



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
AFG Securities Limited	BIH335	Licensed Corporation	1
Alternative Value Investments Limited	BIJ656	Licensed Corporation	4
Central China International Futures Company Limited	BIJ877	Licensed Corporation	2, 5
China AF Asset Management Limited	BJP052	Licensed Corporation	9
China Metamorphosis Investment Limited	BFS838	Licensed Corporation	4, 9
Chiron Asset Management Limited	BJD664	Licensed Corporation	9
Globe Capital Asset Management Limited	BJB919	Licensed Corporation	1, 4, 9
JuniorChina Securities (Hong Kong) Limited	BJJ179	Licensed Corporation	1, 4
Lion Capital Management Limited	BJH532	Licensed Corporation	4, 9
Niatross Investments Limited	BDR766	Licensed Corporation	9
Poly Wealth Securities Limited	BIU720	Licensed Corporation	1
Regan International Asset Management Limited	BJO653	Licensed Corporation	4, 9
Savills Investment Management (Hong Kong) Limited	BJI229	Licensed Corporation	1, 4, 9
Smart Wise Advisors Limited	BJN942	Licensed Corporation	9
Starwin Financial Group Limited	BJK437	Licensed Corporation	1, 4, 9
Taihe Securities Limited	BJC541	Licensed Corporation	1, 4, 9
Trinity Futures Limited	BHJ570	Licensed Corporation	2, 5
VSTH Capital Global Asset Management Limited	BJR972	Licensed Corporation	4, 9
Wilson International Capital Limited	BJP847	Licensed Corporation	6
Zhifu International Asset Management (HK) Limited	BJN167	Licensed Corporation	4, 9
AGARWAL Akanksha	BJR955	Licensed Representative	6
AN Dong	BJR442	Licensed Representative	1, 6
AN Ziqi	BKH800	Licensed Representative	1, 6
BAI Shuhao	BJQ090	Licensed Representative	1, 6
BAKOUK Salma	BJJ389	Licensed Representative	1, 2
BAO Hongting	BKC663	Licensed Representative	1, 6
BEET Alexander James	BJQ428	Licensed Representative	1, 2
BEKOS Andreas Johannes	BHU928	Licensed Representative	1, 4, 6
BI Xuwei	BKD929	Licensed Representative	1, 4, 6
BIAN Shanshan	BKI102	Licensed Representative	1, 4, 6
CAI Xuezhen	BJT934	Licensed Representative	1, 6
CAO Yang	BJY138	Licensed Representative	9
CASATI Andrea	AMW488	Licensed Representative	6
CHAI Erdong	BJX388	Licensed Representative	1, 4, 9
CHALMERS Tony Marian	BJV697	Licensed Representative	1, 2
CHAN Chak Ki	BKA656	Licensed Representative	1
CHAN Cheuk Hin Jeffrey	BJU340	Licensed Representative	1, 4, 5, 9
CHAN Chi Fung	BGW415	Licensed Representative	1
CHAN Chi Hei Andrew	BJV729	Licensed Representative	1, 2, 3
CHAN Ching Fong	BKA700	Licensed Representative	1, 4, 9
CHAN Hiu Ha	BJW835	Licensed Representative	9
CHAN Hong Ching	AXL866	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Jun Yu	ARK106	Licensed Representative	4
CHAN Lai Sing	BJZ195	Licensed Representative	1, 6
CHAN Pak Shing	AWJ632	Licensed Representative	9
CHAN Phoebe Yin Ting	BKE905	Licensed Representative	1, 2
CHAN Rex	AAW218	Licensed Representative	9
CHAN Siu Wing	AWW339	Licensed Representative	1
CHAN Tsz Lap	AVD702	Licensed Representative	1
CHAN Wai Sum, Henry	AGM472	Licensed Representative	1, 4
CHAN Wing Sing	BJV736	Licensed Representative	2
CHAN Wing Yin	BKC716	Licensed Representative	1, 2
CHAN Yan Wing	BKG341	Licensed Representative	1, 4, 6
CHAN Yat Man	BJX332	Licensed Representative	1, 6
CHAN Yu Ka	BKC644	Licensed Representative	1, 4
CHAO Evan	BKA233	Licensed Representative	1, 4
CHAPMAN Robert John	AVB805	Licensed Representative	1, 9
CHAR Ching Fung Jimmy	BJU206	Licensed Representative	1, 6
CHAU Hoi Man Helen	BKC585	Licensed Representative	1, 6
CHAU Sau Ping Polly	BJX599	Licensed Representative	1
CHAU Wing Nam	BKD518	Licensed Representative	6
CHEE Maisy	BGZ692	Licensed Representative	9
CHEE Sing Chung	ABC352	Licensed Representative	1, 4, 9
CHEE Wei Feng, Alan	BJU728	Licensed Representative	1, 4, 6
CHEN Changhui	BJZ662	Licensed Representative	1
CHEN Chen	BJU568	Licensed Representative	1, 4, 6
CHEN John Po-chuan	ANU569	Licensed Representative	1, 9
CHEN Keng Chia	BKD548	Licensed Representative	1
CHEN Linxin	BJZ417	Licensed Representative	1, 6
CHEN Mingxiao	BJV527	Licensed Representative	1, 4, 6
CHEN Minxun	BJU899	Licensed Representative	1, 6
CHEN Sih Hao	BKD993	Licensed Representative	1
CHEN Tianhao	BJV302	Licensed Representative	1, 6
CHEN Yi-Chen	BKC029	Licensed Representative	1, 4, 6
CHEN Yi-ho	BJU897	Licensed Representative	6
CHEN You	BJT331	Licensed Representative	6
CHENG Hon Wing	AQM560	Licensed Representative	1, 2
CHENG Kin Chun Kenneth	BJY611	Licensed Representative	2
CHENG King Yin	BKC654	Licensed Representative	6
CHENG Kwok Choi	BJR195	Licensed Representative	1
CHENG Kwok Ho	BJW959	Licensed Representative	1, 6
CHENG Pui Shan	BJV196	Licensed Representative	1, 4
CHENG Xinlong	BKC166	Licensed Representative	1, 4, 6
CHEUNG Chun Him	BKA569	Licensed Representative	1, 4, 5



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Chun Ki	BJW035	Licensed Representative	6
CHEUNG Chung Ching	BJZ414	Licensed Representative	6
CHEUNG Hin Ni	ALV270	Licensed Representative	1, 6
CHEUNG Kin Man	AFH495	Licensed Representative	1, 4
CHEUNG On Ki	BJT503	Licensed Representative	1
CHEUNG Suet Lin	BJY958	Licensed Representative	9
CHIA Kar Hin Eric John	AEW789	Licensed Representative	1
CHIANG Chun Wai	BKE260	Licensed Representative	9
CHIK Yin Sau	BJW974	Licensed Representative	1
CHIU Chin Pang	BJV998	Licensed Representative	1, 4, 9
CHIU Chun Yin	AOY509	Licensed Representative	1, 2
CHOI Chor Kin	BJH948	Licensed Representative	4
CHOI King Long	BEJ353	Licensed Representative	1, 2, 9
CHOI Raymond Yat-hong	AVY109	Licensed Representative	1, 4, 9
CHOI Sheung Wing	AEA666	Licensed Representative	1, 6
CHOI Sin Tung	BKG229	Licensed Representative	1
CHONG Tsz Ngai	BKF673	Licensed Representative	1, 4
CHONG Wai Ho	BKB680	Licensed Representative	4
CHOO Mei Yen	BJQ219	Licensed Representative	1
CHOW Man Ho	AVY758	Licensed Representative	1
CHU Chu	BJR827	Licensed Representative	1
CHU Chun Hung, Frederick	AOR257	Licensed Representative	1
CHU Dantong	BJT862	Licensed Representative	1, 4, 6
CHUA Yong Hua	BJW897	Licensed Representative	1, 4, 9
CHUAN Colin Ji Xing	BJV719	Licensed Representative	1
CHUANG Shu Han Linnda	BJQ220	Licensed Representative	1
CHUEN Jeanine Jing Yin	BJV716	Licensed Representative	1, 4, 6
CHUNG Cheuk Man	AIC833	Licensed Representative	1
CHUNG Sheng Yuan	BKA489	Licensed Representative	1, 6
CHUNG Yi-Chun	BJY659	Licensed Representative	1
CLARK Toby Sean	BJQ790	Licensed Representative	1, 6
DAI Minghao	BJZ445	Licensed Representative	1, 6
DAI Yao	BKA669	Licensed Representative	1, 4, 9
DANG Jimmy Chee Man	BJY196	Licensed Representative	1, 6
DENG Qiao	BKC621	Licensed Representative	1, 4
DENG Xiaotong	BKG340	Licensed Representative	1, 4, 6
DENG Xue	BJV008	Licensed Representative	4
DENG Yuan	BJS894	Licensed Representative	4, 9
DENG Yuting	BJU908	Licensed Representative	1, 6
DEVITRE Zal Dinyar	BJV338	Licensed Representative	1, 4
DORAN Elaine Jacqueline	BBG986	Licensed Representative	2, 5
DU JUAN	BKD530	Licensed Representative	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
DU XIAOYU	BJZ584	Licensed Representative	1, 4, 6
FANG Tongtong	BFP649	Licensed Representative	4
FANG Wei	BKA235	Licensed Representative	4
FANG Zhen	BKC091	Licensed Representative	1
FRIEDHEIM Arie	BJV569	Licensed Representative	1, 4
FU Xueying	BKC970	Licensed Representative	1, 6
FUNG Natalie	BJW964	Licensed Representative	1, 2
GANGAL Shrinivas Ravindra	BBN555	Licensed Representative	1, 2
GAO Fan	BJT978	Licensed Representative	10
GAO Fei	BJZ288	Licensed Representative	1, 4, 9
GAO Yayan	BKG891	Licensed Representative	1, 4, 9
GENG Qizun	BJZ505	Licensed Representative	1, 2
GORDON Michael	BKD973	Licensed Representative	1
GU Mingyu	BKB316	Licensed Representative	9
GU Shuopeng	BJW732	Licensed Representative	1, 2, 9
GUAN Zheng Michael	AKJ245	Licensed Representative	6
HAN Tianyue	BJR339	Licensed Representative	1, 6
HAN Tingqian	BFA094	Licensed Representative	4
HANG Chi Ping	BJV884	Licensed Representative	1, 4
HANG Chi Ying Toby	BKA661	Licensed Representative	1
HAO Longbo	BKE576	Licensed Representative	1, 2
HE Xiangpei	BGD816	Licensed Representative	1
HO Alice Yuen May	ABF602	Licensed Representative	1
HO Cheng Tzu	BKK497	Licensed Representative	1
HO Clement Kai Chung	BJU791	Licensed Representative	1
HO Lok Man, Tiffany	AUV994	Licensed Representative	1
HO Nathan Ma	BJZ250	Licensed Representative	2, 5
HO Pak Hei	BJZ182	Licensed Representative	1, 4
HON Chi Ming	AYR367	Licensed Representative	1, 4, 9
HONG ChunYu	BJZ189	Licensed Representative	9
HONG Po Kat	BJV225	Licensed Representative	1, 4, 6
HONG Xinguang	BJS939	Licensed Representative	1
HSU Ya Ting	BJY695	Licensed Representative	1, 4, 9
HU Tzu Han	BCN750	Licensed Representative	1, 4
HU Weili	BKH778	Licensed Representative	1, 6
HU Yang	BJX571	Licensed Representative	1, 4, 6
HUA Xiaowei	BJU617	Licensed Representative	4
HUANG Jieqiong	BKC481	Licensed Representative	4
HUANG Jingwen	BJV595	Licensed Representative	1, 4, 9
HUANG Pei-Hsuan	AUH256	Licensed Representative	1, 4, 9
HUANG Sheng	BJT608	Licensed Representative	1, 6
HUANG Shuhe	BJU101	Licensed Representative	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Xinyue	BJX308	Licensed Representative	1, 4, 6
HUANG Yanzhuo	BJR435	Licensed Representative	1, 6
HUEY Te-An	BJT111	Licensed Representative	1, 4, 6
HUH YOON SOO	BJQ672	Licensed Representative	1
HUI Wan Yee	AEX961	Licensed Representative	4, 9
HUI Yun Chak	BKH449	Licensed Representative	1, 2
HUNG Hiu Ying	BJV893	Licensed Representative	1
IP Hoi Yeung	BJX748	Licensed Representative	1
JAIN Saurav	BJW554	Licensed Representative	9
JIA HONGBO	BJW385	Licensed Representative	10
JIANG Hongyu	BJY536	Licensed Representative	1, 2
JIM Jessica Sze Ho	BJW074	Licensed Representative	1, 2, 4, 5
JIN Zenan	BJX393	Licensed Representative	1, 4, 6
