



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
AXA IM Hong Kong Limited (trading as AXA Investment Managers Chorus)	BHJ583	Licensed Corporation	1, 4, 5, 9
BD Consultraining Limited	BIR394	Licensed Corporation	9
Bucephalus Research Partnership Limited	BIG811	Licensed Corporation	4
CMBC International Capital Limited	BIY679	Licensed Corporation	1, 6
Cypress House Capital Limited	BIR991	Licensed Corporation	1, 6
Galaxy Treasure International Asset Management Limited	BIT632	Licensed Corporation	4, 9
Galaxy Treasure Securities Group Limited	BIT628	Licensed Corporation	1, 4
Goldlink Asset Management (Asia) Limited	BIV193	Licensed Corporation	4, 9
Goldstream Securities Limited	BGP802	Licensed Corporation	1
Kaiser Capital Management Limited	BFW688	Licensed Corporation	4, 9
Maximum Success Capital Partners Limited	BIJ477	Licensed Corporation	1, 6
Mirae Asset Securities (HK) Limited - Daewoo Securities (Hong Kong) Limited (ACO292) and Mirae Asset Wealth Management (HK) Limited (ANR723) amalgamated on 1 March 2017, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Mirae Asset Wealth Management (HK) Limited (BJB333).	BJB333	Licensed Corporation	1, 4, 6, 9
North Oakridge Asset Management Limited	BIJ678	Licensed Corporation	4, 9
Opus Securities Limited	BFY678	Licensed Corporation	1
Oriental Ever Investment Limited	BIS925	Licensed Corporation	1
Premia Partners Company Limited	BIN676	Licensed Corporation	1, 4, 9
Pulsar Capital Limited	BIK292	Licensed Corporation	1, 6
RB Management Limited	BIX420	Licensed Corporation	1, 4
Seiga Asset Management Limited	BIU823	Licensed Corporation	9
Sino Opulence Asset Management Co Limited	BIJ658	Licensed Corporation	4, 9
SP Global Access Limited	BIO246	Licensed Corporation	1
SRI (Hong Kong) Limited	BIS161	Licensed Corporation	9
Vontobel Limited	BIZ163	Licensed Corporation	1, 2
Wellington Financial Limited	BHX373	Licensed Corporation	1, 9
ANDERSON HANNAH JESS	BJB153	Licensed Representative	1, 4, 9
ANUGERAH Billy	BIY120	Licensed Representative	1
ASHWORTH Simon Henry Charles	ATH999	Licensed Representative	2, 5
AU Hoi Tung	BDV504	Licensed Representative	1, 2
AU Ka Ki	AWW584	Licensed Representative	2
AU Miu Ting	BJC177	Licensed Representative	4, 9
AU Pok Wai	BJC529	Licensed Representative	1, 2
AU Yuet Ting	BJC097	Licensed Representative	1
BACHELIER Bruno Jean Marie	ARE462	Licensed Representative	9
BAI Xiaolan	BIY887	Licensed Representative	4, 9
BAKKER Jarrod Kane	BJC069	Licensed Representative	1, 2
BOB Hang Wai	BJB655	Licensed Representative	1
BOND Matthew James William	BJB297	Licensed Representative	1, 4
CAMPBELL Carolyn Leigh	BIM466	Licensed Representative	1, 2
CAO John	AKW734	Licensed Representative	6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CAO Sheng	BIZ295	Licensed Representative	9
CAO Yining	BJD165	Licensed Representative	6
CHAN Cho Wai, Jo-jo	AEM930	Licensed Representative	1
CHAN Chun Yin, Allen	BIZ614	Licensed Representative	1, 4
CHAN Hoi Lik	BJC397	Licensed Representative	1
CHAN Ka Kei	BJC388	Licensed Representative	1
CHAN Man Kit	BIY774	Licensed Representative	1, 2
CHAN Mei Yin	ARV721	Licensed Representative	1, 4
CHAN Tak Mo	BIZ898	Licensed Representative	1, 4
CHAN Tsz Keung	BIX487	Licensed Representative	1
CHAN Wan Yan, Rachel	AUP390	Licensed Representative	1
CHAN WING HIM	BJB921	Licensed Representative	2
CHAN Yat Wai	BIZ508	Licensed Representative	1
CHAN Yik Kin	BIZ917	Licensed Representative	1
CHAN Yin Fung	AXQ403	Licensed Representative	9
CHAN YUE HON	BJB031	Licensed Representative	1, 7
CHANG Yun-Wei	BIZ266	Licensed Representative	1
CHAO Zi Hoe Alvin	BIZ755	Licensed Representative	4
CHEANG Yee Chung	AHV027	Licensed Representative	1, 6
CHEN Shijie	BEA821	Licensed Representative	4, 9
CHEN Sibon	BIY890	Licensed Representative	1
CHEN Yidi	BIY868	Licensed Representative	1, 4
CHEN Yue	BAA910	Licensed Representative	1, 6
CHEN Zhihu	BAH710	Licensed Representative	1, 4, 9
CHENG Cho Yan	BJC695	Licensed Representative	6
CHENG Daming	BIV236	Licensed Representative	1, 4, 6
CHENG Haiwen	BIN077	Licensed Representative	1, 4
CHENG Jen-Chieh	BIY917	Licensed Representative	1, 6
CHENG Kaili	BIY481	Licensed Representative	1, 4
CHENG Man Yi	BJC705	Licensed Representative	1, 4
CHENG Wan Ting	BJB732	Licensed Representative	1, 4, 9
CHEONG Wei Yue	BDU950	Licensed Representative	1
CHEUNG Kam San	BJC186	Licensed Representative	1, 6
CHEUNG Wai Yi	BCV713	Licensed Representative	1, 4
CHIA Xiang, Benny	BIZ752	Licensed Representative	1, 2
CHIN Hyun-Joon	ARN998	Licensed Representative	1, 4
CHING Wing Sze	AXG550	Licensed Representative	1, 4
CHIU Chee Keung, Benjamin	AMS034	Licensed Representative	1, 2
CHIU Fung Yee	ASX343	Licensed Representative	1, 4
CHIU Krystal Yu Pui	ALN581	Licensed Representative	1
CHIU Man Wai Janice	BJD985	Licensed Representative	6
CHOI Chik Chau	BJE839	Licensed Representative	1, 4, 6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOI Lai Ling	AFW854	Licensed Representative	1, 4
CHOI Ping Kwan	BAU486	Licensed Representative	1, 2
CHOI Shing Chi	BJD756	Licensed Representative	1, 6
CHONG Hei Tung	BJD910	Licensed Representative	6
CHONG Ho Wai, Howard	BJC704	Licensed Representative	9
CHOU Pei Shen	BJB366	Licensed Representative	6
CHOW Hei Man	ARN460	Licensed Representative	1, 2, 4, 9
CHOW Wing To	AWP278	Licensed Representative	1, 4
CHU Kin Fun, John	AEE801	Licensed Representative	9
CHU Kin Shing Stephen	BJD164	Licensed Representative	1
CHU Wai Hei	BJC071	Licensed Representative	1, 4, 6
CHUNG Jason	BJB856	Licensed Representative	1, 4, 6
DASH Kaushik	APK998	Licensed Representative	1, 6
DE POY Erik Dean	BJB190	Licensed Representative	4
DE SEQUERA-UGARTE Juan Andres	BJC175	Licensed Representative	6
DELORENZI Raffaele	BJD135	Licensed Representative	4, 9
DENG Yuanyuan	BIZ022	Licensed Representative	1, 6
DENTANANAN Peerawat	BIZ969	Licensed Representative	4
DING Ling	BJB899	Licensed Representative	1, 2
DOKANIA Gunjan	BJB452	Licensed Representative	1, 2
DONG Shi	BIZ711	Licensed Representative	1, 4, 6
DONG Yu	BJC698	Licensed Representative	1
DOWDEN Clare	BIT489	Licensed Representative	1, 2, 6
DU Haomin	BIW266	Licensed Representative	4
DU Sixin	BJC747	Licensed Representative	1, 4, 9
DU Wenzhuo	BHB625	Licensed Representative	4
EVANS Stuart	BJC221	Licensed Representative	2, 5
FITKARIWALA Ankit	BJC894	Licensed Representative	4
FOK Kam Kong	BJD167	Licensed Representative	1, 2
FONG Shin Tsing, Bonnie	AIY237	Licensed Representative	1
FONTANILLA Jose-Paolo Cuyegkeng	BJA058	Licensed Representative	4
FORSYTH David Spence	BJB689	Licensed Representative	1
FU Hoi Yeung	BJC220	Licensed Representative	1
FUNG Wing Wa	BJC188	Licensed Representative	1, 2, 4
GAO Yuan	BJE597	Licensed Representative	1, 4, 9
GREENE Zachary William	BJC240	Licensed Representative	1
GUO Jianfang	BIY948	Licensed Representative	1, 6
GUO Xingyi	BEM537	Licensed Representative	1, 2, 4
HIRIART Mark Francis Richard	APO666	Licensed Representative	1, 2, 7
HO Ho	BJC947	Licensed Representative	9
HO Hoi Lam Rachel	BJB410	Licensed Representative	4, 9
HO Kai Chun	BJG749	Licensed Representative	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Pei Hwa	BJA061	Licensed Representative	4
HO Raymond Ka Wing	AFY752	Licensed Representative	1, 4, 9
HO Ting Ting	BJG755	Licensed Representative	1, 2
HONG Ru	BJC336	Licensed Representative	4
HSU Calvin James	ADM062	Licensed Representative	1, 4, 9
