

Processor Proc	VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PER	SUNS/REGISTE	RED INSTITUTIONS DURING 02/2017		
March Marc	CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
March Standard Good Handing Co., Cartholo Mindrey Standard Joseph 1966 1000000 Controllon 1 2 1 1 1 1 1 1 1 1	FountainCap Research & Investment (Hong Kong) Co., Limited	BEW803	Licensed Corporation	4	
Minuse Revolució Caluaria 1000 2000	Hong Kong Asset Management Limited	ABI734	Licensed Corporation	1	
Research Roog Banchina Company Information A000542 Lectored Composition 5	Huizhi International Capital Holdings Co., Limited	ADZ820	Licensed Corporation	1	
Review Nargami Rausemi (PIK) Linked ADMIN Control Corporation 5	Kilmorey Securities Limited	AEI546	Licensed Corporation	4	
650. Cereal Menogeneer throng Kongil Inniana AGBIERT Located Corporation 4 Comment Control Temp (Programma) AGBIERT Located Corporation 4 Comment Control MACADAS Declaration (Linguis Linka) ACTIVITY Located Corporation 4 5 MACADAS Declaration (Linka) ACTIVITY Located Representation 5 2 MACADAS Declaration (Linka) ACTIVITY Located Representation 5 3 MACADAS Declaration (Linka) ACTIVITY Located Representation 2 1 MACADAS Declaration (Linka) ACTIVITY Located Representation 2 1 MACADAS Declaration (Linka) ACTIVITY Located Representation 3 4 4 MACADAS Declaration (Linka) ACTIVITY Located Representation 3 4 4 MACADAS Declaration (Linka) ACTIVITY Located Representation 3 4 4 MACADAS Declaration (Linka) ACTIVITY Located Representation 4 4 4 MACADAS Declaration (Linka) ACTIVITY <	Norman Kong Securities Company Limited	AGB342	Licensed Corporation		2
Respuis Reng Limited ACM ACM Respuis Company Limited ACM ACM	Shenwan Hongyuan Research (H.K.) Limited	ADW588	Licensed Corporation	5	
Decide Company Johnson Company Decide Company Decide Company Decide Company Decide Company Decide Dec	SSG Capital Management (Hong Kong) Limited	ASS687	Licensed Corporation	4	
ACAD Control State ACAD Control Exponential ACAD CONTROL EXPON	Tang Ping Kong Limited	ACV070	Licensed Corporation	4, 9	
ADMINISTRATOR MAIN ADMINIS	Unicorn Securities Company Limited	ACD947	Licensed Corporation	4, 9	
NY SEATH STAND FIG. Agency APP 15 Surveue Representative 2 1 1 1 1 1 1 1 1 1	ADAMS David John Stuart	AZL710	Licensed Representative	4, 5	
APTION A	ARNAVUT Alev Mete	BEJ792	Licensed Representative	9	1
MINIORIX CHINOQVA Pracesia	AU-YEUNG Wai Kai, Angus	AJH211	Licensed Representative		4
RRIACHET David Durwings, Notices API-183	BATTENFELD Brenton George	AOP135	Licensed Representative	9	1, 2
Coult Country Countr	BRIDOUX Christophe Francois	AUK048	Licensed Representative	2	
Decident Control & Name Decident Control & Decident C	BRUCHET David, Dominique, Nicolas	ATH183	Licensed Representative		7
Deliver Deli	CHAE Jong-Yoon	AQO462	Licensed Representative	1, 9	4, 6
ATX898	CHAN Cambie Kam Pui	BBD735	Licensed Representative	9	
AT-1898 Lierned Representative 0 0 0 0 0 0 0 0 0	CHAN Candace	BHS151		3	
CHAN CRI Yung	CHAN Chi Wing	ATX898	Licensed Representative	9	
CHAN Ching Sum Sam	CHAN Chi Yung			4	
		AWO231		4	
