



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ADS Securities Hong Kong Limited	AXC847	Licensed Corporation	4	
AP Capital Management (Hong Kong) Limited	BFD387	Licensed Corporation	4, 5	
Dragonstone Limited	BGM303	Licensed Corporation	4	
Fortune SG Asset Management (Hong Kong) Co., Limited	AZY725	Licensed Corporation	1	
Guggenheim Investment Advisors (Hong Kong) Limited	AJF298	Licensed Corporation	1	
HFT Investment Management (HK) Limited	AVT614	Licensed Corporation	1	
Huanying International (Asia) Limited	BHJ844	Licensed Corporation	2, 4, 5, 9	
Optimas Capital Limited	BGX071	Licensed Corporation	1, 4	
AN Yeon Kyung	AOS355	Licensed Representative	7	
AU Bo Shan	ARE480	Licensed Representative		1
AU Chor Kin, Ringo	ADS057	Licensed Representative		3
BAI Huawei	AUZ024	Licensed Representative	2	9
CAI Yi	BGR301	Licensed Representative	1, 6	4
CAI Yibo	BCN610	Licensed Representative	2	
CHADHA Prashant	BGQ083	Licensed Representative	1, 4	5
CHAK Ka Fai, Samuel	AMX582	Licensed Representative		5
CHAN Chun Wai	AFY152	Licensed Representative		1
CHAN Chun Wing	AYS019	Licensed Representative	6	1
CHAN Cyrus Sau-kit	BDQ943	Licensed Representative	4, 5	
CHAN Derrick	BDE476	Licensed Representative	9	
CHAN Ka Ho, Johnny	ALG410	Licensed Representative		3
CHAN Ka Kam	BDM048	Licensed Representative	2	
CHAN Ka Kit	BAY064	Licensed Representative		2, 3, 4
CHAN Ka Yee, Erika	AQI816	Licensed Representative	9	
CHAN Kin Lung	AOW789	Licensed Representative	9	
CHAN Kwun Shing	BIZ853	Licensed Representative	2	
CHAN Man Yuen	APX122	Licensed Representative		1, 2
CHAN Sai Ching	AIO003	Licensed Representative		1
CHAN Sin Yu, Cheryl	ANM678	Licensed Representative	9	
CHAN Tsz Kin	BIY015	Licensed Representative		4
CHAN Tsz Kwan	BBT522	Licensed Representative		9
CHAN Yuk	AZL980	Licensed Representative	1	
CHANG Tak Yin, George	ANQ846	Licensed Representative	1, 4	9
CHANG Vivian Ming-yin	ASI105	Licensed Representative		1
CHEEN Qun	AHU697	Licensed Representative	1	
CHEEN Shujin	BDZ961	Licensed Representative		4, 5
CHEEN Stanley	APU255	Licensed Representative		4
CHEEN Wen-Liang	BHE181	Licensed Representative		5
CHEEN Xiaofei	BDJ570	Licensed Representative	2	
CHEEN Xiaohua	BAM436	Licensed Representative	2	
CHENG Chin Hang	BDM028	Licensed Representative		2
CHENG James Vincent	AIC014	Licensed Representative	1	
CHENG Jazreel Yeun Yee	AHT093	Licensed Representative	2	4
CHENG Kam Wah, Conrad	ACT428	Licensed Representative		4
CHEUNG Chi Hung	AEY847	Licensed Representative		2, 4
CHEUNG Chin Ho, Henry	AXO900	Licensed Representative	4, 5, 9	
CHEUNG Ching Bo	AWZ179	Licensed Representative	1	
CHEUNG Teresa Yuk Wah	BGM554	Licensed Representative	2	
CHEUNG Wai Shan	AIK836	Licensed Representative	9	
CHI Haocheng	BJE002	Licensed Representative		1
CHIRIKIRI Soichi	ADS101	Licensed Representative		9
CHIU Ka Wah	AAC474	Licensed Representative		4
CHIU Yan Kit	ANK160	Licensed Representative	6	1
CHOI Ka Wing	BBC960	Licensed Representative	9	
CHOI Lai Ling	AFW854	Licensed Representative	9	