HU Bin	BHF818	Licensed Representative	1, 6
HUA Wen	APK749	Licensed Representative	1, 6
HUANG Chu An	BIT808	Licensed Representative	9
HUANG Wenyin	BJB195	Licensed Representative	10
HUNG King Hoi, Raphael	BJD560	Licensed Representative	9
HUNG Man Yuk Dicson	AMS061	Licensed Representative	4, 9
IP Hon Man	BIZ918	Licensed Representative	1
JACQUOT Thomas	BJA992	Licensed Representative	10
JI Hong	BJC548	Licensed Representative	1
JIANG Wenxian	BIZ758	Licensed Representative	1, 4
JIANG Ye	AYN421	Licensed Representative	1, 4, 9
JIN Zilin	BIZ251	Licensed Representative	1, 4, 6
KAM Fung Man	BJC938	Licensed Representative	1, 2
KANG Di	BIY770	Licensed Representative	9
KAUTZKY Christina Marie	AXT655	Licensed Representative	1, 4
KEITH Bryan Wilson	BIY574	Licensed Representative	1
KERRIGAN Daniel Burke	BIZ540	Licensed Representative	1, 2
KIM Jun Ku	BJA747	Licensed Representative	1, 4
KONG Yong Jun	BJB662	Licensed Representative	1, 2
KUO Tzu Chi	BJC939	Licensed Representative	9
KUROIWA Motohiro	BJC782	Licensed Representative	1, 4, 6
KWAN Kit Ling	BIX484	Licensed Representative	1
KWAN Ling Yi	AHI298	Licensed Representative	1, 2
KWOK Wai Tung	BJB193	Licensed Representative	6
KWONG Chung Ping	BJD189	Licensed Representative	9
KWONG Wai Man	ALU750	Licensed Representative	1
LAI Ching Man	AET937	Licensed Representative	1, 4
LAI Chun Hei	BIV412	Licensed Representative	1, 9
LAM Chak Sin	BJC098	Licensed Representative	1
LAM Ka Wang	BJD797	Licensed Representative	6
LAM Ka Wing, Kelvin	BIY792	Licensed Representative	1
LAM Suk San	BJD143	Licensed Representative	1
LAM Yuen Fun	BJD562	Licensed Representative	1
LAU Chun Hung	BJB485	Licensed Representative	6
LAU Ka Bo Gilbert	BJB668	Licensed Representative	6
LAU Wai Ho	BIZ038	Licensed Representative	1
LAU Wai Ngar Veronica	BJC923	Licensed Representative	10



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU WING CHUNG	BJC513	Licensed Representative	1, 4
LAW Mei Ki	BIZ039	Licensed Representative	1
LEE Benjamin Yi-Hung	BJC087	Licensed Representative	9
LEE Christy	BHF337	Licensed Representative	1
LEE Chun Hoi	AJD777	Licensed Representative	3
LEE Kai Yang	AOJ012	Licensed Representative	1, 4, 9
LEE Kun Tai Steven	BJA762	Licensed Representative	1, 4, 9
LEE Lit Man	AGL490	Licensed Representative	4, 9
LEE Shun Hang	BGD494	Licensed Representative	1
LEE Ying Yee	BIY684	Licensed Representative	1, 6
LENG Xiangyu	BJB293	Licensed Representative	9
LEUNG Derek Ying Wai	ACX247	Licensed Representative	1, 4
LEUNG Ho Kiu	BJB931	Licensed Representative	6
LEUNG Ka Chun Sunny	AQN302	Licensed Representative	1, 4, 9
LEUNG Lai Man	BJB677	Licensed Representative	1, 4
LEUNG Sandy So Kam	AHC209	Licensed Representative	9
LEUNG Yi Nga Eva	BJF248	Licensed Representative	9
LEWIS Gareth Rhys	AOX202	Licensed Representative	4, 9
LI Christopher Ho-shing	BJB842	Licensed Representative	1
LI Hong	BIZ309	Licensed Representative	4
LI Hong	BJC091	Licensed Representative	1, 2
LI Huili	BJB492	Licensed Representative	6
LI Jiexin	BJC190	Licensed Representative	1, 4, 7
LI Longjin	BIY462	Licensed Representative	1, 4
LI Longzhen	BJD807	Licensed Representative	1, 4, 6
LI Mei Hing	ALP487	Licensed Representative	1
LI Mingyang	BJC384	Licensed Representative	1, 2
LI Na	BIY841	Licensed Representative	4, 9
LI Na	BJB579	Licensed Representative	1, 4
LI Ran	BIZ040	Licensed Representative	1, 4
LI Runnan	BIZ046	Licensed Representative	1, 6
LI Siying	BJA891	Licensed Representative	2
LI Xiang	BIY585	Licensed Representative	1
LI Xingfa	BIW250	Licensed Representative	9
LI Yilin	BJD375	Licensed Representative	1, 6
LI Zhiying	BJD149	Licensed Representative	1, 6
LIAN Bo	BBM345	Licensed Representative	1, 4, 6
LIANG Xuena	BJD178	Licensed Representative	1, 2, 4
LIM Juen Lynn	BJE417	Licensed Representative	1, 6
LIN Huanjie	BJE019	Licensed Representative	1, 2
LIN Jingjun	ANR626	Licensed Representative	1, 4, 9
LIN Min	BJB497	Licensed Representative	6



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CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Ruobing	BJE183	Licensed Representative	1, 4, 6
LIN Shan	BIR018	Licensed Representative	4
LIN Xiaying	BIX840	Licensed Representative	4
LIN Ying Cheng	BJB913	Licensed Representative	1, 2, 9
LING Yu Hin	BJC896	Licensed Representative	6
LIU Zhiyang	BIZ274	Licensed Representative	1, 6
LIU Dudu	BJC942	Licensed Representative	1
LIU Jisheng	BJC784	Licensed Representative	4
LIU Meng	BIV464	Licensed Representative	1, 2
LIU Ming	BJD414	Licensed Representative	1, 6
LIU Wai Ho	AWA607	Licensed Representative	1, 4, 6
LIU Wen	BJB902	Licensed Representative	1, 2, 4
LIU Zhihong	AEC891	Licensed Representative	9
LO Lok Hang	BJB226	Licensed Representative	4, 9
LO Luen Sui	AJK528	Licensed Representative	1
LU Junhua	AVX214	Licensed Representative	1, 4, 9
LU Yiwen	BIY787	Licensed Representative	1, 2
LUNDY Travis Stuart	AOH253	Licensed Representative	4, 9
LV Liufeng	BJE217	Licensed Representative	1, 4, 9
MA Jinxuan	BIU772	Licensed Representative	1, 4, 6
MAN CHUN WAI	BJE593	Licensed Representative	1, 4, 6
MAN Chun Wai	BEX763	Licensed Representative	1, 2
MAN Shun Fai	BIZ921	Licensed Representative	1
MARCHAND Xavier	BJC977	Licensed Representative	1, 2, 7
MARTIN Adam Hugh	BEV776	Licensed Representative	1
MILLER Steven Michael	AHF976	Licensed Representative	9
MIYAKE Hiroyuki	BJC697	Licensed Representative	9
MO Mei Ling	AZY143	Licensed Representative	1
MOROZ ANDREW	BJC709	Licensed Representative	9
MURATA Takuya	BJC703	Licensed Representative	1, 4
NG Chi Shing	AXA202	Licensed Representative	1, 2
NG Ching Ho	AXI359	Licensed Representative	9
NG Ka Pong	AGJ944	Licensed Representative	1
NG Kei Man	BHC209	Licensed Representative	1
NG Kit Fong Ashley	AEX131	Licensed Representative	4, 9
NG Man Fung	BIZ757	Licensed Representative	1, 6, 9
NG Si Wing	APG065	Licensed Representative	1
NG Wai Man	AXV193	Licensed Representative	1
NGAN Chin Ming	BJC706	Licensed Representative	6
NGAN Tsung Wen, Melody	AJL556	Licensed Representative	1, 4, 6
NING Bo	BIZ024	Licensed Representative	1, 6
PAN Kai Lam	BJB295	Licensed Representative	1, 9



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
PANDA Angkit	ARG689	Licensed Representative	1, 7
PANDHI Rahul	BJA015	Licensed Representative	1, 2
PARK Min	AFA670	Licensed Representative	9
PEH Chong Ghee	ADV635	Licensed Representative	4
POON Hau Tin	BJB394	Licensed Representative	1, 2
POON Kai Chung	ADV914	Licensed Representative	9
POON Tsz Chun	ARW282	Licensed Representative	1, 4
POPPEL Michael	BJC783	Licensed Representative	1, 2
PUN Yung Yin	BJC895	Licensed Representative	1, 2
QIN Ai Jun	BJC383	Licensed Representative	4
QIN Bo	BIW606	Licensed Representative	1, 4, 6
QIU YATONG	BJC197	Licensed Representative	1
RACCAH Saul George	APD694	Licensed Representative	6
ROBLES MONSALVE Nicolas	BIY675	Licensed Representative	1, 6
ROGERS Oliver James	BJC053	Licensed Representative	1, 2
RUAN Baigang	BIZ378	Licensed Representative	1, 4, 9
SANSONI Sebastien Pierre Valery S.	BJA010	Licensed Representative	1
SHAO Ziheng	BJC228	Licensed Representative	6
SHEK Ting Man	BJC694	Licensed Representative	4
SHI Ji	BJA803	Licensed Representative	4
SHIH Keng-Yu	BAC090	Licensed Representative	4
SIN Kam Kei Susan	BIZ620	Licensed Representative	1, 2
SIT Kin Fung	BJC893	Licensed Representative	1, 2, 4
SNOWDON Andrew Mark	BJB152	Licensed Representative	1