DEFINATOR DEFINATOR DEFINATOR Decreased Representative 4			Licensed Representative		2
CHAN KG Fis, Stephan AC8873 Liberned Representative 4,5,0 CHAN KIA AEY425 Lornand Representative 4 CHAN KIA AEY425 Lornand Representative 4 CHAN Long Fix, Rohald BGR550 Lornand Representative 3 CHAN Shing Yan AEF119 Lornand Representative 2 CHAN Shing Yan AR566 Lornand Representative 1,0 4 CHAN Shing Yan AR566 Lornand Representative 1,0 4 CHAN Shing Yan AR566 Lornand Representative 1,0 4 CHAN Wall Ching AVF224 Lornand Representative 1,0 4 CHAN Wall Ching AVF224 Lornand Representative 1,1 4 CHAN Wall For Yan AR531 Lornand Representative 5 1 CHAN Wing Yu B8T845 Lornand Representative 1 1 CHAN Yin Bing AV5241 Lornand Representative 1 1 CHAN Yin Bing AV5242 Lornand Representative 1 1			·	4	
CHAN Kei AEY425 Lionnad Representative 3 CHAN Kn Koan AU4422 Lionnad Representative 4 CHAN Kn Koan AU4422 Lionnad Representative 3 CHAN Steic Knows ARP191 Lionnad Representative 2 CHAN Stein Stray AM5665 Lionnad Representative 1,9 4 CHAN Stein Stray AN5666 Lionnad Representative 1,9 4 CHAN Stein Stray AN5666 Lionnad Representative 1 4 CHAN Wal Ho AOCU28 Lionnad Representative 1 1,4 CHAN Wal Ho AOCU28 Lionnad Representative 4 1 CHAN Wal Lin ARD531 Lionnad Representative 5 1 CHAN Wal Lin AP6741 Lionnad Representative 5 1 CHAN Wal Wal Wal AP6741 Lionnad Representative 5 1 CHAN Wal Bring AV6701 Lionnad Representative 1 1 CHAN Wal Bring AV6724 Lionnad Representative 1 <th< td=""><td>-</td><td></td><td></td><td></td><td>4 5 9</td></th<>	-				4 5 9
CHAN Kin Kwan					
CHAN Long Ho, Ronald BGR209 Licensed Representative 3 CHAN Shek Hong, Raymond AEP119 Licensed Representative 2 CHAN Shing Yan AGE665 Licensed Representative 1, 0 4 CHAN Shing Yan AND696 Licensed Representative 2 2 CHAN Wal Ching AVE224 Licensed Representative 4 4 CHAN Wal Ching AVE244 Licensed Representative 4 4 CHAN Wal Lun ARD331 Licensed Representative 4 4 CHAN Will Lun ARD331 Licensed Representative 5 1 CHAN Yi APG474 Licensed Representative 1 1 CHAN Yi APG474 Licensed Representative 1 1 CHAN Shallew BEE545 Licensed Representative 1 1 CHEN Dean BA2282 Licensed Representative 2 1 CHEN Danie AY2972 Licensed Representative 0 4 CHEN Wa AY2972 Licensed Representat				4	
CHAN Shok Hong, Raymond AEP119 Liconsed Representative 2 CHAN Shok Hong, Raymond AGE665 Liconsed Representative 1, 9 4 CHAN Shuk Reung AND666 Liconsed Representative 2 CHAN Wal Ching AVF224 Liconsed Representative 4 CHAN Wal Lin AGO428 Liconsed Representative 4 CHAN Wal Lin ARD531 Liconsed Representative 5 CHAN Wal Lin ARD674 Liconsed Representative 6 CHAN Ying Yu B87845 Liconsed Representative 9 CHAN Ying Bing AHX701 Liconsed Representative 1 CHAN Yin Bing AHX701 Liconsed Representative 1 CHEN Disin BE2645 Liconsed Representative 2 CHEN Januta AV0343 Liconsed Representative 9 CHEN Tao AZ7872 Liconsed Representative 1 CHEN Tao AZ7872 Liconsed Representative 1 CHEN Kin Liung BB89800 Liconsed Representative 1 <				3	
CHAN Shing Yan					