CHONG Enrico	BJU211	Licensed Representative	2	
CHONG Ho Wai, Howard	BJC704	Licensed Representative	1	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHOW Ka Chun	AQA972	Licensed Representative	4, 5, 9	10
CHOW So Yee	BAD814	Licensed Representative	4	
CHOW Yat Sunny	BDI576	Licensed Representative	9	1, 2
CHOY Sze Wai	BDM840	Licensed Representative		2
CHU Chun On, Franco	ANW442	Licensed Representative		4
CHU Kwok On	ADE054	Licensed Representative	4	
CHU Siu Fan	ARS054	Licensed Representative		2
CHUNG Benny Koon Chung	ADV680	Licensed Representative		1, 4
CHUNG Ki Fung	BEJ748	Licensed Representative		4
CHUNG Ming Chun Jason	AZS638	Licensed Representative		1, 4
DIU Sheung Pan	BEF936	Licensed Representative	2	
ELEGANTE Joseph Robert	BGX042	Licensed Representative	4, 9	
ELLIS Carolyn K Mark	ADQ080	Licensed Representative	1	
FANG Yuzhou	BHQ073	Licensed Representative	4	
FUNG Ho Tak	ARR598	Licensed Representative	1	
GU Danxia	BIE951	Licensed Representative	1	
GUENIER Alix Long Daniel	BHX737	Licensed Representative	2	4
HE Meiqi	BDW973	Licensed Representative	9	1, 4
HE Peng Peng	AYZ982	Licensed Representative		4
HE Yi	APL689	Licensed Representative		6
HEUNG Fu Tim, Timothy	BFA176	Licensed Representative	4, 5	
HIL Chi Yung	AAJ464	Licensed Representative	3	4
HO Cheuk Hei	BBN076	Licensed Representative	4	
HO Cho Ming, Jonathan	BJR596	Licensed Representative	4	1, 6
HO Ting Kit	ALW988	Licensed Representative	9	
HO Tsun Kun Benjamin	BFX828	Licensed Representative	1	
HO Wai Lun	ASZ191	Licensed Representative	2	
HO Wan Chi	ACT136	Licensed Representative	2	
HOANG Minh Ha	BIH094	Licensed Representative		4, 5
HONG Leyuan	BFY987	Licensed Representative	6	
HONG Young Ju	BGI392	Licensed Representative		4, 9
HOU Wei	BBW930	Licensed Representative	9	1, 4
HSU Ying-chao	AZH637	Licensed Representative	1, 4	
HU Tianzong Angela	ANH100	Licensed Representative		4
HUANG Chun Pang	BJL381	Licensed Representative	4	
HUANG Yan	AQO269	Licensed Representative	1	
HUNTER David	AIR826	Licensed Representative	4	
IP Wui Ho	BEU999	Licensed Representative	2	
JIANG Han	BGP856	Licensed Representative	6	2
JIANG Yongzhi	AUR305	Licensed Representative	9	6
JIAO Qian	BIH053	Licensed Representative	4, 9	6
JING Kai	BGO351	Licensed Representative	3	
JING Ruochong	BDO877	Licensed Representative	4	
JOHNSON Joseph Mark	BFL562	Licensed Representative	1, 4	
JUNGERS Eric	ATH188	Licensed Representative	7	
KAM Pak Wah, Franklin	AAD811	Licensed Representative		1
KAN Michelle Katherine Maygig	AQJ034	Licensed Representative		2
KIM Jooyoung	AYU182	Licensed Representative	4	
KO Hoi On	AFS658	Licensed Representative	2	
KONG Chun Kwok	AFD367	Licensed Representative	9	
KONG Wei	AXU890	Licensed Representative		2, 9
KOO Da Wan	AXR520	Licensed Representative		9
KUNG Lea	AYM543	Licensed Representative	1	
KUNG Wai Ling	AEU211	Licensed Representative	1	
KUO Ki Hung	BEW786	Licensed Representative	4	
KWAN Chung Yan	AFQ218	Licensed Representative	9	
KWAN Jonathan Tai Hin	BAZ526	Licensed Representative	9	



## VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
KWOK Ka Yiu	ATD334	Licensed Representative		9
KWOK Kai Wai	ACL567	Licensed Representative	1	
KWOK Kit Ying	AXZ904	Licensed Representative	9	
KWOK Man Man Mimi	BIS210	Licensed Representative	4, 9	
KWONG Siu Chung	AOC759	Licensed Representative	4	
LAI Chi Hoi	APW068	Licensed Representative		4, 9
LAI Chi Kit	BBQ037	Licensed Representative	1	
LAI Ming Tak	AAW154	Licensed Representative		4, 5
LAM Cheuk Sun	AXZ771	Licensed Representative		4
LAM Chi Pui	BID982	Licensed Representative	2	
LAM Ka Ling	AYC315	Licensed Representative		2, 3
LAM Yip Kay John	ARS101	Licensed Representative		1, 9
LAU Ka Ngai	AJF055	Licensed Representative	9	2
LAU Ka Tsun	BDV087	Licensed Representative	1, 4	
LAU Wai Chung	AAG741	Licensed Representative	2	
LAW On Yee	AWQ303	Licensed Representative		4
LAW Siu Cheong	BHT185	Licensed Representative	4, 5, 9	
LEE Chin Hang	AOC975	Licensed Representative	4, 9	
LEE Chun Leung	ABM913	Licensed Representative	9	
LEE Chun Tung	BHU897	Licensed Representative	4	
LEE Chung Shing, Javan	AFR743	Licensed Representative	4	
LEE Gar Chung, Jason	AWC884	Licensed Representative		9
LEE Hoi Kam	ABE301	Licensed Representative		2
LEE Hon Nam	AFU680	Licensed Representative	1	
LEE Lok Hin, Clement	BHZ872	Licensed Representative	9	
LEE Shun Hang	BGD494	Licensed Representative	2	
LEE Suk Wah, Inessa	ACH127	Licensed Representative		1, 2
LEE Tin Yau, Eugene	ADV918	Licensed Representative		1
LEE Wai Ling	BFS692	Licensed Representative	9	
LEE Wing Shing	AJD174	Licensed Representative		1
LEONG Chi Fung	AJM781	Licensed Representative	2	9
LEUNG Chun Hung	AUP391	Licensed Representative		3, 4
LEUNG Lap Chi	AEK107	Licensed Representative		4
LEUNG Sau Fan, Sylvia	ACE865	Licensed Representative		4
LEUNG Wai Man	ALK478	Licensed Representative	1	
LEUNG Wu Yan	BGM573	Licensed Representative	4, 9	
LI Han ting	ATI121	Licensed Representative	1, 4	
LI Hok Lai	AGJ947	Licensed Representative	9	
LI Hong	BJD743	Licensed Representative	6	9
LI Jiewen	BHH221	Licensed Representative	2	
LI Po Yi	AWQ112	Licensed Representative	9	
LI Sihui	BBM227	Licensed Representative	9	1, 4
LI Sing Yeung	ANK461	Licensed Representative		1
LI Tangtan	BFZ213	Licensed Representative	4	
LI Wai Han	AVX424	Licensed Representative	1	
LI Wei	BIC106	Licensed Representative	2	
LI Xiaolin	BID274	Licensed Representative	1	6
LI Yiming	BFT378	Licensed Representative	4	
LI Yuna	AYZ338	Licensed Representative		1, 2
LI Yunlu	BET994	Licensed Representative	1, 4	
LIN Hiu Pui Leo	AXZ873	Licensed Representative		3
LIN Huanjie	BJE019	Licensed Representative	3	
LIN Xiaotian	ASY956	Licensed Representative	9	
LING Man Ping	AZB304	Licensed Representative	5	
LIU Hui	AHO166	Licensed Representative	9	
LIU Sai Kit	BJS797	Licensed Representative	3	
LIU Tianshu	BIZ149	Licensed Representative	1, 9	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Wei	ALG199	Licensed Representative		4
LIU Xiangting	BDL783	Licensed Representative	2	4