SO Suet Lam	BDH015	Licensed Representative	1, 4, 6
SO Wai Pui Herbert	BJB543	Licensed Representative	1, 4, 9
SON Yoon Kyu	BJC181	Licensed Representative	2, 5
SONG Frank	AXK328	Licensed Representative	1, 4
SONG Sijia	BIY897	Licensed Representative	1, 6
SOTNEVA Yulia	BIZ107	Licensed Representative	1, 6
STEEN Jeremy David	BHR493	Licensed Representative	2
SUEN Pik Wang	AVG406	Licensed Representative	1, 4
SUEN Tin Yan	AXR986	Licensed Representative	1
SUN Chi Hung Samuel	BIY268	Licensed Representative	9
SUN Fangjie	BIV204	Licensed Representative	1, 4, 6
SUN Hugo Kai Jun	BIV470	Licensed Representative	1, 2
SUN Shu I	BDR559	Licensed Representative	1, 4
SZE Kim Kwan	BIZ382	Licensed Representative	1, 2
SZOT Jeffrey Michael	BIZ192	Licensed Representative	1, 2
TAM Howard	BJA785	Licensed Representative	1, 6
TAM Shao Ling	BIZ547	Licensed Representative	1, 4
TAM Wan Fong Amy	BJB542	Licensed Representative	4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAN Jiong	BAU387	Licensed Representative	1
TAN You Khai	BFE580	Licensed Representative	1, 2
TANG Chi Wang	BJE135	Licensed Representative	1
TANG Cho Chun	BJC986	Licensed Representative	1
TANG Zhenyi	BES442	Licensed Representative	1, 4, 6
TANG Zhi	AWW136	Licensed Representative	1, 4, 9
TJON Hui Ya Janice	BIY425	Licensed Representative	1
TO Jun For	BIZ551	Licensed Representative	4
TOW Terence Michael	BFE450	Licensed Representative	9
TSANG Hing Hung	AFG417	Licensed Representative	9
TSANG Siu Tung, Tony	AFZ150	Licensed Representative	1, 4, 9
TSE King Hei	BJB935	Licensed Representative	6
TSOI Ha Chun Roman	BJB404	Licensed Representative	1, 4
TU Yung Cheng	BJD975	Licensed Representative	9
URQUHART Nathan Kyle	BJB189	Licensed Representative	1, 9
VINCELLI Stefano	BDH346	Licensed Representative	1
WAN KAMARUZAMAN Wan Ahmad Shukri	BJC172	Licensed Representative	4, 9
WANG Chuan	BIW808	Licensed Representative	1, 6
WANG Jing	AUQ482	Licensed Representative	1
WANG Qi	BJC559	Licensed Representative	4
WANG Shuai	AOH868	Licensed Representative	4
WANG Shuaifan	BIW766	Licensed Representative	1, 4, 6
WANG Shuhua	BJC950	Licensed Representative	1, 4, 9
WANG Xingjie	BIZ041	Licensed Representative	1, 6
WANG Yiwei	BJD177	Licensed Representative	1
WEI Xiao	BIX272	Licensed Representative	9
WONG Hiu Wa	BJB491	Licensed Representative	1, 4
WONG Arthur Siu On	AFP365	Licensed Representative	1, 4
WONG Chi Hung	BJB187	Licensed Representative	1, 4
WONG Chi Kong	BJC707	Licensed Representative	6
WONG Chun Kit	BJC702	Licensed Representative	6
WONG HO LUN	BJF230	Licensed Representative	1, 6
WONG Ka Wing	AVZ613	Licensed Representative	1, 4
WONG Shing Wai	BJD129	Licensed Representative	1
WONG Tsz Ying, Phoebe	BJC370	Licensed Representative	1, 6
WONG Wai Wah	ACJ850	Licensed Representative	1, 4
WONG Ying Kin	AJK619	Licensed Representative	9
WONG Yiu Ming Simon	BJC392	Licensed Representative	1, 6
WU Lei	BIX235	Licensed Representative	2
WU Nga Kam, Anna	AFP897	Licensed Representative	1
WU Qingyan	BIW801	Licensed Representative	1, 6
WU Tzu Chun	BJA729	Licensed Representative	1, 6





**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Xiaoran	BIW733	Licensed Representative	1, 4, 6
XIA Jiajie	BJB584	Licensed Representative	1, 4
XIA Yun	BJA039	Licensed Representative	9
XU Lily	BJB451	Licensed Representative	1, 6
XU Lu	BJC558	Licensed Representative	1, 4, 6
XU Wenyin	BJD206	Licensed Representative	4, 9
XU Ye	BIZ034	Licensed Representative	4
YAM Mei Yan	BJB499	Licensed Representative	6
YAM Ping Hung	BJC340	Licensed Representative	1
YAN Ruoxi	BJC232	Licensed Representative	4, 9
YAN Yajing	BJC393	Licensed Representative	1, 6
YANG Han	BIX762	Licensed Representative	4
YANG Shijing	AUU963	Licensed Representative	2, 5
YANG Tung Han	BJD657	Licensed Representative	1, 6
YANG Ying	BIY483	Licensed Representative	2
YANG Yiting	BIZ379	Licensed Representative	1, 4
YANG Yuanhao	BJB251	Licensed Representative	1, 6
YAO Alex Krystal	ACS438	Licensed Representative	4, 9
YE Dui	BJC092	Licensed Representative	1, 6
YE Fangxin	BIY958	Licensed Representative	1, 6
YEUNG Choi Fung, Amy	ANN663	Licensed Representative	1, 4
YEUNG Ka Chun	BGY824	Licensed Representative	4, 9
YEUNG Ka Li, Carrie	BJD411	Licensed Representative	1, 4
YEUNG Tsz Fung	BJC398	Licensed Representative	1, 6
YEUNG Yeung	BJE026	Licensed Representative	1, 6
YIN Kin Kwok	BJB514	Licensed Representative	1, 4, 9
YIP Chi Yin	AUS286	Licensed Representative	1, 2
YIP Gloria Sze Wan	BJC786	Licensed Representative	1
YIP Ho Chi, Dominic	BIZ712	Licensed Representative	1
YIP Timothy Churk Yue	APF351	Licensed Representative	1, 4
YU Li	BJA971	Licensed Representative	2
YU Liping	BIX637	Licensed Representative	1, 2
YU Wenrui	BIZ616	Licensed Representative	4
YUE Yuan	BJB569	Licensed Representative	4, 9
YUNG Shek Man	BJD644	Licensed Representative	1, 2
ZENG Jiahao	BIW225	Licensed Representative	1, 2
ZHAI Mo	BIX847	Licensed Representative	1, 6
ZHAN Botao	BJE192	Licensed Representative	1, 4
ZHANG Chonghui	BJD902	Licensed Representative	6
ZHANG Junye	BIZ576	Licensed Representative	1
ZHANG Muyun	BJD658	Licensed Representative	1, 6
ZHANG RUIZHI	BIY029	Licensed Representative	1, 2



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Shuangyi	BIY856	Licensed Representative	4, 9
ZHANG Tingfeng	BJB494	Licensed Representative	6
ZHANG Yan	BIX275	Licensed Representative	1, 4, 9
ZHANG Yidong	BIS749	Licensed Representative	4
ZHANG Yuanyun	BJD173	Licensed Representative	1, 4, 6
ZHANG ZICHEN	BJB888	Licensed Representative	2, 5
ZHAO Dan	BIW809	Licensed Representative	4
ZHAO Lei	BIX254	Licensed Representative	9
ZHENG Xiaorong	BJB937	Licensed Representative	3
ZHI Zhihong	AYG281	Licensed Representative	1
ZHOU Lyu	BJB337	Licensed Representative	4, 9
ZHOU Weiguang	BIZ585	Licensed Representative	1, 6
ZHOU ZHE	BJD408	Licensed Representative	4
ZHU Feng	BIW999	Licensed Representative	2
ZHU Yahua	BGN043	Licensed Representative	1, 6
ZHUANG SONG	ATJ432	Licensed Representative	1, 2
ZHUANG Yuan	BIU781	Licensed Representative	1, 4, 6
ZONG Fang	BJD918	Licensed Representative	1, 4, 9
BROCHARD Jerome Andre Jean Louis	BIO666	Licensed Representative - Responsible Officer	1, 4, 5, 9
CHAN Hector	ATG841	Licensed Representative - Responsible Officer	1, 4, 5, 9
CHEUNG Fei Chau	AGC679	Licensed Representative - Responsible Officer	1
CHOY Wing Sze, Rose	AIX156	Licensed Representative - Responsible Officer	1, 4
CHU Brenda Ka Ling	BIY961	Licensed Representative - Responsible Officer	1, 4
CHU Richard Shan Yao	AUW031	Licensed Representative - Responsible Officer	9
CHUA Chee Seng	AYG334	Licensed Representative - Responsible Officer	4
CHUI Chi Wai, Joseph	BIZ909	Licensed Representative - Responsible Officer	4
DE WAARD Ferdinand	ASM100	Licensed Representative - Responsible Officer	2
FRIEDLANDER Michael Arthur	ARJ342	Licensed Representative - Responsible Officer	9
GE Wenhong	BHO307	Licensed Representative - Responsible Officer	2
HIL Chi Yung	AAJ464	Licensed Representative - Responsible Officer	1, 4
HO Ching To	BIZ763	Licensed Representative - Responsible Officer	1
HONG Yu	BIK720	Licensed Representative - Responsible Officer	4, 9
HUONG Cheong Fai	ALU603	Licensed Representative - Responsible Officer	9
IP Hiu Man, Florence	AIZ577	Licensed Representative - Responsible Officer	2
JUILLARD Pierre-Emmanuel Yves	AWH518	Licensed Representative - Responsible Officer	1, 4, 5, 9
KIM Tae Hoon	BIN247	Licensed Representative - Responsible Officer	4