JOSEPH Dhiraj	BJW120	Licensed Representative	1, 6
JUSUFI Mirgim	AYR638	Licensed Representative	1, 2, 7
KAN Ngai Him	AGG812	Licensed Representative	1
KANG MOUNGJIN	BJV306	Licensed Representative	1, 6
KAO Yen-Her	BJZ498	Licensed Representative	1, 4
KAR Wai Lan	BKC968	Licensed Representative	1
KE Zhening	BKB791	Licensed Representative	1, 6
KEEBLE Paul Royce	BJW027	Licensed Representative	1, 4
KEUNG Yurk Nam Sandy	AWN488	Licensed Representative	9
KEYS Malcolm James	AVF631	Licensed Representative	9
KIM CHANGWON	BJW920	Licensed Representative	1, 4
KIM Jeong Hoon	BJR334	Licensed Representative	1, 6
KIM Ji Hyun	BGB395	Licensed Representative	9
KIM Minki	BJR356	Licensed Representative	1, 6
KO Tak Yan Anson	BJW368	Licensed Representative	1, 4, 6
KO Yan Kiu Kristy	BKD549	Licensed Representative	1, 2, 9
KONG Hiu Fung	BJX395	Licensed Representative	1, 4, 6
KONG Ka Leung	BKD222	Licensed Representative	1
KONG Man Kei	BJT113	Licensed Representative	1
KUANG Chao	BJT859	Licensed Representative	1, 6
KUANG Enqi	BJU194	Licensed Representative	9
KUMAR Manhar	BJV395	Licensed Representative	1
KURODA Makoto	BKD243	Licensed Representative	4
KWAN Ho Yee Hayden	BKB784	Licensed Representative	1, 6
KWAN Ho Yin Jasmine	BKH794	Licensed Representative	1, 2
KWAN Sin Yee	AJE789	Licensed Representative	1
KWOK Cheuk Tim Rockie	AHD928	Licensed Representative	1
KWOK Sin Ying	BJX267	Licensed Representative	1
KWONG Chi Heng Michael	BEA655	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAI Chuk Man	BGQ765	Licensed Representative	1, 4, 6
LAI Jiahua	BJU621	Licensed Representative	9
LAI Kar Chun	BJV871	Licensed Representative	1
LAM Boon Ho, Benjamin	AHA421	Licensed Representative	1, 2
LAM Chiu Yee	BKD534	Licensed Representative	6
LAM Chun Ho	BJW716	Licensed Representative	1, 2
LAM Hiu Chung	BJW731	Licensed Representative	1, 2
LAM Ho Howard	BJX688	Licensed Representative	1, 4, 9
LAM Tat Yuen	BJW878	Licensed Representative	1, 2
LAM Wah Yu	ACW374	Licensed Representative	4, 9
LAM Yi Fun, Kelvin	BJY140	Licensed Representative	1, 4
LAM Yi Long	BJV075	Licensed Representative	6
LAM Yin Ng	ALV041	Licensed Representative	1, 9
LAM Yu Tung	BJV726	Licensed Representative	1, 6
LAM Yuen Ngar, Ginie	AEG451	Licensed Representative	1, 4
LATHER Manik	ATQ944	Licensed Representative	9
LAU Gar Hung Christopher	BDR387	Licensed Representative	1, 4, 9
LAU Sing Chong	AOE376	Licensed Representative	4
LAU Siu Kau	BJW061	Licensed Representative	1, 4
LAU Vincent Har Yin	BKD095	Licensed Representative	1, 2
LAUDER Jamie David	BKC410	Licensed Representative	1, 2
LAW Chun Ho	BKD527	Licensed Representative	6
LAW Conan	BJX759	Licensed Representative	1, 4, 9
LAW Lok Tak	BKG275	Licensed Representative	1
LAW Pui Fai	BAL426	Licensed Representative	1, 2
LEE Ching	ADC297	Licensed Representative	1, 6
LEE Clarence Tien Hoe	BJV269	Licensed Representative	1
LEE Hui Fat	BJX753	Licensed Representative	1, 2
LEE Ka Yee	AOR521	Licensed Representative	4, 9
LEE Lun Cheong	BKD620	Licensed Representative	1
LEE Lung Yuen	BJX656	Licensed Representative	1
LEE Ming-Hsun	BAO744	Licensed Representative	1, 4
LEE Pei-Chiao	BJU816	Licensed Representative	1, 2
LEE Pui Man	BJW370	Licensed Representative	1, 4
LEE Te-Chun	BKE212	Licensed Representative	1, 2
LEE Wing Kit	BKD948	Licensed Representative	9
LEONG Chi Fong	BJV151	Licensed Representative	1, 4, 6
LEONG Yat Hei	BJZ704	Licensed Representative	1
LEUNG Ho Ming	BJW115	Licensed Representative	1
LEUNG Ho Yim	BEW801	Licensed Representative	1, 2
LEUNG Ho Yin	BJY143	Licensed Representative	1, 2
LEUNG Kwan Wun	BJX561	Licensed Representative	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Wai Chu	AHE647	Licensed Representative	4
LEUNG Wang Kit, James	ACC013	Licensed Representative	1, 4, 9
LEUNG Wing Ho	BJW627	Licensed Representative	1, 4
LEUNG Yun Keung	AXV860	Licensed Representative	1
LI Baohong	BKG877	Licensed Representative	4, 9
LI Baohua	BJU349	Licensed Representative	1, 4, 9
LI Fangjing	BKG345	Licensed Representative	1, 4, 6
LI Kam To	BKA665	Licensed Representative	1, 2
LI Pui Wai, Crystal	BJW088	Licensed Representative	1, 4
LI Shiyao	BKF991	Licensed Representative	1, 4, 6
LI Shuo	BJX586	Licensed Representative	1, 4, 6
LI Shuo-Ju	BJU789	Licensed Representative	1, 4, 6
LI Siu Kin	ANG321	Licensed Representative	1, 4
LI Siyuan	BJW057	Licensed Representative	2, 5
LI Tianyu	BJT864	Licensed Representative	1, 4, 6
LI Tsz Ying	BKI049	Licensed Representative	4
LI Wan-Ning	BKC576	Licensed Representative	1, 4, 6
LI Xingyan	BJX755	Licensed Representative	1, 2, 4, 5
LI Xueqian	BKA509	Licensed Representative	1, 6
LI Yucai	BJP792	Licensed Representative	1, 4, 9
LI Yufen	BJX774	Licensed Representative	1
LI YUYU	BJU225	Licensed Representative	1, 4
LI Zhengwen	BJY487	Licensed Representative	1
LI Zhi	BJV304	Licensed Representative	1, 6
LIAN Cheng	BJR440	Licensed Representative	1, 6
LIANG Jingwen	BJX362	Licensed Representative	1, 4, 9
LIANG Yi-xiao	BKC785	Licensed Representative	1, 2
LIANG Zhipeng	BKD358	Licensed Representative	4, 9
LIAO Jiayuan	BKE633	Licensed Representative	1, 4
LIEW Wei Sheng	BJX686	Licensed Representative	9
LIM Keng Hian	AWT968	Licensed Representative	1
LIM Kin Yung	BCS973	Licensed Representative	1, 2
LIN Bonan	BJT845	Licensed Representative	1, 4, 6
LIN Qi	BJV254	Licensed Representative	4, 5, 9
LIN Yaoyao	BKG012	Licensed Representative	1
LING Chien-Chih	AYC987	Licensed Representative	1, 6
LIU Boyong	AWO657	Licensed Representative	4, 9
LIU Chenxi	BJZ592	Licensed Representative	4, 9
LIU Chia Sheng	BJW094	Licensed Representative	1
LIU Difan	BJS874	Licensed Representative	1, 2, 9
LIU Jerry Yuan	BAE419	Licensed Representative	4
LIU Jingjing	BJU598	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Kao Jui	BJU745	Licensed Representative	1, 4, 6
LIU Luyao	BKC649	Licensed Representative	1, 6
LIU Mengyun	BJU545	Licensed Representative	1, 4, 6
LIU Mu	BJQ205	Licensed Representative	2
LIU Ruiming	BJR792	Licensed Representative	1, 2, 9
LIU Xinxin	BJW272	Licensed Representative	1, 2
LIU Xinxing	BJZ135	Licensed Representative	1, 4, 5, 9
LIU Yifan	BJV843	Licensed Representative	4
LIU Ying	BJS927	Licensed Representative	1, 4, 6
LO Kwan In	BJZ004	Licensed Representative	1
LO Si Hon	BJV851	Licensed Representative	1, 2, 4
LO Tsz Hin	BKE897	Licensed Representative	1
LO Yuk Chu	ALN861	Licensed Representative	1, 4
LOH Chin Meng	BKF464	Licensed Representative	1, 2
LU Jianjie	BKC043	Licensed Representative	4
LU PeiLin	BKB946	Licensed Representative	1, 4, 9
LU Tien-Jui	APB697	Licensed Representative	1
LU WEIXIANG	BJX290	Licensed Representative	1, 4, 6
LUI Benji	BKE387	Licensed Representative	1, 4
LUI Cheuk Him	BJW248	Licensed Representative	1, 6
LUK KWOK SAN	BJW652	Licensed Representative	1, 2
LUK Tan	BJV581	Licensed Representative	1
LUNG Pui Hang	BKA667	Licensed Representative	1
LUNG Tak Shing	BJW221	Licensed Representative	6
LUO Haoxuan	BJZ439	Licensed Representative	9
LUO Man	BKL195	Licensed Representative	1, 9
LUO Si	BER415	Licensed Representative	4, 9
LUO Yifan	BJV236	Licensed Representative	1, 6
MA Ding	BBS691	Licensed Representative	4, 9
MA Ka Ki	BJW333	Licensed Representative	1, 4
MA Qiang	BJX750	Licensed Representative	9
MA Siu Kay	BDH471	Licensed Representative	1
MA Xiaoli	BJZ738	Licensed Representative	1, 4, 6
MA Xiaonan	BJR977	Licensed Representative	4, 6, 9
MAK Leong Fai	BJY168	Licensed Representative	1, 4
MAN Chung Ching	BJV935	Licensed Representative	1, 2
MAN Wai Mei	AZK159	Licensed Representative	1, 4
MILLER Elizabeth Kathleen	BGH558	Licensed Representative	1, 2
MITCHELL Chloe Frances Mary	BKB312	Licensed Representative	4, 9
MOHINDRA Vani	BJV307	Licensed Representative	1, 6
MOK Wai Kei	BKD644	Licensed Representative	1
MOK WAI SAN	BKD537	Licensed Representative	1



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CE Name	CE No.	Licence Type	Types of Regulated Activity
MU Jing	BJP594	Licensed Representative	1, 4, 9
MURRAY David Andrew James	ALN017	Licensed Representative	5
NACHIN Nagar	BJM092	Licensed Representative	1
Navita	AWA227	Licensed Representative	1
NEARY James Joseph	AQE987	Licensed Representative	1
NG DON YIU ALEXANDER	BKE009	Licensed Representative	1
NG Hang Yuet	BJW484	Licensed Representative	1, 4, 9
NG Ka Wai	AWL094	Licensed Representative	1, 4
NG Kwan	BKD311	Licensed Representative	6
NG KWOK HAY	BKD903	Licensed Representative	2
NG Kwok Lun	BCY769	Licensed Representative	1, 2
NG Maria Wai-yan	ANQ237	Licensed Representative	1, 6
NG Mei Yee	BJX576	Licensed Representative	6
NG Philip Kwan Hung	BKC787	Licensed Representative	1, 2
NG Sai Wing	BJV722	Licensed Representative	1, 2, 5
NG Wai Hong	BJV752	Licensed Representative	1
NG Wing Lun	AYS156	Licensed Representative	1, 4
NGAI Hei Nam	BJX280	Licensed Representative	1, 6
NGAI Hoi Chun	AIH608	Licensed Representative	1, 2
NGAI Sing Lung	AQY372	Licensed Representative	1, 4
NGAN Hau Kuk	BJU818	Licensed Representative	1
NGAN Po Yan	BKG873	Licensed Representative	1
NIP Chun Pong	AIG199	Licensed Representative	4
NISHI Yoshiyuki	BJV585	Licensed Representative	1, 4
NOGUCHI Kenichi	AVY124	Licensed Representative	4, 9
OH Wendy Wan Lin	BJZ441	Licensed Representative	1, 2
OHTA Tomoko	BJR966	Licensed Representative	1
ONG Brigitte Yu Shan	BKD063	Licensed Representative	1, 2
ONG Yeok Foong Geraldine	BJW896	Licensed Representative	1, 4, 9
OOI Chenn Siong	AXR955	Licensed Representative	1, 6
ORLINSKI Zbigniew Frank	BKC693	Licensed Representative	1, 4
PAN Xiaowei	BJV251	Licensed Representative	1, 6
PAN Yingshi	APL915	Licensed Representative	1, 4
PANG Chung Po	BKF499	Licensed Representative	1
PANG Nicholas Lee	ATH808	Licensed Representative	1, 2
PARK Andrew Jai Young	AVA057	Licensed Representative	9
