9
LI Guoshu	BFV922	Licensed Representative	1, 6
LI Katherine Bei	AJO552	Licensed Representative	1, 4
LI Kin Chung	AXP334	Licensed Representative	1, 4, 9
LI Man Hei	BFY832	Licensed Representative	1, 6
LI Man Yin	BFA180	Licensed Representative	1, 2, 3
LI Ruotao	BFE679	Licensed Representative	1, 6
LI Wai Ting	AVL112	Licensed Representative	1, 2
LI Weiwei	AXR088	Licensed Representative	9
LI Xiang Hong	AQE320	Licensed Representative	1, 4, 9
LIANG Bingyu	BEL611	Licensed Representative	1
LIANG Jianyi	BFE027	Licensed Representative	1
LIANG Yahui	BHH579	Licensed Representative	1
LIAO Sophia Tzu-ting	ANG369	Licensed Representative	6
LIN Ka Chun	ANR976	Licensed Representative	10
LING Yee Man, May	ANG116	Licensed Representative	1
LIU Ching-wei	BHL388	Licensed Representative	1
LIU Mengjie	BAA083	Licensed Representative	1

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017**

CE Name	CE No.	License Type	Types of Regulated Activity
LIU Sandra Siu Ching	BES752	Licensed Representative	1, 4, 9
LIU Tianyu	AZS856	Licensed Representative	1
LIU Wai Ming	AUW051	Licensed Representative	4
LO Chia-wei	BIR666	Licensed Representative	2
LO Chun Kit	BJB505	Licensed Representative	1
LO Hin Wing, Henry	BCM870	Licensed Representative	1, 2
LO Titus Tin-Li	BGZ330	Licensed Representative	1, 2
LOK Remus Chu Kit	AVI054	Licensed Representative	1, 4
LU Libin	BGY116	Licensed Representative	1, 6
LU Zhichao	BBH879	Licensed Representative	9
LUI Lok Yan	BFK984	Licensed Representative	1
LUI Siu Fung	AWV260	Licensed Representative	1
LUI Yin Ting	AVJ387	Licensed Representative	1
LUK Ting Wai	AZK675	Licensed Representative	1, 4, 9
MA Hiu Nga, Michelle	AWE190	Licensed Representative	1
MA Hok Wang	BFN864	Licensed Representative	1, 4, 6
MA Pak Chuen	AQP687	Licensed Representative	1
MA Pui Choi	AAW456	Licensed Representative	1
MASUYAMA Kana	BFI719	Licensed Representative	1, 4, 6
MATSUO Kikuko	BEF263	Licensed Representative	1
MC GINLEY Robert Vincent	ANR865	Licensed Representative	4, 9
MCKINLEY Brian	BEW825	Licensed Representative	1
MEINHOLD Stefan Carsten	BAU494	Licensed Representative	1
MORAN Lauren Ann	AZK743	Licensed Representative	1
MURATA Yuka	BBK022	Licensed Representative	4
NAM Beverly Man Wai	BKI846	Licensed Representative	1, 9
NG Hiu Shan	AEF325	Licensed Representative	1, 2
NG Hoi Tong	ATO885	Licensed Representative	1, 2
NG Keilem	BBL867	Licensed Representative	1
NG Sze Ting	BIW781	Licensed Representative	1, 2
NG Ying Kit, Walter	ADZ360	Licensed Representative	1
NGAN Tak Kit	BFU973	Licensed Representative	1
NGAN Ying Kit Angus	AOL505	Licensed Representative	1, 4
NING Jingbian	AVT719	Licensed Representative	1, 4
PAN Ying	BEQ552	Licensed Representative	1, 4
PANDA Shashwat	BBS242	Licensed Representative	9
PANG Sze Wan	APW673	Licensed Representative	1
PANG Wai Kit	AOU129	Licensed Representative	1, 2
PANKHURST Andrew Justin	BBE935	Licensed Representative	1
PARK Linda Sharon	AUZ206	Licensed Representative	1
PIPALIYA Viral Pranjivan	BDI528	Licensed Representative	1, 2
POON Kai Wing	AQD375	Licensed Representative	1, 4
QI Hongji	BIS994	Licensed Representative	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
QI Zhengtao	BCP497	Licensed Representative	1, 4
QIN Xiao	AOY193	Licensed Representative	1, 6
REID Jonathan Paul	AVU983	Licensed Representative	1
REN Zhong	BEB658	Licensed Representative	6
RONG Yi	AVP702	Licensed Representative	9
RUTLEDGE James Robert	BAR609	Licensed Representative	1
RYALL Scott John	AWE006	Licensed Representative	4
SANTER Christophe J. M. C.	BHW657	Licensed Representative	1
SEGUCHI Jiro	BCT419	Licensed Representative	1, 4, 6
SHAO Yuan	BHG874	Licensed Representative	1, 2
SHEN Wenjing	AQO382	Licensed Representative	4, 9
SHETE Shantanu Sunil	ATL998	Licensed Representative	1, 2
SHETTY shelly	AXI080	Licensed Representative	10
SHI Qian	BEB239	Licensed Representative	1, 6
SHIGEHISA Yoshiki	APM094	Licensed Representative	9
SHIH Jeffrey Jiun-du	BGD847	Licensed Representative	2
SHIU Yuk Ming	ADV025	Licensed Representative	4
SIDHOM Sonia	BBM222	Licensed Representative	1, 2
SIM Choon	ADQ377	Licensed Representative	1, 6
SIN Man Ho	BIE361	Licensed Representative	1, 6
SIT Hon	BIX237	Licensed Representative	1, 4, 9
SIU Kam Kwai	BEN256	Licensed Representative	1, 4
SMART Andrew John	BIG064	Licensed Representative	4, 9
SODHA Vishal Pravin	APX201	Licensed Representative	1
SONG Jiacheng	BHL671	Licensed Representative	1, 4, 6
SONG Xin	ANA840	Licensed Representative	1, 6
SOUMAGNE Benjamin Christophe	BDI336	Licensed Representative	1, 2, 7
SRINIVAS Arjun	BFU398	Licensed Representative	1, 2
SU Yi	AOZ134	Licensed Representative	4, 9
SUGLA Mamtesh	BDX411	Licensed Representative	9
SUN Mi	BFJ145	Licensed Representative	1
SUN Yan	BIC310	Licensed Representative	1, 2
SURBER Markus	AWK173	Licensed Representative	1, 4, 9
SYLVAIN Frank Antoine Vanon	BAL553	Licensed Representative	1, 2, 4
TAI Cheuk Yee	AUN904	Licensed Representative	1, 2
TAI Kevin	BEL952	Licensed Representative	1, 4
TAM Ka Wai Kenneth	BCS202	Licensed Representative	1, 4, 9
TAM Kin Lam	AQS870	Licensed Representative	1, 2
TAM Kwong Ting	BGJ245	Licensed Representative	4, 9
TAN Mei Lin	AVZ261	Licensed Representative	1, 4
TANG Chi Yan, Ronald	BCI828	Licensed Representative	1, 4
TANG Minyi	AWS939	Licensed Representative	9
TANG Mui Ching	AHR494	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
TANG Pinky Ying Tung	BFI280	Licensed Representative	6
TANG Wai Ming	ABM674	Licensed Representative	2
TANUJAYA TJOENG Johnny	AWN206	Licensed Representative	1
TAY Yew Keng	ANY196	Licensed Representative	1
TEI Mei Lun Miranda	ALV256	Licensed Representative	1
TJIU Cheung Yung	BGF034	Licensed Representative	1
TSANG Sek Yan	BFK484	Licensed Representative	4
TSE Shing Hin	BAV391	Licensed Representative	4, 9
TSE Yuen Ting, Marygrace	AXK461	Licensed Representative	1, 2
TSOI Ching Yeung	BGQ355	Licensed Representative	1
TSOI Kuok Fai	BIS042	Licensed Representative	2
TUNG Ka Sing	BIW978	Licensed Representative	1, 2
TUNTONO Christiaan	AEO918	Licensed Representative	4
WAN Shiu Cheong	AWJ506	Licensed Representative	1
WANG Dong	AMQ647	Licensed Representative	1
WANG Dongchen	AWX611	Licensed Representative	1, 2
WANG Haofan	BAG256	Licensed Representative	1
WANG Lai Ling	ANN465	Licensed Representative	1
WANG Qingpeng	BGB506	Licensed Representative	1
WANG Shengyu	BDV081	Licensed Representative	9
WANG Shiyu	BAB236	Licensed Representative	1, 4, 6
WANG Weijia	BEB090	Licensed Representative	4
WANG Wing Yee	BIW787	Licensed Representative	1, 2
WANG Yiren	BES303	Licensed Representative	1, 2, 4
WARHURST Paul Simon	APN838	Licensed Representative	1
WATANABE Takayuki	BBQ516	Licensed Representative	1, 4, 6
WEBB Anthony Patrick	BGI051	Licensed Representative	2
WEI Xiao	BIX272	Licensed Representative	1, 4, 9
WILLIAMS Katherine Juri	ANR851	Licensed Representative	4, 5, 9
WITTET Mark James Oakley	AWR862	Licensed Representative	1