LEE Chih-Hui	BIM706	Licensed Representative - Responsible Officer	4, 9
LEE Pei Yuan	AWJ832	Licensed Representative - Responsible Officer	1
LEE Yen-Chin	BIK226	Licensed Representative - Responsible Officer	1, 6
LI Kecheng	AKO454	Licensed Representative - Responsible Officer	9
LIU Hang Yu	AWC324	Licensed Representative - Responsible Officer	6
LU Yuan-chung	BIY024	Licensed Representative - Responsible Officer	1, 4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
MEDD Alexander Robert	ADL651	Licensed Representative - Responsible Officer	4
MENDOZA BEGGIATO Juan Manuel	AXK855	Licensed Representative - Responsible Officer	1, 4, 9
NUZUM JR Richard Langdon	ALH870	Licensed Representative - Responsible Officer	1, 4
PFISTER Urs Christoph	BIZ166	Licensed Representative - Responsible Officer	1, 2
SLOAN Stephen Coulam	BJC542	Licensed Representative - Responsible Officer	1
TAM Ho Yeung	ASZ119	Licensed Representative - Responsible Officer	9
TAN Yen Keng	AOA645	Licensed Representative - Responsible Officer	9
TO Jun For	BIZ551	Licensed Representative - Responsible Officer	1
WANG Jinglei	BIS929	Licensed Representative - Responsible Officer	1
WANG Ren	AIB127	Licensed Representative - Responsible Officer	4, 6
WONG Stacey Martin	ABM370	Licensed Representative - Responsible Officer	1, 6
WONG Teck Chit, Alfred	AWF438	Licensed Representative - Responsible Officer	4, 9
XU Zhe	ASU357	Licensed Representative - Responsible Officer	4, 9
YAU Hok Wai, Henry Clement	ACH182	Licensed Representative - Responsible Officer	1, 6
YE Qing	BHR576	Licensed Representative - Responsible Officer	1, 4, 6, 9
YIP Ka Lam	AJA184	Licensed Representative - Responsible Officer	4, 9
YUNG Sai Kuen	AIH349	Licensed Representative - Responsible Officer	1
ZHAO Meng	ATM955	Licensed Representative - Responsible Officer	4
ZHU Boyang	BIG120	Licensed Representative - Responsible Officer	1
AEPLI Kevin Holliday	BJG432	Licensed Representative (Provisional Licence)	1
ASHWORTH Simon Henry Charles	ATH999	Licensed Representative (Provisional Licence)	2, 5
AU Chun Kit, Paul	AGN721	Licensed Representative (Provisional Licence)	4, 9
AU Chun Tung	BJE569	Licensed Representative (Provisional Licence)	6
BAI Yun	BJI014	Licensed Representative (Provisional Licence)	4, 9
BHANDARI Vishal	BJG129	Licensed Representative (Provisional Licence)	1, 4, 9
BLAKE Anthony Peter	AFK724	Licensed Representative (Provisional Licence)	9
BURNS Leonard Carter	AOX199	Licensed Representative (Provisional Licence)	1
CAI Min	BJD809	Licensed Representative (Provisional Licence)	1, 4
CAI Zhipeng	BJF184	Licensed Representative (Provisional Licence)	1, 4
CAO Jingmu	AYW195	Licensed Representative (Provisional Licence)	1, 4
CAO Yining	BJD165	Licensed Representative (Provisional Licence)	6
CHAN Bonnie Chiao Nien	AOQ884	Licensed Representative (Provisional Licence)	4, 9
CHAN Cheuk Yin	AXG304	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Jacqueline Bernabe	BJH123	Licensed Representative (Provisional Licence)	1
CHAN Ka Fung	BJG131	Licensed Representative (Provisional Licence)	1, 4
CHAN Kwun Yin	BJH176	Licensed Representative (Provisional Licence)	1, 4
CHAN Micky Conita	BJH452	Licensed Representative (Provisional Licence)	6
CHAN Ngar Ling	BJH318	Licensed Representative (Provisional Licence)	1, 6
CHAN Wai Sun	BJG673	Licensed Representative (Provisional Licence)	6
CHANG Ta-chi, Cathy	ACH584	Licensed Representative (Provisional Licence)	1, 4
CHAU Hoi Ting	BJI240	Licensed Representative (Provisional Licence)	4, 9
CHEN Hsing-Yu	BJH672	Licensed Representative (Provisional Licence)	1, 4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Jianing	BBE592	Licensed Representative (Provisional Licence)	4
CHEN YUEZHI	BJD176	Licensed Representative (Provisional Licence)	1, 4, 6
CHEUNG Ka Chun	BJF078	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Kenneth Kai-hoi	AVA660	Licensed Representative (Provisional Licence)	1
CHI Haocheng	BJE002	Licensed Representative (Provisional Licence)	1, 4
CHIN Hyun-Joon	ARN998	Licensed Representative (Provisional Licence)	1, 4
CHOI Ching Wan, Janet	AUZ165	Licensed Representative (Provisional Licence)	1
CHOI Sze Man	BJI354	Licensed Representative (Provisional Licence)	1, 2
CHOW Tsun Ho, Anson	AQG809	Licensed Representative (Provisional Licence)	1, 4
CHOW Wing To	AWP278	Licensed Representative (Provisional Licence)	1, 4
CHU Kin Shing Stephen	BJD164	Licensed Representative (Provisional Licence)	1
CHUNG Jason	BJB856	Licensed Representative (Provisional Licence)	1, 4, 6
CO Chun Wai	ADU467	Licensed Representative (Provisional Licence)	1
COHEN-ADDAD Nicolas Paul	AHR321	Licensed Representative (Provisional Licence)	1, 2
CUI Yue	BJB858	Licensed Representative (Provisional Licence)	1, 4, 6
DASH Kaushik	APK998	Licensed Representative (Provisional Licence)	1, 6
DELORENZI Raffaele	BJD135	Licensed Representative (Provisional Licence)	4, 9
DICKGIESSER Sophie Ying	BJE540	Licensed Representative (Provisional Licence)	1, 6
DONG Yu	BJC698	Licensed Representative (Provisional Licence)	1
DONG Yuting	BDC642	Licensed Representative (Provisional Licence)	1
DU Jingjing	BJE166	Licensed Representative (Provisional Licence)	1, 4
FALLON Shaun Patrick	BJG675	Licensed Representative (Provisional Licence)	1
FAN Yingjie	BJE201	Licensed Representative (Provisional Licence)	1, 6
FENG Jin	BEN176	Licensed Representative (Provisional Licence)	1, 6
FENG Xiao	BJG437	Licensed Representative (Provisional Licence)	1, 6
FENG Yanjun	BJE704	Licensed Representative (Provisional Licence)	1, 4
FITKARIWALA Ankit	BJC894	Licensed Representative (Provisional Licence)	4
FONG Ka Man	ARW392	Licensed Representative (Provisional Licence)	1, 2
FU Chun Fai William	BJG731	Licensed Representative (Provisional Licence)	1
FU Tianzi	BJC691	Licensed Representative (Provisional Licence)	4
FUNG Ka Yan	BHO409	Licensed Representative (Provisional Licence)	1
FUNG Kai Hang, John	BJE909	Licensed Representative (Provisional Licence)	1
GAO Yuan	BJE597	Licensed Representative (Provisional Licence)	1, 4, 9
GOH Kah Peng	AFY707	Licensed Representative (Provisional Licence)	1, 4, 9
GU Rougang	BDE608	Licensed Representative (Provisional Licence)	4
HAK Stephanie Chung Man	BJH420	Licensed Representative (Provisional Licence)	1, 4, 9
HARRIS John Patrick	BJH243	Licensed Representative (Provisional Licence)	1
HARRIS Jonathan Howe	ACA158	Licensed Representative (Provisional Licence)	1
HIL Chi Yung	AAJ464	Licensed Representative (Provisional Licence)	1, 4
HINDMARSH George Edward	BJE013	Licensed Representative (Provisional Licence)	1
HO Ho Ling	BJD641	Licensed Representative (Provisional Licence)	1, 4, 9
HO Lai Man	BJF252	Licensed Representative (Provisional Licence)	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Oi Yee, Margaret	ALP937	Licensed Representative (Provisional Licence)	1, 4
HO Raymond Ka Wing	AFY752	Licensed Representative (Provisional Licence)	1, 4, 9
HO Sau Ping	BJH020	Licensed Representative (Provisional Licence)	6
HO Sung Chau	BJE605	Licensed Representative (Provisional Licence)	1
HOLMES II Albert Dudley	AWD589	Licensed Representative (Provisional Licence)	1, 2
HSU Calvin James	ADM062	Licensed Representative (Provisional Licence)	1, 4, 9
HU Xiang	BJD775	Licensed Representative (Provisional Licence)	1
HUANG Jialin	BJI242	Licensed Representative (Provisional Licence)	1, 6