LIU Xiaohong	AGC636	Licensed Representative	1	
LIU Yaqu	AUI166	Licensed Representative	2	
LO Ka Kuen	APK408	Licensed Representative	2	
LO Ngar Yee, Fanny	AEA182	Licensed Representative		3
LO Ping Yue	BHJ266	Licensed Representative		2, 7
LO Yuen Ting	BBN283	Licensed Representative		2
LOOK Andrew	ACO365	Licensed Representative		4
LU Mei Ying	AHY761	Licensed Representative		1
LUO Jiawen	BGE033	Licensed Representative	2	
MA Menglong	BEA929	Licensed Representative		4
MA Xiyuan	BIU614	Licensed Representative	1, 4	
MAK Chung Kit	BBP197	Licensed Representative		3
MAK Hoi Ning	AVA406	Licensed Representative	2	
MAN Sing Wa	AOX779	Licensed Representative	4	
MOK Siu Yin	BBS891	Licensed Representative	3	
MORRISON Ben Edward	BEV147	Licensed Representative	4, 5	
NAM Soyoung	AWO639	Licensed Representative	1	
NG Ka Li	ANP858	Licensed Representative	4, 9	
NG Kong Yong	ABN501	Licensed Representative	9	4
NG Wai Ho	AVL488	Licensed Representative		5
NGAI Jasper Jo-pak	BHQ321	Licensed Representative	6	
NGAI Kevin	BEM792	Licensed Representative	9	6
NGAN Ka Sing	AUM483	Licensed Representative	9	3
NISHI Tetsuhiro	AUV963	Licensed Representative	2, 5	7
PAN Hao	BHR983	Licensed Representative	4	
PAN Vivian Chor Ying	BFW980	Licensed Representative	1, 2	4, 9
PAN Yi	BDR365	Licensed Representative	9	6
PAN Yongxu	BFP846	Licensed Representative		4
PANJWANI Rajesh	ARQ373	Licensed Representative	5, 9	
POON Chun Ming	BFR074	Licensed Representative	1	
POON Steven Colin	APL765	Licensed Representative		3
POON To Sing	AAJ268	Licensed Representative		2, 4
QIAN Shihang	BEE963	Licensed Representative	4	
QIAN Yifei	BBD017	Licensed Representative		1, 2
RAN Dong	ARP048	Licensed Representative	1, 4	
SANDSTROM Jon Olof	BCA039	Licensed Representative		9
SHAM Shiu Cheong	BEB931	Licensed Representative		2
SHANN Shu-Jiun	BHF098	Licensed Representative	2, 4	
SHAO Yuancheng	BFE479	Licensed Representative		4
SHEK Wing Kit	ANR257	Licensed Representative	9	
SHUM Man Hsih, Jannie	AGH260	Licensed Representative		9
SHYU Li-han	ARE465	Licensed Representative	4	
SIM Emily Shao Hua	AUM601	Licensed Representative		2
SIU Hiu Wang	AVJ282	Licensed Representative		4
SIU Yuen Ting	BJQ568	Licensed Representative	4	
SO Chun Yin	BIC661	Licensed Representative	1	9
SONG Jiaying	BBR202	Licensed Representative	4	
SOO Chi Kit	AOI967	Licensed Representative		2
SUN Changyu	BES708	Licensed Representative	2, 7	4
SUN Yilin	BHZ452	Licensed Representative		4
SUNG Siu Hang, Sennen	AOR113	Licensed Representative		2
SZE Ka Kwan	ASI039	Licensed Representative	1	
TAM Cheuk Yiu, Charmaine	BDM901	Licensed Representative	2	
TAM Ho Yin, Jude	ALA228	Licensed Representative		2
TAM Hoo Tsun	AKW722	Licensed Representative	4	



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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TAM Leo Ho Kei	BEK751	Licensed Representative	9	
TAN Xiaoqian	BGQ372	Licensed Representative		6
TING Wai Lok	BAG338	Licensed Representative	9	4
TONG Ka Wai, Geoffrey	AXG146	Licensed Representative	9	