WO Xiaoyuan	BFD626	Licensed Representative	1, 4, 9
WONG Ka Yee, Anthea	BFW344	Licensed Representative	1, 6
WONG Ching Yi	BIE418	Licensed Representative	3, 4, 9
WONG Geoffrey Man-ho	AYX101	Licensed Representative	6
WONG Keith Shing Cheung	BIN826	Licensed Representative	9
WONG Man Kit	BBZ885	Licensed Representative	1, 4
WONG Man Ling, Ernie	AGH685	Licensed Representative	1, 4
WONG On Na, Anna	AMS261	Licensed Representative	1, 3
WONG Ping Wai	AUV946	Licensed Representative	1
WONG Po Ki	AYM325	Licensed Representative	1
WONG Ting Yuen	BDQ122	Licensed Representative	1
WONG Wai Kin	BJF046	Licensed Representative	1, 4
WONG Yan Lee	AFD224	Licensed Representative	3



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
WONG Yin Ching	ALU545	Licensed Representative	1, 2
WONG Yun Tan	AXD720	Licensed Representative	1, 4
WOO Mei Yee	BEA318	Licensed Representative	1
WU Chi Ho	BDD657	Licensed Representative	1
WU Han-Yin	BAA503	Licensed Representative	1, 2
WU Hoi Kit	BJH727	Licensed Representative	4, 9
WU Hok Leung	AAI536	Licensed Representative	1
WU Kit Yung	BAC316	Licensed Representative	1
WU Kwong Chau	AGH135	Licensed Representative	1, 2
WU Shuk Ching	AEM398	Licensed Representative	1
WU Yu, Robin	ATO859	Licensed Representative	9
XIA Rui	BHP137	Licensed Representative	9
XIAO Zhuopu	AVV209	Licensed Representative	4
XU Dong	ARN422	Licensed Representative	4, 5, 9
XU Feiyu	BEF307	Licensed Representative	1, 6
XU Jingxin	BHZ082	Licensed Representative	1, 6
XU Man	BBB349	Licensed Representative	4
XU Xiao	BID813	Licensed Representative	9
YAMADA Masahiro	BEI882	Licensed Representative	1, 6
YAMAGUCHI Miho	BBD148	Licensed Representative	1, 2, 7
YANG Lei	BBL974	Licensed Representative	4
YANG Qiong Ning	BJO794	Licensed Representative	1
YANG Yalong	AZU647	Licensed Representative	6
YANG Yanwen	BKO832	Licensed Representative	1, 4, 9
YANG Yen-Ju	ARV136	Licensed Representative	9
YEUNG Bik Ling	BHM949	Licensed Representative	1, 4
YIN Rui	BHI097	Licensed Representative	4
YIP Fung Wai	BFR107	Licensed Representative	1, 4
YIP Ngo Ting	BAR392	Licensed Representative	3
YIP Ross Adrian	BDU515	Licensed Representative	1, 6
YIP Sik Kwan	ABR708	Licensed Representative	1
YIU Chun Kit Ernest	AZG432	Licensed Representative	1, 2
YOU Chou Wen	AQG774	Licensed Representative	1, 4, 9
YOUNG Rex Vincent	AUZ708	Licensed Representative	4, 6
YU Chi Leung	ARR604	Licensed Representative	1
YU Meng	APF778	Licensed Representative	1, 4
YUEN Chun Hei	AVK514	Licensed Representative	1
YUEN Wan Ki	BGX741	Licensed Representative	1, 4
YUNG Chris Ken	BBR225	Licensed Representative	2
ZHANG Cheng	AYG624	Licensed Representative	3
ZHANG Di	AZC799	Licensed Representative	6
ZHANG Fan	AWL268	Licensed Representative	2
ZHANG Jia Jun	AZR343	Licensed Representative	3



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
ZHANG Mei	AOD119	Licensed Representative	1, 6
ZHANG Xiangjie	BGE918	Licensed Representative	1, 4, 6
ZHANG Yi	AXQ878	Licensed Representative	1, 4, 6
ZHANG Yijing	AXZ682	Licensed Representative	1, 6
ZHANG You	AMF141	Licensed Representative	1, 2
ZHAO Dazhen	BIO846	Licensed Representative	4
ZHAO Pengfei	BKA562	Licensed Representative	1, 2, 7
ZHENG Zhongliang	BHM959	Licensed Representative	1
ZHONG Yingjie	AJD694	Licensed Representative	1, 6
ZHOU Fuxing	BHX208	Licensed Representative	1, 4, 6
ZHOU Min	BDT648	Licensed Representative	1, 4
ZHU Diwu	AWG058	Licensed Representative	1, 4
ZHU Xinqi	BEV314	Licensed Representative	1, 4
ALTMAN Jeffrey Alan	AWS978	Licensed Representative - Responsible Officer	9
ANSON George Rupert	BAE290	Licensed Representative - Responsible Officer	1
CHEN Ronghua	BBD166	Licensed Representative - Responsible Officer	9
CHEN Yat Sun	ABU367	Licensed Representative - Responsible Officer	1, 4, 9
CHEUNG Hor Yee, Patricia	ANE746	Licensed Representative - Responsible Officer	4, 9
CHIEN Li-Min	APR338	Licensed Representative - Responsible Officer	1, 4
CHIU Chin-Feng	BAV648	Licensed Representative - Responsible Officer	2, 5
CHOI John Kyu-seong	BCY954	Licensed Representative - Responsible Officer	1, 4
CHOON Yew Khee	AFR464	Licensed Representative - Responsible Officer	1, 4
CHUAH Keng Hin	AYG549	Licensed Representative - Responsible Officer	1, 2, 9
EAST Jeremy John	BBL064	Licensed Representative - Responsible Officer	2, 5
FARRELL Rachel Selah	AXS836	Licensed Representative - Responsible Officer	1, 4, 9
FONG Wai Lok, Raymond	AFT306	Licensed Representative - Responsible Officer	1
FU Jinfeng	ATR255	Licensed Representative - Responsible Officer	2
FUNG Wei Lung, Brian	AAX341	Licensed Representative - Responsible Officer	1, 2, 4, 9
GARRETT Jacqueline	AME480	Licensed Representative - Responsible Officer	9
GAZEL Renaud Jerome	BHG353	Licensed Representative - Responsible Officer	9
GOBBI Sebastien	BFH464	Licensed Representative - Responsible Officer	4, 9
HE Da	AVN426	Licensed Representative - Responsible Officer	9
HO Chi Kit	ADD586	Licensed Representative - Responsible Officer	4, 6, 9
HO Lai Ha	APW241	Licensed Representative - Responsible Officer	6
HOLDGATE Murray Edward James	AVJ112	Licensed Representative - Responsible Officer	1, 2, 7
ICHOUA Arnaud Sylvain	BEV783	Licensed Representative - Responsible Officer	1, 2, 4, 5
JONES Andrew Mark	BDO007	Licensed Representative - Responsible Officer	1, 6
KANEHIRA Shuichi	BDJ310	Licensed Representative - Responsible Officer	1, 2
KIM Young A	ARL484	Licensed Representative - Responsible Officer	1, 4
KOH Lee Guan, Kelvin	AED840	Licensed Representative - Responsible Officer	4, 9
KRKALO Hrvoje	AJO212	Licensed Representative - Responsible Officer	1, 4
KUBO Matthew Yukio	AZC338	Licensed Representative - Responsible Officer	9
KURASAKO Hideaki	APN840	Licensed Representative - Responsible Officer	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWOK CHAN Yuen Kwan, Serena	AAH060	Licensed Representative - Responsible Officer	4, 9
KWOK Man Yu	ALY348	Licensed Representative - Responsible Officer	3
KWONG Tse San, Toby	APD289	Licensed Representative - Responsible Officer	1
LAM Fu Ming	ADW923	Licensed Representative - Responsible Officer	4, 9
LAM Ting Kin, James	APG202	Licensed Representative - Responsible Officer	9
LAM WONG Wei Ching, Carmen	ALS709	Licensed Representative - Responsible Officer	1, 4
LASHINSKI Edward William	BHZ325	Licensed Representative - Responsible Officer	9
LAU Hang	ALP916	Licensed Representative - Responsible Officer	1
LAU Ning Fung, Roland	ASZ252	Licensed Representative - Responsible Officer	1
LEI Yang	BGH895	Licensed Representative - Responsible Officer	6
LEUNG Tsun Ip	AOD136	Licensed Representative - Responsible Officer	1, 4, 9
LI Can	BBU883	Licensed Representative - Responsible Officer	9
LI Ding Yiu	ABF944	Licensed Representative - Responsible Officer	1, 4
LI Weiwei	AXR088	Licensed Representative - Responsible Officer	4
LI Woon Chi	AAN717	Licensed Representative - Responsible Officer	2