HUANG Rui Lai	BJG655	Licensed Representative (Provisional Licence)	1, 6
HUI Lap Yin	AVW181	Licensed Representative (Provisional Licence)	4
HUMPLEBY Charles David	BHS486	Licensed Representative (Provisional Licence)	1
IP Yee Ching	BJG875	Licensed Representative (Provisional Licence)	1
ITO Yuka	BJC229	Licensed Representative (Provisional Licence)	1, 4
JIANG Ye	AYN421	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Yuanfeng	BJE206	Licensed Representative (Provisional Licence)	2
JIN Zilin	BIZ251	Licensed Representative (Provisional Licence)	1, 4, 6
KAWASAKI Satsuki	BJE606	Licensed Representative (Provisional Licence)	4
KEUNG CHUN HONG XAVIER	BJH105	Licensed Representative (Provisional Licence)	1, 4
KIM Byung Joo	BJE661	Licensed Representative (Provisional Licence)	1
KIM Hae Ryong	ANE830	Licensed Representative (Provisional Licence)	1
KIM Jun Ku	BJA747	Licensed Representative (Provisional Licence)	1, 4
KIM So Yeon	AMM348	Licensed Representative (Provisional Licence)	1, 4
KIM Yoon Ki	BJC341	Licensed Representative (Provisional Licence)	4
KLEIN Brett Steven	BJH684	Licensed Representative (Provisional Licence)	1, 9
KO Bryan Kar-Hung	AGJ203	Licensed Representative (Provisional Licence)	1, 2
KO Siu Fai	BJD954	Licensed Representative (Provisional Licence)	1
KOH Boon Wee	BJD909	Licensed Representative (Provisional Licence)	1, 2
KONG Ching Hong, Alan	BJE159	Licensed Representative (Provisional Licence)	1, 4, 9
KUO Tzu Chi	BJC939	Licensed Representative (Provisional Licence)	9
KWOK Denise Jocelyn	AEG896	Licensed Representative (Provisional Licence)	1, 4, 9
KWOK Ka Yi, Emily	ADY821	Licensed Representative (Provisional Licence)	1
KWOK Tsan Ming	BJH985	Licensed Representative (Provisional Licence)	2
KWONG Chi Hang	BEF242	Licensed Representative (Provisional Licence)	1, 2
KWONG Ho Pang	BJF035	Licensed Representative (Provisional Licence)	1, 2
KWONG Tsz Ting	BJG798	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Hoi Shan Elsa	BJE207	Licensed Representative (Provisional Licence)	1, 4
LAI Tsun Kit	AYJ279	Licensed Representative (Provisional Licence)	1
LAM Chui Fan	BJE216	Licensed Representative (Provisional Licence)	4
LAM Chun Shing	BJE610	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Kam Ho	BJG132	Licensed Representative (Provisional Licence)	1
LAM Sze Wai	BJG780	Licensed Representative (Provisional Licence)	1
LAM Tsz Kit	BJE766	Licensed Representative (Provisional Licence)	6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LARSEN Michael Jeremy	BJG677	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Ci Ci	BJG877	Licensed Representative (Provisional Licence)	1
LAU Man Tat	BJE753	Licensed Representative (Provisional Licence)	6
LAU Pak Tak	BJE603	Licensed Representative (Provisional Licence)	3
LAU Tsz Wai	BEL821	Licensed Representative (Provisional Licence)	1
LAUF Shane	BJI627	Licensed Representative (Provisional Licence)	4
LAW Yuk Yin	BJF032	Licensed Representative (Provisional Licence)	1, 2
LEE Aleen Li Fei	AVS019	Licensed Representative (Provisional Licence)	4
LEE Chung Wing Alfred	ATT542	Licensed Representative (Provisional Licence)	1
LEE Hee Won	AYV602	Licensed Representative (Provisional Licence)	1
LEE Ho Kit	BBP201	Licensed Representative (Provisional Licence)	2
LEE Hoan Soo	BJG438	Licensed Representative (Provisional Licence)	4, 9
LEE Ka Kit	BEW197	Licensed Representative (Provisional Licence)	6
LEE Kam Kuen	BJD608	Licensed Representative (Provisional Licence)	1
LEE Ting Wang Raymond	BJH328	Licensed Representative (Provisional Licence)	1
LEE Tsi Bun, Benjamin	AQM594	Licensed Representative (Provisional Licence)	1, 2
LEI I Na, Ella	BJH776	Licensed Representative (Provisional Licence)	1, 4
LEUNG Chau Ying Marlica	BJF047	Licensed Representative (Provisional Licence)	1, 4
LEUNG Yi Nga Eva	BJF248	Licensed Representative (Provisional Licence)	9
LEUNG Yvonne Tsang Yin	BJG375	Licensed Representative (Provisional Licence)	1
LEWIS James David	BJC396	Licensed Representative (Provisional Licence)	1, 4
LI Kaiyan	BJE837	Licensed Representative (Provisional Licence)	1, 4, 9
LI Longzhen	BJD807	Licensed Representative (Provisional Licence)	1, 4, 6
LI Ngai Shing	BJH999	Licensed Representative (Provisional Licence)	1, 2
LI Wang Fung Raymond	ALD642	Licensed Representative (Provisional Licence)	1, 4, 9
LI Yi	BJF245	Licensed Representative (Provisional Licence)	1
LI Yuen Fung, Gary	BEC909	Licensed Representative (Provisional Licence)	1, 4
LIANG Shuang	BJG128	Licensed Representative (Provisional Licence)	4, 9
LIANG Xuena	BJD178	Licensed Representative (Provisional Licence)	1, 2, 4
LIANG Zhen Yu	BJG450	Licensed Representative (Provisional Licence)	1
LIM Juen Lynn	BJE417	Licensed Representative (Provisional Licence)	1, 6
LIN Fong Ki	BJH331	Licensed Representative (Provisional Licence)	2
LIN Huanjie	BJE019	Licensed Representative (Provisional Licence)	1, 2
LIN KA MAN	BJE651	Licensed Representative (Provisional Licence)	1, 6
LIN Peiyuan	BJD780	Licensed Representative (Provisional Licence)	1
LIN Ruobing	BJE183	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Lingming	BDO989	Licensed Representative (Provisional Licence)	2, 5
LIU Ming	BJD414	Licensed Representative (Provisional Licence)	1, 6
LIU Ningning	BJH333	Licensed Representative (Provisional Licence)	1, 6
LIU Po Fan	BJE596	Licensed Representative (Provisional Licence)	1
LIU Qunmin	BJG608	Licensed Representative (Provisional Licence)	1, 6
LIU Suyang	BJH292	Licensed Representative (Provisional Licence)	9



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Wenjiao	BJE604	Licensed Representative (Provisional Licence)	1
LIU Yat Sin	BJG879	Licensed Representative (Provisional Licence)	1
LIU Yihui	BJD794	Licensed Representative (Provisional Licence)	6
LIU Yinzi	BJI223	Licensed Representative (Provisional Licence)	1
LIU Yiqun	BJG291	Licensed Representative (Provisional Licence)	1, 4, 9
LIXIE Yunan	BBK352	Licensed Representative (Provisional Licence)	1, 6
LU Manyi	BJH113	Licensed Representative (Provisional Licence)	4
LV Liufeng	BJE217	Licensed Representative (Provisional Licence)	1, 4, 9
MA Cheng	BGT831	Licensed Representative (Provisional Licence)	1, 4, 9
MA Jiaxin	BJD660	Licensed Representative (Provisional Licence)	1, 2, 7
MA Junyi	BJG302	Licensed Representative (Provisional Licence)	4, 9
MA Thomas Lap Hang	BJH396	Licensed Representative (Provisional Licence)	1, 4, 9
MA Xinyu	BJG363	Licensed Representative (Provisional Licence)	1
MA Yanting	BJH264	Licensed Representative (Provisional Licence)	1, 2
MAI Siwei	AUJ127	Licensed Representative (Provisional Licence)	1, 4, 9
MALTER Hannah Rebecca	AYQ635	Licensed Representative (Provisional Licence)	6
MAN Kin Fun	AWN380	Licensed Representative (Provisional Licence)	1
MARTIN Adam Hugh	BEV776	Licensed Representative (Provisional Licence)	1
MARTYR Andrew Dudley Pentland	BJE202	Licensed Representative (Provisional Licence)	2
MASEK Scott Axel Alan	BJE193	Licensed Representative (Provisional Licence)	9
MCSKIMMING Ross John	BJD972	Licensed Representative (Provisional Licence)	1
MENDOZA BEGGIATO Juan Manuel	AXK855	Licensed Representative (Provisional Licence)	1, 4, 9
MIU Pepita Yinki	AEN898	Licensed Representative (Provisional Licence)	9
MIYAGI HIROKAZU	BJE607	Licensed Representative (Provisional Licence)	4
MIZUNO Hikaru	AXV981	Licensed Representative (Provisional Licence)	4