PARK Seo Hye	BKA585	Licensed Representative	1, 2, 4, 5, 7
PAVRI Tina Koryn	BIO508	Licensed Representative	4
PENG Shih-Wei	ABN236	Licensed Representative	4, 9
PENG Yifu	BJU855	Licensed Representative	1, 4
PENG Ying	BJV415	Licensed Representative	1
PETRIE Scott William	BJW331	Licensed Representative	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
PHILLIPS Sam Johnathon	AGT128	Licensed Representative	1, 4
POH Wee Leng Alan	BJW574	Licensed Representative	1, 2
POON Teddy Tingquin	BJY370	Licensed Representative	6
PUN Kam Wing Linus	BKE839	Licensed Representative	1
QIAN Zhijun	BKD952	Licensed Representative	4
QIAO Zhi	BJT626	Licensed Representative	1, 6
QIN Shuang	BJT618	Licensed Representative	1, 4, 9
QIN Zehan	BJT869	Licensed Representative	1, 4, 6
QU Meng	BKD944	Licensed Representative	1, 6
RAO Chao	BFE245	Licensed Representative	4, 9
REN Yi	BJV558	Licensed Representative	9
RICHTER Chasen Connell	BKG343	Licensed Representative	2, 5
ROH Se Hoon	BJW377	Licensed Representative	10
RUMBLE Lawrence Nicholas	BCV893	Licensed Representative	1, 4
SAKAI Hidetsugu	AWQ788	Licensed Representative	1
SAMBATUR Hemant	BJW956	Licensed Representative	5
SANO Tetsuji	AOR579	Licensed Representative	4
SASAO Hiroshi	AMI544	Licensed Representative	9
SHE Jingwei	BKH340	Licensed Representative	1
SHEN Minggao	ATQ771	Licensed Representative	1, 4
SHI Ce	BJR428	Licensed Representative	1, 6
SHI Jing	BKI044	Licensed Representative	4, 9
SHI Xiaoqin	BKG038	Licensed Representative	2
SHINO Koa	ANU240	Licensed Representative	1, 4
SHOLAPURKAR Aditi	BKA583	Licensed Representative	1
SHU Lulu	BKE315	Licensed Representative	1, 4
SIAO Pui Ling	BKD280	Licensed Representative	1, 4
SINGH Rohit	AVJ143	Licensed Representative	1, 2
SINHA Somshankar	APH048	Licensed Representative	4
SIRIBODHI Pongyupa	BJV233	Licensed Representative	1
SIU Man	AMK414	Licensed Representative	1
SMITH Sherene Maria	BJU983	Licensed Representative	9
SO Kam Chung Kelvin	BEA829	Licensed Representative	1, 4, 9
SONG Yitao	BJT520	Licensed Representative	1
SONG Ziyi	ANT825	Licensed Representative	9
STOCK Nicholas Alexander	BJY746	Licensed Representative	1, 4, 9
STOEGNER Thomas	BBG270	Licensed Representative	4, 5, 9
SU Jiayuan	BJR397	Licensed Representative	1, 6
SU Ziheng	BJK655	Licensed Representative	1, 2
SUN Haiwen	BJX741	Licensed Representative	1, 4
SUN Hao	BKG792	Licensed Representative	10
SUN JING	BJR276	Licensed Representative	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUN Liang	AUZ741	Licensed Representative	4, 9
SUN Shangyun	BJW317	Licensed Representative	1, 4, 6
SUN Siwei	BJU563	Licensed Representative	1, 2
SUN Yuan	BJL399	Licensed Representative	1, 6
SZE Yuk Lung	BKG801	Licensed Representative	1
SZETO Carol Wen	BCY116	Licensed Representative	1, 2
TAI Mei Kan	BJV918	Licensed Representative	1, 4
TAKAYA Shinichi	BKD954	Licensed Representative	4
TAN Chaojun	BKA236	Licensed Representative	9
TAN Hui Hua	BKC670	Licensed Representative	1, 6
TANG Hon Sum	BJY466	Licensed Representative	2
TANG Jiazi	AWX863	Licensed Representative	5
TANG Ling	BKA502	Licensed Representative	1, 2
TANG Tat Hung Darren	BKA592	Licensed Representative	1, 2
TANG Wai Kee	ACG544	Licensed Representative	1, 4, 9
TAO Xiaoying	BJU821	Licensed Representative	1, 4, 6
TEH Timothy Wen Ming	BJV363	Licensed Representative	1, 4
TERAN Michael Jason	BJW283	Licensed Representative	9
TONG Anqi	BJZ500	Licensed Representative	1, 6
TONG Hing Wah	AGF453	Licensed Representative	6
TONG Tung Mui	AMY404	Licensed Representative	1, 4, 9
TOTH Alexandra Marie	BKF424	Licensed Representative	1, 2, 4, 5, 7
TSAI Sze Wui	AVH108	Licensed Representative	10
TSANG Cheuk Man	BJU606	Licensed Representative	1, 2
TSANG Yu Shing	BJU695	Licensed Representative	1
TSE Joseph	AKL709	Licensed Representative	1
TSE Ka Pui	BJX258	Licensed Representative	1, 4, 9
TSE Wing Hei	BJW539	Licensed Representative	6
TSE Yan Ling	ARO291	Licensed Representative	2
TSO SING YIP	BEE485	Licensed Representative	1, 4
TSUI Ho Wai	AKP706	Licensed Representative	10
TSUI Ka Fai	BKD316	Licensed Representative	1, 4, 6
ULLATIL Parvathy Pratap Kumar	BJV369	Licensed Representative	1, 4, 9
VARGAS Ignacio Cho	BKB779	Licensed Representative	1
WAI Zing Kwen	BJZ626	Licensed Representative	1
WANG Chih Hsiang	APJ781	Licensed Representative	4
WANG Hongwei	BJS949	Licensed Representative	1, 4, 9
WANG Laixi	BJR329	Licensed Representative	1, 6
WANG LI	BJW576	Licensed Representative	4, 9
WANG Linjun	BJV425	Licensed Representative	1
WANG Lukai	BJS134	Licensed Representative	1, 6
WANG MENGJIE	BJR209	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Mengjie	AXZ092	Licensed Representative	6
WANG Ni	BAV555	Licensed Representative	1, 4, 9
WANG Qing	BJZ437	Licensed Representative	1, 6
WANG Qing	BJZ700	Licensed Representative	9
WANG Qiong	BJW110	Licensed Representative	1, 6
WANG Ruolei	BKA494	Licensed Representative	1, 6
WANG Tong	BJV907	Licensed Representative	1
WANG Wenxiao	BJX751	Licensed Representative	1
WANG Xin	BJQ336	Licensed Representative	1, 4, 9
WANG XINYAN	BKC974	Licensed Representative	4, 9
WANG Xue	BJO823	Licensed Representative	1, 6
WANG Yi	BKA674	Licensed Representative	4, 9
WANG Yida	BKB782	Licensed Representative	1, 2
WANG Yuantao	BJQ082	Licensed Representative	9
WANG Ziyao	BJU722	Licensed Representative	1, 4, 6
WILLIAMS Ian Melville	BJV766	Licensed Representative	1, 2
WONG Chi Kin, Bioni	BJV750	Licensed Representative	1
WONG Chi Yin	BKD520	Licensed Representative	1, 6
WONG Chim	BFE349	Licensed Representative	1
WONG Ching Tung	BKD196	Licensed Representative	1, 2
WONG Fung Kuen Karen	BJY653	Licensed Representative	1
WONG HEI MAN	BJQ473	Licensed Representative	3
WONG HOI LING	BJZ254	Licensed Representative	1, 2, 9
WONG Hoi Ying	BKK545	Licensed Representative	2
WONG Ka Shing	BKG880	Licensed Representative	1
WONG Kin Cheung, Matthew	BJV725	Licensed Representative	1, 4, 6
WONG Nam Ting	BFN419	Licensed Representative	1
WONG Nga Chung Vicky	BJV582	Licensed Representative	1, 4
WONG Sze Wing	BAS663	Licensed Representative	1, 4
WONG TSZ HEI HERBERT	BJX760	Licensed Representative	4, 9
WONG Wing On	BEA130	Licensed Representative	4, 9
WONG Wing Sze	BJU208	Licensed Representative	1
WONG Wing Wah	AEC773	Licensed Representative	1, 2, 4, 5
WONG Yat Chung Joseph	BJV894	Licensed Representative	1
WOO Hyun Dong David	BKF443	Licensed Representative	1
WOO Mun Chung	BKB253	Licensed Representative	1
WU Cheng Han	BKA887	Licensed Representative	1, 4
WU Hao	BJU814	Licensed Representative	1, 2
WU Jia	BJU793	Licensed Representative	1
WU Jing	BKE827	Licensed Representative	1, 4
WU Ju Yueh	BHM646	Licensed Representative	1
WU Mengyun	BKC652	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Ru-Ping	BJQ207	Licensed Representative	10
WU Shuk Ming	BJV936	Licensed Representative	1, 2
WU Simon Hao Sheng	BJT979	Licensed Representative	2, 5
WU Suo	BJX754	Licensed Representative	2, 5
WU Ting	AMF592	Licensed Representative	1, 4, 6
WU Yue	BJP648	Licensed Representative	2
WU Yunming	BKB813	Licensed Representative	1, 6
XIA Tianci	BJY880	Licensed Representative	6
XIAO Feifei	BKE569	Licensed Representative	1, 6
XIE Gu	BJT866	Licensed Representative	1, 4, 6
XIONG Yun	BKD914	Licensed Representative	1, 6
XU Guang	BKC619	Licensed Representative	9
XU Junru	BJV253	Licensed Representative	1, 6
XU Wenyun	BKE808	Licensed Representative	1, 2
XU Yiqiong	BKG065	Licensed Representative	1, 2
XUE Hansu	BJV336	Licensed Representative	4, 9
YAN Hao	BKD001	Licensed Representative	1, 4
YAN Yun	BKI023	Licensed Representative	4, 9
YANG Kaiyi	BJY550	Licensed Representative	1, 9
YANG Qiong Ning	BJO794	Licensed Representative	1
YANG Wei-Lun	AXZ658	Licensed Representative	4
YANG Ye	BJW349	Licensed Representative	4
YAO Heping	BKC714	Licensed Representative	4
YAO Shijia	BJR345	Licensed Representative	4
YAO Wei	BJV305	Licensed Representative	1, 4
YAU Kearia Ching Fan	ADR274	Licensed Representative	1
YEK WAI TUNG	BKG026	Licensed Representative	1, 2
YEUNG Chi Wai	BKD293	Licensed Representative	1, 2
YEUNG King Fung	BJT499	Licensed Representative	4, 5, 9
YEUNG Shuet Fan, Pamela	ALI310	Licensed Representative	1, 6
YEUNG Sin Ting	BKD223	Licensed Representative	1, 4, 9
YI Weibin	BKD231	Licensed Representative	6
YIM Chi Keung	ASS279	Licensed Representative	1, 2
YIP Wun Piu	BJV155	Licensed Representative	1, 2
YOU Qian	AVY468	Licensed Representative	4, 9
YU Fei	BKE020	Licensed Representative	1, 4
YU Nga Shan	APJ556	Licensed Representative	2, 5
YU Yanfeng	BJS886	Licensed Representative	2
YU Yang	BKB919	Licensed Representative	1, 4
YUNG Nga Man	BKH448	Licensed Representative	6
YUNG YEE MEI	BKA653	Licensed Representative	1
ZENG Li	BKG164	Licensed Representative	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAI Jingyi	BJL611	Licensed Representative	2
ZHANG Chao	BJQ466	Licensed Representative	5
ZHANG Dan	BJZ281	Licensed Representative	1, 4, 9
ZHANG Elisabeth Ting	BJV996	Licensed Representative	9
ZHANG Guangrong	BJX333	Licensed Representative	4, 9
ZHANG Hanyuan	BJU076	Licensed Representative	1, 6
ZHANG Haotian	BJU698	Licensed Representative	6
ZHANG Jiajun	BKB464	Licensed Representative	1
ZHANG LUYING	BJY893	Licensed Representative	1, 4
ZHANG mengyuan	BJT695	Licensed Representative	1, 4
ZHANG Peng	BKF638	Licensed Representative	1, 4, 9
ZHANG Qi	BJS136	Licensed Representative	1, 6
ZHANG Qirui	BJV452	Licensed Representative	1, 4, 6
ZHANG Shiyao	BKE306	Licensed Representative	4, 9
ZHANG Ting	BJT278	Licensed Representative	4, 9
ZHANG Weiwen	ANM884	Licensed Representative	9
ZHANG Wenyi	AYF068	Licensed Representative	1, 4
ZHANG Xiaolin	BJX756	Licensed Representative	9
ZHANG Xinyi	BKK367	Licensed Representative	1, 4
ZHANG Yi	BKE631	Licensed Representative	1, 6
ZHANG Yijia	BKD533	Licensed Representative	9