LIM Chong Boon, Dennis	ACY685	Licensed Representative - Responsible Officer	9
LOO Kwok Wing	AAV946	Licensed Representative - Responsible Officer	1, 4
LUO Yong	ANR807	Licensed Representative - Responsible Officer	1, 4, 6
MAK Hon Shing	ACR858	Licensed Representative - Responsible Officer	1, 2
MOK Sin Hing, Jennie	AMG922	Licensed Representative - Responsible Officer	1
NG Kwok Fong	AMB427	Licensed Representative - Responsible Officer	1
OSHIBA Atsushi	AXI595	Licensed Representative - Responsible Officer	9
SEET Li Lin	AZT436	Licensed Representative - Responsible Officer	1, 4
SHUM Fong Fun	AAG670	Licensed Representative - Responsible Officer	1
SU Paul Qi	AGC573	Licensed Representative - Responsible Officer	1, 6
SUN Xiaomin	AOD522	Licensed Representative - Responsible Officer	1, 2, 3, 4, 5, 9
TANG Minyi	AWS939	Licensed Representative - Responsible Officer	4
TIAN Ye	ASX337	Licensed Representative - Responsible Officer	9
TING Horace	BGA921	Licensed Representative - Responsible Officer	1
TING Xun-yi	BEL725	Licensed Representative - Responsible Officer	4, 9
TONG Ching Po	AAA202	Licensed Representative - Responsible Officer	1
WANG Christopher Min Fang	AEM872	Licensed Representative - Responsible Officer	9
WANG Dong	AMQ647	Licensed Representative - Responsible Officer	6
WONG Chung Ho, Ian	AMC785	Licensed Representative - Responsible Officer	4, 9
WONG Wai Keung	ACL006	Licensed Representative - Responsible Officer	1
WONG Yau Nam	ACK445	Licensed Representative - Responsible Officer	3
YAO Chung-jyh	ABM828	Licensed Representative - Responsible Officer	1, 4
YIP Ha Yee	AJD275	Licensed Representative - Responsible Officer	1
ZENG Yu	AVY463	Licensed Representative - Responsible Officer	1, 4, 9
ZHANG Cheng	AYG624	Licensed Representative - Responsible Officer	2
ZHANG Dongmei	ASI046	Licensed Representative - Responsible Officer	9
ZHANG Xuejin	BHE183	Licensed Representative - Responsible Officer	2, 5
AU Wilson Wai Kwan	ATY188	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
BEERLI Christian	BKT618	Licensed Representative (Provisional Licence)	1
BEH Seog Won	BJZ485	Licensed Representative (Provisional Licence)	1, 4, 9
BHANDARI Samir	BLC120	Licensed Representative (Provisional Licence)	1, 4
BHARGAVA Siddharth	AFP173	Licensed Representative (Provisional Licence)	4, 9
BIN MAHADZIR Aiman	BKW446	Licensed Representative (Provisional Licence)	1, 6
BURGES Till	BKW807	Licensed Representative (Provisional Licence)	1, 9
BYERS James Christopher Kemp	BKT316	Licensed Representative (Provisional Licence)	1, 2
CAI Yijing	BKX010	Licensed Representative (Provisional Licence)	1, 6
CAO Qing	BJT447	Licensed Representative (Provisional Licence)	1, 4
CAO Xueyue	BKR593	Licensed Representative (Provisional Licence)	10
CASSIDY Piers Maximilian Anthony	BKY621	Licensed Representative (Provisional Licence)	1
CHAN Andrew King Yue	BLB128	Licensed Representative (Provisional Licence)	1
CHAN Cheuk Lam Jacqueline	BKU849	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Chung Chuen	BKY826	Licensed Representative (Provisional Licence)	1, 4
CHAN Hio Man	BKZ227	Licensed Representative (Provisional Licence)	1
CHAN Hoi Na	BLD347	Licensed Representative (Provisional Licence)	1, 2
CHAN Kaai Tat	BLB652	Licensed Representative (Provisional Licence)	1, 4
CHAN Kin Leung	APC074	Licensed Representative (Provisional Licence)	4, 9
CHAN Michael	BKW534	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Pui Kuen	AXR733	Licensed Representative (Provisional Licence)	1, 6
CHAN Pui Yee	BKP405	Licensed Representative (Provisional Licence)	1, 4, 6, 9
CHAN Shu Ting	BKY634	Licensed Representative (Provisional Licence)	4
CHAN To	AMF426	Licensed Representative (Provisional Licence)	4, 9
CHAN Tsz Kwan	BKY617	Licensed Representative (Provisional Licence)	1, 2
CHAN Wai Kwan	AQQ789	Licensed Representative (Provisional Licence)	1, 6
CHAN Yin Fan	BKZ663	Licensed Representative (Provisional Licence)	9
CHAN Ying Kuk, Joyce	AVL275	Licensed Representative (Provisional Licence)	1, 2
CHANG Alexander Paul	ALZ627	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Cheng Hao	BKC962	Licensed Representative (Provisional Licence)	1
CHANG Kai-Tong	BKY236	Licensed Representative (Provisional Licence)	1, 4
CHEN Amanda	AWF103	Licensed Representative (Provisional Licence)	1, 9
CHEN Bin	BLD345	Licensed Representative (Provisional Licence)	1, 4
CHEN Boyu	BKQ751	Licensed Representative (Provisional Licence)	1, 6
CHEN Chia-Jung	BKI613	Licensed Representative (Provisional Licence)	1, 6
CHEN Chi-Min	ANM097	Licensed Representative (Provisional Licence)	1
CHEN Hongsheng	BKV451	Licensed Representative (Provisional Licence)	1
CHEN Hsin Han	BKR006	Licensed Representative (Provisional Licence)	1, 2
CHEN Kailin	BKY619	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Michael Adam	BKY330	Licensed Representative (Provisional Licence)	1, 9
CHEN Pengjia	BKW919	Licensed Representative (Provisional Licence)	1, 2
CHEN Qianqian	AUV895	Licensed Representative (Provisional Licence)	4, 9
CHEN Ruixin	BKB357	Licensed Representative (Provisional Licence)	1, 2
CHEN Xinyi	BKW998	Licensed Representative (Provisional Licence)	1

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017**

CE Name	CE No.	License Type	Types of Regulated Activity
CHEN Ya Hui	AQW059	Licensed Representative (Provisional Licence)	1, 2
CHEN Yalei	ARW897	Licensed Representative (Provisional Licence)	4
CHEN Yang	BKL280	Licensed Representative (Provisional Licence)	10
CHEN Zefeng	BKC249	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Jonathan Tze-yeung	BKU690	Licensed Representative (Provisional Licence)	1, 2
CHENG Kiu Sum	BKS895	Licensed Representative (Provisional Licence)	1, 4
CHENG Richard JR	BLB110	Licensed Representative (Provisional Licence)	1, 6
CHENG Wai Han Cecilia	APY533	Licensed Representative (Provisional Licence)	1
CHENG Wing Lam	BJO849	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Yu King, Alvin	APQ273	Licensed Representative (Provisional Licence)	1
CHEUNG Chi Kin	BKT187	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Tsun Hei, Keith	BLE084	Licensed Representative (Provisional Licence)	1, 4
CHIN Man Fai	BAP590	Licensed Representative (Provisional Licence)	1, 2
CHIU Sai Ka	BLD324	Licensed Representative (Provisional Licence)	1, 2
CHO Harry Sang Hyun	AYG010	Licensed Representative (Provisional Licence)	1, 2
CHONG Hon Sang, Donny	BFA513	Licensed Representative (Provisional Licence)	1
CHONG Yu Cheong	BKW511	Licensed Representative (Provisional Licence)	1, 2
CHOU Ho Cheong, Vincent	BLC112	Licensed Representative (Provisional Licence)	1, 2, 4
CHU Ka Kin	AVP248	Licensed Representative (Provisional Licence)	4, 5, 9
CHUA Yi Zhi, Maria	ASU036	Licensed Representative (Provisional Licence)	1, 4
CHUANG James	BLA372	Licensed Representative (Provisional Licence)	1
CHUNG Jaewon	AXE001	Licensed Representative (Provisional Licence)	1, 6