MO Zhiyu	BJE373	Licensed Representative (Provisional Licence)	4, 9
MOK Chi Kuan	BJE134	Licensed Representative (Provisional Licence)	10
MONTANARI Marco	ATI145	Licensed Representative (Provisional Licence)	1, 4
MORIYAMA Keisuke	AQP609	Licensed Representative (Provisional Licence)	4
MOROZ ANDREW	BJC709	Licensed Representative (Provisional Licence)	9
NG Ho Wah	BBE969	Licensed Representative (Provisional Licence)	1, 4, 9
NG Hung Leung	BJH317	Licensed Representative (Provisional Licence)	1
NG Jun Jie	BJG157	Licensed Representative (Provisional Licence)	2, 5
NG Kei Man	BHC209	Licensed Representative (Provisional Licence)	1
NG Kim Wai	BJD610	Licensed Representative (Provisional Licence)	1, 4
NG Li Sheng Jason	BGG975	Licensed Representative (Provisional Licence)	9
NG Sek Yin	BJE681	Licensed Representative (Provisional Licence)	1, 2, 7
NGAN Che Fung	BJH248	Licensed Representative (Provisional Licence)	1, 2
NGAN Tsung Wen, Melody	AJL556	Licensed Representative (Provisional Licence)	1, 4, 6
NIU Qianru	BJE845	Licensed Representative (Provisional Licence)	1
OR Alicia She Ying	BJE929	Licensed Representative (Provisional Licence)	1, 4
OW Shin Yeuan	AMR665	Licensed Representative (Provisional Licence)	2, 5



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
PAMPAPATHI Vinay Kumar	BJG449	Licensed Representative (Provisional Licence)	1
PANDE CHANCHANI GAURI	BJG431	Licensed Representative (Provisional Licence)	1
PANG Kit Fa	BJH115	Licensed Representative (Provisional Licence)	4, 9
PARK Ji Eun	BJG148	Licensed Representative (Provisional Licence)	4
PARLAMENTO Luca	BIX232	Licensed Representative (Provisional Licence)	9
PENG Jian	BJH329	Licensed Representative (Provisional Licence)	1, 2, 7
PHUA Si Hui Nicole Faith	BJH534	Licensed Representative (Provisional Licence)	4
POON Kai Chung	ADV914	Licensed Representative (Provisional Licence)	9
POPPEL Michael	BJC783	Licensed Representative (Provisional Licence)	1, 2
POTTS Robert James	BJG312	Licensed Representative (Provisional Licence)	4
PU Xiaoxi	BJG185	Licensed Representative (Provisional Licence)	1, 4, 6
QIN Yunshan	BJG589	Licensed Representative (Provisional Licence)	1, 4
QIU Qi	AXA189	Licensed Representative (Provisional Licence)	1, 2
QIU Xiaole	BJG442	Licensed Representative (Provisional Licence)	1, 4, 9
RATLIFF David Talmadge	AHG576	Licensed Representative (Provisional Licence)	1, 2
REEDER Benjamin Lee	BJE418	Licensed Representative (Provisional Licence)	1
REN GUANG	BJG140	Licensed Representative (Provisional Licence)	1, 6
RHOADES Wing Man, Vivien	AIR404	Licensed Representative (Provisional Licence)	1, 7
ROGERS Oliver James	BJC053	Licensed Representative (Provisional Licence)	1, 2
RYBINSKI III Francis Paul	BJF040	Licensed Representative (Provisional Licence)	1, 4
SAKAIDA Kunio	BJH321	Licensed Representative (Provisional Licence)	4
SATO KOKI	BJC095	Licensed Representative (Provisional Licence)	4
SEOW Derek Yong Way	BJE602	Licensed Representative (Provisional Licence)	1, 7
SHAN YING	BJH136	Licensed Representative (Provisional Licence)	4
SHAO Ziheng	BJC228	Licensed Representative (Provisional Licence)	6
SHE Cheuk Man	BJE739	Licensed Representative (Provisional Licence)	6
SHEK Ting Man	BJC694	Licensed Representative (Provisional Licence)	4
SHEN Shirley Xiaoying	BJH252	Licensed Representative (Provisional Licence)	4
SHEN Yin	BDC626	Licensed Representative (Provisional Licence)	1, 6
SHI Wenchao	BET955	Licensed Representative (Provisional Licence)	1
SHIM WOO SEOK	BJE379	Licensed Representative (Provisional Licence)	9
SHU Hoi Ming, Karman	AQB106	Licensed Representative (Provisional Licence)	1, 2
SIN Tak Keung	BJG757	Licensed Representative (Provisional Licence)	1, 6
SO Hoi Yan	BJB934	Licensed Representative (Provisional Licence)	1, 4, 9
SO Leung Yee, Sabrina	ACI077	Licensed Representative (Provisional Licence)	1
SO Wan	BJC101	Licensed Representative (Provisional Licence)	9
SON Yoon Kyu	BJC181	Licensed Representative (Provisional Licence)	2, 5
SONG Chen	BJE539	Licensed Representative (Provisional Licence)	1
SOON Shu-Min Amanda	AY1983	Licensed Representative (Provisional Licence)	1, 6
STEPHEN	BJI247	Licensed Representative (Provisional Licence)	1, 2
SUEN Pik Wang	AVG406	Licensed Representative (Provisional Licence)	1, 4
SUEN Tin Yan	AXR986	Licensed Representative (Provisional Licence)	1





**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUN Jiannan	BJC785	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Yat Chun Andy	BJH204	Licensed Representative (Provisional Licence)	1, 4
SZE YING YING	BJI404	Licensed Representative (Provisional Licence)	1
TAI Yuen Fai, Patricia	BJE911	Licensed Representative (Provisional Licence)	1
TAKEDA Marina	BHJ816	Licensed Representative (Provisional Licence)	1, 2
TAM Chris Sze On	BJD946	Licensed Representative (Provisional Licence)	1, 6
TAM Edmond Ming Kwan	ACB553	Licensed Representative (Provisional Licence)	9
TAM Kin Kei	AZW907	Licensed Representative (Provisional Licence)	1
TAM Kwok Chung	BJG479	Licensed Representative (Provisional Licence)	2
TAM Wing Yee	AEC589	Licensed Representative (Provisional Licence)	1, 4
TAMAKI Yasuhiro	BJF254	Licensed Representative (Provisional Licence)	9
TANG Hon Wing	BJE956	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Kai Wing	BAB779	Licensed Representative (Provisional Licence)	4
TANG Mo Wai Raymond	BJG784	Licensed Representative (Provisional Licence)	1
TANG Siu Kwan	BJI625	Licensed Representative (Provisional Licence)	2
TANG Tsun Kit George	ASW346	Licensed Representative (Provisional Licence)	1, 2
TANG Zhenyi	BES442	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Zhi	AWW136	Licensed Representative (Provisional Licence)	1, 4, 9
TENG Shengchun	BJG482	Licensed Representative (Provisional Licence)	4, 9
TIAN Jinyu	BCD350	Licensed Representative (Provisional Licence)	9
TIAN Qianyi	BJD584	Licensed Representative (Provisional Licence)	10
TO Nga Fong	AXX670	Licensed Representative (Provisional Licence)	1, 4
TOM Edward	ANF158	Licensed Representative (Provisional Licence)	2, 5
TOW Terence Michael	BFE450	Licensed Representative (Provisional Licence)	9
TOY Neil Carr Maxwell	BJE534	Licensed Representative (Provisional Licence)	1, 2
TSAI Wan Chun	BJG465	Licensed Representative (Provisional Licence)	1, 2, 4, 5
TSANG SIU YU	BJG901	Licensed Representative (Provisional Licence)	1, 4
TSE Ka Yan	BJG606	Licensed Representative (Provisional Licence)	1
TSUKAMOTO Terumasa	BJF249	Licensed Representative (Provisional Licence)	9
WAN Tze Bun Gilbert	BJE734	Licensed Representative (Provisional Licence)	1
WANG Jing	BJG321	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Menghai	BJG487	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Shaohua	BJE214	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Xiaoxuan	BJI011	Licensed Representative (Provisional Licence)	1, 2
WANG Ying	BJI197	Licensed Representative (Provisional Licence)	9
WANG Yiwei	BJD177	Licensed Representative (Provisional Licence)	1
WANG Yunying	BJG612	Licensed Representative (Provisional Licence)	1, 2
WANG Zhi	BJD994	Licensed Representative (Provisional Licence)	1, 4
WEATHERILL Scott James	BJH173	Licensed Representative (Provisional Licence)	1, 2
WELCH Peter Joseph	AEU528	Licensed Representative (Provisional Licence)	1, 4, 6
WENGER Stephan Patrick	AXC823	Licensed Representative (Provisional Licence)	9