TONG Wing Yung, Richard	AEA586	Licensed Representative	4	
TSAI Kuan-Chi	AOK522	Licensed Representative	4	
TSAI Terence Houli-Yran	AOO483	Licensed Representative	1	
TSANG Chun Man	AMU470	Licensed Representative	2, 9	
TSE Tak Yee, Livia	AIQ483	Licensed Representative		9
TSUI Chek Ming	AET441	Licensed Representative		1, 2
TSUI Chi Gai	AVQ208	Licensed Representative		9
WAN Zying	BJL539	Licensed Representative	3	
WANG Cheng	APD923	Licensed Representative	1	
WANG Deli	BBP491	Licensed Representative	4, 5, 9	
WANG Hoi Lun	BDR371	Licensed Representative	4	6
WANG Rullin	BGJ256	Licensed Representative	1	4, 9
WANG Sichen	BIM096	Licensed Representative	1, 6	
WANG Xiao	BGK625	Licensed Representative		6
WANG Yaoping	ALE841	Licensed Representative	1	
WANG Yawei	BEA503	Licensed Representative	4, 9	1, 6
WANG Zhen	AZC796	Licensed Representative	6	
WATT Robert Andrew	ABX771	Licensed Representative	1	
WEBB Robert	ALQ617	Licensed Representative	4, 5	
WEINBERG Daniel Michael	AQX998	Licensed Representative		1, 2
WENG Xingyu	BHT182	Licensed Representative	2, 4	9
WONG Chun Chi	AXQ876	Licensed Representative		2
WONG Chun Wah	AAA213	Licensed Representative		2, 5
WONG Chun Wai	AJJ806	Licensed Representative	4	
WONG Chun Yu	BGC479	Licensed Representative		4
WONG Chun Yuen	AQA097	Licensed Representative	4, 9	
WONG Cliff Gordon	BJG893	Licensed Representative	6	
WONG Ka Ming	ATO476	Licensed Representative	9	
WONG Kam Fai	BFS886	Licensed Representative	4, 9	
WONG Mei Ning	AXJ864	Licensed Representative	2	9
WONG Wai Chi, Erica	AQA119	Licensed Representative		4
WONG Wai Hean	AAF734	Licensed Representative		2
WONG Wai San	AHP442	Licensed Representative		4
WONG William	AQQ330	Licensed Representative		2
WONG Wing Hang	BDW769	Licensed Representative	4	
WONG Ying Kit	APH572	Licensed Representative		2
WOO Wai Tong	ADZ983	Licensed Representative		9
WU Ching Hon	AUG330	Licensed Representative	4, 9	
WU Hong	AVM922	Licensed Representative		1
WU Jennifer Shauyu	BFX500	Licensed Representative	6	2, 4, 5, 7
WU Tingting	AYQ131	Licensed Representative	6	
WUN Wai Yee, Duncan	AAD933	Licensed Representative	9	
XI Qiruo	BEB622	Licensed Representative	4, 9	6
XIA Chenxi	BEN358	Licensed Representative	4, 9	2
XIE Yi	BDN986	Licensed Representative	5	
XU Jack Wentao	ARL031	Licensed Representative	10	1
XU Jiaqi	BGX947	Licensed Representative	1	
XU Ruqi	BDD863	Licensed Representative		1
YAMAMOTO Masahiko	ABG936	Licensed Representative		1
YAU Canon	BBM051	Licensed Representative	9	1
YAU Hoi, Alecto	BAW972	Licensed Representative		1, 4
YAU On Na	AQS326	Licensed Representative		9
YEUNG Chun Man	ANP664	Licensed Representative	4	



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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YIN Ke	All428	Licensed Representative		2
YIP Lu Si	BEZ348	Licensed Representative	9	
YIP Wai Han, Clara	AOQ537	Licensed Representative	9	1, 2
YIU Kam Wai, Martin	ALL047	Licensed Representative	4	
YOUNGBLOOD Mark Leland	ABT234	Licensed Representative	4	