CHUNG Seun Jing	BKV413	Licensed Representative (Provisional Licence)	9
CHUNG Wai Bu Gloria	BJV742	Licensed Representative (Provisional Licence)	1, 9
COULDREY Ranulf David Richard	BKY385	Licensed Representative (Provisional Licence)	4, 6
CROSS Bryan Andrew	BKV841	Licensed Representative (Provisional Licence)	1, 4, 9
CUTHBERT Ailsa Ross	AAB783	Licensed Representative (Provisional Licence)	4, 9
DALAL Alok Mukul	BKQ449	Licensed Representative (Provisional Licence)	4
DAR Tabish Zafar	BKQ456	Licensed Representative (Provisional Licence)	1
DESMEDT Pierre Baptiste	BKV681	Licensed Representative (Provisional Licence)	1
DHOOT Anuradha	BKU744	Licensed Representative (Provisional Licence)	1, 2
DI Yi	BKY361	Licensed Representative (Provisional Licence)	1, 6
DONG Naiyu	BKZ892	Licensed Representative (Provisional Licence)	1, 4, 6
DOU Wenjing	BLA386	Licensed Representative (Provisional Licence)	4
DU Yufei	BKV284	Licensed Representative (Provisional Licence)	1
DUBUISSON Boris Bruno Michel	BKW512	Licensed Representative (Provisional Licence)	9
DWIVEDI Pranshu	BET343	Licensed Representative (Provisional Licence)	1
FANG Shuai	BKV676	Licensed Representative (Provisional Licence)	1, 2, 7
FANG Yihao	BKU183	Licensed Representative (Provisional Licence)	1, 2, 3
FREISCHMIDT Benjamin Hall	AIR951	Licensed Representative (Provisional Licence)	9
FU Cheuk Yau, Erica	BLB689	Licensed Representative (Provisional Licence)	1, 2
FU Yubin	BLB155	Licensed Representative (Provisional Licence)	5
FUNG Ho Ting	BKB324	Licensed Representative (Provisional Licence)	1, 4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
FUNG Hoi Leuk Harry	AVU800	Licensed Representative (Provisional Licence)	1, 2
FUNG Man Kei	AOW960	Licensed Representative (Provisional Licence)	1
FUNG Mei Han	AFR011	Licensed Representative (Provisional Licence)	1
FUNG Sing Chung	ANE087	Licensed Representative (Provisional Licence)	1, 2, 7
FUNG Yuet Ying	BLA649	Licensed Representative (Provisional Licence)	4
GAO Xiang	BKV988	Licensed Representative (Provisional Licence)	4
GE Guan hong	BLA403	Licensed Representative (Provisional Licence)	6
GE Wenjie	AYI043	Licensed Representative (Provisional Licence)	9
GEHL Mederic Gaetan	BEJ894	Licensed Representative (Provisional Licence)	1, 2, 4
GIBBS Robert John Stuart	BJV533	Licensed Representative (Provisional Licence)	1, 4
GIBSON Timothy Alan	BKJ129	Licensed Representative (Provisional Licence)	1, 4
GOETZ Beat	BKV836	Licensed Representative (Provisional Licence)	1, 4, 9
GOH Jia Yi	BKT926	Licensed Representative (Provisional Licence)	1, 4, 6
GOURLAY Yoshie	BKY362	Licensed Representative (Provisional Licence)	1, 4, 9
GUAN Yinchu	BKT401	Licensed Representative (Provisional Licence)	1, 4
GUILLEMINOT-SIMON Bastien Pierre	BKS045	Licensed Representative (Provisional Licence)	1, 2
GUO Chase Yuze	BKO884	Licensed Representative (Provisional Licence)	1, 4
GUO Gunan	BKW982	Licensed Representative (Provisional Licence)	1, 4, 9
HALL Adam Peter	ATX841	Licensed Representative (Provisional Licence)	1
HAO Lydia Zhuotao	BKW504	Licensed Representative (Provisional Licence)	1, 4, 9
HAO Sijia	BKW541	Licensed Representative (Provisional Licence)	1, 4
HARRIS David Shannon	ARS574	Licensed Representative (Provisional Licence)	1
HE Liyao	BKK633	Licensed Representative (Provisional Licence)	1, 6
HE Weiyi	BKU102	Licensed Representative (Provisional Licence)	1, 4, 9
HEUNG Chun Wai	ADZ579	Licensed Representative (Provisional Licence)	10
HILL Louisa Alice	BKW654	Licensed Representative (Provisional Licence)	1, 2
HILMAN Nicholas David	BKY127	Licensed Representative (Provisional Licence)	1, 4, 9
HO Ping Kwan	ALN369	Licensed Representative (Provisional Licence)	1, 2
HO YIN KI	BKT703	Licensed Representative (Provisional Licence)	9
HOFER Stefan Paul	BKR862	Licensed Representative (Provisional Licence)	1, 4
HSIAO Eugene Yow Seng	AOP006	Licensed Representative (Provisional Licence)	4, 9
HSIEH Siou-Ping	AUR646	Licensed Representative (Provisional Licence)	1
HSU Pei-Ju	APY469	Licensed Representative (Provisional Licence)	1
HSU Shu	BKE042	Licensed Representative (Provisional Licence)	1
HU Dongbing	BKN641	Licensed Representative (Provisional Licence)	10
HU Renyun	BKU718	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Hsing-Han	BKY224	Licensed Representative (Provisional Licence)	6
HUANG Tsung-Kai	BKT096	Licensed Representative (Provisional Licence)	2, 5
HUANG Xiayue	BKU111	Licensed Representative (Provisional Licence)	6
HUANG Yun Tong	BKY315	Licensed Representative (Provisional Licence)	1, 6
HUANG Zhuoqun	BKI996	Licensed Representative (Provisional Licence)	10
HUI Chun-kit, Lawrence	BKY996	Licensed Representative (Provisional Licence)	1, 4
HUI Hin Long	BKY354	Licensed Representative (Provisional Licence)	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
HUI Jonathan Kim Pong	AJF934	Licensed Representative (Provisional Licence)	1, 2
HUI TAK WAI	BKY318	Licensed Representative (Provisional Licence)	1
HUNG Tak Wai	BLC110	Licensed Representative (Provisional Licence)	1
IP Alan Wai Luen	AQY200	Licensed Representative (Provisional Licence)	9
IP Chi Lui	BLA299	Licensed Representative (Provisional Licence)	1, 4
IP Kwok Pong	BKY596	Licensed Representative (Provisional Licence)	4
ISNARD Maxime Vladimir Sidney	BKW311	Licensed Representative (Provisional Licence)	1, 2, 9
JANAMANCHI Siddardha	BKS026	Licensed Representative (Provisional Licence)	1, 2
JANG JI HO	BKS152	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG HUIPING	BKM131	Licensed Representative (Provisional Licence)	4
JIANG Lixue	BKP869	Licensed Representative (Provisional Licence)	1
JIANG Zhiyue	BKU700	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Yuanjie	BIS262	Licensed Representative (Provisional Licence)	1, 9
JING Jun	BKQ509	Licensed Representative (Provisional Licence)	1, 2
JUNG Jaekyung	BKI059	Licensed Representative (Provisional Licence)	1, 6
KAM Suet Ying	BLA367	Licensed Representative (Provisional Licence)	1, 4
KIM David In-kyu	BKV893	Licensed Representative (Provisional Licence)	1, 4
KIM Erica Jeewon	AQQ470	Licensed Representative (Provisional Licence)	4, 9
KIM Min Chul	BKY242	Licensed Representative (Provisional Licence)	1, 4, 9
KIM Min Hye	BKY300	Licensed Representative (Provisional Licence)	1
KITAHARA Yoshitaka	BKY302	Licensed Representative (Provisional Licence)	1
KLINGENBERG-TIMM Benno	BGD845	Licensed Representative (Provisional Licence)	1, 4
KO Sin Pan	BLB435	Licensed Representative (Provisional Licence)	1, 6
KOH Chee Sin	BLE454	Licensed Representative (Provisional Licence)	1, 4, 9
KONG Yin Yu	ARD477	Licensed Representative (Provisional Licence)	9
KOU Yuanyuan	BKX467	Licensed Representative (Provisional Licence)	9
KUANG Hanfangzi	BKW287	Licensed Representative (Provisional Licence)	1, 4
KUSAKABE Haruo	BKS080	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Man Ching	BEA623	Licensed Representative (Provisional Licence)	1, 2