ZHANG Ziran	BFC896	Licensed Representative	1, 4, 6
ZHAO Xinyue	BJT510	Licensed Representative	6
ZHAO Xun	BJU631	Licensed Representative	6
ZHEN Ni	AMM319	Licensed Representative	1, 4, 9
ZHENG Ling	BKG023	Licensed Representative	1
ZHENG Yufei	BJV215	Licensed Representative	1, 4
ZHENG Zhi	BKE403	Licensed Representative	1, 4, 6
ZHENG Zhiyan	BJR447	Licensed Representative	1, 6
ZHOU Huanwei	BKI616	Licensed Representative	4
ZHOU Jiacheng	BJV252	Licensed Representative	1, 6
ZHOU Qinyi	BKD622	Licensed Representative	1, 4
ZHOU Shufan	BJX658	Licensed Representative	1
ZHOU Weilong	BAY479	Licensed Representative	1, 6
ZHOU Zhenli	BJX323	Licensed Representative	4, 9
ZHU CAI	BJZ402	Licensed Representative	4, 9
ZHU Eryue	BJW931	Licensed Representative	1, 4, 9
ZHU Guyi	BJZ582	Licensed Representative	1
ZHU Kaijie	BJV095	Licensed Representative	1, 6
ZHU Xiaoge	BKE573	Licensed Representative	1, 4, 9
ZHU Yuchen	BJY722	Licensed Representative	1
ZONG Yi	BJW365	Licensed Representative	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZUO Xin	BKE051	Licensed Representative	1
ALONSO Sixto Luis	BJO633	Licensed Representative - Responsible Officer	3
ANAI Ruso	BCO546	Licensed Representative - Responsible Officer	1, 2, 4
ARAKI Yushi	BJT527	Licensed Representative - Responsible Officer	1, 2
BALDWIN Tristan James	AUL980	Licensed Representative - Responsible Officer	1, 7
CHAI Chi Kit	BKA662	Licensed Representative - Responsible Officer	4, 9
CHAN Foet Sen, Tjoeti	ATY071	Licensed Representative - Responsible Officer	9
CHEN Chih-ching	AQD360	Licensed Representative - Responsible Officer	1, 4, 9
CHEN Hui-ming	ALW538	Licensed Representative - Responsible Officer	4, 9
CHEN Yu	AOO228	Licensed Representative - Responsible Officer	9
CHIU Chi Man	ABW341	Licensed Representative - Responsible Officer	1, 2
CHUNG Sung-min	AVS891	Licensed Representative - Responsible Officer	1, 4, 6
CHUNG Tak Yee, Samantha	ANW534	Licensed Representative - Responsible Officer	9
GUO Jin	APL205	Licensed Representative - Responsible Officer	1, 4, 9
HAYWARD Nicholas Simon Paul	BJI449	Licensed Representative - Responsible Officer	1, 4
HU Tao	BIC655	Licensed Representative - Responsible Officer	1, 4
JIANG Jinzhi	AIX656	Licensed Representative - Responsible Officer	9
JIANG Shaokun	BJI662	Licensed Representative - Responsible Officer	4, 9
KAWATA Norihiko	BGP464	Licensed Representative - Responsible Officer	1, 2
KING Christian James	BJI738	Licensed Representative - Responsible Officer	1, 2
KORMILITSYN Artem Vladimirovich	BFN839	Licensed Representative - Responsible Officer	1, 4
LEE Cheong Wing	ADV254	Licensed Representative - Responsible Officer	4, 9
LEE Yuan Tat	BJO643	Licensed Representative - Responsible Officer	1, 2
LEUNG Yuen Nam	ARA198	Licensed Representative - Responsible Officer	9
MALTEZOS George	AKJ232	Licensed Representative - Responsible Officer	1
MAND Sandeep Singh	BAQ202	Licensed Representative - Responsible Officer	4, 9
PEARCE Craig Anthony	BJI448	Licensed Representative - Responsible Officer	9
PU Yan	BJN952	Licensed Representative - Responsible Officer	1, 4, 9
SHANG Xiaou	BJJ283	Licensed Representative - Responsible Officer	1, 4
SHAPIRO Michael Christopher	BIW066	Licensed Representative - Responsible Officer	2
SHI JUNFENG	BJD766	Licensed Representative - Responsible Officer	2, 5
SHUM Hon Wo	AOD608	Licensed Representative - Responsible Officer	6
SHUM Kei Yiu, Daniel	ALY610	Licensed Representative - Responsible Officer	9
SUH Jung Won	AUS548	Licensed Representative - Responsible Officer	1, 4, 6
TAM Ka Ho	BCC092	Licensed Representative - Responsible Officer	1
TSANG Mo Chau	AQD777	Licensed Representative - Responsible Officer	6
TSANG Sui Wing	ANH129	Licensed Representative - Responsible Officer	1, 4
WADDINGTON Richard Edward Henry	BJS782	Licensed Representative - Responsible Officer	9
WANG Jian	BDD861	Licensed Representative - Responsible Officer	4, 9
WANG Yang	AXQ551	Licensed Representative - Responsible Officer	9
WUNG Sheng-Bin	AQS401	Licensed Representative - Responsible Officer	9
YAU Ka Ho	AUI151	Licensed Representative - Responsible Officer	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Dawei	BJJ058	Licensed Representative - Responsible Officer	1
ZHANG Long	BJG378	Licensed Representative - Responsible Officer	9
ZHANG Yan	BJU327	Licensed Representative - Responsible Officer	4, 9
ZHAO Wei	ANP590	Licensed Representative - Responsible Officer	4, 9
ZHAO Weiping	BGZ776	Licensed Representative - Responsible Officer	9
AMIR Faridah	BDJ450	Licensed Representative (Provisional Licence)	1, 4
AN Ziqi	BKH800	Licensed Representative (Provisional Licence)	1, 6
ANFILOGOFF George Alexander Nicholas	BKD641	Licensed Representative (Provisional Licence)	1, 2
ARCURI Alessandro	BKG497	Licensed Representative (Provisional Licence)	1, 2, 4, 5
AU YEONG Wai Mun	BKO309	Licensed Representative (Provisional Licence)	1, 4, 6
AVENEL Gerald Marie Jean Alain	BKO053	Licensed Representative (Provisional Licence)	1
BANNON Deborah Mary	AIK991	Licensed Representative (Provisional Licence)	1, 4
BAO JIAKUN	BKM142	Licensed Representative (Provisional Licence)	4, 9
BEH Seog Won	BJZ485	Licensed Representative (Provisional Licence)	1, 4, 9
BEKOS Andreas Johannes	BHU928	Licensed Representative (Provisional Licence)	1, 4, 6
BERMEJO Philippe	AUH272	Licensed Representative (Provisional Licence)	2
BERRY Romain Pierre	BKL357	Licensed Representative (Provisional Licence)	1
BI Xuewei	BKD929	Licensed Representative (Provisional Licence)	1, 4, 6
BIAN Shanshan	BKI102	Licensed Representative (Provisional Licence)	1, 4, 6
BLASETTI Karim	BBB060	Licensed Representative (Provisional Licence)	1, 4
BROWN Sebastian Gordon	BCU731	Licensed Representative (Provisional Licence)	1, 4
CAO Yimeng	BKG809	Licensed Representative (Provisional Licence)	1, 2
CASTELLI Marta Fernanda	BKE622	Licensed Representative (Provisional Licence)	10
CHAI Sijun	BKN457	Licensed Representative (Provisional Licence)	1, 2, 9
CHAI Yingshan	BKD933	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Chi Wan	AFA249	Licensed Representative (Provisional Licence)	1
CHAN Chun Fai	AUR704	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Hoi Yan	AFZ453	Licensed Representative (Provisional Licence)	1
CHAN Ka Ki	AJH032	Licensed Representative (Provisional Licence)	1
CHAN Kwok Hay	ACA610	Licensed Representative (Provisional Licence)	1, 2
CHAN Lai Sze	BKQ410	Licensed Representative (Provisional Licence)	1
CHAN On Yi	BKF950	Licensed Representative (Provisional Licence)	1
CHAN Pak Hong	BKK318	Licensed Representative (Provisional Licence)	9
CHAN Pui Yee	BKP405	Licensed Representative (Provisional Licence)	1, 4, 6, 9
CHAN Tsung-Hsun Dennis	BEE283	Licensed Representative (Provisional Licence)	9
CHAN Wing Cheung	BKJ264	Licensed Representative (Provisional Licence)	1, 2
CHAN Wing Lam	BKJ927	Licensed Representative (Provisional Licence)	1
CHAN Wing Sing	BJV736	Licensed Representative (Provisional Licence)	2
CHAN Yan Wing	BKG341	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Yi	BKH673	Licensed Representative (Provisional Licence)	1, 6
CHAN Yuen Fan	BKP220	Licensed Representative (Provisional Licence)	1, 4
CHAN Yuk Ming, Phonda	AZQ766	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Zelig	BKJ191	Licensed Representative (Provisional Licence)	1, 6
CHANG Alexander Paul	ALZ627	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Kai Kuan	BKE812	Licensed Representative (Provisional Licence)	1
CHANG Mei Ling	BKD637	Licensed Representative (Provisional Licence)	1
CHAU Che Huen	BKQ952	Licensed Representative (Provisional Licence)	1, 2
CHAU Wing Yung	BKM994	Licensed Representative (Provisional Licence)	6
CHEN Boyu	BKQ751	Licensed Representative (Provisional Licence)	1, 6
CHEN Chun Tung, Jason	ANU347	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Emily Aimei	BKH333	Licensed Representative (Provisional Licence)	1, 2
CHEN Hung	BKJ755	Licensed Representative (Provisional Licence)	1, 2
CHEN Jiongfa	BKI623	Licensed Representative (Provisional Licence)	1, 6
CHEN Jordan Bo Ling	BKE824	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Jou Ting Tina	BJV550	Licensed Representative (Provisional Licence)	1, 4
CHEN Keng Chia	BKD548	Licensed Representative (Provisional Licence)	1
CHEN Linxin	BJZ417	Licensed Representative (Provisional Licence)	1, 6
CHEN Mingyi	BKD532	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Qiwen	BKL749	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Wei Hsun	ARG669	Licensed Representative (Provisional Licence)	1, 9
CHEN Xinxuan	BKF807	Licensed Representative (Provisional Licence)	1, 6
CHEN Yi-Chen	BKC029	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yu Shiu-an	BKP273	Licensed Representative (Provisional Licence)	1, 9
CHEN Zefeng	BKC249	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Zhaoxi	BKF795	Licensed Representative (Provisional Licence)	1, 6
CHENG CHEONG KIT	BKM843	Licensed Representative (Provisional Licence)	9
CHENG King Tong	BKQ319	Licensed Representative (Provisional Licence)	6
CHENG Xinlong	BKC166	Licensed Representative (Provisional Licence)	1, 4, 6
CHEONG Brandon Gee	BJW322	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Bonathan Wai Ka	BIF071	Licensed Representative (Provisional Licence)	6
CHEUNG Ho Bun	BKI572	Licensed Representative (Provisional Licence)	4
CHEUNG Lai Hung	BKQ774	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Man Ting Stella	BDL886	Licensed Representative (Provisional Licence)	4
CHEUNG YAN KIT KEN	BKK360	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Yik Ki	BKL730	Licensed Representative (Provisional Licence)	1, 6
CHIU Chun Yin	AOY509	Licensed Representative (Provisional Licence)	1, 2