YU Ching Yat	ABF946	Licensed Representative		4, 9
YU Jiawei	BGL471	Licensed Representative	1, 6	4
YU Pui Kit, Jeffrey	BBR450	Licensed Representative	1, 4	
YU Shui Sang, Bernard	AEO855	Licensed Representative		2
YUE Yi	BHK601	Licensed Representative		1
YUEN Chak Sang	AZC286	Licensed Representative		4
YUEN Che Hay	AWA517	Licensed Representative	9	
ZENG Zhongqing	AVP034	Licensed Representative	1, 2	4
ZHANG Feiran	BFU387	Licensed Representative	1	
ZHANG Huachen	BGU214	Licensed Representative	1, 2	
ZHANG Senquan	BDM504	Licensed Representative		6
ZHANG Yueyang	BHW836	Licensed Representative	1	4, 9
ZHAO Meiqi	BFZ007	Licensed Representative	6	
ZHAO Tao	AZX096	Licensed Representative		6
ZHENG Junwei	BIX764	Licensed Representative	5	
ZHENG Yangjie	ATB833	Licensed Representative	9	
ZHOU Hongfei	BIC180	Licensed Representative	2, 6	
ZHOU Silong	BCE950	Licensed Representative	9	
ZHOU Tingting	AUG803	Licensed Representative	6	
ZHOU Yake	BGZ975	Licensed Representative	3	
ZHOU Zhiran	BBR193	Licensed Representative	9	4
ZHOU Zhuo Hui	ATL987	Licensed Representative	2, 5	9
ZHUANG Wei	BCE948	Licensed Representative	4	
ZOU Da	BBU879	Licensed Representative		4
Winnie	AXR100	Licensed Representative	2	
AU Tsan Sang	AAF445	Licensed Representative - Responsible Officer	9	
CHAN Justin Sano	AFY829	Licensed Representative - Responsible Officer	4	
CHAN Ka Kit	BAY064	Licensed Representative - Responsible Officer	9	
CHAN Man Yuen	APX122	Licensed Representative - Responsible Officer	4, 9	
CHAN Wing Yau, George	AAC044	Licensed Representative - Responsible Officer	1	
CHANG Tun Fu	BHR628	Licensed Representative - Responsible Officer	4	
CHEN Chen	AVZ038	Licensed Representative - Responsible Officer	1, 4	
CHENG Kong Wai	ANM032	Licensed Representative - Responsible Officer	5	
CHING Seih Hong, Andy	ACT591	Licensed Representative - Responsible Officer	2, 5	
CHING Sick Lun, Wallace	ABR628	Licensed Representative - Responsible Officer	1	
CHONG Yvonne	AJE056	Licensed Representative - Responsible Officer	2, 5	
DENG Yun	AOY055	Licensed Representative - Responsible Officer	2, 4, 5, 9	
FAN Yi	AUV739	Licensed Representative - Responsible Officer	1, 4	
GREEN Joshua Michael	AEZ188	Licensed Representative - Responsible Officer	1	
HAN Xue	BGM427	Licensed Representative - Responsible Officer	4	
HO Sze Man, Benedict	AKP070	Licensed Representative - Responsible Officer	1	
HU Guangtao	BGT088	Licensed Representative - Responsible Officer	1	
HU Yi Ding	ACC228	Licensed Representative - Responsible Officer	2, 5	
HUI Wai Man	AIU457	Licensed Representative - Responsible Officer	4	
JIA Jia	BHY707	Licensed Representative - Responsible Officer	9	
KONG Gideon Tsz Chung	AEI058	Licensed Representative - Responsible Officer	1	
LAM Chi Ping	AOY505	Licensed Representative - Responsible Officer	4	
LAM Sau Tung	APS739	Licensed Representative - Responsible Officer	9	
LEE Francis	AIM240	Licensed Representative - Responsible Officer	4	
LEE Hoi Kam	ABE301	Licensed Representative - Responsible Officer	4	