LAM Hiu Ha, Kate	ABG384	Licensed Representative (Provisional Licence)	1, 2
LAM Joshua	BKW778	Licensed Representative (Provisional Licence)	6
LAM Ting Wai, Anita	AOE462	Licensed Representative (Provisional Licence)	1, 4
LAMADJIDO Romy Fatinhizam	BKL147	Licensed Representative (Provisional Licence)	1, 6
LAU Chiu Ho	BKY129	Licensed Representative (Provisional Licence)	4, 9
LAU Chui Lai	BLB634	Licensed Representative (Provisional Licence)	1, 4
LAU Kwong Wang Joshua	BKO573	Licensed Representative (Provisional Licence)	6
LAU Kwun Ping	BLB487	Licensed Representative (Provisional Licence)	4
LEE Chui Shan	AGG697	Licensed Representative (Provisional Licence)	1
LEE Ka Ning	BKY346	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Kie Hang Kath	BKZ972	Licensed Representative (Provisional Licence)	1
LEE Kwan Fong	ARY266	Licensed Representative (Provisional Licence)	1
LEE Soohee	AIZ796	Licensed Representative (Provisional Licence)	4, 9
LEE Wing Sum Vanessa	BKO694	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LEE Yuen Ling	BKU863	Licensed Representative (Provisional Licence)	1, 6
LEOTTA Sebastian John	BKP941	Licensed Representative (Provisional Licence)	4, 9
LEUNG Choi Ming	BKW018	Licensed Representative (Provisional Licence)	1, 4
LEUNG Hing Man	AUH927	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Ping Fai	ARG750	Licensed Representative (Provisional Licence)	4, 9
LEUNG Po Chi	BKU079	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Soi Chi	BKW301	Licensed Representative (Provisional Licence)	1
LEUNG Yu Shun	BKL783	Licensed Representative (Provisional Licence)	1, 4, 9
LI Botao	BKW478	Licensed Representative (Provisional Licence)	1, 4, 6
LI Enhui	BKT285	Licensed Representative (Provisional Licence)	6
LI Hongxu	BKX392	Licensed Representative (Provisional Licence)	2, 5
LI Lingxuan	BKV919	Licensed Representative (Provisional Licence)	1, 6
LI Lucheng	BKL414	Licensed Representative (Provisional Licence)	1, 6
LI Sai Chung	AMO749	Licensed Representative (Provisional Licence)	1
LI Shiyan	BKK506	Licensed Representative (Provisional Licence)	1
LI Shuxi	BKT719	Licensed Representative (Provisional Licence)	1
LI Wai Kit	BLC096	Licensed Representative (Provisional Licence)	1
LI Xintong	BKU819	Licensed Representative (Provisional Licence)	6
LI Xue	BKY638	Licensed Representative (Provisional Licence)	1, 6
LIM Junsea	BKY382	Licensed Representative (Provisional Licence)	1, 2
LIM Shan-Jia, Alvin	AZW886	Licensed Representative (Provisional Licence)	1, 4
LIN Jing Yuh	AOX222	Licensed Representative (Provisional Licence)	4, 6, 9
LIN John Edward	BKI656	Licensed Representative (Provisional Licence)	1, 6
LIN Minjie	BBK729	Licensed Representative (Provisional Licence)	4, 9
LIN Zhe	BKU715	Licensed Representative (Provisional Licence)	1, 4, 6
LIO Lok Tin	BKV993	Licensed Representative (Provisional Licence)	1, 4
LIU BING	BKY745	Licensed Representative (Provisional Licence)	4, 9
LIU Feifei	BGM914	Licensed Representative (Provisional Licence)	1
LIU Hanyi	BKS592	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Jialu	BKX396	Licensed Representative (Provisional Licence)	1, 2
LIU Jiaming	BKV292	Licensed Representative (Provisional Licence)	1, 6
LIU Junming	BKW160	Licensed Representative (Provisional Licence)	1, 6
LIU Li	BKP926	Licensed Representative (Provisional Licence)	1, 4
LIU Mengxiao	BKT334	Licensed Representative (Provisional Licence)	1, 2
LIU Po Yu	BKY981	Licensed Representative (Provisional Licence)	1, 2
LIU Weiwei	BKW279	Licensed Representative (Provisional Licence)	1, 6
LIU Xi	BKW445	Licensed Representative (Provisional Licence)	1, 6
LIU Yicheng	BKG339	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Zhe	BKW273	Licensed Representative (Provisional Licence)	1
LO Chiu Lam, Alex	BKN521	Licensed Representative (Provisional Licence)	1, 6
LO Wai Tak, Michael	AFT404	Licensed Representative (Provisional Licence)	4, 9
LOW Christopher Swee Kiat	BKW526	Licensed Representative (Provisional Licence)	1, 2
LU Nan	BKS599	Licensed Representative (Provisional Licence)	1, 4, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
LUAN Tian	BKV078	Licensed Representative (Provisional Licence)	1, 4, 9
LUI Yue Kwong, Christopher	AOS677	Licensed Representative (Provisional Licence)	4
MAI Jiabao	BKZ976	Licensed Representative (Provisional Licence)	1, 2
MENG Bingyu	AWS029	Licensed Representative (Provisional Licence)	1
MIN Brett Jae	AJD743	Licensed Representative (Provisional Licence)	9
MOIZANT Michael, Jean, Francois	BKT570	Licensed Representative (Provisional Licence)	1, 2
MOK Tze Chung	AZF440	Licensed Representative (Provisional Licence)	1, 4
MOK Vincent Hiu Fung	BKY136	Licensed Representative (Provisional Licence)	1, 2
MU Sihan	BKT332	Licensed Representative (Provisional Licence)	1, 2
MURAI Ken Ofriel	BKU707	Licensed Representative (Provisional Licence)	1, 2, 7
MURARI Pranav	BAX549	Licensed Representative (Provisional Licence)	4, 9
NAKATOMI Ryosuke	BKV309	Licensed Representative (Provisional Licence)	1
NG Jason W H	AWD539	Licensed Representative (Provisional Licence)	9
NG Kin Fun	AXW833	Licensed Representative (Provisional Licence)	1, 4
NG Tsz Ho	BKT162	Licensed Representative (Provisional Licence)	1, 4
NG Wang Chiu	BKS775	Licensed Representative (Provisional Licence)	1
O Wai Mun	BLB449	Licensed Representative (Provisional Licence)	1
OKAZAWA Kyoya	BKP028	Licensed Representative (Provisional Licence)	1, 2
ON Chin Bong	BKR852	Licensed Representative (Provisional Licence)	6
OZASA Akihiro	BJU915	Licensed Representative (Provisional Licence)	1
PANG Christopher	BKY624	Licensed Representative (Provisional Licence)	1
PANG Wenjuan	BKW525	Licensed Representative (Provisional Licence)	1
PANG Yu Bing Athena	BKP942	Licensed Representative (Provisional Licence)	4, 9
PARKER Kirsten Bunty	BEZ493	Licensed Representative (Provisional Licence)	6
PATEL Afshan Yusuf	BLB208	Licensed Representative (Provisional Licence)	1
PENG Cheng	BKT370	Licensed Representative (Provisional Licence)	1, 4, 6
PENG Ruoyang	BKN607	Licensed Representative (Provisional Licence)	1, 2
PENG Yuyu	ATV436	Licensed Representative (Provisional Licence)	1, 4
QI Ruixiao	BLE417	Licensed Representative (Provisional Licence)	1, 6
QIAN Junhong	BKR991	Licensed Representative (Provisional Licence)	4
RA Hye Rin	BAC302	Licensed Representative (Provisional Licence)	1, 7
RADE Guillaume Roland	BKI755	Licensed Representative (Provisional Licence)	1, 4, 9
RAMOS Joyce Anne Jose	BKY336	Licensed Representative (Provisional Licence)	4
RASTOGI Sagar Yogesh	BKV097	Licensed Representative (Provisional Licence)	4, 5, 9
REUS ALEXANDER JAMES HOWARTH	BKZ628	Licensed Representative (Provisional Licence)	6
RUNGTA NITIN	BLB608	Licensed Representative (Provisional Licence)	2, 5
SARWAR Shejuti Shehreen	AYD305	Licensed Representative (Provisional Licence)	1, 6