CHAN Shae Keung AND686 Licensed Representative 2 CHAN Wai Ching AVF224 Licensed Representative 4 CHAN Wai Lin AOCH28 Licensed Representative 1, 4 CHAN Wai Lin ARC531 Licensed Representative 4 CHAN Wing Yu BBT845 Licensed Representative 5 CHAN Yi APG474 Licensed Representative 9 CHAN Yi APG474 Licensed Representative 1 CHAN Yi BBT845 Licensed Representative 1 CHAN Yi BIGHAN AND Matthew BEE545 Licensed Representative 1 CHAN Yi BEE545 Licensed Representative 1					4
CHAN Wai Ching				1,0	
CHAN Wail Ho ACO428 Licensed Representative 1, 4			<u> </u>		
CHAN Wail Lun ARD531 Licensed Representative 4 CHAN Wing Yu BBT845 Licensed Representative 5 CHAN Yi APG474 Licensed Representative 9 CHAN Yi Bildg AHX701 Licensed Representative 1 CHAN SMatthew BEE545 Licensed Representative 1 CHAN SMatthew BEE545 Licensed Representative 2 CHEN Dexin BA2282 Licensed Representative 2 CHEN Jirisha AV0343 Licensed Representative 9 CHEN Tao AZY872 Licensed Representative 6 4 CHEN Wan ALV331 Licensed Representative 9 CHEN Kue Liang BBW890 Licensed Representative 9 CHEN Genug Fan AYW110 Licensed Representative 9 CHENG Chung Fan AYW110 Licensed Representative 1 CHENG Wa Chung AS010 Licensed Representative 1 CHENG Wa Chung BEA302 </td <td></td> <td></td> <td>·</td> <td></td> <td></td>			·		
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CHAN YI				<u> </u>	
CHAN Yin Bing AHX701 Licensed Representative 1 CHANG Matthew BEE545 Licensed Representative 1 CHEN Dexin BAZ282 Licensed Representative 2 CHEN Jinsha AVQ343 Licensed Representative 9 CHEN Tao AZ7872 Licensed Representative 6 4 CHEN Wan ALV331 Licensed Representative 1 CHEN Wan BBW890 Licensed Representative 9 CHENG Ching Fan AYW110 Licensed Representative 9 CHENG Hong ASO010 Licensed Representative 1 CHENG Wai Chung AJ186 Licensed Representative 5 CHENG Wai Chung BGG512 Licensed Representative 5 CHENG Wai Chung BGG512 Licensed Representative 5 CHENG Wai Chung BEA302 Licensed Representative 5 .			·		
CHANG Matthew BEE545 Licensed Representative 1 CHEN Dexin BAZ282 Licensed Representative 2 CHEN Jinsha AVQ343 Licensed Representative 9 CHEN Tao AZY872 Licensed Representative 6 4 CHEN Wan ALV331 Licensed Representative 1 CHEN Xue Liang BBW890 Licensed Representative 9 CHEN Xue Liang BBW890 Licensed Representative 9 CHENG Khung Fan AYW110 Licensed Representative 9 CHENG Hong ASO010 Licensed Representative 1 CHENG Wai Chung AAJ186 Licensed Representative 2 CHENG Xin BG6512 Licensed Representative 5 CHEUNG Alex Ricardo BEA302 Licensed Representative 4 9 CHEUNG Kan Hong AY7081 Licensed Representative 1 4, 9 CHEUNG Tomo-hito AUS139 Licensed Representative<				4	
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CHEN Xue Liang BBW890 Licensed Representative 9 CHENG Chung Fan AYW110 Licensed Representative 9 CHENG Hong ASO10 Licensed Representative 1 CHENG Wai Chung AJ186 Licensed Representative 5 CHENG Xin BGG512 Licensed Representative 5 CHEUNG Alex Ricardo BEA302 Licensed Representative 4 9 CHEUNG Ho Chuen BHR928 Licensed Representative 1 4,9 CHEUNG Kam Hong AVT081 Licensed Representative 1 4,9 CHEUNG Tomo-hito AUS139 Licensed Representative 5 1 CHEUNG Wai Ching BIQ610 Licensed Representative 2 CHEUNG Wai Hung CHEUNG Wai Hung AGY670 Licensed Representative 4 CHEUNG Wai Kwok, Gary AC469 Licensed Representative 9 CHEUNG Wai Kwok, Gary Licensed Representative 4 CHEUNG Wai Kwok, Gary AC469 Licensed Representative 9 CHONG Wai Shing BFO619 Licensed Representative 2 CHONG Wai Shing AG495 Licensed Representative 2 CHONG Wai Shing BFO619 Licensed Representative 2 CHONG Wai Shing AYCHONG Wai Shing BFO619 Licensed Representative 2 CHONG Wai Shing AYCHONG Wai Shing					4