LEE Wing Shing	AJD174	Licensed Representative - Responsible Officer	4, 9	
LEWIS Gareth Rhys	AOX202	Licensed Representative - Responsible Officer	1	



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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LI Xiaoting	AVS858	Licensed Representative - Responsible Officer	4	
LI Zhengrong	BEC138	Licensed Representative - Responsible Officer	6	
LIU Tai Yum	ACP059	Licensed Representative - Responsible Officer	2, 5	
LUK Chi Woon, Peter	AHB993	Licensed Representative - Responsible Officer	1	
MA Tak Wah	AUD467	Licensed Representative - Responsible Officer	1	
MA Wing Sing	AJ1838	Licensed Representative - Responsible Officer	4	
MAN Kong Yui	ABV019	Licensed Representative - Responsible Officer	4	
MC CAREINS JR John Martin	BHF472	Licensed Representative - Responsible Officer	4	
MENG Ning	BGE659	Licensed Representative - Responsible Officer	1	
MOK Yue Pang	APJ712	Licensed Representative - Responsible Officer	4	
ROGER Stephane Serge Claude	AVM681	Licensed Representative - Responsible Officer	1	
SHEN Tailong	ARO891	Licensed Representative - Responsible Officer	5	
TIU Wah Sun	AAE163	Licensed Representative - Responsible Officer	2	
TSANG Man Shun	AFS253	Licensed Representative - Responsible Officer	1	
VERVOORN Jelle	AYE788	Licensed Representative - Responsible Officer	1	
WANG Chong	AYS816	Licensed Representative - Responsible Officer	1	
WEINBERG Daniel Michael	AQX998	Licensed Representative - Responsible Officer	4	
WERNO Alexandre Maurice Louis	APP898	Licensed Representative - Responsible Officer	1	
WONG Ka Yi	AMX935	Licensed Representative - Responsible Officer	4	
WONG Kam Wing	AAE562	Licensed Representative - Responsible Officer	2, 4, 5	
WONG Wai Ming, Ricky	AEM186	Licensed Representative - Responsible Officer	2, 5	
XIA Runzhe	AXD122	Licensed Representative - Responsible Officer	4	
XU Yihua	AOR654	Licensed Representative - Responsible Officer	2, 4, 5, 9	
YAN Qianfeng	BAX589	Licensed Representative - Responsible Officer	1, 2, 4, 5	
YOU Tao	BGM425	Licensed Representative - Responsible Officer	4	
YU Shui Sang, Bernard	AEO855	Licensed Representative - Responsible Officer	4, 9	
YUNG Sin Leung	ABV409	Licensed Representative - Responsible Officer	4, 9	
ZHANG Ning	AGD246	Licensed Representative - Responsible Officer		4
ZHAO Qian	AOH251	Licensed Representative - Responsible Officer	4	
CHEN Yiran	BJW905	Licensed Representative (Provisional Licence)		1, 5
LAM Boon Ho, Benjamin	AHA421	Licensed Representative (Provisional Licence)	2	
LAU Tin Yau	BKL785	Licensed Representative (Provisional Licence)	2	
LEE Joanshien, Johanne	AOI966	Licensed Representative (Provisional Licence)	1	
XU Wenyun	BKE808	Licensed Representative (Provisional Licence)	2	
YU Nga Shan	APJ556	Licensed Representative (Provisional Licence)	5	
ZHAO Pengfei	BKA562	Licensed Representative (Provisional Licence)	7	
ABN AMRO Bank N.V.	AUR972	Registered Institution		4
Coutts & Co AG	ABR813	Registered Institution		4
EFG Bank AG	AFV863	Registered Institution	4	