SEO Se Heun	BKU705	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Jun	AYP961	Licensed Representative (Provisional Licence)	1, 4, 9
SHEN Ruyi	BKY357	Licensed Representative (Provisional Licence)	1, 4
SHEN Yang	BKW016	Licensed Representative (Provisional Licence)	1, 2
SHEN Yiqing	BKW685	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Wenting	BKX436	Licensed Representative (Provisional Licence)	1, 6

**REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017**

CE Name	CE No.	License Type	Types of Regulated Activity
SHI Yunhan	BLA252	Licensed Representative (Provisional Licence)	9
SIDHU Tajinder Singh	BKV870	Licensed Representative (Provisional Licence)	1, 9
SIETTE Juliet	BBK303	Licensed Representative (Provisional Licence)	1, 4
SLOW Andy Jinhui	ANR867	Licensed Representative (Provisional Licence)	1, 4
SIU Chung Wa	BGA702	Licensed Representative (Provisional Licence)	4
SO Adrian Lap Kuen	BKR795	Licensed Representative (Provisional Licence)	1
SOBTI GAGANDEEP SINGH	BKW825	Licensed Representative (Provisional Licence)	1, 2
SUGARMAN Richard Neil	BKX038	Licensed Representative (Provisional Licence)	1, 7
SULTAN Asad Turab	ADW848	Licensed Representative (Provisional Licence)	1
SUN Chi Hang	BKY618	Licensed Representative (Provisional Licence)	9
SUN Xiao Xiao	BKV797	Licensed Representative (Provisional Licence)	1, 4
SUN Xinyi	BKA529	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Yicheng	BKU344	Licensed Representative (Provisional Licence)	1
TAI Wai Ho	BKJ685	Licensed Representative (Provisional Licence)	4
TAM Ho Yi	BKY892	Licensed Representative (Provisional Licence)	1
TAM Oi Lam	BKP876	Licensed Representative (Provisional Licence)	1
TAM Wing Kin	BAF087	Licensed Representative (Provisional Licence)	1, 4
TAN Heng Hui, Adeline	BKW932	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Wei Jie, Ivan	BKP360	Licensed Representative (Provisional Licence)	1, 2
TANG Desmond Shiu Ching	BLA307	Licensed Representative (Provisional Licence)	1, 4
TANG Dik Ying	BKY298	Licensed Representative (Provisional Licence)	6
TANG Rundong	BLC422	Licensed Representative (Provisional Licence)	1, 6
TANG Wing Chi	BJU929	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Wing Lun	BKU866	Licensed Representative (Provisional Licence)	4
TAUB Vanessa Lee	ATC736	Licensed Representative (Provisional Licence)	1
TENG Song	BKW513	Licensed Representative (Provisional Licence)	1, 2
TO Yat Hong	AXP981	Licensed Representative (Provisional Licence)	1
TONG Wing Shing	BKU026	Licensed Representative (Provisional Licence)	10
TONG Yilun	BKY135	Licensed Representative (Provisional Licence)	1, 4, 9
TOPPING Matthew George Peter	BAO907	Licensed Representative (Provisional Licence)	1, 2
TSANG Hok Man	BLE130	Licensed Representative (Provisional Licence)	1
TSE Ka Yi Nakita	BKZ927	Licensed Representative (Provisional Licence)	1, 4
TSE Man Nok	BKU363	Licensed Representative (Provisional Licence)	1, 4
VARGA Edit	BLB529	Licensed Representative (Provisional Licence)	1, 2, 7
WAN Ho Yin	BKW755	Licensed Representative (Provisional Licence)	1
WAN San Ming, Sandy	ADI757	Licensed Representative (Provisional Licence)	1
WAN Yiding	BKW037	Licensed Representative (Provisional Licence)	1
WANG Bochen	BKY625	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Duoduo	BKY309	Licensed Representative (Provisional Licence)	1, 6
WANG Jeffrey Feng	BKZ563	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Shengyu	BKT146	Licensed Representative (Provisional Licence)	1, 6
WANG Xiance	BKS605	Licensed Representative (Provisional Licence)	1, 6
WANG Xiaoxuan	BJI011	Licensed Representative (Provisional Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
WANG Yi	BIH253	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Ying	BFE891	Licensed Representative (Provisional Licence)	10
WANG Ying	BJ197	Licensed Representative (Provisional Licence)	9
WANG Yuhan	BKV088	Licensed Representative (Provisional Licence)	1, 4
WANG Yuliang	BKT468	Licensed Representative (Provisional Licence)	1, 4, 9
WENG Hsiao Wen	BKV142	Licensed Representative (Provisional Licence)	4
WONG Chi Shing	BKP658	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Hiu Yan	BLC361	Licensed Representative (Provisional Licence)	4, 9
WONG Ka Ho	BKY128	Licensed Representative (Provisional Licence)	4, 9
WONG Koon Tim	BKU746	Licensed Representative (Provisional Licence)	1, 4
WONG Lok Wing	BKW992	Licensed Representative (Provisional Licence)	1, 6
WONG Man Yan	BLD595	Licensed Representative (Provisional Licence)	1, 4
WONG Ming Shing	AAF460	Licensed Representative (Provisional Licence)	1, 4
WONG Pui Tak	BCU590	Licensed Representative (Provisional Licence)	1
WONG Tze Hwee, Grace	APZ545	Licensed Representative (Provisional Licence)	6
WONG Wai Yan	AGG935	Licensed Representative (Provisional Licence)	1, 2
WONG Wing Yin	BLB617	Licensed Representative (Provisional Licence)	1, 6
WOODS Ciaran David	BKY234	Licensed Representative (Provisional Licence)	1, 2
WU Huihuang	BKU224	Licensed Representative (Provisional Licence)	6
WU Nan	AUW139	Licensed Representative (Provisional Licence)	6
WU Qiuji	BKY629	Licensed Representative (Provisional Licence)	1, 2, 9
WU Shangjin	BKY371	Licensed Representative (Provisional Licence)	4
WU Shilu	BKM750	Licensed Representative (Provisional Licence)	1, 4
WU Shiqi	BKT374	Licensed Representative (Provisional Licence)	1, 4, 6
WU Tianxiao	BKR555	Licensed Representative (Provisional Licence)	10
WU Zhaoqian	BKO311	Licensed Representative (Provisional Licence)	1, 4, 6, 9
XIA Yue	BKY144	Licensed Representative (Provisional Licence)	1, 2
XIAO Li	BKW546	Licensed Representative (Provisional Licence)	4, 9
XIE Shuangquan	BKS032	Licensed Representative (Provisional Licence)	4
XIE Zhuojun	BKT341	Licensed Representative (Provisional Licence)	1, 2
XU Qiumeng	BKZ025	Licensed Representative (Provisional Licence)	4, 9
XU Yinglei	BKM858	Licensed Representative (Provisional Licence)	6
XU Yuanjie	BCX231	Licensed Representative (Provisional Licence)	1, 2
YAN Jinglin	BKW510	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Fan	ARD258	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Jing	ARH995	Licensed Representative (Provisional Licence)	1
YANG Li	BKW297	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Nan	BKP395	Licensed Representative (Provisional Licence)	1, 4
YANG Po Jen	BKU710	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Shuo	BKV454	Licensed Representative (Provisional Licence)	1, 6
YANG Wenqi, Lucky	BKJ915	Licensed Representative (Provisional Licence)	2
YANG Yi-cheng	BIU604	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Yiran	BKU216	Licensed Representative (Provisional Licence)	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
YANG zhangyi	BKU681	Licensed Representative (Provisional Licence)	1, 2
YAU Siu Yeung, Shari	AWP697	Licensed Representative (Provisional Licence)	1