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CHEUNG Wai Kwok, Gary AAC469 Licensed Representative 9 CHIU Im Seong, Jo Jo AQC495 Licensed Representative 2 CHONG Wai Shing BFO619 Licensed Representative 2	CHEUNG Wa Ching		·	2	
CHIU Im Seong, Jo Jo AQC495 Licensed Representative 2 CHONG Wai Shing BF0619 Licensed Representative 2	CHEUNG Wai Hung			4	
CHONG Wai Shing BF0619 Licensed Representative 2	CHEUNG Wai Kwok, Gary			9	
	CHIU Im Seong, Jo Jo				
CHONG Wee Khoon AWT864 Licensed Representative 4	CHONG Wai Shing				2
	CHONG Wee Khoon	AWT864	Licensed Representative	4	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PER			T	T
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHOW Chin Shuen, Stella	AGO293	Licensed Representative	1, 4	2, 5
CHOW Man Yee, Kitty	AMZ977	Licensed Representative		1
CHOW Siu Hang	ANI744	Licensed Representative		4
CHOW Yat Chun	ANA606	Licensed Representative		1
CHU Chun Hung	AXK728	Licensed Representative		1
CHU Mei Fong	BCZ103	Licensed Representative	2	
CHU Wai Pang	ABF141	Licensed Representative		6
CHUNG Hiu Mei	BGA487	Licensed Representative	9	
CHUNG Taeyoung	BGC192	Licensed Representative	9	
DENG Jun	APZ630	Licensed Representative	6	
DING Wenjie	BFZ549	Licensed Representative		1
DING Yihua	AQQ496	Licensed Representative	9	
DING Yuqian	AVD302	Licensed Representative	1	
DONG Jiawei	BHB765	Licensed Representative	2	
	AFE991	Licensed Representative	2	
FANG Dongming		<u> </u>	2	5
FOO Siong Min	BGH800	Licensed Representative		
FRANCOIS Antoine, Albert, Gunefort, Marie, Joseph	AUD883	Licensed Representative		7
FU Wing Yan, Rebecca	AFE899	Licensed Representative	2, 4	7
GAO Qifan	BHE173	Licensed Representative		2
HAN Longkai	BEZ375	Licensed Representative	5	
HERSEY Steven James	APK597	Licensed Representative		4, 5
HO Chun Man	ARJ714	Licensed Representative		1
HOSHI Masahide	APH011	Licensed Representative		5
HOU Yiyun	BIU737	Licensed Representative	4	
HUANG Bi-Chen	BAI188	Licensed Representative	2	
HUANG Chengyu	BHJ591	Licensed Representative		1
HUANG Sike	BHI178	Licensed Representative	1	
HUANG Yutian	BIJ696	Licensed Representative	1, 3	
HUI Quincy Kwong Hei	ANZ768	Licensed Representative		1
IP Heung Wing, Barry	ALU866	Licensed Representative	1	
JIANG Feng	AXO926	Licensed Representative		1
JIANG Zhengtai	BGX573	Licensed Representative	1, 4	
JIANG Zhitong	AZY142	Licensed Representative	9	
JINDLE Kunal	BDL108	Licensed Representative	1	
		·	1	0
KAO Hiu Hing Stanley		Licensed Representative	•	2
KIM Chung Wan	AGE497	Licensed Representative	9	
KLIP Donald William	ADI595	Licensed Representative		4
KOU (HUANG) Rakuhei (Leping)	AUZ066	Licensed Representative	1	