WISEMAN Mark Andrew	BJE311	Licensed Representative (Provisional Licence)	1, 4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Ho Ching	AQJ842	Licensed Representative (Provisional Licence)	1, 4, 9
WONG HO LUN	BJF230	Licensed Representative (Provisional Licence)	1, 6
WONG Hui Yun	AUZ064	Licensed Representative (Provisional Licence)	1, 6
WONG Ka Ho	BJG194	Licensed Representative (Provisional Licence)	1, 2
WONG Kit Yu	BJG874	Licensed Representative (Provisional Licence)	1
WONG TSZ SING	BJH135	Licensed Representative (Provisional Licence)	6
WONG Wai Yin	BJF034	Licensed Representative (Provisional Licence)	1, 2
WONG Yan	AMG970	Licensed Representative (Provisional Licence)	1
WU Hoi Kit	BJH727	Licensed Representative (Provisional Licence)	4, 9
WU Hon Hei Henry	BJH273	Licensed Representative (Provisional Licence)	1, 4
WU Xuejing	BJH007	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Haoxi	BJC332	Licensed Representative (Provisional Licence)	4
XIE Yunqin	BJG869	Licensed Representative (Provisional Licence)	1
XU LAI	BJH152	Licensed Representative (Provisional Licence)	1, 4, 9
XU Wenyin	BJD206	Licensed Representative (Provisional Licence)	4, 9
YAMASHITA Tamisuke	BJF117	Licensed Representative (Provisional Licence)	9
YAN Ruoxi	BJC232	Licensed Representative (Provisional Licence)	4, 9
YANG FAN	BJG671	Licensed Representative (Provisional Licence)	2
YANG Jiaying	BJE212	Licensed Representative (Provisional Licence)	1, 6
YANG Kaihua	AVY863	Licensed Representative (Provisional Licence)	9
YAU Yuen Wah	BJH336	Licensed Representative (Provisional Licence)	1, 2
YEH MAN HSUAN	BJD212	Licensed Representative (Provisional Licence)	1
YEUNG Chun Pui	BJE036	Licensed Representative (Provisional Licence)	1
YEUNG HEY WAI	BJG207	Licensed Representative (Provisional Licence)	1, 4
YEUNG Ka Li, Carrie	BJD411	Licensed Representative (Provisional Licence)	1, 4
YEUNG Man Lok	AUZ880	Licensed Representative (Provisional Licence)	2
YEUNG Yeung	BJE026	Licensed Representative (Provisional Licence)	1, 6
YIN Lei	BAV723	Licensed Representative (Provisional Licence)	4
YOU Luya	BJG607	Licensed Representative (Provisional Licence)	4
YUE RACHEL ANNE SZE MING	BJG220	Licensed Representative (Provisional Licence)	1, 4
YUEN Ka Chi	ANG307	Licensed Representative (Provisional Licence)	1, 2
YUEN Kin Long	BJH245	Licensed Representative (Provisional Licence)	1
ZHAN Botao	BJE192	Licensed Representative (Provisional Licence)	1, 4
ZHANG Bin	BJF189	Licensed Representative (Provisional Licence)	1, 2
ZHANG Hui	AOX819	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shengqiao	BDM737	Licensed Representative (Provisional Licence)	4, 9
ZHANG Xiaoying	BJE109	Licensed Representative (Provisional Licence)	1
ZHANG Xiaoying	BJH315	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Zhuowei	BJF190	Licensed Representative (Provisional Licence)	1, 2
ZHAO Chen	BJG454	Licensed Representative (Provisional Licence)	4
ZHAO Tian	ANR067	Licensed Representative (Provisional Licence)	1, 6
ZHENG Heyuan	BJE227	Licensed Representative (Provisional Licence)	1, 4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHENG Lizi	BJG616	Licensed Representative (Provisional Licence)	4, 9
ZHOU Ji	BJE003	Licensed Representative (Provisional Licence)	6
ZHOU LIYANG	BJG897	Licensed Representative (Provisional Licence)	1
ZHOU Shiyi	BGR107	Licensed Representative (Provisional Licence)	4
ZHOU Wei	BJH963	Licensed Representative (Provisional Licence)	6
ZHOU Xiaohan	BJE946	Licensed Representative (Provisional Licence)	1, 6
ZHOU ZHE	BJD408	Licensed Representative (Provisional Licence)	4
ZHU Qianlan	BJH270	Licensed Representative (Provisional Licence)	4
ZHU Yahui	AYO940	Licensed Representative (Provisional Licence)	1, 4
ZHUANG SONG	ATJ432	Licensed Representative (Provisional Licence)	1, 2
ADEWALE Amanda Patricia	BBO925	Licensed Representative (Temporary Licence)	1
ANG Carl Jiren	BHT357	Licensed Representative (Temporary Licence)	4
ARAKI Kenji	BJF239	Licensed Representative (Temporary Licence)	4
ARYA Vivek	BEN904	Licensed Representative (Temporary Licence)	1, 4
BALDINI Edward Bolles	BJG641	Licensed Representative (Temporary Licence)	1, 4
BARATON Xavier Francois	AVC110	Licensed Representative (Temporary Licence)	1
BARRY James Timothy	BHR373	Licensed Representative (Temporary Licence)	1, 4
BASU Sougata	BHZ553	Licensed Representative (Temporary Licence)	1
BEHERA Roshan Raj	ARP091	Licensed Representative (Temporary Licence)	1, 4
BENTVELZEN Rachel Anne	BJF272	Licensed Representative (Temporary Licence)	4
BUSH Robert James	BJG174	Licensed Representative (Temporary Licence)	1
CANAS BOTTOS Manuel	BHT995	Licensed Representative (Temporary Licence)	1
CARROLL Edmund Marsden	BGU584	Licensed Representative (Temporary Licence)	1
CASTLE Jarrod	AWA482	Licensed Representative (Temporary Licence)	4
CHAPPEL Nicholas Robin	BJI415	Licensed Representative (Temporary Licence)	1, 4, 6
CHAUVET Thomas Vincent	BJE499	Licensed Representative (Temporary Licence)	4
CHHUGANI Gautam Prakash	BHO676	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHIU Po-ju	BJD825	Licensed Representative (Temporary Licence)	1
CHRISTOFEL Justin William	BFF381	Licensed Representative (Temporary Licence)	1, 4
COTE Christopher Michael	BJD776	Licensed Representative (Temporary Licence)	1, 4, 6
CURRY Jonathan Iain	BHD383	Licensed Representative (Temporary Licence)	1
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DAI Ziyun	AOE024	Licensed Representative (Temporary Licence)	1
DAS SURYADEEP	BJH104	Licensed Representative (Temporary Licence)	1
DE LA HAYE SAINT HILAIRE Adrien Bernard Bertrand Robert	BJE670	Licensed Representative (Temporary Licence)	1
DENHAM Philip John	BBY783	Licensed Representative (Temporary Licence)	1
DEPAEPE Alexis Rene Christian	APF813	Licensed Representative (Temporary Licence)	1
DIDELOT Nicolas Mathieu	BHO975	Licensed Representative (Temporary Licence)	1, 4
DIMENT Brett	BJE024	Licensed Representative (Temporary Licence)	1
EDRIDGE Dominic Andrei	AWA561	Licensed Representative (Temporary Licence)	4
FARES Makram	BJG189	Licensed Representative (Temporary Licence)	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
FROST Daniel Richard	BJH017	Licensed Representative (Temporary Licence)	4
FUKUSHIMA Yoshihiro	APJ449	Licensed Representative (Temporary Licence)	1, 2
GARFIN Mitchell Seth	BJE835	Licensed Representative (Temporary Licence)	1, 4
GARG Manoj Rajkamal	BBZ707	Licensed Representative (Temporary Licence)	1, 4
GOODACRE Samuel Magnum	BFE172	Licensed Representative (Temporary Licence)	1
GUNTURU Advait Dattatreya	BJG617	Licensed Representative (Temporary Licence)	1, 2
HADIWIDJOJO Pramadwiandika	BEV992	Licensed Representative (Temporary Licence)	1, 4
HAGIWARA Manabu	BEI574	Licensed Representative (Temporary Licence)	4
HIRAKAWA Shoji	AOR316	Licensed Representative (Temporary Licence)	4
HOPKINS Robert Adam	BJG470	Licensed Representative (Temporary Licence)	1, 4
HOSANGADY Ranjit Rammohan	AVW682	Licensed Representative (Temporary Licence)	1
HUREWITZ Barry Scott	BIN563	Licensed Representative (Temporary Licence)	4
HWANG Seung Hyun	AVA346	Licensed Representative (Temporary Licence)	1
IKEDA Atsushi	BEM322	Licensed Representative (Temporary Licence)	4
IKEDA Yusuke	BHB831	Licensed Representative (Temporary Licence)	1, 4
JANGALE Gaurav Kundalik	BJC327	Licensed Representative (Temporary Licence)	4