YE Steven Qing	BKX165	Licensed Representative (Provisional Licence)	1, 4
YEUNG Hon Shing	BKZ969	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG SHU WA	BKT690	Licensed Representative (Provisional Licence)	9
YOON Jung Jin	AQP872	Licensed Representative (Provisional Licence)	1
YOUNG Charles Maximilian	BKL907	Licensed Representative (Provisional Licence)	1, 7
YU Qining	BKT367	Licensed Representative (Provisional Licence)	1, 4, 6
YU Yue	BKL276	Licensed Representative (Provisional Licence)	1, 6
YUAN Li Yi	BGP109	Licensed Representative (Provisional Licence)	1
YUAN Lin	BCJ572	Licensed Representative (Provisional Licence)	1, 6
YUAN Mengfan	BLC023	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YUEN Sau Ling, Angela	ADT382	Licensed Representative (Provisional Licence)	1
YUN Hongming	BKY626	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Kang	BLA293	Licensed Representative (Provisional Licence)	4
ZHANG Le	BCU754	Licensed Representative (Provisional Licence)	9
ZHANG Nan	BKY349	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xinyang	BKR557	Licensed Representative (Provisional Licence)	10
ZHANG Yier	BKW498	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG YUZHENG	BJQ188	Licensed Representative (Provisional Licence)	1, 4
ZHANG ZHONGWEN	BKY288	Licensed Representative (Provisional Licence)	1, 4
ZHAO Fangyuan	BKS137	Licensed Representative (Provisional Licence)	1, 4
ZHAO Xiaodan	BKS689	Licensed Representative (Provisional Licence)	1, 4, 6
ZHEN Xi	BKP242	Licensed Representative (Provisional Licence)	1, 4
ZHOU Fang	BJZ547	Licensed Representative (Provisional Licence)	1
ZHOU Qiankai	BKW228	Licensed Representative (Provisional Licence)	2
ZHOU Sutong	BLD127	Licensed Representative (Provisional Licence)	9
ZHOU Tianhao	BKR264	Licensed Representative (Provisional Licence)	1
ZHOU Xinchen	BKU697	Licensed Representative (Provisional Licence)	1, 2
ZHOU Yangyang	BKH390	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Qi	BKU238	Licensed Representative (Provisional Licence)	1, 6
ZHU Wei	BKY264	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Xiaolin	BKY251	Licensed Representative (Provisional Licence)	1, 4
ZHU Yali	BKY115	Licensed Representative (Provisional Licence)	1, 4, 9
ZUNINO Romain Marie Pierre Gaston	BLA848	Licensed Representative (Provisional Licence)	1, 2, 7
ADEWALE Amanda Patricia	BBO925	Licensed Representative (Temporary Licence)	1
ALSFORD Jessica Ruth	BIJ159	Licensed Representative (Temporary Licence)	1, 4
ANG SOK HAN	BLF878	Licensed Representative (Temporary Licence)	1
BALASUBRAMANIAM Venkatesh	BDT263	Licensed Representative (Temporary Licence)	4
BETTONI TRAUBE Ernesto	AQO506	Licensed Representative (Temporary Licence)	1, 4
BHARADWAJ Goutham	BDP709	Licensed Representative (Temporary Licence)	1, 2
BLUMBERG Jurgen Dieter	BLF145	Licensed Representative (Temporary Licence)	1, 4
BODEREAU Philippe	BLC808	Licensed Representative (Temporary Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
BOXALL Steven Charles	BDL788	Licensed Representative (Temporary Licence)	1
BRAIN Paul Robert	BLD597	Licensed Representative (Temporary Licence)	1, 4
BREWER Jeffrey Michael	AMF464	Licensed Representative (Temporary Licence)	1
BROOKS Neal John	BLF888	Licensed Representative (Temporary Licence)	1
BUFFLE Marc-Olivier	BKM679	Licensed Representative (Temporary Licence)	1
BURBANO Esteban	BLD950	Licensed Representative (Temporary Licence)	1, 4
BUTCHER Penelope Jane	ANG299	Licensed Representative (Temporary Licence)	1, 4
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CARELL Erik Anders	BLG387	Licensed Representative (Temporary Licence)	1, 4
CASE Gregory James	BFX043	Licensed Representative (Temporary Licence)	1, 4, 5
CHAN Kah Ling	AFW039	Licensed Representative (Temporary Licence)	10
CHAO Steven Wei-Hao	BLF675	Licensed Representative (Temporary Licence)	1
CHAUVEL Benjamin, Yannick, Pierre	BKZ737	Licensed Representative (Temporary Licence)	1
CHEEVAVICHAWALKUL Amornrat	AOX756	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHIU Po-ju	BJD825	Licensed Representative (Temporary Licence)	1
CHOI Minha	BFC667	Licensed Representative (Temporary Licence)	4
CHOQUET Charles Pierre-Yves Stephane	BLF879	Licensed Representative (Temporary Licence)	1
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	1, 4
CHUKIAT Nicholas Wynn	BGA519	Licensed Representative (Temporary Licence)	1, 4
CLUSE Reinhard Paul Johannes	AXK265	Licensed Representative (Temporary Licence)	4
COLLIN Oliver Robert Christopher	BLF882	Licensed Representative (Temporary Licence)	1, 4
COWAN James Charles Strathy	BIB406	Licensed Representative (Temporary Licence)	1
DADAWALA Sanjena	BFE624	Licensed Representative (Temporary Licence)	4
DARK Adrian John	BFA326	Licensed Representative (Temporary Licence)	4
DE RIOALS DE FONCLARE Cedric Marie Emile	BKV229	Licensed Representative (Temporary Licence)	1
DEB Abhinandan	BJQ825	Licensed Representative (Temporary Licence)	1, 4
DENOISEUX Vincent Yves Elisabeth Marie	BLF676	Licensed Representative (Temporary Licence)	1, 4
DEVELAY Laurent Michel Ronald	BCH511	Licensed Representative (Temporary Licence)	1, 4
DI MAGGIO Scott A	BIV434	Licensed Representative (Temporary Licence)	4
EL-HAKIM Faisal Ali	BLF129	Licensed Representative (Temporary Licence)	1, 4
FERNANDEZ Gaston Luis Gervasio	BKW519	Licensed Representative (Temporary Licence)	1
FERRIER Justin John	AEG690	Licensed Representative (Temporary Licence)	1, 4
FORNARO Celine	BAL508	Licensed Representative (Temporary Licence)	4
FOSTER Simon John	BLD215	Licensed Representative (Temporary Licence)	1, 4
FRASER Bradley James	BHZ827	Licensed Representative (Temporary Licence)	2
FRAUBOES LARENAS Georg Luis	BLH423	Licensed Representative (Temporary Licence)	1, 4
GALLAGHER Brendan Daniel	AYD172	Licensed Representative (Temporary Licence)	1, 2
GAO Xiang	BIC191	Licensed Representative (Temporary Licence)	1
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GRIFFITHS Matthew William Wilson	BLI070	Licensed Representative (Temporary Licence)	1
HAGIWARA Manabu	BEI574	Licensed Representative (Temporary Licence)	4
HANDA Saurabh	BFA991	Licensed Representative (Temporary Licence)	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
HARADA Jun	AOR374	Licensed Representative (Temporary Licence)	4
HARFORD Suni Pedersen	BLH426	Licensed Representative (Temporary Licence)	1, 4
HASHIMOTO Takashi	BIJ499	Licensed Representative (Temporary Licence)	4
HASSIN PINTO Andre	BHX478	Licensed Representative (Temporary Licence)	1, 2
HATCH Jonathan George	AZH060	Licensed Representative (Temporary Licence)	1
HESLOP Ian	BGV262	Licensed Representative (Temporary Licence)	1
HILL Brian Thomas	BFS625	Licensed Representative (Temporary Licence)	1
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	1, 4
HOLMES David Andrew	BLC009	Licensed Representative (Temporary Licence)	1, 4