KWOCK Mei Shan, Michelle Joyce	ARQ020	Licensed Representative	4	1, 2
KWOK Chung Ming, Thomas	BIM262	Licensed Representative	6	1, 2
KWOK Ying Cheuk	AKU150	Licensed Representative		4, 9
LAI Kong Keung Louis	BEL006	Licensed Representative	2	
LAI Chun Hing	AVM461	Licensed Representative	9	
LAI Hoi Yan, Patricia	ARR637	Licensed Representative	4	
LAI Kin Chung	BEB796	Licensed Representative	4	
LAI Ming Hon, Justin	AWY011	Licensed Representative		4, 6
LAI Wing Hung	ANH142	Licensed Representative		2
LAM Chi Hung	AGX704	Licensed Representative	2	
LAM King Kui, Clive	AME106	Licensed Representative	9	
LAM King Wu	ANK149	Licensed Representative	9	
	BFJ754	Licensed Representative	1, 2	
LAU Chi Wah Alex	ABT429	Licensed Representative	,	4
LAU Pak Sun	AMF528	Licensed Representative	4, 6	·
			9	
LAU Wai Chun	ACY158	Licensed Representative		
	BGB433	Licensed Representative	2	
LEE Brian Po Wing				
LEE Chun Leung	AML715 ABM913	Licensed Representative Licensed Representative	2	



CE Name			Times of Demileted Assisting Added	Times of Remileted Astinity Remaind
		Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEE David	AHX965	Licensed Representative	0	
LEE Ho	BHN975	Licensed Representative	3	
LEE Ka Ho	AWE955	Licensed Representative		2, 5
LEE Tin Yau, Eugene	ADV918	Licensed Representative	1	
LEUNG Chun Wa	AJF048	Licensed Representative		1, 4
LEUNG Ho Ming	AJH302	Licensed Representative	9	
LEUNG Kai Chung	AQR032	Licensed Representative		4, 5
LEUNG Kai Hung	AMX297	Licensed Representative	1, 2	9
LEUNG Shing Wing, Andy	AML171	Licensed Representative		2
LEUNG Sui Pan	AXF284	Licensed Representative	1, 4	
LEUNG Sung Yeung, Roger	AAH546	Licensed Representative		1
LEUNG Yiu Bong, Raymond	AOS223	Licensed Representative		9
LI Kai Yin	AAA183	Licensed Representative	2	
LI Kit Lan	BAN027	Licensed Representative	2, 4	
LI Lok Man	AQE947	Licensed Representative	4	
LI Nailin	BHB964	Licensed Representative		7
LI Shuk Wa, Vivian	ANY054	Licensed Representative	9	
LI Ting	AZX480	Licensed Representative	4	
LI Xin	ANY390	Licensed Representative		1
LI Yao Jun	APB923	Licensed Representative	1, 2	
LI Yongde	BFP145	Licensed Representative	9	
LIANG Fuyin	BHJ475	Licensed Representative		1
LIN Ching Wen	BHE032	Licensed Representative		4
LIU Kao-Jen	AQA155	Licensed Representative	2	
LIU Wing Chung	AZM892	Licensed Representative	4	
LIU Yingshi	BIB397	Licensed Representative		3
LO Ho Tak	AVR437	Licensed Representative	4	
LO Kin On, William	AXV216	Licensed Representative	1, 9	
LO Y Yu, Thomas	AFR473	Licensed Representative		1
LUO Yuhui	BEI699	Licensed Representative	1, 4	
LY Laurent Huai Eng	ATW215	Licensed Representative		6
MA Kit Sum Isis	AYD565	Licensed Representative	9	
MA Xiaoqi	BGH607	Licensed Representative	1, 4	
MADDURI Pooja Grover	BDH854	Licensed Representative	2	
MAK Chi Leung	ACZ471	Licensed Representative		4
MAK Ho Yin	BAU424	Licensed Representative	3	
MALONEY Patrick Nicholas	AVD511	Licensed Representative		1, 4
MAN Kon Sum	AFI890	Licensed Representative		1
MIMAKI Hiroyuki	AQD034	Licensed Representative	6	
MIU Tsz Wai, Fanky	AML491	Licensed Representative	2	