CHIU On Sang	AJE177	Licensed Representative (Provisional Licence)	1, 4, 6
CHIU Shing Fung	BKL343	Licensed Representative (Provisional Licence)	1, 2
CHIU Shui Kei	AOH254	Licensed Representative (Provisional Licence)	1
CHNG Tian Yi Joshua	BKH338	Licensed Representative (Provisional Licence)	1
CHO Taejung	BKM785	Licensed Representative (Provisional Licence)	1, 4
CHOI So Yeon	BKQ224	Licensed Representative (Provisional Licence)	1, 4
CHOI Wing Lun	BJD733	Licensed Representative (Provisional Licence)	1, 9
CHONG Hon Sang, Donny	BFA513	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOW Yung	BDL629	Licensed Representative (Provisional Licence)	4, 9
CHOW CHUI NGAN	BKQ451	Licensed Representative (Provisional Licence)	4
CHU Wai Fan, Frankie	BIB842	Licensed Representative (Provisional Licence)	1
CHUA Yi Zhi, Maria	ASU036	Licensed Representative (Provisional Licence)	1, 4
CHUNG Chieh-Hung	BKL124	Licensed Representative (Provisional Licence)	1, 2, 9
CHUNG Tony	ACJ250	Licensed Representative (Provisional Licence)	1
CHURK Hang Fai Vincent	BKJ918	Licensed Representative (Provisional Licence)	1, 4, 6
COPE Dillon Levander	BKA646	Licensed Representative (Provisional Licence)	1, 4, 6
CORK Craig William	BKF941	Licensed Representative (Provisional Licence)	4
CRAMER Reuben Saul	BKK593	Licensed Representative (Provisional Licence)	1, 4
CUI Can	AUQ924	Licensed Representative (Provisional Licence)	1, 4
CUI Cui	AYO745	Licensed Representative (Provisional Licence)	1, 4
CUI Ziyang	BKQ946	Licensed Representative (Provisional Licence)	1, 6
CUNNINGHAM Jeremy James Warren	AQS229	Licensed Representative (Provisional Licence)	1
DAI Andy Andong	BKD313	Licensed Representative (Provisional Licence)	1, 6
DAI Di	BKI617	Licensed Representative (Provisional Licence)	1, 2
DE GEIS DE GUYON DE PAMPELONNE Ludovic Regis Marie	BKO564	Licensed Representative (Provisional Licence)	4, 5, 9
DENG Lifan	BKP402	Licensed Representative (Provisional Licence)	1, 2
DENG Xiaotong	BKG340	Licensed Representative (Provisional Licence)	1, 4, 6
DEPIETS Simon	BKE801	Licensed Representative (Provisional Licence)	9
DOSHI Nirav Niranjan	BKO057	Licensed Representative (Provisional Licence)	1
DU Yang	BKA417	Licensed Representative (Provisional Licence)	4, 9
EIO Jingfeng Jonathan	BKR579	Licensed Representative (Provisional Licence)	1
ESPINO Marc Christopher Jison	BKT749	Licensed Representative (Provisional Licence)	4
EVANS Curtis Leigh	BKK557	Licensed Representative (Provisional Licence)	1
FAJARDO Bennette Edward John	BKM832	Licensed Representative (Provisional Licence)	4
FAN Zhenchuan	BKM357	Licensed Representative (Provisional Licence)	9
FANG Cong	BKD315	Licensed Representative (Provisional Licence)	4
FENG Hao	BKH221	Licensed Representative (Provisional Licence)	2
FENG Rong	BDI572	Licensed Representative (Provisional Licence)	6
FENG Tingshuai	BKQ263	Licensed Representative (Provisional Licence)	4
FENG Yuqi	BKM664	Licensed Representative (Provisional Licence)	1, 2
FONG Yuet Wan Fanny	BKI974	Licensed Representative (Provisional Licence)	1, 4
FRASER Gordon	BJX002	Licensed Representative (Provisional Licence)	1, 4, 9
FREISCHMIDT Benjamin Hall	AIR951	Licensed Representative (Provisional Licence)	9
FU SIN	BKF907	Licensed Representative (Provisional Licence)	1
FU Tianqi	BKI877	Licensed Representative (Provisional Licence)	1, 4
FU Xueying	BKC970	Licensed Representative (Provisional Licence)	1, 6
FUNG Hei Lok	BKQ945	Licensed Representative (Provisional Licence)	1
FUNG Ho Ting	BKB324	Licensed Representative (Provisional Licence)	1, 4, 9
FUNG Hoi Leuk Harry	AVU800	Licensed Representative (Provisional Licence)	1, 2
FUNG Kin Hang	BKQ949	Licensed Representative (Provisional Licence)	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNG Wing Sum	BKJ844	Licensed Representative (Provisional Licence)	1, 4
GAO Chenxian	BKS232	Licensed Representative (Provisional Licence)	1, 4, 9
GAO Fei	BKK631	Licensed Representative (Provisional Licence)	2
GARRETT Adam Guy Orlebar	ALQ136	Licensed Representative (Provisional Licence)	4, 9
GEHL Mederic Gaetan	BEJ894	Licensed Representative (Provisional Licence)	1, 2, 4
GIBSON Timothy Alan	BKJ129	Licensed Representative (Provisional Licence)	1, 4
GONG Jiuzhou	BKP327	Licensed Representative (Provisional Licence)	1, 2, 9
GONG Minghui	BKN578	Licensed Representative (Provisional Licence)	1, 4, 6
GONG Xiao	BKA522	Licensed Representative (Provisional Licence)	1, 6
GOVENDER Avishkar	BJT860	Licensed Representative (Provisional Licence)	1, 6
GRINZATO Eros	BKE850	Licensed Representative (Provisional Licence)	1
GU Shuncong	BKO335	Licensed Representative (Provisional Licence)	1, 4, 6
GUAN Guhan	BKH264	Licensed Representative (Provisional Licence)	1, 2
GULICK-STUTZ Derek Nolan	BKF898	Licensed Representative (Provisional Licence)	1, 6
GUNTURU Advait Dattatreya	BJG617	Licensed Representative (Provisional Licence)	1, 2
GUO Chase Yuze	BKO884	Licensed Representative (Provisional Licence)	1, 4
GUSAK Victor Vladislav	BKN511	Licensed Representative (Provisional Licence)	1, 2
HALL Adam Peter	ATX841	Licensed Representative (Provisional Licence)	1
HAN Lin Chour, William	BAN066	Licensed Representative (Provisional Licence)	1
HAO Lili	BKR340	Licensed Representative (Provisional Licence)	1, 4, 9
HE WANQING	BJZ502	Licensed Representative (Provisional Licence)	1, 2
HENG Mui Gek	ANM460	Licensed Representative (Provisional Licence)	2
HO Hiu Kan, Kenneth	AYO801	Licensed Representative (Provisional Licence)	1, 4
HO Nathan Ma	BJZ250	Licensed Representative (Provisional Licence)	2, 5
HO TACK WEI	BKI538	Licensed Representative (Provisional Licence)	1, 6
HO Yin Shan, Cookie	ANG573	Licensed Representative (Provisional Licence)	1, 4
HSU Shu	BKE042	Licensed Representative (Provisional Licence)	1
HSU Shu Chuan	ARD668	Licensed Representative (Provisional Licence)	1
HSU Yu Yu	BKK579	Licensed Representative (Provisional Licence)	1, 4
HU Dongbing	BKN641	Licensed Representative (Provisional Licence)	10
HU Kun	BKB457	Licensed Representative (Provisional Licence)	1, 4, 9
HU Michael	BKR588	Licensed Representative (Provisional Licence)	1
HU Qingzi	BKF945	Licensed Representative (Provisional Licence)	1, 6
HU Tzu Han	BCN750	Licensed Representative (Provisional Licence)	1, 4
HU Weili	BKH778	Licensed Representative (Provisional Licence)	1, 6
HU Zeyu	BKI101	Licensed Representative (Provisional Licence)	1
HUANG Jing	BEM790	Licensed Representative (Provisional Licence)	1
HUANG Peikai	BKH958	Licensed Representative (Provisional Licence)	1, 2
HUANG Ye	AXW924	Licensed Representative (Provisional Licence)	1, 6
HUANG Zhuoqun	BKI996	Licensed Representative (Provisional Licence)	10
HUBBARD David John	BKR680	Licensed Representative (Provisional Licence)	1, 4
HUGHES Glyn John	BJT332	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUI Chun Kwok	BKK679	Licensed Representative (Provisional Licence)	1
HUI Hiu Yan	BKI714	Licensed Representative (Provisional Licence)	1, 6
HUI Wan Yee	AEX961	Licensed Representative (Provisional Licence)	4, 9
ISCHER Valentin Yvan	BKI939	Licensed Representative (Provisional Licence)	1, 4, 9
IWAO Yohei	BIZ237	Licensed Representative (Provisional Licence)	1, 2
JHAWAR Aditya Hiralal	BFP474	Licensed Representative (Provisional Licence)	4
JIANG Chuxuan	BGM935	Licensed Representative (Provisional Licence)	1, 4
JIANG Fang	BKR675	Licensed Representative (Provisional Licence)	1, 2
JIANG HUIPING	BKM131	Licensed Representative (Provisional Licence)	4
JIANG Kanfeng	BKE630	Licensed Representative (Provisional Licence)	1
JIANG Qinglin	BKK308	Licensed Representative (Provisional Licence)	4
JIANG Tian	BKI423	Licensed Representative (Provisional Licence)	1, 4
JIANG Yipei	BKL413	Licensed Representative (Provisional Licence)	1, 6
JIAO Shang	BKM830	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Ling	BKH182	Licensed Representative (Provisional Licence)	4, 9
JIN Yuanjie	BIS262	Licensed Representative (Provisional Licence)	1
JUNG Joong Hoon	BKF444	Licensed Representative (Provisional Licence)	2, 5
KANTHAN Aparna	BKL765	Licensed Representative (Provisional Licence)	1, 2
KE Dongyang	BKH652	Licensed Representative (Provisional Licence)	1, 4, 6
KE Zhening	BKB791	Licensed Representative (Provisional Licence)	1, 6
KETTEMANN Nils Ken	BKL417	Licensed Representative (Provisional Licence)	1, 6
KIM Jason Yong	ARF013	Licensed Representative (Provisional Licence)	1, 4
KIM Min Ji	BKI604	Licensed Representative (Provisional Licence)	1
KOK Tung Wa, Cathy	ANM194	Licensed Representative (Provisional Licence)	1, 2, 4, 9
KOO Doheon	BKD640	Licensed Representative (Provisional Licence)	1, 6
KOO Tun Lok Carlos	BKK383	Licensed Representative (Provisional Licence)	1, 6
KORINKE Ryan Patrick	BKN674	Licensed Representative (Provisional Licence)	1, 4, 9
KOST Alexander Stephen	BKN871	Licensed Representative (Provisional Licence)	1
KUNG Tin Wai	BKF902	Licensed Representative (Provisional Licence)	1, 6
KUSUDA Yusuke	BKL141	Licensed Representative (Provisional Licence)	1, 4, 6
KWAN Ho Yin Jasmine	BKH794	Licensed Representative (Provisional Licence)	1, 2
KWAN Nicholas Alexander Yan Tat	AZJ207	Licensed Representative (Provisional Licence)	1, 4, 6
KWAN Sing Yan	BKR621	Licensed Representative (Provisional Licence)	1
KWOK Alvina Iris Wei Lin	BKN953	Licensed Representative (Provisional Licence)	1
KWONG Yu Hin	BKK547	Licensed Representative (Provisional Licence)	1, 6
LAI Andrew Kai-Jie	BKL102	Licensed Representative (Provisional Licence)	1, 2
LAI Chun Wai	BKB802	Licensed Representative (Provisional Licence)	1, 2
LAI Hoi Yu	AFJ214	Licensed Representative (Provisional Licence)	1
LAI MAN CHUN	BJT118	Licensed Representative (Provisional Licence)	1