KAUNE Federico	AOS290	Licensed Representative (Temporary Licence)	1, 4
KAYNES David Charles	BDA619	Licensed Representative (Temporary Licence)	4
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	1, 4
KHAN Samrad Ali	BJF104	Licensed Representative (Temporary Licence)	1, 2
KHAN Shamaïla Ashar	BEB228	Licensed Representative (Temporary Licence)	4
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	1, 4
KOJIMA Nobuko	BAJ425	Licensed Representative (Temporary Licence)	1
KONG Robert Patrick	BDQ917	Licensed Representative (Temporary Licence)	4
KORSCHOLT Kai Folker	BFB232	Licensed Representative (Temporary Licence)	1, 4
KROTTHAPALLI Radha	BJG221	Licensed Representative (Temporary Licence)	1
KURIHARA Satoshi	BJF229	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEE Jeremy Ryan	BHC802	Licensed Representative (Temporary Licence)	1, 4
LEE Katryna Anne	BFA316	Licensed Representative (Temporary Licence)	1
LEE Sangyup	BJD730	Licensed Representative (Temporary Licence)	1
LEGGATE Douglas George Blyth	BCZ763	Licensed Representative (Temporary Licence)	1, 4
LENTZOS Theodosios	BJE705	Licensed Representative (Temporary Licence)	1, 4, 6
LESMANA Yulius	BHG072	Licensed Representative (Temporary Licence)	1, 2
LIU Wai Kin Ricky	BJD903	Licensed Representative (Temporary Licence)	1
LUDLAM Christopher David	BGV479	Licensed Representative (Temporary Licence)	1
LUND Angus John	BJE671	Licensed Representative (Temporary Licence)	1
MA Beijia	BID097	Licensed Representative (Temporary Licence)	1, 4
MACLEAN Murdo Alexander	BGK887	Licensed Representative (Temporary Licence)	1, 4
MADDOCKS James Robert Kirkby	BBU657	Licensed Representative (Temporary Licence)	1, 2
MALHOTRA Gaurav	BEB422	Licensed Representative (Temporary Licence)	4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
MARTIN Jennifer O'Hara	BJH134	Licensed Representative (Temporary Licence)	1
MASLEN Benjamin Gulliver	BDQ628	Licensed Representative (Temporary Licence)	1
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MAZOY SANCHEZ Jose Manuel	BIN599	Licensed Representative (Temporary Licence)	1
MC MASTER II George Hunter	BJG868	Licensed Representative (Temporary Licence)	1, 2
MCLEISH Neil Alan	BAJ429	Licensed Representative (Temporary Licence)	1
MEHRA Deepak	BJG362	Licensed Representative (Temporary Licence)	1
MEYER MATTHIAS	BJI236	Licensed Representative (Temporary Licence)	1, 4
MIAO Junwei	BJD793	Licensed Representative (Temporary Licence)	1
MINAGAWA Ryoza	BFA665	Licensed Representative (Temporary Licence)	4
MIURA Nobuyoshi	BDU297	Licensed Representative (Temporary Licence)	4
MOBLEY Amina Erica	BJG670	Licensed Representative (Temporary Licence)	1, 2
MOHAMMAD Sajjad	BDM517	Licensed Representative (Temporary Licence)	1
MOHAN Wamsi	BHD332	Licensed Representative (Temporary Licence)	1, 4
NA Eun Chae	BGC163	Licensed Representative (Temporary Licence)	4
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
NELSON Thomas Michael	BEG498	Licensed Representative (Temporary Licence)	4
NEWMAN Stuart Graham	BJG761	Licensed Representative (Temporary Licence)	1, 4
NISHIMURA Keitaro	AYT962	Licensed Representative (Temporary Licence)	1
NONAKA Hayato	BAB641	Licensed Representative (Temporary Licence)	1
OHTAKA Kenichi	BHY394	Licensed Representative (Temporary Licence)	1
ORTUOSTE Vicente Niccolo	BJD146	Licensed Representative (Temporary Licence)	1
PAIN Matthew Henry	AWS453	Licensed Representative (Temporary Licence)	1
PALMUCCI Fabrizio	BJE896	Licensed Representative (Temporary Licence)	1
PANGLINAN Ron Andrew Rosario	BFR071	Licensed Representative (Temporary Licence)	1, 2
PAOLINI Luca	BJD662	Licensed Representative (Temporary Licence)	1
PATEL Krupa	BJE678	Licensed Representative (Temporary Licence)	1
PHUA Yee Farn	BJD619	Licensed Representative (Temporary Licence)	10
PODRUG Frane	BDH801	Licensed Representative (Temporary Licence)	1, 4
POSCH Paul	BIY899	Licensed Representative (Temporary Licence)	1, 2
PREUSSER Ralf Alexander	BGJ102	Licensed Representative (Temporary Licence)	1, 4
PURI Ruchit	BJG651	Licensed Representative (Temporary Licence)	1, 2
QUINLAN Matthew Dyson	BJG746	Licensed Representative (Temporary Licence)	1
REISZ Paul William	BHQ136	Licensed Representative (Temporary Licence)	1, 4
RESOVAC DENIS	BJG376	Licensed Representative (Temporary Licence)	1, 4
RICCI Jason Steven	BJE668	Licensed Representative (Temporary Licence)	1, 2
ROLFE Roland Christopher	AVI388	Licensed Representative (Temporary Licence)	1
ROUTLEDGE Brian	BJD983	Licensed Representative (Temporary Licence)	1, 4, 5
RUDLAND Michael James	BJD156	Licensed Representative (Temporary Licence)	1, 2
SALES Michael James	BEZ878	Licensed Representative (Temporary Licence)	1
SANKAR Sreekrishnan	BAP018	Licensed Representative (Temporary Licence)	1, 4
SASAKI Keiko	AWH343	Licensed Representative (Temporary Licence)	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SATHAWORAWONG Pimolrat	BEM318	Licensed Representative (Temporary Licence)	4
SAVONE Furio Nicholas	BJE266	Licensed Representative (Temporary Licence)	1
SHAH Sheena	BJD081	Licensed Representative (Temporary Licence)	1
SHIMIZU Yasunori	BJF243	Licensed Representative (Temporary Licence)	1, 2
SKOTNICKI Anthony Andrew	BJE664	Licensed Representative (Temporary Licence)	1, 2
SULLIVAN Michael David	BJE958	Licensed Representative (Temporary Licence)	1
SUN MATTISON Linda Wu	BBF677	Licensed Representative (Temporary Licence)	4
TAKAGI Naomi	AWU656	Licensed Representative (Temporary Licence)	4
TAYLOR Andrew Brian	AYN586	Licensed Representative (Temporary Licence)	1, 2
TELFER Patrick	ASY387	Licensed Representative (Temporary Licence)	1
TEVES Joni Mae	BFA936	Licensed Representative (Temporary Licence)	4
THACKRAY Simon George	AWU696	Licensed Representative (Temporary Licence)	4
THACKRAY Simon George	AWU696	Licensed Representative (Temporary Licence)	4
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
TONG Stephen Michael	BDO729	Licensed Representative (Temporary Licence)	1
TSANG Siu Hin Gary	BJG568	Licensed Representative (Temporary Licence)	1, 4
TSUI Terence Mun-sion	BDD866	Licensed Representative (Temporary Licence)	1
TSURUO Mitsunobu	BHD023	Licensed Representative (Temporary Licence)	4
USHIODA Ikuyo	BJG672	Licensed Representative (Temporary Licence)	1
VON RIEKHOFF Andre Christopher	BHO553	Licensed Representative (Temporary Licence)	1, 2
WANG Shiyan	BDP424	Licensed Representative (Temporary Licence)	4
WATANABE Hideharu	BHC546	Licensed Representative (Temporary Licence)	1
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	1, 4
WILKIE Robert Malcolm	BHB487	Licensed Representative (Temporary Licence)	1
WILLIAMS Craig Anthony	BDU133	Licensed Representative (Temporary Licence)	4
WILSON Barnaby James	BJG982	Licensed Representative (Temporary Licence)	1, 4
WOLAK John Richard	AMZ905	Licensed Representative (Temporary Licence)	1
WOOLLEY Mark Robert	AOL210	Licensed Representative (Temporary Licence)	1, 4
YAMADA Shusuke	BJG468	Licensed Representative (Temporary Licence)	1, 4
YAMAMURA Junko	BES915	Licensed Representative (Temporary Licence)	4
YANAGISAWA Masakazu	ASL355	Licensed Representative (Temporary Licence)	1
YEO Yeong Sang	BEX917	Licensed Representative (Temporary Licence)	4
YUEN Yan Chi	BIG336	Licensed Representative (Temporary Licence)	5
Kookmin Bank	ASD782	Registered Institution	1, 4