MOHAMED IBRAHIM Mariana Binte	BEM359	Licensed Representative	9	1, 2
MOK Chue Man	AZL410	Licensed Representative	2	4
MORIN Douglas Craham	AEJ927	Licensed Representative		1
MOYNIHAN Daniel John	BDM186	Licensed Representative	2	
NG Chi Cheng	ALK588	Licensed Representative	2, 9	
NG Chi Him, Sam	ANQ542	Licensed Representative	4	
NG Lai Man, Carmen	AFT874	Licensed Representative	1	
NG Ming Hang	AUQ159	Licensed Representative	9	1, 2, 4
NG Wing Tai	AUZ073	Licensed Representative		1
NGAI Sze Man	BGH932	Licensed Representative	2	
NGAN Or	BCW210	Licensed Representative		1
PAN Dengke	BIC438	Licensed Representative	1, 3	
PANG Yu Fai Ray	BFN019	Licensed Representative		4, 5
POON Shing Chi	BDD899	Licensed Representative	9	
SHEK Sai Lok Edmond	BFR586	Licensed Representative	3	
SHEK Wing Kit	ANR257	Licensed Representative		2
SIT Hau Yi	BEE951	Licensed Representative	9	
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VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PER	30N3/REGISTE	RED INSTITUTIONS DURING 02/2017		T
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SIU Sun Bun	BGY614	Licensed Representative	2	
SIU Wai Kit	AFM549	Licensed Representative		4, 9
SO Kin	AID232	Licensed Representative		1
SO Pui Fung	AMY275	Licensed Representative		1
SONG Weiguang	BAZ639	Licensed Representative	9	1
STUART Lisa Jane	BGM923	Licensed Representative	4	
SU Yi	AOZ134	Licensed Representative	9	
SUI Xiaomeng	BHS630	Licensed Representative		1
SUN Chuen Wah, Anthony	AFP060	Licensed Representative		4
SURATKAL Kishore Sheena	AFE831	Licensed Representative		1
TAM Man Hong	AFY709	Licensed Representative		1
TANG Tianyi	BFY437	Licensed Representative	9	
TANG Weicheng	BCC392	Licensed Representative	1	
TANG Yuk Fan	AKS769	Licensed Representative		1
TIAN Hanlin	BDZ941	Licensed Representative	4	6
	AFK975	Licensed Representative		1
TO Wai Hung, Anson	ATS073		1	
TONG Tsz Chun		Licensed Representative		4, 9
TONG Yat Lai, Elliot	AMM328	Licensed Representative		4, 5
TSANG Chun Fai	BEQ486	Licensed Representative	4	
TSANG Chung Wah	BDY893	Licensed Representative	2	
TSANG Hung Fai	AUD149	Licensed Representative	2	
TSANG Ka Kan, Edmund	ALG188	Licensed Representative		4
TSANG Wing Kai	BCN378	Licensed Representative	9	
TSE Cho Wan	AVD685	Licensed Representative		4
TSE Christopher Shing-kwun	BFN119	Licensed Representative	2, 5	1
TSE LEE KUEN	AXO645	Licensed Representative	4	
TSOI Kwok Chun	BID087	Licensed Representative	2	
WAN Hei Yeung	AZF510	Licensed Representative		1, 4
WANG Chong	AYS816	Licensed Representative		1, 4
WANG Hong	ALQ657	Licensed Representative		4, 9
WANG Ke	BAF971	Licensed Representative	9	
WANG Zichao	BIW223	Licensed Representative	9	
WIEMER Charles Daniel	ANQ742	Licensed Representative		2
WONG Benny	BIT228	Licensed Representative	1	
WONG Cheuk Ki	BHO299	Licensed Representative	4, 9	
WONG Chi Chiu	AJB477	Licensed Representative	1	
WONG Ching Yuen, Eric	BAP941	Licensed Representative	9	1, 6
WONG Cho Wai	AUG836	Licensed Representative	3	
WONG Jeremy	AEA858	Licensed Representative		1, 9
WONG Ka Shing	AOY331	Licensed Representative	4	