LAI Po Shan	BKO202	Licensed Representative (Provisional Licence)	1, 9
LAI Yidan	BKK438	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Yuen Yung, Elita	AYW932	Licensed Representative (Provisional Licence)	5



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Chuen Ming Thomas	ADW501	Licensed Representative (Provisional Licence)	1
LAM Chung Shu Xylona	BKK339	Licensed Representative (Provisional Licence)	1, 2
LAM Kwok Yiu	BKQ400	Licensed Representative (Provisional Licence)	1, 4
LAM Steve Kwok Leung	AGC469	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Yuk Ting	BKK325	Licensed Representative (Provisional Licence)	9
LATHER Manik	ATQ944	Licensed Representative (Provisional Licence)	9
LAU Kei Chun Simon	BKQ269	Licensed Representative (Provisional Licence)	1, 2
LAU Ming Cham	BKQ930	Licensed Representative (Provisional Licence)	1
LAU Ming Yeung, Lambert	AGP157	Licensed Representative (Provisional Licence)	1, 2
LAU Ming Yeung, Stephen	ANB243	Licensed Representative (Provisional Licence)	1, 6
LAU Tin Yau	BKL785	Licensed Representative (Provisional Licence)	1
LAU Wai Sum	AHU045	Licensed Representative (Provisional Licence)	1
LAU Yat Sing	BKP656	Licensed Representative (Provisional Licence)	1
LAUDER Jamie David	BKC410	Licensed Representative (Provisional Licence)	1, 2
LAW King Yung Darrell	BKO687	Licensed Representative (Provisional Licence)	1, 6
LE BINH Khoi	BKP233	Licensed Representative (Provisional Licence)	5
LEE Hae Su	BKG266	Licensed Representative (Provisional Licence)	4, 9
LEE Joanshien, Johanne	AOI966	Licensed Representative (Provisional Licence)	9
LEE John Kwok Yung Edward	BKQ970	Licensed Representative (Provisional Licence)	1
LEE Junho	BKO336	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Kwai Yin	BHV345	Licensed Representative (Provisional Licence)	4
LEE Kyung Min	AVH974	Licensed Representative (Provisional Licence)	1, 6
LEE Man Tim	BKJ894	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Pei-Chiao	BJU816	Licensed Representative (Provisional Licence)	1, 2
LEE Po Ling	BKB901	Licensed Representative (Provisional Licence)	1
LEE Soohee	AIZ796	Licensed Representative (Provisional Licence)	4, 9
LEE Sze Nga	BKR625	Licensed Representative (Provisional Licence)	2
LEE Wonjun	BKJ881	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Cheuk Lai, Justin Jonathan	BKM204	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Chiu Lun	BJT513	Licensed Representative (Provisional Licence)	1
LEUNG Chun Chit, Adrian	BKF951	Licensed Representative (Provisional Licence)	1, 2
LEUNG Hing Man	AUH927	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Ho Yin	BJY143	Licensed Representative (Provisional Licence)	1, 2
LEUNG Man Ho	AMF525	Licensed Representative (Provisional Licence)	1, 6
LEUNG Mei Lai	BKQ258	Licensed Representative (Provisional Licence)	1, 4
LI Baohong	BKG877	Licensed Representative (Provisional Licence)	4, 9
LI Chen	BBK724	Licensed Representative (Provisional Licence)	1
LI Fangjing	BKG345	Licensed Representative (Provisional Licence)	1, 4, 6
LI Haihu	BBJ048	Licensed Representative (Provisional Licence)	10
LI Han	BKJ648	Licensed Representative (Provisional Licence)	4, 9
LI Jingting	BKO985	Licensed Representative (Provisional Licence)	1, 6
LI Ka Fai	BKJ906	Licensed Representative (Provisional Licence)	1, 2, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI LU	BKI387	Licensed Representative (Provisional Licence)	1, 4
LI Mengdi	BKJ260	Licensed Representative (Provisional Licence)	1, 6
LI Mengze	BKP399	Licensed Representative (Provisional Licence)	1, 4
LI Mengzhen	BKE330	Licensed Representative (Provisional Licence)	1, 9
LI Misty	BKL914	Licensed Representative (Provisional Licence)	1, 2
LI Runyuan	BKK550	Licensed Representative (Provisional Licence)	1, 4, 9
LI Siu Kin	ANG321	Licensed Representative (Provisional Licence)	1, 4
LI Siyuan	BJW057	Licensed Representative (Provisional Licence)	2, 5
LI Sze Lok	BKK628	Licensed Representative (Provisional Licence)	6
LI Tianrun	BKK369	Licensed Representative (Provisional Licence)	4
LI Tsz Hin	BKL833	Licensed Representative (Provisional Licence)	1
LI Wan-Ning	BKC576	Licensed Representative (Provisional Licence)	1, 4, 6
LI Xingyan	BJX755	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LI Xueqian	BKA509	Licensed Representative (Provisional Licence)	1, 6
LI Yi Sum	AXF706	Licensed Representative (Provisional Licence)	1, 2
LI Yin Kuk	AMX118	Licensed Representative (Provisional Licence)	1, 4
LIAO Biyi	BKO922	Licensed Representative (Provisional Licence)	1, 4, 9
LIAO Jiayuan	BKE633	Licensed Representative (Provisional Licence)	1, 4
LIN Chun Hsien	AQW621	Licensed Representative (Provisional Licence)	1, 4
LIN John Edward	BKI656	Licensed Representative (Provisional Licence)	1, 6
LIN Shiyng	BKL632	Licensed Representative (Provisional Licence)	6
LIN Siting	BKO337	Licensed Representative (Provisional Licence)	4, 9
LIN Siyuan	BKE838	Licensed Representative (Provisional Licence)	1, 4, 6
LING Chien-Chih	AYC987	Licensed Representative (Provisional Licence)	1, 6
LIU Dawei	BKO397	Licensed Representative (Provisional Licence)	1, 2
LIU Huanrui	BKE998	Licensed Representative (Provisional Licence)	6
LIU Jerry Yuan	BAE419	Licensed Representative (Provisional Licence)	4
LIU Jiandong	BKN438	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Li	BKP926	Licensed Representative (Provisional Licence)	1, 4
LIU Muzi	BKL412	Licensed Representative (Provisional Licence)	1, 6
LIU Po Wen	ATL504	Licensed Representative (Provisional Licence)	4, 9
LIU Shuk Ming, Angie	AYW745	Licensed Representative (Provisional Licence)	1, 2, 4
LIU WEI QI	BKM111	Licensed Representative (Provisional Licence)	1, 4
LIU Xinxing	BKS243	Licensed Representative (Provisional Licence)	4
LIU Yicheng	BKG339	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Yuchen	BKK370	Licensed Representative (Provisional Licence)	4
LIU Yuhan	BKS230	Licensed Representative (Provisional Licence)	4
LIU Yuting	BKS408	Licensed Representative (Provisional Licence)	1, 4
LO Chiu Lam, Alex	BKN521	Licensed Representative (Provisional Licence)	1, 6
LO Pak Lung	AGK697	Licensed Representative (Provisional Licence)	1, 2, 4
LO Wing Ho	BKL193	Licensed Representative (Provisional Licence)	1
LOU Yuxiang	BKK546	Licensed Representative (Provisional Licence)	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
LU Hsin-Lin	BKH337	Licensed Representative (Provisional Licence)	1
LU Jingyi	BJX882	Licensed Representative (Provisional Licence)	1, 2
LU Tien-Jui	APB697	Licensed Representative (Provisional Licence)	1
LU Yang	BKP940	Licensed Representative (Provisional Licence)	1, 6
LU Zhe	BKD789	Licensed Representative (Provisional Licence)	1, 6
LUI Benji	BKE387	Licensed Representative (Provisional Licence)	1, 4
LUO Chen	BKT275	Licensed Representative (Provisional Licence)	6
LUO Zhen	BKN825	Licensed Representative (Provisional Licence)	6
MA Ruosi	BKM256	Licensed Representative (Provisional Licence)	1, 4, 9
MA Sze Man	AED753	Licensed Representative (Provisional Licence)	1
MA Wai Shun	BID786	Licensed Representative (Provisional Licence)	1
MA Yung-Jie	BKP540	Licensed Representative (Provisional Licence)	1, 4
MAK Chun Wing	BKK371	Licensed Representative (Provisional Licence)	4
MAK Ka Wing	BKH229	Licensed Representative (Provisional Licence)	1, 2
MASU Megumi	BGQ554	Licensed Representative (Provisional Licence)	1, 2
MCMURTRAY Nathan Noel	ALW212	Licensed Representative (Provisional Licence)	1, 4, 6
MENG Zhaojin	BKF968	Licensed Representative (Provisional Licence)	1, 2
MIN Junyan	BKE044	Licensed Representative (Provisional Licence)	1, 6
MIYAMOTO Go	BIN850	Licensed Representative (Provisional Licence)	4
MO Chelsea	BKI563	Licensed Representative (Provisional Licence)	1, 6
MOIZANT Michael, Jean, Francois	BKT570	Licensed Representative (Provisional Licence)	1, 2
MOK Ka Ying	ALW654	Licensed Representative (Provisional Licence)	1
MOK Tze Chung	AZF440	Licensed Representative (Provisional Licence)	1, 4
NAKABAYASHI Yuji	BIX277	Licensed Representative (Provisional Licence)	1, 4, 6
NAM BEVERLY MAN WAI	BKI846	Licensed Representative (Provisional Licence)	1, 9
NG Jason W H	AWD539	Licensed Representative (Provisional Licence)	9
NG Keegan Ken	BKJ530	Licensed Representative (Provisional Licence)	1
NG KWOK HAY	BKD903	Licensed Representative (Provisional Licence)	2
NGAI CHI HO	BKP596	Licensed Representative (Provisional Licence)	4, 9
NGAN Po Yan	BKG873	Licensed Representative (Provisional Licence)	1
NIXEL Stefan Dominik	BKH173	Licensed Representative (Provisional Licence)	4, 5, 9
NOH James Hyon	BKG328	Licensed Representative (Provisional Licence)	1, 2
ODELL Scott Wilson	BKB375	Licensed Representative (Provisional Licence)	1, 2
OTSUKA Kosuke	BK0012	Licensed Representative (Provisional Licence)	1, 4
PAN Pengyu	BK0949	Licensed Representative (Provisional Licence)	9
PAN Weibing	BKK306	Licensed Representative (Provisional Licence)	4
PAN Yongchuan	BKN346	Licensed Representative (Provisional Licence)	9
PANG Athena Yu Bing	BKP942	Licensed Representative (Provisional Licence)	4, 9
PANG Chung Po	BKF499	Licensed Representative (Provisional Licence)	1
PENG Ruoyang	BKN607	Licensed Representative (Provisional Licence)	1, 2
PENG Yongxing	BKN534	Licensed Representative (Provisional Licence)	1, 4, 9
PETCH Martin Andrew	BJW384	Licensed Representative (Provisional Licence)	10



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
PFAJFER Aleksandar	AVI193	Licensed Representative (Provisional Licence)	1, 4
POON Chun Man	ARV276	Licensed Representative (Provisional Licence)	1, 4
POON Ka Chun	BKK302	Licensed Representative (Provisional Licence)	1
POSCH Paul	BIY899	Licensed Representative (Provisional Licence)	1, 2