HOSOI Katsumi	BID688	Licensed Representative (Temporary Licence)	4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HUDSON Sarah Henrietta Elizabeth	BIT630	Licensed Representative (Temporary Licence)	1, 4
HYVONEN Teemu Sakari	BLE822	Licensed Representative (Temporary Licence)	2, 5
IKEDA Atsushi	BEM322	Licensed Representative (Temporary Licence)	4
IMAI Koei	BDL302	Licensed Representative (Temporary Licence)	1, 4
ISAAC Gareth Dafydd Paul	BLB673	Licensed Representative (Temporary Licence)	1, 4
IVANOVIC Aleksandar	BLE528	Licensed Representative (Temporary Licence)	1, 4
IWAO Kentaro	BLC869	Licensed Representative (Temporary Licence)	1, 2
JACKSON Paul James	BLF141	Licensed Representative (Temporary Licence)	1, 4
JANSEN Carissa Frances	BJJ478	Licensed Representative (Temporary Licence)	1
JANSEN Michael	BEI461	Licensed Representative (Temporary Licence)	1, 2
JEZEK MICHAL	BLG407	Licensed Representative (Temporary Licence)	5
JIN Hong Gooek	BLD547	Licensed Representative (Temporary Licence)	4
JIP Allan	AGL440	Licensed Representative (Temporary Licence)	2, 5
KAROFSKY Robert B	ASX340	Licensed Representative (Temporary Licence)	1
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	1, 4
KLUGHERZ Thomas Charles	BID168	Licensed Representative (Temporary Licence)	1, 4
KOFOPOULOS Dimitrios	BFB169	Licensed Representative (Temporary Licence)	1
KOIKE Yukihiro	BAT249	Licensed Representative (Temporary Licence)	4
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	1, 4
KUMAR SHALEEN	BJQ605	Licensed Representative (Temporary Licence)	4
KURZ Peter Michael	ACN979	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LEE Charles Changsun	BEJ598	Licensed Representative (Temporary Licence)	1
LEE Go Eun	ASP244	Licensed Representative (Temporary Licence)	4
LEE Kyung Ja	BEX973	Licensed Representative (Temporary Licence)	4
LIU Lan-Shin	AVS373	Licensed Representative (Temporary Licence)	1
LLOYD David	BEF285	Licensed Representative (Temporary Licence)	4
LOPEZ Todd Damon	BJP602	Licensed Representative (Temporary Licence)	1, 2
LU Yumian	ASM064	Licensed Representative (Temporary Licence)	1, 4
MATSUO Alexandre Akira	BLD960	Licensed Representative (Temporary Licence)	1
MAYNARD Ian Robert	BGR018	Licensed Representative (Temporary Licence)	1
MCBRIDE Ryan Michael	BLD306	Licensed Representative (Temporary Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	License Type	Types of Regulated Activity
MELLOR Christopher	BLF146	Licensed Representative (Temporary Licence)	1, 4
MILNE Anne Jean	BLE943	Licensed Representative (Temporary Licence)	1, 4
MIYAZAKI Takashi	BDQ458	Licensed Representative (Temporary Licence)	4
MIZUKOSHI Masayuki	BDU973	Licensed Representative (Temporary Licence)	1, 2
MOBLEY Amina Erica	BJG670	Licensed Representative (Temporary Licence)	1, 2
MODY Andrea Crawford	BIB416	Licensed Representative (Temporary Licence)	1
MOOKIM Sanjay Kumar	AUW976	Licensed Representative (Temporary Licence)	1, 4
MORGAN Glenn David	AWK540	Licensed Representative (Temporary Licence)	1
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
MURATA Alfred Taketo	BLE987	Licensed Representative (Temporary Licence)	1, 4
MYLOTT Louise Renee	BLB228	Licensed Representative (Temporary Licence)	1
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
NG Stephanie	BLB234	Licensed Representative (Temporary Licence)	4
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
O'CALLAGHAN James Phoebus	BLG106	Licensed Representative (Temporary Licence)	1
PAL Abhishek	ASN918	Licensed Representative (Temporary Licence)	1, 2
PANG Gin Ping	BLA394	Licensed Representative (Temporary Licence)	1, 2
PESANELLO Raymond Joseph	BKQ873	Licensed Representative (Temporary Licence)	1
PHRAWPHRAIKUL Charti	BGX420	Licensed Representative (Temporary Licence)	1, 4
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
PITTAWAY Nigel Clive	BEM323	Licensed Representative (Temporary Licence)	4
POOLE Gregory Hauston	BIW523	Licensed Representative (Temporary Licence)	1
PREUSSER Ralf Alexander	BGJ102	Licensed Representative (Temporary Licence)	1, 4
QUEK Penelope	BLA390	Licensed Representative (Temporary Licence)	1, 2
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	1, 4
REDMAN Simon David	BLF884	Licensed Representative (Temporary Licence)	1, 4
ROCKMAN Justin	BJU385	Licensed Representative (Temporary Licence)	10
RUDLAND Michael James	BJD156	Licensed Representative (Temporary Licence)	1, 2
RUSSELL Henry Alden Verplanck	BHT391	Licensed Representative (Temporary Licence)	1
RUSTAGI Amit	BLD719	Licensed Representative (Temporary Licence)	4
SAI Shai Wan	BJS133	Licensed Representative (Temporary Licence)	1, 4
SAMBOR Jean-Charles Pierre	BIQ355	Licensed Representative (Temporary Licence)	4
SASAKI Futoshi	BEZ608	Licensed Representative (Temporary Licence)	1, 4
SCHNEIDER Oliver Marcell	BKV308	Licensed Representative (Temporary Licence)	1, 4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	1, 4
SIA Ke Yong Jared	BKI365	Licensed Representative (Temporary Licence)	1, 2
SIMMONDS Stuart Duncan	BCP839	Licensed Representative (Temporary Licence)	1, 4
STEWART Blair Thomson	BEF850	Licensed Representative (Temporary Licence)	1, 4
STIMPSON Andrew David	BGM799	Licensed Representative (Temporary Licence)	1, 4
STONBERG David Stanley	BLB125	Licensed Representative (Temporary Licence)	4
STORY William Luke	BLB615	Licensed Representative (Temporary Licence)	1, 2
TALHAOUI Ahmed	BEM800	Licensed Representative (Temporary Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAN Adrian Ying Chuan	BBU789	Licensed Representative (Temporary Licence)	1
TANAKA Chikai	AWO799	Licensed Representative (Temporary Licence)	4
THOMAS Frederic Francois Mathieu	BJN830	Licensed Representative (Temporary Licence)	1
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
TSURUO Mitsunobu	BHD023	Licensed Representative (Temporary Licence)	4
VADIVELLO Premkumar Li	BIZ270	Licensed Representative (Temporary Licence)	1
WALSH Paul Richard	BAF529	Licensed Representative (Temporary Licence)	1, 4
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	1, 4
WHITE Tamera Jane	AVQ210	Licensed Representative (Temporary Licence)	1
WILKIE Robert Malcolm	BHB487	Licensed Representative (Temporary Licence)	1
WONG Joshua Zhihao	BLD957	Licensed Representative (Temporary Licence)	1
WRAITH John William	BLD291	Licensed Representative (Temporary Licence)	4
WRENN James Joseph	BJT987	Licensed Representative (Temporary Licence)	1
XIE Jiaoyue	BHU354	Licensed Representative (Temporary Licence)	1, 4
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1
YOKOTA SONODA Julyana	BKL382	Licensed Representative (Temporary Licence)	10
YOSHIDA Jumpei	BLA977	Licensed Representative (Temporary Licence)	4
ZECHMANN Gunther	BLA829	Licensed Representative (Temporary Licence)	4
Edmond de Rothschild (Suisse) S.A.	AWC712	Registered Institution	1, 4, 9