WONG Kin Ning	APW465	Licensed Representative	5	
WONG Man Lee	BIA103	Licensed Representative	3	
WONG Shu Sing	APD420	Licensed Representative	-	5
, , , , , , , , , , , , , , , , , , ,	BGK392	Licensed Representative	2	
WONG Yan Tung		·	1	
WONG Yin Kwan, Brenda	ARH793	Licensed Representative	4	
WU Hao	BBP022	Licensed Representative	4	
WU King Cheung	BBF564	Licensed Representative	1, 4	
WU Linpeng	BGA438	Licensed Representative	4, 9	1
XIAO Xiaochuan	BGD218	Licensed Representative	_	1
YAN Renyuan	BIB907	Licensed Representative	9	4
YANG YUE Nin Yen, Gigi	AGP929	Licensed Representative	1, 4	
YAU Shu Cheung	AAJ570	Licensed Representative		1
YE Zhu	ASZ086	Licensed Representative	5	
YEUNG Chi Hong	BIQ873	Licensed Representative	1, 4	9
YEUNG Kwok Kei	BBB086	Licensed Representative	4	
YEUNG Lam Lam	ANN551	Licensed Representative	4	
YEUNG Yiu Wah, Francis	ACG885	Licensed Representative		1



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PER	SUNS/REGISTE	RED INSTITUTIONS DURING 02/2017		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YICK Kit Sum, Winsome	AOB610	Licensed Representative		1
YIM Chi Hang	BBY539	Licensed Representative	1, 4	
YIP Man Wai	AEY361	Licensed Representative	2	
YIP Thomas Ho Fai	AXD289	Licensed Representative		1
YU Hongchen	BDV510	Licensed Representative	1	6
YU Jiayan	BHF578	Licensed Representative	9	
YU Man Ting Loretta	AWE558	Licensed Representative	9	
YUNG Cheuk Yin	BHV021	Licensed Representative	1, 2	
ZHAN Congcong	BCP843	Licensed Representative	6	1, 2, 3
ZHANG Chunxin	BAM431	Licensed Representative		1
ZHANG Jingkun	BGG673	Licensed Representative	9	
ZHANG Yanuo	BII014	Licensed Representative	4, 9	
ZHANG Yun	AXZ985	Licensed Representative		1
ZHANG Yuting	BBM640	Licensed Representative	2	
ZHANG Zhixiang	AVA347	Licensed Representative	1, 9	
	BGX249	Licensed Representative	6	2, 5
ZHAO Tianming			4	2, 5
ZHAO Wei	BEE813	Licensed Representative		
ZHONG Ye	BDP512	Licensed Representative	1	
ZHOU Zitao	BIJ004	Licensed Representative	2	
ZHU Jiayuan	BIU924	Licensed Representative	9	
ZHU Yuxiang	BER747	Licensed Representative	5	
ZOU Keith Ke	BIE434	Licensed Representative	1	
CAIRNS Peter Christopher	ADN194	Licensed Representative - Responsible Officer	4	
CHAN Kai Ming, Ricky	AOU629	Licensed Representative - Responsible Officer	9	
CHAN Kar Lun, Colin	ABY630	Licensed Representative - Responsible Officer	4	
CHAN Leong Sek, Freddy	ADW083	Licensed Representative - Responsible Officer	4	
CHAN Ming Yan	ACD664	Licensed Representative - Responsible Officer	5	
CHAN Shu Kai	AAJ626	Licensed Representative - Responsible Officer	9	
CHAN Yue Yuen	ANM307	Licensed Representative - Responsible Officer	1	
CHANG Tin Duk, Victor	ADL704	Licensed Representative - Responsible Officer	9	
CHAU Kai Wing	AFS381	Licensed Representative - Responsible Officer	4	
CHAU Mei Yu	ADB668	Licensed Representative - Responsible Officer	5	
CHEUNG Wai Kwok, Gary	AAC469	Licensed Representative - Responsible Officer	2	9
CHOW Yat Chun	ANA606	Licensed Representative - Responsible Officer	4, 9	
DENG Haifeng	AJN297	Licensed Representative - Responsible Officer	4	