PU Lingxiao	BHT352	Licensed Representative (Provisional Licence)	1, 2
QIAO Yahong	BKS805	Licensed Representative (Provisional Licence)	1, 4
QIU Jiale	BKT159	Licensed Representative (Provisional Licence)	1, 6
QUESNOT Jean-joseph Bernard Gaston	BKE518	Licensed Representative (Provisional Licence)	1, 2
RICHTER Chasen Connell	BKG343	Licensed Representative (Provisional Licence)	2, 5
ROGIER Bernard Rene Joseph	AIR454	Licensed Representative (Provisional Licence)	1, 2
SAWADA Shogo	BII582	Licensed Representative (Provisional Licence)	1, 2
SEETO Dereke Charles Wing On	AYO959	Licensed Representative (Provisional Licence)	1, 2, 7
SHAH Ankit Dipak	BKL203	Licensed Representative (Provisional Licence)	1, 9
SHAH Dhawal Arvind	AYM782	Licensed Representative (Provisional Licence)	1, 2
SHAO Zuoyin	BDM007	Licensed Representative (Provisional Licence)	4
SHE Jingwei	BKH340	Licensed Representative (Provisional Licence)	1
SHEA Pui Ho Kerry	BKG034	Licensed Representative (Provisional Licence)	1
SHEN Jiamin	BKQ448	Licensed Representative (Provisional Licence)	4
SHEN Minggao	ATQ771	Licensed Representative (Provisional Licence)	1, 4
SHI Jing	BKI044	Licensed Representative (Provisional Licence)	4, 9
SHI Ziyuan	BKK572	Licensed Representative (Provisional Licence)	1, 4, 5, 9
SIN KIT FUNG	BKE175	Licensed Representative (Provisional Licence)	1, 4
SINHA Gaurav	AOV915	Licensed Representative (Provisional Licence)	1, 2
SIU Chi Lan	BKP427	Licensed Representative (Provisional Licence)	1, 4
SIU Chun Pui	BKG032	Licensed Representative (Provisional Licence)	1, 9
SIU Po Ki	BKP490	Licensed Representative (Provisional Licence)	3
SLOMAN Scott	BKM190	Licensed Representative (Provisional Licence)	1, 2
SMITH Dominic Anthony	AVO477	Licensed Representative (Provisional Licence)	1
SO Adrian Lap Kuen	BKR795	Licensed Representative (Provisional Licence)	1
SON Bum Ki	BJY542	Licensed Representative (Provisional Licence)	1, 4
SRI RAMANATHAN Shivaraj	BKN105	Licensed Representative (Provisional Licence)	1, 2
STOCK Nicholas Alexander	BJY746	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Boyi	BKJ278	Licensed Representative (Provisional Licence)	1, 4
SUN Chang	BKI593	Licensed Representative (Provisional Licence)	1
SUN Xiaoyu	BKP228	Licensed Representative (Provisional Licence)	6
SUN Yixuan	BKK358	Licensed Representative (Provisional Licence)	1, 6
SUN Yue	BKQ407	Licensed Representative (Provisional Licence)	1, 6
SZE Yuk Lung	BKG801	Licensed Representative (Provisional Licence)	1
TAI Wai Ho	BKJ685	Licensed Representative (Provisional Licence)	4
TAM Oi Lam	BKP876	Licensed Representative (Provisional Licence)	1
TAN Huiman	BKE575	Licensed Representative (Provisional Licence)	10
TAN Kah Bee	BKF453	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAN Rachel Xiao Wei	BKS199	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Wei Jie, Ivan	BKP360	Licensed Representative (Provisional Licence)	1, 2
TAN Weixin	BKM833	Licensed Representative (Provisional Licence)	1
TANG Nancy	BKN861	Licensed Representative (Provisional Licence)	1, 2, 4
TANG Tak Chun	BKJ158	Licensed Representative (Provisional Licence)	1, 2
TANG Yu	BKP238	Licensed Representative (Provisional Licence)	1, 4
TANG Zhongwei	BKJ475	Licensed Representative (Provisional Licence)	9
TAO Mei Shan, Lisa	BBT739	Licensed Representative (Provisional Licence)	1, 4, 9
TAO Xijin	BKS276	Licensed Representative (Provisional Licence)	4
TELAPROLU Varun	BKQ419	Licensed Representative (Provisional Licence)	9
TENG Chi-An	BKC142	Licensed Representative (Provisional Licence)	4
TIAN Xueyi	BKE646	Licensed Representative (Provisional Licence)	1, 6
TIAN Ye	BKL555	Licensed Representative (Provisional Licence)	1
TING Siu Hong	BKH111	Licensed Representative (Provisional Licence)	1, 4, 6
TOTH Alexandra Marie	BKF424	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
TSANG Wai Kee	BKQ450	Licensed Representative (Provisional Licence)	1, 4
TSE Man Hei	BKG342	Licensed Representative (Provisional Licence)	1, 4, 6
TSUCHIDA Mariko	BKE006	Licensed Representative (Provisional Licence)	9
TSUI Pui Ki	BKQ907	Licensed Representative (Provisional Licence)	1, 4
UEMATSU Ken David	BKH654	Licensed Representative (Provisional Licence)	1, 9
UPADHYAYULA Madhukar Srinivas	BKJ280	Licensed Representative (Provisional Licence)	9
VANNESSON Laurent Xavier Marie	BKL385	Licensed Representative (Provisional Licence)	1
WANG Anqi	BJS918	Licensed Representative (Provisional Licence)	2, 5
WANG Chih Hsiang	APJ781	Licensed Representative (Provisional Licence)	4
WANG Hongwei	BJS949	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Jiayu	BJH821	Licensed Representative (Provisional Licence)	6
WANG Kan	BKQ411	Licensed Representative (Provisional Licence)	1, 4
WANG Miao	BHB490	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Surong	BJZ433	Licensed Representative (Provisional Licence)	1, 6
WANG Wei	BKQ730	Licensed Representative (Provisional Licence)	1, 4, 6
WANG XINYAN	BKC974	Licensed Representative (Provisional Licence)	4, 9
WANG Xinying	ATO443	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Yiding	AOD298	Licensed Representative (Provisional Licence)	4, 9
WANG Yifan	BKM052	Licensed Representative (Provisional Licence)	1, 6
WANG YiHui	BKL871	Licensed Representative (Provisional Licence)	1, 2
WANG Yijia	BKM317	Licensed Representative (Provisional Licence)	4
WANG Yinan	ADB202	Licensed Representative (Provisional Licence)	4, 9
WANG Yumeng	BKI610	Licensed Representative (Provisional Licence)	4, 9
WANG Yuqiao	BKE043	Licensed Representative (Provisional Licence)	1, 6
WANG Ziren	BKH795	Licensed Representative (Provisional Licence)	1, 2
WEI Jackson Zhuo Cheng	BKL409	Licensed Representative (Provisional Licence)	1, 6
WEN Quan	BKS173	Licensed Representative (Provisional Licence)	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
WHARF Amy Jayne	BKL743	Licensed Representative (Provisional Licence)	1, 4
WIDJAJA Ogar Renaldi	BBD986	Licensed Representative (Provisional Licence)	1, 4
WONG Chi Fun Idy	BKE323	Licensed Representative (Provisional Licence)	5
WONG Chi Shing	BKP658	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Chim	BFE349	Licensed Representative (Provisional Licence)	1
WONG Ching Leung	BKG044	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Hoi Ying	BKK545	Licensed Representative (Provisional Licence)	2
WONG Ka Shing	BKG880	Licensed Representative (Provisional Licence)	1
WONG Lan Ching	BKQ415	Licensed Representative (Provisional Licence)	1
WONG Pak Nin Jimmy	BKG502	Licensed Representative (Provisional Licence)	1, 6
WONG Philippe	BKN631	Licensed Representative (Provisional Licence)	1, 2, 7
WONG TAT SHAN	BKI492	Licensed Representative (Provisional Licence)	1
WONG Tin Shing	BKF525	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Tsang Yam	BJX678	Licensed Representative (Provisional Licence)	1, 2
WONG Wai Yu	ALU552	Licensed Representative (Provisional Licence)	4, 9
WOO Wing Yan, Anna	AFA847	Licensed Representative (Provisional Licence)	1
WU Bonnie Po Yee	BKK907	Licensed Representative (Provisional Licence)	4
WU Charles York	BJV578	Licensed Representative (Provisional Licence)	4, 9
WU Jing	BKE827	Licensed Representative (Provisional Licence)	1, 4
WU Man Kui	BKR684	Licensed Representative (Provisional Licence)	2
WU Shilu	BKM750	Licensed Representative (Provisional Licence)	1, 4
WU Wenting	BKS171	Licensed Representative (Provisional Licence)	1, 4, 6
WU Zhaoqian	BKO311	Licensed Representative (Provisional Licence)	1, 4, 6, 9
XIA Yufei	BKK310	Licensed Representative (Provisional Licence)	2
XIAO Shunyu	BKO986	Licensed Representative (Provisional Licence)	9
XIAO Xiao	BKG598	Licensed Representative (Provisional Licence)	1, 4, 6
XIE Hui	BKQ420	Licensed Representative (Provisional Licence)	1
XIE Yuanxi	BJP646	Licensed Representative (Provisional Licence)	9
XIE Zihe	BKR708	Licensed Representative (Provisional Licence)	1, 2
XING Jiyuan	BKH363	Licensed Representative (Provisional Licence)	1, 4, 9
XIONG Yifan	BKK314	Licensed Representative (Provisional Licence)	1
XU Jinzhao	BKQ453	Licensed Representative (Provisional Licence)	1, 4, 6
XU Wenyun	BKE808	Licensed Representative (Provisional Licence)	1
XU Xinrui	BKP223	Licensed Representative (Provisional Licence)	1, 2, 4, 5
XU Yinqing	BKS103	Licensed Representative (Provisional Licence)	1, 4
YAMADA Shinya	AUW525	Licensed Representative (Provisional Licence)	9
YAMAMOTO Naoki	BKM294	Licensed Representative (Provisional Licence)	1
YAN Hao	BKD001	Licensed Representative (Provisional Licence)	1, 4
YAN Xiaohan	BKL836	Licensed Representative (Provisional Licence)	1, 4
YAN Yun	BKI023	Licensed Representative (Provisional Licence)	4, 9
YANG Hai	AXI228	Licensed Representative (Provisional Licence)	6
YANG Kangbo	BJW750	Licensed Representative (Provisional Licence)	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Lan	BKM834	Licensed Representative (Provisional Licence)	1, 4
YANG Nan	BKP395	Licensed Representative (Provisional Licence)	1, 4
YANG Rui	BKS167	Licensed Representative (Provisional Licence)	4, 9
YANG SEUNG WOO	BKJ904	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Wenqi, Lucky	BKJ915	Licensed Representative (Provisional Licence)	2
YANG Yanwen	BKO832	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Yuan Xun Lucy	BKN544	Licensed Representative (Provisional Licence)	2
YAO Yuanchao	BKF432	Licensed Representative (Provisional Licence)	1, 4
YAU Wai Lun	BDI749	Licensed Representative (Provisional Licence)	1, 2
YEO Weng Cheong Sam	BKE325	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Sin Ting	BKD223	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Siu Cheong	BKL978	Licensed Representative (Provisional Licence)	1, 2
YEUNG Wai Kie, Gary	ANF195	Licensed Representative (Provisional Licence)	1
YEUNG Yu Man	BKL315	Licensed Representative (Provisional Licence)	1
YI Pengyu	BKJ155	Licensed Representative (Provisional Licence)	6
YI Yen-Hua	ARO058	Licensed Representative (Provisional Licence)	1, 9
YIP Chi Fung	AZR317	Licensed Representative (Provisional Licence)	1, 4, 6
YU Hiu Kwan, Hilda	BKE953	Licensed Representative (Provisional Licence)	1, 6
YU Yue	AVW185	Licensed Representative (Provisional Licence)	1, 4
YU Yue	BKL276	Licensed Representative (Provisional Licence)	1, 6
YUAN Jingyu	BKI554	Licensed Representative (Provisional Licence)	1, 6
YUAN Li Yi	BGP109	Licensed Representative (Provisional Licence)	1
YUAN Lin	BCJ572	Licensed Representative (Provisional Licence)	1, 6
YUEN Yat Ki	BKR591	Licensed Representative (Provisional Licence)	2
ZENG Li	BKG164	Licensed Representative (Provisional Licence)	4
ZHAI Yanni	BKI619	Licensed Representative (Provisional Licence)	1, 4, 7
ZHANG Le	BCU754	Licensed Representative (Provisional Licence)	9
ZHANG Min	BKJ272	Licensed Representative (Provisional Licence)	1, 2
ZHANG Ruohan	BKF073	Licensed Representative (Provisional Licence)	1, 2
ZHANG Sijia	BKF448	Licensed Representative (Provisional Licence)	1, 2
ZHANG Xinyi	BKK367	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yanrong	BJY723	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yi	BKE631	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yi	BKM737	Licensed Representative (Provisional Licence)	4
ZHANG Yichi	AQF007	Licensed Representative (Provisional Licence)	1
ZHANG Yijia	BKM831	Licensed Representative (Provisional Licence)	4
ZHANG Yumeng	BKK535	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zebang	BKN996	Licensed Representative (Provisional Licence)	1, 2, 4
ZHAO Nanxi	BKO984	Licensed Representative (Provisional Licence)	1, 6
ZHAO Xiaotian	BKH678	Licensed Representative (Provisional Licence)	1, 6
ZHEN Xi	BKP242	Licensed Representative (Provisional Licence)	1, 4
ZHENG Shihao	BKL166	Licensed Representative (Provisional Licence)	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHENG Zhi	BKE403	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Caobin	BKJ864	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Haote	BKK304	Licensed Representative (Provisional Licence)	4
ZHOU Huanwei	BKI616	Licensed Representative (Provisional Licence)	4
ZHOU Lin	BKI929	Licensed Representative (Provisional Licence)	4
ZHOU Yue	BKH772	Licensed Representative (Provisional Licence)	1, 2
ZHU CAI	BJZ402	Licensed Representative (Provisional Licence)	4, 9
ZHU Jialei	BFD431	Licensed Representative (Provisional Licence)	1, 4
ZHU Yi	BKI005	Licensed Representative (Provisional Licence)	1
ZHU Zhu	BKH350	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Huihui	BKR063	Licensed Representative (Provisional Licence)	4, 9
ZOONEKYND Vincent Davy	BKF080	Licensed Representative (Provisional Licence)	5
ZOU Hao	ASM068	Licensed Representative (Provisional Licence)	1, 4, 9
ZUO Yichao	BKO558	Licensed Representative (Provisional Licence)	1, 2, 4
ZUO Yiyuan	BKG344	Licensed Representative (Provisional Licence)	2, 5
AGARWAL Hemant	BKN623	Licensed Representative (Temporary Licence)	1
AKAHANE Takashi	BHD877	Licensed Representative (Temporary Licence)	4
ALI Salman	BDY341	Licensed Representative (Temporary Licence)	4
AZUMI Rina	BKP917	Licensed Representative (Temporary Licence)	1, 2
BAEK Doosan	BJX357	Licensed Representative (Temporary Licence)	4
BASU Sougata	BHZ553	Licensed Representative (Temporary Licence)	1
BEAKE Jonathan Alexander Nelson	BKJ115	Licensed Representative (Temporary Licence)	1
BECKER William Joseph	BAI191	Licensed Representative (Temporary Licence)	1
BRADSHAW Oliver Robert	AVN427	Licensed Representative (Temporary Licence)	1
BURNS Nikolas Peter Charles	BAW620	Licensed Representative (Temporary Licence)	4
CAMPOS DIAZ Adrian Giancarlo	BHD137	Licensed Representative (Temporary Licence)	1
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
CISZEWSKI Thomas James	AYU143	Licensed Representative (Temporary Licence)	1, 2
CLARK III Schuyler Harold	BKJ145	Licensed Representative (Temporary Licence)	1, 4
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DANIEL III Daniel David	BKS203	Licensed Representative (Temporary Licence)	1, 4
DANIELS Mark Shortley	BKH992	Licensed Representative (Temporary Licence)	1
DAS Sujoy Kumar	BCH689	Licensed Representative (Temporary Licence)	1, 4
DE GUZMAN Anthony Romeo	BKK013	Licensed Representative (Temporary Licence)	1
DESHMUKH Prasad Gulabrao	BAR266	Licensed Representative (Temporary Licence)	1, 4
DU Xiangchen	BJT625	Licensed Representative (Temporary Licence)	10
FAIRCLOUGH Jason Robert	BFP935	Licensed Representative (Temporary Licence)	1, 4
FENG Kaiyun	BKO099	Licensed Representative (Temporary Licence)	1
FUJITA Kiichi	BHV637	Licensed Representative (Temporary Licence)	4
GAO Xiang	BIC191	Licensed Representative (Temporary Licence)	1
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017

CE Name	CE No.	Licence Type	Types of Regulated Activity
GERSHUNI Zalman Shneur	BHC233	Licensed Representative (Temporary Licence)	4
GIRDHAR Rajnish	BKP651	Licensed Representative (Temporary Licence)	1, 4
GUPTA Shivang	BHY492	Licensed Representative (Temporary Licence)	1, 2
HARTONO David Arie	BIX625	Licensed Representative (Temporary Licence)	4
HEYES Richard James	BIJ198	Licensed Representative (Temporary Licence)	1
HONGO Junichi	BHZ859	Licensed Representative (Temporary Licence)	1
HORT Marco Bruno	BJK595	Licensed Representative (Temporary Licence)	1, 4
ICHIKAWA Teppei	BIB368	Licensed Representative (Temporary Licence)	1, 2
IENO Eiji Alex	AXB793	Licensed Representative (Temporary Licence)	1, 2
Ishank Kumar	BGP169	Licensed Representative (Temporary Licence)	4
KASHANEK Peter James	BGW597	Licensed Representative (Temporary Licence)	1, 4
KIM Seoyeon	BJX360	Licensed Representative (Temporary Licence)	4
KIM Sungeun	BIE125	Licensed Representative (Temporary Licence)	4
KITANO Daisaku	BKL416	Licensed Representative (Temporary Licence)	1
KOESTERICH Russell Jared	BHP721	Licensed Representative (Temporary Licence)	1, 4
KOIKE Shoji	AZD368	Licensed Representative (Temporary Licence)	1, 2
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LANGFORD Stephen Richard	BKI493	Licensed Representative (Temporary Licence)	1
LEE Ji Seon	BKK022	Licensed Representative (Temporary Licence)	1, 4
LEE Tien Soon	BJT065	Licensed Representative (Temporary Licence)	1, 4
LEUCHTEN Michael	BKM728	Licensed Representative (Temporary Licence)	4
LIN Ming-De	BEC282	Licensed Representative (Temporary Licence)	1, 4
LIU Kelvin Chung Man	BKL573	Licensed Representative (Temporary Licence)	1, 4
LUKASZEWSKI PAUL ADAM	BKI133	Licensed Representative (Temporary Licence)	1
MA Beijia	BID097	Licensed Representative (Temporary Licence)	1, 4
MARTIN Troy Richard William	BHG437	Licensed Representative (Temporary Licence)	1
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MUKHLIS Helmi Arman	BDY347	Licensed Representative (Temporary Licence)	4
NAGAHORI Makoto	BKJ477	Licensed Representative (Temporary Licence)	1
NAKAHARA Shuichi	BGS896	Licensed Representative (Temporary Licence)	4
NARUSAWA Koh	AZK463	Licensed Representative (Temporary Licence)	1
NG Kar Yau, Andrew	BKE542	Licensed Representative (Temporary Licence)	10
OHTAKA Kenichi	BHY394	Licensed Representative (Temporary Licence)	1
OKUBO Ayaki	BJG598	Licensed Representative (Temporary Licence)	1
OLSEN Daniel Hanlon	BKK023	Licensed Representative (Temporary Licence)	1
PACAN Maxime, Jacques, Francois	BFP547	Licensed Representative (Temporary Licence)	1, 4
PLA Edward Louis	BFA931	Licensed Representative (Temporary Licence)	1
PODRUG Frane	BDH801	Licensed Representative (Temporary Licence)	1, 4
REDBOURN Paul Robert	AFQ906	Licensed Representative (Temporary Licence)	1
REDEKER Hans Gunter Wilhelm	BFF385	Licensed Representative (Temporary Licence)	1, 4, 5
RESTOUT Thomas Jean	BIB315	Licensed Representative (Temporary Licence)	1, 2
ROUTLEDGE Brian	BJD983	Licensed Representative (Temporary Licence)	1, 4, 5

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2017**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SAHOO Abhishek	BJN732	Licensed Representative (Temporary Licence)	4
SAKAMOTO Yusuke	AUV633	Licensed Representative (Temporary Licence)	1
SHETTY Nathan Sunil	BKM662	Licensed Representative (Temporary Licence)	1, 4
SHIBANO Nozomi	AVI061	Licensed Representative (Temporary Licence)	4
SHUKLA Manish Bhupendra	BKJ049	Licensed Representative (Temporary Licence)	4
SIA Ke Yong Jared	BKI365	Licensed Representative (Temporary Licence)	1, 2
SPAJIC Drago	BKM991	Licensed Representative (Temporary Licence)	1, 4
SUN MATTISON Linda Wu	BBF677	Licensed Representative (Temporary Licence)	4
TAKAYANAGI Mitsuru	BKM678	Licensed Representative (Temporary Licence)	4
TAN Toon Leng	BDM837	Licensed Representative (Temporary Licence)	4
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	1, 4
TENCER Steven Charles	BJM125	Licensed Representative (Temporary Licence)	10
TRUDEL Michael Robert	AUW492	Licensed Representative (Temporary Licence)	1, 4
WANG Peng Yu	BKO879	Licensed Representative (Temporary Licence)	1, 4
WEBSTER Grant Anthony	BKG338	Licensed Representative (Temporary Licence)	4
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WEN Chung-Yee	AXL994	Licensed Representative (Temporary Licence)	1
YAMAKAWA Hiroki	BGI791	Licensed Representative (Temporary Licence)	1, 2
YANAGISAWA Masakazu	ASL355	Licensed Representative (Temporary Licence)	1
YOKOTA SONODA Julyana	BKL382	Licensed Representative (Temporary Licence)	10
YUHARA Kaori	BHZ852	Licensed Representative (Temporary Licence)	1