DENG Jun	APZ630	Licensed Representative - Responsible Officer	1	
DING Xiaofang	AEL268	Licensed Representative - Responsible Officer	4	
FAN King Chi, Kenneth	ANH531	Licensed Representative - Responsible Officer	1	
HO Chun Hung	BBN267	Licensed Representative - Responsible Officer	1	
HO Sin Cheung	ABP225	Licensed Representative - Responsible Officer	2, 4, 9	
HO Wing Chiu	AXU901	Licensed Representative - Responsible Officer	4	
HUNTER David	AIR826	Licensed Representative - Responsible Officer		4
JAO Fang-Ming	BEZ036	Licensed Representative - Responsible Officer	4, 9	
JAO Meng Yu	ABZ024	Licensed Representative - Responsible Officer	9	
KAO Hiu Hing Stanley	ATM450	Licensed Representative - Responsible Officer	5	
KONG Mo Nga	ABM473	Licensed Representative - Responsible Officer		2
				2
KONG Sinclair	ABG660	Licensed Representative - Responsible Officer	7	-
KWAI Ni	ALV039	Licensed Representative - Responsible Officer	4 5	
KWAN Wai Kuen, Freddie	AAE275	Licensed Representative - Responsible Officer	4, 5	
KWOK Chi Wai	ANA838	Licensed Representative - Responsible Officer	4	
KWOK Ying Cheuk	AKU150	Licensed Representative - Responsible Officer	2	
LAM Yuk Ying, Elsa	AFU002	Licensed Representative - Responsible Officer	4	
LAN Qingwen	AWW400	Licensed Representative - Responsible Officer	4	
LEE Tsz Ho	APJ560	Licensed Representative - Responsible Officer	5	
LEUNG Yiu Bong, Raymond	AOS223	Licensed Representative - Responsible Officer	1	
LI Cheuk Fai	AYK176	Licensed Representative - Responsible Officer	4	
LO Chin Cheung	APC270	Licensed Representative - Responsible Officer	5	



CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
		··	, , , , , , , , , , , , , , , , , , ,	Types of Regulated Activity Removed
LO Y Yu, Thomas	AFR473	Licensed Representative - Responsible Officer	9	
NG Wing Keung	AQX239	Licensed Representative - Responsible Officer	1	
OLIVER James Campbell	ARW738	Licensed Representative - Responsible Officer		2
SHAMSUDDIN Doni	AZB289	Licensed Representative - Responsible Officer	9	
TSANG Siu Hung, Simon	AAJ982	Licensed Representative - Responsible Officer	4, 5	
VOURLOUMIS Andreas	ANL079	Licensed Representative - Responsible Officer	4	
WANG Li-wen	AGP525	Licensed Representative - Responsible Officer	6	
WONG Ching Him	AEB025	Licensed Representative - Responsible Officer	4	
WONG Wai King, Mona	ACG986	Licensed Representative - Responsible Officer	4	
XU Lingyan	ARI518	Licensed Representative - Responsible Officer	4	
XU Ying	ANK771	Licensed Representative - Responsible Officer	4	
YANG Kane	AUT778	Licensed Representative - Responsible Officer	1	
YIP Thomas Ho Fai	AXD289	Licensed Representative - Responsible Officer	4	
YIU Ho Yin	AEI004	Licensed Representative - Responsible Officer	4	
ZHANG Ning	AGD246	Licensed Representative - Responsible Officer	4	
ZHANG Yun	AXZ985	Licensed Representative - Responsible Officer	4, 9	
ZHU Yong	AJD985	Licensed Representative - Responsible Officer	1	
KUK Wing Yee	BIZ359	Licensed Representative (Provisional Licence)	1, 4	
Natixis	AGJ912	Registered Institution	6	
Wing Lung Bank Limited	AAF294	Registered Institution		9